SOME HERMITIAN K-GROUPS VIA GEOMETRIC TOPOLOGY

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ABSTRACT. We compute the first two symplectic quadratic K-theory groups of the integers or equivalently, the first two stable homology groups of the group of symplectic integral matrices preserving the standard quadratic refinement. The main novelty in our calculation lies in its method, which is based on high-dimensional manifold theory.

1. INTRODUCTION

The *algebraic K-theory groups* $K_*(Z)$ of the integers are the homotopy groups of the algebraic *K*-theory spectrum K(Z). The zero component of its infinite loop space agrees with the plus construction of the stable general linear group BGL_∞(Z), so one has

$$K_*(Z) \cong \pi_* BGL_\infty(Z)^+ \text{ for } * > 0.$$

The *hermitian K-theory groups* of the integers can be defined similarly through the group completion of the automorphism groups of (ϵ, Λ) -quadratic modules for a form parameter (ϵ, Λ) à la Bak [Bak81]. For instance, in the case $(\epsilon, \Lambda) = (-1, \mathbb{Z})$, the automorphism groups are the symplectic groups Sp_{2a}(\mathbb{Z}), giving rise to the symplectic *K*-theory groups KSp_{*}(\mathbb{Z}).

Away from the prime 2, many of these hermitian K-groups have been computed by Karoubi [Kar80]. More recently, with work of Schlichting [Sch19] and forthcoming work by Calmès, Dotto, Harpaz, Hebestreit, Land, Moi, Nardin, Nikolaus, and Steimle [CDH⁺19], computations at the prime 2 became tractable by relating hermitian *K*-theory to the homotopy orbits of a C_2 -action on K(**Z**) and certain *L*-theory spectra.

In this note, we observe that some of these groups can be computed in a very different, somewhat surprising way: via parametrised high-dimensional manifold theory. We carry out the first case that is approachable from this point of view and was not known—the second hermitian *K*-group corresponding to the form parameter $(\epsilon, \Lambda) = (-1, 2Z)$. The automorphisms of the associated hyperbolic quadratic modules are the finite index subgroups $\operatorname{Sp}_{2g}^q(Z) \subset \operatorname{Sp}_{2g}(Z)$ of the symplectic groups that preserve the standard quadratic refinement $q: \mathbb{Z}^{2g} \to \mathbb{Z}/2$ of Arf invariant 0, sending $\sum_{i=1}^{g} (a_i e_i + b_i f_i)$ to $\sum_{i=1}^{g} a_i b_i \pmod{2}$ for $a_i, b_i \in \mathbb{Z}$ and $(e_i, f_i)_{1 \leq i \leq g}$ the standard symplectic basis of \mathbb{Z}^{2g} . Hence, the associated hermitian *K*-theory groups are given as

$$\mathrm{KSp}^{q}_{*}(\mathbf{Z}) \cong \pi_{*}\mathrm{BSp}^{q}_{\infty}(\mathbf{Z})^{+} \quad \text{for } * > 0.$$

The torsion free quotient of $\text{KSp}_2^q(\mathbf{Z})$ is closely related to Meyer's *signature class* $\text{sgn} \in H^2(\text{BSp}_{\infty}(\mathbf{Z}))$, which measures the signature of total spaces of manifold bundles over surfaces [Mey72, Mey73]. Its pullback to $\text{BSp}_{\infty}^q(\mathbf{Z})$ induces a morphism $\text{sgn} \colon H_2(\text{BSp}_{\infty}^q(\mathbf{Z})) \to \mathbf{Z}$ which is not quite an isomorphism, but we show that it is close to it.

Theorem. In degrees $* \le 2$, the Hurewicz map

$$\mathrm{KSp}^q_*(\mathbf{Z}) \longrightarrow \mathrm{H}_*(\mathrm{BSp}^q_{\infty}(\mathbf{Z})^+) = \mathrm{H}_*(\mathrm{BSp}^q_{\infty}(\mathbf{Z}))$$

is an isomorphism and we have

$$H_1(BSp^q_{\infty}(Z)) \cong Z/4 \quad and \quad H_2(BSp^q_{\infty}(Z)) \cong Z,$$

where the second isomorphism is given by the signature morphism divided by 8.

²⁰¹⁰ Mathematics Subject Classification. 19G38, 57S05, 55P47.

Remark.

(i) Keeping track of the stability ranges that appear in the proof of the theorem, our argument proves the more refined result that

 $H_1(BSp_q^q(Z)) \cong Z/4 \text{ for } g \ge 5, \text{ and } H_2(BSp_q^q(Z)) \cong Z \text{ for } g \ge 7.$

These stability ranges differ from those resulting from a homological stability result of Charney [Cha87, Cor. 4.5] by one degree, which is due to an improvement of a connectivity range in Charney's argument contained in [GRW18].

- (ii) The abelianisation $H_1(BSp^q_{\infty}(Z))$ was computed via very different methods in [End82, JM90], and the group $H_2(BSp^q_{\infty}(Z))$ can, up to 2-torsion, be deduced from [Kar80]. The new part of our theorem is the method of proof, and the lack of 2-torsion in the second homology group $H_2(BSp^q_{\infty}(Z))$.
- (iii) For comparison, it is known that

$$\operatorname{KSp}_1(\mathbb{Z}) \cong \operatorname{H}_1(\operatorname{BSp}_{\infty}(\mathbb{Z})) = 0$$
, and $\operatorname{KSp}_2(\mathbb{Z}) \cong \operatorname{H}_2(\operatorname{BSp}_{\infty}(\mathbb{Z})) \cong \mathbb{Z}$,

where the second isomorphism is given by the signature divided by 4. The first statement follows from the perfectness of $\text{Sp}_{\infty}(\mathbf{Z})$. The fact that the second group is free of rank 1 is well-known (see e.g. [Put12, Thm 5.1]) and the explicit isomorphism in terms of the signature is a consequence of Meyer's work [Mey72, Mey73].

Acknowledgements. This note grew out of a question about $\text{KSp}_2^q(Z)$ asked to us by Fabian Hebestreit at the 2019 Clay Research Conference, and we would like to thank him for encouraging us to make our computation available and for useful comments on an earlier version of this note. The first author was supported by O. Randal-Williams' Philip Leverhulme Prize from the Leverhulme Trust and by the ERC under the European Union's Horizon 2020 research and innovation programme (grant agreement No. 756444). The second author was supported by NSF grant DMS-1803766.

2. The computation

2.1. An elementary lemma. At several points in the proof, we shall make use of the following direct consequence of the Künneth theorem and the fact that the first *k*-invariant of a double loop space vanishes (see e.g.[Arl90]). When applied to the infinite loop space $BSp^q_{\infty}(Z)^+$, it in particular shows that the first part of the theorem is an immediate consequence of the second, using that the second homology of a cyclic group vanishes.

Lemma 2.1. Let X be a connected double loop space. The natural sequence

$$0 \longrightarrow \pi_2 X \xrightarrow{h} H_2(X) \xrightarrow{t} H_2(K(\pi_1 X, 1)) \longrightarrow 0$$

is split exact, where t is the Hurewicz homomorphism and t is induced by 1-truncation.

2.2. **Relation to mapping class groups.** The symplectic group $\text{Sp}_{2g}(\mathbb{Z})$ and its finite index *theta subgroup* $\text{Sp}_{2g}^q(\mathbb{Z}) \leq \text{Sp}_{2g}(\mathbb{Z})$ as defined in the introduction are closely related to the topological group $\text{Diff}_{\partial}(W_{g,1}^{2n})$ of diffeomorphisms of the manifold

$$W_{a,1}^{2n} \coloneqq \sharp^g S^n \times S^n \setminus \operatorname{int}(D^{2n})$$

for *n* odd that fix a neighborhood of the boundary pointwise. Indeed, the action of $\operatorname{Diff}_{\partial}(W_{q,1}^{2n})$ on the homology $\operatorname{H}_n(W_{q,1}^{2n}) \cong \mathbb{Z}^{2g}$ gives rise to a homomorphism

$$\operatorname{Diff}_{\partial}(W_{q,1}^{2n}) \longrightarrow \operatorname{GL}_{2g}(\mathbf{Z})$$

whose image agrees with $\text{Sp}_{2g}(\mathbb{Z})$ if n = 1, 3, 7 and with $\text{Sp}_{2g}^q(\mathbb{Z}) \leq \text{Sp}_{2g}(\mathbb{Z})$ for $n \neq 1, 3, 7$ odd. This is well-known for n = 1 and a consequence of [Kre79, Prop. 3] for larger *n*. This morphism evidently factors over the *mapping class group*

$$\Gamma_{a,1}^n \coloneqq \pi_0 \operatorname{Diff}_{\partial}(W_{a,1}^{2n})$$

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and the kernel of the resulting map $\Gamma_{q,1}^n \to \operatorname{GL}_{2g}(\mathbb{Z})$ is the Torelli subgroup of $\Gamma_{q,1}^n$. In contrast to the case n = 1, this subgroup is for $n \ge 3$ well understood: Kreck [Kre79] determined it up to an extension, and the remaining ambiguity was resolved in [Kra19].

In the case $n \equiv 5 \pmod{8}$ the Torelli subgroup is particularly simple as it agrees with the subgroup of isotopy classes supported in a codimension zero disc, which is in turn isomorphic to the group Θ_{2n+1} of homotopy (2n + 1)-spheres. The resulting extension

(1)
$$0 \longrightarrow \Theta_{2n+1} \longrightarrow \Gamma_{g,1}^n \longrightarrow \operatorname{Sp}_{2q}^q(\mathbf{Z}) \longrightarrow 0$$

is central, since every diffeomorphism of $W_{g,1}^{2n}$ fixes a disc up to isotopy. A priori, the homology of the mapping class group seems no less difficult to compute than that of $\operatorname{Sp}_{2a}^{q}(\mathbb{Z})$, but work of Galatius–Randal-Williams [GRW14, GRW18] allows us to access the homology of the classifying space ${\rm BDiff}_{\partial}(W^{2n}_{g,1})$ of the full diffeomorphism group in a range, which we shall use below to deduce information about the homology of $\Gamma_{q,1}^n$ in low degrees and ultimately about that of $\operatorname{Sp}_{2q}^q(\mathbf{Z})$, via the extension (1).

2.3. Analysing the extension. The right hand side of (1) is independent of the choice of dimension $n \equiv 5 \pmod{8}$, so to compute something about $\operatorname{Sp}_{2q}^{q}(\mathbb{Z})$, we may pick whichever dimension of $W_{q,1}^{2n}$ is most convenient. It turns out that n = 5 is the best choice for our purposes, because certain bordism groups appearing in our calculation are especially well-understood for n = 5 and the cokernel of the *J*-homomorphism $coker(J)_{2n+1}$ vanishes in this case, so the group of homotopy spheres Θ_{11} agrees with its cyclic subgroup bP₁₂ of homotopy spheres that bound parallelizable manifolds (see [KM63]). This group is generated by the 11-dimensional *Milnor sphere* $\Sigma_M \in bP_{12}$, which is the boundary of the E_8 -plumbing in dimension 12 (see [Lev85, §3] and [Bro72, V.2]). In the case n = 5, the Hochschild–Serre spectral sequence of (1) thus induces an exact sequence of the form

$$H_2(\mathrm{B}\Gamma^5_{g,1}) \longrightarrow H_2(\mathrm{B}\mathrm{Sp}^q_{2g}(\mathbf{Z})) \xrightarrow{d_2} \mathrm{b}\mathrm{P}_{12} \longrightarrow H_1(\mathrm{B}\Gamma^5_{g,1}) \longrightarrow H_1(\mathrm{B}\mathrm{Sp}^q_{2g}(\mathbf{Z})) \longrightarrow 0$$

Using the description of the differentials on the E_2 -page of the Hochschild–Serre spectral sequence of a general group extension with abelian kernel in terms of the extension class (c.f. [HS53, Thm 4]), it follows from [Kra19, Thm B] that the differential d_2 is given by

$$(\operatorname{sgn}/8) \cdot \Sigma_M \colon \operatorname{H}_2(\operatorname{BSp}_{2q}^q(\mathbf{Z})) \longrightarrow \operatorname{bP}_{12},$$

where sgn: $H_2(BSp_{2g}^q(\mathbb{Z})) \to \mathbb{Z}$ is the signature morphism (see e.g. [Kra19, Sect. 3.4]).

As $H_2(BSp_{2a}^q(Z))$ contains for $g \ge 2$ a class that realises the minimal signature 8 by [Kra19, Lem. 3.14]¹, this differential is surjective as long as $g \ge 2$. Under this assumption, we thus have an isomorphism $H_1(B\Gamma_{q,1}^5) \cong H_1(BSp_q^q(\mathbb{Z}))$ and a commutative diagram with exact rows and vertical epimorphisms

so to prove the theorem, it suffices to show that $H_1(B\Gamma_{q,1}^5) \cong \mathbb{Z}/4$ and that the left vertical map in the diagram is injective. Instead, we shall consider the full diffeomorphism group $\text{BDiff}_{\partial}(W_{g,1}^{10})$ and prove $H_1(\text{BDiff}_{\partial}(W_{g,1}^{10}) \cong \mathbb{Z}/4$ as well as that the divided signature

$$\operatorname{sgn}/(8|\operatorname{bP}_{12}|): \operatorname{H}_2(\operatorname{BDiff}_{\partial}(W^{10}_{a,1})) \longrightarrow \mathbb{Z}$$

is injective. This implies the previous claims on the homology of $B\Gamma_{q,1}^5$ since the canonical map $\text{BDiff}(W_{q,1}^{10}) \to \text{B}\Gamma_{q,1}^5$ induced by taking path components is 2-connected.

¹See the end of the proof of [GRW16, Thm 7.7] for a different proof of this fact for $q \ge 4$.

2.4. Low-degree homology of $\text{BDiff}_{\partial}(W_{g,1}^{10})$. The discussion above reduces the proof of the theorem to a question about the homology of $\text{BDiff}_{\partial}(W_{g,1}^{10})$, which is in a range accessible through the work of Galatius–Randal-Williams [GRW18, GRW14]. Their results in particular show that the parametrised Pontryagin–Thom collapse map

(3)
$$\operatorname{BDiff}_{\partial}(W_{a,1}^{10}) \longrightarrow \Omega_0^{\infty} \operatorname{MTString}(10)$$

induces an isomorphism in degrees $* \le (g-3)/2$. Here **MTString**(10) is the Thom spectrum Th($-\theta^*\gamma$) of the inverse of the pullback of the universal bundle over BO(10) along the canonical map θ : BString(10) \rightarrow BO(10). For $g \ge 5$, we therefore obtain an isomorphism H₁(BDiff_{∂}($W_{q,1}^{10}$)) $\cong \pi_1$ **MTString**(10) and for $g \ge 7$ a split short exact sequence

(4)
$$0 \longrightarrow \pi_2 \text{MTString}(10) \longrightarrow H_2(\text{BDiff}_{\partial}(W^{10}_{a,1})) \longrightarrow H_2(B\pi_1 \text{MTString}(10)) \longrightarrow 0,$$

as a consequence of Lemma 2.1 applied to Ω_0^{∞} MTString(10).

Consequently, to compute the first two homology groups of $\text{BDiff}_{\partial}(W_{g,1}^{10})$ for large g, it thus suffices to determine the groups π_1 **MTString**(10) and π_2 **MTString**(10). To do so, we adapt a method by Galatius–Randal-Williams [GRW16, Sect. 5.1]: writing **MString** for the Thom spectrum of the universal bundle over BString, there is a canonical stabilisation map

(5)
$$\operatorname{MTString}(10) \longrightarrow \Sigma^{-10} \operatorname{MString}$$

whose homotopy fibre admits a 5-connected map from $\Sigma^{\infty-10}$ O/O(10). As O/O(10) is 9connected, its unstable and stable homotopy groups agree up to degree 2.9 by Freudenthal's suspension theorem, so the long exact sequence induced by (5) has in low degrees the form

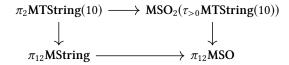
Comparing O/O(10) to the Stiefel manifold O(10 + k)/O(10) of k-frames in \mathbb{R}^{10+k} for large k, computations of Paechter [Pae56] (see also [HM65]) show that

$$\pi_{12} O/O(10) = 0$$
, $\pi_{11} O/O(10) \cong Z/4$, and $\pi_{10} O/O(10) \cong Z$.

As the group π_{11} MString vanishes by [Gia71], the long exact sequence (6) yields a short exact sequence of the form

(7) $0 \rightarrow \pi_2 \text{MTString}(10) \rightarrow \pi_{12} \text{MString} \rightarrow Z/4 \rightarrow \pi_1 \text{MTString}(10) \rightarrow 0.$

As a next step, we show that the morphism π_2 **MTString**(10) $\rightarrow \pi_{12}$ **MString** is surjective. By [Gia71], the group π_{12} **MString** is free of rank 1 and we claim that, via the Pontryagin– Thom isomorphism, a generator of this group is represented by a String-manifold of signature 8|bP₁₂|. To see this, note that every class in the bordism group π_{12} **MString** can be represented by almost parallelisable 5-connected manifold by surgery theory, so its signature is divisible by 8|bP₁₂| (cf. [KM63, Ch. 7]). On the other hand, the group π_{12} **MString** does contain such a class of signature 8|bP₁₂|, for instance the class represented by the 12-manifold obtained from the |bP₁₂|-fold boundary connected sum of the *E*₈-plumbing by gluing a 12-disc to the boundary. As a result, we have an isomorphism π_{12} **MString** \cong **Z** given by the signature divided by 8|bP₁₂|, so we are left with showing that π_2 **MTString**(10) contains a class with that signature. To see this, we consider the commutative square



In this diagram, the right arrow is the composition of the map

 $MSO_2(\tau_{>0}MTString(10)) \longrightarrow MSO_2(\Sigma^{-10}MSO)$

induced by the connected cover $\tau_{>0}$ MTString(10) \rightarrow MTString(10), the stabilisation map (5), and the canonical map MString \rightarrow MSO, with the map MSO₂(Σ^{-10} MSO) \rightarrow MSO₁₂ induced by the multiplication of MSO. The upper arrow is induced by the unit S \rightarrow MSO. Since this unit map is 1-connected and $\tau_{>0}$ MTString(10) is 0-connected, the upper arrow is surjective, so it suffices to show that the image of the right vertical map contains a class of signature 8|bP₁₂|. Chasing through the Pontryagin–Thom construction, one sees that the precomposition of the right map with the composition

$$\mathbf{MSO}_2(\mathrm{BDiff}_{\partial}(W^{10}_{q,1})) \to \mathbf{MSO}_2(\Omega^{\infty}_0 \mathbf{MTString}(10)) \to \mathbf{MSO}_2(\tau_{>0} \mathbf{MTString}(10))$$

induced by the counit $\Sigma^{\infty}\Omega_0^{\infty}$ **MTString**(10) $\simeq \Sigma^{\infty}\Omega^{\infty}\tau_{>0}$ **MTString**(10) $\rightarrow \tau_{>0}$ **MTString**(10) and (3) maps a class represented by a $W_{g,1}^{10}$ -bundle over a surface with trivialised boundary bundle to the (oriented) bordism class of its total space.

Hence it suffices to show that there is such a bundle whose total space has signature 8|bP₁₂|. This follows from the fact that the canonical map $MSO_2(BDiff_\partial(W_{g,1}^{10})) \rightarrow H_2(BDiff_\partial(W_{g,1}^{10}))$ is an isomorphism since $MSO \rightarrow HZ$ is 4-connected, together with the exactness of the first row of (2), because $H_2(BSp_{2g}^q(Z))$ contains a class of signature 8 and the map $BDiff_\partial(W_{g,1}^{10}) \rightarrow B\Gamma_{g,1}^5$ is surjective on second homology as it is 2-connected.

Having shown that π_2 MTString(10) $\rightarrow \pi_{12}$ MString is surjective, the sequences (7) and (4) result in isomorphisms of the form

$$H_1(\text{BDiff}_{\partial}(W_{g,1}^{10})) \cong \pi_1 \text{MTString}(10) \cong \mathbb{Z}/4 \text{ and} \\ H_2(\text{BDiff}_{\partial}(W_{g,1}^{10})) \cong \pi_2 \text{MTString}(10) \cong \mathbb{Z},$$

where the last isomorphism is given by the signature divided by $8|bP_{12}|$. By the discussion at the end of Section 2.3, this is sufficient to conclude the theorem.

Remark.

- (i) We remark that our calculation does not rely on the previously known computation of $H_1(BSp_q^{\alpha}(Z))$, even though some arguments in [GRW16] and [Kra19] do.
- (ii) For *n* even, the image of the morphism Diff_∂(W²ⁿ_{g,1}) → GL_{2g}(Z) given by acting on the middle homology agrees with the subgroup O_{g,g}(Z) ⊂ GL_{2g}(Z) corresponding to the form parameter (ε, Λ) = (1, 0). Its stable homology in low degrees can be extracted for instance from [GHS09, Beh75, HO89] to be

$$H_1(BO_{\infty,\infty}(\mathbb{Z})) \cong \mathbb{Z}/2^2$$
 and $H_2(BO_{\infty,\infty}(\mathbb{Z})) \cong \mathbb{Z}/2^3$,

but one might also try to compute these groups via an approach similar to the calculation for $\text{Sp}_{\infty}^{q}(\mathbb{Z})$ above. The most promising choice of *n* in this case is n = 6, since Kreck's description of $\Gamma_{q,1}^{n}$ can be used to establish an isomorphism

$$\Gamma_{q,1}^n \cong O_{q,q}(\mathbf{Z}) \times \pi_{13}$$
MString

for n = 6 [GRW16, Thm 7.2]. Given that π_{13} **MString** \cong **Z**/3 by [Gia71], it therefore suffices to compute the homology of B $\Gamma_{g,1}^6$ in low degrees. Using a similar strategy as in the case n = 5, one can calculate for large g that

$$H_1(BDiff_{\partial}(W_{a,1}^{12}) \cong \mathbb{Z}/2^2 \oplus \mathbb{Z}/3 \text{ and } H_2(BDiff_{\partial}(W_{a,1}^{12}) \cong \mathbb{Z}/2^4,$$

from which one deduces $H_1(BO_{g,g}(Z)) \cong Z/2^2$ and that $H_2(B\Gamma_{g,1}^6) \cong H_2(BO_{g,g}(Z))$ is a quotient of $Z/2^4$. However, narrowing down the isomorphism type of this second homology group further becomes increasingly more difficult than for $Sp^q_{\infty}(Z)$ and requires new ideas and inputs, which exceed the scope of this note.

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