



Letter

A new spallation mechanism of thermal barrier coatings on aero-engine turbine blades

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HIGHLIGHTS

- EB-PVD TBCs can spall off at room temperature under constant residual stresses.
- The mechanism of blister growth relies on pockets of energy concentration (PECs).
- The nucleation and stable growth of the blister are driven by PECs.
- The unstable growth and spallation of the blister are driven by PECs and buckling.

ARTICLE INFO

Article history:

Received 28 September 2017

Received in revised form 21 December 2017

Accepted 8 January 2018

Available online 9 January 2018

Keywords:

Thermal barrier coating

Interface fracture

Spallation

Pockets of energy concentration

Buckling

ABSTRACT

Laboratory experiments were conducted to study the spallation behaviour of thermal barrier coatings (TBCs) on aero-engine turbine blades manufactured by the electron-beam physical vapour deposition technique (EB-PVD). Intact blades were heated at temperature 1135°C in a furnace for certain time and then cooled to the room temperature in the laboratory condition. It was found that no spallation occurred during cooling, but spallation happened at constant room temperature after cooling. The spallation mechanism is studied by using the mechanical model developed (Harvey 2017 and Wang 2017), which are based on the hypothesis of pockets of energy concentration (PECs). Some observations of the spallation behaviour are well predicted by the model.

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Thermal barrier coatings (TBCs) can protect the engine components from high and prolonged heat loads, improve the durability and the engine energy efficiency [1, 2]. In the layered TBC system, an yttria-stabilized zirconia (YSZ) ceramic top coat is bonded on the metallic substrate by either an overlay or a diffusion bond coat. During thermal exposure, a layer of thermally grown oxide (TGO) is generated at the interface between the top coat and the bond coat.

TBCs can degrade and spall off from the alloy substrate at elevated temperatures or room temperature, then the exposed substrate will be oxidized and melted [3, 4]. The mechanisms of this spontaneous spallation failure are very complex and have

stimulated considerable research studies with various considerations including sintering [5], oxidation [6], interface adhesion degradation [7], thermal stress due to the mismatch in coefficient of thermal expansion between the coating and the substrate [8], creep [9], and interface undulation geometry [4]. In the previous experiments, such as indentation test [10, 11], bending and buckling test [12, 13], scratch test [14] and push-out test [15], coupon samples were out of the blade shape; for the samples that cut from the blade, minor flaws could appear during preparation. The stress and strain fields in coupon samples may then be different from those in the intact blades. Therefore, the entire blades were tested in the present study. Specially, the top coat was coated by the electron-beam physical vapour deposition technique, and bonded by a γ/γ' Pt-diffused bond coat which

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exhibits negligible rumpling due to the high creep resistance in heating-cooling cycles [16].

Extensive analytical and numerical studies have been carried out in last few decades for the spallation behaviour of TBC. Most studies are based on buckling driven delamination [4, 6, 10-13, 17-19]. However, the buckling driven approach is insufficient to reveal the essential mechanics as discussed in the work [20, 21]. Wang, Harvey et al. [22, 23] recently developed a mechanical model, which hypothesized that pockets of energy concentration (PECs) resulted from pockets of interfaces stresses, and would be the driving energy for constant room temperature spallation failure. The aim of the current study is to apply the mechanical model in the work [22, 23] to study the spallation of TBCs, and compare the analytical predictions with experimental measurements.

It is believed that an introduction to the mechanical model by Wang, Harvey et al. [22, 23] is helpful to understand the present work.

Figure 1 sketches a circular separation blister of TBC with radius R_B and thickness h . The subscript B represents the edge of the blister.

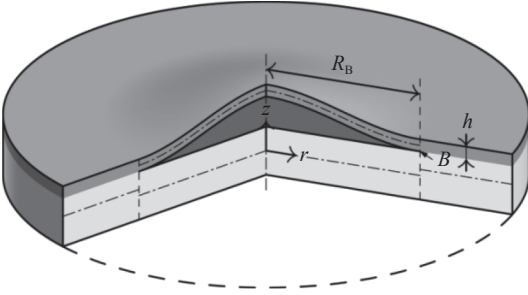


Fig. 1. A circular separation blister of TBC.

The blister grows when the driving force at the crack tip, i.e., energy release rate (ERR) overcomes the fracture toughness. Different fracture partition theories lead to different mode mixity [24, 25]. The following derivations are based on the classical plate partition theory [24, 26, 27]. Using the linear fracture criterion, the blister energy at growth is

$$(U_a)_{GR} = \pi R_B^2 G_c \left\{ \frac{3}{2} + \frac{6\bar{\varepsilon}_0}{\pi^2} \left(\frac{R_B}{h} \right)^2 \left[\frac{3}{\pi^2 \phi_0} \left(\frac{R_B}{h} \right)^2 - 1 \right] \right\}, \quad (1)$$

where $\bar{\varepsilon}_0 = \sigma_0(1 - \nu^2)/E = \sigma_0/\bar{E}$ is the residual plane strain with σ_0 representing the biaxial compressive residual stress, and $\phi_0 = h\sigma_0/G_c$. E and ν are the Young's modulus and Poisson's ratio of the TBC material, and G_c is the fracture toughness at the interface between the TGO and the bond coat. For the nucleation of the blister, the higher order terms of R_B can be neglected. Then the Eq. (1) suggests that the PECs need to provide the blister energy $(U_a)_{NU} = 3\pi R_B^2 G_c/2$ and NU denotes the blister nucleation.

After nucleation, the blister grows slowly until the radius reaches the characteristic buckling length. Then, unstable growth starts at

$$\left(\frac{R_B}{h} \right)_{UG}^2 = \frac{\pi^2 \phi_0}{12} \left[1 - \left(1 - \frac{\alpha^2}{\Omega} \right)^{1/2} \right], \quad (2)$$

where UG denotes the unstable growth, and $\Omega = \bar{\varepsilon}_0 \phi_0 / 2 = h\sigma_0^2 / (2\bar{E}G_c)$ is the ratio between the residual plane strain energy density and the interfacial fracture toughness. The parameter α is introduced to consider the effect of amplitude A , which can be considered an initial imperfection. In the work [22, 23], α is considered an effect of boundary conditions. Its range is therefore $0.652 \leq \alpha \leq 1.220$ with the two limits corresponding to simply-supported and clamped edge conditions respectively. The interface fracture toughness G_c decreases with the service time increasing for TBCs, leading to a larger Ω . Therefore, the value of Ω is generally much larger than α^2 , i.e., $\Omega \gg \alpha^2$. Then, Eq. (2) becomes

$$\left(\frac{R_B}{h} \right)_{UG}^2 = \frac{(\alpha\pi)^2}{12\bar{\varepsilon}_0}. \quad (3)$$

It is worth noting that the mentioned characteristic buckling is different from the bifurcation type buckling. In the conventional approach for buckling-driven delamination, the amplitude A of the blister is zero at the instant of the buckling. However, the amplitude A of the blister hereby is with a finite value. Hence, it is expected that the non-bifurcation type buckling occurs well before the bifurcation type buckling.

The violent unstable growth rapidly enlarges the size of the blister, and the blister energy will increase to a maximum value as suggested by Eq. (1). If the PECs are insufficient to supply the blister to arrive the maximum value, then the blister will stop growing. After the maximum value, the blister energy will decrease and transform into the kinetic energy. If the blister energy becomes zero and the kinetic energy of the blister due to the fast-unstable growth is large enough to break the blister edges, then the blister will spall off from the substrate. The spallation radius is calculated as

$$\left(\frac{R_B}{h} \right)_{SP}^2 = \frac{\pi^2 \phi_0}{6} \left[1 - \left(1 - \frac{3}{2\Omega} \right)^{1/2} \right], \quad (4)$$

with SP denoting spallation. From Eq. (4), it is seen that Ω has to be larger than $3/2$ for spallation to occur. When $\Omega \gg 3/2$, Eq. (6) becomes

$$\left(\frac{R_B}{h} \right)_{SP}^2 = \frac{\pi^2}{4\bar{\varepsilon}_0}. \quad (5)$$

The blister amplitude A is given in Eq. (6) below.

$$\left(\frac{A}{h} \right)^2 = \frac{96\bar{\varepsilon}_0}{\pi^4 \phi_0} \left(\frac{R_B}{h} \right)^4. \quad (6)$$

It is worth noting that only the blister radius R_B was measured whilst the amplitude A (out-of-plane displacement) was not measured in the present work since only one digital camera was used in the experiment.

The above derivations can be equally applied for either classical plate partition theory [24, 26, 27] or first-order shear-deformable plate partition theory [26, 27] or 2D elasticity plate partition theory [25, 28, 29]. However, the interface fracture toughness G_c needs to be taken as $G_c = G_{Ic}$, $G_c = 4\psi G_{Ic}/(3 + \psi)$ and $G_c = \psi G_{Ic}/(0.3773 + 0.6227\psi)$ respectively for the three partition theories, where G_{Ic} is the critical mode I fracture toughness

and $G_{IIC} = \psi G_{IC}$.

The task now is to compare the blister radius between the analytical predictions and experimental measurements. First, the experimental method and the coating blister development is described as follows.

The specimen is the first-stage blade from a high-pressure turbine. It includes a single crystal CMSX-4 Ni-based superalloy substrate, and a Pt-diffusion bond coat. The α -alumina dominated oxides are generated at the interface between the bond coat and top coat during heating. The YSZ top coat has an average Young's modulus of 30–40 GPa [30, 31]. The TGO's Young's modulus is around 380–400 GPa [17], and its thickness is 2–5 μm [12, 17, 31]. From the SEM observation of the spalled pieces in the present study, it is confirmed that spallation occurred at the interface between the TGO and the bond coat. Moreover, the thickness of the top coat and the TGO were found to be 138 μm and 5 μm respectively. For the present preliminary study, an equivalent Young's modulus for the combined TBC and TGO thin layer is calculated by equating the effective in-plane stiffness in the radial direction, i.e., $E_{TBC}h_{TBC} + E_{TGO}h_{TGO} = E(h_{TBC} + h_{TGO}) = Eh$. Taking $E_{TBC} = 40$ GPa and $E_{TGO} = 400$ GPa gives the value of the equivalent Young's modulus as $E = 52.587$ GPa. The Poisson's ratio is assumed to be 0.22. The compressive residual stress was generated during cooling, and the typical thermal expansion mismatch between the top coat and the Ni-substrate is 4 $\text{ppm}\cdot\text{C}^{-1}$.

There were seven heating-cooling cycles (total 220 hours) in the experiments. The furnace was pre-heated at temperature of 1135°C, in which the specimen was isothermally oxidized. In the first thermal cycle, the heating duration was 100 hours, after which the specimen was cooled down to the room temperature under the laboratory condition in approximate 45 mins, and then it was kept for 195 mins at room temperature for failure observation, and no spallation failure occurred, hence about 0.05 $\text{kg}\cdot\text{m}^{-2}$ water was gently sprayed by a sprayer on the convex surface of the blade. The specimen was observed for subsequent four hours at room temperature and no spallation occurrence. In the second thermal cycle, the heating duration was changed to be 20 hours. Again, after four hours when the specimen was moved out of the furnace, water was gently sprayed by a sprayer on the convex surface, and the specimen was kept for additional four days. No spallation occurrence. In the subsequent five heating-cooling cycles, the same procedure as the second thermal cycle was conducted, except that water was not sprayed any more. In the seventh thermal cycle, the specimen convex surface spalled shortly after the surface temperature had reached the room temperature.

The convex surface of the specimen maintained well in the first six thermal cycles, although being water sprayed in the first two cycles, which is in agreement with the work in Ref. [32]. The studies [33, 34] also show that water vapour in high volume percentage has largely no effect on the lifetime of the electron-beam physical vapour deposition technique (EB-PVD) TBCs with Pt-diffusion (γ/γ') aluminide bond coat for various cycles with different oxidation duration. Therefore, a typical value of the mode-I interfacial fracture toughness is taken as 8.4 $\text{J}\cdot\text{m}^{-2}$ at the interface between the TGO and bond coat [12] and the fracture toughness ratio ψ is taken to be 5 [35].

A digital camera was placed to the region near the convex surface, which is close to the trailing edge. Recording started

once the specimen was moved out of the furnace. Note that the blister appeared and spalled at room temperature as described below, and hence the black body radiation effect is negligible and not considered in the present study. Figure 2 shows a sequence of optical and processed images for a blister development including nucleation, stable growth and unstable growth, branching, and spallation on the convex surface. The stages of blister development are described according to radius growth rate.

The images of Fig. 2(b)–2(h) show the spallation failure process, in which the colour values were subtracted by the underlying colour of the reference image Fig. 2(a) [36]. It is seen that the blister appeared on the convex surface close to the blade trailing edge, which can be considered as a planar region. Although the compound subtract image-processing technique cannot capture the blister nucleation stage, there is a small spot in Fig. 2(b) observed, which is just discernible (circled in red) and can be regarded as the blister nucleation. It is seen that the size of this spot is much smaller than the buckling radius of 2.15 mm that is usually assumed in the conventional buckling approach from Eq. (3) with $\alpha = 1.22$ (see Table 1 as follows). Although Eq. (6) shows that the height of the blister at this moment is very small, it is obviously not zero as usually assumed in the conventional buckling approach. For nucleation, the PECs need to provide the blister energy $(U_a)_{NU} = 3\pi R_B^2 G_c/2$ as given in Eq. (1). After nucleation, the blister grew steadily to the radius about 1.1 mm as shown in Fig. 2(c). The steady growth took about 1 s. It is worth noting that the nucleation and steady growth are driven by PECs. After the steady stage, an unstable growth occurred, and the blister abruptly grew in the shape shown in Fig. 2(d) within 0.033 s. This unstable growth is driven by both PECs and buckling. Although the blister is not a standard circular blister in Fig. 2(d), it is seen the right-half circle in Fig. 2(d) was developed from the circular blister in Fig. 2(c) and the radius was measured to be 2.5 mm.

Images (e) to (g) in Fig. 2 show the further unstable growth of the blister, which was still driven by PECs and buckling. The radius of the right-half circle of the blister in Fig. 2(e) was 2.8 mm. After 0.033 s, the blister branched as shown in image Fig. 2(f); however, the right-half blister remained circular with radius about 2.8 mm. Following that, the blister continued its unstable growth both in the branching directions and the right-half circular direction. The right-half circle radius in Fig. 2(g) was measured as 3.1 mm. Ridge cracks were also observed in Fig. 2(g). After about 1 s, both the blister and ridge cracks extended again and the radius of the right-half circle was measured as 3.3 mm. 12 s after image Fig. 2(h), the branches extended very rapidly and detached from the substrate. The blister radius just prior to this was still 3.3 mm, and is regarded as the spallation radius.

Analytical predictions and experimental results from previous observations are compared as follows. Based on the material properties, Ω are calculated: $\Omega_{CP} = 13.802$, $\Omega_{SP} = 5.521$, and $\Omega_{2D} = 9.636$, with CP, SP and 2D representing classical plate partition theory, first-order shear-deformable plate partition theory, and 2D elasticity plate partition theory, respectively. It is seen that all the three values are larger than α^2 required by Eq. (2) and 1.5 required by Eq. (4). Therefore, the blister radii for the initiation of unstable growth can be predicted by Eqs. (2) and (3), and for the spallation can be predicted by Eqs. (4) and (5), as recorded in Table 1.

It is seen that the radius for the initiation of the unstable growth from experimental observation is very close to the analyt-

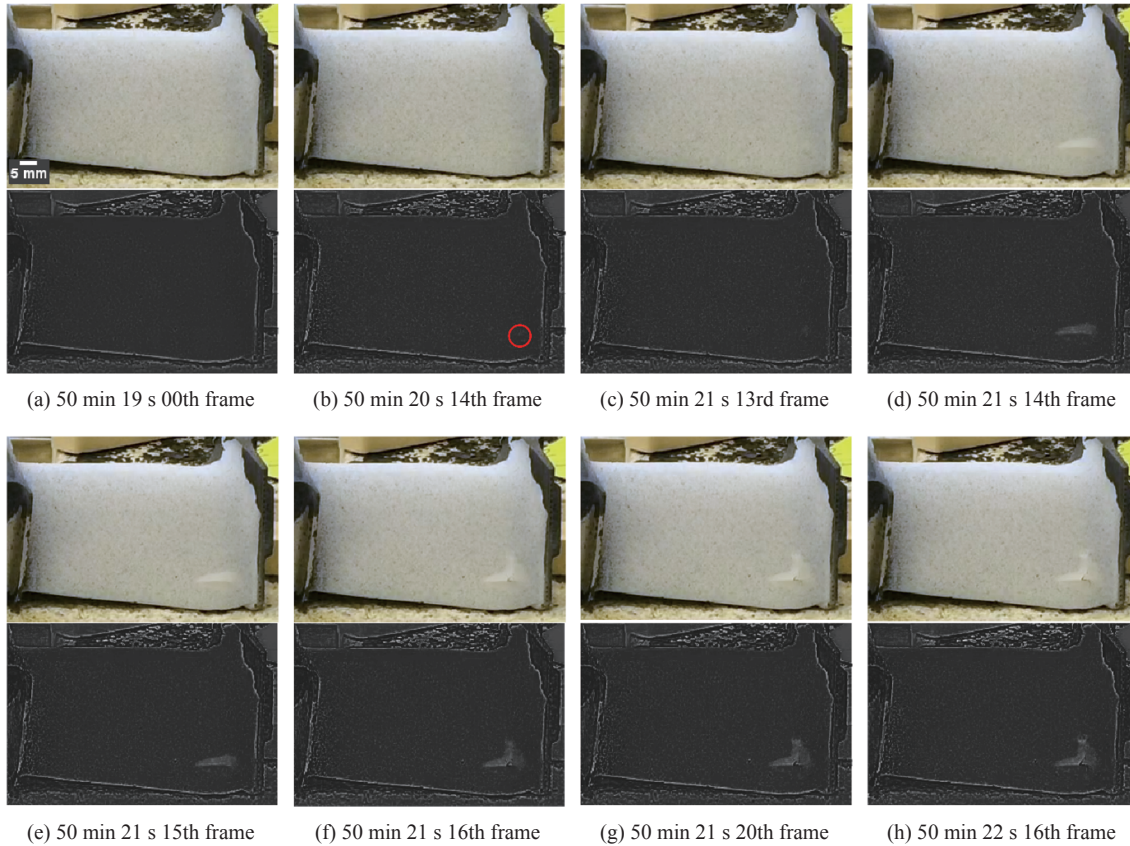


Fig. 2. Sequence of optical and processed images showing the nucleation (b), stable growth (b-c) and unstable growth (c-g), branching (e-f) and spallation (h) of a blister on the EB-PVD TBC convex surface.

Table 1 Blister radius comparison for initiation of unstable growth and spallation.

α	Initiation of unstable growth R_B (mm)					Spallation R_B (mm)				
	Eq. (2)		Eq. (3)			Eq. (4)		Eq. (5)		
	CP	SP	2D	All	Test data	CP	SP	2D	All	Test data
0.652	1.15	1.16	1.16	1.15						
0.936	1.66	1.68	1.67	1.65	1.1±0.1	3.10	3.17	3.12	3.05	3.3±0.1
1.22	2.18	2.23	2.19	2.15						

ical prediction when taking $\alpha=0.625$ and is far smaller than 2.15 mm usually that is assumed in the conventional buckling approach from Eq. (3) with $\alpha=1.22$. The predictions for the spallation radius are also very close to the experimental result from all the three partition theories. Since $\Omega \gg \alpha^2$, the Eq. (3) gives good approximation to Eq. (2), also $\Omega \gg 3/2$, the Eq. (5) gives good approximation to Eq. (4), as seen in Table 1. This experimental observation clearly confirms the new spallation failure mechanism of thin layer materials which is hypothesized in the latest work [22, 23] for the study of thin film spallation. That is, the spallation of coating blister is driven by PECs and buckling.

In conclusion, spallation of EB-PVD TBCs with Pt-diffusion aluminide bond coat in aero-engine turbine blades can occur at constant room temperature after cooling down from the elevated temperature. The experimental measurements for the blister radius are compared with the analytical predictions from

the PEC mechanical models [22, 23], specifically on the blister unstable growth and spallation. When the buckling parameter α is taken to be 0.652 representing a simple support blister edge condition, there is an excellent agreement between the measured and predicted unstable growth radius. Also, there is an excellent agreement between the measured and predicted spallation radius. Moreover, the nucleation radius is much smaller than the traditional buckling radius assumed in the conventional approach. The experimental work clearly demonstrates that the spallation of EB-PVD TBCs is driven by PEC – a new spallation failure mechanism.

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