- 1 <u>**Title:**</u> A comparison of static and dynamic cerebral autoregulation during mild whole-body
- 2 cold stress in individuals with and without cervical spinal cord injury: a pilot study
- 3 4
 - Running title: Cerebral autoregulation in cervical SCI
- 5
- Authors: Jan W. van der Scheer (PhD)^a, Yoshi-Ichiro Kamijo (MD, PhD)^b, Christof A.
- Leicht (PhD)^a, Philip J. Millar (PhD)^c, Manabu Shibasaki (PhD)^d, Victoria L. Goosey-Tolfrey
 (PhD)^a and Fumihiro Tajima (MD, PhD)^b
- 9

10 Affiliations

- ^a Peter Harrison Centre for Disability Sport, National Centre for Sport and Exercise Medicine,
- 12 School for Sports, Exercise and Health Sciences, Loughborough University, LE11 3TU,
- 13 United Kingdom.
- ^b Wakayama Medical University, Department of Rehabilitation Medicine, Wakayama Medical
- 15 University, 811-1 Kimiidera, Wakayama City, Wakayama prefecture 641-8509, Japan.
- 16 °Human Health & Nutritional Sciences, Guelph University, ON N1G 2W1, Guelph, Canada
- ^d Nara Women's University, Department of Environmental Health, Nara, Japan.
- 18

19 Author email addresses

Jan W. van der Scheer*	j.scheer@lboro.ac.uk
Yoshi-Ichiro Kamijo	yoshikmj@wakayama-med.ac.jp
Christof Leicht	c.a.leicht@lboro.ac.uk
Philip Millar	pmillar@uoguelph.ca
Manabu Shibasaki	shiba@cc.nara-wu.ac.jp
Victoria Goosey-Tolfrey	v.l.tolfrey@lboro.ac.uk
Fumihiro Tajima**	fumi@wakayama-med.ac.jp

- 20 *Corresponding author during submission process
- 21 **Corresponding author if manuscript was to be accepted for publication
- 22

23 Author contributions

	0 = = 10					
	Study concept	Acquisition of data	Analysis and interpretation	Drafting the	Critical revision of manuscript for	Approved the final version of the
	and		of data	manuscript	intellectual	manuscript
	design				content	
Jan W. van der Scheer	Х	Х	Х	Х		Х
Yoshi-Ichiro Kamijo	Х	Х	Х		Х	Х
Christof A. Leicht	Х		Х		Х	Х
Philip Millar			Х		Х	Х
Manabu Shibasaki	Х	Х	Х		Х	Х
Victoria Goosey-	Х		Х		Х	Х
Tolfrey						
Fumihiro Tajima	Х		Х		Х	Х

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- 31 Medical University (Wakayama, Japan)
- 32
- 33

34 Abstract

35 Study design: Experimental study.

36 **Objectives:** To characterize static and dynamic cerebral autoregulation (CA) of individuals 37 with cervical spinal cord injury (SCI) compared to able-bodied controls in response to 38 moderate increases in mean arterial pressure (MAP) caused by mild whole-body cold stress. 39 Setting: Japan 40 Methods: Five men with complete autonomic cervical SCI (sustained>5y) and six age-matched 41 able-bodied men participated in hemodynamic, temperature, catecholamine and respiratory 42 measurements for 60 min during three consecutive stages: baseline (10 min; 33^oC water through a 43 thin-tubed whole-body suit), mild cold stress (20 min; 25^oC water) and post-cold recovery (30 44 min; 33⁰C water). Static CA was determined as the ratio between mean changes in middle 45 cerebral artery blood velocity and MAP, dynamic CA as transfer function coherence, gain and 46 phase between spontaneous changes in MAP to middle cerebral artery blood velocity. 47 **Results:** MAP increased in both groups during cold and post-cold recovery (mean differences: 48 5 to 10 mm Hg; main effect of time: p=0.001). Static CA was not different between the able-49 bodied vs the cervical SCI group (mean [95% CI] of between-group difference: -4 [-11 to 3] 50 and -2 [-5 to 1] cm/s/mmHg for cold (p=0.22) and post-cold (p=0.24), respectively). At 51 baseline, transfer function phase was shorter in the cervical SCI group (mean [95% CI] of 52 between-group difference: 0.6 [0.2 to 1.0] rad; p=0.006), while between-group differences in 53 changes in phase were not different in response to the cold stress (interaction term: p=0.06). 54 **Conclusions:** This pilot study suggests that static CA is similar between individuals with cervical 55 SCI and able-bodied controls in response to moderate increases in MAP, while dynamic CA 56 may be impaired in cervical SCI due to disturbed sympathetic control. 57

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- **MeSH Keywords:** spinal cord injuries; tetraplegia; cerebrovascular circulation; arterial blood
- 62 pressure; sympathetic nervous system; cold

63 Alphabetical list of abbreviations

- 64 CA = cerebral autoregulation
- 65 CI = confidence interval
- $66 \quad CBF = cerebral blood flow$
- 67 SCI = spinal cord injury
- 68 MAP = mean arterial pressure
- 69

70 **INTRODUCTION**

71 The precise regulation of cerebral blood flow (CBF) is essential in preventing

72 unconsciousness and brain damage.^{1, 2} The capacity of the central vasculature to maintain 73 CBF by adjusting cerebrovascular resistance over a range of mean arterial pressure (MAP) is referred to as cerebral autoregulation (CA).³⁻⁵ The physiological mechanisms responsible for 74 75 CA are not yet clear, but include myogenic (smooth muscle responding to changes in vascular wall tension), cholinergic, and sympathetic mechanisms.^{3, 6-11} CA is typically evaluated by 76 77 measuring CBF changes in response to a steady-state change in MAP ('static' CA), or by 78 measuring CBF changes in response to transient fluctuations in MAP ('dynamic' CA). Static 79 CA has for example been determined by examining mean CBF changes relative to MAP changes over two minutes in response to orthostatic stress,^{12, 13} while dynamic CA is 80 evaluated commonly using transfer function coherence, gain and phase of spontaneous 81 changes in MAP and CBF velocity.^{14, 15} Coherence reflects the linear correlation between 82 83 changes in MAP and changes in CBF velocity, gain reflects the magnitude in which a given 84 change in MAP alters CBF velocity, and phase reflects the time delay between changes in 85 MAP to be reflected in changes in CBF velocity.^{14, 15} A higher transfer function gain can be interpreted as the primary indicator of less adequate dynamic CA, while a higher coherence 86 and shorter phase may also reflect less adequate dynamic CA.^{14, 15} Important to note is that 87 88 static and dynamic CA represent two experimental approaches for quantifying the relationship between MAP and CBF velocity over different time scales⁶ and not necessarily distinct 89 physiological mechanisms.³ 90

Whether disruption of central sympathetic control, present in individuals with cervical
spinal cord injury (SCI),¹⁶ leads to inadequate CA remains unclear.¹⁷⁻¹⁹ An inability to buffer
the frequently occurring periods of high and low MAP caused by impaired sympathetic
control¹⁶ may explain why the SCI population is at increased risk of stroke and

cerebrovascular disease.^{18, 20, 21} Prior studies indicate that static CA is not different in 95 participants with chronic cervical SCI compared to able-bodied controls (see ¹⁷ for an 96 97 overview), while data from able-bodied participants indicates that pharmaceutically-induced sympathetic blockade has little or no effect on static CA.^{6, 22} However, each of these studies 98 99 tested the capacity to maintain CBF during reductions in MAP, which in able-bodied 100 individuals exhibits less effective buffering than increases in MAP, a phenomenon called CA hysteresis.^{3, 4, 23, 24} Static CA has also been reported to be similar between participants with 101 102 SCI and able-bodied controls in response to increases in MAP evoked via the cold pressor test.^{25, 26} However, it remains unclear whether this stress elicited peripherally-mediated 103 sympathetic outflow secondary to autonomic dysreflexia.²⁷⁻²⁹ Furthermore, prior studies have 104 105 largely failed to measure respiratory rate, PaO2 and PaCO2, which can influence interpretation of CA.^{15, 30, 31} As such, it has yet to be tested whether static CA is also similar between 106 107 individuals with cervical SCI and able-bodied controls in response to moderate increases in 108 MAP without peripherally-mediated sympathetic outflow and changes in respiratory rate, PaO2 109 and PaCO2.

110 The effects of cervical SCI and the role of disturbed sympathetic control of the 111 cerebrovasculature on dynamic CA are also unclear. A recent study reported that participants with SCI at or above the 4th thoracic segment had a shorter transfer function phase compared 112 113 to able-bodied controls during supine conditions, suggesting that dynamic CA is impaired in 114 cervical SCI.³² Earlier studies also demonstrated a higher transfer function gain or shorter 115 phase in participants with cervical SCI compared to able-bodied controls during an orthostatic challenge that decreased MAP.^{19, 33} However, transfer function coherence, gain and phase 116 117 during a stress that moderately increases MAP has never been studied in individuals with 118 cervical SCI.

119	Therefore, the objective of this pilot study was to characterize static and dynamic CA
120	of individuals with cervical SCI compared to able-bodied controls in response to a moderate
121	increase in MAP caused by mild whole-body cold stress, in order to provide insight into the
122	effect of disturbed central sympathetic control of the cerebrovasculature on CA. We
123	hypothesized that in response to a moderate increase in MAP 1) static CA would be similar
124	between the cervical SCI and able-bodied group; and 2) dynamic CA, operationalized by
125	transfer function coherence, gain and phase, would be different between the cervical SCI and
126	able-bodied group.
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129	METHODS
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131	Participants
132	Five otherwise healthy men with C5/C6 lesions (5-26 y post-injury; AIS A: n=4; AIS B: n=1)
133	participated in the study, along with six healthy age-matched able-bodied men who served as
134	a control group (Table 1). Resting levels of plasma noradrenaline < 94 pg/mL indicated
135	autonomic completeness ^{19, 35} (Table 1). In addition, the drop of 19 to 30 mmHg in systolic
136	blood pressure measured during a sit-up test ³⁶ was also suggestive of autonomic
137	completeness. Two additional participants (SCI: n=1, aged 25 y; able-bodied: n=1, aged 28 y)
138	were excluded from the study given an unanticipated decrease in MAP during the cold stress
139	stage (SCI: -7 mmHg; able-bodied: -11 mmHg).
140	
141	Procedures
142	All participants fasted for \geq 4 hours before the trial and emptied their bladder upon arrival at
143	the testing facilities. Participants were tested in a supine position wearing a thin-tubed whole-

144 body suit (Allen-Vanguard Technologies Inc, Ottawa, Canada) in a laboratory with an ambient temperature of 27.5-28.6°C and 40-45% relative humidity. The water-perfused suit 145 covered the entire skin surface except for the feet, hands and head.³⁷ Standardized whole-146 body normothermic conditions at the start of trial were achieved by perfusing 33^oC water 147 through the suit for approximately 30 min during instrumentation.³⁷ After this, the total time 148 149 of the trial was 60 min, consisting of three consecutive stages: baseline normothermic 150 conditions (10 min with 33° C water through the suit), mild cold stress (20 min with 25° C 151 water) and post-cold recovery (30 min with 33^oC water). Previous data indicated that 20 min 152 of cold is the minimal duration to evoke a drop in skin temperature and that 30 min is the minimum duration required to return skin temperature to normothermic levels.³⁷ The use of 153 154 previously established minimum durations was also used to reduce the risk of pressure sores. 155 To further reduce the risk of pressure sores, participants with cervical SCI were manually 156 lifted from the table for one minute after each of the instrumentation, baseline, and cold stress 157 stages. Hemodynamic, body temperature, and respiratory measurements were conducted 158 continuously throughout the trial, while blood samples for catecholamines were taken three 159 minutes before the end of each stage.

160

161 Hemodynamic outcomes

Discrete systolic and diastolic blood pressure were measured each minute using an upper-arm
cuff on the right arm (Tango⁺, SunTech Medical Inc., Morrisville, NC, USA). MAP was
calculated by: diastolic blood pressure plus one-third multiplied by pulse pressure.³⁸ CBF
velocity was measured in the middle carotid artery at 200 Hz using a 2-MHz probe (WAKI,
Atys Médical, Soucieu-en-Jarrest, France) placed over the participant's temporal window.
The probe was fixed at a constant angle with a custom-made probe holder. The transcranial
Doppler ultrasound sample volume was adjusted to capture the proximal segment of the

middle carotid artery, after which an optimal signal was obtained by trying different angles
and positions of the probe.²² Beat-by-beat blood pressure was measured at 200 Hz using
finger photoplethysmography (MUB101-50, MediSense Inc., Tokyo, Japan) from a long or
ring finger digit on the left hand. Heart rate was determined using the peak-to-peak intervals
of the beat-by-beat blood pressure signal. Impedance cardiography was used to measure
stroke volume and cardiac output at a sample frequency of 1 Hz (PhysioFlow Lab-1, Manatec
Biomedical, Paris, France).

176

177 **Body temperature**

178 Oesophageal temperature was measured at a sample frequency of 2 Hz using a copper-

179 constantan thermocouple (LT6A, Gram Corporation, Saitama, Japan).³⁷ Skin temperature was

180 collected using a similar sensor at 7 sites: forehead, chest, abdomen, upper arm, lower arm,

181 thigh and calf.³⁷ Mean skin temperature was calculated based on weighting of each site:

182 forehead (0.07), chest (0.175), abdomen (0.175), upper arm (0.1), lower arm (0.1), thigh (0.2)
183 and calf (0.16).³⁹

184

185 **Respiratory outcomes**

186 Open-circuit spirometry and mass spectrometry (ARCO-2000, Arco System Inc., Kashiwa,

187 Japan) were used to measure respiratory rate, P_{ETCO2} and P_{ETO2} , which have been shown to be

188 valid estimators of P_{aO2} and P_{aCO2} .³⁰

189

190 Catecholamines

191 Blood samples were analyzed for noradrenaline and adrenaline plasma concentrations using

192 high-performance liquid chromatography (coefficients of variation computed by analysis of

193 standards: 8.8% and 7.0%, respectively).

195 Data analysis

Data processing was conducted using Matlab 2015b (Mathworks, Natick, USA). Data over
the last 5 minutes of each condition were selected for further analyses. A different time
window with steady-state body temperature was selected if CBF data contained artifacts.⁴⁰
Only 4 or 4.5 minutes of artifact-free CBF velocity data was available in some participants
(baseline: n=2; cold: n=1; post-cold: n=1), but this was still considered sufficient.⁴¹ The mean
over the selected window was calculated for all outcomes.

202

203 Static and dynamic CA

204 Static CA was determined as the ratio between mean changes in CBF velocity and MAP 205 (cm/s/mmHg)⁴ from baseline to the mild cold stress, and from baseline to the post-cold 206 recovery. For the spectral power and transfer function outcomes underpinning dynamic CA, 207 beat-to-beat MAP and CBF velocity were obtained by integrating the respective signals 208 within each cardiac cycle. Cardiac cycles were determined based on the diastolic (nadir-to-209 nadir) intervals of the beat-by-beat blood pressure signal. Beat-to-beat MAP and CBF velocity 210 were first linearly interpolated and resampled at 2 Hz and then detrended by subtracting their 3rd order polynomial.^{15, 22} Subsequently, the beat-to-beat time series were used for spectral and 211 212 transfer function analyses based on the Welch algorithm.¹⁵ Each time series was subdivided 213 into successive 256-point Hann windows that overlapped by 50% before fast Fourier transform analysis.^{14, 15} This resulted in a spectral resolution of 0.0020 Hz in all but two 214 215 participants (0.0039 Hz). The cross-spectrum between MAP (input) and CBF velocity 216 (output) was determined and divided by the MAP autospectrum to derive the transfer function coherence, gain and phase.¹⁵ Spectral power of MAP and CBF velocity as well as coherence, 217 218 gain and phase were averaged over the previously established 0.07-0.20 Hz low-frequency

bandwidth,¹⁵ which is considered to be the primary bandwidth representing sympathetic
influences on the vasculature.⁴² The contribution of gain and phase toward the band average
was weighted according to their individual precision, which depended on coherence at each
spectral frequency.¹⁴

223

224 Statistical analyses

225 A previous study found significant differences between an able-bodied (n=7) vs a cervical 226 SCI group (n=4) in transfer function gain during supine conditions $(1.1\pm0.1 \text{ vs}, 1.5\pm0.1 \text{ vs})$ mm/s/mmHg, respectively).¹⁹ These data were used in G*Power 3.1.9.3 for Mac OS X⁴³ to 227 228 estimate that sample sizes of N \geq 5 (able-bodied) and N \geq 3 (cervical SCI), based on 95% 229 power, are required to detect mean differences in transfer function gain of 0.4 cm/s/mm Hg, 230 using a two-tailed test with α =0.05. The mean and 95% confidence interval (CI) of the 231 difference was calculated for each within and between-group comparison. In addition, 232 parametric statistics were applied to all outcome measures with a normal distribution, which 233 was assessed using Shapiro-Wilks tests (p<0.05). Data were log-transformed if the Shapiro-234 Wilk test indicated a non-normal distribution. Comparison of baseline characteristics between 235 the able-bodied and cervical SCI group were conducted using unpaired two-tailed Student t-236 tests. Hemodynamic, body temperature, respiratory and catecholamine responses from 237 baseline to mild cold stress and post-cold recovery within and between the able-bodied and 238 cervical SCI group were assessed using 2 x 3 repeated measures ANOVA. Between-group 239 comparisons of static and dynamic CA outcomes were conducted using unpaired two-tailed 240 Student *t*-tests. The interaction term of 2 x 3 ANOVA was used to assess whether responses 241 in transfer function coherence, gain and phase to mild cold stress and post-cold recovery were 242 different between the able-bodied and cervical SCI group. The significance level was set at 243 p<0.05. Analyses were conducted using SPSS Statistics v22 (SPSS Inc., Chicago, USA).

245 Statement of ethics

The authors certify that all applicable institutional and governmental regulations concerning
the ethical use of human volunteers were followed during the course of this research. The
study was approved by the Medical Ethical Committee of Wakayama Medical University
(Wakayama, Japan) and all participants provided written informed consent.

250

251 **RESULTS**

252

253 Baseline characteristics

Table 1 presents all between-group comparisons of baseline characteristics. Lower heart rate
(p=0.03), noradrenaline (p=0.001) and adrenaline (p<.001) outcomes were found in the
cervical SCI compared to the able-bodied group. No between-group differences were
observed in the other hemodynamic (p=0.20-0.99), body temperature (p=0.37-0.98),
respiratory (p=0.19-0.66), and spectral power outcomes (p=0.07-0.61) at baseline.

259

260 Responses to mild whole-body cold stress

261 Table 2 presents all within-group responses during and following exposure to the mild cold 262 stress. Hemodynamic responses were similar in both groups (interaction terms: p=0.12-0.91). 263 An increase in MAP was found in the groups from baseline to cold and post-cold (main effect 264 of time: p=0.001). Heart rate decreased in both groups from baseline to cold (main effect of 265 time: p=0.02), leading to a decrease in cardiac output (main effect of time: p=0.02). Body 266 temperature responses were also similar in both groups (interaction terms: p=0.21-0.27). 267 Mean skin temperature decreased during the cold stage (main effect of time: p<.001) and 268 returned to baseline levels during the post-cold stage. Oesophageal temperature was stable

269	from baseline to cold, but decreased during the post-cold stage (main effect of time: p<.001).
270	Respiratory outcomes were stable in both groups (main effects of time: p=0.57-0.97;
271	interaction terms: p=0.33-0.91), indicating that these outcomes did not confound the
272	interpretation of static or dynamic CA results (see below). For catecholamines, the increases
273	in noradrenaline in the able-bodied group during cold and post-cold recovery differed from
274	the stable noradrenaline levels observed in the cervical SCI group (main effect of time:
275	p<.001; interaction term: p<.001), in contrast to the responses observed in adrenaline (main
276	effect of time: p=0.06; interaction term: p=0.09). In the spectral outcomes, no differences
277	were observed in the groups for low-frequency power of MAP or CBF velocity (main effect
278	of time: p=0.15 and 0.80; interaction terms: p=0.10 and 0.15, respectively).
279	
280	Static CA
281	In both groups, only small or no changes in CBF velocity were observed during and following
282	exposure to the mild cold stress when faced with the increases in MAP (Table 2). As such, no
283	differences in static CA were observed for the cold stress when the able-bodied group
284	(mean±SD: 0±2 cm/s/mmHg) and cervical SCI group (mean±SD: 4±8 cm/s/mmHg) were
285	compared (mean [95% CI] of between-group difference: -4 [-11 to 3] cm/s/mmHg; p=0.24).
286	Also for the post-cold recovery, no differences in static CA were observed when the able-
287	bodied group (mean±SD: 0±1 cm/s/mmHg) and cervical SCI group (mean±SD: 2±3
288	cm/s/mmHg) were compared (mean [95% CI] of between-group difference: -2 [-5 to 1]
289	cm/s/mmHg; p=0.22).
290	
291	Dynamic CA

Table 3 presents the between-group comparisons of transfer function outcomes at baseline.

293 For dynamic CA at baseline, transfer function in the cervical SCI group demonstrated a

294 shorter phase (p=0.006) but no difference in coherence (p=0.40) or gain (p=0.08) when 295 compared to the able-bodied group. Figure 1 presents the responses in transfer function 296 outcomes during and following exposure to the mild cold stress. When compared to baseline, 297 smaller or no between-group differences in phase were observed during cold (mean [95% CI]: 298 0.4 [0.0 to 0.7] rad; p=0.04) and post-cold recovery (mean [95% CI]: 0.2 [-0.1 to 0.5] rad; 299 p=0.15). However, the responses in phase were not different between the groups when 300 analyzed using 2 x 3 ANOVA (interaction term: p=0.06). Responses between the groups were 301 also not different for coherence (interaction term: p=0.07) or gain (interaction term: p=0.41). 302

303 **DISCUSSION**

304 This pilot study is the first to characterize static and dynamic CA in individuals with cervical 305 SCI using a moderate increase in MAP caused by mild-whole body cold stress. Importantly, 306 this intervention evoked a moderate increase in MAP without signs of peripherally-mediated 307 sympathetic outflow or changes in respiratory rate, P_{aO2} and P_{aCO2}. These preliminary data 308 suggest that static CA in response to moderate increases in MAP was similar between the 309 cervical SCI and able-bodied group. In contrast, the shorter transfer function phase between 310 spontaneous changes in CBF velocity and MAP in the cervical SCI group suggests that 311 dynamic CA was impaired in the cervical SCI group. Furthermore, smaller between-group 312 differences in transfer function phase were observed when MAP was increased in response to 313 the mild cold stress.

The findings on static CA in response to an increase in MAP are similar to other studies that showed adequate static CA in individuals with chronic cervical SCI compared to able-bodied controls using an orthostatic challenge to decrease MAP (see ¹⁷ for an overview). Comparable measures of static CA were also observed in SCI and able-bodied individuals during cold pressor tests and periods of autonomic dysreflexia.^{25, 26, 29} Our pilot data extend

319 those findings by showing similar static CA during moderate MAP increases without signs of 320 peripherally-mediated increases in sympathetic outflow or changes in respiratory rate, PaO2 and 321 P_{aCO2} . Taken together, these results suggest that central sympathetic control is not a 322 prerequisite for static CA, in line with findings of the largely unaffected static CA after pharmaceutically-induced sympathetic blockade in able-bodied participants.^{6, 22} 323 324 In contrast to static CA, differences between the SCI and able-bodied group were found 325 in transfer function phase based on spontaneous changes in MAP and CBF velocity during 326 normothermic supine baseline conditions, suggesting that sympathetic control is required for optimal dynamic CA.⁶ The focus on static CA in older studies could explain why it was 327 traditionally thought that sympathetic mechanisms had no role in CA.^{6, 9, 11, 44} A shortened 328 329 transfer function phase in participants with cervical SCI compared to able-bodied controls, along 330 with an absence of differences in transfer function coherence and gain, were also found in a recent, larger study during supine baseline conditions.³² The mean between-group difference in 331 332 phase in that study of 0.2 rad (95% CI of the difference not reported) was somewhat smaller than 333 the mean of 0.6 rad (95% CI: 0.2 to 1.0) in our study. This size difference may be attributed to the inclusion in the prior study of participants with lesions at or the 4th thoracic segment,³² who may 334 335 have had more sympathetic control than the participants with cervical SCI included in our study.¹⁶ Our findings are strengthened by rigorous artifact checking,⁴⁰ and the use of weighting based 336 on coherence level when averaging phase and gain over a spectral frequency bandwidth.¹⁴ 337 This method is considered to minimize risk of bias inherent to low coherence.¹⁴ Not using this 338 339 method might explain why previous studies found no significant differences in phase between 340 able-bodied and cervical SCI groups during supine conditions.^{19, 33, 34} Taken together, the shortened transfer function phase found in the recent larger study,³² along with ours, suggest 341 342 that intact central sympathetic control is a prerequisite for optimal dynamic CA, providing insights that can inform the ongoing debate about the role of sympathetic control for CA.^{3, 9, 11} 343

344 Besides disturbed central sympathetic control, another explanation for suboptimal CA could be the low resting blood pressure commonly occurring in individuals with cervical 345 346 SCI.^{16, 32} Recent data indicate that CA of healthy, able-bodied men is better adapted to compensate for transient hypertension than transient hypotension.^{3, 4, 23, 24} It could be that 347 348 human CA is also less effective at compensating for chronic hypotension than chronic 349 hypertension due to reduced cerebral perfusion pressure caused by lower resting blood 350 pressure.³² A role of low resting blood pressures influencing dynamic CA in cervical SCI may 351 also explain the smaller between-group difference in transfer function phase observed during 352 the moderate increase in MAP caused by the mild cold stress, although this remains 353 speculative given that responses in dynamic CA were not significantly different between the 354 groups (Figure 1). A longer phase could imply that the cerebrovasculature has more time to 355 respond to transient changes in MAP using mechanisms other than sympathetic control, such as myogenic factors.^{3, 6, 7} 356

357 Given the absence of signs of peripherally-mediated sympathetic outflow or changes 358 in respiratory rate, PaO2 and PaCO2, mild whole-body mild cold stress seems an appropriate 359 intervention for studying the role of the disturbed central sympathetic control in cervical SCI. 360 Not anticipated was that MAP was still significantly increased in both groups during the post-361 cold stage. Even though mean skin temperature returned to baseline levels during the post-362 cold stage, MAP was still significantly increased in the cervical SCI as well as the able-363 bodied group. It seems the stressor was sufficient to maintain MAP at levels similar to the 364 cold stage, even 30 minutes after cessation of the stressor.

365

366 Study limitations

367 The assumption of indexing CBF using transcranial Doppler ultrasound is that the cross-

368 sectional diameter of the insonated vessel is unchanged; CBF velocity is then proportional to

CBF.³ Although this assumption can be violated,⁴⁶ various studies have shown that changes in 369 370 middle cerebral artery diameter are unlikely during resting conditions without extreme changes in MAP.⁴⁷ The moderate changes in MAP independent of a change in respiratory 371 372 outcomes observed in our study further strengthen the likelihood against a change in vessel 373 diameter. The goal of the present study was to compare each participant against their own 374 normothermic baseline. However, in this design, we cannot exclude the possibility that the 375 observed changes in the cervical SCI group were influenced by normal variations over time or 376 measurement error. These risks were reduced in our study by the post-cold measurements 377 demonstrating a return to baseline values in most outcomes, along with the previously reported reliability of dynamic CA metrics during supine conditions,^{48, 49} which was further 378 379 enhanced by the use of rigorous artifact checking⁴⁰ and use of weighting based on coherence 380 level.¹⁴ Finally, unconscious bias due to the unblind nature of the present protocol cannot be 381 excluded. To reduce such risk, all data analyses were conducted by one author (JWvdS) and 382 independently verified by another author (YK).

383

384 **Recommendations for future research**

385 Our data suggest the need for better understanding if and how inadequate dynamic CA is 386 influencing the increased risk of stroke and other cerebrovascular conditions of individuals 387 with CSCI.^{18, 20, 21} Longitudinal studies are needed to understand if and what adaptations in static and dynamic CA^{13, 17} occur from the acute to chronic post-injury stages. Mild whole-388 389 body mild cold stress seems an appropriate intervention for further studying the role of the 390 disturbed central sympathetic control in cervical SCI. An intervention with a longer post-cold 391 recovery could provide further insight into the responses of CA outcomes when MAP returns 392 to baseline after mild cold stress. Measurement of the posterior carotid artery, in addition the 393 middle carotid artery, may elucidate if these vessels respond differently to increases in MAP

caused by mild cold stress.^{30, 33} Future research on quantification of dynamic CA in 394 395 individuals with cervical SCI should ideally be expanded to non-linear relationships in 396 addition to the linear relationships examined using transfer function analyses.^{50, 51} Finally, 397 individual data of this study should be used in a future meta-analysis regarding transfer 398 function in cervical SCI compared to able-bodied groups. Not only can these research angles 399 further elucidate the still debated role of the sympathetic nervous system as a mechanism contributing to CA in humans,^{3, 9, 11} but they may also help reduce the risk of stroke and 400 cerebrovascular disease of individuals with cervical SCI.^{18, 20, 21} 401

402

403 Conclusions

This pilot study suggests that in response to a moderate increase in MAP using mild cold stress, static CA is similar in individuals with cervical SCI compared to able-bodied controls. In contrast, our preliminary data suggest that dynamic CA may be impaired in individuals with cervical SCI compared to able-bodied controls due to disturbed central sympathetic control over the cerebrovasculature and/or due to the indirect result of reduced cerebral perfusion pressure caused by lower resting blood pressure.

410

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417

418 **Conflicts of interests:** None declared

420 <u>Titles and legends to Figures</u>

- 421
- 422 **Figure 1.** Mean and SD of transfer function coherence, gain and phase at baseline during and
- 423 following exposure to mild whole-body cold stress in the cervical SCI (n=5) and able-bodied
- 424 group (n=6). P-values represent the interaction terms of 2 x 3 repeated measures ANOVA.
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Table 1. Baseline participant characteristics in the able-bodied compared to the cervical spinal cord injury

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	Able-bodied	Cervical SCI	Able-bodied vs. cervical
	(n=6)	(n=5)	SCI
	Mean±SD	Mean±SD	Mean (95% CI) difference
Demographics/anthropometrics			
Age (y)	40±15	36±9	4 (-13 to 21)
Height (m)	174±6	178±9	-5 (-15 to 5)
Body weight (kg)	67±6	63±15	4 (-12 to 19)
Hemodynamic			
MAP (mm Hg)	85±8	79±9	6 (-6 to 17)
CBF velocity (cm/s)	63±12	67±20	-4 (-25 to 18)
Heart rate (b/min)	70±11	56±6	15 (2 to 27)*
Stroke volume (mL)	77±8	77±17	0 (-17 to 17)
Cardiac output (L/min)	5.5±1.0	4.6±1.3	0.9 (-0.6 to 2.5)
Body temperature			
Mean skin temperature (⁰ C)	34.9±0.6	34.6±0.6	0.3 (-0.5 to 1.1)
Oesophageal temperature (⁰ C)	36.7±0.2	36.7±0.6	0.0 (-0.6 to 0.5)
Respiratory			
End-tidal P _{CO2} (mm Hg)	39±3	38±2	-2 (-2 to 6)
End-tidal P _{O2} (mm Hg)	106±2	109±5	-3 (-8 to 2)
Respiratory rate (Hz)	0.25±0.07	0.27±0.09	-0.02 (-0.13 to 0.09)
Catecholamines			
Noradrenaline	228±80	56±30	172 (85 to 258)**
Adrenaline	37±11	7±4	30 (18 to 42)***
Spectral power			
Power MAP (mm Hg ² /Hz)	21±20	2±1	-18 (-1 to -34)
Power CBF velocity	17±27	10±13	-7 (-31 to 18)
([cm/sec] ² /Hz) ^a			

MAP = mean arterial pressure; SCI = spinal cord injury; CBF = cerebral blood flow *p<0.05; **p<0.01; ***p<0.001 in unpaired two-tailed Student *t*-tests ^a Tested after log transform, as data were not normally distributed.

616 **Table 2.** Within-group responses in hemodynamic, body temperature, respiratory, catecholamine, and spectral outcomes during and following exposure to 617 mild whole-body cold stress.

	Able-bodied (n=6)		Cervical SCI (n=5)	
	Δ Baseline to cold	Δ Baseline to post-cold	Δ Baseline to cold	Δ Baseline to post-cold
	Mean±SD (95% CI)	Mean±SD (95% CI)	Mean±SD (95% CI)	Mean±SD (95% CI)
Hemodynamic				
MAP upper-arm cuff (mm Hg)**	5±3 (2 to 7)	6±4 (2 to 9)	7±7 (-2 to 16)	10±8 (1 to 20)
CBF velocity (cm/s)	1±6 (-6 to 7)	1±5 (-5 to 7)	1±7 (-7 to 10)	2±2 (0 to 5)
Heart rate (beats/min)*	-3±3 (-6 to 0)	0±3 (-3 to 4)	-1±1 (-3 to 0)	0±3 (-4 to 4)
Stroke volume (mL)	0±3 (-3 to 3)	$0\pm 5 \ (-4 \ \text{to} \ 4)^{a}$	1±3 (-3 to 4)	-3±7 (0 to -6)
Cardiac output (L/min)*	-0.4±0.3 (-0.7 to 0.0)	-0.1±0.3 (-0.5 to 0.2) ^a	-0.2±0.2 (-0.4 to 0.1)	-0.4±0.4 (-0.1 to -0.7)
Body temperature				
Mean skin temperature (⁰ C)***	-1.7±0.5 (-2.2 to -1.2)	-0.4±0.6 (-1.1 to 0.2)	-2.1±0.3 (-2.5 to -1.7)	-0.4±0.6 (-1.1 to 0.4)
Oesophageal temperature (⁰ C)***	0.0±0.1 (-0.1 to 0.1)	-0.2±0.1 (-0.3 to 0.0)	-0.1±0.1 (-0.2 to 0.0)	-0.3±0.2 (-0.5 to -0.1)
Respiratory				
End-tidal P _{CO2} (mm Hg)	1±1 (0 to 2)	0±1 (-1 to 0)	0±2 (-3 to 2)	0±0 (-2 to 1)
End-tidal P _{O2} (mm Hg)	0±2 (-2 to 2)	0±2 (-2 to 2)	0±5 (-5 to 6)	0±3 (-4 to 3)
Respiratory rate (Hz)	0.01±0.04 (-0.03 to 0.05)	0.02±0.03 (-0.02 to 0.05)	0.00±0.02 (-0.03 to 0.03)	-0.01±0.03 (-0.05 to 0.03)
Catecholamines				
Noradrenaline***#	47±81 (-38 to 133)	183±72 (108 to 258)	-1±21 (-27 to 25)	21±17 (-1 to 43)
Adrenaline	-5±9 (-14 to 4)	13±22 (-10 to 36)	0±0 (0 to 0)	1±2 (-1 to 3)
Spectral power				
Power MAP (mm Hg ² /Hz)	6.2±8.4 (-2.6 to 15.1)	1.4±3.6 (-2.5 to 5.2)	0.0±1.0 (-1.2 to 1.3)	1.2±1.0 (-0.1 to 2.4)
Power CBF velocity ([cm/sec] ² /Hz) ^b	0.7±5.8 (-5.3 to 6.8)	-2.3 ± 10.0 (-12.8 to 8.2)	1.1±3.0 (-2.6 to 4.8)	4.7±4.4 (-0.8 to 10.2)

618 MAP = mean arterial pressure; CSCI = cervical spinal cord injury; CBF = cerebral blood flow; Δ Cold = cold – baseline; Δ Post-cold = post-cold – baseline

p < 0.05; **p < 0.01; ***p < 0.001 for main effect of time in 2 x 3 repeated measures ANOVA

620 # p < 0.001 for the interaction term in 2 x 3 repeated measures ANOVA

621 ^a Missing data for n=1

622 ^b Tested after log transform, as data were not normally distributed

624
Table 3. Baseline transfer function outcomes of dynamic cerebral autoregulation in the able-bodied compared to the cervical spinal cord injury group.

	Able-bodied (n=6)	Cervical SCI (n=5)	Able-bodied vs. cervical SCI
	Mean±SD	Mean±SD	Mean (95% CI) difference
Coherence (index of 0-1)	0.63±0.08	0.68±0.09	-0.05 (-0.16 to 0.07)
Gain (cm/s/mmHg)	0.8±0.3	1.7±1.1	-0.9 (-1.9 to 0.1)
Phase (rad)	0.6±0.3	0.0±0.3	0.6 (0.2-1.0)**

SCI = cervical spinal cord injury **p<0.01

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