Effect of a moderate caffeine dose on endurance cycle performance and thermoregulation during prolonged exercise in the heat

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1 Abstract

2 *Objectives*: This study investigated the influence of a moderate caffeine dose on endurance cycle
3 performance and thermoregulation during prolonged exercise in high ambient temperature.

4 *Design*: Double-blind cross-over study.

5 *Methods*: Eight healthy, recreationally active males (Mean \pm SD; age: 22 ± 1 y; body mass: 71.1 ± 8.5 6 kg; VO_{2peak}: 55.9 ± 5.8 mL·kg⁻¹·min⁻¹; W_{max} : 318 ± 37 W) completed one VO_{2peak} test, one 7 familiarisation trial and two experimental trials. After an overnight fast, participants ingested a 8 placebo or a 6 mg·kg⁻¹ caffeine dose 60 min before exercise. The exercise protocol consisted of 60 9 min of cycle exercise at 55% W_{max} , followed by a 30 min performance task (total kJ produced) in 30°C and 50% RH.

11 *Results*: Performance was enhanced (Cohen's *d* effect size=0.22) in the caffeine trial (363.8 ± 47.6 kJ) 12 compared with placebo (353.0 ± 49.0 kJ; p=0.004). Caffeine did not influence core (p=0.188) or skin 13 temperature (p=0.577) during exercise. Circulating prolactin (p=0.572), cortisol (p=0.842) and the 14 estimated rates of fat (p=0.722) and carbohydrate oxidation (p=0.454) were also similar between trial 15 conditions. Caffeine attenuated perceived exertion during the initial 60 min of exercise (p=0.033), 16 with no difference in thermal stress across trials (p=0.911).

17 *Conclusions*: Supplementation with 6 mg·kg⁻¹ caffeine improved endurance cycle performance in a
18 warm environment, without differentially influencing thermoregulation during prolonged exercise at a
19 fixed work-rate versus placebo. Therefore, moderate caffeine doses which typically enhance
20 performance in temperate environmental conditions also appear to benefit endurance performance in
21 the heat.

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23 Key words: Stimulants; supplements; core temperature; exercise; fatigue; substrate oxidation

Caffeine (1,3,7-trimethylxanthine) is a well-established ergogenic aid commonly consumed by endurance athletes.¹ Intakes of low to moderate doses (3-6 mg·kg⁻¹) consistently enhance performance in temperate environmental conditions (~20°C), especially when exercise is performed for 30 min or longer.² Few studies have investigated the ergogenic effects of caffeine in the heat, with some,^{3,4} but not all,^{5, 6, 7} reporting improved performance following caffeine ingestion. Hence, from the limited data available, it is unclear whether caffeine benefits endurance performance in the heat, despite a high prevalence of intake among athletes competing in warm environments¹.

33 The progressive impairment in endurance capacity with increasing ambient temperature is welldocumented.⁸ Several explanations for this deterioration in performance have been proposed, 34 35 including an increased physiological burden to dissipate heat via the skin and an elevated core 36 temperature⁹. The resulting hyperthermia and increased brain temperature reduce central drive to continue exercise, thus precipitating the onset of fatigue.¹⁰ During prolonged exercise in the heat, 37 caffeine has elicited higher core temperatures than placebo.^{5,6,11} Therefore, these perturbations to 38 39 thermoregulation might explain the lack of performance benefit in the heat after caffeine intake.⁵ Interestingly, larger caffeine doses ($\geq 9 \text{ mg} \cdot \text{kg}^{-1}$) consistently induce elevations in core and body 40 temperature during exercise in the heat.^{6,11} Hence, the provision of smaller doses ($\sim 6 \text{ mg} \cdot \text{kg}^{-1}$), which 41 typically improve performance in temperate conditions,² might prove a more useful strategy to 42 43 enhance performance in the heat.

Supplementation with 6 mg·kg⁻¹ caffeine enhanced maximal voluntary contraction of the quadriceps after prolonged cycle exercise in a hot (36°C) environment.⁴ However, during exercise under the same environmental conditions, the same caffeine dose co-administered with carbohydrates elicited a higher core temperature than isolated carbohydrate intake.¹² To date, only two laboratory-based studies have examined the influence of 6 mg·kg⁻¹ caffeine on endurance cycle performance in the heat without additional carbohydrates.^{5,3} Roelands et al. (2011)⁵ reported no ergogenic effect of caffeine but an increase in core temperature during prolonged exercise at a fixed work-rate, while Ganio et al. (2011)³ observed an improvement in endurance cycle performance but no thermogenic effects. Hence,
it is unclear whether moderate caffeine doses influence endurance cycle performance or
thermoregulation during prolonged exercise in the heat. Given the widespread intake of caffeine by
athletes,¹ it would be of interest to determine whether moderate doses which consistently enhance
performance in temperate conditions,² also confer performance benefits in the heat.

56 Consequently, the aim of this study was to examine the performance and thermoregulatory responses
57 to prolonged exercise in the heat following the ingestion of a 6 mg·kg⁻¹ caffeine dose versus a placebo
58 condition.

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60 Methods

Eight healthy, recreationally active, low-caffeine consuming, non-heat acclimated males (116 \pm 46 mg·day⁻¹; age: 22 \pm 1 y; body mass: 71.1 \pm 8.5 kg; height: 1.74 \pm 0.08 m; VO_{2peak}: 55.9 \pm 5.8 mL·kg⁻¹·min⁻¹; peak power output at VO_{2peak} [W_{max}]: 318 \pm 37 W) took part in this investigation, which employed a double-blind, randomised, repeated-measures, cross-over design. Participants provided written informed consent and were free from chronic disease. The experimental protocol was approved by the Ethics Approvals (Human Participants) Sub-Committee of Loughborough University, UK (Ref: R15-P104).

68 All participants completed one maximal exercise test, one familiarisation trial and two experimental 69 trials. The initial visit consisted of an incremental exercise test to volitional exhaustion conducted on 70 an electronically braked cycle ergometer (Lode Corival, Groningen, Holland) to determine W_{max} and 71 the power required to elicit 55% and 75% of W_{max} . This test was performed in temperate conditions 72 (~20°C). After a brief recovery period (15 min), participants completed the performance task used in 73 the familiarisation and experimental trials to practice pacing and control of the ergometer. After 5-7 74 days, the familiarisation trial was undertaken to ensure that participants became fully accustomed to 75 the procedures employed during the investigation and to minimise any learning or anxiety effects. This trial was performed in environmental conditions maintained at 30°C and 50% RH and was
identical to the experimental trials in all respects, although no treatment was administered.

78 The familiarisation and experimental trials were separated by 7 to 10 days to minimise the 79 development of heat acclimation. Additionally, all trials were performed at the same time of day to 80 minimise circadian-type variance. Participants were instructed to record their dietary habits and 81 physical activity patterns during the 24 hours before the familiarisation trial and to replicate this in the 82 24 hours preceding each experimental trial. Furthermore, no strenuous exercise or caffeine intake was 83 permitted during this period and participants were provided with a list of commonly consumed 84 caffeinated foods and drinks to help achieve this. On the evening before each trial, participants 85 ingested a radio-telemetry pill (CoreTemp, HQ Inc, Palmetto, Florida, USA) to enable the 86 measurement of core temperature.

87 Participants arrived at the laboratory in the morning (8-9 am) after an overnight fast (10-12 hours) with the exception of ingesting 500 mL of plain water approximately 90 min before arrival. Post-void 88 89 nude body mass was recorded upon arrival (Adam AFW-120, Milton Keynes, UK) and a heart rate 90 telemetry band (Polar Beat, Kempele, Finland) was positioned. Skin surface thermistors (Grant 91 Squirrel SQ800, Cambridgeshire, UK) were attached to four sites (chest, upper arm, thigh and calf) for the determination of weighted mean skin temperature.¹³ Next, an indwelling 21 g cannula was 92 93 inserted into an antecubital vein to enable repeated blood sampling; this was flushed with a small 94 volume of saline after each sample to ensure patency. After 15 min of seated rest at room temperature 95 $(20^{\circ}C)$, a baseline 7 mL venous sample was collected, following which participants ingested a capsule containing either 6 mg·kg⁻¹ of caffeine (Sigma-Aldrich, UK) or 250 mg of starch (placebo; BDH Ltd, 96 97 Poole, UK) with 50 mL of plain water. All capsules were indistinguishable with regards to dimension, 98 weight and colour. Participants then remained seated for a further 60 min at room temperature. After 99 45 min, core and skin temperature and heart rate were recorded at 5 min intervals, with a second 7 mL 100 venous sample collected at 60 min.

101 Participants then entered the climatic chamber (Weiss-Gallenkamp, UK) maintained at 30°C and 50% 102 RH and began 60 min of cycle exercise at a workload corresponding to 55% W_{max} . During this period, 103 core and skin temperature and heart rate were recorded every 5 min. Rating of perceived exertion 104 $(RPE)^{14}$ and perceived thermal stress (using a 21 point scale ranging from -10, unbearable cold, to +10, 105 unbearable heat) were recorded every 10 min. Expired gas samples (1 min) were collected every 30 106 min using the Douglas bag method; these values were used to determine the rates of substrate oxidation during exercise.¹⁵ Participants were provided with 150 mL of plain water (temperature: 20°C) 107 108 every 15 min and a third 7 mL venous sample was collected at 60 min while participants remained 109 seated on the ergometer.

110 Subsequently, there was a 2-3 min delay while the ergometer was programmed for the performance 111 task. Participants were instructed to produce as much work (kJ) as possible within 30 min; this method is consistent with previous studies.^{6,3} Before starting, all participants were encouraged to 112 113 produce a maximal effort. The initial workload was set at 75% W_{max} , but participants were free to 114 adjust their power output as desired from the outset. During this period, participants received 115 information regarding time elapsed and cadence, but no other information or verbal encouragement 116 was provided. Core and skin temperature and heart rate were recorded every 5 min. A final 7 mL 117 venous sample was collected immediately after the performance task while participants remained 118 seated on the ergometer. The cannula, telemetry band and skin thermistors were then removed and 119 after a short rest period, nude body mass was recorded after participants towelled dry. The change in 120 body mass, corrected for fluid intake, was used to estimate sweat rate.

All venous samples were collected into dry syringes. A small volume (2 mL) was dispensed into tubes containing K_2EDTA and duplicate 100 μ L sub-samples were deproteinised in 0.3 M perchloric acid. These were centrifuged, and the resulting supernatant was used to determine plasma glucose concentrations using a commercially available assay (GOD-PAP, Randox Ltd, UK). Haemoglobin (cyanmethemoglobin method) and haematocrit (microcentrifugation) values were used to estimate percentage changes to blood and plasma volumes relative to the baseline sample.¹⁶ The remaining 5 mL was dispensed into tubes containing clotting activator and left for approximately 1 hour prior to

128 centrifugation at 1750 g for 10 min at 4°C. The resulting serum was stored at -21°C for the subsequent
 129 determination of cortisol and prolactin with ELISA (DRG diagnostic, Germany) and caffeine with
 130 reverse-phase HPLC.¹⁷

131 All data were analysed using IBM SPSS statistics version 22.0. Normality of distribution was 132 determined using the Shapiro-Wilk test. Exercise performance, pre-exercise body mass, initial core 133 temperature, fasting plasma glucose, and estimated sweat rates were examined using a paired *t*-test. 134 Cohen's d effect size (ES) for differences in total work produced during the performance task was 135 determined ([mean 1 - mean 2]/pooled SD) and interpreted as trivial (0-0.19), small (0.2-0.49), 136 medium (0.5-0.79) or large (≥ 0.8) as described.¹⁸ Variables measured throughout each trial were 137 examined with a two-way (trial x time) repeated-measures ANOVA. The Greenhouse-Geisser 138 correction was applied where the assumption of sphericity had been violated. Where a significant 139 main effect or interaction was identified, Bonferroni adjusted paired t-tests for normally distributed 140 data or Bonferroni adjusted Wilcoxon Signed Rank tests for non-normally distributed data were used. 141 Data are presented as mean \pm SD throughout. Statistical significance was accepted at p<0.05.

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143 Results

Pre-exercise body mass (p=0.732), initial core temperature (p=0.279) and fasting plasma glucose
(p=0.454) were not different between trials, suggesting that participants began each trial in a similar
physiological state.

All eight participants completed both trials, no adverse effects were reported. There was a small increase (ES=0.22) in total work produced during the caffeine trial ($363.8 \pm 47.6 \text{ kJ}$) than placebo ($353.0 \pm 49.0 \text{ kJ}$; p=0.004). This represents a percentage increase in performance of $3.2 \pm 2.4\%$ (range: -0.4 to 7.7%; Figure 1). Post-study questionnaires revealed that three of the eight participants (37.5%) correctly identified the caffeine trial, thus blinding can be considered successful as these odds are less than what would be expected purely by chance. 153 Pre-exercise core temperature was similar between trials (p=0.718; Figure 2A). There was a main 154 effect of time during the initial 60 min of exercise (p < 0.05), but no main effect of trial (p = 0.188) or 155 trial x time interaction (p=0.112). There were main effects of time (p<0.05) and trial (p=0.006), as 156 well as an interaction effect (p=0.005) during the performance task. Higher values were recorded from 157 20 to 30 min during the caffeine trial compared with placebo (p<0.05; Figure 2A). Pre-exercise skin 158 temperature was similar between trials (p=0.429; Figure 2B). There was a main effect of time during 159 the initial 60 min of exercise (p<0.05), but no main effect of trial (p=0.577) or trial x time interaction 160 (p=0.116). Similarly, during the performance task there was a main effect of time (p<0.05), but no 161 main effect of trial (p=0.970) or interaction effect (p=0.311; Figure 2B).

Heart rate (Figure 2C), RPE (Figure 2D), and perceived thermal stress (Figure 2E) all increased
throughout the initial 60 min of exercise (all p<0.05). There was also a main effect of trial for RPE
(p=0.033), but there were no other trial (p>0.644) or interaction effects (p>0.253) for these variables.
During the performance task heart rate showed main effects of time (p<0.05) and trial (p=0.011), but
no interaction effect (p=0.904; Figure 2C).

167 Caffeine concentrations remained below the limit of quantification during the placebo trial and for the 168 baseline sample during the caffeine trial, increasing to 33.0 ± 5.7 , 35.3 ± 10.9 , and $32.6 \pm 8.1 \mu$ M at 169 60, 120 and 150 min post-capsule ingestion, respectively.

Serum cortisol and prolactin both showed main effects of time (p<0.05), but no main effects of trial (p>0.572) or interaction effects (p>0.148; Table 1). Similarly, plasma glucose and the percentage change to blood and plasma volumes all showed main effects of time (p<0.05), but no main effects of trial (p>0.056) or trial x time interactions (p>0.111) occurred (Table 1).

There were no main effects of time (p>0.363), trial (p>0.454) or interaction effects (p>0.410) for fat and carbohydrate oxidation and RER. Oxygen uptake showed a main effect of time (p=0.001), but no main effect of trial (p=0.361) or interaction effect (p=0.188). Over the entire 90 min of exercise, estimated sweat rates were higher in the caffeine trial (2.31 \pm 0.43 L) than placebo (2.20 \pm 0.37 L;

p=0.036). Accordingly, percentage body mass loss after exercise was greater during the caffeine trial
(2.30 ± 0.36) than placebo (2.16 ± 0.31; p=0.029).

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181 Discussion

This study investigated the performance and thermoregulatory effects of a 6 mg kg^{-1} caffeine dose 182 183 during prolonged exercise in the heat. This caffeine dose consistently improves endurance performance in temperate environmental conditions,² yet there are conflicting reports when exercise is 184 performed in the heat.^{5,3} In the study by Roelands et al. (2011),⁵ a 6 mg·kg⁻¹ caffeine dose 185 186 administered 60 min before exercise failed to enhance time-trial performance but increased core 187 temperature during exercise in 30° C. Conversely, Ganio et al $(2011)^{3}$ reported enhanced work 188 production during a 15 min cycle performance task with no difference in core temperature between trials when 3 mg kg^{-1} caffeine was ingested 60 min before and 45 min during exercise in 189 190 33°C. The results of the present study agree with the latter findings, as caffeine provided a small, but 191 significant ergogenic effect (Figure 1), with no difference in core or skin temperature between trials 192 (Figure 2A and B).

Several studies report no performance benefit in the heat after caffeine ingestion,^{5,6,7} attributing this 193 194 response to an elevation in core temperature during exercise.⁵ However, even large caffeine doses (9 $mg \cdot kg^{-1}$) result in only mild thermogenic effects,^{6,11} which is typically undetected by participants.¹¹ In 195 addition, five days of controlled caffeine intake (3 and 6 $mg \cdot kg^{-1}$) did not influence the core 196 197 temperature response during exercise in 37°C.¹⁹ Alternatively, some researchers suggest that a high environmental temperature might negate the efficacy of caffeine.⁶ These authors reported no 198 199 performance benefit in 40°C after ingestion of 9 mg·kg⁻¹ caffeine. The lower environmental 200 temperature and/or caffeine dose employed in the present study might account for these divergent 201 findings. Additionally, 21 km race time in hot and humid conditions was not influenced by caffeine 202 intakes of 5 or 9 mg kg^{-1,7} However, participants in this study became ~4% dehydrated during 203 exercise, thus it is unknown if caffeine would have enhanced performance if fluid-balance was

maintained. When hydration status is controlled across cool (12°C) and warm (33°C) environmental conditions, caffeine still improves endurance cycle performance.³ 205

206 The ergogenic effect of caffeine was attributed to changes in fat metabolism during exercise, resulting in a glycogen sparring effect.²⁰ However, there is compelling evidence caffeine enhances performance 207 through direct actions within the central nervous system.²¹ Caffeine increases synaptic dopamine 208 concentrations in exercising rats, although large doses (10-30 $\text{mg}\cdot\text{kg}^{-1}$) are required to induce this 209 210 response.²² Using positron emission topography, a moderate caffeine dose (300 mg) did not influence *in vivo* dopamine release in the human brain.²³ Attenuated prolactin concentrations would suggest an 211 212 increase in dopamine,²⁴ but similar values were observed across trials (Table 1). Alternatively, 213 caffeine influences key neuronal signaling proteins which mediate increases in physical activity and potentiates adenosine-dopamine receptor binding in striatum.^{25,26} A reduced perception of effort is a 214 215 common response to caffeine intake, which might account for approximately 29% of its ergogenic effect.²⁷ Participants in the present study reported lower RPE values during the initial hour of exercise 216 217 with caffeine (Figure 2D), which is likely mediated by a reduced activity of cortical premotor and 218 motor areas.²⁸

Previous reports demonstrated that 6 mg kg^{-1} caffeine enhanced sweat-electrolyte losses in 36°C,¹² 219 while 3 mg·kg⁻¹ augmented sweat rates during submaximal cycle exercise in 24° C.²⁹ In the present 220 221 study, higher sweat rates were observed during the caffeine trial than placebo over the entire 90 min 222 of exercise $(2.31 \pm 0.43 \text{ L vs}, 2.20 \pm 0.37 \text{ L}; p=0.036)$. This small difference likely reflects the higher 223 work rate during the performance task in the caffeine trial and the concomitant elevation in core 224 temperature (Figure 2A). During prolonged exercise at a fixed work-rate, caffeine did not adversely 225 influence fluid-balance, sweat rate or serum osmolality in cool (12°C) and warm (33°C) environmental conditions compared with placebo.³ Additionally, there were no differences in fluid, 226 electrolyte, or renal indices of hydration after 5 days of controlled caffeine intake (3 and 6 mg kg^{-1}) 227 228 versus placebo.³⁰

231 In conclusion, supplementation with 6 mg kg^{-1} caffeine 60 min before prolonged exercise in 30°C and 232 50% RH improved endurance cycle performance in non-heat acclimated participants, without any 233 measureable change to thermoregulation versus placebo. There appeared to be a developing trend for 234 core temperature during the initial 60 min of exercise (interaction effect, P=0.112), suggesting that a 235 longer period of fixed-intensity might enable caffeine to elicit a greater increase in core temperature 236 than placebo under these environmental conditions. However, the difference at the end of the preload 237 was small (0.03°C, Figure 2A), which was also undetected by participants (Figure 4B). These data, together with previous reports,³ suggest that moderate caffeine doses which typically improve 238 endurance performance in temperate environmental conditions,² also benefit endurance cycle 239 240 performance in the heat.

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243 Practical applications

- Moderate caffeine doses appear to be ergogenic to endurance cycle performance for recreationally active, non-heat acclimated, fasted individuals competing in the heat.
- Supplementation with 6 mg·kg⁻¹ caffeine does not significantly influence core or skin
 temperature up to 60 min of cycle exercise at a fixed work-rate.
- During prolonged fixed-intensity exercise in the heat, moderate caffeine intakes attenuate
 perceived exertion compared with placebo.
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	Treatment	-60	0	60	90
Cortisol (nM)	placebo	449.1 ± 127.6	483.7 ± 115.3	519.4 ± 105.5	701.1 ± 130.4*
	caffeine	450.4 ± 140.7	458.4 ± 137.6	524.3 ± 135.0	$734.8 \pm 142.6^*$
Prolactin (mIU· L^{-1})	placebo	182.7 ± 73.1	152.8 ± 30.6	$405.8 \pm 61.8 *$	$534.2 \pm 105.6^*$
	caffeine	160.7 ± 38.9	146.6 ± 36.7	380.3 ± 71.1*	$529.8 \pm 126.7*$
Glucose (mmol \cdot mL ⁻¹)	placebo	4.33 ± 0.35	$4.23\pm0.39^*$	$4.88\pm0.36^{\ast}$	$6.06\pm0.17*$
	caffeine	4.34 ± 0.38	$4.25\pm0.38*$	$4.88\pm0.37*$	$6.17\pm0.16*$
Blood volume (%)	placebo	0.0 ± 0.0	0.19 ± 0.56	$-1.67 \pm 0.99*$	$-4.87 \pm 2.45*$
	caffeine	0.0 ± 0.0	0.13 ± 0.67	$-2.02\pm0.95*$	$-5.49 \pm 1.95*$
Plasma volume (%)	placebo	0.0 ± 0.0	0.20 ± 1.42	$-3.29 \pm 1.83*$	$-8.25 \pm 2.67*$
	caffeine	0.0 ± 0.0	0.02 ± 1.34	$-3.88 \pm 1.69*$	$-9.20 \pm 2.67*$

Table 1 Circulating concentrations of cortisol, prolactin and glucose and the percentage change to blood and plasma volumes during the experimental trials.

Values are mean \pm SD. *significant difference (*P*<0.05) from -60.

338	Figure Captions
339	Figure 1: Total kJ produced (bars) and individual responses (lines) during the experimental trials.
340	Figure 2: Core temperature (a), skin temperature (b), heart rate (c), RPE (d), and perceived thermal
341	stress (e) during the experimental trials. *denotes a significant difference (P <0.05) between trials.
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