

1 Quantifying physical transport and local proliferation of 2 phytoplankton downstream of an eutrophicated lake

3 Xinchun He^{a,b}, Hua Wang^{a,b*}, Lili Fan^{a,b}, Dongfang Liang^c, Yanhui Ao^{a,b}, Wei
4 Zhuang^d

5 ^a Key Laboratory of Integrated Regulation and Resource Development on Shallow
6 Lake of Ministry of Education, College of Environment, Hohai University, Nanjing
7 210098, China

8 ^b College of Environment, Hohai University, Nanjing 210098, China;

9 ^c Department of Engineering, University of Cambridge, Cambridge CB2 1PZ, UK;

10 ^d Nanjing Institute of Environmental Sciences, MEP, Nanjing 210042, China;

11 *. Corresponding author's e-mail: wanghua543543@163.com.

12 **Abstract:** Eutrophication in a freshwater system has mainly been studied in lakes and their
13 upstream rivers, which are responsible to bring pollutants into the lakes. However, the
14 influence of lakes on downstream rivers suffered massive algae from upstream lakes has not
15 been fully studied. Our study area is Liangxi river, downstream of Taihu Lake, which is
16 highly eutrophicated. The algae in Liangxi river has two origins: the physical transport from
17 Taihu Lake and the in-situ proliferation. This paper aims to apply numerical model to
18 quantify these two processes. The model is calibrated against the measured data in 2018. This
19 computational condition that includes both algal processes is termed as Scheme A. Then, we
20 regarded phytoplankton as a conservative substance by turning off the phytoplankton
21 biological process and calculated term it as Scheme E. We selected the chl-a concentration in
22 Hongqiao (LX2) section to represent the amount of algae in Liangxi river. The average chl-a
23 difference in this section between Schemes A and E, Δ_{ae} , can be used to quantify the
24 magnitude of *in-situ* proliferation. The Δ_{ae} varies seasonally, and the annual average Δ_{ae} is
25 7.22 mg/m³, which is 44.7% of the amount attributed to the physical transport. Liangxi river
26 lies in an urban area which might encounter extreme events which to facilitate the *in-situ*
27 proliferation, such as increased temperature and or excessive nutrient load. To quantify the
28 level of algae under extreme situations, we design Schemes B, C and D which eliminated the
29 limitation on algal growth by temperature, nitrogen and phosphorus respectively. Compared
30 with the Scheme A, Schemes B, C and D observe 21.8%, 65.7% and 61.2% respectively,

31 increase in the average algal concentration. In the vertical direction, the chl-a concentration
32 varies between 0.8mg/m^3 and 2 mg/m^3 in Scheme A, while the vertical concentration
33 variances of chl-a in schemes B, C and D are found to be 5.56 mg/m^3 , 12.11 mg/m^3 and 3.30
34 mg/m^3 , respectively.

35 Key word: Liangxi river; physical transport; in situ proliferation; numerical model

36 **1.Introduction**

37 Algal bloom is a serious problem threatening the health of aquatic ecosystem. The
38 sewage with high nitrogen and phosphorus load caused by human activity, is released into the
39 natural water, resulting in the unlimited growth of algae ([Zhao et al., 2019](#)). In the most
40 freshwater ecosystem, the dominant phytoplankton community during algal bloom period
41 were toxic cyanobacteria, such as *Microcystis aeruginosa* and *Aphanizomenon flos-aquae*
42 ([Major et al., 2018](#)). These cyanobacterial species could release a type of hepatotoxin named
43 microcystin. Long-term consuming water containing microcystin higher than $0.1\mu\text{g/L}$ ([WHO,](#)
44 [2011](#)) could induce a series of diseases including liver cancer ([Lone et al., 2015](#)). At present,
45 most studies on controlling of algal bloom focus on shallow lakes ([Pinardi et al., 2015](#);
46 [Simiyu et al., 2018](#); [Xue et al., 2018](#)), such as the cyanobacterial algal bloom in Lake Erie,
47 America. In August 2014, the water supply to 600,000 people in Toledo was shut down for
48 two days from Lake Erie ([Steffen et al., 2017](#)). Moreover, the algal bloom of Taihu Lake in
49 2007, caused the tap water contamination and made it undrinkable ([Qin et al., 2010](#)).
50 Therefore, controlling of algal bloom is important and still needs to be studied.

51 There are many factors influencing the algal bloom, excessive nutrient load was
52 commonly considered as one of the main environmental factors ([McLean and Sinclair, 2012](#);
53 [Smith and Daniels, 2018](#)). The cyanobacterial algal bloom which is especially dominated by
54 *Microcystis aeruginosa*, *Anabaena flos-aquae* and *Aphanizomenon flos-aquae*, have excellent
55 ability to absorb ambient N and P ([Harke et al., 2016a](#)) and gain a competitive advantage over
56 the other phytoplankton. Some scholars have also proposed that rising temperature may be

57 one of the causes of the bloom because cyanobacteria are thermophilic microbe with strong
58 phototaxis, temperature increasing could provide a favorable temperature condition for
59 cyanobacteria and induce the algal bloom ([Harke et al., 2016b](#); [Paul, 2008](#)). However, in
60 stream freshwater ecosystem, such as river, the vertical turbulence causes the gas vesicles of
61 cyanobacteria to lose its function and thus weakens cyanobacterial ability to rise and receive
62 light ([Bukaveckas et al., 2018](#); [Walsby and Bleything, 1988](#)). So, compared with the
63 ecosystem in those shallow and lentic lake, it is less possible for massive algal growth occur
64 in rivers. However, the presence of in algal bloom rivers may have important implications for
65 aquatic and even human health. For instance, the limitation factors of river algal bloom are
66 still unclear. Phosphorus is considered as the limiting factors of lake algal bloom. But some
67 researches about river algal bloom shows that the algal bloom still existed with reduction of
68 phosphorus ([Desortová and Punčochář, 2011](#); [Hilton et al., 2006](#)). Besides, each
69 phytoplankton has a possible velocity range which is favorable for algal growth, while it is
70 rarely considered in lake algal bloom. ([Long et al., 2011](#)) reported the most optimum flow
71 velocity is 0.04m/s for dominant phytoplankton in Jaling river.

72 Lots on researches of river water quality focused on the upstream rivers of Taihu
73 Lake, such as Wangyu river ([Pan et al., 2015](#)) and Xitiaoxi river([Lv et al., 2015](#)). But for the
74 outlets of Taihu Lake was few studied, such as our research area, Liangxi river. In recent
75 decades, the urbanization surrounding the lake developed rapidly and the increasing human
76 activities caused high nutrient load in Taihu Lake which triggered the expansion of the algal
77 bloom ([Hai et al., 2010](#); [Ma et al., 2015](#)), especially the northern Lake : Meiliang Bay, the
78 most eutrophicated part of Taihu Lake ([Wang et al., 2015](#)). Liangxi river is the outlet of the
79 Meiliang Bay. Since the outbreak of drinking water crisis in Wuxi city caused by algal bloom
80 at Taihu Lake in 2007, the pump stations between Meiliang Bay and Liangxi river started
81 working to accelerate the water circulation to improve the self-purification ability ([Li et al.,](#)
82 [2013](#); [Maier et al., 2004](#)). However, from the perspective of the water quality of Liangxi
83 river, this measure also brought massive phytoplankton to it. Due to this, the odor from

84 decayed phytoplankton threatened the health of riverside residents. The similar cases also
85 occurred in other rivers, such as the Darling River, downstream of Menindee Lake, Australia
86 ([Mitrovic et al., 2011](#)) and the upper James River, America ([Qin and Jian, 2017](#)). The overall
87 retention time in Liangxi river is shorter comparing with rivers where algal bloom were
88 reported. However, as an urban river, there are many stagnant zones in Liangxi river due to
89 human control of hydrodynamic condition. The impact of stagnant regions is shown in a study
90 of the tributaries of river Tames by ([Bowes et al., 2012](#)), who showed that the rivers that were
91 connected to canal systems or lock systems had approximately six times higher chl-a
92 concentrations than naturally flowing rivers of the same length. Thus, the size of the initial
93 inoculum of phytoplankton is considered a key factor and the addition of impoundments to
94 systems is likely to accelerate the development of phytoplankton ([O'Hare et al., 2018](#)).
95 Furthermore, Liangxi river is also an urban river which suffered highly nutrient load from
96 waste water, tributaries and urban runoff due to the development of urbanization in Wuxi city
97 in recent decades. The local condition is suitable for algal growth and might change the
98 phytoplankton community composition ([Zhao et al., 2019](#)). So, external algal input and *in-situ*
99 proliferation, which one contributes more on algal distribution in Liangxi river? How much
100 proportion did they each account? This requires more quantitatively studies on eutrophication
101 process in Liangxi river.

102 Our goal in this paper aims at: (1) developing a numerical hydrodynamic-
103 eutrophication model of Liangxi river based on field investigating data in 2018. (2) carrying
104 out numerical experiment to turn off the biological process of phytoplankton controlled only
105 by physical transport, comparing the simulation results with that considering biological
106 process to quantify the contribution of physical transport and *in-situ* proliferation on algal
107 distribution in Liangxi river. (3) Turning off the temperature, nitrogen, phosphorus limitation
108 on algal growth, to reveal the potential algal concentration in Liangxi river under excessive
109 temperature or nutrient load and to calculate each factor's limitation on *in-situ* algal growth.

110 **2. Methods and Materials**

111 **2.1 Research area**

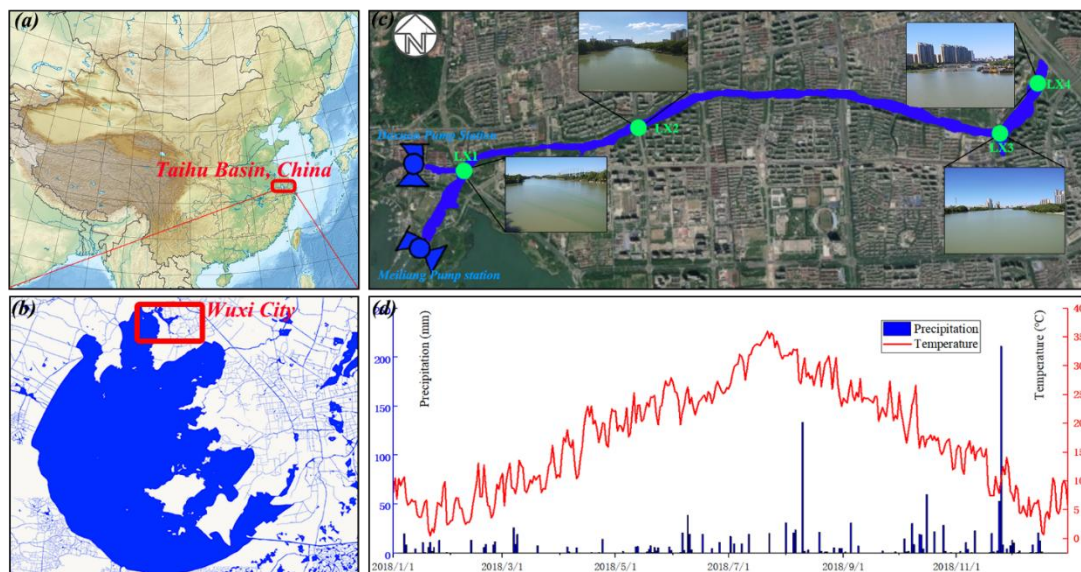
112 Liangxi river is 7.97km in length, 20-25m in width and 2.66m in average depth. And
113 it is also the primary river in Wuxi city river network, locates at the Northwest of Taihu Lake.
114 Since 2007, the drinking water crisis happened in Wuxi city, the local government opened
115 Meiliang and Duxuan Pump stations to transfer water from Taihu Lake to Liangxi river and
116 finally into Beijing-Hangzhou Canal. The maximum of each pump station could reach 50m³ /s.
117 Liangxi river has 21 tributaries, which distribute in different district in Wuxi city. Due to
118 massive algae in Liangxi river, the local government closed the gates between Liangxi river
119 and tributaries to avoid the algal bloom expands. The current inflow to Liangxi river is mainly
120 controlled by those two pump stations.

121 Liangxi river receives high nutrient load from urban human activities which could
122 support phytoplankton to grow. According to the monitoring data by at Dushan section
123 (Liangxi river) from 2010 to 2018 acquired from the website of the Wuxi hydrological
124 Bureau (<http://water.wuxi.gov.cn/>), average ammonia concentration = 0.306mg/L, total
125 phosphorus = 0.108 mg/L, TN = 1.906 mg/L. And the phytoplankton data of Meiliang Bay
126 showed that the chl-a ranged from 20.97mg/m³ to 156.95mg/m³, and dominated by
127 *Microcystis* and *Oscillatoria* ([Li et al., 2015](#)).

128 **2.2 Field investigation**

129 We investigated the geometry, water quality and hydrodynamic condition of Liangxi
130 river in 2018. The geometry of Liangxi river and discharge of Meiliang and Daxuan pump
131 stations was provided by Wuxi Hydrological Bureau. As for water quality observation, we
132 selected biological oxygen demand, dissolved oxygen, nitrate, nitrite, phosphate, chlorophyll-
133 a as our monitoring projects. The chl-a could represent phytoplankton biomass and was
134 determined by spectrophotometer and the specific procedures refer to([Environment, 2017](#)).

135 The other projects served as environmental factors influencing phytoplankton growth,
136 including total nitrogen (TN), nitrite (NO_2^-), nitrate (NO_3^-), total phosphorus (TP), phosphate
137 (PO_4^{3-}), dissolved oxygen (DO). TN was determined by Alkaline potassium persulfate
138 digestion UV spectrophotometric method ([Environment, 2012](#)). NO_2^- was measured by
139 Spectrophotometric method([Environment, 1987](#)). The determination method of TP and PO_4^{3-}
140 was Continuous flow analysis(CFA) and Ammonium molybdate
141 spectrophotometry([Environment, 2013](#)). As for DO measurement, we used the portable
142 dissolved oxygen sensor (JPBJ-608) acquire data during sampling. . As for sampling strategy,
143 we selected four typical sections in the river. LX1 is the junction of water from Meiliang and
144 Daxuan pump stations. LX2 is one of the key sections of Jiangsu province and locates inside
145 the urban area. LX3 is at the inlet of Mali river (the biggest tributary of Liangxi river). LX4
146 lies in the adjunction of Liangxi river and Beijing-Hangzhou Canal.



147

148 **Fig.1 (a) Location of Taihu Basin. (b) Location of Liangxi river, Wuxi city. (c) Map of Liangxi**
149 **river from Google Earth with sampling sites marked. (d) Temperature and precipitation of**
150 **research area in 2018.**

151

152 2.3 Numerical Experiment

153

154 2.3.1 Model Development

155 The DHI Mike 3 Flow model (FM) was applied to construct three-dimensional
 156 hydrodynamic model of Liangxi river. The computational grid was developed by Cartesian
 157 coordinates, and the sigma-coordinate transformation approach was used in the free surface.
 158 The computational mesh contained 1096 triangular elements and 3 vertical layers. The
 159 calculation time was between Jan 1st, 2018 to Dec 31st, 2018, and the timestep was set up
 160 with 60s.

161 ● **Water Current Equation**

162 The model is based on numerical solution of three-dimensional incompressible Reynolds
 163 averaged Navier-Stokes equations invoking the assumptions of Boussinesq and of hydrostatic
 164 pressure (DHI, 2009b). The local continuity equation is written as

165
$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial x} + \frac{\partial w}{\partial x} = S \dots \dots (2.1)$$

166 And the momentum equations for x- and y- component, respectively

167
$$\frac{\partial u}{\partial t} + \frac{\partial u^2}{\partial x} + \frac{\partial vu}{\partial y} + \frac{\partial wu}{\partial z} = fv - g \frac{\partial \eta}{\partial x} - \frac{1}{\rho_0} \frac{\partial p_a}{\partial x} - \frac{g}{\rho_0} \int_z^n \frac{\partial \rho}{\partial x} dz - \frac{1}{\rho_0 h} \left(\frac{\partial s_{xx}}{\partial x} + \frac{\partial s_{xy}}{\partial y} \right) + F_u + \frac{\partial}{\partial x} \left(v_t \frac{\partial u}{\partial z} \right) + u_s S \dots \dots (2.2)$$

168
$$\frac{\partial v}{\partial t} + \frac{\partial v^2}{\partial y} + \frac{\partial uv}{\partial x} + \frac{\partial wv}{\partial z} = -fu - g \frac{\partial \eta}{\partial y} - \frac{1}{\rho_0} \frac{\partial p_a}{\partial y} - \frac{g}{\rho_0} \int_z^n \frac{\partial \rho}{\partial y} dz - \frac{1}{\rho_0 h} \left(\frac{\partial s_{yx}}{\partial x} + \frac{\partial s_{yy}}{\partial y} \right) + F_v + \frac{\partial}{\partial z} \left(v_t \frac{\partial v}{\partial z} \right) + v_s S \dots \dots (2.3)$$

169 In equation (2.1), (2.2) and (2.3), t is the time, x, y, z are the Cartesian co-ordinates; η is
 170 the surface elevation; d is the still water depth; h = η + d is the total water depth; u, v, w are
 171 the velocity components in the x, y and z direction. s_{xx} , s_{xy} , s_{yx} and s_{yy} are components of
 172 the radiation stress tensor; v_t is the vertical turbulent viscosity. The vertical viscosity was
 173 calculated by log-law formulation. Bed resistance and Smagorinsky coefficient were calibrate
 174 by velocity measurement of Liangxi river. The horizontal eddy viscosity was calculated by
 175 Smagorinsky formulation (Smagorinsky, 1963).

176 ● **Transport Equation for a scalar quantity**

177 The conservation equation for a scalar quantity is given by

178
$$\frac{\partial C}{\partial t} + \frac{\partial uC}{\partial x} + \frac{\partial vC}{\partial y} + \frac{\partial wC}{\partial z} = F_C + \frac{\partial}{\partial z} \left(D_v \frac{\partial C}{\partial z} \right) - k_p C + C_s S \dots \dots (2.4)$$

179 Where C is the concentration of the scalar quantity, k_p is the linear decay rate of the
180 scalar quantity, C_s is the concentration of the scalar quantity at the source and D_v is the
181 vertical diffusion coefficient. F_c is the horizontal diffusion term defined by

$$182 \quad F_c = \left[\frac{\partial}{\partial x} \left(D_h \frac{\partial}{\partial x} \right) + \frac{\partial}{\partial y} \left(D_h \frac{\partial}{\partial y} \right) \right] C \dots \dots (2.5)$$

183 where D_h is the horizontal diffusion coefficient.

184 ● **Eutrophication Model**

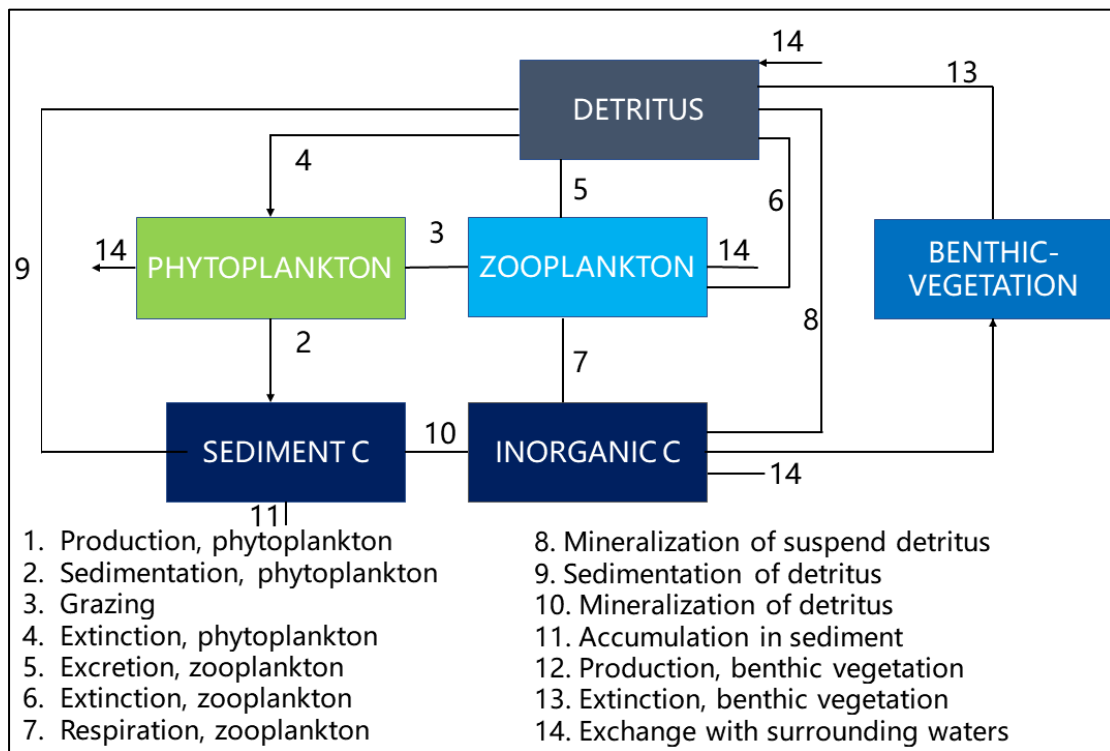
185 The “Eutrophication Model 1” in Ecolab was applied to describe the eutrophication
186 status in Liangxi river ([DHI, 2009a](#)). The fundamental principle of the eutrophication model
187 is displayed by the flow charts in [Fig.2](#). 12 variables are included in this model:
188 phytoplankton carbon (PC), phytoplankton phosphorus, phytoplankton nitrogen (PN),
189 chlorophyll-a (CH), zooplankton carbon (ZC), detritus carbon (DC), detritus nitrogen, detritus
190 phosphorus (DP), inorganic nitrogen (IN), inorganic phosphorus (IP), dissolved oxygen (DO),
191 benthic vegetation carbon (BC). Over 70 constants are included in the eutrophication model,
192 and the DHI Mike Ecolab module has provided plausible range of each parameters. Since the
193 Liangxi river originates from the Taihu Lake, which means both have similar biochemical
194 characteristics and the dominant phytoplankton community is cyanobacteria, we could build
195 the eutrophication model based on the previous laboratory tests and modelling studies of
196 cyanobacteria in Taihu Lake. We used 14 representative parameters from their studies to
197 build the eutrophication model, their values are presented in [Table.1](#). For further accuracy of
198 the model, we selected 5 sensitive parameters to calibrate and validate our model by
199 monitoring results in LX1-LX4. According to ([Huang et al., 2012](#)), chl-a concentration in the
200 model was sensitive to parameters related to algal growth (especially the P uptake) and loss
201 (due to respiration). Thus we selected growth rate of phytoplankton, uptake of phytoplankton
202 nitrogen, death of phytoplankton chlorophyll as parameters to calibrate the eutrophication
203 model.

204

Table.1 List of Parameters in Hydrodynamic and Eutrophication Model

Parameters	Unit	Min	Max	Value	Reference
Growth rate phytoplankton	(/d)	0	2.5	1.8	Calibrate
Half-saturation constant for P uptake	g /m ³	0.001	0.05	0.015	Calibrate
Death of phytoplankton chlorophyll	g/m ³ /d	0.05	0.27	0.15	Calibrate
Coefficient for max. chl-a production	1/(E/m ² /d)	1.1	1.9	1.1	(Mao et al., 2008)
Oxygen reaeration constant	(/d)	0	5.32	1.5	(Mao et al., 2008)
Temperature dependency growth rate	-	0.08	1.08	1.05	(Mao et al., 2008)
Light extinction constant phytoplankton	m ² /g	20	50	27	(Jiang et al., 2018)
Light extinction background constant	m ²	0.35	0.55	0.45	(Jiang et al., 2018)
Light extinction constant SS	m ² /g	0.1	1	0.25	(Jiang et al., 2018)
Sinking rate of phytoplankton	(m/d)	0.016	0.1728	0.086	(Huang et al., 2012)
Half-saturation constant for N uptake	g /m ³	0.1	0.6	0.14	(Ding et al., 2005)
intracellular concentration of N	mg/m ³	0.07	1.07	0.25	(Li et al., 2015)
intracellular concentration of P	mg/m ³	0.002	0.03	0.025	(Li et al., 2015)

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206

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Fig.2 Simplified Flow Charts of Material Cycling in “Eutrophication Model 1”

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209 2.3.2 Boundary Setting and Calculation Schemes

210

The Temperature and wind dataset are provided by China Meteorological Data

211

Sharing Service System (<http://cdc.cma.gov.cn:8081/home.do>). The initial water quality

212

condition was set as the spatial interpolation of measured data at in LX1, LX2, LX3, LX4 in

213 Jan 1st, 2018. The inflow boundary was set by the discharge data of Daxuan and Meiliang
214 pump station. As Fig.3 shows, In 2018, Meiliang and Daxuan pumping station jointly
215 transferred water to liangxi river, and the average daily water volume was 21.05 m³/s.

216 We divided the year 2018 into three periods based on operation mode of the two
217 pump stations. Period I: Daxuan pump station opened while Meiliang pump station closed.
218 From Jan 1st to 5th (24.85m³/s) and from Dec 22nd to 31st (20.45m³/s). Period II: Meiliang
219 Pump station on and Daxuan pump station off. From Jan 6th to 31st (19.41 m³/s), Mar 20th to
220 May 7th (21.16 m³/s) and From Aug 25th to Dec 21st (21.43 m³/s). Period III: the two pump
221 stations run alternately and ensure the daily discharge to Liangxi river was around 20.64 m³/s.
222 From Feb 1st to Mar 19th (20.85 m³/s), May 8th to Aug 24th (21.43 m³/s).

223 At first, we input our geometry and hydrological (precipitation, discharge, water level)
224 data to build the basic hydrodynamic model. Based on the calibrated hydrodynamic model,
225 we add ecological part of chl-a which denotes the phytoplankton biomass into the model, then
226 calibrated the model with chl-a monitoring data in 2018. The modeling results represents
227 phytoplankton distribution under real situation (Scheme A). In order to separate the physical
228 transport and local proliferation, we turned off the ecological process of chl-a and treated it as
229 chemical substance, this modeling result represents the physical transport of algae (Scheme E).
230 Temperature, light, nitrogen and phosphorus are considered as main impact factors on the *in-*
231 *situ* proliferation. We designed 3 schemes (B, C, D) which turned off each factor's limitation
232 respectively (Light was not separated in these schemes, since Liangxi river locates in urban
233 area where the surrounding buildings will create variant covering effect in different time and
234 that is hard to quantify.). The description of these 5 schemes were displayed in Table.2. For
235 quantifying each factor's effect on *in-situ* proliferation, we defined a parameter: γ_i to
236 represent each factors limitation.

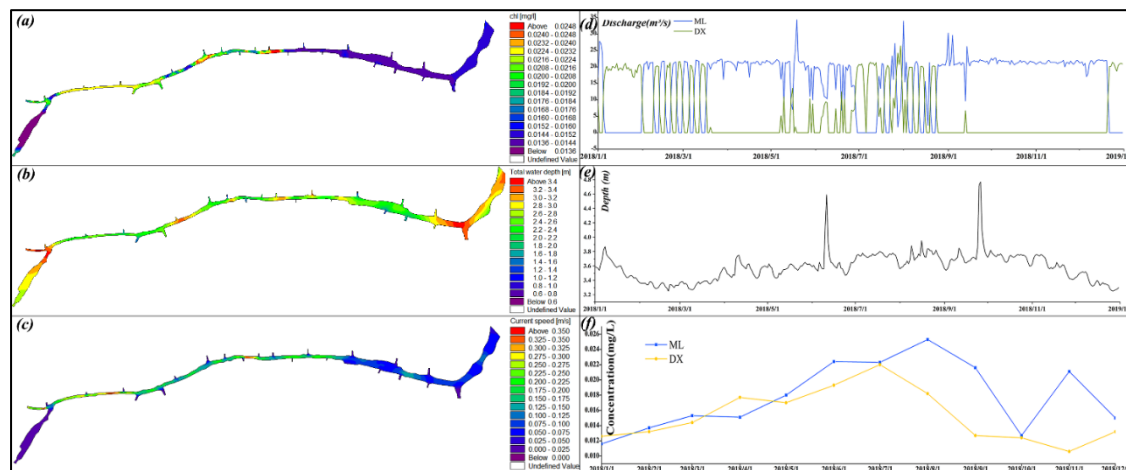
$$\gamma_i = \frac{c_i - c_a}{c_i - c_e} \quad i \in (b, c, d)$$

237 The c_a, c_b, c_c, c_d, c_e were calculation results of scheme A, B, C, D and E respectively.
 238 The larger the γ_i is, the greater the restriction degree of the i^{th} factor on the algae growth (plan
 239 A) in actual situation. In another word, the potential risks on algal growth induced by the i^{th}
 240 factor is greater.

241 **Table.2 Calculation Schemes**

Scheme	Description	Considering Limitation		
		Temperature	Nitrogen	Phosphorus
A	All Factors Considered	✓	✓	✓
B	Elimination Temperature Limitation	-	✓	✓
C	Elimination of Nitrogen Limitation	✓	-	✓
D	Elimination of Phosphorus Limitation	✓	✓	-
E	Physical Transport	-	-	-

242



243

244 Fig.3 The thermal graph in (a), (b) and (c) showed the initial condition of chl-a , water depth and
 245 velocity; The daily discharge of Meiliang (ML) and Daxuan (DX) pump station was presented in
 246 (d) ; (e) is the curve of water level at the junction between the Liangxi river and the Beijing-
 247 Hangzhou Canal; (f) is the monthly chl-a concentration at the upstream of the two pump stations.

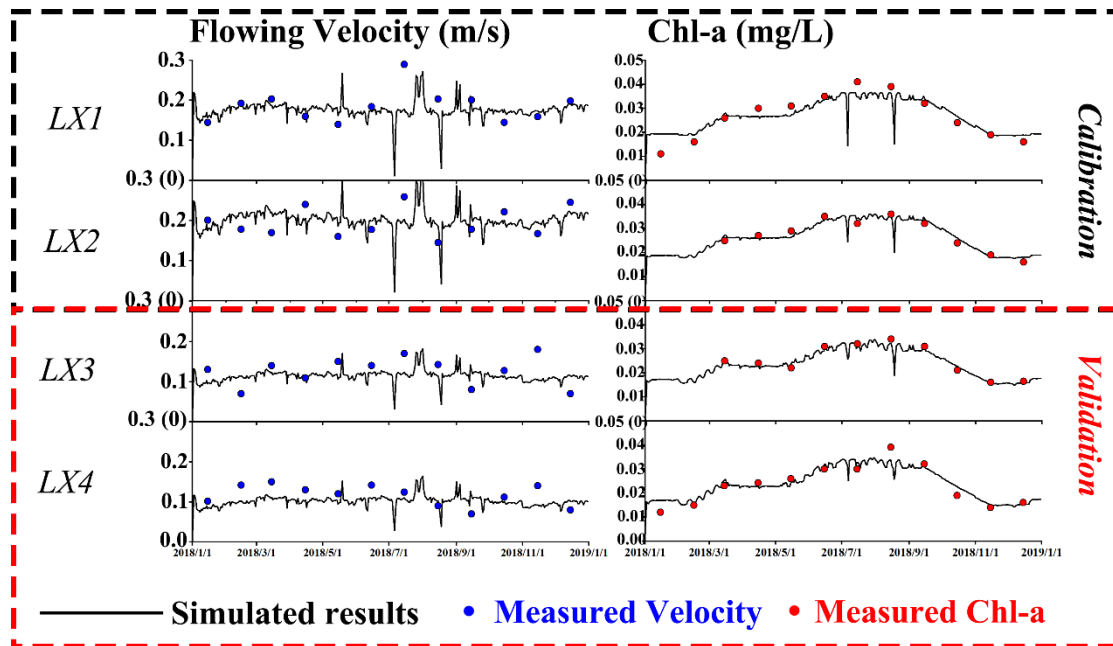
248 3. Results and Discussion

249 3.1 Model performance

250 Fig.4 The model with algal biological process was calibrated and validated by the
 251 monitoring results in Liangxi river. In hydrodynamic part, the bed resistance was set as 0.05m.
 252 According to (Mangelsdorf et al., 1990), the bed resistance in a channel with unregulated
 253 rocks and benthic vegetation ranged from 0.040~0.067m. In ecological part of the model,
 254 through 60 times calculation of with 5 different values of phytoplankton growth rate, 4
 255 different values of half-saturation constant for P uptake, 3 different values of death of

256 phytoplankton chlorophyll. We found a set of these parameters which were 1.8 d^{-1} , 0.015
257 mg/L , 0.15 d^{-1} respectively. According to the parameters calibration results reported by
258 ([Huang et al., 2012](#)), the phytoplankton grow rate was set as 1.145 d^{-1} . In the modelling study
259 conducted by ([Wang et al., 2017](#)), it was assigned as 1.15 d^{-1} . However, based on the
260 parameters sensitivity study of numerical model in Taihu Lake conducted by ([Jiang et al.,](#)
261 [2018](#)), the algal growth rate was set as 2 d^{-1} . The growth rate of phytoplankton in our model
262 was set as 1.8 d^{-1} which was in plausible range between previous modelling study of algal
263 bloom in Taihu Lake. Phosphorus is reported as limiting factors for algal bloom in freshwater
264 lakes ([Hu et al., 2018](#); [Wang et al., 2019](#)). As a result, the half-saturation constant for P
265 uptake were usually identified as sensitive parameters for algal models. ([Mao et al., 2008](#))
266 assigned this constant as 0.002 mg/L . According to ([Ding et al., 2007](#)), the half saturation
267 constant for P uptake was set as 0.015mg/L , which is same value as our calibration result.
268 Death of phytoplankton is the main method to control the loss of chl-a concentration, since
269 our research area is a river channel and the flow disturbance would accelerate the
270 phytoplankton death. So our calibration results of the phytoplankton death rate were relatively
271 higher than other studies. For example, the death rate of phytoplankton was set as 0.10 d^{-1} by
272 ([Wang et al., 2017](#)). The calculated results of chl-a were shown in [Fig.4](#) indicated that the
273 calculated value agree well with the field investigated data, and the relative errors at site LX3
274 and LX4 respectively ranged from $18.5\% \sim 19.6\%$. The model was capable of scientifically
275 reflecting phytoplankton growth processes in Liangxi river.

276 According to the monitoring results, because of the river input was fully controlled by
277 pump station and there was no tributary enter into Liangxi river, the daily calculation results
278 in these sites shared an same fluctuation pattern. And the velocity variance depends on section
279 width. The flowing velocity in LX2 ranged from $0.1\text{-}0.3 \text{ m/s}$ with 42m the section width, and
280 flowing velocity in LX4 ranged from $0.05\text{-}0.15\text{m/s}$ with 74m the section width. The chl-a
281 calculation results all showed an pattern that higher in summer (June-September, $35 - 40$
282 mg/m^3) and lower in other seasons ($20 - 30 \text{ mg/m}^3$).



283
284 **Fig.4 Calibration and Validation of simulated value in Liangxi River.**

285 **3.2 Separation of in situ Proliferation and Physical Transport**

286 LX2, Hongqiao, located in the urban area of wuxi city, is one of the key section of
 287 Jiangsu province, which can be used as a typical section to represent the eutrophication
 288 condition of Liangxi river. The annual variation calculation results of the vertical average chl-
 289 a of Hongqiao section are shown in Fig.5. The correlation coefficient between calculation
 290 results of scheme A and E is 0.720 ($p=0.000$), indicating that the chl-a temporal variation
 291 pattern considered all factors was basically similar to that of physical transport. We could
 292 draw a conclusion that the temporal variation of chl-a in 2018 was mainly controlled by
 293 physical transport. Through comparison between curve A and E, we found: both calculation
 294 results of A and E stayed steady when pump stations operated isolated. Take results in
 295 January as an example, the chl-a concentration keep on 10 mg/m^3 through physical transport
 296 but 23.19 mg/m^3 considering biological process. When the pumping station runs alternately,
 297 the result of chlorophyll-a in the corresponding scheme E also produces strong fluctuation.
 298 For instance, during 1st Feb to 15th March, which was recovery period of algae in Taihu Lake,
 299 both chl-a concentration in scheme A and E showed an increasing trend. However, the

300 fluctuation of curve A is less than that of curve B. We deduced that is the zooplankton in
301 scheme A model, the predator of phytoplankton, increasing its predation to the sudden rise of
302 algal input to avert the sudden acceleration of algal biomass growth in Liangxi river.
303 According to [Fig.5](#), the annual difference value of scheme A and E is 7.22 mg/m³, which
304 accounted for 41.7% of the annual average chl-a through physical transport.

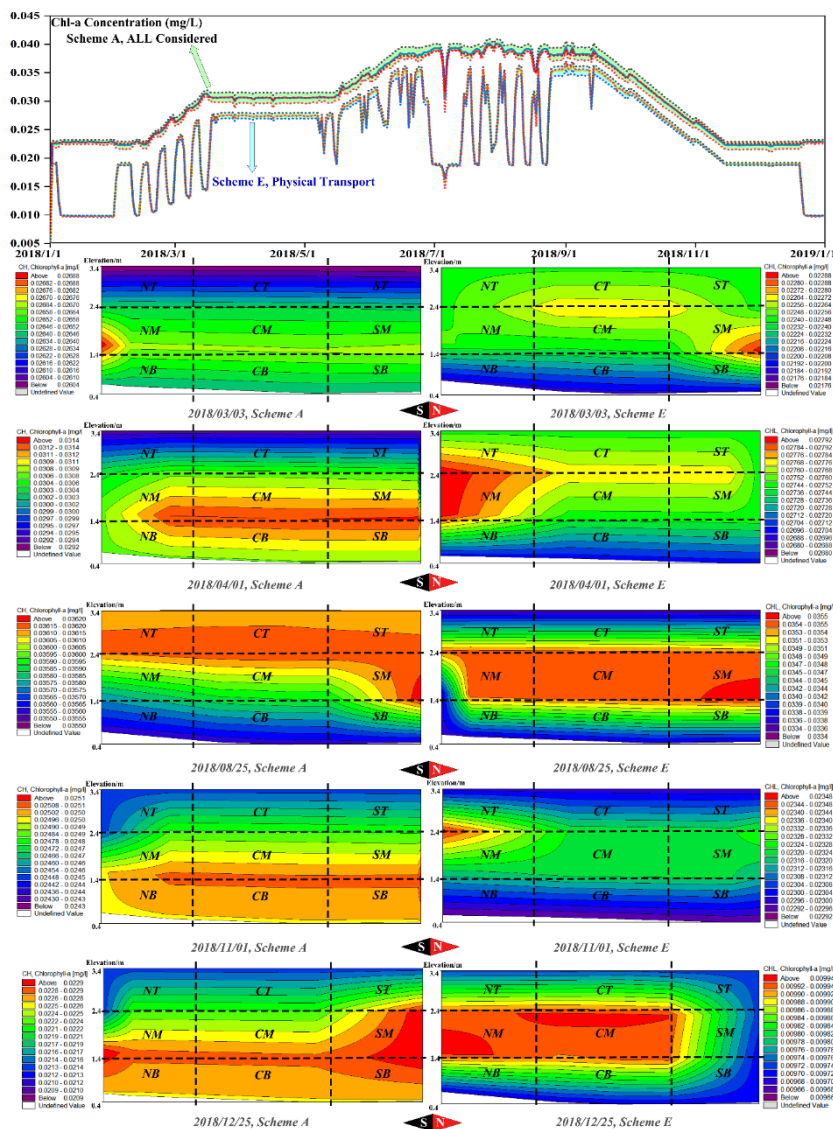
305 Moreover, this difference value (Δ_{ae}) varied in different water transfer periods. In
306 period I, Daxuan pump station on and Meiliang pump station off, Δ_{ae} ranged from 13.12
307 mg/m³. In period II, Meiliang pump station off and Daxuan pump station on, Δ_{ae} ranged from
308 2.0 ~ 4.4 mg/m³. In period III, two pump station ran alternately, Δ_{ae} varied from 2.0 m³ ~
309 18.0 mg/m³. We could find that the *in-situ* proliferation of the algae in period I was 3.3~6.5
310 times larger than that in period II. The probable reason might be some algae trapped by the
311 spiral flow field at LX1 in period I. As [Fig.5](#) shows, because the elevation in north is larger
312 than south([Gu, 2007](#)), the water from Daxuan pump station in period I tend to flow down to
313 the south and the pump force the water to go east, as [Fig.6](#) shows, that created a clockwise
314 helical flow and a portion of phytoplankton was trapped by this. However, the flow in other
315 periods didn't showed that pattern. Thus, the substrate concentration in Liangxi river in period
316 I is significantly smaller. Based on the growing curve of algae, low substrate algal
317 concentration showed a higher ability to absorb nutrient and gain more chl-a increment
318 ([Fukuan et al., 2017](#)).

319 The chl-a we discussed above mainly focus on vertical average concentration, since
320 the vertical variance ranged from 0.8-2.0 mg/m³, which is negligible. However, it is still
321 important to figure out the vertical distribution of algal *in-situ* growth. To analyze the vertical
322 algal distribution in Hongqiao section, we selected chl-a in 5 typical days which could reflect
323 chl-a distribution in different periods respectively as [Fig.5](#) showed. And we divided the

324 section horizontally into North (N, 14m from left bank), Central (C), and South (S, 14m from
325 right bank). In vertical scale, we divided the section into Top layer (T, 1m from water),
326 Middle layer (M), Bottom layer (B, 1m from bed). The section was separated into 9 regions as
327 [Fig.5](#) showed. And the vertical distribution patterns at each time could be described by
328 [Table.3](#). For instance, on Mar 3rd, which was in period III and spring (Temperature and light
329 intensity was low). Algae in scheme A mainly assembled in NM region, in which chl-a
330 concentration was 26.28mg/m³. The general pattern of chl-a distribution is that in M > B > T,
331 N > C > S. As for the calculation results of scheme E, the algae mainly concentrated in SM
332 region (22.69mg/m³), showed the pattern that chl-a in M > T > B, S > C > N. Based on the
333 difference of vertical distribution pattern between scheme A and E, we could draw a
334 conclusion that the *in-situ* proliferation contributed to vertical variance, which varied in
335 different water transfer periods and seasons.

336 The Liangxi river is shallow and its flow regime is easy to be influenced. The pump
337 station transferring water from Taihu Lake might induce the vertical disturbance. Besides, the
338 wind impact (Stokes drift) might change the algae migration ([Constantin, 2006](#); [Wang et al.,](#)
339 [2016](#)). The vertical turbulence intensity increasing could accelerate the algae mixture in
340 vertical scale and impair the buoyancy of phytoplankton ([Liu et al., 2017](#)). It can be seen from
341 the chl-a distribution in schemes A and E that the vertical difference value ranged from 0.8
342 mg/m³ ~2 mg/m³, which was small vertical variance. However, the difference still existed and
343 that was different in scheme A and E. That difference means *in-situ* proliferation might
344 changed the vertical distribution pattern. The algae in scheme A possessed with phototaxis,
345 thermotaxis and trophotropism would migrate to the favorable region ([Coles and Jones, 2000](#);
346 [Mineeva and Mukhutdinov, 2018](#); [Yang et al., 2012](#)). In the vertical distribution thermal
347 diagram in [Fig.5](#), scheme E represented the algal distribution dominated by vertical
348 turbulence, while scheme A denoted that was dominated by both vertical turbulence and *in-*
349 *situ* proliferation of algae. We found that the vertical distribution of algae in schemes A and E

350 did not gather in the surface water flow during the non-algal bloom period, possibly because
 351 the rapid surface water flow inhibited the algal growth and assemblage (Huang et al., 2016). It
 352 is also possible that wind disturbances caused the algae on the surface to sink faster. In algal
 353 bloom period which was during June to September. According to scheme E results in
 354 2018/8/25, under the effect of the vertical turbulence caused by alternately water transferring,
 355 the algae concentrated at the middle layer. However, in scheme A, algae with biological
 356 properties tended to spread to top layer. This might attribute to the phototaxis and thymotaxis.



357
 358 **Fig.5** Upper curve denotes the chl-a calculation results of scheme A and E in Hongqiao station
 359 (LX2). The solid line represents the average chl-a section, the black dotted line represents the
 360 concentration of chl-a in the surface water, the red dotted line represents the concentration of
 361 chl-a in the middle water, and the blue dotted line represents the concentration of chl-a in the
 362 bottom water. The thermal charts below represent the vertical distribution of chl-a

363

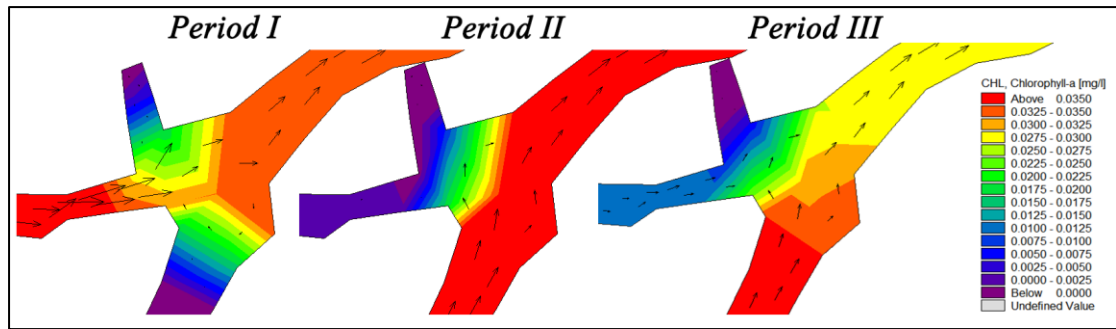


Fig.6 The flow direction at LX1 in different water transfer period

Table.3 Chl-a Vertical Distribution at LX2 Calculated by Scheme A and E

Time	Period	Scheme	Concentrated Regions	Distribution Pattern
2018/3/3	III, Spring	A	NM (26.28mg/m ³)	M > T > B, S > C > N
		E	SM (22.69mg/m ³)	M > T > B, S > C > N
2018/4/1	II, Spring	A	CM (31.10 mg/m ³), SM (31.11 mg/m ³)	M > T > B, S > C > N
		E	NM (27.70 mg/m ³), NT (27.48 mg/m ³)	M > T > B, N > C > B
2018/8/25	III, Summer	A	ST (36.15 mg/m ³) CT (36.15 mg/m ³)	T > M > B, S > C > N
		E	SM (35.55 mg/m ³) CM (35.55 mg/m ³)	M > T > B, S > C > N
2018/11/1	II, Fall	A	SB (25.02 mg/m ³) CB (25.02 mg/m ³)	B > M > T, N > C > S
		E	NM (23.40 mg/m ³) NT (23.48 mg/m ³)	M > T > B, N > C > S
2018/12/25	I, Winter	A	SM (22.65mg/m ³)	B > M > T, S > C > N
		E	SM (9.94 mg/m ³) CM (9.94 mg/m ³)	M > T > B, N > C > S

3.3 Potential Algal Concentration Under Rising Temperature and Excessive Nutrient

Nutrients and temperature are regarded as the main factors limiting algal growth. To quantify each limiting effect on algal *in-situ* proliferation, we set 3 schemes (Scheme B, C, D) which eliminated temperature, nitrogen and phosphorus effect on algal growth respectively. On the other hand, the calculation results of these schemes could also represent the potential chl-a concentration in Liangxi river under rising temperature or excessive load in river. The calculation results and the limitation degree (γ_i) were shown in Fig.7 including chl-a concentration in the top, middle and bottom layer. In scheme B, we eliminated the temperature effect, which also means the seasonal influence was eliminated. But chl-a

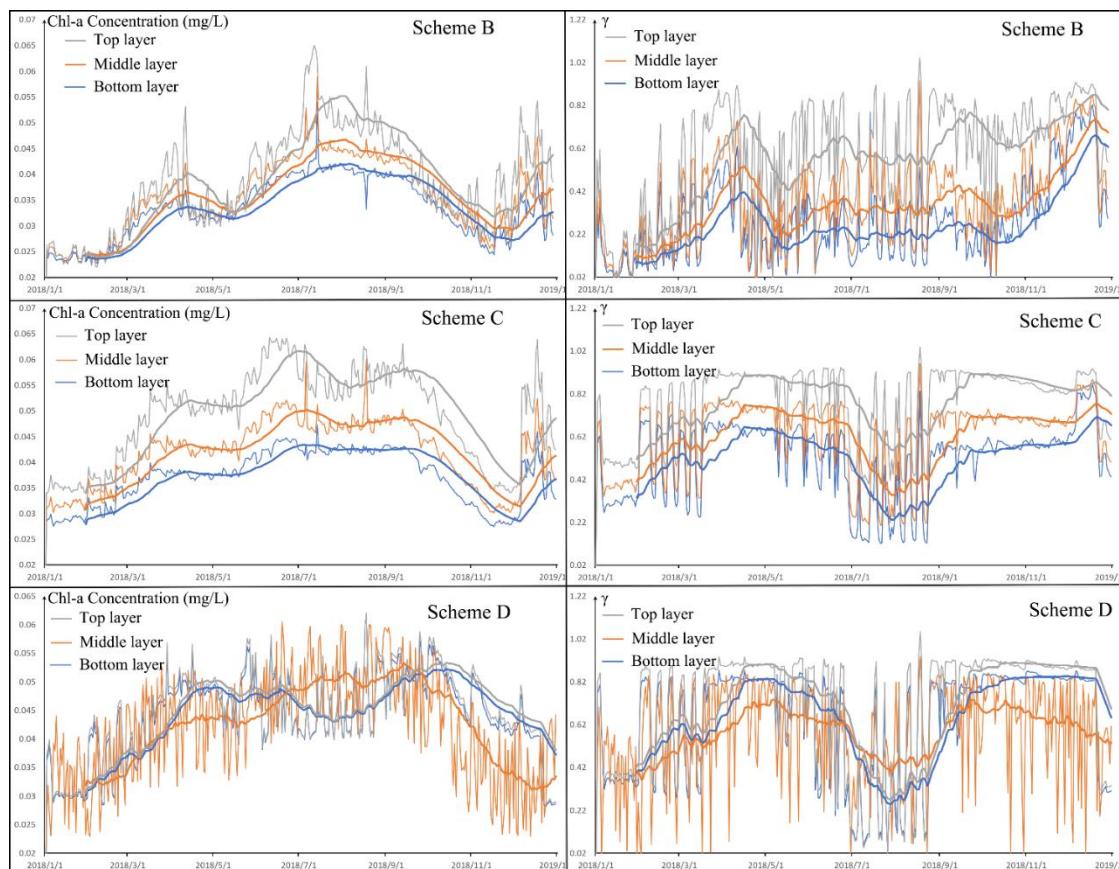
378 concentration in scheme B still showed a similar temporal pattern with that in scheme A:
379 higher in summer and lower in other seasons. We could draw a conclusion that the temporal
380 pattern in chl-a concentration in scheme A was mainly controlled by the inoculum of algae,
381 rather than the algal growth in Liangxi river. However, eliminating these limiting effects did
382 facilitate the algal growth. In scheme B, the maximum of chl-a reached 65.0 mg/m³, and the
383 annual average concentration has increased 21.8% comparing with chl-a concentration in
384 scheme A. The annual average of the temperature limitation parameter (γ_b) on algal growth
385 was 0.368. In scheme C, when nitrogen limitation on algal growth was removed, the
386 maximum chl-a concentration could reach 64.9 mg/m³, the annual average chl-a concentration
387 increased 65.7% from that in scheme A. The annual average of the nitrogen limitation
388 parameter (γ_c) on algal growth was 0.623. In scheme D, phosphorus limitation was
389 eliminated, the maximum chl-a concentration was up to 62.2 mg/m³, annual average chl-a
390 increased by 61.2% relative to that in scheme A. The annual average of the phosphorus
391 limitation parameter (γ_b) on algal growth was 0.580.

392 By comparing the chl-a concentration under different extreme events, we found the
393 potential algal concentration increment induced by climate warming was less than that
394 induced by excessive nutrient load. Averagely speaking, the temperature limitation effect on
395 algal growth was less than the nutrient limitation. Although the global warming was reported
396 to be main factor to trigger and expand algal bloom ([Deng et al., 2016](#); [Jatmiko et al., 2016](#);
397 [Manning and Nobles, 2017](#); [Paul, 2008](#)), the insufficient nutrient was not capable to support
398 massive algal growth induced by temperature rising. Through comparing nitrogen and
399 phosphorus effect on algal growth, in real situation, the limitation effect of the latter on the
400 growth of algae was less than the former. In other word, the potential algal concentration
401 induced by excessive phosphorus might be less than by nitrogen. This was different with most
402 researches on algal bloom control in other places, many researchers believe phosphorus was
403 the main limiting factors on algal growth ([Conley et al., 2009](#); [Irie et al., 2018](#); [Wurtsbaugh,](#)
404 [2015](#); [Zeng et al., 2016](#)). And controlling the exogenous phosphorus into the aquatic

405 ecosystem was the most efficient way to limit algal growth. However, because Liangxi river
406 received massive algal inoculum from the upstream, which also brings potential endogenous
407 nutrient (PC, PN, PP). And that could serve as nutrient supplement and increased the buffer
408 power to sustain phosphorus support ([Zhu et al., 2013](#)). Moreover, in algal bloom period, the
409 phytoplankton dominated by cyanobacteria would accelerate the phosphorus releasing from
410 the sediment. However, the research about estimating the TN and TP load in Taihu Lake
411 indicated that the amount of nitrogen releasing was less than that of phosphorus ([Zhao et al.,](#)
412 [2013](#)). Therefore, controlling the input of exogenous nitrogen into the river may be more
413 effective than controlling the inflow of phosphorus into the river.

414 Moreover, the vertical variance of chl-a concentration was also amplified when
415 eliminating the effect of algal growth comparing with that in scheme A: The average vertical
416 differences of scheme B, C and D through 2018 was 5.56 mg/m³, 12.11 mg/m³ and 3.30
417 mg/m³ respectively (the average difference in scheme A was 1.2 mg/m³). When nitrogen
418 limitation removed, the algal concentration difference was largest among all the schemes.
419 Which indicated the nitrogen impact on algal growth was the dominant factor on sustaining
420 vertical homogeneity of chl-a concentration. Without nitrogen limitation, algae showed a
421 distribution pattern : chl-a in top layer > middle layer > bottom layer. However, in real
422 situation, the sediment will release inorganic nitrogen such as ammonia under the disturbance
423 of the overlying water ([Qin and Hu, 2018](#)). Besides, the nitrogen fixing reaction at the surface
424 layer was anaerobic. High dissolved oxygen inhibited that reaction at the top layer. Thus, the
425 inorganic nitrogen was less than that at the bottom layer ([Paerl, 2017](#)). In order to acquire
426 more inorganic nitrogen, the phytoplankton might have a tendency to sink down. But the
427 vertical difference of each scheme shows different patterns. Chl-a in scheme B and C showed
428 that the concentration in the top layer > middle layer > bottom layer. In these two schemes,
429 $c(T) - c(M)$, which was the chl-a concentration difference between top layer and middle layer,
430 basically equaled to the value of $c(M) - c(B)$, which was the chl-a difference value between
431 middle layer and bottom layer. However, in scheme D, chl-a concentration in middle layer

432 was higher than that in top and bottom layer from July to September, lower in the other
 433 months. In our model, the phosphorus input was the sum of mineralization of detritus,
 434 phytoplankton, zooplankton and the release from sediment(Prentice et al., 2019). The
 435 mineralization would decrease with increased depth, and the phosphorus limitation effect in
 436 the middle layer would be greater than that in the top layer. However, the sediment in the
 437 bottom layer would support more phosphorus supply under the overlying water turbulence.
 438 Thus, the middle layer phosphorus limitation degree was greater than that in the top layer and
 439 the bottom layer. As the vertical distribution in scheme E on Aug 24th showed in Fig.5, the
 440 chl-a was supposed to gather in the middle layer only considering the physical transport. Thus,
 441 when phosphorus limitation was eliminated, the chl-a in the middle layer showed more
 442 potentials on algal growth.



443
 444 **Fig.6 The left three charts showed the calculation results of scheme B, C and D. The three**
 445 **charts on the right was the calculation results of γ . The thicker lines in these charts are 30-**
 446 **day average of the calculation results.**

447

448 **4. Conclusions**

449 In a lake-river system, the dynamic interaction between the lake and river can play a
450 key role in hydro-environment. The Liangxi river receives massive algal input from the
451 upstream Taihu Lake. Hence, there are two processes contributing to the algal concentration
452 in Liangxi river: physical transport and *in-situ* proliferation. In this paper, we developed a
453 numerical hydrodynamic-phytoplankton model to simulate the chl-a concentration evolution
454 in Liangxi river, which was calibrated by field investigation data. In order to separate the
455 physical transport and *in-situ* proliferation processes, we simulated the chl-a distribution due
456 to the physical transport by turning off the biological process of the algae, which is termed as
457 Scheme E. The model that considered both the physical transport and biological processes is
458 named Scheme A. Through the results in Schemes A and E, we found that the temporal
459 pattern of chl-a concentration largely depended on physical transport. The *in-situ* proliferation
460 process exerted a smaller influence and its contribution relied on the season and the water
461 transfer pattern at the two upstream pump stations. By comparing the chl-a change in LX2 in
462 Schemes A and E, we found that the chl-a concentration fluctuated drastically when the two
463 pump stations working alternatively. In scheme E, the buffer effect associated with the
464 biological process led to a relatively small fluctuation of the chl-a concentration. Moreover,
465 the difference in the chl-a vertical distribution in LX2 between Schemes A and E indicated
466 that *in-situ* proliferation had an impact on vertical migration in such a highly turbulent river.

467 *In-situ* proliferation's impact on chl-a concentration is limited by temperature and
468 availability of nutrients. We eliminated temperature, nitrogen and phosphorus limitations,
469 respectively, in Schemes B, C and D. The results in these Schemes could represent the
470 potential chl-a concentration under the extreme events such as extreme warming and
471 excessive nutrient input. We introduced a parameter γ_i based on these results to quantify the
472 temperature, nitrogen, phosphorus limitation effects on algal growth in Liangxi river.
473 Comparing results in Schemes B, C and D, we found the different limitation factors on algal
474 growth showed the following trend: temperature < phosphorus < nitrogen. Hence, the algal

475 bloom in Liangxi river can be effectively avoided by controlling the nitrogen level. The
476 pollutant releasing from sediment was not taken into consideration in this paper, which might
477 influence the chl-a vertical distribution. We are going to take sample of river sediment next
478 year and design an experiment to study the sediment releasing effect of Liangxi river. The
479 experiment results are expected to be added into sediment module to make the model more
480 accurate.

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Highlights:

- Algal input from upstream dominant the seasonal change of chl-a in Liangxi river
- In situ proliferation played an important role in algal vertical distribution
- Potential chl-a growth induced by excessive nutrient or temperature was quantified