

Staniford, Leanne and Schmidtke, Kelly (2020)A systematic review of handhygiene and environmental-disinfection interventions in settings with children. BMC Public Health, 20. ISSN 1471-2458

Downloaded from: http://e-space.mmu.ac.uk/624955/

Version: Published Version

Publisher: BioMed Central

DOI: https://doi.org/10.1186/s12889-020-8301-0

Usage rights: Creative Commons: Attribution 4.0

Please cite the published version

https://e-space.mmu.ac.uk

Staniford and Schmidtke BMC Public Health https://doi.org/10.1186/s12889-020-8301-0

RESEARCH ARTICLE

A systematic review of hand-hygiene and environmental-disinfection interventions in

(2020) 20:195

Leanne J. Staniford and Kelly A. Schmidtke

settings with children

Abstract

Background: Helping adults and children develop better hygiene habits is an important public health focus. As infection causing bacteria can live on one's body and in the surrounding environment, more effective interventions should simultaneously encourage personal-hygiene (e.g. hand-hygiene) and environmental-disinfecting (e.g. cleaning surfaces). To inform the development of a future multi-faceted intervention to improve public health, a systematic literature review was conducted on behavior change interventions designed to increase hand-hygiene and environmental-disinfecting in settings likely to include children.

Methods: The search was conducted over two comprehensive data-bases, Ebsco Medline and Web of Science, to locate intervention studies that aimed to increase hand-hygiene or environmental-disinfecting behavior in settings likely to include children. Located article titles and abstracts were independently assessed, and the full-texts of agreed articles were collaboratively assessed for inclusion. Of the 2893 titles assessed, 29 met the eligibility criteria. The extracted data describe the Behavior Change Techniques (version 1) that the interventions employed and the interventions' effectiveness. The techniques were then linked to their associated theoretical domains and to their capability-opportunity-motivation (i.e., COM-B model) components, as described in the Behavior Change Wheel. Due to the heterogeneity of the studies' methods and measures, a meta-analysis was not conducted.

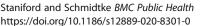
Results: A total of 29 studies met the inclusion criteria. The majority of interventions were designed to increase hand-hygiene alone (N = 27), and the remaining two interventions were designed to increase both hand-hygiene and environmental-disinfecting. The most used techniques involved shaping knowledge (N = 22) and antecedents (N = 21). Interventions that included techniques targeting four or more theoretical domains and all the capabilityopportunity-motivation components were descriptively more effective.

Conclusions: In alignment with previous findings, the current review encourages future interventions to target multiple theoretical domains, across all capability-opportunity-motivation components. The discussion urges interventionists to consider the appropriateness of interventions in their development, feasibility/pilot, evaluation, and implementation stages.

Registration: Prospero ID - CRD42019133735.

Keywords: Hand-hygiene, Handwashing, Disinfection, Health behavior, Public health

© The Author(s), 2020 Open Access This article is distributed under the terms of the Creative Commons Attribution 4.0 International License (http://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The Creative Commons Public Domain Dedication waiver (http://creativecommons.org/publicdomain/zero/1.0/) applies to the data made available in this article, unless otherwise stated.





Open Access

^{*} Correspondence: K.Schmidtke@mmu.ac.uk; Kelly.Ann.Schmidtke@icloud.com

Department of Psychology, Manchester Metropolitan University, Brooks Building, 53 Bonsall Street, Manchester M15 6GX, England

Background

The World Health Organization describes hygiene practices as those "that help to maintain health and prevent the spread of diseases" [1]. These practices include behaviors to disinfect one's body and surrounding environment [2]. Because bacteria that cause infection can live on one's body and in the surrounding environment, preventing the spread of infectious diseases may require interventions that simultaneously encourage both personal- and environmentaldisinfecting [3]. To improve public health many hand-hygiene interventions have been conducted in school-settings, wherein students may act as "agents of change" by carrying lessons about hygiene from school back to their home to influence family behavior [4–6]. The current systematic review was conducted to inform the development of future multifaceted interventions that aim to increase hand-hygiene and environmentaldisinfecting behaviors in settings likely to include children.

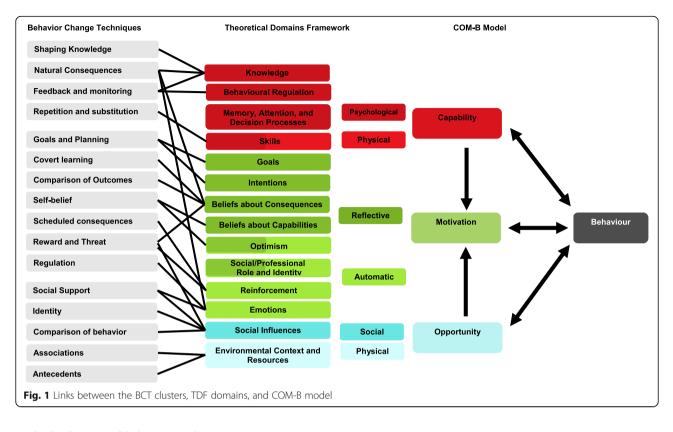
Two recent systematic reviews closely informed the current review. The first is Willmott et al.'s 2016 review that included 18 school-based randomized controlled trials with hand-hygiene focused interventions [7]. The effectiveness of the interventions were assessed in terms of their ability to reduce negative health-related outcomes: absences and/or the spread of respiratory tract or gastrointestinal infections. The descriptions of the interventions suggest that most involved education/ training (N = 15) and fewer involved infrastructural changes (N = 4). Only one study included measures of environmental-disinfecting (N = 1) and none included direct measures of hand-hygiene behavior (N = 0). Overall, they found equivocal evidence for the effectiveness of school-based interventions. However, as none of the studies directly measured hand-hygiene, it is uncertain whether they even influenced the process variable they were designed to most directly influence: hand-hygiene behavior. One of the effective interventions in this review took place in a childcare center, and this intervention simultaneously targeted hand-hygiene and environmental-disinfecting [8]. To this end, the current review aims to include studies that assess the effectiveness of interventions designed to improve hand-hygiene and/or environmental-disinfecting.

The second review that influenced the current review was conducted by Huis et al. in 2012 [9]. Huis et al.'s review included 41 intervention studies published between 2000 and 2009 to increase healthcare workers' handhygiene compliance. In this review, the interventions were categorized according to the behavioral determinants that they were designed to influence [10, 11]. In so doing, this review brings together a wide range of interventions with a purposeful intervention terminology to guide future intervention development via the Behavior Change Wheel [12, 13]. The Behavior Change Wheel is a formal methodology that helps interventionists identify the most common reasons for sub-optimal behavior by providing a comprehensive list of empirically and theoretically informed reasons, e.g. lacking knowledge or resources to perform the desired behavior. The Behavior Change Wheel can be used as part of the first step in the Medical Research Council's four-step Complex Intervention Development and Evaluation Framework. The steps include (1) Design, (2) Feasibility/piloting, (3) Evaluation and (4) Implementation [14]. This first step is important, because interventions designed to target uncommon reasons are unlikely to yield practically significant improvements.

Since Huis et al.'s review, the possible reasons for sub-optimal behavior have been more completely described in a taxonomy called the Theoretical Domain Framework (TDF) [15]. The TDF condenses 112 behavioral constructs into 14 domains that affect behavior: 'Knowledge,' 'Behavioral Regulation,' 'Memory attention and decision processes,' 'Skills,' 'Goals,' 'Intentions,' 'Beliefs about consequences,' 'Beliefs about capabilities,' 'Optimism,' Social/Professional role and identity,' 'Reinforcement,' 'Emotions,' 'Social influences,' and 'Environmental context and resources.' These 14 domains are further condensed into the COM-B model's three components, which exclusively and exhaustively explain why behaviors do or do not occur. The three COM-B components (and subcomponents) include Capability (physical/psychological), Opportunity (social/physical), and Motivation (reflective/automatic); the 'B' stands for Behavior. If even a single COM-B component is lacking, then a desired behavior is less likely to occur.

The TDF domains and COM-B model components are displayed in the second and third columns of Fig. 1. The links between them are indicated with shared colors, e.g. a dark red color is used to describe the link between the 'Knowledge' domain and the Capability-psychological component. After diagnosing the reasons for suboptimal behavior, the Behavior Change Wheel helps interventionists select the most appropriate intervention techniques. Ninety-three empirically and theoretically informed techniques are grouped into 16 clusters by the Behavior Change Techniques (BCTs) Taxonomy, version 1, e.g. shaping knowledge, goals and planning, social support, etc. [16]. In Fig. 1, the 16 BCT clusters are linked to their associated TDF domains by lines drawn across the first and second columns [17]. For example, the shaping knowledge technique is best suited to influence the 'Knowledge' domain.

Huis et al.'s 2012 review found that interventions targeting only one domain, e.g. only 'Knowledge' or only 'Goals,' were less effective than those that targeted multiple domains, e.g. 'Knowledge' and 'Goals.' Therefore, they suggest that future interventions should simultaneously target



multiple domains, likely across the COM-B components, to increase optimal behavior. As the current review aims to influence the development of future multifaceted interventions, Huis et al.'s use of a purposeful intervention development terminology is desirable. Thus, the current review also categorizes interventions according to the techniques used and the domains/components targeted. In so doing, the current review will also guide future intervention development via the Behavior Change Wheel.

In summary, the current literature review was planned around two broad objectives. First, we aimed to learn what behavior change techniques had already been assessed to increase hand-hygiene and environmentdisinfecting in settings likely to include children, e.g. schools, homes, etc. Second, where possible, we aimed to compare the effectiveness of these techniques and the domains/components they targeted. The discussion puts forth recommendations for the development of future multifaceted interventions.

Methods

The current systematic review is reported in accordance with the Preferred Reporting Items for Systematic Review and Meta-Analysis (PRISMA) statement [18]. The review's protocol was registered on 28th of May 2019 with PROSPERO: International Prospective Register of Systematic Review (Registration ID: CRD42019133735).

Eligibility criteria

The eligibility criteria were determined using the PICO characteristics, i.e. characteristics describing the studies' population, intervention(s), comparison(s) and outcome(s) [19]. The population characteristic was defined to include humans in settings likely to contain children less than 10years-old and to exclude settings unlikely to contain children (e.g. manufacturing settings) and studies focused on non-human species. The intervention characteristic was defined to include studies that manipulated malleable factors likely to influence human behavior and to exclude comparisons of cleaning materials and non-malleable variables like gender. The comparison characteristic was defined to include any control or comparison condition, i.e. both randomized and pre-post observational trials. Finally, the outcome characteristic was defined to include handhygiene and environmental-disinfecting behavior measures. Environmental-disinfecting behavior was understood to entail the use of cleaning products to kill harmful germs that can cause illness.

Information sources and search strategy

The search terms and selected databases were reviewed by the research team and library staff (Table 1). In addition to the search terms three inclusion criteria were applied. First, the articles had to be written in English, because no translation services were available to the research team. Second, the articles had to be published in

PICO Characteristic	Search Terms								
Population	(day-care OR "day care" OR childcare OR nursery OR school OR kindergarten OR student OR teacher OR child OR children OR parent OR community OR park OR playground OR home OR homes OR house OR household)								
Intervention	behavio*								
Comparison	("randomized controlled trial" OR "randomised control trial" OR "randomized controlled trials" OR "randomised control trials" OR rct OR quasi-experimental OR observational OR "pre-test" OR pretest OR "post-test" OR posttest OR "crossover trial" OR "cross-over trial" OR intervention)								
Outcome(s)	(wash OR washing OR hygiene OR clean* OR disinfect* OR sanitize OR sanitise OR soap)								

Table 1 PICO characteristics and search terms

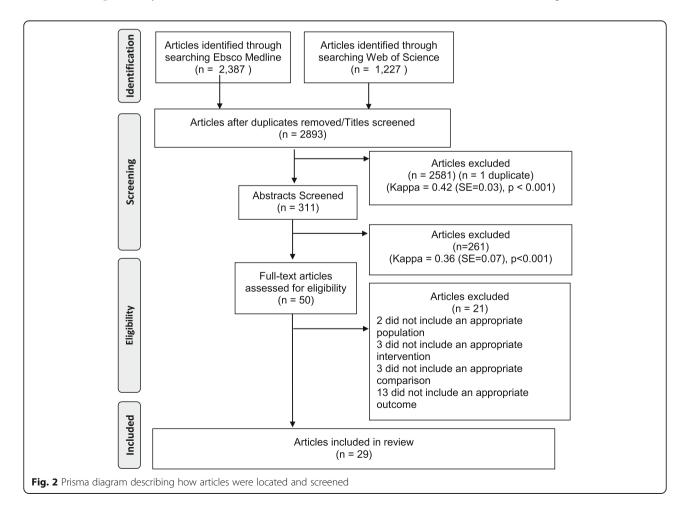
peer-reviewed journals, to narrow the scope of the review to articles more likely to include relevant information. Third, the articles had to be published on or after January 2009. The final search was conducted on the 27th of April 2019 over EBSCOhost Medline and Web of Science Core Collection.

Study selection and data collection process

One researcher located the articles and then uploaded them to EndNote[™] to combine, detect, and delete duplicate references. The remaining articles were uploaded to Rayyan QCRI [20]. Then, two researchers used Rayyan QCRI to independently screen titles and abstracts for inclusion. Full-text articles were collaboratively screened. The stages of the search and screening process are described in Fig. 2.

Data extraction

Two reviewers extracted study data from the articles using data extraction questions first piloted on smaller samples of included studies. After the data extraction questions were finalized, each reviewer independently extracted data from approximately half of the included articles. The extracted data included study details, intervention descriptions, outcome descriptions, and findings. The interventions were defined according to the Behavioral



Change Techniques Taxonomy, version 1 [16], and each technique's cluster was linked to the theoretical domains and COM-B components, as described in Fig. 1 (also see Additional file 1 for the list of behavior change techniques and clusters); as discussed in the introduction, these links are informed by previous research [15–17]. The data extraction process was planned to permit a narrative summary of what types and numbers of behavioral domains and components were most likely to increase hand-hygiene and environmental-disinfecting.

Overall quality assessment

One researcher reviewed articles to assess the studies' overall quality using tools developed by the United States Department of Health and Human Services for controlled intervention and observational pre-post studies [21]. Each tool contains a checklist of items, e.g. asking about the sample-size and participant retention rates. To summarize the quality of the articles a five-star assessment was used. Four of the stars were assigned by taking the total number of positively indicated items divided by the total number of items: 1 star was given for positively indicating 25 to 49% of the items, 2 for 50 to 74%, 3 for 75 to 99%, and 4 stars for 100%. An additional star was given to those articles that use a randomized controlled trial methodology.

Data synthesis

Narrative syntheses, with tallies, are used to summarize the findings. Tables are used to describe and aggregate summaries.

Results

Of the 2893 titles assessed, 29 met the eligibility criteria (see Additional file 2). The reviewer agreements were moderate for screened titles (89.56%, Kappa = 0.42, p < 0.001) and abstracts (81.02%, Kappa = 0.36, p < 0.001). The studies took place mostly in Bangladesh (N = 6), and Kenya (N = 4). Fewer took place in India (N = 2), Peru (N = 2), South Africa (N = 2), the United States of America (N = 2), Zambia (N = 2), China (N = 1), Indonesia (N = 1), Iraq (N = 1), Laos (N = 1), Malawi (N = 1), Malaysia (N = 1). Most of the studies were publically funded (N = 24).

Study characteristics

Regarding the study designs, 7 were pre-post without randomization, 18 were pre-post with randomization, and the remaining 4 were randomized controlled trials with only post-intervention comparisons. Approximately one-third of the studies were pre-registered (N = 11). Nearly all of the studies indicated being granted approval by an ethics committee before commencing (N = 28).

The remaining study did not indicate whether ethical approval was sought [22]. Prior to collecting data, a power analysis was conducted for most studies (N = 22), but this analysis was not always for an observable, behavioral measure, e.g. alternative primary outcomes included diarrhea episodes [23] and microbial counts [24]. Most of the interventions took place in schools (N = 12) or households with children (N = 13); fewer took place in pediatric settings (N = 2) or involved multiple locations, such as schools and other community centers or households (N = 2).

Regarding whose behavior was measured, 11 studies focused on the behavior of household members including children and adults, 16 focused on children/students, 1 looked at mother and child pairs [6], and 1 looked at pediatric healthcare workers [25]. Only 17 of the studies indicated the gender of their participants. In 23 studies some information about participants' age was provided or could be inferred, e.g. from participants' grade levels.

Outcomes

All of the studies included a behavioral measure of hand-hygiene, but only 20 reported a significant increase in at least one measure of hand-hygiene, i.e. handwashing, handwashing with soap, or handwashing at key times (e.g. after defecation or before food preparation), compared to a control group or a pre-intervention measure. Nine interventions found no significant effect of the intervention condition on hand-hygiene. Only two studies included a measure of environmental-disinfecting, both were related to food preparation and both found significant increases. As so few articles were found for environmental-disinfecting, the remainder of the current results section focuses on hand-hygiene. In nearly half of the studies (N = 13), a health outcome measure was also recorded, such as absenteeism, diarrhea-symptoms, hospitalization episodes, and infection rates. The Additional file 3 provides details about the studies' settings, participants, interventions, comparisons, outcome measurements, and results.

Behavior change technique clusters and the COM-B model

The types and numbers of behavior change technique clusters (BCTs) employed are summarized in Table 2. Across the 29 studies the most commonly employed BCTs involved *shaping knowledge* (N = 22) and *antecedents* (N = 21). A moderate number of interventions involved *associations* (N = 14), *social support* (N = 12), *feedback and monitoring* (N = 10), *comparison of behaviors* (N = 8), and *goals and planning* (N = 7). Fewer interventions involved *repetition and substitution* (N = 5), *reward and threat* (N = 4), and *scheduled consequences* (N = 1) [26]. None of the interventions involved

Table 2 The behavior change techniques reported alongside their associated theoretical domains, COM-B components, and	
whether significant benefits of the intervention were obtained	

Behavior Change Technique ^a										Total Number			Sig. Benefits								
Trials	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	Techniques	Domains	Components	$\rm HH^{\rm b}$	EDc
1.Bieri et al. (2013) [26]	1													✓			2	3	1	Yes	-
2.Biran et al. (2014) [27]	1	√	1	1								✓					5	7	3	Yes	-
3.Briceño et al. (2017) [23]		1		1	1	1						√	✓				6	6	3	Yes	-
4.Bulled et al. (2017) [28]				1	√		1					✓					4	4	3	Yes	-
5.Burns et al. (2018) [29]							1			✓		✓					3	3	2	No	-
6.Caruso et al. (2014) [30]		√		1								✓					3	3	2	Yes	-
7.Chard et al. (2018) [31]			1	1	√			1				✓					5	6	3	No	-
8.Dreibelbis et al. (2016) [32]							1					✓					2	1	1	Yes	-
9.Friedrich et al. (2018) [33]	1	√	1	1	√		1	1				✓					8	9	3	Yes	-
10.Galliani et al. (2016) [22]			1	1													2	3	3	Yes	-
11.Gautam et al. (2017) [34]	1	√	1	1	√	√	1			✓			✓				9	9	3	Yes	Yes
12.Geresomo et al. (2018) [35]			1	1	√	1						✓					5	4	3	Yes	Yes
13. Graves et al. (2012) [36]				1			1					✓					3	2	2	No	-
14.Greenland et al. (2016) [37]			√	1	1	1				✓							5	5	3	Yes	-
15.Grover et al. (2018) [38]				1			1					✓					3	2	2	Yes	-
16.Huda et al. (2012) [39]			√	1													2	3	3	No	-
17.Husain et al. (2018) [40]				1	1												2	3	3	No	-
18.Larson et al. (2018) [41]	√	√	√	1			1					✓					6	7	3	Yes	-
19.Lewis et al. (2018) [6]	√	√		1	1		1	1				✓					7	8	3	No	-
20.Linam et al. (2011) [25]		√	1	1								✓					4	5	3	Yes	_
21.Luby et al. (2018) [42]	√	√	√	1	1	1	1			✓		✓					9	9	3	Yes	-
22.Naluonde et al. (2018) [43]							1										1	1	1	No	-
23.Oswald et al. (2014) [44]												✓					1	1	1	No	_
24.Parvez et al. (2018) [45]												✓					1	1	1	Yes	-
25.Pickering et al. (2013) [46]				1	1	1	1	1		1		✓					7	7	3	Yes	-
26.Ram et al. (2017) [47]					1		1					√					3	3	3	No	_
27.Saboori et al. (2013) [24]		√		√	✓							√					4	5	3	Yes	-
28.Solehati et al. (2017) [48]			1	1		1	1										4	4	3	Yes	-
29.Watson et al. (2019) [49]				✓	1	✓						✓					4	3	3	Yes	_

^a 1 = Goals and planning, 2 = Feedback and Monitoring, 3 = Social Support, 4 = Shaping knowledge, 5 = Natural consequences, 6 = Comparison of behaviors, 7 = Associations, 8 = Repetition and Substitution, 9 = Comparison of outcomes, 10 = Reward and threat, 11 = Regulation, 12 = Antecedents, 13 = Identity, 14 = Scheduled consequences, 15 = Self-belief, 16 = Covert learning

^b HH = Hand-Hygiene

^c ED = Environmental Disinfecting

comparison of outcomes, regulation, self-belief, or covert learning. The interventions included as few as one BCT cluster [43-45] and as many as nine [34, 42]. Of the 29 included studies, 3 used a single BCT cluster, 15 included 2 to 4, and 11 included 5 or more. The mean number of BCT clusters per intervention that did not find a significant benefit for hand-hygiene was 3.00 (SD = 1.94, Mdn = 3). The mean number of interventions that did find a significant benefit was descriptively higher, i.e. 4.65 (SD = 2.30, Mdn = 4).

Using the links provided in Fig. 1, the number of studies that targeted each TDF domain and COM-B component were tallied. The most frequently targeted domains were 'Knowledge' and 'Environmental context and resources' (both N's = 22). Fewer studies targeted 'Emotions' (N = 20), 'Beliefs in consequences' (N = 15), 'Social Influences' (N = 14), 'Behavioral Regulation' (N = 10), 'Goals' (N = 7), 'Intentions' (N = 7), 'Reinforcement' (N = 5), 'Skills' (N = 4), and 'Optimism' (N = 1 [26];. No interventions targeted 'Beliefs about capabilities.' As a reminder no BCTs are linked to the 'Memory attention and decision processes' domain or 'Social/Professional role and identity' domain, and therefore it is not surprising that these domains were not targeted by any interventions. The studies targeted between 1 and 9 domains, with the average study targeting 4.38 domains (SD =2.51, Mdn = 4). Of the 14 studies that targeted less than 4 domains, 7 (50%) found positive effects of the intervention. In contrast, of the 15 studies that targeted 4 or more domains, 13 (87%) found positive effects of the intervention.

Regarding the COM-B model, almost all the studies targeted Capability (N = 28), and many targeted <u>Opportunity</u> (N = 24) and <u>Motivation</u> (N = 21). Five of the studies only targeted one component, of which four targeted <u>Opportunity</u> and one targeted <u>Motivation</u>; only three of these five studies (60%) found a significant benefit. Four of the studies only targeted two components, of which three targeted <u>Capability</u> and <u>Opportunity</u> and one targeted <u>Motivation</u>; only two of these studies (50%) found a significant benefit. The remaining 20 studies targeted all three COM-B components, and 15 of these studies (75%) found a significant benefit.

Methodological quality

The quality assessment for each study is provided in Additional file 4. As a reminder the studies were assessed with five stars, where four stars were allocated based on the percentage of assessment criteria met, and one star was added to studies that used a randomized controlled trial methodology. Of the 29 studies included, 2 studies received one star [36, 44], 21 received three stars, 2 received four stars [6, 43], and 4 received two stars [25, 27, 32, 33].

Synthesis of results

The co-authors agreed that a pooled estimate of the effects would be misleading, due to the heterogeneity of the populations examined, research methods employed, and outcomes measured.

Discussion

The current systematic review located 29 studies with interventions designed to increase hand-hygiene in settings likely to include children. Of the 29 studies, only 2 were also designed to increase environmental-disinfecting behavior. Individual study results suggest that interventions may increase hand-hygiene and environmentaldisinfecting, but the behavior change techniques they employed and domains/components they targeted varied. The most targeted domains were 'Knowledge' and 'Environmental context and resources.' Descriptively, interventions targeting four or more theoretical domains and those targeting all the COM-B components were more likely to succeed.

The findings of this literature review align with other reviews emphasizing the value of multifaceted interventions. As stated in the introduction, the COM-B model proposes that people need sufficient Capability, Opportunity, and Motivation to perform a desired behavior. If even a single component is lacking, then people will be less likely to perform the desired behavior [12]. Agreeing with the COM-B framework, Harvey and Kitson argue that interventions meant to influence a greater range of people with more complex problems often require multifaceted approaches [50]. As hand-hygiene is likely a complex behavioral problem, interventions designed to affect a single component may prove inadequate to produce either population-level benefits (as individuals experience different barriers) or individual-level benefits (as each individual experiences multiple barriers that need to be simultaneously overcome).

Comparing interventions designed to affect each TDF domain or COM-B component, in isolation and combination, would help interventionists better understand how these domains/components influence each other. However, such factorial experimental designs will prove difficult to conduct given real-world constraints. Further the scientific exactness of factorial designs are likely outside the scope of many studies with more practical aims. In many studies, hand-hygiene is operationalized as a process variable (that may or may not be measured) meant to impact a health outcome (that is measured), and previous systematic reviews have largely focused on practical health outcomes. For example, Willmott et al.'s (2016) review located 18 randomized controlled trials that investigated the effectiveness of hand-hygiene interventions on children's absences and infections [7]; Meadows et al.'s (2004) review located 6 studies evaluating the effectiveness of antimicrobial rinse-free hand sanitizer interventions on elementary school children's absenteeism due to communicable illness [51]; and Wilson et al.'s (2006) located 12 studies that investigated the effectiveness of hand-hygiene interventions to decrease infections and absenteeism [52].

Studies focusing on hand-hygiene behavior itself are likely more common in health care settings [53–55], where hand-hygiene compliance audits are already common. In contrast, in school-settings hand-hygiene compliance audits may prove difficult to fund, develop, and faithfully implement. As a result of these difficulties, interventions in school settings are often evaluated using the data that schools already regularly collect, e.g. absences, or that parents/students can self-report with reasonable face-validity, e.g. diarrhea episodes. While outcomes like absences and diarrhea episodes are certainly important, the present research team argues that

Limitations

Several limitations of the current review will now be acknowledged. First, the search only included two databases, articles published in the English language, and did not extend to the grey literature. Given the current research team's time and resource constraints, these restrictions were necessary. A future review aiming to understand what techniques have been attempted (with or without being assessed) may find it useful to include the grey literature. Another limitation of the review is its rigid focus on observable behaviors. Indeed, most studies discarded from the review during the full-text screening were lost because they did not include measures of observable behavior, but rather only included self-reported measures.

Recommendations for future intervention studies

The current review recommends that future interventions designed to increase hand-hygiene or environmentaldisinfecting in settings likely to include children target multiple theoretical domains and all COM-B components. Which domains are targeted will depend on the particular setting and population. For example, if the particular setting already includes sufficient infrastructure for children to carry out hand-hygiene, e.g. soap and a water basin, then providing more soap or installing new water basins is unlikely to produce a beneficial effect; though, making children aware of such materials might. The only way to be more certain about what barriers a particular population experiences is to conduct formative research in the selected setting with the selected population, e.g. structured observations, focus groups, interviews, surveys, etc. Such formative research should aim to comprehensively examine all the possible barriers that could influence hand-hygiene, because if even a single component is lacking, then beneficial effects of the intervention are less likely to be realized. The Behavior Change Wheel can be used to guide the development of multifaceted interventions, and the selection of the most appropriate intervention functions (e.g. education or persuasion) and policy categories (e.g. guidelines or legislation) through which those interventions can be delivered [58].

Of course, selecting behavior change techniques is only part of the intervention development process. Beyond targeting the right barriers, the intervention must be implemented through an appropriate mode. To bolster the appropriateness of the ultimate intervention, interventionists can use the APEASE criteria [59]. APEASE is an acronym in which each letter stands for a different appropriateness-criterion: Affordability, Practicality, Effectiveness, Acceptability, Side-effects, and Equity. A sample of questions researchers might ask themselves about each criterion are provided in Table 3. The APEASE criteria should be consulted iteratively during an intervention's development, feasibility/pilot testing, evaluation, and implementation [58]. Considering the APEASE criteria during the development phase is important; if stakeholders do not believe the intervention is appropriate, then the intervention will prove difficult to scale and spread even if the intervention's effects are found to be beneficial.

The present review focused on the behavior change techniques, theoretical domains, and COM-B components interventionists should consider when developing a multifaceted intervention. After developing a multifaceted intervention, the Behavior Change Wheel and the Medical Research Council's Complex Intervention Development and Evaluation Framework recommend feasibility/pilot testing [12, 14]. Specific information regarding how to feasibility/pilot test an intervention study is outside the scope of the present review. Briefly here, note that while one may be uncertain about the benefits of a intervention before full-scale testing, feasibility/pilot tests help one to become more certain about the parameters needed for a fair full-scale test of that intervention's effectiveness.

 Table 3 The APEASE criteria and example questions

Criteria	Example Questions									
Affordable	Would others be able and willing to pay to implement the intervention?									
Practicability	Would others have sufficient physical resources or sufficiently trained staff to implement the intervention?									
Effectiveness	Would others believe the likely effect-size of the intervention was sufficient to justify the time and resources necessary to implement it?									
Acceptability	Would relevant stakeholders (public, professional, and political) deem the intervention socially appropriate?									
Side-effects	What side-effects (positive or negative) are likely to emerge and how could they be monitored? How can potential negative side-effects be mitigated?									
Equity	Will the intervention increase unwanted disparities in different people's standard of living, psychological wellbeing, or physical wellbeing?									

Many, often costly, trials that do not first feasibility/pilot test their interventions ultimately fail to find significant effects due to factors that better planning may have mitigated, e.g. the sample-size was too low, people found the intervention unacceptable, or intervention implementation was inadequate [60–62].

Conclusions

The current literature review identified 29 studies with interventions that aimed to increase hand-hygiene, 2 of which also aimed to increase environmental-disinfecting. In alignment with previous findings, this review finds that interventions that simultaneously target more theoretical domains and all COM-B components are descriptively more likely to succeed. The review also notes that very few trials examine hand-hygiene and environmentaldisinfecting simultaneously and encourages more studies to do so, as this may be the most cost-effective way to halt reinfection cycles. In the discussion, interventionists were urged to consider the appropriateness of their interventions in the development, feasibility/pilot, evaluation, and implementation stages. This iterative and methodical process can encourage better scale and spread of effective interventions that increase hand-hygiene and environmental-disinfecting behaviors in settings likely to include children.

Supplementary information

Supplementary information accompanies this paper at https://doi.org/10. 1186/s12889-020-8301-0.

Additional file 1. Links between the Theoretical Domains and Behavior Change Technique (version 1) used in the current research project.

Additional file 2. References for articles included in the review.

Additional file 3. Characteristics of included studies in the systematic review.

Additional file 4. Quality assessments conducted using the United States Department of Health and Human Services tool for pre-post studies.

Abbreviations

CG: Control Group; ED: Environmental Disinfecting; F: Female; HH: Hand; HW: Handwashing; HWWS: Handwashing with soap; IG: Intervention Group; M: Male; TDF: Theoretical Domains Framework

Acknowledgements

The authors would like to acknowledge the support of the funder and Manchester Metropolitan University's library staff in conducting the current review. The authors also acknowledge Pendaran Roberts' help editing the manuscript.

Authors' contributions

LS and KAS contributed to the design and implementation of the research, to the analysis of the results and to the writing of the manuscript. Both authors read and approved the final manuscript.

Funding

This research was supported by Global Hygiene Council. The Global Hygiene Council had no role in the design of the study and collection, analysis, and interpretation of data, and in writing the manuscript. The views expressed are those of the authors and not necessarily those of the Global Hygiene Council.

Availability of data and materials

The reviews protocol is available on PROSPERO. The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

Ethics approval and consent to participate

The current review contained no participants and did not require ethical approval.

Consent for publication

No individual person's data in any form is contained in the current article.

Competing interests

The authors declare that they have no competing interests.

Received: 20 October 2019 Accepted: 29 January 2020 Published online: 06 February 2020

References

- 1. World Health Organization. Hygiene. (n.d) Available from: http://www.afro. who.int/health-topics/hygiene. Accessed 19 Oct 2019.
- Curtis VA, Brian A, Deverell K, Hughes C, Bellamy K, Drasar B. Hygiene in the home: relating bugs to behaviour. Soc Sci Med. 2003;57(4):657–72. https://doi.org/10.1016/s0277-9536(02)00409-4.
- Sandora TJ, Shih MC, Goldmann DA. Reducing absenteeism from gastrointestinal and respiratory illness in elementary school students: a randomized, controlled trial of an infection-control intervention. Pediatrics. 2008;121:e1555–62.
- Blanton E, Ombeki S, Oluoch GO, Mwaki A, Wannemuehler K, Quick R. Evaluation of the role of school children in the promotion of point-of-use water treatment and handwashing in schools and households--Nyanza Province, Western Kenya, 2007. Am J Trop Med Hyg. 2010;82(4):664–71. https://doi.org/10.4269/ajtmh.2010.09-0422.
- Dreibelbis R, Freeman MC, Greene LE, Saboori S, Rheingans R. The impact of school water, sanitation, and-hygiene interventions on the health of younger siblings of pupils: a cluster-randomized trial in Kenya. Am J Public Health. 2014;104(1):e91–7.
- Lewis HE, Greenland K, Curtis V, Schmidt WP. Effect of a school-based hygiene behavior change campaign on handwashing with soap in Bihar, India: cluster-randomized trial. Am J Trop Med Hyg. 2018;99(4):924–33. https://doi.org/10.4269/ajtmh.18-0187.
- Willmott M, Nicholson A, Busse H, Macarthur GJ, Brookes S, Campbell R. Effectiveness of hand-hygiene interventions in reducing illness absence among children in educational settings: a systematic review and metaanalysis. Arch Dis Child. 2016;101:42–50. https://doi.org/10.1136/archdischild-2015-308875.
- Uhari M, Mottonen M. An open randomized controlled trial of infection prevention in child day-care centres. Pediatr Infect Dis J. 1999;18:672–7.
- Huis A, van Achterberg T, de Bruin M, Grol R, Schoonhoven L, Hulscher M. A systematic review of hand-hygiene improvement strategies: a behavioural approach. Implement Sci. 2012;7(92):1–14.
- Abraham C, Michie S. A taxonomy of behavior change techniques used in interventions. Health Psychol. 2008;27(3):379–87. https://doi.org/10.1037/ 0278-6133.27.3.379.
- de Bruin M, Viechtbauer W, Hospers HJ, Schaalma HP, Kok G. Standard care quality determines treatment outcomes in control groups of HAART adherence intervention studies: implications for the interpretation and comparison of intervention effects. Health Psychol. 2009;28(6):668–74. https://doi.org/10.1037/a0015989.
- Michie S, van Stralen MM, West R. The behavior change wheel: a new method for characterising and designing behavior change interventions. Implement Sci. 2011;6:42. https://doi.org/10.1186/1748-5908-6-42.
- Michie S, Atkins L, West R. The behavior change wheel: a guide to designing interventions. London: Silverback Publishing; 2014. www. behaviourchangewheel.com
- Campbell M, Fitzpatrick R, Haines A, Kinmonth AL, Sandercock P, Spiegelhalter D, Tyrer P. Framework for design and evaluation of complex interventions to improve health. BMJ (Clin Res Ed). 2000;321(7262):694–6. https://doi.org/10.1136/bmj.321.7262.694.

- Cane J, O'Connor D, Michie S. Validation of the theoretical domains framework for use in behavior change and implementation research. Implement Sci. 2012;7:37. https://doi.org/10.1186/1748-5908-7-37.
- Michie S, Richardson M, Johnston M, Abraham C, Francis J, Hardeman W, et al. The behavior change technique taxonomy (v1) of 93 hierarchically clustered techniques: building an international consensus for the reporting of behavior change interventions. Ann Behav Med. 2013;46(1):81–95. https://doi.org/10.1007/s12160-013-9486-6.
- Cane J, Richardson M, Johnston M, Ladha R, Michie S. From lists of behavior change techniques (BCTs) to structured hierarchies: comparison of two methods of developing a hierarchy of BCTs. Br J Health Psychol. 2015;20(1): 130–50 ISSN 1359-107X.
- Shamseer L, Moher D, Clarke M, Ghersi D, Liberati A, Petticrew M, et al. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. BMJ. 2015;349:g7647. https://doi.org/10.1136/bmj.g7647.
- Methley AM, Campbell S, Chew-Graham C, McNally R, Cheraghi-Sohi S. PICO, PICOS and SPIDER: a comparison study of specificity and sensitivity in three search tools for qualitative systematic reviews. BMC Health Serv Res. 2014;14:579. https://doi.org/10.1186/s12913-014-0579-0.
- Ouzzani M, Hammady H, Fedorowicz Z, Elmagarmid A. Rayyan: a web and mobile app for systematic reviews. Syst Rev. 2016;5:210. https://doi.org/10. 1186/s13643-016-0384-4.
- United States Department of Health and Human Services. Study Quality Assessment Tools. (n.d). Available from: https://www.nhlbi.nih.gov/healthtopics/study-quality-assessment-tools. Accessed 19 Oct 2019.
- 22. Galliani S, Gertler P, Ajzenman N, Orsola-Vidal A. Promoting handwashing behaviour: the effects of large-scale community and school level interventions. Health Econ. 2016;25(12):1545–59. https://doi.org/10.1002/hec.3273.
- Briceño B, Coville A, Gertler P, Martinez S. Are there synergies from combining hygiene and sanitation promotion campaigns: evidence from a large-scale cluster-randomized trial in rural Tanzania. PloS. 2017;12(11): e0186228.
- 24. Saboori S, Greene LE, Moe CL, Freeman MC, Caruso BA, Akoko D, et al. Impact of regular soap provision to primary schools on hand washing and E. coli hand contamination among pupils in Nyanza Province, Kenya: a cluster-randomized trial. Am J Trop Med Hyg. 2013;89(4):698–708. https://doi.org/10.4269/ajtmh.12-0387.
- Linam MW, Margolis PA, Atherton H, Connelly BL. Quality-improvement initiative sustains improvement in pediatric health care worker hand-hygiene. Pediatrics. 2011;128:e689–98. https://doi.org/10.1542/peds.2010-3587.
- Bieri FA, Gray DJ, Williams GM, Raso G, Li Y-S, Yuan L, et al. Health-education package to prevent worm infections in Chinese school children. N Engl J Med. 2013;368(17):1603–12. https://doi.org/10.1056/NEJMoa1204885.
- Biran A, Schmidt W-P, Varadharajan KJ, Rajaraman D, Kumar R, Greenland K, et al. Effect of behaviour-change intervention on handwashing with soap in India (SuperAmma): a cluster-randomised trial. Lancet Glob Health. 2014;2(3): e145–54.
- Bulled N, Poppe K, Ramatsisti K, Sitsula L, Winegar G, Gumbo J, et al. Assessing the environmental context of hand washing among school children in Limpopo, South Africa. Water Int. 2017;42(5):568–84. https://doi. org/10.1080/02508060.2017.1335140.
- Burns J, Maughan-Brown B, Mouzinho A. Washing with hope: evidence of improved handwashing among children in South Africa from a pilot study of a novel soap technology. BMC Public Health. 2018;18(1):709. https://doi. org/10.1186/s12889-018-5573-8.
- Caruso BA, Freeman MC, Garn JV, Dreibelbis R, Saboori S, Muga R, Rheingans R. Assessing the impact of a school-based latrine cleaning and handwashing program on pupil absence in Nyanza Province, Kenya: a cluster-randomized trial. Tropical Med Int Health. 2014;19(10):1185–97. https://doi.org/10.1111/tmi.12360.
- Chard AN, Freeman MC. Design, intervention fidelity, and behavioral outcomes of a school-based water, sanitation, and hygiene clusterrandomized trial in Laos. Int J Environ Res Public Health. 2018;15(4):570. https://doi.org/10.3390/ijerph15040570.
- Dreibelbis R, Kroeger A, Hossain K, Venkatesh M, Ram PK. Behavior change without behavior change communication: nudging Handwashing among primary school students in Bangladesh. Int J Environ Res Public Health. 2016;13(1):129. https://doi.org/10.3390/ijerph13010129.
- 33. Friedrich MND, Kappler A, Mosler H. Enhancing handwashing frequency and technique of primary caregivers in Harare, Zimbabwe: a cluster-randomized

controlled trial using behavioral and microbial outcomes. Soc Sci Med. 2018;196:66–76. https://doi.org/10.1016/j.socscimed.2017.10.025.

- Gautam OP, Schmidt WP, Cairncross S, Cavill S, Curtis V. Trial of a novel intervention to improve multiple food hygiene behaviors in Nepal. Am J Trop Med Hyg. 2017;96(6):1415–26. https://doi.org/10.4269/ajtmh.16-0526.
- Geresomo NC, Mbuthia EK, Matofari JW, Mwangwela AM. Targeting caregivers with context specific behavior change training increased uptake of recommended hygiene practices during food preparation and complementary feeding in Dedza district of Central Malawi. Ecol Food Nutr. 2018;57(4):301–13. https://doi.org/10.1080/03670244.2018.1492379.
- Graves JM, Daniell WE, Harris JR, Obure AFXO, Quick R. Enhancing a safe water intervention with student-created visual aids to promote handwashing behaviour in Kenyan primary schools. Int Q Commun Health Educ. 2012;32(4):307–23. https://doi.org/10.2190/IQ.32.4.d.
- Greenland K, Chipungu J, Curtis V, Schmidt WP, Siwale Z, Mudenda M, et al. Multiple behavior change intervention for diarrhoea control in Lusaka, Zambia: a cluster randomised trial. Lancet Glob Health. 2016;4(12):e966–77. https://doi.org/10.1016/S2214-109X(16)30262-5.
- Grover E, Hossain MK, Uddin S, Venkatesh M, Ram PK, Dreibelbis R. Comparing the behavioural impact of a nudge based handwashing intervention to high intensity hygiene education: a cluster-randomised trial in rural Bangladesh. Tropical Med Int Health. 2018;23(1):10–25. https://doi. org/10.1111/tmi.12999.
- Huda TN, Unicomb L, Johnston RB, Halder AK, Sharker AY, Luby SP. Interim evaluation of a large scale sanitation, hygiene and water improvement programme on childhood diarrhea and respiratory disease in rural Bangladesh. Soc Sci Med. 2012;75:604–11. https://doi.org/10.1016/j. socscimed.2011.10.042.
- Husain N, Mankan W, Izani N, Nurain N, Razlina AR. The effect of food safety education on handwashing practices in school canteens food handlers. Sains Malaysiana. 2018;47(9):2119–28.
- Larson EL, Murray MT, Cohen B, Simpser E, Pavia M, Jackson O, et al. Behavioral interventions to reduce infections in pediatric long-term care facilities: the keep it clean for kids trial. Behav Med. 2018;44(2):141–50. https://doi.org/10.1080/08964289.2017.1288607.
- Luby SP, Kadir M, Sharker MAY, Yeasmin F, Unicomb L, Islam MS. A community-randomised controlled trial promoting waterless hand sanitizer and handwashing with soap, Dhaka, Bangladesh. Trop Med Int Health. 2010; 15(12):1508–16. https://doi.org/10.1111/j.1365-3156.2010.02648.x.
- Naluonde T, Wakefield C, Markle L, Martin A, Tresphor C, Abdullah R, Larson DA. A disruptive cue improves handwashing in school children in Zambia. Health Promot Int. 2019;34(6):e119–e128. https://doi.org/10.1093/heapro/day080.
- 44. Oswald WE, Hunter GC, Kramer MR, Leontsini E, Cabrera L, Lescano AG, Gilman RH. Provision of private, piped water and sewerage connections and directly observed handwashing of mothers in a peri-urban community of Lima, Peru. Trop Med Int Health. 2014;19(4):388–97. https://doi.org/10.1111/tmi.12262.
- 45. Parvez SM, Azad R, Rahman M, Unicomb L, Ram PK, Naser AM, et al. Achieving optimal technology and behavioural uptake of single and combined interventions of water, sanitation hygiene and nutrition, in an efficacy trial (WASH benefits) in rural Bangladesh. BMC Trials. 2018;19:358. https://doi.org/10.1186/s13063-018-2710-8.
- 46. Pickering AJ, Davis J, Blum AG, Scalmanini J, Oyier B, Okoth G, et al. Access to waterless hand sanitizer improves student hand-hygiene behavior in primary schools in Nairobi, Kenya. Am J Trop Med Hyg. 2013;89(3):411–8. https://doi.org/10.4269/ajtmh.13-0008.
- Ram KP, Nasreen S, Kamm K, Allen J, Kumar S, Rahman MA, et al. Impact of an intensive perinatal handwashing behavior in neonatal period: findings from a randomized controlled trial in rural Bangladesh. Hindawi Biomed Res Int. 2017;6081470. https://doi.org/10.1155/2017/6081470.
- Solehati T, Kosasih CE, Susilawati S, Lukman M, Paryati SPY. Effect of school community empowerment model towards handwashing implementation among Elementary School Students in Dayeuhkolot Subdistrict, Kesmas. Natl Public Health J. 2017;11(3):111–6. https://doi.org/10.21109/kesmas.v11i3.1171.
- Watson J, Dreibelbis R, Aunger R, Deola C, King K. Long SI, et al. Child's play: harnessing play and curiosity motives to improve child handwashing in a humanitarian setting. Int J Hyg Environ Health. 2019; 222(2):177–82. https://doi.org/10.1016/j.ijheh.2018.09.002.
- Harvey G, Kitson A. Translating evidence into healthcare policy and practice: single versus multi-faceted implementation strategies - is there a simple answer to a complex question? Int J Health Policy Manag. 2015;4(3):123–6. https://doi.org/10.15171/ijhpm.2015.54.

- Meadows E, Le Saux N. A systematic review of the effectiveness of antimicrobial rinse-free hand sanitizers for prevention of illness-related absenteeism in elementary school children. BMC Public Health. 2004;4:50. https://doi.org/10.1186/1471-2458-4-50.
- Wilson J, Wang D, Meads C. 2006. Simple interventions to prevent respiratory and gastrointestinal infection in children in day care and school settings: a systematic review and economic evaluation. Available from: https://www.birmingham.ac.uk/Documents/college-mds/haps/projects/ WMHTAC/REPreports/2006/Handwashing.pdf. Accessed 19 Oct 2019.
- Doronina O, Jones D, Martello M, Biron A, Lavoie-Tremblay M. A systematic review on the effectiveness of interventions to improve hand-hygiene compliance of nurses in the hospital setting. J Nurs Scholarsh. 2017;49(2):143–52.
- Gould DJ, Moralejo D, Drey N, Chudleigh JH. Interventions to improve hand-hygiene compliance in patient care. Cochrane Database of Syst Rev. 2010;9:CD005186. https://doi.org/10.1002/14651858.CD005186.pub4.
- Luangasanatip N, Hongsuwan M, Limmathurotsakul D, Lubell Y, Lee A, Harbath S, Cooper BS. Comparative efficacy of interventions to promote hand-hygiene in hospital: systematic review and network meta-analysis. BMJ. 2015;351(h3728):1–14.
- Aiello AE, Coulborn RM, Perez V, Larson EL. Effect of hand-hygiene on infectious disease risk in the community setting: a meta-analysis. Am J Public Health. 2008;98(8):1372–81. https://doi.org/10.2105/AJPH.2007.124610.
- 57. Aiello AE, Larson EL. What is the evidence for a causal link between hygiene and infections? Lancet Infect Dis. 2002;2(2):103–10.
- Medical Research Council. A framework for the development and evaluation of RCTs for complex interventions to improve health. London: MRC; 2000. Available from: https://mrc.ukri.org/documents/pdf/rcts-for-complexinterventions-to-improve-health/. Accessed 19 Oct 2019
- Barker F, Atkins L, de Lusignan S. Applying the COM-B behaviour model and behavior change wheel to develop an intervention to improve hearing-aid use in adult auditory rehabilitation. Int J Audiol. 2016;55(sup3):S90–8. https://doi.org/10.3109/14992027.2015.1120894.
- Eldridge SM, Ashby D, Feder GS, Rudnicka AR, Ukoumunne OC. Lessons for cluster randomized trials in the twenty-first century: a systematic review of trials in primary care. Clin Trials. 2004;1(1):80–90.
- McDonald AM, Knight RC, Campbell MK, Entwistle VA, Grant AM, Cook JA, et al. What influences recruitment to randomised controlled trials? A review of trials funded by two UK funding agencies. Trials. 2006;7:9. https://doi.org/ 10.1186/1745-6215-7-9.
- Rowland D, DiGuiseppe C, Roberts I, Curtis K, Roberts H, Ginnelly L, et al. Prevalence of working smoke alarms in local authority inner city housing: randomised controlled trial. BMJ. 2002;325:998–1001.

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Ready to submit your research? Choose BMC and benefit from:

- fast, convenient online submission
- thorough peer review by experienced researchers in your field
- rapid publication on acceptance
- support for research data, including large and complex data types
- gold Open Access which fosters wider collaboration and increased citations
- maximum visibility for your research: over 100M website views per year

At BMC, research is always in progress.

Learn more biomedcentral.com/submissions

