Measuring the ANS: congruency effects

# The Measurement of Approximate Number System Acuity across the Lifespan is Compromised by Congruency Effects

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## 1 Abstract

2 Recent studies have highlighted the influence of visual cues such as dot size and cumulative surface 3 area on the measurement of the approximate number system (ANS). Previous studies assessing ANS 4 acuity in ageing have all applied stimuli generated by the Panamath protocol, which does not control 5 nor measure the influence of convex hull. Crucially, convex hull has recently been identified as an 6 influential visual cue present in dot arrays, with its impact on older adults' ANS acuity yet to be 7 investigated. The current study therefore investigated the manipulation of convex hull by the 8 Panamath protocol, and its effect on the measurement of ANS acuity in younger and older 9 participants. Firstly, analyses of the stimuli generated by Panamath revealed a confound between 10 numerosity ratio and convex hull ratio. Secondly, although older adults were somewhat less 11 accurate than younger adults on convex hull incongruent trials, ANS acuity was broadly similar 12 between the groups. These findings have implications for the valid measurement of ANS acuity 13 across all ages, and suggest that the Panamath protocol produces stimuli that do not adequately 14 control for the influence of convex hull on numerosity discrimination.

#### 16 Introduction

17 The Approximate Number System (ANS) supports the imprecise representation of numerosity, as 18 demonstrated by behavioural and neuronal indicators of Weber's law: i) numerical representations 19 become less precise and more approximate with increasing magnitude (the size effect), and ii) 20 discrimination between two numerosities becomes more difficult as their ratio approaches 1 (the 21 ratio effect) (Dehaene, 1997; Gallistel & Gelman, 2000; Izard, Sann, Spelke, & Streri, 2009; Piazza & 22 Izard, 2009; Piazza, Izard, Pinel, Le Bihan, & Dehaene, 2004). The acuity of the ANS is most often 23 measured with comparison tasks, whereby participants are shown two arrays of non-symbolic 24 numerosities (e.g. dots), and asked to select which array is most numerous without counting. 25 Comparing performance on easier and harder ratios provides evidence for the ratio effect. However, 26 the validity of such tasks in providing a pure measure of ANS acuity is contested, because 27 participants are found to be influenced by visual characteristics of the stimuli including convex hull 28 (the perimeter around a dot set, sometimes referred to as "area extended"), average dot size, and 29 cumulative surface area of the dots (Clayton & Gilmore, 2014; Gebuis & Reynvoet, 2012a, 2012b, 30 2012c; Gilmore, Cragg, Hogan, & Inglis, 2016; Leibovich & Henik, 2013; Szűcs, Nobes, Devine, 31 Gabriel, & Gebuis, 2013). It is generally accepted that when these visual characteristics are 32 uncontrolled, i.e. the more numerous set is also larger in terms of its non-numerical visual cues, 33 participants may make their decisions using visual cues alone (e.g. by choosing the array that 34 contains larger dots on average compared to the other array), without engaging the ANS (Gebuis & 35 Reynvoet, 2012a, 2012b). Here we explore how these visual cue characteristics are manipulated in a 36 commonly-used programme to generate dot array stimuli, and how this impacts on numerosity 37 judgements across the lifespan.

38 When creating dot array stimuli, researchers originally sought to address concerns about the 39 influence of visual cues by applying controls to average dot size and cumulative surface area, varying 40 the relationship of these visual cues with the number of dots in the array (Abreu-Mendoza, Soto-41 Alba, & Arias-Trejo, 2013). For example, as described in the software guidelines for Panamath 42 (Halberda, Mazzocco, & Feigenson, 2008), a commonly used method for generating stimuli for non-43 symbolic numerosity comparison tasks, dot-size congruency is controlled by manipulating the 44 cumulative surface area of the arrays. During a congruent trial, cumulative surface area is positively 45 correlated with numerosity. The more numerous array therefore has a larger cumulative surface 46 area and a larger average dot size: cumulative surface area and dot size are both congruent to 47 numerosity. During what we will term a matched trial (to reduce confusion between an 48 'incongruent' trial as defined by Halberda et al. (2008) and incongruent visual cues in the more

49 general sense), cumulative surface area is matched between arrays in order that the less numerous 50 array has a larger average dot size: dot size is incongruent to numerosity. Finally, during 51 anticorrelated trials, cumulative surface area (and therefore average dot size too) are negatively 52 correlated with, and so incongruent to, numerosity (Halberda et al., 2008). In short, only dot size is 53 incongruent to numerosity during matched trials, whereas during anticorrelated trials both 54 cumulative surface area and average dot size are incongruent to numerosity. The application of such 55 controls has varied between studies using a range of protocols to generate dot arrays: whilst some 56 have included congruent and matched trials (Cappelletti, Didino, Stoianov, & Zorzi, 2014), others 57 have compared congruent and anticorrelated trials (Clayton, Gilmore, & Inglis, 2015; Gilmore et al., 58 2013; Hurewitz, Gelman, & Schnitzer, 2006; Inglis & Gilmore, 2014; Odic, Libertus, Feigenson, & 59 Halberda, 2013; Szűcs et al., 2013), with others using all three control conditions (DeWind & 60 Brannon, 2012; Fuhs & McNeil, 2013; Keller & Libertus, 2015; Rousselle & Noël, 2008) or matched 61 trials alone (Gray & Reeve, 2014). Varied methods of visual cue control, along with other 62 inconsistencies such as display time, number of trials, and numerosity ratio cause problems when 63 comparing ANS acuity across studies (Clayton & Gilmore, 2014; Clayton et al., 2015; Dakin, Tibber, 64 Greenwood, Kingdom, & Morgan, 2011; Dietrich, Huber, & Nuerk, 2015; Gebuis & Reynvoet, 2012c; 65 Gilmore et al., 2016; Inglis & Gilmore, 2013, 2014; Szűcs et al., 2013).

66 Several authors have argued that during trials with incongruent visual cues, participants must first 67 inhibit the influence of those visual cues in order to perform a numerosity judgement (Cappelletti et 68 al., 2014; Cappelletti, Pikkat, Upstill, Speekenbrink, & Walsh, 2015; Clayton & Gilmore, 2014; Fuhs & 69 McNeil, 2013; Gilmore et al., 2013, 2016). However, others find similar performance between 70 congruent trials and those with incongruent visual cues, arguing that performance on ANS tasks does 71 not require inhibitory control (Keller & Libertus, 2015; Odic, Hock, & Halberda, 2014; Odic et al., 72 2013). This is important when considering ANS acuity in ageing. Inhibitory control declines with age 73 (Hasher & Zacks, 1988; Kramer, Humphrey, Larish, & Logan, 1994): if incongruent visual cues in ANS 74 tasks do indeed require inhibition, then older participants may be expected to show a greater 75 decline in performance on such trials. A limited number of studies have investigated ANS acuity in 76 ageing, with some examining the impact of congruency effects. Halberda, Ly, Wilmer, Naiman, and 77 Germine (2012) investigated ANS acuity across the lifespan, concluding that acuity declines with 78 increasing age beyond 30 years. However, it is difficult to draw conclusions from this study regarding 79 the impact of older age for three reasons. Firstly, participants aged 45-85 were categorised within 80 one age group, due to the small number of older adults included in the overall sample. Secondly, 81 Figure 3 (p.11119: Halberda et al., 2012) demonstrates highly variable ANS acuity and several 82 outliers within the older group. Thirdly, although congruent and matched trials were used, whether

83 age-related decline may be attributable to poorer performance during matched trials, where 84 inhibitory control may be required, is not reported. Indeed, in the first study to directly investigate 85 the impact of dot-size congruency on ANS acuity in ageing, Cappelletti et al. (2014) compared 86 younger and older adults' performances on an ANS task based on the Halberda et al. (2008) 87 Panamath protocol. Their findings initially indicated declined ANS acuity in older age (as in Halberda 88 et al., 2012). However, separate analyses for performances on congruent and matched trials revealed that the older group's acuity was only declined compared to the younger group when 89 90 average dot size was incongruent to numerosity (matched trials). The authors concluded that 91 seemingly poorer ANS acuity in ageing may be accounted for by declined inhibitory control (Hasher 92 & Zacks, 1988) rather than deteriorated approximate numerical skills. In short, older adults' ability to 93 inhibit the influence of an incongruent visual cue (dot size) was found to be declined. A later study 94 by Cappelletti et al. (2015) administered ANS training paired with parietal stimulation to younger 95 and older adults to investigate whether ANS acuity could be enhanced. Acuity was similar between 96 groups at pre-training, with improvement in both groups after training. Crucially, older adults' 97 stronger ANS acuity post-training was driven by improved performance for matched trials (i.e. with 98 incongruent dot size), which was related to smaller interference effects on traditional inhibition 99 tasks. Moreover, older adults' success in learning to inhibit non-numerical magnitudes on the ANS 100 task led to poorer performance on tasks assessing the discrimination of such magnitudes (e.g. length 101 discrimination). These findings further support the existence of an inhibitory component to ANS 102 tasks, a finding which may be particularly evident in older adults due to age-related decline in 103 inhibitory control (Cappelletti et al., 2014; Hasher & Zacks, 1988). However, another study using the 104 same protocol and comparable methods found similar ANS acuity for younger and older adults, even 105 for matched trials (Norris, McGeown, Guerrini, & Castronovo, 2015). It is likely that other 106 methodological differences such as the use of intermixed vs separated dot displays contributed to 107 these contradictory findings (see Norris & Castronovo, 2016 for evidence of the impact of different 108 stimuli presentation methods in younger adults).

109 Although some of the studies investigating ANS acuity in ageing have examined the impact of dot 110 size congruency, recently the influence of convex hull congruency on numerosity discrimination has 111 been emphasised (Clayton & Gilmore, 2014; Clayton et al., 2015; Gebuis & Reynvoet, 2012c; Gilmore 112 et al., 2016). Convex hull refers to the smallest possible perimeter that can be drawn around an 113 array (Graham, 1972), and may affect the processing of numerosity to a greater extent than dot size, 114 even when convex hull and numerosity are not correlated (Gebuis & Reynvoet, 2012c). As with dot 115 size, convex hull can be congruent (the more numerous array has the larger convex hull), or 116 incongruent to numerosity (the more numerous array has the smaller convex hull). Crucially, the

117 studies reviewed above which investigated ANS acuity in ageing did not investigate convex hull 118 congruency effects, as they used stimuli generated by the Panamath protocol, which does not 119 control for convex hull congruency. Clayton and Gilmore (2014) investigated how manipulating 120 numerosity mediated the influence of visual cues on 7-9 year-olds' ANS acuity. As numerosity 121 increased, performance declined due to the increasing interference of convex hull. However for 122 smaller numerosities, performance was most strongly influenced by dot size. Therefore, the type of 123 visual cues used by participants appears to be mediated by numerosity (Clayton & Gilmore, 2014). 124 Crucially, below-chance performance during larger-numerosity trials demonstrated the greater 125 influence of convex hull over other visual cues. In a further study, Clayton et al. (2015) compared 126 ANS acuity when measured with two commonly-used protocols: Panamath, which controls total 127 cumulative surface area in order to manipulate dot size (Halberda et al., 2008), and a script by 128 Gebuis and Reynvoet (2011) which controls both the cumulative surface area and convex hull of dot 129 arrays. The authors not only found poorly correlated performance between the protocols, but also 130 diverging interactions between cumulative surface area and convex hull congruencies: for the 131 Gebuis and Reynvoet (2011) paradigm, accuracy was higher for convex hull incongruent trials when 132 cumulative surface area was congruent compared to when it was incongruent. However, cumulative 133 surface area did not significantly affect performance when convex hull was congruent. Therefore, 134 convex hull congruency appears to influence numerosity comparison performance to a greater 135 extent than cumulative surface area congruency with the Gebuis and Reynvoet (2011) paradigm. 136 Performance was also enhanced during convex hull congruent trials on the Panamath task. However 137 on the Panamath task, accuracy was higher for cumulative surface area incongruent trials compared 138 to cumulative surface area congruent trials regardless of convex hull. Finally, Gilmore et al. (2016) 139 demonstrated that although dot size influences children's performance on an ANS task, this effect 140 decreases into adulthood, whereas the influence of convex hull remains consistent from childhood 141 to adulthood. These findings emphasise the influence of convex hull during ANS tasks, highlighting 142 the necessity to investigate the effect of convex hull on protocols which do not control it, such as 143 Panamath (see also DeWind & Brannon, 2016). Indeed, as performance on the Panamath task is 144 significantly influenced by convex hull (Clayton et al., 2015; DeWind & Brannon, 2016), it is unclear 145 to what extent previous findings of age-related decline in ANS acuity may be due to poorer 146 performance on convex hull-incongruent trials.

The influence of convex hull on ANS acuity as measured by the Panamath protocol is therefore a timely and important consideration in examining the inhibitory components of ANS tasks. Moreover, as the studies to date investigating the impact of ageing on ANS acuity have all used stimuli generated by Panamath (Cappelletti et al., 2014; Halberda et al., 2012; Norris et al., 2015), a

151 protocol which does not manipulate nor measure the impact of convex hull, it is important to 152 consider the influence of convex hull on the conclusions drawn in these studies: that older adults' 153 poorer inhibitory control leads to declined performance during dot-size incongruent trials 154 (Cappelletti et al., 2014, 2015). It is therefore necessary to examine whether similar mechanisms 155 may shape the impact of convex hull congruency on older adults' performances, especially 156 considering recent findings indicating that convex hull constitutes a more important predictor of ANS 157 task performance compared to other visual cues (Clayton & Gilmore, 2014; Clayton et al., 2015; 158 Gebuis & Reynvoet, 2012c). Therefore, the primary aim of the current study was to further examine 159 the nature of the visual cues in the stimuli generated by the Panamath protocol, with a particular 160 focus on the way in which convex hull varies. Secondly, the study investigated the extent to which 161 convex hull congruency affects ANS task performance for older and younger adults.

#### 163 Method

#### 164 Participants

Forty participants were recruited, 20 older adults aged 62-70 (14 females; M<sub>age</sub> = 65, SD = 2.9) and 20 younger adults aged 18-24 (16 females; M<sub>age</sub> = 20, SD = 1.8). Younger participants were recruited through the Department of Psychology at the University of Hull and received course credit. Older participants were recruited by the first author from the local community and participated voluntarily. The study was approved by the Department of Psychology Ethics Committee at the University of Hull. All participants were fully informed of the study aims and provided written consent.

# 172 Screening

Participants were screened at recruitment for a history of psychiatric disorder, depression, or abnormal vision. Older adults were administered the Mini Mental State Exam (MMSE: Folstein, Folstein, & McHugh, 1975) with a score <27/30 providing a cut-off for exclusion. The Geriatric Depression Scale (GDS: Yesavage et al., 1982) was administered to all participants, with a score >5 providing a cut-off point (as in Norris et al., 2015). No participants were excluded due to scores beyond cut-off on the MMSE or GDS.

#### 179 Procedure

180 Approximate Number System acuity was measured using the downloadable Panamath software 181 (Halberda et al., 2008). Two spatially separate arrays of between 5 and 21 yellow and blue dots were 182 presented simultaneously side-by-side on a grey background for 200ms (yellow on the left, blue on 183 the right), followed by a 200ms backward mask of randomly distributed yellow and blue pixels. 184 Participants initiated each trial using the space bar, and were asked to decide which array was more 185 numerous (yellow or blue). Participants responded as quickly as possible without sacrificing accuracy 186 using the 'A' (yellow) and 'L' (blue) keys, which were covered with correspondingly-coloured dots. 187 The dot stimuli were generated with two within-subject factors: visual cue control with 3 levels 188 (congruent [both cumulative surface area and average dot size are congruent to numerosity], 189 matched [cumulative surface area is matched and average dot size is incongruent], and 190 anticorrelated [both cumulative surface area and average dot size are incongruent]), and numerosity 191 ratio bin with 4 levels (1.1-1.19, 1.19-1.28, 1.32-1.43, and 2.28-2.47; ratio bins 1, 2, 3, and 4 192 respectively). There were 420 trials in total. Convex hull size and convex hull congruency were 193 calculated post hoc for each trial by using the Graham (1972) scan algorithm on screenshots of each

- 194 trial as generated by Panamath. This calculation also summed the total number of yellow and blue
- 195 pixels, providing a measure of the cumulative surface area of each array.

#### 196 **Results**

197 We first report the visual characteristics of the stimuli generated by the Panamath protocol, 198 followed by an examination of the impact of these characteristics on young and older adults' 199 performance on the ANS task.

## 200 Visual characteristics of the stimuli

201 In order to control for the effect of visual cues, Panamath is designed to generate three types of 202 stimuli: congruent (cumulative surface area and average dot size positively correlate with 203 numerosity), matched (cumulative surface area is matched to numerosity, and average dot size 204 negatively correlates with numerosity) and anticorrelated (cumulative surface area and dot size 205 negatively correlate with numerosity). However, when we calculated the cumulative surface area of 206 the arrays by summing the number of blue and yellow pixels, we discovered that the matched trials 207 were not precisely matched in terms of cumulative surface area: the more numerous array always had a greater cumulative surface area (mean pixel number difference = 150, range = 2-592). 208 209 Therefore in matched trials, cumulative surface area was actually congruent, even though in some 210 cases there was only a small pixel-number difference. Consequently, for our analyses we collapsed 211 the three Panamath conditions (congruent, matched, and anticorrelated) into two (cumulative 212 surface area congruent [congruent and matched] vs. cumulative surface area incongruent [anticorrelated]).<sup>1</sup> 213

With the convex hull of the arrays calculated for each trial, we sought to investigate to what extent the Panamath protocol produced equally-weighted convex hull congruent and incongruent trials, and how this was affected by other factors within the protocol (numerosity ratio and cumulative surface area).

Figure 1 depicts the relationships between within-subjects factors on the Panamath protocol. Numerosity ratio here refers to (left set/right set), rather than (larger set/smaller set) as defined by Panamath, so that cumulative surface area ratio, convex hull ratio and numerosity ratio were calculated in the same manner. The correlation between convex hull ratio and numerosity ratio (r =.720, 95% CI [.671, .763], p < .001) demonstrates a confound between within-subject factors on the Panamath protocol: convex hull ratio increases with increasing numerosity ratio.

<sup>&</sup>lt;sup>1</sup> Analysing the data with the original three levels of congruency as defined by the Panamath protocol did not affect the direction or the significance of the results.

225

### (Figure 1 about here)

226 Moreover, an examination of the Panamath-defined numerosity ratio bins not only replicates the

227 finding that convex hull ratio (and therefore convex hull congruency) increases alongside numerosity

- ratio, but also indicates that all trials in ratio bin 4 had a congruent convex hull (see Table 1). Indeed,
- 229 convex hull was congruent for the majority of all trials (335/420).

Table 1: Number of trials per Panamath-defined numerosity ratio bin in the convex hull congruent
 and incongruent conditions

Numerosity Ratio (Bin)	Congruent	Incongruent
1.1-1.19 (1)	71	34
1.19-1.28 (2)	80	25
1.32-1.43 (3)	79	26
2.28-2.47 (4)	105	0

**Convex hull** 

# 232

#### 233 ANS acuity

234 The following analyses focus on accuracy as the dependent variable for ANS acuity, because 235 accuracy is thought to provide the most reliable and valid measure (Clayton et al., 2015; Guillaume, 236 Gevers, & Content, 2015; Inglis & Gilmore, 2014). As would be expected due to the ratio effect on 237 numerosity discrimination, there was a positive by-items correlation between accuracy and 238 numerosity ratio (r = .563, 95% CI [.494, .625], p < .001). Next, we investigated the effect of age 239 group, cumulative surface area congruency, and convex hull congruency on accuracy. A mixed 240 ANOVA was conducted with cumulative surface area congruency (congruent, incongruent) and 241 convex hull congruency (congruent, incongruent) as within-subjects factors, and age group (older, 242 younger) as a between-subjects factor. There were no main effects of cumulative surface area congruency (*F*(1, 38) = 3.185, *p* = .082,  $\eta_p^2$  = .077, BF<sub>inclusion</sub> = 1.07<sup>2</sup>) or age group (*F*(1, 38) = .628, *p* = 243

<sup>&</sup>lt;sup>2</sup> The inclusion Bayes Factor compares the evidence in support of each effect by comparing across all possible models including the effect with all possible models without the effect. This was calculated in JASP.

.433,  $\eta_p^2$  = .016, BF<sub>inclusion</sub> = 0.48), and no interaction between age group and cumulative surface area 244 congruency (F(1, 38) = 1.891, p = .177,  $\eta_p^2$  = .047, BF<sub>inclusion</sub> = 0.50). However, accuracy was 245 significantly higher for convex hull congruent trials (M = 83.25%, SD = 37.34) than for convex hull 246 incongruent trials (M = 72.09%, SD = 44.86: F(1, 38) = 258.190, p < .001,  $\eta_p^2$  = .872, BF<sub>inclusion</sub> > 247 10^305). Moreover, convex hull congruency interacted with cumulative surface area congruency 248 (F(1, 38) = 5.005, p = .031,  $\eta_p^2$  = .116, BF<sub>inclusion</sub> = 2.28, as in Clayton et al., 2015): Figure 2 249 250 demonstrates that when convex hull was congruent, performance between cumulative surface area 251 congruent (M = 83.40%, SD = 37.21) and incongruent trials (M = 83.10%, SD = 37.48) was similar (p = .604, Cohen's d = .012: LSD pairwise comparisons). However during convex hull incongruent trials, 252 253 participants tended to respond more accurately when cumulative surface area was incongruent 254 compared to when it was congruent (p = .038, Cohen's d = -.064).

255 (Figure 2 about here)

The interaction between convex hull congruency and age group was significant (F(1, 38) = 4.328, p = .044,  $\eta_p^2 = .102$ , BF<sub>inclusion</sub> = 1.02). Although accuracy on convex hull congruent trials was similar for the younger (M = 83.22%, SD = 37.37) and older groups (M = 83.29%, SD = 37.32; p = .968, Cohen's d= .002: LSD pairwise comparisons), younger adults outperformed older adults when convex hull was incongruent (younger: M = 73.35%, SD = 44.22; older: M = 70.82%, SD = 45.47), although this difference did not reach significance (p = .200, Cohen's d = .056: LSD pairwise comparisons, see Figure 3).<sup>3</sup>

- 263 (Figure 3 about here)
- 264 Finally, the interaction between cumulative surface area congruency, convex hull congruency, and
- 265 age group did not reach significance (*F*(1, 38) = 1.610, *p* = .212,  $\eta_p^2$  = .041, BF<sub>inclusion</sub> = 0.67).

there was a marginal interaction between convex hull congruency and age group (F(1,48) = 4.017, p = .051,  $\eta_p^2$  = .077),

<sup>&</sup>lt;sup>3</sup> In light of the evidence for the influence of convex hull during numerosity discrimination in ageing, we reanalysed the findings from our previous study, where ANS acuity was similar for younger and older adults regardless of dot-size congruency (Norris, McGeown, Guerrini, & Castronovo, 2015). Convex hulls were calculated using the Graham (1972) scan algorithm. Responses to convex hull incongruent trials (M = 78.00%, SD = 41.44) were found to be significantly less accurate than to convex hull congruent trials (M = 91.16%, SD = 28.40: *F*(1,48) = 231.077, *p* < .001,  $\eta_n^2$  = .828). Moreover,

due to a tendency for poorer performance during convex hull incongruent trials for the older group compared to the younger group. Crucially, the impact of convex hull congruency on performance for all participants was significant, whereas the effect of dot size congruency was not.

#### 266 **Discussion**

267 The current study investigated the impact of visual cue congruency on ANS acuity as measured by 268 the Panamath protocol in a group of younger and older adults. For the first time, we investigated 269 patterns of both cumulative surface area congruency and convex hull congruency on trials generated 270 by the Panamath protocol and their impact on older adults' ANS acuity. Although convex hull 271 congruency has been found to affect numerosity processing to a greater extent than the visual cues 272 that have been more frequently controlled in previous research (e.g. cumulative surface area and 273 dot size) (Clayton & Gilmore, 2014; Clayton et al., 2015; Gebuis & Reynvoet, 2012c; Gilmore et al., 274 2016), to date, only the impact of dot-size congruency on ANS acuity in ageing has been examined. 275 In some studies, poorer inhibitory control has been proposed to account for declined performance 276 during dot size-incongruent trials in older age (Cappelletti et al., 2014, 2015). These studies had used 277 stimuli generated by the Panamath protocol, which does not control convex hull congruency. The 278 current study therefore explored the visual characteristics of stimuli generated by the Panamath 279 protocol and their impact on the measurement of ANS acuity, whilst directly investigating whether 280 older adults performed more poorly when convex hull was incongruent. The current findings 281 demonstrate that the Panamath protocol produces dot arrays that are confounded between convex 282 hull ratio and numerosity ratio. There was some evidence that older adults appeared to be more 283 susceptible to the influence of convex hull information when making numerosity judgements, but 284 the key test of this effect was only borderline significant (p = .044). Potential explanations for these 285 findings are discussed below.

### 286 Visual characteristics of dot array stimuli

287 Our analyses indicate that the Panamath protocol generates stimuli which favour a congruent over 288 an incongruent convex hull, an effect which becomes more pronounced as numerosity ratio 289 increases. These findings have clear implications for studies using the Panamath protocol. Because 290 convex hull is congruent on most trials, this may improve overall performance on the task. Crucially, 291 the current findings demonstrate that numerosity ratio and convex hull ratio can be confounded on 292 stimuli generated by the Panamath protocol. This affects the valid and reliable measurement of ANS 293 acuity because participants could perform at above-chance levels on dot comparison tasks purely by 294 responding on the basis of convex hull information and without the need to engage in numerosity 295 processing. Our findings also support the suggestion that participants integrate multiple visual cues 296 during numerosity discrimination (Clayton et al., 2015; Gebuis & Reynvoet, 2012b), resulting in 297 interactions when visual cues vary in their congruency with numerosity: when convex hull was 298 incongruent to numerosity, participants were more accurate when cumulative surface area was also

incongruent (as in Clayton et al., 2015; Gebuis & van der Smagt, 2011; Keller & Libertus, 2015). The findings therefore emphasise the necessity of considering the impact of non-numerical visual cues and the interactions between such cues during numerosity comparison.

302 ANS acuity

303 Reflecting previous findings, overall ANS acuity was similar between age groups, with no age-related 304 decline in performance on cumulative surface area incongruent trials (as in Norris et al., 2015). 305 Performance was poorer for all participants during convex hull incongruent trials. Although the 306 convex hull congruency effect appeared to be more pronounced for the older group compared to 307 the younger group, this effect was small and was not well-supported by the Bayesian analysis 308 compared to the overall influence of convex hull for all participants. Previous findings of stronger 309 dot-size congruency effects for older compared to younger adults may suggest that similar findings 310 should emerge for convex hull congruency. One possible explanation for the weak evidence in the 311 current study of a stronger convex hull congruency effect in older age may be that, due to the 312 confounded nature of the Panamath protocol, a relatively small number of convex hull incongruent 313 trials were generated. In previous studies, larger proportions of dot-size incongruent trials have 314 been used. Therefore, stronger evidence for the interaction between convex hull congruency and 315 age group, and indeed even a group difference for overall ANS acuity may have emerged had the 316 number of convex hull congruent and incongruent trials been equally-weighted. It is well established 317 from studies of inhibition tasks that the overall proportion of congruent and incongruent trials 318 impacts on the size of congruency effects (Logan & Zbrodoff, 1979). Crucially here, these effects are 319 not consistent in younger and older adults. West and Baylis (1998) found that the difference 320 between younger and older adults on a Stroop task was greater when the task consisted mostly of 321 incongruent trials compared with mostly congruent trials. It is possible therefore that the small 322 proportion of incongruent trials in the task used here may have masked differences between younger and older adults that could be apparent in a more evenly-balanced version of the task. 323

Overall however, our results support the suggestion that convex hull affects numerosity discrimination to a greater extent than dot-size or cumulative surface area when measuring ANS acuity (Clayton & Gilmore, 2014; Clayton et al., 2015; Gebuis & Reynvoet, 2012c; Gilmore et al., 2016).

# 328 Methodological and Theoretical Conclusions

The current study highlights that significant confounds may exist in the dot array stimuli produced by the Panamath protocol, indicating that trials are overall more likely to be convex hull congruent,

331 possibly facilitating performance. A confound between convex hull ratio and numerosity ratio raises 332 concerns about the validity and reliability of non-symbolic numerosity comparison tasks conducted 333 with stimuli generated by the Panamath protocol. Moreover, in light of recent claims that the 334 Panamath protocol does not produce congruency effects (Keller & Libertus, 2015; Odic et al., 2014, 335 2013), our investigation indicates that researchers may have been focusing on the wrong visual cue: 336 convex hull appears to affect numerosity processing over and above dot-size and cumulative surface 337 area. Controlling only dot size is therefore insufficent (as in the Panamath protocol and other stimuli 338 generation methods used in the literature: e.g. Dehaene, Izard, & Piazza, 2005), as multiple visual 339 cues may be simultaneously extracted from dot arrays during numerosity discrimination (Clayton et 340 al., 2015; Gebuis & Reynvoet, 2012a, 2012c). Here we found that convex hull appears to exert more 341 of an influence on ANS task performance compared to the other visual cues present in a numerosity 342 display. Previous investigations, using different methods of generating dot stimuli, have found that 343 several visual cues (total circumference, convex hull, density, and cumulative surface area) influence 344 numerosity judgements over and above the influence of numerosity information (Leibovich & Henik, 345 2014).

346 The current findings also have implications for studies reporting a link between ANS acuity and 347 mathematical achievement. As researchers have used a range of visual cue-controls and numerosity 348 ratios in generating dot arrays, it is unclear to what extent relationships with mathematical 349 achievement may in fact reflect a link with the inhibitory control required to ignore convex hull 350 (Clayton & Gilmore, 2014; Clayton et al., 2015; Fuhs & McNeil, 2013; Gilmore et al., 2013, 2016; 351 Norris & Castronovo, 2016; Szűcs et al., 2013). Indeed, as studies assessing the ANS in children often 352 use easier (i.e. larger) numerosity ratios, convex hull may facilitate performance to an even greater 353 extent in these studies (as convex hull is more likely to be congruent). The current study therefore 354 highlights the necessity for researchers to seriously consider the influence of convex hull on 355 numerosity discrimination when exploring its relationship with maths achievement (Clayton et al., 356 2015; Gilmore et al., 2016). Moreover, the results raise questions regarding previous conclusions of 357 declined ANS acuity in ageing (Halberda et al., 2012), and whether dot-size congruency can fully 358 account for these effects (Cappelletti et al., 2014; Norris et al., 2015). Future research must 359 investigate whether ANS acuity is declined in ageing when convex hull is systematically controlled: 360 should older adults' performances on more stringently-controlled paradigms (e.g. Gebuis & 361 Reynvoet, 2011) be declined compared to younger participants, this would support the suggestion 362 that ANS tasks involve inhibitory control (Clayton & Gilmore, 2014; Fuhs & McNeil, 2013; Gilmore et 363 al., 2013; Szűcs et al., 2013), and that convex hull affects numerosity discrimination to a greater extent than dot size and cumulative surface area (Clayton & Gilmore, 2014; Clayton et al., 2015;
Gebuis & Reynvoet, 2012c; Gilmore et al., 2016).

366 Finally, the current findings contribute to the theoretical debate surrounding the extent to which 367 numerosity is the cue primarily extracted from dot arrays over and above the other approximate 368 quantities present (the non-numerical visual cues). On one hand, some argue that numerosity is the 369 primary cue extracted from non-symbolic arrays, and that numerosity therefore drives performance 370 on dot discrimination tasks, as opposed to the other non-symbolic quantities present in the array 371 (e.g. convex hull, dot size, cumulative surface area: Barth et al., 2006; Halberda et al., 2008). On the 372 other hand, the competing processes account (Gilmore et al., 2013) proposes that non-numerical 373 visual cues are extracted during numerosity discrimination, and that participants must inhibit their 374 influence in order to then respond to numerosity. It is therefore proposed that numerosity and the 375 other visual cues present in non-symbolic arrays must compete to be processed, with two possible 376 outcomes: firstly, participants may respond based on the salience of various visual cues (bigger dots, 377 larger convex hull). Secondly, if participants are able to inhibit a response to these salient visual 378 cues, then they can respond to numerosity. In the current study, convex hull congruency affected 379 numerosity discrimination performance: these findings therefore provide further evidence that 380 participants must inhibit convex hull when it is incongruent before being able to respond to 381 numerosity (Clayton & Gilmore, 2015; Gilmore et al., 2013), supporting the competing processes 382 hypothesis. In addition, some researchers have suggested that numerosity isn't primarily extracted 383 during ANS tasks, but rather a weighted combination of non-numerical visual cues is used by 384 participants to discriminate between dot arrays (Gebuis & Gevers, 2011; Gebuis & Reynvoet, 2012b, 385 2012c). The current study cannot rule out this suggestion. Although our data indicate a numerosity 386 ratio effect, in considering the confounded nature of the stimuli, this doesn't necessarily indicate 387 that numerosity is the primary cue being extracted. Because the majority of trials were convex hull 388 congruent, participants may not need to extract numerosity to make a correct discrimination on the 389 majority of trials. Indeed, participants could most often discriminate between the arrays using 390 convex hull and achieve above-chance overall accuracy for the current study. Our findings therefore 391 further highlight the need to directly investigate the influence of convex hull on numerosity 392 discrimination performance, particularly for protocols which do not manipulate nor measure convex 393 hull, and where such protocols have facilitated certain conclusions about the ANS (e.g. that it is 394 declined in ageing: Halberda et al., 2012; or that its acuity can predict formal mathematical 395 attainment: Halberda et al., 2008).

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# 538 Figure headings

- 539 Figure 1: Scatter plots showing the relationship between trials' numerosity ratios, mean accuracies
- 540 (%), cumulative surface area ratios, and convex hull ratios. Ratios are plotted on logarithmic axes.
- 541 Ratios are calculated left array / right array so that all ratios vary below and above 1. Incongruent
- 542 trials are those for which the numerosity ratio is below 1 and the visual cue ratio(s) are above 1 or
- 543 vice-versa
- 544 Figure 2: Participants' accuracy on dot comparison trials showing an interaction between cumulative 545 surface area and convex hull congruency (error bars show standard error)
- 546 Figure 3: The impact of convex hull congruency on younger and older adults' performances (error 547 bars show standard error)
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# **Disclosure of interest**

561 The authors report no conflicts of interest.