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Filter life span in postoperative cardiovascular surgery patients requiring continuous renal replacement therapy, using a post dilution regional citrate anticoagulation continuous hemofiltration circuit

Running head: Filter life in citrate hemofiltration after cardiovascular surgery

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#### Abstract

**Background:** Regional citrate anticoagulation (RCA) is the recommended standard for continuous renal replacement therapy (CRRT). This study assesses its efficacy in patients admitted to critical care following cardiovascular surgery and the influence of standard antithrombotic agents routinely used in this specific group.

**Methods:** Consecutive cardiovascular surgery patients treated with post-dilution hemofiltration with RCA were included in this prospective observational study. The primary outcome of the study was CRRT circuit life-span adjusted for reasons other than clotting. The secondary outcome evaluated the influence of standard antithrombotic agents (acetylsalicylic acid [ASA], low molecular weight heparin [LMWH] or fondaparinux as thromboprophylaxis or treatment dose with or without ASA) on filter life.

**Results:** Fifty-two patients underwent 193 sessions of CVVH, after exclusion of 15 sessions where unfractionated heparin was administered. The median filter life span was 58 hours. Filter life span was significantly longer in patients receiving therapeutic dose of LMWH or fondaparinux (79 h [2–110]), in comparison to patients treated with prophylactic dose of LMWH or fondaparinux (51 h [7–117], p < 0.001), and patients without antithrombotic prophylaxis (42 h [2–91], p < 0.0001). 12 bleeding episodes were observed; 8 occurred in patients receiving treatment dose anticoagulation, 3 in patients receiving prophylactic dose anticoagulation and 1 in a patient with no antithrombotic prophylaxis.

**Conclusions:** A post dilution hemofiltration with RCA provides prolonged filter life span when adjusted for reasons other than clotting. Patients receiving treatment dose anticoagulation had a significantly longer filter life span than those who were on prophylactic doses or ASA alone.

Key words: cardiovascular surgical procedures, continuous renal replacement therapy, hemofiltration, anticoagulants, citric acid

### Introduction

Acute kidney injury (AKI) requiring continuous renal replacement therapy (CRRT) after cardiac surgery affects between 2-5% of patients and carries a mortality rate between 36 and 78% [1, 2]. Regional Citrate Anticoagulation (RCA) is the Kidney Disease-Improving Global Outcome (KDIGO) recommended anticoagulation of choice for continuous renal replacement therapy and is of particular value to patients with contraindications to heparin and high risk of bleeding such as those who have undergone cardiac surgery [3, 4]. RCA is also associated with a prolonged filter life-span due to a decreased incidence of clotting and preservation of the filter sieving coefficient for larger molecules including inflammatory mediators [5,6]. This anticoagulation modality was reported to be safe and preferred after cardiac surgery [7].

Therefore, although RCA is commonly used in continuous veno-venous hemodialysis (CVVHD) and hemodiafiltration (CVVHDF) modes as these potentially offer reduced risk of clotting over purely convective modes, continuous veno-venous hemofiltration (CVVH) with

RCA on a high cut-off membrane may be of specific benefit to this group of patients providing filter life span remains long [8,9]. The Nikkiso Aquarius CRRT platform has been proven to be simple, effective and safe in delivering RCA through a post dilution CVVH method with a calcium containing replacement fluid in a general critical care population [10].

Cardiac surgery patients often need postoperative antithrombotic prophylaxis but the type and dose varies. Most coronary surgery patients require antiplatelet therapy, while patients after valve surgery can be treated with bridge antithrombotic prophylaxis with unfractionated heparin (UFH) or low molecular weight heparin (LMWH), until they can reach therapeutic international normalized ratio (INR) values resulting from oral anticoagulants (OAC) administration though routine use remains open for debate [11].

As RCA has been reported to improve filter survival in patients receiving systemic anticoagulation with UFH for indications other than CRRT, e.g. patients on veno-arterial extracorporeal membrane oxygenation (ECMO), it is the default anticoagulation method for CRRT in post cardiac surgery patients, in the absence of contraindications to citrate [12].

This study aims to evaluate the effect of post dilution CVVH with RCA anticoagulation on filter life span in general in this specific group of patients, and whether routine thromboprophylaxis adds additional benefit.

#### Methods

The study protocol conformed to the Ethical Principles for Medical Research Involving Human Subjects outlined in the Declaration of Helsinki, was approved by the local institutional review board for scientific studies (NKBBN/539/2016-17) and is registered in the Clinical Trials database (NCT03836742). Consecutive cardiovascular surgery patients treated with CVVH RCA between September 2015 and November 2017 were included (Fig. 1).

The decision to initiate renal replacement therapy was made by the treating clinician based on clinical features of volume overload and biochemical features of azotemia [3]. Contraindications to RCA were severe chronic liver disease, acute liver injury with INR > 2 and refractory shock with lactate increasing above 8 mmol/L.

#### Hemofiltration and RCA protocol

Continuous veno-venous hemofiltration was performed with the Aquarius+ CRRT machine with version 6.02.14/15 software (Aquarius system, NIKKISO Europe GmbH, Desbrocksriede 1, 30855 Langenhagen, Germany) and CITRASET RCA for therapies with regional citrate anticoagulation comprising Aqualine RCA (Haemotronic S.p.A, Via Carreri

16, 41037 Mirandola, Italy) and AQUAMAX hemofilter (Nikkiso Belgium bvba, Industriepark 6, 3300 Tienen, Belgium). Filter size (either Aquamax 1.2 m<sup>2</sup> or Aquamax 1.9 m<sup>2</sup>) was determined by the treating clinician and depended on the patient's actual body weight (> 90 kg) and or the presence of distributive shock requiring noradrenalin infusion over 0.1  $\mu$ g/kg/h, where an Aquamax 19 was used. In all patients Accusol 35 K0 (Nikkiso, Belgium Industriepark 6 B-3300 Tienen; Belgium), which contains 1.75mmol/L of Calcium, was used as the post dilution replacement fluid. Anticoagulant Citrate Dextrose Solution A, U.S.P. (ACD-A) (ACD-A, Macopharma, 5003F Rue Lorthiois, 59420 Mouvaux, France) was used as the source of citrate.

Three thousand units of UFH were added to 1 liter 0.9% NaCl solution for CRRT circuit priming in all but 7 patients who had a suspicion of heparin induced thrombocytopenia.

Initial settings of hemofiltration parameters and its modifications when metabolic alkalosis was observed, were adopted from the CVVH RCA protocol published by Kirwan et al. [10], however the renal dose was calculated for the actual body weight.

The plasma concentration of ionized calcium (iCa) was augmented with additional calcium supplementation initially using a dose of 10 mL of 10% Calcium Chloride (WZF Polfa, Karolkowa Str. 22/24, Warsaw, Poland) added to 1 L of normal saline which resulted in Ca<sup>++</sup> concentration of 4.6 mmol/L. Due to low ionized calcium plasma concentration, after the first 10 patients its dose was increased to 20 mL 10% calcium chloride, and after the following 10 patients to 40 mL 10% calcium chloride, equivalent to Ca<sup>++</sup> concentration of 18.4 mmol/L. The target calcium concentration in plasma was increased from the original 0.9–1.2 range to a 1.0–1.2 range. Similarly, the original protocol was modified by an additional routine infusion of 0.2 g/h magnesium sulfate.

Blood flow was set to achieve a filtration ratio of ~20%. If the plasma pH increased above 7.5 or bicarbonate concentration above 40 mmol/L the hemofiltration dose was decreased from the initial 35 mL/kg/h to 25 mL/kg/h. If metabolic alkalosis persisted, the citrate dose was reduced and if this did not resolve the issue within 3 h RCA was stopped [10].

Either 13.5 Fr Mahurkar catheters (Covidien, 15 Hampshire Str., Mansfield, MA 02048USA) or 12 Fr Hemo-Access (Biometrix Ltd., 4 Kiryat Mada, Jerusalem, Israel) with length depending on cannulation site were used, however the type of the catheter was not reported in patients' files.

Primary endpoint of this study was to assess filter lifespan in post dilution CVVH with RCA in cardiovascular surgery patients. Secondary endpoint evaluated the difference in filter

life span depending on standard antithrombotic agents by separating hemofiltration sessions into three groups; Group A: no antithrombotic medication or acetylsalicylic acid (ASA) alone; Group B: prophylactic dose LMWH or fondaparinux, with or without ASA; Group C: treatment dose LMWH or fondaparinux with or without ASA. Fondaparinux was used instead of LMWH whenever HIT was suspected and HIT ELISA test was positive [13].

Treatment sessions where continuous unfractionated heparin (UFH) added for therapeutic reasons were excluded from filter survival analysis.

#### Statistical analysis

Data are presented as median, quartiles and ranges. CRRT circuit lifespan is presented as Kaplan-Meier curves. Categorical variables were compared between groups with the chisquare test. Inter-group differences in filter lifespan were assessed with the Cox-Mantel test.

### Results

Two hundred and eight CVVH sessions were performed in 52 patients (including 4 chronic dialysis patients). Fifteen sessions were excluded as the patients received an additional UFH infusion. Patients characteristics and cardio-vascular surgical procedures are presented in Table 1.

#### Primary endpoint

Filter life span of 193 sessions was adjusted for reasons to stop the filter other than clotting (96) and these included patient death (3), clinical decision (14), transport to computed tomography scan (2), change of therapy (2), technical (3: 1 alkalosis, 1 machine failure, 1 access concern) and end of filter life span (73).

Median time CRRT circuit lifespan was 58 h (2–117). CRRT circuit lifespan reached the 72-h manufacturer cut off in 75 (38.9%) sessions (Fig. 2).

#### Secondary endpoint

A significantly longer filter life span was observed in RCA CVVH sessions when patients received a treatment dose LMWH or fondaparinux (Table 2, Fig. 3).

Bleeding complications were observed in 12 patients (23%) (6 major) during or after CRRT and are presented in Table 3. Nine patients with bleeding complications were treated with treatment dose LMWH or fondaparinux, one with UFH, one with prophylactic dose LMWH and one with ASA alone (NS).

#### Discussion

According to available research, this is the first study of post dilution CVVH with RCA anticoagulation in postoperative cardiovascular surgery patients. It is safe and effective treatment leading to a median filter life of 58 h when adjusted for reasons other than clotting.

The present results compare well with similar studies, using this protocol in a general intensive care unit (ICU) population (27 h) and postoperative cardiac surgical patients who received RCA predilution continuous hemofiltration (48 h), continuous hemodiafiltration with RCA or heparin (50–58 h) and continuous hemodialysis with RCA (39–61 h) [10, 14–20]. Most of these studies, however, did not specifically address the question of whether the patients received any systemic antithrombotic prophylaxis.

Although this is only a pilot study it is the first to demonstrate significant differences in RCA CVVH filter lifespan between patients receiving additional systemic anticoagulation medications that are often required following cardiovascular surgery. This data is in line with a similar study of general ICU patients by Wu et al. [21] where the addition of low dose dalteparin to high volume predilution CVVH with RCA improved filter life span from 25 to 40 h, without increasing the risk of bleeding, and thus plays an important role when evaluating the success of filter specific anticoagulation or when comparing filter life span in cardiac patients and general ICU populations [21].

The incidence of bleeding is an important marker of treatment safety during CRRT. RCA reduces bleeding complications but many postoperative cardiac patients receive additional anticoagulants [11]. In the current study, major and minor bleeding complications observed in 8 patients were related to treatment dose anticoagulation. High incidence of bleeding complications observed in the present group of patients treated with CRRT was unlikely to be related to CRRT circuit anticoagulation but to post cardiovascular surgery antithrombotic prophylaxis. It is a matter of ongoing discussion if LMWH or UFH bridging is indispensable after valve surgery [11].

An appropriately powered study to assess bleeding complications when prophylactic LMWH or fondaparinux is added, is needed before this can be recommended as a routine addition to RCA CRRT therapy to increase filter survival alone and this will be difficult to do. A limitation of the current study is that we assessed hemofiltration sessions during which different antithrombotic prophylaxis were compared. In some patients different antithrombotic regimens were used depending on their clinical status. For example, if the mechanical mitral valve replacement patient was treated with CRRT in the early postoperative hours due to anuria, no antithrombotic prophylaxis was used due to the risk of bleeding. The same patient would however receive treatment dose of LMWH a few days later, often after the chest drains were removed. A more complex statistical comparison of bleeding episodes between the groups would be needed to evaluate the risk of bleeding post operatively, as well as a more formalized assessment of bleeding risk depending on time after surgery.

There are some clear limitations to this pilot study. It was not possible to capture data on catheter type and tip position, something which has been shown to play an important role in filter survival regardless of anticoagulation method [22].

Similarly, other factors potentially affecting circuit life span including platelet count and other measures of clotting function (e.g. analysis of thromboelastography) were not collected. Future studies of filter life span would benefit from including these laboratory data to determine if they are relevant in the clinical setting.

The life span of hemofiltration circuit can also be affected by multiple clinical factors including type of surgery, duration of surgery and cardiopulmonary bypass, aortic crossclamp time, use of vasopressors, etc. A much larger study would be needed to individually evaluate these and it may not be of overall benefit.

There is however an additional potential benefit of demonstrating a good filter life span outcome with CVVH. A recent study revealed that after cardiac surgery postoperative inflammatory response is severe enough to fulfill SIRS criteria in as many as 28% of patients [23]. Data from experimental and clinical studies, suggest that convection might be more effective than diffusion in the clearance of middle- and high-molecular weight particles at the equivalent dose, but the clinical benefit of convection above dialysis has not been shown [24].

In the original Royal London Hospital RCA protocol, a lower target citrate concentration (2.8 mmol/L) in the filter was accepted, in comparison to most RCA protocols for hemodialysis. ACD-A citrate solution was used instead of trisodium citrate solution, most commonly used throughout Europe, in order to reduce the risk of metabolic alkalosis resulting from citrate metabolism, and to decrease sodium load. It was presumed that target citrate concentration in the filter equal to 2.8 mmol/L should decrease ionized calcium concentration in the filter to 0.35 mmol/L on the average.

Some modifications were made to the original Royal London CVVH with RCA treatment formula. Firstly, actual body weight instead of ideal body weight was used for renal dose calculation. The rationale for it was that patients after recent cardiovascular surgery have significant catabolism, and the authors intended to use a higher clearance, rather than too low of a clearance of solutes.

Secondly, the target plasma calcium concentration was higher in comparison to the original protocol. In order to achieve this goal, calcium concentration in calcium replacement solution was stepwise increased after initial experience, from 4.6 mmol/L to a final 18.4 mmol/L. Higher range of target calcium concentration could potentially slightly increase the risk of filter clotting, because with a higher plasma ionized calcium concentration and equal dose of citrate, ionized calcium concentration in the filter could also be slightly increased in comparison to the observations of the authors of the original protocol. A target plasma ionized calcium concentration was increased in order to minimize the risk of hypocalcemia, which could contribute to exacerbating post cardiotomy heart failure and cause calcium resorption from bones during prolonged treatment of patients immobilized by their critical condition.

Hight cut-off (55 kDa) filters used in the study patients might theoretically promote convective cytokine removal, but its efficacy was not specifically studied in post cardiac surgery patients with AKI. This effect may be beneficial in the early postoperative hours after cardiopulmonary bypass (CPB) cardiac surgery where high concentrations of cytokines and chemokines can play a significant role in contributing to increased postoperative morbidity and mortality and using the present protocol may be a way, in future, of evaluating its potential benefit [25, 26]. To add further impetus in performing this type of study, there is some experimental rationale to preference RCA when initiating CRRT for postoperative cardiac patients. RCA is related to less complement and neutrophil activation in comparison to heparin, which may be an important factor in cardiac surgery patients, in whom complement and neutrophil activation by cardiopulmonary bypass, contributes to postoperative complications [27]. Citrate has been shown to exert cardio- and reno-protective effects in acute kidney injury triggered by ischemia-reperfusion in rats but this is yet to be shown in the clinical setting [28]. An improvement of survival in critically ill surgical patients treated with RCA in comparison to LMWH was observed by Oudemans-Van Straaten but it was not confirmed by subsequent studies [4, 29].

#### Conclusions

In summary, post dilution CVVH RCA for postoperative cardiovascular surgery patients results in excellent filter life span when compared to data from other groups of similar patients. The addition of treatment dose LMWH/fondaparinux required for post cardiac surgery antithrombotic prophylaxis significantly increases filter life span, but may carry a risk of increased incidence of bleeding episodes. Further studies are needed to determine whether routine additional anticoagulation is beneficial and whether a CVVHF post dilution RCA protocol, that affords long filter life spans, can add additional mortality and morbidity benefit to postoperative cardiac surgical patients with renal failure over other modes of CRRT.

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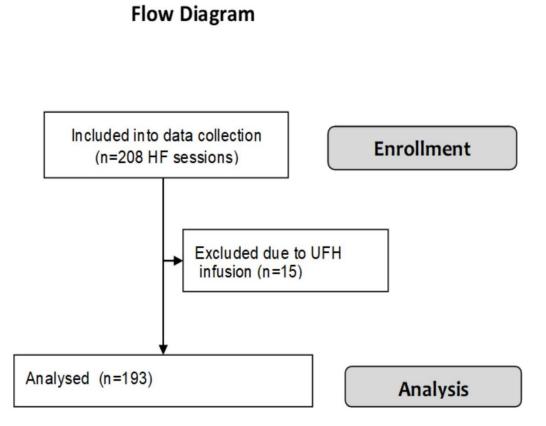
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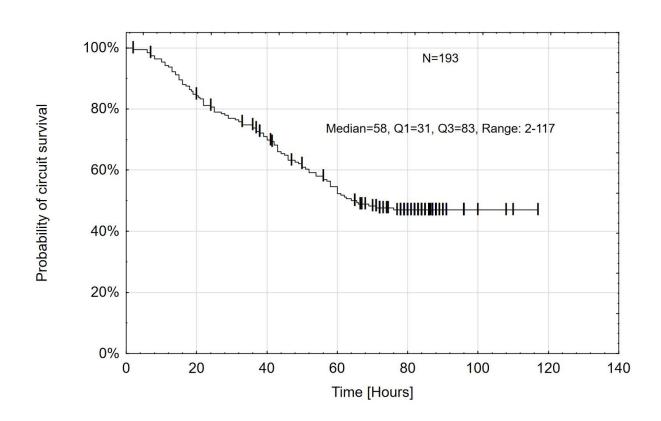
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**Figure 1.** The flow-chart of hemofiltration sessions included into continuous renal replacement therapy (CRRT) circuit lifespan analysis; HF — heart failure; UFH — unfractionated heparin.



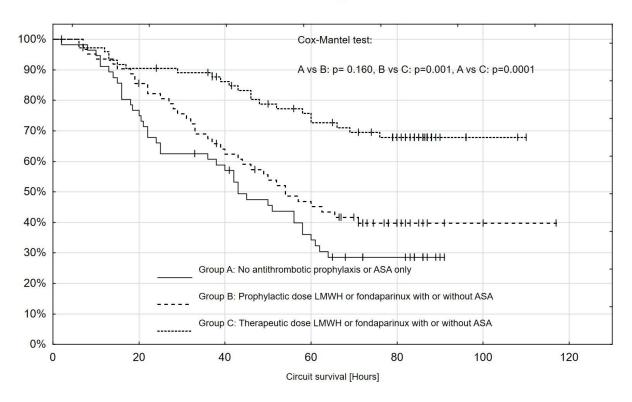
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**Figure 2.** Kaplan-Meier curve of filter survival censored for discontinuation due to reasons other than circuit clotting.

#### Kaplan-Meier probability of survival





**Figure 3.** Influence of systemic antithrombotic prophylaxis on continuous renal replacement therapy (CRRT) circuit lifespan. (Group A: No antithrombotic prophylaxis or acetylsalicylic acid [ASA] only, Group B: prophylactic dose low molecular weight heparin [LMWH] or fondaparinux with or without ASA, Group C: more than prophylactic dose LMWH or fondaparinux with or without ASA).

Patient characteristics/type of surgery	Data/Number of patients
Patients age	70 ( $Q_1 = 62, Q_3 = 74$ ; range: 37–84)
Sex (male)	28
Preexisting renal disease	24
ESRF/Chronic dialysis treatment	4
Diabetes mellitus	12
Arterial hypertension	34
COPD	4
Hyperthyroidism	3
Hypothyroidism	3
Chronic atrial fibrillation	11
Preoperative hemoglobin <10 [g/dL]	16
Preoperative creatinine concentration [mg/dL]	1.49 ( $Q_1 = 1.05, Q_3 = 2.11$ ; range: 0.62–6.18)
Type of surgery:	
Valvular surgery	23
Revascularization surgery (CABG and OPCABG)	7
Valvular + revascularization	6
Cardiac other	2
Vascular surgery including thoracic aorta surgery	10
Extracorporeal support (ECMO/VAD)	1
Heart transplant	1
Pericardial drainage	1
PM electrodes removal	1
Hospital mortality	29 (57%)

**Table 1.** Patient characteristics and type of surgical procedures in the study group.

CABG — coronary artery bypass graft; COPD — chronic obstructive pulmonary disease; ECMO — extracorporeal membrane oxygenation; ESRF — end-stage renal failure; PM pacemaker; OPCABG — off-pump coronary artery bypass graft; VAD — ventricular assist device

Antithrombotic agents		Number of hemofiltration	Median circuit lifespan hours		
		sessions	(range)		
Group A $(N = 56)$	No antithrombotic medication	51	42 (2–91)		
	ASA alone	5	(_ , , , , ,		
Group B (N = $62$ )	Prophylactic dose low molecular weight	29			
	heparin (LMWH) without ASA				
	Prophylactic dose LMWH with ASA	16	51 (7–117)		
	Prophylactic dose fondaparinux without ASA	17			
	Prophylactic dose fondaparinux with ASA	0			
Group C (N = 75)	Treatment dose LMWH without ASA	44			
	Treatment dose LMWH with ASA	26			
	Treatment dose fondaparinux without ASA	5	79 (2–110)		
Gre	Treatment dose fondaparinux with ASA	0			

**Table 2.** Systemic antithrombotic prophylaxis used during regional citrate anticoagulation

 continuous veno-venous hemofiltration sessions.

ASA — acetylsalicylic acid; LMWH — low molecular weight heparin

**Table 3.** Bleeding complications in the study group. Information on systemic antithromboticprophylaxis refers to the highest dose during the whole hemofiltration treatment.

Type of bleeding	Surgical procedure	Systemic antithrombotic prophylaxis	Remarks	Survival
Hematoma of ascending colon mesentery	AVR, MVR	LMWH therapeutic dose		No
Airway bleeding (Minor)	Cardiac sarcoma resection	LMWH therapeutic dose	Idiopathic thrombocytopenia before surgery	No
Airway bleeding (Minor)	CABG	Fondaparinux prophylactic dose	HIT suspected	No
Retroperitoneal hematoma	MVR, TVP, ASD-closure, CABG	Fondaparinux therapeutic dose	Suspected relation to dialysis catheter inserted through femoral vein	No
Mediastina hematoma, gastro-duodenal bleeding	AVR	No prophylaxis or ASA only		No
Airway bleeding (Minor)	TVP	LMWH therapeutic dose		No

Airway bleeding and oral cavity mucosal bleeding (Minor)	OPCABG	LMWH therapeutic dose, at 3 <sup>rd</sup> session replaced with fondaparinux prophylactic dose	HIT suspected	No
Airway bleeding (Minor)	MVR	LMWH therapeutic dose	Thrombocytopenia	No
Retroperitoneal bleeding in the iliopsoas muscle area	AVR	LMWH therapeutic dose	Suspected relation to dialysis catheter inserted through femoral vein	Yes
Oral cavity mucosal bleeding (Minor)	AVR, CABG	LMWH therapeutic dose		No
Femoral hematoma after IABP removal	VA ECMO, IABP	UFH infusion	Myocarditis was an indication to VA ECMO	Yes
Retroperitoneal bleeding in the iliac muscle area 6 days after the end of hemofiltration treatment	MVR	LMWH therapeutic dose	HIT, endocarditis was an indication to MVR, bleeding 6 days after the end of hemofiltration, Suspected relation to dialysis catheter inserted through femoral vein	Yes

ASD — atrial septal defect; AVR — aortic valve replacement; CABG — coronary artery bypass graft; HIT — heparin induced thrombocytopenia; IABP — intra aortic balloon pump; LMWH — low molecular weight heparin; MVR — mitral valve replacement; OPCABG — off-pump coronary artery bypass graft; TVP — tricuspid valve plastic; UFH — unfractionated heparin; VAD — ventricular assist device; VA ECMO — veno-arterial extracorporeal membrane oxygenation