1	Development of a high throughput UHPLC-MS/MS (SRM) method for the quantitation of
2	endogenous glucagon from human plasma
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13 Abstract

Background: Published LC-MS/MS methods are not sensitive enough to quantify 14 endogenous levels of glucagon. Results: A UHPLC-MS/MS (SRM) method for the 15 quantitation of endogenous levels glucagon was successfully developed and qualified. A 16 17 novel 2D extraction procedure was used to reduce matrix suppression, background noise 18 and interferences. Glucagon levels in samples from healthy volunteers were found to agree 19 with RIA derived literature values. Bland-Altman analysis showed a concentration-dependent positive bias of the LC/MS-MS assay versus an RIA. Both assays produced similar 20 21 pharmacokinetic profiles, both of which were feasible considering the nature of the study. 22 **Conclusions:** Our method is the first peer reviewed LC-MS/MS method for the quantitation 23 of endogenous levels of glucagon, and offers a viable alternative to RIA based approaches.

24 Introduction

Glucagon is a 29 amino acid peptide which is one of multiple hormones that modulates glucose production or utilisation to regulate blood glucose levels. It is also a biomarker for pathologies such as diabetes, pancreatic cancer or certain neuroendocrine tumours [1]. It is known to be degraded by peptidases such as dipeptidyl peptidase IV [2][3] and consequently blood samples are typically collected in tubes containing protease inhibitors.

Endogenous glucagon levels in healthy patients are reported between 25-80 pg/mL, which may be raised by about 10 pg/mL in pancreatic cancer patients, and can reach up to 160

32 pg/mL in diabetic patients [1]. Following treatments using glucagon infusion levels can reach 33 ~906 pg/mL [4]. Glucagon concentrations are routinely measured using radioimmunoassay 34 (RIA) based approaches, however these assays can be time consuming to perform (up to 3 days) and the kits hasuppleve limited lifetimes (e.g. 2 months). In addition they can suffer 35 36 from poor precision and accuracy, as there is potential for cross reactivity with similar 37 compounds or inactive degradation fragments leading to inaccurate quantitation [5][6][7]. For example, whilst a comparison between two glucagon immunoassays resulted in a high 38 correlation (R=0.97), the concentrations between individual samples differed by 2-4 fold [8]. 39 The radioactive nature of RIAs also necessitates additional health and safety precautions 40 during set-up, and specialised disposal of radioisotopes. 41

A LC-MS/MS assay would have the potential to circumvent such problems [9], and may offer additional benefits such as a reduced sample volume and a higher throughout. However, published LC-MS/MS methods [10][11] are not sensitive enough to detect endogenous glucagon levels. As described in a recent review paper [12] the lowest reported LLOQ in the peer reviewed literature is 250 pg/mL [11], although assays of 100 pg/mL [13] and 10 pg/mL [14] have been described at recent conferences.

Furthermore, as glucagon is produced endogenously, this presents additional experimental challenges as an authentic analyte free matrix cannot be obtained to construct calibration standards. Either a standard addition, surrogate analyte, or a surrogate matrix approach must therefore be used [15][16].

In the standard addition based approach, analyte is spiked on top of the authentic matrix to 52 53 create a calibration line, which is extrapolated to measure concentrations below the matrix's 54 endogenous value. However the USA FDA Guidance for Bioanalytical Method Validation [17] actively discourages the extrapolation of calibration curves beyond their range. The 55 surrogate analyte based approach uses an analogue to the analyte in place of the analyte 56 57 itself in calibration samples. As this will have a Selected Reaction Monitoring (SRM) transition unique from the authentic analyte these can be prepared in authentic biological 58 matrix [15]. However, this approach requires the relationship between the authentic and 59 60 surrogate analyte to be thoroughly investigated, the approach is not commonly used, and is not considered in the FDA [17] or EMA guidelines [18]. Alternatively, in the surrogate matrix 61 approach, calibration lines are constructed by spiking analyte into a surrogate matrix. QCs 62 can be prepared in actual sample matrix, and the accuracy calculated to demonstrate the 63 64 absence of a matrix effect. Surrogate matrices may be the authentic matrix stripped of analyte (e.g. by charcoal [16] or immuno-affinity methods [19]) or an alternative matrix (e.g. 65 protein buffers, dialysed serum [20]). Although not ideal, the EMA Guideline on bioanalytical 66

67 method validation [18] concedes that such an approach may be necessary for endogenous68 analyte quantitation, and therefore this is the approach we adopted.

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This article outlines the first peer reviewed high throughput UHPLC-MS/MS (SRM) based 70 71 approach capable of quantifying endogenous levels of glucagon from human plasma. The 72 high throughput nature of the assay is due to its ability to relatively quickly analyse large 73 numbers of samples. This is enabled by an extraction procedure that is relatively quick, 74 simple, and cheap in comparison to many immunochemistry based approaches [21], and which can analyse large number of samples (~60) within an analytical batch. In addition, 75 76 UHPLC is used to minimise sample run times [22].A calibration range of 25-1000 pg/mL is 77 qualified, making the assay suitable for measuring both endogenous levels of glucagon and elevated levels following treatments. Consequently the assay can be used for both 78 79 biomarker (PD, Pharacodymaic) and Pharmacokinetic (PK) analysis. However, the 80 calibration range could be easily truncated if only endogenous level analysis (PD) is required. In addition we present the first comparison of glucagon concentrations determined 81 by an LC-MS/MS assay and a traditional RIA method using a large number of clinical 82 83 samples derived from a physiological study of glucagon's actions in the body (n=88).

The assay's performance has been evaluated using experiments described in the latest EMA [18] and FDA [17] guidance and in accordance to the principles of GCP [23].

86 Key Terms

Radioimmunoassay (RIA) - A highly sensitive technique used to measure concentrations of
antigens (e.g. peptides) by use of antibodies. Pre-bound radioactively labelled antigens are
displaced by non-radioactive antigens from a sample. Monitoring the change in radioactivity
allows quantitation.

UHPLC-MS/MS (SRM) – An analytical methodology that combines the use of ultra-high
 performance liquid chromatographic (UHPLC) separations with sensitive mass spectrometer
 selected reaction monitoring (SRM). Traditionally used for small molecule quantitation, but
 increasingly used for the quantitation of biological molecules (e.g. peptides).

95 **Experimental**

96 Chemicals and materials

Certified human glucagon (HSQGTFTSDYSKYLDSRRAQDFVQWLMNT) was obtained from 97 EDQM (Strasbourg, France) and the analog internal standard (IS) (des-thr⁷-glucagon) 98 (HSQGTFSDYS KYLDSRRAQDFVQWLMNT) from Bachem (Bubendorf, Switzerland). This 99 100 internal standard has given suitable performance in LC-MS/MS glucagon assays [13] [14], and it avoids the expense of synthesising a heavy labelled internal standard. Water was 101 produced by a Triple Red water purifier (Buckinghamshire, U.K.). BD glass collection tubes 102 103 (5 mL) containing K3 EDTA anticoagulant and 250 Kallikrein Inhibitor Units (KIU) of Aprotinin 104 were obtained from BD (Oxford, UK). Following collection, tubes were placed on ice, then centrifuged at 2300 x g for 10 minutes to obtain plasma, which was stored at -80°C when not 105 in use. All chemicals and solvents were HPLC or analytical reagent grade and purchased 106 from commercial vendors. 107

108 Instrumentation: LC-MS/MS

109 The LC-MS/MS system consisted of a Waters Acquity UPLC system (Waters Corporation, 110 Massachusetts, USA) coupled to an AB SCIEX 5500 QTRAP (Applied Biosystems / MDS 111 SCIEX, Ontario, Canada) with an electrospray ion source. Data acquisition and processing were performed using Analyst 1.5.2 (Applied Biosystems/ MDS SCIEX). The majority of the 112 chromatograms were integrated using fully automated settings. A minority had their 113 integration settings (peak selection, peak splitting factor, noise percentage) altered to ensure 114 115 appropriate and consistent integration. No samples were integrated using manual integration 116 mode.

Glucagon was separated on a Waters UPLC BEH C18 1.7 μm (2.1 x 100 mm) column maintained at 60 °C. The mobile phase consisted of (A) 0.2% formic acid (FA) in acetonitrile (MeCN) and (B) 0.2% FA (aq). The gradient for separation was 22–32% A over 2 minutes. The column was then cleaned with 95% A for approximately 1 minute then 22% A for approximately 4 minutes. The flow rate was 0.8 mL/min and the total run time 7.1 minutes.

- 122 The mass spectrometer was operated in positive ion mode with an electrospray voltage of
- 123 5500 V, an entrance potential of 10 V, and a declustering potential of 70 V. The source
- temperature was 600°C, the curtain gas 40 Psi, and the desolvation gases, GS1 and GS2,
- were set at 60 psi and 40 psi respectively. Quantitation was performed using the selected
- reaction monitoring (SRM) transitions 697.5→693.8 and 677.2→673.8 for glucagon and the
- 127 internal standard respectively. The N₂ collision gas was set to medium and both transitions

used collision energies of 15 V and collision exit cell potentials of 13 V. The Q1 and Q3quadruples were both operated at unit resolution.

130 Preparation of stock, standards and QC MED and HIGH plasma samples

1 mg/mL stock solutions of glucagon and glucagon internal standard were prepared in 131 132 borosilicate vials using surrogate matrix [Methanol (MeOH): H₂O: Formic acid (FA): Bovine serum albumin (BSA), (20:80:0.1:0.1, v/v/v/w)]. Glucagon working solutions were prepared 133 134 by dilution with this solvent to create nine calibration standard spiking solutions (125, 225, 375, 500, 1000, 2000, 3000, 4500, 5000 pg/mL), and four quality control spiking solutions 135 (125, 250, 10000, 75000 pg/mL). Additional calibration standard and QC spiking solutions at 136 75 and 50 pg/mL were also prepared for the assessment of assay performance at the 10 137 138 and 15 pg/mL levels. Internal standard working solution (ISWS) was similarly prepared at 20 139 ng/mL. The stock and working solutions were prepared to a volume of 10 mL and were stored at -20 °C when not in use. QC MED and QC HIGH plasma samples were prepared by 140 141 diluting the appropriate spiking solution 100 fold with plasma to create samples at 100 and 750 pg/mL respectively. These were either used immediately, or stored at -80 °C prior to 142 143 use.

144 Extraction method development & surrogate matrix quantitation

Additional details of the extraction method development experiments described are providedin the supplementary information. In summary:

147 *Protein precipitation optimisation* The following precipitation solvents were investigated; 148 Acetonitrile (MeCN), MeCN:H₂O (50:50,v/v), and MeCN:H₂O (75:25, v/v). Each solvent was 149 investigated with and without 0.1% formic acid. In addition MeCN: H₂O: NH₃ (75:25:0.1, 150 v/v/v) was investigated.

Solid phase extraction optimisation Extraction efficiencies of the MAX, MCX, and WCX phases from a 96 well Oasis sorbent selection plate (10 mg) (Waters Corporation) and from a size exclusion hydrophobic (SEH) Bond Elut Plexa 96 round-well (30 mg) plate (Agilent Technologies, California, USA) were evaluated. The Oasis extraction used generic conditions for peptide analysis based on those provided by the manufacturer, whilst we used our in house generic conditions for the Plexa evaluation.

Surrogate matrix quantitation- The calibration standard spiking solutions described above were diluted 5 fold with surrogate matrix. 400 μ L aliquots were then extracted according to the procedure below. The matrices investigated were H₂O, MeOH: H₂O:FA:BSA (20:80:0.1:0.1, v/v/v/w), 6% BSA (aq) and 6% rat plasma (aq).

161 <u>Extraction method for validation</u>

Plasma sample (aprotinin stabilised, K3 EDTA) (400 µL) was placed into a 5 mL 162 polypropylene tube and 20 µL of ISWS was added to all non-blank samples. The samples 163 were briefly vortex mixed, precipitated using 3.2 mL of MeCN:H₂O:NH₃ (72:25:0.1,v/v/v), 164 vortex mixed again, and then centrifuged for 10 minutes at 2300 x g. The supernatant was 165 transferred to a new tube and evaporated to dryness overnight under vacuum. Samples 166 167 were reconstituted in 800 µL 2% NH₃ (aq) and then vortex mixed. A Bond Elut Plexa 96 round-well solid phase extraction (SPE) plate (30 mg) was conditioned using 1 mL MeOH, 168 then equilibrated with 1 mL H₂0. The samples were loaded, washed with 1 mL 5% MeOH 169 170 (aq), eluted with 2 x 225 μ L MeCN:H₂O:FA (75:25:0.1, v/v/v), and then evaporated under nitrogen at 40°C, before being reconstituted in 200 µL 0.2% FA (aq). 171

Calibration standards, QC LLOQs and QC LOWs were then prepared freshly for each batch by spiking 80 μ L of the appropriate spiking solution into the plate, along with 20 μ L of ISWS and 100 μ L surrogate matrix. Taking into account the 2-fold concentration experienced by plasma samples (400 μ L of plasma sample is reconstituted into 200 μ L of solvent) this gives final calibration levels of 25, 45, 75, 100, 200, 400, 600, 900, and 1000 pg/mL, and final QC levels of 25 and 50 pg/mL. The plate was centrifuged for 10 minutes at 2300 x g, and 50 μ L of sample injected on to the LC-MS/MS system for analysis.

179 Validation Experiments

180 The validation experiments chosen were based on those described in the latest EMA guidance [18]. Calibration standards were analysed in duplicate with each batch. Data was 181 imported into Watson LIMS 7.2 (Thermo Fisher Scientific Inc, Massachusetts, USA) and 182 linear regression with $1/x^2$ weighting was applied to the peak area ratios-concentration plot 183 for the construction of calibration lines. The precision and accuracy of the method was 184 determined by analysis of replicate (n=6) QC samples at four different concentrations (25, 185 50, 100, and 750 pg/mL), and was assessed within a batch (intra-batch, n = 6 replicates) 186 and between batches (inter-batch, 3 batches). The ability to dilute was assessed by diluting 187 an over range dilution sample (7500 pg/mL) 10-fold with blank plasma. Carryover effects 188 were evaluated by injection of blank samples immediately after injection of the highest point 189 190 in the calibration range.

Selectivity was assessed by qualitatively examining chromatograms from six independent control matrix samples for the presence of potentially interfering peaks. It was not feasible to monitor multiple charge states or SRM transitions to further ensure selectivity as only the selected transition demonstrated sufficient sensitivity at the endogenous concentration .The 195 modification of analyte and internal standard responses to the presence of matrix was also 196 determined in such samples. These were extracted and post spiked at either the medium or 197 high level, and compared to the mean response from samples in surrogate matrix (minimum n=6). The effect of haemolysed (3%) plasma and hyperlipidaemic plasma (~4 mmol/L of 198 199 triglycerides) upon on quantitation was investigated by preparing QCs in these matrices at the medium and high level (n=6 replicates). Recovery of the analyte was evaluated by 200 comparing the analytical results for extracted analyte samples at the medium and high level 201 with unextracted analyte samples that represent 100% recovery. 202

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204 The stability of the glucagon in aprotinin stabilised human plasma was evaluated at the medium and high concentrations in replicate (n=6). Stability was assessed after 205 6 hr 20 min on ice (4 °C), after storage for 11 and 75 days at -20°C, and for 7, 11, 51, and 64 206 days at -80°C. Similarly stability was assessed after 4 freeze-thaw cycles from -20 °C to 4 °C 207 and also 4 freeze cycles from -80 °C to 4 °C. Stability was similarly assessed in whole 208 blood following storage on ice for 1 hour. The ability to re-inject sample extracts at medium 209 210 and high concentrations was assessed after storage at +4°C for 6 days. The stability of the 211 stock solution was assessed after storage at -20°C for 66 days and that of LLOQ and ULOQ working solutions after 163 days at -20°C. 212

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All results are quoted from batches where the standards and QCs passed our prospectively 214 215 defined acceptance criteria, which were based on the EMA and FDA guidelines. These 216 required that at least 75% of standards in each batch had back calculated accuracy within 217 15% (20% at the LLOQ) of the nominal concentration, with standards outside these criteria 218 excluded from the regression. QCs in precision and accuracy batches needed to have mean 219 intra-batch accuracy within 20% of the nominal concentration, and intra-batch precision that 220 did not exceed 20%. In other batches at least 2/3 of the individual QCs had accuracy within 20% of the nominal concentration, with at least one QC passing criteria at each level. 221 Although the guidelines suggest a 15% criteria (20% at the LLOQ) should be applied to QC 222 performance, they state it can be widened prospectively in special cases. We felt it was 223 justified to raise the QC acceptance criteria to 20% (CV and RE) due to the surrogate matrix 224 225 nature of the assay. The 20% (RE) acceptance criteria was also applied to plasma, blood and extract stability experiments, as well as to the assessment of the matrix effect in 226 227 different individuals (matrix factor ratio) and of the effect of haemolysed or hyperlipidaemic 228 plasma.

230 Collection of samples from volunteers to assess endogenous glucagon concentrations

Plasma was collected from 12 healthy males and 12 healthy females using glass collection tubes containing K3 EDTA and aprotinin, as described above. Glucagon levels were determined using the qualified LC-MS/MS method. Plasma was collected at the start of the working day and volunteers were not asked to change their usual eating regime.

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236 <u>Collection of physiological study samples</u>

Physiological study samples (n=117) were collected by Imperial College London. The samples originated from 7 different individuals who were each infused with a glucagon solution at either 16 or 20 pmol/kg/min for 12 hours subcutaneously. Blood samples at various time points were collected in 5 mL lithium heparin collection tubes containing 1000 KIU of Aprotinin, spun down in a cold centrifuge within 5 to 10 mins of collection, and then stored at -20 °C.

243 Analysis of physiological study samples

244 A selection of the physiological study samples (n=100) were analysed by LGC using the LC-245 MS/MS method described above. Additional QCs prepared in aprotinin stabilised plasma 246 with lithium heparin anticoagulant were analysed to ensure assay performance in the sample 247 matrix. 38 of the study samples were analysed over the calibration range 25-1000 pg/mL, whilst the remainder were analysed over the calibration range 10-1000 pg/mL. For these 248 samples additional calibration points and QCs were included at the 10 and 15 pg/mL levels 249 to evaluate assay performance. Samples (n=105) were also analysed by Imperial College 250 using their established radioimmunoassay method over the calibration range 5 -1000 pg/mL, 251 which is directed against the C-terminal region of glucagon [24][25]. Samples were analysed 252 253 upon their first freeze-thaw.

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255 **Results and discussion**

256 <u>Method development</u>

Analysis of endogenous levels of glucagon by LC-MS/MS poses a significant technical challenge. Not only are the low endogenous concentrations difficult to measure, an endogenous analyte quantitation strategy must be used, and stability issues must be addressed. Extensive assay optimisation was therefore performed to obtain the low 25 pg/mL LLOQ. A QTRAP mass spectrometer was used in SRM mode, and parameters were optimised. UHPLC was chosen for chromatographic separation because it results in greater efficiencies [26] and/or shorter runtimes [27] than the HPLC commonly used for such separations. The greater efficiency can lead to lower matrix effects due to improved separation from matrix suppressants [28] and to higher sensitivities due to sharper peak shapes [22].

The [M+5H⁺]⁵⁺ ion was found to give the highest intensity during MS method development 267 (Figure 1a), although other studies have found the $[M+4H^{\dagger}]^{+4}$ to be optimal [11][10]. MS2 268 experiments showed that showed that the ionic species generated by ESI of glucagon were 269 270 able to absorb substantial collision energy without undergoing major fragmentations, as demonstrated previously [10] (Figure 1b). As also reported [13][12] an SRM transition 271 corresponding to the loss of ammonia $([M+5H^+]^{+5}/[M+5H^+-NH_3]^{+5})$ was found to be optimal. 272 Although this is not a particularly specific transition, the intensity was significantly greater 273 274 than other transitions and was therefore chosen; selectivity was fully investigated during the 275 validation. Resolution settings for Q1 and Q3 were optimal at unit-unit, rather than high-high as reported by others [11]. The optimal ion pairs of the transitions were 697.5/693.8, which 276 corresponds to a 18.5 Da loss. The small difference between our optimal pair, and that 277 previously reported (697.6/694.2) [13][12] is attributed to the resolution limitations of the 278 279 mass spectrometer used [29], as is the difference between the theoretical mass loss of ammonia (17 Da) and that observed (18.5 Da). 280





286 A relatively large 400 µL plasma volume was chosen for extraction, to enable concentration of extracts to achieve higher sensitivities. The volume does, however, compare well to the 287 2 x 200 µL typically required for RIA methods. Initially, protein precipitation based extraction 288 techniques were investigated, as they are quick and cheap, and are amenable to automation 289 and high throughput analysis. Additionally, pure acetonitrile precipitation has been previously 290 selected for glucagon extractions [10] [11]. We have previously demonstrated that diluting 291 292 acetonitrile with various proportions of water can lead to more specific extractions [30], as 293 can the addition of acids or bases to due to the differences between the isoelectric points 294 (pl) of the proteins or peptides of interest and the background proteins [31]. Precipitation 295 solvents containing various proportions of acetonitrile, water, acid and base were investigated, with MeCN:H₂O:NH₃ (75:25:0.1,v/v/v) giving the best response. However, in all 296 297 cases background noise and interferences were relatively high, as was matrix suppression.

It was therefore decided to investigate solid phase extraction (SPE) based approaches, as
these should lead to cleaner samples with reduced background noise and interferences.
These studies are described in the supplementary information.

Combining protein precipitation with size exclusion hydrophobic (SEH) SPE was found to reduce the on column matrix effects, whilst providing adequate recovery. To our knowledge this is the first time protein precipitation has been combined with SEH SPE for quantitative peptide analysis, although protein precipitation has been combined with other SPE phases for this purpose[32]. Due to the satisfactory performance of this extraction methodology, alternatives such as immunoaffinity enrichment were not investigated [33].

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Various UHPLC gradients were investigated to further reduce matrix build-up on the column
and it was found that a 4 minute flush at the starting conditions gave the best performance.
This gradient combined with the 2D extraction methodology significantly increased the
robustness of the assay.

Glucagon is known to be degraded by the blood enzymes and consequently sample stabilisation is required [2]. The enzyme inhibitor aprotinin was used to reduce degradation and samples were extracted on ice. As there have been reports of enzyme inhibitors interfering with peptide quantitation [34] assay performance was closely monitored during the validation for any such issues.

318 Surrogate matrix quantitation

Several mixtures were screened for their suitability as surrogate matrices. A dilute buffer matrix was evaluated, as such matrices have been shown to be suitable for some assays. [35] [19]. A buffer solution containing a relatively high percentage of BSA was also evaluated to minimise any non-specific analyte binding that may occur. In addition a diluted rat plasma matrix was chosen to investigate whether biological matricies improved assay performance.

The dilute buffer matrix, Water and MeOH: H₂O: FA: BSA (20:80:0.1:0.1, v/v/v/w), resulted 324 325 in low signals following extraction, which is attributed to non-specific binding of glucagon to plastic consumables used during the extraction procedure, as has been described previously 326 327 [10]. The 6% BSA (aq) matrix, selected to minimise non-specific binding in solvent led to a very high background noise, whilst the 6% rat plasma (aq) led to poor calibration line 328 accuracy against prepared concentrations. It was therefore decided to use MeOH: H₂O: FA: 329 BSA (20:80:0.1:0.1, v/v/v/w) as the surrogate matrix, but not to extract samples prepared in 330 331 this, in order to prevent large losses by nonspecific binding. Whilst plasma samples require 332 extraction, their high protein content prevents binding and the use of an internal standard was expected to take into account recovery differences between the surrogate matrix 333 calibrants (which will necessarily have recovery of 100% for the analyte and IS) and the 334 extracted plasma samples. The internal standard was also expected to take in to account the 335 336 differences in matrix effect between the two matrices, as well as any small losses that 337 occurred due to non-specific binding that occurred in the injection plate. Whilst the buffer 338 solution selected as the surrogate matrix is of quite a different nature to the plasma samples, 339 assays for small [35] and large molecules [19] have been successfully validated using such an approach, and the validation experiments described later in this manuscript fully assess 340 It was decided to proceed with this approach rather than 341 the assay's performance. investigate alternative matrices such as charcoal stripped plasma. It has been suggested 342 343 that when a surrogate matrix approach is used that aliguots of the authentic matrix containing the endogenous analyte should be used as QC MED samples and QC HIGH 344 samples should be prepared by spiking analyte in addition to this endogenous level [35].QC 345 LOW samples are then made by diluting authentic matrix with surrogate matrix, and 346 347 QC LLOQ samples prepared in pure surrogate matrix. Unfortunately this strategy cannot be 348 used for glucagon quantitation due to its relatively low endogenous levels (~LLOQ to ~3x 349 LLOQ). It was therefore decided to construct QC LOW using surrogate matrix, and QC MED 350 and QC HIGH samples were prepared by spiking analyte on top of the endogenous level in 351 authentic matrix. Due to the low endogenous levels it was decided to limit the LOW level to 2 352 x LLOQ (rather than the 3x LLOQ typically used [18].

353 Human plasma (K3 EDTA) from a commercial supplier was analysed using the assay to 354 determine its suitability as an authentic matrix. As shown in Supplemental Figure 4 such 355 plasma has a significantly raised background compared to plasma collected from volunteers in house. This may be a result of the lack of stabiliser upon collection, the age of the plasma 356 and/or storage conditions. The raised background makes it unsuitable for the construction of 357 QC samples, and therefore it was decided to use plasma collected in house as the integrity 358 of these samples could be ensured. Similarly, sample collection and storage regimes for 359 any clinical samples should be carefully controlled to ensure their integrity. 360

361 Validation

The precision and accuracy of the method was determined by analysis of replicate (n=6) QC 362 363 samples at four different concentrations (25, 50, 100 and 750 pg/mL). Precision and accuracy was assessed within a batch (intra-batch, n = 6 replicates) and between batches 364 (inter-batch, 3 batches). The intra- and inter-assay precision did not exceed 20%, nor did the 365 366 intra- and inter-assay accuracy demonstrating the method was performing robustly 367 (Supplemental Table 1). No carryover after high calibration standards was observed and no 368 potentially interfering peaks were observed during the selectivity assessment. The 10-fold dilution of an over range QC sample (7500 pg/mL) with control plasma was used to 369 370 demonstrate the absence of dilution effects (Supplemental Table 2).

The analogue Internal standard (IS) compensated for differences in suppression observed by the analyte in different matrices, with mean matrix factor (MF) ratios being 1.08 and 1.05 at the medium and high level; a perfect correction would have a ratio of 1 (Supplemental Table 3).

Recovery was assessed across three different batches with a minimum of 3 replicates at 377 each level. In order to investigate whether the nature of the matrix affected recovery it was 378 assessed from; samples where the analyte was spiked into control matrix then immediately 379 380 extracted, samples where the analyte was spiked into 3 freshly acquired matrix pools then immediately extracted, and finally from samples where the analyte was spiked into matrix 381 382 then stored for a week at -80 °C before extraction (Supplemental Table 4). No significant 383 difference between these experiments was observed, which gave an average analyte 384 recovery of 51.2%

386 Acceptable sensitivity is usually demonstrated by assessing whether the analyte response at 387 the LLOQ level is at least 5 times [18] the average response due to background noise 388 (Figure 2), which was the case for all accepted batches. It is then assumed that an unknown sample at the LLOQ concentration would also have a similarly acceptable response. 389 390 However, this will not necessarily be the case for surrogate matrix assays, due to differences 391 in the recovery and matrix factor between the surrogate and authentic matrices. By taking into account the mean analyte recovery (51.2%) and mean matrix factor (0.746) for our 392 assay, it was calculated that signal-to-noise (S/N) at the LLOQ should be at least 13.1 to 393 ensure that S/N for an authentic sample at the LLOQ level ≥5 (assuming an unchanged 394 background level). This criterion was not formally part of our validation, but it was met by all 395 396 accepted batches.





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Figure 2- Representative LLOQ for glucagon in plasma (25 pg/mL) surrogate matrix chromatogram demonstrating a signal-to-noise of ≥ 13.1

401 Although we used Aprotinin, a degree of glucagon instability within human plasma was 402 apparent and most experiments gave results outside the acceptance criteria of $\pm 20\%$ of the 403 nominal concentration (Table 1). Even if 0 hr concentrations were used, to take into account any assay bias or preparation differences, many results remain outside $\pm 20\%$ of this 404 concentration. Glucagon plasma samples were found to be within 23.7% of their nominal 405 406 concentrations following storage at the extraction temperature (+4°C) for 6 hours 20 minutes, and within 21.4% of their 0 hr concentration following storage for 75 days at -20°C, 407 or within 20.2% following storage for 51 days at -80°C. Greater instability was observed 408 following multiple freeze-thaw cycles, and these should therefore be minimised during 409 analysis. The accuracy of the method is therefore limited by the sub-optimal sample 410 411 stabilisation procedure. The effect of such pre-analytical parameters has been described by 412 others [36], and future assay development should include an evaluation of these. For 413 example, stability would likely be improved if specific DPP-IV inhibitors were used [37], 414 rather than the broad serine protease inhibitor Aprotinin.

As stability in Human K3 EDTA plasma with Aprotinin stabilisation did not pass our acceptance criteria, the method is described as qualified, rather than validated. However, the instability was moderate, and the data generated is likely to "fit for purpose" for many applications.

420

421 Key Terms

Validated assay –An assay where experiments based on those described in the USA FDA
 Guidance for Industry: Bioanalytical Method Validation (2001) and those described in the
 EMA Guideline on Bioanalytical Method Validation (2012) meet their prospectively defined
 acceptance criteria.

Qualified assay – An assay where not all of the validation experiments described in the
guidance have been assessed or have passed their prospectively defined acceptance
criteria. However the assay may still be considered "fit-for-purpose".

Fit- for-purpose assay- An assay where its performance characteristics have been assessed
and are reliable for the intended application. For example, a biomarker assay which is used
to assess a sole pharmacodynamic end point requires better performance characteristics
than an assay used as part of a panel of measurements.

	Table 1-	Glucagon stabilit	y data; Freezer and,	extraction tem	perature stability	of glucag	jon in p	olasma
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Nominal			Stability of	f Glucagon	in Aprotini	n stabilise	ed human	plasma (K3	BEDTA)	
Concentration		+4 °C		- 20 °C				-80 °C		
		6 hr 20 min	4 F/T	11days	75days	4 F/T	7days	11days	51Days	64days
MED	Mean Measured Conc. (pg/mL)	76.9	54.8	83.6	81.8	75.0	89	-	81.4	71.4
(100 pg/mL)	SD	4.23	6.48	6.75	5.35	5.23	5.16	-	8.97	4.16
(%CV	5.5	11.8	8.1	6.5	7.0	5.8	-	11	5.8
	% Stability (c.f. nominal)	76.9	54.8	83.6	81.8	75.0	89.0	-	81.4	71.4
	% Stability (c.f. 0hr)	-	51.6	85.5	83.7	70.6	91.0	-	81.7	71.7
HIGH	Mean Measured Conc. (pg/mL)	572	332	581	526	464	530	615	533	445
(750 pg/mL)	SD	9.50	25.3	21.9	52.8	57.7	11.9	32.7	46	30.6
	%CV	1.7	7.6	3.8	10	12.4	2.2	5.3	8.6	6.9
	% Stability (c.f. nominal)	76.3	44.3	77.5	70.1	61.9	70.7	82.0	71.1	59.3
	% Stability (c.f. 0hr)	85.6	41.5	86.8	78.6	58.0	79.2	91.9	79.8	66.7

436 SD Standard deviation CV Coefficient of variation - No data available

437 % Stability (c.f. nominal) = 100 * mean measured concentration / nominal concentration

438 % Stability (c.f. 0 hr) = 100 * mean measured concentration / mean measured 0hr concentration

439 Statistics are of n=6 replicates, expect for 64 days (-80°C), which have n=4 and n=5 replicates at the MED and HIGH level respectively.

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- The ability to re-inject extracts was demonstrated after storage at +4°C for 6 days
- 441 (Supplemental Table 5). The stability of stock and working solutions of glucagon, which were
- 442 stored at -20 °C when not in use, was demonstrated for 67 and 163 days respectively
- 443 (Supplemental Table 6).
- The stability of glucagon in Aprotinin stabilised whole blood following storage on ice for 1 hour was found to be within acceptance criteria (Supplemental Table 7).
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- Haemolysed samples (plasma spiked with 3% whole blood) contained a large neighbouring peak, and did not pass acceptance criteria, demonstrating haemolysed samples cannot be accurately quantified using this method (Supplemental Figure 5). The presence of hyperlipidaemic plasma did not significantly affect the quantitation of glucagon (Supplemental Table 8).
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454 <u>Using the qualified LC-MS/MS method to assess endogenous glucagon concentrations from</u> 455 <u>volunteers</u>

Plasma was collected from 12 healthy males and 12 healthy females and glucagon levels determined using the qualified LC-MS/MS method. As shown in Table 2 levels agreed well with the 25-80 pg/mL range determined by RIA [1]. Chromatograms from samples which gave glucagon concentrations above the LLOQ showed good signal to noise ratios (Figure 3). Some samples which gave glucagon concentrations below the LLOQ showed integratable peaks (Figure 3) and their approximate concentrations were determined by extrapolation (Table 2)

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Table 2- Glucagon concentrations from healthy volunteers.

Male Volunteer ID	Measured glucagon concentration (pg/mL)	Female Volunteer ID	Measured glucagon concentration (pg/mL)
M1	34.2	F1	BLQ (10.4)
M2	27.4	F2	BLQ (16.5)
M3	BLQ (16.0)	F3	BLQ (12.1)
M4	31.2	F4	41.6
M5	50.2	F5	BLQ (17.7)
M6	63.0	F6	44.4
M7	BLQ (21.3)	F7	29.6
M8	53.7	F8	59.5
M9	40.4	F9	31.7
M10	39.4	F10	BLQ
M11	BLQ (20.0)	F11	BLQ
M12	153	F12	BLQ

464 BLQ – Below limit of quantitation (25 pg/mL). Extrapolated values are in parenthesis. No integratable peaks were observed for

F10, F11, F12. No haemolysis was observed in the samples.



468 Figure 3 Chromatograms showing endogenous levels of glucagon in plasma samples from healthy
 469 volunteers.M3 (a),M8 (b), F8 (c), and F9 (d)

The majority of samples (58%) gave glucagon concentrations above the 25 pg/mL gualified 471 472 LLOQ, demonstrating the assay's utility for endogenous level analysis. However as glucagon concentrations in some individual plasmas were very close to, or below, this level, for 473 474 subsequent analysis we decided to include additional standards and QCs at the 10 and 15 475 pg/mL concentrations. These allowed assessment of whether a lower LLOQ could be 476 achieved on a batch to batch basis. The acceptable LLOQ was experimentally determined 477 by ensuring that its performance was within acceptance criteria (signal to noise >5, and CV and RE (<20%). 478

479 To assess whether quantitation was reproducible at the endogenous level, samples 480 containing endogenous glucagon were pooled together, and analysed multiple times in 3 481 different batches (n=6 replicates in each batch) using the approach above. An overall mean of 26.5 pg/mL was observed with an overall CV of 19.8%, demonstrating reproducible 482 quantification at the endogenous level (Supplemental Table 9). QCs (n=6 replicates) 483 consistently performed within 20% (RE and CV) at the 15 pg/mL level in each of the 3 484 batches, and were within 20% (RE and CV) at the 10 pg/mL level in 2 out of the 3 batches 485 (Supplemental Table 10). This allowed the LLOQ to be reduced from the 25 pg/mL level in 486 487 the qualified assay, to increase the proportional of quantifiable concentrations.

488 LC-MS/MS vs. RIA assays for physiological study samples

Plasma samples (n= 117) were collected from a physiological study involving the infusion of glucagon. 100 of these samples were analysed using our LC-MS/MS assay and 105 samples using the established RIA assay. Both assays contained QC samples, which performed within their established acceptance criteria.

Bland-Altman analysis of the 88 common samples shows that the mean bias of the LC/MS-MS assay versus the RIA is +45.06 pg/ml with 95% bias confidence intervals of -358.5 to 448.6 pg/ml. Inspection of the plot (Figure 4 a) shows that there is a concentrationdependent positive bias, particularly at values above 600 pg/ml, which is also evident in the scatter plot (Figure 4 b) This would be expected if the RIA assay was suffering from the hook effect at higher concentrations, which has been reported for other biomarkers such as calcitonin [38].

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fitted with expectations from the nature of the study (Figure 5). It is therefore not possible to
determine which assay gives the "right" answer, and the approaches should be regarded as
complementary.



Figure 5- A selection of PK profiles from RIA assay concentrations (red squares) and LC-MS/MS
 method concentrations (blue diamonds).Y axis units are pg/mL. See supplemental information Figure
 6 for the complete set of 9 profiles

516 **Conclusion**

517 The developed procedure is the first peer reviewed LC-MS/MS method capable of 518 quantifying endogenous levels of glucagon in human plasma. Glucagon levels from healthy 519 volunteers agreed well with the range expected from RIA assays. Our method avoids the 520 radioactivity (and precautions this requires) associated with RIA assays, has a shorter 521 extraction time and good precision and accuracy.

The 25 pg/mL LLOQ in our qualified assay is a considerable improvement over the lowest 522 LC-MS/MS LLOQ previously reported (250 pg/mL) in the peer reviewed literature [11]. A 10 523 524 pg/mL LLOQ has been reported in a conference presentation [14], using a highly sensitive 525 QTRAP mass spectrometer. We were on occasion able to see such levels using our instrument, although we performed the qualification using a 25 pg/mL LLOQ to improve 526 assay robustness. Transferring this assay on to a more modern instrument may enable the 527 528 LLOQ of 10 pg/mL to be achieved routinely. Our 2D extraction procedure was key to 529 achieving such sensitivity, by reducing matrix suppression, background noise, and 530 interferences. To our knowledge this is the first time protein precipitation and size exclusion 531 SPE have been combined for such a purpose for high throughput peptide analysis. Our

surrogate matrix approach, using a mixture of non-extracted surrogate matrix STDs and QCs
and extracted authentic matrix QCs, is also a novel strategy for endogenous peptide
analysis.

535 Bland-Altman analysis shows a mean positive bias of the LC/MS-MS method versus the RIA 536 that appears to be a concentration-dependent, as would be expected if the RIA was suffering 537 from the hook effect at higher concentrations. The PK profiles from both assays were similar 538 shapes, and both profiles fitted with the nature of the physiological study suggesting the 539 methods are complementary.

- 540 The assay's performance has been qualified using experiments described in the latest EMA 541 [18] and FDA [17] guidance and in accordance to the principles of GCP [23].
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544 **Executive Summary**

545 Introduction

- Published LC-MS/MS methods are not sensitive enough to quantify endogenous
 levels of glucagon.
- Endogenous compounds, such as glucagon, can be quantified using either a standard addition, surrogate analyte, or a surrogate matrix approach.
- We favoured the surrogate matrix approach as it avoids extrapolation and is described in the EMA Guideline on bioanalytical method validation.

552 Results and Discussion

553 <u>Method development</u>

- Extensive optimisation has generated the most sensitive LC-MS/MS method for glucagon quantitation in the peer reviewed literature.
- A novel 2D extraction technique, combining protein precipitation with size exclusion
 hydrophobic (SEH) SPE, was key to achieving such sensitivity, by reducing matrix
 suppression, background noise, and interferences.
- Quantitation used a mixture of non-extracted surrogate matrix STDs and QCs and
 extracted authentic matrix QCs. Such approach is a novel strategy for endogenous
 peptide analysis.
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563 Validation

- Validation experiments performed were based on those described in the latest EMA
 and FDA guidelines.
- Most experiments, including the precision and accuracy of the method, were within
 the prospectively defined acceptance criteria.
- However, a degree of plasma sample instability was apparent, and it fell outside of
 our prospectively defined acceptance criteria.
- The assay is therefore described as qualified, over the range 25 1000 pg/mL,
 rather than validated. The assay will however be fit-for-purpose for many
 applications.
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- 576 <u>volunteers</u>
- Glucagon levels in healthy volunteers measured by LC-MS/MS showed good agreement with literature values determined by RIA.
- Assessment of assay performance at the 10 and 15 pg/mL levels allowed the assay
 LLOQ to be lowered from 25 pg/mL on a batch to batch basis.
- Reproducible quantitation at the endogenous glucagon level was demonstrated.
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583 <u>LC-MS/MS vs. RIA assays for physiological study samples</u>

- Bland-Altman analysis shows a concentration-dependent positive bias of the LC/MS MS assay versus an RIA, with a mean bias of +45.06 pg/mL
- Both assays produced similar PK profiles, both of which were feasible considering
 the nature of the study, and the methods should be regarded as complementary.

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591 Future Perspectives

We believe that experimentally demanding or troublesome immunoassays, such as the 592 glucagon RIA assay, will increasingly become replaced with LC-MS/MS based 593 594 methodologies to circumvent issues with cross reactivity, increase sample throughout and 595 avoid the use of radioactivity. To achieve the low LLOQs often required we also believe that approaches such as 2D extraction will become more commonly used. For regulated 596 597 bioanalytical studies of endogenous compounds, strategies such as surrogate matrix quantitation, which avoids the need to extrapolate the calibration curve, will become the 598 599 favoured approach.

600 Financial & competing interests disclosure

The authors have no relevant affiliations or financial involvement with any organization or entity with a financial interest in or financial conflict with the subject matter or materials discussed in the manuscript. This includes employment, consultancies, honoraria, stock ownership or options, expert testimony, grants or patents received or pending, or royalties. No writing assistance was utilized in the production of this manuscript.

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607 <u>Ethical conduct of research</u>

The authors state that they have obtained appropriate institutional review board approval (West London Research Ethics Committee: 11/LO/1782) and have followed the principles outlined in the Declaration of Helsinki for all human experimental investigations.

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