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1 **Involving the headteacher in the development of school-**
2 **based health interventions: A mixed-methods outcome and**
3 **process evaluation using the RE-AIM framework.**

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25 **Abstract**

26 Although interventions delivered in school settings have the potential to improve children's
27 health and well-being, the implementation of effective interventions in schools presents
28 challenges. Previous research suggests facilitating greater autonomy for schools to select
29 interventions aligned to their needs could improve implementation and maintenance. The aim
30 of this mixed-methods outcome and process evaluation was to explore whether involving
31 headteachers in the developmental stages of health interventions influenced adoption,
32 effectiveness (e.g. pupil fitness and physical activity, assessed quantitatively), implementation
33 and maintenance (assessed quantitatively and qualitatively).

34 Three UK primary schools were provided with a choice of five evidence-based physical activity
35 interventions: Playground scrapstore, daily classroom refreshers, alternative afterschool clubs,
36 parent and child afterschool activities and an 'In the Zone' playground intervention. To
37 evaluate the impact of this autonomous approach, semi-structured interviews with headteachers
38 (n=3), teachers (n=3), and a private coach, and focus groups with pupils aged 9-11 (n=6, 31
39 pupils, 15 boys), were undertaken. This was alongside an outcome and process evaluation,
40 guided by the RE-AIM framework. This study assessed the impacts on adoption,
41 implementation and maintenance of the autonomous approach and the effect on physical
42 activity (seven day accelerometry – GENEActiv) and aerobic fitness (20m shuttle run).

43 All three schools adopted different intervention components; alternative afterschool clubs,
44 parent and child afterschool activities and daily classroom refreshers. Headteachers welcomed
45 greater autonomy in developing school-based interventions and appreciated the more
46 collaborative approach. Mixed results were reported for the effectiveness, implementation and
47 maintenance of the interventions adopted. Allowing pupils choice and promoting a positive
48 school environment were key factors for enhancing engagement. Moreover, promoting
49 inclusive physical activity projects with a consideration of existing curriculum pressures aided

50 implementation. This mixed-methods study provides valuable insights about autonomous
51 approaches to inform further development, implementation and maintenance for future
52 interventions.

53 **Introduction**

54 Physical activity has been positively associated with both physiological and psychosocial
55 health (1). Current guidelines recommend that children engage in at least 60 minutes moderate-
56 to-vigorous physical activity (MVPA) every day (2), yet few children engage in sufficient
57 levels to meet these guidelines (3, 4). Given that physical activity behaviours have been shown
58 to track into adulthood (5), physical activity-promoting interventions implemented during
59 childhood are imperative. Additionally, physical activity is known to decrease from childhood
60 to adolescence (6, 7), with the transition from primary to secondary school marking a critical
61 period for intervention.

62 Schools have been identified as an appropriate setting for such approaches (8) and many
63 physical activity interventions have been shown to be effective in primary school settings (9-
64 11). However, it has been argued that only modest effects have been observed (12). Whilst
65 non-curricular approaches, such as playground interventions, afterschool sessions and daily
66 classroom refreshers hold some promise under intervention conditions, the translation of
67 effective research findings to the school in a ‘real world’ setting can be problematic (13).
68 Previous formative research has identified that providing headteachers with greater autonomy
69 to select suitable interventions to align with their specific school’s needs and facilitate
70 contextual adaptations could improve implementation and maintenance (14-16). Guidelines for
71 designing complex interventions suggest that permitting schools an element of local adaptation
72 enables interventions to more closely align with their target population (17). Moreover, the
73 ‘Health Promoting Schools’ agenda recommends allowing schools more choice in creating
74 their own holistic, health-centred environment that endorses their individual values and ethos

75 (18). Despite these guidelines and recommendations, there are few established health
76 interventions which allow headteachers a choice of autonomy over different types of
77 intervention. Specifically, the Action Schools! BC (AS!BC) choice-based project,
78 implemented across Canada, has demonstrated popularity with teachers, pupils and
79 Governmental parties alike (19), despite demonstrating little long-term effectiveness;
80 especially for boys (20). The AS!BC intervention is composed of six 'Action zones' including
81 school environment, scheduled P.E., classroom action (mandatory), family and community,
82 extra-curricular and school spirit. Despite designs such as the AS!BC, there remains a paucity
83 of research where headteachers have complete autonomy over their school's interventions, and
84 the popularity of the choice-based approach of the AS!BC framework warrants further
85 exploration. Therefore, the aim of the present study was to involve headteachers in the
86 developmental stages of school-based health interventions to allow them greater autonomy and
87 explore how this influenced adoption, effectiveness, implementation and maintenance.

88 **Methods**

89 **Recruitment**

90 Nine primary schools in South Wales were contacted to participate in the Community Led
91 Active Schools Programme (CLASP). Deprivation was classified to assess the socioeconomic
92 variability using individual free school meal entitlement (21), with free school meal eligibility
93 (FSM) ranging from 9% to 53% (mean 37.5%). These nine schools were selected as they had
94 participated in the formative phases of the intervention (14, 15), and three expressed an interest
95 in continued participation. These three headteachers were provided with a project description
96 and following an expression of interest, a further meeting was set-up to discuss participation.
97 All children in Year 5 and 6 (aged 9-11 years) at participating schools were eligible for

98 participation within the study. Of the 125 children eligible, informed parental consent and
99 participant assent forms were returned by 85 children (44 boys, 41 girls, 68% response rate).

100 **Ethical approval**

101 Ethical approval was granted by the Swansea University Research Ethics Committee. Written
102 informed consent was obtained from headteachers, teachers and the external coach prior to
103 participation in the interviews. Written informed parental consent and child assent was obtained
104 prior to participation in the research components (e.g. focus groups). Parental consent forms
105 were also required for participation in afterschool sessions.

106 **Intervention components**

107 All three headteachers were presented with a choice of five evidence-based physical activity
108 intervention components (Table 1), focusing on different school periods. Headteachers were
109 asked to consult with key members of staff to discuss which components would best suit their
110 school needs. The final selection regarding which components to implement (one or two)
111 occurred during a face-to-face consultation/interview with the research team. All five were free
112 to the schools and pupils, all costs were covered through CLASP, and teachers were provided
113 with an overview of the how their chosen interventions should be implemented.

114 **Table 1. Intervention components with descriptions and supporting evidence**

Intervention components	Description	Supporting evidence
Daily classroom refreshers	10-minute bouts of physical activity to break up sedentary time. Physical activity card ideas issued to school staff, with teachers encouraged to allow children to take greater ownership regarding the design and delivery of their own activities.	(22-26)
Alternative activities	Alternative activities, such as street dance and skateboarding (chosen by pupils themselves), were promoted afterschool and led by an external, private coach.	(27-30)

Parent and child afterschool sessions	Combined parent and child afterschool sessions can improve enjoyment and reduce the need for child care; a barrier to physical activity for parents. This included activities such as family boxfit and was led by a private coach.	(31-35)
Playground Scrapstore	The Playground Scrapstore provided clean, safety-checked scrap equipment (e.g., cardboard boxes, tubes, cable reels) to promote imaginative free-play during playground breaks. Additional loose games equipment during break times has been shown to improve physical activity.	(36-44)
'In The Zone' project	'In the Zone' project encouraged the playground to be divided more fairly to encourage active play whilst enabling more organised, structured playtimes. An interactive DVD resource pack was provided as well as a training workshop for lunchtime supervisors.	(37, 38, 45-48)

115 **Intervention design**

116 Baseline quantitative measurements were taken over a two-week period (January), in addition
117 to 1:1 interviews with headteachers (mean 18 minutes, range 15-21 minutes) to select
118 intervention choices. All three schools then underwent their individual interventions for three
119 months, followed by a two-week post-intervention measurement period (April). Follow-up
120 measurements were performed three months after post-intervention (July) to assess
121 maintenance of the project and any consequent change in health behaviours, again over a two-
122 week period (Fig 1). For reference, the UK school structure runs from September to July.
123 All measurements were undertaken during school time.

124 **Fig 1. CLASP intervention timeline.**

125 **Qualitative Measures**

126 Semi-structured interviews were conducted with headteachers post-intervention (mean 22
127 minutes, range 14-24 minutes) and again at follow-up (mean 29 minutes, range 21-34 minutes)
128 to ascertain views on the provision of greater autonomy with respect to school-based health
129 interventions (Fig 1). Interviews provided the opportunity to obtain a richer, more in depth
130 understanding regarding participants' views of the implementation fidelity and maintenance

131 (49). All interviews were conducted individually in headteachers' offices and an open-ended
132 question-based topic guide was used throughout to facilitate discussion. Two experienced
133 researchers (DC & CT) were present at each interview; one facilitated the interview, while the
134 other noted key points, as well as researcher and participant interactions. The second researcher
135 also reported back a brief summary of the interview to participants at the end of the interview,
136 to ensure respondent validation (50). All interviews were digitally recorded and transcribed
137 verbatim. Following each interview, both researchers debriefed and adapted the topic guide
138 accordingly for the next, incorporating tenets of an iterative, inductive approach to build a
139 framework for thematic analysis; a methodology detailed elsewhere (51, 52). At post-
140 intervention, semi-structured interviews were also conducted individually with the Year 5/6
141 teachers, or deputy headteachers, at all schools (mean 13 minutes, range 11-16 minutes), and
142 one private coach who had undertaken sessions as part of the intervention (25 minutes). This
143 was to explore intervention implementation in greater detail. The two other coaches declined
144 an invitation to participate in an interview due to work commitments. No additional funding
145 was provided for their participation in interviews, so as not to incentivise their involvement.
146 As some of the interventions promoted pupil choice, two focus groups were undertaken with
147 pupils from each of the three schools post-intervention, following procedures similar to that of
148 the interviews. These focus groups took place in an empty classroom and lasted, on average,
149 30 minutes (range 23-40 minutes), with three to six pupils participating at any one time (53).
150 The focus groups all followed a semi-structured topic guide, which discussed, i) what pupils
151 and their classmates thought about CLASP, ii) whether pupils thought anything had changed
152 during participation, iii) if pupils would like CLASP to continue, and iv) whether or not pupils
153 thought the school would continue with their chosen intervention components. Pupils were
154 selected randomly to participate in focus groups following purposive allocation dependent on
155 gender, deprivation (~~FSM~~~~free school meal~~ entitlement) and participation in the interventions

156 (identified from attendance collected through direct observations). Those pupils who did not
157 participate in optional interventions, such as alternative activities, were included in the focus
158 groups to understand reasons underpinning lack of engagement. For the daily classroom
159 refresher intervention, pupils were selected at random from all those who had provided consent
160 to participate. Engagers and non-engagers participated together in the focus groups in order to
161 promote more organic discussions regarding facilitators and barriers. Participants were selected
162 via stratified randomisation to ensure equal numbers.

163 **Quantitative Measures**

164 **Physical Activity:** Physical activity was objectively measured at 100 Hz using the GENEActiv ©
165 accelerometer (GENEActiv, Unilever Discover, Sharnbrook, Bedfordshire, UK), a triaxial, ±
166 6g seismic acceleration sensor, which has been previously validated for use in children (54).
167 Monitors were placed on the non-dominant wrist, to be worn 24 hours per day, for seven full
168 days, including while sleeping and during water activities. The GENEActiv has excellent
169 criterion validity in both adults ($r = 0.86$) and children ($r = 0.91$) when worn on the left wrist,
170 mainly classified as the non-dominant wrist (54, 55).

171 **Aerobic Fitness:** Fitness was measured through the well-validated 20m-shuttle test, using
172 methodology described by Leger et al. (56).

173 **Intervention Dose and Fidelity:** Schools maintained records of the number of sessions that took
174 place during the intervention to record dose. Coaches were asked to complete attendance
175 records to assess engagement with sessions. Direct observations of sessions ($n=3$) in all three
176 schools were undertaken (by DC) throughout to assess fidelity and attendance at sessions.

177 **Data Analysis**

178 Interviews and focus groups were analysed through schema analysis, fully described elsewhere
179 (57). Briefly, each researcher (DC & CT) developed schemas, or small sections of text detailing
180 a common thought, from the transcripts independently. These schemas were coded by topic,

181 such as ‘coach enthusiasm’, before the second researcher verified the schemas coded by the
182 first researcher. No *a priori* hypothesis was determined and commonalities across schemas
183 were collated to form themes, allowing the key thoughts from participants to be identified from
184 the data. Schema analysis is an equalising method, with all researcher views pertinent and
185 considered, that ensures validity of the working approach through group understanding (58).
186 Although agreement between researchers was high, any discrepancies were discussed until a
187 consensus was reached. Qualitative and quantitative data were integrated using the
188 triangulation protocol for mixed--methods research (59). The data were initially analysed
189 separately, as described above, and then combined to look for areas where similarities or
190 discrepancies in the findings occurred. In addition to the quantitative outcome evaluation, a
191 process evaluation was conducted, guided by the RE-AIM framework (60); a common model
192 used to evaluate implementation (61). This detailed intervention fidelity, changes in pupil
193 engagement, and qualitative views pertaining to maintenance.

194 The raw GENEActiv data was downloaded and the .bin files converted to 60-second epoch
195 .csv files using GENEActiv PC software version 2.1. The 60-second epoch data files were
196 entered into an open-source Excel macro (v2; Activinsights Ltd.) in order to eliminate sleep
197 time (62). Non-wear was assessed through previously described methodology (63). KineSoft
198 software (version 3.3.75; KineSoft, Loughborough, U.K.) was used to produce a series of
199 standardised accelerometry outcome variables following procedures similar to those described
200 by Esliger and Tremblay (55) and Esliger et al. (64). To be included in the analyses, participants
201 had to meet the wear-time criteria of 60 minutes on any three days (65). Validated acceleration
202 magnitude cut-points were used to classify activity intensity ($\text{min}\cdot\text{day}^{-1}$) (54).

203 Paired t-tests were conducted to assess changes in MVPA, sedentary time and fitness from
204 baseline to post-intervention and follow-up. Paired t-tests were used due to unequal numbers
205 of observations between time-points and the low sample size that would have resulted from

206 requiring observations at all three time-points. Additionally, in this instance, the assumption
207 that compound variance would not differ could not be guaranteed. Preliminary analyses to
208 ensure normal distribution of data were completed prior to all further analyses. STATA V.12.1
209 (STATA, Texas, USA) was used for all statistical analyses and statistical significance was set
210 at $p < 0.05$ throughout.

211 **Results**

212 The results section will firstly outline the choices of intervention components by school and
213 the reasons for this selection. The outcome and process evaluation results will then be formatted
214 in accordance with the RE-AIM framework; reach, effectiveness, adoption, implementation
215 and maintenance. In this instance, adoption will be presented prior to effectiveness to provide
216 clarity due to the nature of the intervention.

217 **Intervention component choice and reasons for selection**

218 The intervention components chosen per school were; School A – Alternative activities (Street
219 dance and basketball), School B – Alternative activities (Street dance) and Parent and Child
220 afterschool sessions (Family BoxFit), and School C – Daily Classroom Refreshers (Fig 2).

221 **Fig 2. CLASP implementation schematic**

222 **Legend: The down arrow shows where the headteacher, teacher and children had a**
223 **choice in the intervention, whereas for the school C, the headteacher made the choice.**

224 **(SD = Street Dance, B = Basketball, FB = Family BoxFit)**

225 Although all three schools were provided with autonomy over intervention choice, the three
226 headteachers exercised their autonomy in very different ways, and opted for different
227 approaches to tackle their school's physical activity needs (Fig 2). During the initial interviews,
228 headteachers from two schools (A and B) mentioned that they strived to be democratic in their

229 approach and discussed the options with respective deputies or P.E. co-ordinators. However,
230 Headteacher C took a more autocratic approach.

231 School A chose alternative activities, as the headteacher believed these were something they
232 could not offer themselves as a school, though expressed a preference for allowing pupils to
233 choose which specific activities were implemented. School B also chose alternative activities,
234 in addition to parent and child activity sessions, as the headteacher wanted to address and
235 improve parental engagement. School B was also keen to honour student and parental choice
236 in the selection of activities. Pupils were administered surveys by researchers prompting
237 selection of varying types of sports or activities, and parents were invited to a coffee morning
238 at the school to discuss different activity types. Leaflets notifying the days and times of the
239 sessions taking place were sent out to parents and pupils.

240 The headteacher from school C decided on a curriculum-based approach. In this instance, the
241 pupils had no choice over the intervention component. Indeed, school C chose daily classroom
242 refreshers as the headteacher believed this approach was advantageous for concentration,
243 behaviour and academic achievement and would '*capture all children as opposed to a*
244 *haphazard few that would attend an out of school activity*'. School ground constraints, previous
245 unsuccessful experiences, litigation risk and high numbers of existing afterschool activities
246 meant other options were less attractive across all three schools.

247 **Reach**

248 The reach of the interventions differed greatly between schools. School C, which had daily
249 classroom refreshers, engaged 100% of pupils as this was undertaken during usual classroom
250 sessions. For schools A and B, attendance fluctuated greatly between voluntary afterschool
251 sessions. Attendance records were completed sporadically, leading to insufficient data capture,
252 and therefore this data could not be quantified with any certainty.

253 **Adoption**

254 Nine schools were contacted initially with three expressing an interest. These three schools
 255 (FSM 9%-53%, mean 34%) demonstrated a 33% adoption; slightly lower than the 47%
 256 adoption of a recent similar physical activity intervention study (66). Reasons for non-
 257 participation from the other six schools included a new headteacher who was not involved in
 258 the first phase of CLASP (14, 15), and a headteacher who was currently undergoing health
 259 issues. No information was provided as to why the other four schools did not respond.

260 **Effectiveness (physical activity, sedentary time and fitness)**

261 Of the 85 individuals who participated in the study, 72 pupils across the three schools met the
 262 accelerometer wear-time criteria and were included in the analyses. Due to the paired t-test
 263 analysis, if results were present for only one time point the data was removed from the analysis.
 264 When MVPA was stratified by school, all three schools showed a positive trend between
 265 baseline and post-intervention (Table 2), though this was only significant for school C. There
 266 were significant increases in MVPA from baseline and follow-up for all three schools.
 267 Similarly, sedentary time reduced in all three schools at post-intervention, with schools A and
 268 C demonstrating a significant decrease. At follow-up, significant decreases in sedentary time
 269 of 118, 118 and 100 min.day⁻¹ were observed for schools A, B and C, respectively.

270 *Table 2 Changes in MVPA, sedentary time and fitness per school between baseline, post-intervention and*
 271 *follow-up*

	<i>School A</i>	<i>School B</i>	<i>School C</i>
MVPA	n=20	n = 11	n=23
Baseline	99.0 (31.4)	105.2 (48.0)	99.9 (30.7)
Post-intervention	107.2 (39.4)	114.2 (43.4)	117.0 (36.3)
Difference	8.3 (24.6)	9.0 (50.5)	17.0 (25.9)
(95%CI)	-19.8 to 3.3	-42 to 25.0	5.8 to 28.2
	n=18	n=11	n=19
Baseline	97.3 (32.6)	103.6 (42.2)	97.1 (31.2)
Follow-up	144.8 (60.8)	147.9 (33.6)	135.3 (49.4)
Difference	47.5 (54.5)	44.3 (41.8)	38.3 (30.5)
(95%CI)	20.4 to 74.6	16.2 to 72.4	23.6 to 53.0
Sedentary	n=20	n = 11	n=23
Time	Baseline	706.1 (123.0)	707.7 (50.4)
	Post-intervention	677.2 (71.1)	643.1 (103.0)

	Difference (95% CI)	70.8 (78.8) 33.9 to 107.7	28.9 (83.9) -27.5 to 85.2	64.7 (106.2) 18.7 to 110.6
		n=18	n=11	n=19
	Baseline	692.5 (100.5)	701.5 (118.1)	706.3 (53.0)
	Follow-up	573.6 (148.4)	582.8 (75.4)	606.2 (99.4)
	Difference (95% CI)	118.9 (145.5) 46.5 to 191.3	118.7 (99.1) 52.2 to 185.3	100.1 (83.7) 59.7 to 140.4
Fitness		n=20	n=16	n=24
	Baseline	31.1 (13.5)	25.9 (13.7)	38.6 (14.6)
	Post-intervention	39.8 (17.6)	27.3 (12.5)	43.2 (15.8)
	Difference (95% CI)	8.7 (14.6) 1.9 to 15.5	1.4 (12.7) -8.2 to 5.3	4.6 (8.4) 1.0 to 8.1
		n=18	n=15	n=25
	Baseline	28.8 (14.0)	25.9 (13.7)	39.2 (13.3)
	Follow-up	39.1 (18.7)	29.2 (10.0)	38.7 (14.7)
	Difference (95% CI)	10.3 (15.9) 2.4 to 18.2	3.3 (7.6) -7.5 to 0.9	-0.5 (8.9) -3.2 to 4.2

272 *Data represented as Mean (SD), unless otherwise stated. Post-intervention refers to three months*
 273 *post-baseline (April) and follow-up refers to six months post-baseline (July). Bold = achieves*
 274 *significance (p<0.05).*

275 Fitness improved significantly for schools A and C between baseline and post-intervention,
 276 whereas only small increases in fitness were reported in school B. Interestingly, only school A
 277 continued to demonstrate an increase at follow-up. Fitness measures in schools B and C at
 278 follow-up were comparable to baseline.

279 **Implementation**

280 The implementation type, levels of autonomy and the dose of sessions delivered for all three
 281 schools is presented in Fig 2, in addition to implementation facilitators and barriers expressed
 282 by headteachers, teachers and pupils.

283 **Dose and Fidelity**

284 *School A (Alternative activities – Street dance and Basketball):* The street dance
 285 group completed 8 out of 11 sessions, including an assembly performance, and 6 of 11
 286 basketball sessions were delivered. Basketball sessions were mainly cancelled as a result of
 287 inconsistent attendance by the coach (four sessions) and a clash with school parents' evening.
 288 Cancellation of street dance was also due to a clash with parents' evening and school transition
 289 periods to high school. The headteacher noted attendance started high for street dance, but

290 decreased with time, whereas participation in basketball was lower at the outset but increased
291 steadily throughout, due to word of mouth.

292 *School B (Alternative Activity & Parent and Child Activity):* Street dance completed
293 8 out of 11 sessions but did not manage to undertake the performance. Reasons for cancellations
294 included a clash with parent's evenings, school strikes and availability of coach. Again, the
295 headteacher reported attendance started high for street dance but decreased steadily throughout.
296 Parent and child afterschool boxfit sessions started 2 weeks after the other sessions due to initial
297 lack of interest (8 out of 11 delivered). A few parents participated in the first sessions, but direct
298 observations of sessions found these quickly became pupil-only sessions. However, these
299 sessions still promoted family engagement as siblings attended together and parents verbally
300 interacted during sessions.

301 *School C (Daily Classroom Refresher):* Daily activity energisers were reported by the
302 teacher as being completed an average of 4/5 times a week (less on busier weeks). When used
303 at times that were least disruptive, it was felt they aided pupils' concentration and helped break
304 up monotonous periods during the school day.

305 **Factors affecting intervention implementation**

306 Headteachers and teachers reported a number of factors which influenced the delivery of the
307 chosen interventions, and pupils reported factors which influenced their engagement or
308 disengagement. These qualitative insights provide further understanding of the difficulties
309 these schools faced when implementing new interventions, including; coach consistency,
310 enthusiasm and session delivery, alignment with existing curriculum, competition for time, the
311 need for a school lead to champion the project, inclusivity, parental attitudes and autonomy.

312 *Coach consistency, enthusiasm and session delivery*

313 The impact of the specific coach, and their approach to the sessions, was highlighted as
314 influential, with enthusiasm, confidence and consistency all key factors in both engaging the

315 pupils and maintaining delivery of the sessions. Basketball sessions were less structured, as the
316 coach was unable to attend every session. The headteacher (school A) believed these
317 inconsistencies caused the children to lose interest and believed, *'the take up wasn't as good*
318 *with the basketball but I think that was more to do with sometimes the coach was letting them*
319 *down and I think, you know what children are like...if things are not completely consistent they*
320 *just give up don't they?'*

321 The headteacher of school A felt that *'the street dance was more successful than the basketball,*
322 *but that was more to do with I think the enthusiasm of the coach really, so... we're going to*
323 *continue to use them as a coach into September'*. This headteacher perceived the enthusiasm
324 from both the street dance coach, coupled with support from the Head of Physical Education
325 (P.E.), to be a key driver to effective implementation. The pupils from school C also mentioned
326 the enthusiasm of the teacher as a factor, stating that daily classroom refreshers at the start were
327 much better. Pupils stated that initially the daily classroom activities varied considerably, but
328 after a while, the same activities, mainly running, were repeatedly used, causing some
329 repetition and reluctance to participate. *'At the beginning we were doing it with balls and*
330 *everything and then like every day we'd just do running'*. This was predominantly reasoned by
331 pupils to result from a lack of teacher time to plan activities.

332 Bad behaviour was detailed by the external coach as having a distinct influence in school B,
333 which became more of an issue as sessions progressed, especially with the girls. This had a
334 knock-on effect on attendance as the focus was taken away from the activity itself, making it
335 less enjoyable for all. One pupil ~~stated~~stated, *'I think everyone quit, I think everyone quit*
336 *because it was just like a lot of arguments wasn't there?'*. Moreover, the coach reportedly found
337 it difficult to differentiate for all abilities and engagement levels, and reported it was hard to
338 teach sometimes because some pupils attended predominantly because *'their friends had come*
339 *along'*, which led to *'some being engaged, some not'*. The accumulation of these issues meant

340 unfortunately school B could not proceed with the street dance performance as the pupils were
341 not prepared enough. However, the headteacher from school A believed the performance
342 helped ‘*create an event*’ and amplified enthusiasm.

343 *Alignment with existing curriculum*

344 Initial motivating factors for headteachers selecting intervention components (schools B and
345 C) included the perception that the project provided a great opportunity for pupils to participate
346 in new activities whilst contributing towards health and well-being elements accountable to the
347 schools’ inspectorate body. Conversely, one teacher from school B thought street dance and
348 boxfit had managed to engage those disinterested with P.E., mainly because it was so different
349 from the current prescriptive P.E. curriculum. This teacher commented, ‘*they know what sort*
350 *of thing they’re gonna [sic] be doing as they go through school in PE, but it was so*
351 *differentdifferent, so it got their attention*’.

352 Further positives include the fact that daily classroom refreshers did not require any special
353 equipment and were not particularly time-consuming, thus not taking time away from core
354 curriculum components. However, the teacher delivering the classroom refreshers (school C)
355 found the project difficult to consistently implement on a day-to-day basis due to curriculum
356 time pressures. This teacher stated the activity sessions were, ‘*just another project to fit into*
357 *the day*’.

358 *Competition for in-school and afterschool sessions*

359 The headteacher from school A believed that, ‘*if we were running this [street dance] as part*
360 *of an enrichment activity when they were all in ~~school~~school, they’d be fighting to get onto it*’.

361 Whereas, afterschool sessions rely on children to be motivated enough to stay behind after
362 school. Some children from this school (A) expressed a desire to join as many clubs as possible
363 to alleviate the usual boredom experienced after school in the house. However, others who
364 didn’t engage alluded to the competitiveness for time post-school due to clashes with other

365 activities or wanting to spend time with their friends, thus they were influenced by who else
366 attended afterschool sessions.

367 This competitiveness for afterschool time was reinforced in school B, as some boys who did
368 not attend mentioned family boxfit clashed with their running club. One pupil even asked, '*can*
369 *we get, change the box fit on Wednesday? Because loads of people need~~s~~ to go to...athletics*'.
370 Nonetheless, the headteacher explained that afterschool sessions ran every day so would have
371 clashed regardless of day of the week.

372 *School Lead*

373 Assigning a designated teacher to promote activities, and chase up children who did not attend,
374 was suggested by both the deputy headteacher and class teacher from school B as one
375 improvement to further enhance attendance. The class teacher remarked that, '*pupils often*
376 *attend sessions more to appease the teacher than actually wanting to do the activity*', so this
377 approach may help raise attendance initially, but it is unclear what effect this would have on
378 maintenance. The deputy headteacher remarked that it was imperative the '*right kind*' of
379 teacher was assigned to street dance or boxfit sessions, otherwise this would negate the
380 intended effect. This was evidenced further in school C, as the class teacher had a high level of
381 expertise regarding physical activity, which the pupils saw as a positive. Furthermore, the
382 intervention in school A was led directly from the headteacher, who fully embraced a whole
383 school engagement approach to implementation by including key members of staff in the initial
384 discussions, with the enthusiasm for the project then disseminating throughout the whole
385 school.

386 *Inclusivity*

387 When interviewed at follow-up, the Head of P.E. and headteacher from school A favoured
388 street dance's non-competitive nature and the focus on teamwork, meaning it was more
389 inclusive and attracted those normally disengaged with physical activity. This was further

390 endorsed by the Year 5 teacher from school B, who commented that, *'there were some children*
391 *who took part that I didn't think would...on the yard they don't join in with football, basketball,*
392 *anything like that, they just sort of keep to themselves, so for them to be included in a group*
393 *exercise was a big deal'*. Conversely, one headteacher reported the competitiveness of
394 basketball was viewed as off-putting by pupils in school A. Pupils from school C discussed in
395 focus groups that daily classroom refreshers engaged the whole class, though did note that
396 during periods of extended writing, the sessions could be disruptive. However, the teacher
397 stated that the daily energisers would be best used, *'more for concentration I think...especially*
398 *in primary school they have break time in a morning, they have a break time in the afternoon,*
399 *and they're always up on their feet moving about the class, so I don't feel that it makes a lot of*
400 *difference to their healthy lifestyle'*.

401 *Parental attitudes/time*

402 Parents' attitudes were perceived as a barrier to afterschool attendance for parent and child
403 afterschool sessions in school B, and this headteacher said that, *'getting our parents to engage*
404 *sometimes can be quite difficult'*. Parents' own experiences were perceived to have an impact
405 as some, *'didn't have a particularly good experience of school, so even to just get some of the*
406 *parents in [to school] is a huge thing'*. Pupils from school B listed logistical issues why parents
407 were unable to attend, such as, *'mum and dad are at work'*, or, *'mum works nights so she has*
408 *to sleep in the days'*. Though others referred to more generic attitudinal factors towards
409 physical activity such as, *'my dad doesn't like to exercise'*, or, *'my mother would think it's a*
410 *bit ridiculous to pay to get fit whereas we can just like do it on the streets ourselves'*. Pupils
411 preferred the idea of taking part during school time to remove these attitudinal barriers of
412 parents influencing what they chose to do.

413 *Autonomy*

414 Initially, all three headteachers were positive about this novel approach, stating, *'It was nice*
415 *that there was a partnership and exciting that there was something that could be talked about*
416 *and agreed upon'*. One headteacher (school C) said in an ideal world, schools would be
417 presented with a choice of options then schools would find it easier to adopt a programme
418 suitable for their needs, as *'everyone can maybe choose something then'*. Conversely, when
419 interventions offer only one project, some schools would say, *'No that's not going to work for*
420 *us, no thank you'*; limiting rates of intervention adoption. With all schools having differing
421 agendas, the headteacher from school A believed they were best placed to understand the
422 individual needs of their school and how to most effectively address these by choosing an
423 intervention that best suits them.

424 Whilst school staff enjoyed the opportunity to select their own interventions, in some instances
425 there was discordance between pupils' and headteachers' tastes. Interestingly, all schools noted
426 that if they were to participate in the CLASP intervention again, they would provide pupils
427 with greater autonomy and allow them greater ownership, rather than just the school leadership
428 team. The deputy headteacher from school A reported that permitting pupils choice over the
429 types of activities implemented within CLASP was definitely valuable and helped those pupils
430 usually disengaged with P.E. to engage with physical activity. The school was able to align this
431 approach with its existing policies for promoting pupil voice. This increased the ownership for
432 pupils, which generated an element of accountability for missed sessions and helped maintain
433 attendance levels. Additionally, due to existing practices in schools, pupils opined that it would
434 be unfair if they had no choice in the matter. The general consensus from these pupils was that,
435 *'children like choosing'*, and that asking children what they wanted to do was the best option
436 to increase physical activity, as opposed to headteachers pre-selecting sports or activities at
437 random for pupils to try.

438 **Maintenance**

439 Assessing the maintenance of these projects was a key focus of this study. As reported earlier
440 in the effectiveness section, favourable changes in MVPA, sedentary time and fitness were
441 observed, most of which were sustained at the three-month follow-up (Table 2). Only one of
442 the three schools, school A, maintained sessions after the mandatory intervention period of
443 three months. The key difference was a whole school enthusiasm for the intervention, from the
444 headteacher and head of P.E., all the way down to the pupils. Direct observations found this
445 headteacher was present at the majority of afterschool sessions, demonstrating full engagement
446 and enthusiasm for the project. Additionally, the enthusiasm of the street dance coach and the
447 enjoyment of the performance element played a role in sustaining these sessions. Observations
448 in schools B and C found the headteachers rarely attended sessions. The headteacher in school
449 C went as far as to say, *'I haven't seen an awful lot of it...I've pretty much left it to (the*
450 *teacher)'*. Although there was class integration in school C, there was only limited maintenance
451 of the daily classroom refreshers intervention at follow-up. The teacher suggested this was
452 mainly due to the class management benefits, as opposed to health benefits, and stated, *'if I see*
453 *that they're finding a task difficult where they really have to focus, or they're finding it hard to*
454 *concentrate, that's when I'd take them out'*. Therefore, the daily classroom refreshers were
455 implemented on an ad hoc basis and much less often than the once-a-day employed during the
456 intervention.

457 **Discussion**

458 This study aimed to involve headteachers in the developmental stages of school-based health
459 interventions to allow them greater autonomy and explore how this influenced adoption,
460 effectiveness, implementation and maintenance. The CLASP intervention demonstrated that
461 providing headteachers with a choice of physical activity projects was a positive approach to

462 the adoption of a school-based intervention as this was viewed as a more collaborative
463 approach to working. Mixed results were reported for the effectiveness, implementation and
464 maintenance of an autonomous model. However, contributing influential factors were similar
465 to those reported in more traditional school-based health interventions, such as a lack of time
466 and existing curriculum pressures.

467 Headteachers appreciated the opportunity for greater autonomy regarding interventions during
468 the developmental, adoption and implementation stages; concurring with previous research
469 suggesting that engaging key stakeholders during initial stages improves intervention
470 implementation (67). The increased autonomy given to headteachers during this study allowed
471 them to select intervention components that best aligned with their current priorities and
472 personal values; an important guideline for developing complex interventions (17) and a key
473 recommendation of the 'Health Promoting Schools' agenda (18). The choice of five research-
474 informed physical activity interventions provided greater adaptability, enabling each
475 headteacher to select a project that best suited their school's needs, as opposed to traditional,
476 standardised intervention styles. The selection of different intervention components amongst
477 the three schools demonstrates choice is both desired and warranted.

478 Curriculum pressure and the need to prioritise core subjects, such as literacy and numeracy,
479 were influential factors in headteachers' decisions regarding intervention choice. Afterschool
480 sessions proved popular from a headteacher perspective, as they were less burdensome on
481 schools in terms of implementation. Time and curriculum pressures have regularly been
482 identified as a barrier to the implementation of traditional school-based physical activity
483 initiatives (15, 68) and this does not appear to be specific to an autonomous approach.
484 Interestingly, the only school not to select an afterschool session implemented daily classroom
485 refreshers that were designed to engage the whole class, even though this was during
486 curriculum time. This was due to the headteacher's understanding of the positive impacts of

487 physical activity breaks on concentration, learning and behaviour; concurrent with beliefs
488 widely reported in the literature (22, 23, 69). This was believed to be a more inclusive approach
489 that would engage all pupils, as opposed to only those motivated and able to stay behind for
490 afterschool sessions. This is consistent with previous research detailing that afterschool
491 sessions would need to be very attractive in order to have high engagement (36). Attendance
492 at afterschool sessions is known to be influenced by enjoyment (70) and the provision of
493 transportation home after the session (71). Therefore, the headteacher believed they would be
494 improving health and academic achievement for the class as a whole, rather than only the few;
495 a universal approach consistent with the population strategy detailed by Geoffrey Rose (72).
496 This difference in approaches suggests that when offered a choice, headteachers may prioritise
497 interventions which fit best around existing pressures, such as curriculum pressure, as opposed
498 to those which demonstrate greater effectiveness but are more burdensome for schools to
499 implement. Therefore, it is advisable to offer whole school, evidence-based choices which have
500 shown potential for effectiveness, ideally in partnership with capacity-building for schools to
501 aid delivery.

502 It is noteworthy that only one school (A) opted to fully maintain their intervention, and the
503 headteacher identified whole school support as an important facilitator in this maintenance.

504 This is in accordance with the “Health Promoting Schools” agenda which promotes whole
505 school or class integration of an intervention (18). Class integration was also evident in the
506 partial maintenance of the inclusive daily classroom refreshers in school C.

507 One headteacher believed a second key facilitator for maintenance was the street dance
508 performance, as this promoted street dance to non-participating pupils and helped increase
509 excitement about the sessions; a known facilitator for school-based interventions identified by
510 headteachers (15). Headteachers also reported that the member of staff chosen to promote the
511 activity needed to be an appropriate teacher who could motivate pupils to participate. Social

512 support from teachers has previously been identified as a significant mediator in improving
513 physical activity levels in children (73). The importance of this teacher-pupil interaction
514 highlighted throughout CLASP warrants further research to fully understand the effects on both
515 motivation and physical activity engagement.

516 The key concept explored through this study was increased autonomy of schools, and this
517 proved influential during the implementation stage of the intervention through promoting more
518 of a partnership approach between the headteacher and researchers. Interestingly, only one
519 headteacher fully discussed the intervention option with their teachers before implementation.
520 Whilst it is important to engage the headteachers initially, buy-in is also required across the
521 whole school. Previous research has discussed the discordance between administrators' and
522 teachers' views on health-based interventions and the impacts on implementation (74).
523 Moreover, a supportive school climate has been identified as a key factor for effective
524 implementation (68).

525 Another key recommendation from headteachers and pupils, for improved implementation of
526 school-based interventions, was the inclusion of pupils in the consultation process. Schools that
527 utilised this approach noted multiple benefits, such as improved engagement and the promotion
528 of pupil voices, and planned to introduce this aspect at an earlier stage for future projects. At
529 follow-up, the only school who did not incorporate the views of pupils, expressed an interest
530 in exploring this in the future. Previous research demonstrated children are rarely included in
531 the design and implementation of projects (75). The recommendations from headteachers in
532 this study demonstrated the approach works well within a primary school setting, in the context
533 of physical activity, and would benefit from further exploration in future school-based
534 interventions.

535 **Strengths and Limitations**

536 The mixed-methods approach used throughout the CLASP project was a key strength. The
537 quantitative outcomes allowed an insight into the effects of the interventions on changes in
538 physical activity, sedentary time and fitness. Furthermore, the extensive qualitative work, with
539 multiple recipients of the intervention, provided a rich, contextual understanding of the
540 acceptability and fidelity of the intervention and the mediators underpinning the quantitative
541 changes seen in pupil health behaviours (49). Whilst all three intervention choices reported
542 favourable changes to MVPA, sedentary time and fitness, these results should be interpreted
543 with caution. Given the timing of the intervention (January through to July), the influence of
544 seasonal variation (Winter to Summer) cannot be precluded (76). Additionally, school A
545 mentioned that participating in this study increased awareness of pupils' physical activity
546 levels, and the impact of additional school interventions cannot be separated out. The lack of
547 feedback from all the coaches at follow-up was also a limitation. Moreover, the sporadic
548 recording of attendance of afterschool sessions prevented reach being calculated with any
549 certainty. Future studies would benefit from the use of age- and sex-matched comparison
550 schools to provide additional insights into the results reported here. Finally, the utilisation of
551 the RE-AIM framework helped guide a more rigorous outcome and process evaluation (60),
552 allowing greater insights from a proof-of-concept perspective. Furthermore, the focus on
553 adoption and maintenance, in addition to implementation, was innovative as these aspects have
554 been identified as under-researched areas within this field (61).

555 **Conclusions**

556 Headteachers perceived that being provided greater autonomy resulted in much more of a
557 partnership approach to school-based interventions and welcomed the idea for future
558 interventions. However, mixed results were reported for the effectiveness, implementation and

559 maintenance of the interventions. Nonetheless, headteachers highlight that involving pupils in
560 the decision-making process and promoting a positive school environment were key factors for
561 enhancing engagement. Promoting inclusive physical activity projects, with a consideration of
562 existing curriculum pressures, aided implementation for headteachers. Overall, this mixed-
563 methods study provides valuable insights about autonomous approaches that could inform
564 further development, implementation and maintenance for future interventions.

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