# **Evaluation of Variables for the Communication of Uncertainties Using Peripheral Awareness Displays**

#### Alexander Kunze

Loughborough Design School Loughborough University Loughborough, LE11 3TU, UK A.Kunze@lboro.ac.uk

#### **Russell Marshall**

Loughborough Design School Loughborough University Loughborough, LE11 3TU, UK R.Marshall@lboro.ac.uk

### Stephen J. Summerskill

Loughborough Design School Loughborough University Loughborough, LE11 3TU, UK S.J.Summerskill2@lboro.ac.uk

#### Ashleigh J. Filtness

Loughborough Design School Loughborough University Loughborough, LE11 3TU, UK A.J.Filtness@lboro.ac.uk

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than ACM must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from permissions@acm.org.

#### ACM.

AutomotiveUI '18 Adjunct,, September 23–25, 2018, Toronto, ON, Canada ACM 978-1-4503-5947-4/18/09. https://doi.org/10.1145/3239092.3265958

## Abstract

The communication of system uncertainties may be key for overcoming challenges related to overtrust in automated driving. Existing approaches are limited to conveying uncertainties using visual displays in the instrument cluster. This requires operators to regularly monitor the display in order to perceive changes which impedes the execution of nondriving related tasks and thereby degrades the user experience. This study evaluates variables for the communication of uncertainties using peripheral awareness displays, considering changes in brightness, hue, position, size, pulse frequency, and movement speed. All variables were assessed in terms of how well participants can distinguish different instances, how logical they are, and how interrupting to a secondary task. With the exception of changes in position, all variables were ranked highly in terms of logic while changes in pulse frequency were perceived as most interrupting. The results inform the development of unobtrusive interfaces for uncertainty communication.

# **Author Keywords**

Peripheral awareness displays; uncertainties; trust

# CCS Concepts

•Human-centered computing → User interface design; HCI theory, concepts and models; Interaction techniques; Visualization design and evaluation methods;

# Introduction

# Key Term

**Uncertainties:** Refer to the confidence of the automated system, whereby uncertainties are induced during data acquisition, transformation, and output generation.

Vehicles equipped with automated driving allow users to engage in non-driving related tasks (NDRTs), leading to a significant shift in the driving experience of human operators [12]. Instead of executing the dynamic driving task (DDT), other activities may become the primary task of the user [13]. Upcoming vehicles equipped with conditionally automated driving systems entail substantial human factors challenges as they demand users to be fallback-ready and perform the DDT in cases of system failures or other critical situations within a reasonable amount of time [7, 29]. Previous research has indicated that it is beneficial to communicate the uncertainties of a system to prepare users for such takeovers despite the engagement in NDRTs [1, 10]. The existing proposals, however, do not explore various interface options but solely rely on visual information presented in the instrument cluster. This requires users to constantly shift their attention between road, instrument cluster, and NDRT, leading to an increased probability of missed critical events. As pointed out by Moray and Inagaki [24], users are likely to miss important information in highly reliable systems even if they employ an optimal attention allocation strategy. Consequently, an interface is needed that allows users to acquire information about the uncertainty of the system without having to regularly monitor a display. Thus, users can fully focus on NDRTs while the system is safe and will be notified as soon as this changes. The unobtrusive uncertainty communication can be achieved using peripheral awareness displays, which can be defined as interfaces that are mostly in the periphery of the user's attention and convey information regarding one or more tasks of a user [20, 19, 22, 21, 18, 30, 28, 34, 31]. To enable users to fully engage in their primary task, the interface must remain unobtrusive unless the information it conveys is urgent. This should then be indicated with an increasing salience. To achieve this, Matthews et al. [19] define four distinct notification levels that correspond to differences in importance: change blind, make aware, interrupt, demand action. For users to be able to peripherally monitor a display, the information must further be displayed in a manner that allows its comprehensibility at a glance. This requires the modification of the raw input towards an increased abstraction [28]. The more abstract the conveyed information, the faster it is to perceive at a glance [17]. Principal evaluation criteria for peripheral awareness displays are *awareness* and *degree of interruption* [22].

# **Related Work**

Several research projects have investigated the use of peripheral awareness displays in driving. Löcken, Heuten & Boll [14], for instance, designed a peripheral light display to support lane change decisions. Further studies used light patterns to support drivers with maintaining a specified driving speed [23], others explored the use of peripheral light to help operators handle multiple tasks at once [4] and to communicate takeover requests [5]. The majority of approaches used light strips to communicate information as these can easily be fitted into existing cockpits and do not take up much space. Löcken et al. investigated nine different locations for light strips in a vehicle cockpit. The results suggest that particularly locations on the dashboard are well-suited, whereby a placement in the instrument cluster was preferred by most participants. Further, a position on the upper part of the centre console received high ratings.

# **Concept and Preliminary Evaluation**

Ideally, peripheral awareness displays should address human sensory channels that are not preoccupied in order to avoid bottle necks [33]. While operators are likely engaged in NDRTs that require (focal) visual and auditory attention [27], the haptic as well as peripheral visual channel remain unoccupied. Communicating content solely relying on pe-

Levels			
1	2	3	4
••••		••••	
••••		••••	
•000	0000	0000	0000
0000	0000		
0000	0000	0000	0000
	•••• •000 ••••	1 2 ••••• •••• •••• •••• •••• •••• •••• •••• •••••	

Figure 1: Schematic representation of the levels implemented for each light variable



Figure 2: Apparatus: (A) tablet with fixation point attached to its centre; (B) peripheral light strip

	HSL	$\Delta E^*_{00}$
1	(240, 100, 50)	22.20
2	(280, 100, 50)	29.41
3	(320, 100, 50)	34.22
4	(360, 100, 50)	-

Table 1: Colour codes for hue variable;  $\Delta E_{00}^*$  indicates the colour difference between the colour in the same row and the row below

ripheral vision, however, entails several problems. Foremostly, what users see depends on their attention allocation and state of mind. Phenomena such as change blindness and inattentional blindness highlight that operators may miss even salient changes in their field of view. The change blindness phenomenon highlights that users cannot perceive changes in the environment if they occur during eye saccades, blinks, or other interruptions to vision [9]. However, even if users' vision is not interrupted, they may fail to perceive salient cues because no attention is placed on them. This is referred to as inattentional blindness [26]. Further, peripheral light displays that rely on colour may be characterised by an insufficient accessibility for users with colour vision deficiency. In contrast, dynamically communicating content solely relying on haptic feedback is equally problematic, as haptic stimuli result in attentional spikes and an interface that vibrates cannot be in the periphery of the users' attention [11]. Thus, a combination of both modalities can be addressed by the interface: peripheral vision as a means for providing dynamic feedback, haptic stimuli to indicate changes for higher notification levels. This paper focuses on the preliminary evaluation of peripheral light variables. In a first step, variables with a maximum degree of abstraction were analysed. These variables are expected to be easier perceptible at a glance than more complex representations, for example words. In order to achieve maximum abstraction, variables derived from the most basic building blocks for visual interfaces, visual variables, were analysed [2, 8, 16, 25, 15]: brightness, colour hue, size, position, movement, and pulse. This study evaluated (a) how well users can distinguish between different levels of cues based on each of the variables. (b) to what degree the variables can be considered logical for the representation of uncertainties, and (c) how much the user feels interrupted.

## Design, Method, Procedure and Apparatus

A total of 25 participants (7 female) with an average age of 30.72 years (SD=9.02) and no diagnosed visual impairment participated in the experiment. For the duration of the study, participants were seated in a driving simulator in automated mode to generate context. Variables were communicated using a light strip attached to the top of the centre console (length: 50 cm, 77 LEDs, see Figure 2) and the experiment was conducted in a soundproof laboratory with controlled lighting. All questions were displayed on the centre of a tablet attached to the centre console and participants had to respond via touch input without focusing directly on the light strip. To assess awareness, participants were required to demonstrate that they can confidently distinguish between different notification levels. For each of the variables, four distinct instances were designed (see Figure 1). As a base colour, red (RGB: 255, 0, 0) was chosen as it is often used to represent danger or urgency. Brightness was varied linearly from RGB(255, 0, 0) to RGB(64, 0, 0). Colour hue was assigned based on the hot-cold metaphor, varying the hue value linearly from red to blue (RGB: 0, 0, 255) (see Table 1). Traffic light colours were avoided due to the prevalence of protanomaly and deuteranomaly, i. e. redgreen colour blindness. Size and position were varied linearly based on the number of activated LEDs. The flashing rate for *pulse* and *movement* was limited to 3 Hz to prevent triggering seizures related to photosensitive epilepsy [6]. Similar to the approach for the other variables, the pulse frequency and movement speed were then linearly reduced to one fourth of the maximum value. For each variable, the participants were initially shown all four levels in the intended order. Then, participants were shown the four levels in a randomised order. To evaluate if participants can distinguish levels, fuzzy membership functions were used [3]. This enables the researcher to assess the confidence of users in assigning a level. On a scale from 0 to 10, users

Variable	% (√)	min MD
Brightn.	100	0.04 (lvl 2)
Hue	100	0.60 (lvl 3)
Position	100	3.15 (lvl 2)
Size	100	0.32 (lvl 2)
Movem.	50	-
Pulse	100	0.60 (lvl 3)

**Table 2:** Level assignment scores;  $\%(\checkmark)$ : percentage of correctly assigned levels as indicated by mean scores (i. e. correct if membership level with highest mean score corresponds to actual level); mind MD: minimum mean difference between correct membership level (indicated in parantheses) and the membership level with the next largest mean score

Variable	Logic	Interrupt
Brightn.	4.32	2.92**
Hue	$5.32^{**}$	$2.24^{***}$
Position	3.52	$2.84^{***}$
Size	$5.04^{*}$	$3.00^{**}$
Movem.	$5.04^{*}$	3.52
Pulse	$5.24^{**}$	4.60

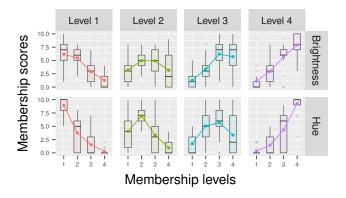
signif. of p-values: \*.01, \*\*.001, \*\*\*<.001

**Table 3:** Mean scores for logic andperceived interruption, asterisksindicate significance of p-values forHolm-corrected post-hoc t-testsrelative to the bold, red value in thecolumn

indicated to which degree the currently displayed level corresponds to each of the four introductorily shown levels. For instance, if users were not able to distinguish if the light was blue (level 1) or purple (level 2), they indicated this with intermediate scores for membership levels 1 and 2 and low scores for the remaining levels. Once participants had evaluated all variables in this way, they were asked to indicate on a 7-point Likert scale (a) to which degree they considered the variable to be logic for uncertainty communication and (b) how interrupted they would feel by it.

#### Results and Discussion

It must be noted that no generalisations about the comparability of the variables can be made as they are on different scales. Specifically, it is unclear which difference in hue maps to which change in, for instance, position. However, the cockpit dimensions confine the physical size of the light strip and other aspects, such as photosensitive epilepsy, limit the flashing rate. Thus, the results of the study can be considered valid for the special case in which a light strip is placed on top of the centre console in the specified lighting and usage situation. Figure 3 shows the fuzzy membership functions for *brightness* and *hue*. For a correct sorting result, participants should have rated membership level 1 highest for level 1, membership level 2 for level 2, etc. To assess confidence, mean values and differences to the next highest score were computed. Table 2 summarises the results. The results indicate that participants were able to distinguish between four different levels for all variables, with the exception of movement. Mean differences suggest different confidence levels. Specifically, brightness was characterised by low mean differences, followed by size, hue, and pulse. Position was rated with the highest confidence. Within-factors one-way ANOVA were performed to assess the difference in logic and annoyance between variables [32]. The scores for both logic (F(5, 24) = 4.539, p < .001)



**Figure 3:** Membership functions for *brightness* and *hue*; subplot columns depict the responses for each level, for example the subplot in the second row of the leftmost column depicts the membership levels participants assigned to the *hue* level 1

and annoyance (F(5, 24) = 9.265, p < .001) differed significantly (see Table 3). This shows, that animation based variables work well for higher notification levels such as *interrupt* or *demand action*. Using a change in position as an indicator for system uncertainties should be avoided as it is not deemed logical for users.

# Conclusion

The presented study evaluated six abstract variables in terms of their suitability for communicating uncertainties of automated driving systems in a vehicle cockpit. The results indicate that particularly changes in light position, pulse frequency, and hue are easily distinguished by participants engaged in a secondary task. With the exception of *position*, all variables were rated as somewhat logic for uncertainty communication, while *pulse* was perceived as most interrupting. The findings inform the design of peripheral awareness displays for uncertainty communication.

# REFERENCES

- Johannes Beller, Matthias Heesen, and Mark Vollrath. 2013. Improving the Driver Automation Interaction: An Approach Using Automation Uncertainty. *Human Factors: The Journal of the Human Factors and Ergonomics Society* 55, 6 (2013), 1130–1141. DOI: http://dx.doi.org/10.1177/0018720813482327
- 2. Jacques Bertin. 1967. *Semiology of Graphics: Diagrams, Networks, Maps.* University of Wisconsin.
- Ann M. Bisantz, Stephanie Schinzing Marsiglio, and Jessica Munch. 2005. Displaying Uncertainty: Investigating the Effects of Display Format and Specificity. *Human Factors: The Journal of the Human Factors and Ergonomics Society* 47, 4 (2005), 777–796. DOI:

http://dx.doi.org/10.1518/001872005775570916

- 4. Shadan S. Borojeni, Abdallah El Ali, Wilko Heuten, and Susanne Boll. 2016a. Peripheral Light Cues for In-Vehicle Task Resumption. *Proceedings of the 9th Nordic Conference on Human-Computer Interaction* (2016), 67:1—67:4. DOI: http://dx.doi.org/10.1145/2971485.2971498
- 5. Shadan S. Borojeni, Lewis Chuang, Wilko Heuten, and Susanne Boll. 2016b. Assisting Drivers with Ambient Take Over Requests in Highly Automated Driving. In Proceedings of the 8th International Conference on Automotive User Interfaces and Interactive Vehicular Applications. ACM, Ann Arbor, MI, USA, 237–244. DOI:http://dx.doi.org/10.1145/3003715.3005409

- Epilepsy Society. 2018. Photosensitive Epilepsy. (2018). https://www.epilepsysociety.org.uk/ photosensitive-epilepsy
- 7. European Road Transport Research Advisory Council. 2015. Automated Driving Roadmap. (2015). http://www.ertrac.org/uploads/documentsearch/ id38/ERTRAC
- Łukasz Halik. 2012. The analysis of visual variables for use in the cartographic design of point symbols for mobile Augmented Reality applications. *Geodesy and Cartography* 61, 1 (2012), 19–30. DOI: http://dx.doi.org/10.2478/v10277-012-0019-4
- Christopher Healey and James Enns. 2012. Attention and visual memory in visualization and computer graphics. *IEEE Transactions on Visualization and Computer Graphics* 18, 7 (2012), 1170–1188. DOI: http://dx.doi.org/10.1109/TVCG.2011.127
- Tove Helldin, Göran Falkman, Maria Riveiro, and Staffan Davidsson. 2013. Presenting system uncertainty in automotive UIs for supporting trust calibration in autonomous driving. Proceedings of the International Conference on Automotive User Interfaces and Interactive Vehicular Applications -AutomotiveUI '13 5 (2013), 210–217. DOI: http://dx.doi.org/10.1145/2516540.2516554
- Kanav Kahol, Jamieson French, Sethuraman Panchanathan, Gene Davis, and Chris Berka. 2006. Evaluating the Role of Visio-Haptic Feedback in Multimodal Interfaces through EEG Analysis. *Augmented Cognition: Past, Present and Future.* January (2006), 289–296.

- Alexander Kunze, Russell Marshall, Stephen J. Summerskill, and Ashleigh J. Filtness. 2017. Enhancing driving safety and user experience through unobtrusive and function-specific feedback. In Adjunct Proceedings of the 9th International ACM Conference on Automotive User Interfaces and Interactive Vehicular Applications (AutomotiveUI '17). ACM, Oldenburg, Germany, 183–189. DOI: http://dx.doi.org/10.1145/3131726.3131762
- David R. Large, Gary E. Burnett, Andrew Morris, and Arun Muthumani. 2017. A Longitudinal Simulator Study to Explore Drivers' Behaviour During Highly-Automated Driving. In Advances in Intelligent Systems and Computing, Vol. 0. DOI: http://dx.doi.org/10.1007/978-3-319-60441-1
- Andreas Loecken, Wilko Heuten, and Susanne Boll. 2015. Supporting Lane Change Decisions with Ambient Light. In Proceedings of the 7th International Conference on Automotive User Interfaces and Interactive Vehicular Applications. ACM, Nottingham, UK, 204–211. DOI: http://dx.doi.org/10.1145/2799250.2799259
- M. S. Carpendale. 2003. Considering Visual Variables as a basis for Information Visualisation. Technical Report. University of Calgary. DOI: http://dx.doi.org/10.5072/PRISM/30495
- Alan M. MacEachren. 1992. Visualizing uncertain information. *Cartographic Perspective* 13 (1992), 10–19. DOI:http://dx.doi.org/10.1.1.62.285
- Alan M. MacEachren, Robert E. Roth, James O'Brien, Derek Swingley, and Mark Gahegan. 2012. Visual Semiotics and Uncertainty Visualisation: An Empirical Study. In *IEEE Transactions on Visualization and Computer Graphics*, Vol. 18. IEEE, 2496–2505. DOI: http://dx.doi.org/10.1109/TVCG.2012.279

- Jennifer Mankoff, Anind K. Dey, Gary Hsieh, Julie Kientz, Scott Lederer, and Morgan Ames. 2003. Heuristic Evaluation of Ambient Displays. *Proceedings* of the SIGCHI conference on Human factors in computing systems 5 (2003), 169–176. DOI: http://dx.doi.org/10.1145/642611.642642
- Tara Matthews, Anind K. Dey, Jennifer Mankoff, Scott Carter, and Tye Rattenbury. 2004. A Toolkit for Managing User Attention in Peripheral Displays. In UIST '04. ACM, Santa Fe, New Mexico, USA, 247–256.
- Tara Matthews, Tye Rattenbury, and Scott Carter.
   2007. Defining, Designing, and Evaluating Peripheral Displays - An Analysis Using Activity Theory. *HumanâĂŞComputer Interaction* 22 (2007), 221–261.
   DOI:http://dx.doi.org/10.1080/07370020701307997
- 21. D. Scott McCrickard and C. M. Chewar. 2003. Attuning Notification Design to User Goals and Attention Costs. *Commun. ACM* 46, 3 (2003), 67–72.
- D. Scott McCrickard, C. M. Chewar, Jacob P. Somervell, and Ali Ndiwalana. 2003. A model for notification systems evaluation – assessing user goals for multitasking activity. *ACM Transactions on Computer-Human Interaction* 10, 4 (2003), 312–338. DOI:http://dx.doi.org/10.1145/966930.966933
- 23. Alexander Meschtscherjakov, Christine Döttlinger, Christina Rödel, and Manfred Tscheligi. 2015. ChaseLight: ambient LED stripes to control driving speed. Proceedings of the 7th International Conference on Automotive User Interfaces and Interactive Vehicular Applications - AutomotiveUI '15 (2015), 212–219. DOI: http://dx.doi.org/10.1145/2799250.2799279

- 24. Neville Moray and Toshiyuki Inagaki. 2000. Attention and complacency. *Theoretical Issues in Ergonomics Science* 1, 4 (2000), 354–365. DOI: http://dx.doi.org/10.1080/14639220052399159
- 25. Joel L. Morrison. 1974. A theoretical framework for cartographic generalization with the emphasis on the process of symbolization. *International Yearbook of Cartography* 14 (1974), 115–127. DOI: http://dx.doi.org/citeulike-article-id:9146840
- Steven B. Most and Daniel J. Simons. 1999. Sustained Inattentional Blindness: Dynamic Events. *Perception* 28 (1999), 1059–1074.
- 27. T. M. Pickrell, R. Li, and S. KC. 2016. Driver Electronic Device Use in 2015 (Traffic Safety Facts Research Note. Report No. DOT HS 812 326). September 2016 (2016), 1–9. https://www.nhtsa.gov/sites/nhtsa. dot.gov/files/documents/driver
- Zachary Pousman and John Stasko. 2006. A taxonomy of ambient information systems. *Proceedings of the working conference on Advanced visual interfaces -AVI '06* (2006), 67. DOI: http://dx.doi.org/10.1145/1133265.1133277
- 29. SAE International. 2016. *Taxonomy and Definitions for Terms Related to Driving Automation Systems for On-Road Motor Vehicles (J3016\_201609)*. Technical Report.
- 30. N. Sadat Shami, Gilly Lshed, and David Klein. 2005. Context of Use Evaluation of Peripheral Displays. In Proceedings of the IFIP TC13 International Conference

on Human Computer In- teraction (INTERACT), Maria Francesca Costabile and Fabio Paterno (Eds.). Springer, New York, 579–587. DOI: http://dx.doi.org/10.1007/3-540-68339-9\_34

- 31. John Stasko, Todd Miller, Zachary Pousman, Christopher Plaue, and Osman Ullah. 2004.
  Personalized Peripheral Information Awareness Through Information Art. In UbiComp 2004: Ubiquitous Computing. UbiComp 2004. Lecture Notes in Computer Science. Springer, Berlin, 18–35. DOI: http://dx.doi.org/https: //doi.org/10.1007/978-3-540-30119-6\_2
- 32. Gail M. Sullivan and Anthony R. Artino. 2013. Analyzing and Interpreting Data From Likert-Type Scales. *Journal of Graduate Medical Education* 5, 4 (2013), 541–542. DOI: http://dx.doi.org/10.4300/JGME-5-4-18
- 33. Christopher D. Wickens. 2002. Multiple resources and performance prediction. *Theoretical Issues in Ergonomics Science* 3, 2 (2002), 159–177. DOI: http://dx.doi.org/10.1080/14639220210123806
- 34. Craig Wisneski, Hiroshi Ishii, Andrew Dahley, Matt Gorbet, Scott Brave, Brygg Ullmer, and Paul Yarin.
  1998. Ambient Displays: Turning Architectural Space into an Interface between People and Digital Information. In *Cooperative Buildings*, Gerhard Goos, Juris Hartmanis, and Jan van Leeuwen (Eds.).
  Springer, Berlin, 22–32. DOI: http://dx.doi.org/10.1007/3-540-68339-9\_34