# ON EVALUATION OF THE THREE-DIMENSIONAL ISOGEOMETRIC BEAM ELEMENT 

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#### Abstract

The exact description of the arbitrarily curved geometries, including conic sections, is an undeniable advantage of isogeometric analysis (IGA) over standard finite element method (FEM). With B-spline/NURBS approximation functions used for both geometry and unknown approximations, IGA is able to exactly describe beams of various shapes and thus eliminate the geometry approximation errors. Moreover, naturally higher continuity than standard $C^{0}$ can be provided along the entire computational domain. This paper evaluates the performance of the nonlinear spatial Bernoulli beam adapted from formulation of Bauer et al. [1]. The element formulation is presented and the comparison with standard FEM straight beam element and fully three-dimensional analysis is provided. Although the element is capable of geometrically nonlinear analysis, only geometrically linear cases are evaluated for the purposes of this study.


Keywords: Bernoulli theory, curved beam element, isogeometric analysis, NURBS.

## 1. InTRODUCTION

The desire for the automatic connection between Computer-aided design (CAD) and Finite element analysis (FEA) has been the crucial impulse for the development of isogeometric analysis [2]. The idea of IGA is to use the basis functions used for the geometry description in CAD also as the approximation functions for the analysis. This results in the possibility of only one model shared between the design and analysis.

Isogeometric approach introduces into the analysis some other very important features. One of them is a possibility to exactly model arbitrarily curved geometries, including a conic sections which can be only approximated by standard polynomial basis functions. This makes it very convenient for the use in the analysis of curved beams.

The focus of this paper is placed on the geometrically nonlinear three-dimensional Bernoulli beam [1] 3]. The beam formulation is briefly introduced and the performance over standard FEM approaches is evaluated.

## 2. NURBS-BASED ANALYSIS

The most wide-spread technology in CAD industry are NURBS (Non-Uniform Rational B-Splines). The NURBS curve is obtained by linear combination of Cartesian coordinates of the control points $\boldsymbol{P}$ and NURBS functions $R_{i}^{p}$

$$
\begin{equation*}
\boldsymbol{C}(\xi)=\sum_{i=1}^{n} R_{i}^{p}(\xi) \boldsymbol{P}_{\boldsymbol{i}} \tag{1}
\end{equation*}
$$

Each NURBS function is generated by weighting Bspline functions $N_{i}^{p}$ with a given weight $w_{i}$ associated with control point $P_{i}$

$$
\begin{equation*}
R_{i}^{p}(\xi)=\frac{N_{i, p}(\xi) w_{i}}{\sum_{j=1}^{n} N_{j, p}(\xi) w_{j}} \tag{2}
\end{equation*}
$$

B-spline functions form a special subset of NURBS functions (corresponding to constant $w_{i}$ ) and are derived recursively starting with a piecewise constant functions

$$
\begin{gather*}
N_{i, 0}(\xi)=\left\{\begin{array}{ll}
1 & \text { if } \xi_{i} \leq \xi<\xi_{i+1} \\
0 & \text { otherwise }
\end{array},\right.  \tag{3}\\
N_{i, p}(\xi)=\frac{\xi-\xi_{i}}{\xi_{i+p}-\xi_{i}} N_{i, p-1}(\xi)+ \\
\frac{\xi_{i+p+1}-\xi}{\xi_{i+p+1}-\xi_{i+1}} N_{i+1, p-1}(\xi), \tag{4}
\end{gather*}
$$

where $p$ is the degree of the B -spline function, $\xi_{i}$ is the coordinate of the $i^{t h}$-knot and $\xi \in\langle 0,1\rangle$ is a parametric coordinate.

The computational domain in Isogeometric analysis (IGA) is firstly divided into patches, which are further divided into knotspans (often referred to as elements in IGA). Understanding of knotspans is similar to elements in standard FEM, nevertheless higher continuity up to $C^{p-1}$ between knotspans can be achieved naturally using NURBS, unlike $C^{0}$ in standard FEM. Moreover, the NURBS basis functions are generally non-interpolatory. In the knots (points which are dividing patch into knotspans), the continuity can be locally decreased up to $C^{0}$ by knot multiplication,

$$
\mathbf{p}=\mathbf{2}, \quad \boldsymbol{\Xi}=\{\mathbf{0}, \mathbf{0}, \mathbf{0}, \mathbf{0} . \mathbf{5}, \mathbf{1}, \mathbf{1}, \mathbf{1}\}
$$

Parametric space Physical space


Figure 1. Description of B-spline (NURBS) finite element geometry. The geometry is modeled by one patch consisting of two knotspans with quadratic NURBS approximation.
which is a standard technique in NURBS technology. This procedure imposes the interpolatory behavior of the functions at the particular point.

For better understanding of NURBS see Fig. 1 . where mapping between real and parametric geometry is illustrated. For more information the reader could refer to [4].

## 3. Beam element formulation

The formulation of the presented three-dimensional beam element [1] is based on Bernoulli beam theory and accounts for the geometrically nonlinear behaviour. Bernoulli kinematics assume that the orthogonality of the cross-section to the center line is preserved after deformation and that the cross-sectional dimensions remain unchanged. The element has four degrees of freedom in each control point: three global displacements $u, v$, and $w$ and additional degree of freedom corresponding to rotation around the center line $\psi$. The rotational degree of freedom enables the element to develop a torsion (warping effects are neglected here) and also to model initially twisted beams.

### 3.1. Geometric Description

In the following, the standard notation using uppercase and lower-case letters for the undeformed and deformed configuration, respectively, is adapted. The beam formulation (see Figure 2) starts from threedimensional approximation reduced using Bernoulli kinematic assumptions to the displacements of the center line, which is given by the position vector $\mathbf{X}_{c}\left(\mathbf{x}_{c}\right)$. The position vector of the center line is described as a linear combination of the control points coordinates
$\hat{\mathbf{X}}^{i}$ and the corresponding basis functions $R_{i}^{p}$

$$
\begin{align*}
\mathbf{X}_{c} & =\sum_{i} R_{i}^{p} \hat{\mathbf{X}}^{i}  \tag{5}\\
\mathbf{x}_{c} & =\sum_{i} R_{i}^{p} \hat{\mathbf{x}}^{i} \tag{6}
\end{align*}
$$

Deformed position vector is given as

$$
\begin{equation*}
\hat{\mathbf{x}}^{i}=\hat{\mathbf{X}}^{i}+\hat{\mathbf{u}}^{i} \tag{7}
\end{equation*}
$$

where $\hat{\mathbf{u}}^{i}$ is a vector of global degrees of freedom $(u, v, w)$.

Additionally to the center line, the cross-section orientation is described by a moving trihedral given by the base vectors $\mathbf{A}_{i}\left(\mathbf{a}_{i}\right)$ with $i \in\{1,2,3\}$. A position vector of an arbitrary point of a beam continuum given by coordinates $\mathbf{X}(\mathbf{x})$ can be expressed as

$$
\begin{align*}
\mathbf{X}\left(\theta^{1}, \theta^{2}, \theta^{3}\right) & =\mathbf{X}_{c}\left(\theta^{1}\right)+\theta^{2} \mathbf{A}_{2}\left(\theta^{1}\right)+\theta^{3} \mathbf{A}_{3}\left(\theta^{1}\right),(8) \\
\mathbf{x}\left(\theta^{1}, \theta^{2}, \theta^{3}\right) & =\mathbf{x}_{c}\left(\theta^{1}\right)+\theta^{2} \mathbf{a}_{2}\left(\theta^{1}\right)+\theta^{3} \mathbf{a}_{3}\left(\theta^{1}\right), \tag{9}
\end{align*}
$$

where $\theta^{i}$ are the convective contravariant coordinates.
The first components of a moving trihedral $\mathbf{A}_{1}$ and $\mathbf{a}_{1}$ are aligned with a normalized tangents $\mathbf{T}$ and $\mathbf{t}$, respectively, and are computed as

$$
\begin{align*}
& \mathbf{A}_{1}=\sum_{i} R_{i, 1}^{p} \hat{\mathbf{X}}^{i},  \tag{10}\\
& \mathbf{a}_{1}=\sum_{i} R_{i, 1}^{p} \hat{\mathbf{x}}^{i}, \tag{11}
\end{align*}
$$

where $(\cdot)_{, i}$ denotes the derivative with respect to the coordinate $\theta^{i}$. The remaining components $\mathbf{A}_{\alpha}$ with $\alpha \in\{2,3\}$ are orthogonal to the tangent of the center line (resp. $\mathbf{A}_{1}$ ) and are described by the reference trihedral, given by $\mathbf{A}_{\alpha}^{0}, \mathbf{T}^{0}$, as

$$
\begin{equation*}
\mathbf{A}_{\alpha}=\overline{\mathbf{R}}_{\mathbf{T}}(\Psi) \boldsymbol{\Lambda}\left(\mathbf{T}_{0}, \mathbf{T}\right) \mathbf{A}_{\alpha}^{0} \tag{12}
\end{equation*}
$$

where $\Psi$ is a initial rotation about a tangent of a beam, and $\boldsymbol{\Lambda}$ and $\overline{\mathbf{R}}_{\mathbf{T}}$ are the two key operations used


Figure 2. Illustration of the beam in its undeformed and deformed configurations.


Figure 3. The $\boldsymbol{\Lambda}\left(\mathbf{T}_{\mathbf{0}}, \mathbf{T}\right)$ and $\overline{\mathbf{R}}_{\mathbf{T}}(\Psi)$ operations used for the alignment of the cross-section at the current position.
for the alignment of a moving trihedral illustrated in Figure 3. While the mapping matrix $\boldsymbol{\Lambda}\left(\mathbf{T}_{\mathbf{0}}, \mathbf{T}\right)$ aligns the reference trihedral given by the tangent $\mathbf{T}_{\mathbf{0}}$ to the tangent $\mathbf{T}$ at the current position, the rotation matrix $\overline{\mathbf{R}}_{\mathbf{T}}(\Psi)$ rotates the aligned reference trihedral about $\mathbf{T}$ with given rotation $\Psi$. The rotation $\Psi=\Psi\left(\theta^{1}\right)$ is calculated using basis functions and values $\hat{\Psi}^{i}$ assigned to the control points. The Euler-Rodriguez formula [5] is used to define both the mapping matrix $\boldsymbol{\Lambda}$ and the rotation matrix $\mathbf{R}_{\mathbf{T}}$.

Analogical procedures denoted as $\boldsymbol{\Lambda}(\mathbf{T}, \mathbf{t})$ and $\overline{\mathbf{R}}_{\mathbf{t}}(\psi)$ are used to align the moving trihedral of the undeformed to the deformed configuration. The base vectors $\mathbf{a}_{\alpha}$ of the deformed configuration are given as

$$
\begin{equation*}
\mathbf{a}_{\alpha}=\overline{\mathbf{R}}_{\mathbf{t}}(\psi) \boldsymbol{\Lambda}(\mathbf{T}, \mathbf{t}) \mathbf{A}_{\alpha} \tag{13}
\end{equation*}
$$

where $\psi=\psi\left(\theta^{i}\right)$ is a rotational degree of freedom evaluated at the current position $\theta^{i}$. The base vectors of the undeformed continuum $\mathbf{G}_{i}$ are defined as $\mathbf{G}_{i}=$ $\mathbf{X}_{, i}$ leading to

$$
\begin{align*}
\mathbf{G}_{1}\left(\theta^{1}, \theta^{2}, \theta^{3}\right) & =\mathbf{A}_{1}\left(\theta^{1}\right)+\theta^{2} \mathbf{A}_{2,1}\left(\theta^{1}\right)+\theta^{3} \mathbf{A}_{3,1}\left(\theta^{1}\right), \\
\mathbf{G}_{2}\left(\theta^{1}\right) & =\mathbf{A}_{2}\left(\theta^{1}\right),  \tag{14}\\
\mathbf{G}_{3}\left(\theta^{1}\right) & =\mathbf{A}_{3}\left(\theta^{1}\right)
\end{align*}
$$

with analogical equations for the base vectors $\mathbf{g}_{i}=\mathbf{x}_{, i}$ of the undeformed configuration. In the sequel, dot products $\mathbf{G}_{i} \cdot \mathbf{G}_{j}\left(\mathbf{g}_{i} \cdot \mathbf{g}_{j}\right)$ and $\mathbf{A}_{i} \cdot \mathbf{A}_{j}\left(\mathbf{a}_{i} \cdot \mathbf{a}_{j}\right)$ are denoted as $G_{i j}\left(g_{i j}\right)$ and $A_{i j}\left(a_{i j}\right)$, respectively.

### 3.2. Green-Lagrange strain tensor

The Green-Lagrange strain tensor $\mathbf{E}$ calculated for the curvilinear coordinate system is defined as

$$
\begin{equation*}
E_{i j}=\frac{1}{2}\left(g_{i j}-G_{i j}\right) \tag{15}
\end{equation*}
$$

and for the orthogonal base vectors, the transformation to the Cartesian coordinate system denoted with ( $(\cdot)$ is given by

$$
\begin{equation*}
\tilde{E}_{i j}=\frac{E_{i j}}{\left\|\mathbf{G}_{i}\right\|_{2}\left\|\mathbf{G}_{j}\right\|_{2}} \tag{16}
\end{equation*}
$$

By substituting geometric relations into the strain tensor, the individual components of the tensor can be derived. During the derivation additional simplifications are made assuming that only a slender beam is considered ( $b, h \ll L$ where $b$ and $h$ are cross-sectional dimensions and $L$ is a length of the beam) resulting in the following equations for the diagonal term $E_{11}$

$$
\begin{align*}
E_{11}= & \underbrace{\frac{1}{2}\left(a_{11}-A_{11}\right)}_{\epsilon}+\theta^{2} \underbrace{\mathbf{a}_{2,1} \cdot \mathbf{a}_{1}-\mathbf{A}_{2,1} \cdot \mathbf{A}_{1}}_{\kappa_{21}} \\
& +\theta^{3} \underbrace{\mathbf{a}_{3,1} \cdot \mathbf{a}_{1}-\mathbf{A}_{3,1} \cdot \mathbf{A}_{1}}_{\kappa_{31}} . \tag{17}
\end{align*}
$$

The diagonal terms $E_{\alpha \alpha}$ as well as the shear term $E_{23}$ are equal to zero. This yields from the Bernoulli assumptions (undeformable cross-section). For the off-diagonal terms $E_{12}, E_{13}$

$$
\begin{equation*}
E_{1 \alpha}=\frac{1}{2} \theta^{\beta} \underbrace{\left(a_{\beta, 1} \cdot a_{\alpha}-\left(A_{\beta, 1} \cdot A_{\alpha}\right)\right.}_{\kappa_{\beta \alpha}}, \tag{18}
\end{equation*}
$$

where $(\alpha, \beta) \in\{(2,3),(3,2)\}$.

### 3.3. Constitutive EQUATIONS

The energetically conjugated stress tensor to the Green-Lagrange strain tensor is the Second PiolaKirchhoff tensor $\mathbf{S}$. Elastic isotropic material is considered within this work and St. Venant-Kirchhoff material is applied. As the beam formulation is reduced to the center line and Bernoulli theory is assumed, the shear forces $\tilde{S}_{23}$ and $\tilde{S}_{32}$ and normal forces $\tilde{S}_{22} \tilde{S}_{33}$ vanish and the full constitutive relation can be reduced to

$$
\left[\begin{array}{c}
\tilde{S}^{11}  \tag{19}\\
\tilde{S}^{12} \\
\tilde{S}^{13}
\end{array}\right]=\underbrace{\left[\begin{array}{ccc}
E & 0 & 0 \\
0 & G & 0 \\
0 & 0 & G
\end{array}\right]}_{\mathbf{D}}\left[\begin{array}{c}
\tilde{E}^{11} \\
\tilde{E}^{12} \\
\tilde{E}^{13}
\end{array}\right]
$$

where $E$ is Young's modulus, $G$ is the shear modulus and $\mathbf{D}$ is a reduced elasticity matrix.

### 3.4. Principle of Virtual Work

The system is in equilibrium when the overall virtual work of the system is equal to zero

$$
\begin{equation*}
\delta W=-\delta W_{i n t}+\delta W_{e x t}=0 \tag{20}
\end{equation*}
$$

The internal end external virtual work of the system is given by

$$
\begin{align*}
\delta W_{\text {int }} & =\int_{\Omega} \mathbf{S}: \delta \mathbf{E} d x  \tag{21}\\
\delta W_{e x t} & =\int_{\Gamma_{N}} \mathbf{t}: \delta \mathbf{u} d x+\int_{\Omega} \rho_{0} \mathbf{B}: \delta \mathbf{u} d x \tag{22}
\end{align*}
$$

where $\Omega$ and $\Gamma_{N}$ are the domain and the Neumann boundary, respectively. The external virtual work depends on the boundary forces $\mathbf{t}$, body forces $\mathbf{B}$ and material density $\rho_{0}$.

By substitution of expressions for the virtual GreenLagrange strain tensor obtained from (15), constitutive relations $\sqrt{19}$ ) and performing linearization the following incremental relation can be obtained

$$
\begin{equation*}
\sum_{s} K_{r s} \Delta u_{s}=-R_{r} \tag{23}
\end{equation*}
$$

where $\Delta u_{s}$ are the displacement increments and

$$
\begin{align*}
R_{r} & =-\left(F_{r}^{i n t}+F_{r}^{e x t}\right)  \tag{24}\\
& =\frac{\partial W_{i n t}}{\partial u_{r}}+\frac{\partial W_{e x t}}{\partial u_{r}}  \tag{25}\\
K_{r s} & =\frac{\partial R_{r}}{\partial u_{s}}=\frac{\partial^{2} W_{i n t}}{\partial u_{r} \partial u_{s}} . \tag{26}
\end{align*}
$$

## 4. Numerical ExAMPLE

The described beam element has been implemented and tested on the example of helicoidal spring (see Figure (4). This problem represents fully threedimensional structure where the all membrane, bending and torsion effects are present. The geometry is


Figure 4. Helicoidal spring cantilever subjected to the unit force tip load and its cross-section.


Figure 5. Helicoidal spring geometry for different thickness/length ratios: $0.1,0.05,0.025,0.0125$.
given by

$$
\mathbf{X}_{c}\left(\theta^{1}\right)=\left[\begin{array}{c}
10 \sin \left(\theta^{1} 2 \pi\right)  \tag{27}\\
10 \cos \left(\theta^{1} 2 \pi\right) \\
20 \theta^{1}
\end{array}\right]
$$

The structure is subjected to the unit tip force load. Different dimensions of the square cross-section have been used in the analysis, in order to evaluate the performance and the applicability of the underlying assumptions. The studied geometries can be observed in Figure 5, where helicoidal springs with different thickness/length $(t / L)$ ratios ( $0.1,0.05,0.025$ and 0.0125 ) are illustrated.

The results have been compared with results obtained using standard FEM straight beam element with cubic approximation [6]. This element allows for the analysis of both thick and thin beams and is naturally locking-free. The beam has been used in two different configurations: i) the element satisfying Bernoulli assumptions; ii) the element accounting also for the shear effects.

The results can be observed in the Figure 6 As expected, for the thick beam configuration the results obtained using classical FEM or IGA elements with Bernoulli assumptions differ from the standard FEM beam element with account for the shear deforma-


FIGURE 6. Comparison of isogeometric beam element with cubic (IGA $p=3$ ), quartic (IGA $p=4$ ) and hexic (IGA $p=6$ ) approximation with standard FEM straight beam element with Bernoulli assumptions (FEM - thin) and with account for shear effects (FEM - shear). The vertical axis corresponds to the vertical tip displacement $w$, the horizontal axis corresponds to the number of nodes (control points).
tion. This difference is diminishing with the smaller thickness/length ratio.

In addition to the beam elements, the fully threedimensional analysis has been assessed. The enormous number of nodes, in comparison with beam elements, have to be used in order to obtain sufficiently accurate results. Finally, the mesh consisting of 102800 nodes $(20 \times 20 \times 257)$ has been used. For the thin beam with $t / L=0.0125$, the obtained deflection is $w=-3.877 e-3$. This result is slightly higher than the results obtained using beam assumptions. The difference between the full 3D and beam models is getting bigger as the height to length ratio increases. This difference is indicating, that the beam theory assumptions are no longer adequate. Overall, the results demonstrate the superior convergence properties of the IGA element. This is due to its ability to capture curved geometry and natural high-order interpolation.

## 5. Conclusions

The spatial isogeometric element based on the work of A. M. Bauer et al. [1 has been implemented and its convergence properties have been evaluated in this contribution. The element formulation is geometrically nonlinear, however only the geometrically linear analysis has been considered in this paper. The performance of the presented element has been compared with standard FEM beam element using benchmark problem of helicoidal spring.

For the higher thickness/length ratios $t / L=0.1,0.5$ the element does not provide good results due to the limitations of Bernoulli assumptions. For the thin beams analysed, the excellent results are obtained.

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