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## Gradual Common Carotid Artery Occlusion as a Novel Model for Cerebrovascular Hypoperfusion

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### Abstract

Chronic cerebrovascular hypoperfusion results in vascular dementia and increases predisposition to lacunar infarcts. However, there are no suitable animal models. In this study, we developed a novel model for chronic irreversible cerebral hypoperfusion in mice. Briefly, an ameroid constrictor was placed on the right carotid artery to gradually occlude the vessel, while a microcoil was placed on the left carotid artery to prevent compensation of the blood flow. This procedure resulted in a gradual hypoperfusion developing over a period of 34 days with no cerebral blood flow recovery. Histological analysis of the brain revealed neuronal and axonal degeneration as well as necrotic lesions. The most severely affected regions were located in the hippocampus and the corpus callosum. Overall, our paradigm is a viable model to study brain pathology resulting from gradual cerebrovascular hypoperfusion.

### Keywords

white matter pathology; hypoperfusion; small vessel disease; gradual vessel occlusion; cerebral blood flow; neuronal degeneration

## Introduction

Chronic cerebral hypoperfusion is the primary cause of vascular dementia (Kynast et al., 2017), and has been implicated in the development of white matter disease and lacunar infarcts (Black et al., 2009). During the progression of the disease, cerebral arteries harden, resulting in a deficient nutrient delivery to the cerebral parenchyma. This in turn, causes metabolic distress and bioenergetic disturbances that contribute to cerebral degeneration (Vasquez and Zakzanis, 2015). There are several models to induce hypoperfusion in experimental animals based on the occlusion of two (Bottiger et al., 1998; Bottiger et al., 1999), three (Carmichael, 2005; Thal et al., 2010; Onken et al., 2012) or four (Pulsinelli and Buchan, 1988; Traystman, 2003) vessels. However, all these models are based on either permanent or temporal reduction/blockade of blood flow. Therefore, there is a need for a more clinically relevant model that would induce a gradual reduction of cerebral blood flow, and therefore, simulate chronic cerebral hypoperfusion in humans.

In this study, we developed a novel murine model to gradually, and irreversibly reduce cerebral blood perfusion over time. Our paradigm is advantageous because it circumvents the hypoxic/ischemic damage associated with a rapid reduction in CBF observed in other hypoperfusion models (Shibata et al., 2004; Kitamura et al., 2016).

## Materials and Methods

### Animals

12–14week-old male C57BL/6J mice were used. Mice were housed in accordance to Institutional Animal Care and Use Committee (IACUC) guidelines of the West Virginia University (WVU) Health Sciences Center vivarium. Animals were maintained under a light/dark cycle (12:12 h) with food and water available *ad libitum*. All performed procedures were approved by IACUC of WVU.

### Implantation of Ameroid Constrictor Ring and Microcoil

The ameroid constrictor is a device used in veterinary medicine for the treatment of hepatic shunts whereby it induces collateral circulation via blood vessel occlusion. The constrictor ring is composed of a surgical steel ring and an inner layer composed of casein. The hygroscopic property of casein causes its gradual swelling at a predictable rate, wherein the surgical steel ring that surrounds the casein layer forces this swelling inward, resulting in a shrinking inner diameter that gradually occludes blood vessel.

Mice were anesthetized with 4–5% isoflurane and maintained under 1–2% isoflurane in a 30% O<sub>2</sub>:70%N<sub>2</sub> mixture and placed on a feedback controlled heating pad to maintain the body temperature at 37 °C. Ophthalmic ointment was placed on the eyes; the surgical area was prepared by trimming the fur and sanitizing the skin with isopropanol pads followed by betadine. Both common carotid arteries (CCAs) were exposed through a midline cervical incision, and an ameroid constrictor ring (cat. MC-0.50–55, Research Instruments SW, CA) was placed around the right CCA following a published protocol (Hattori et al., 2015). A microcoil (cat. SWPA ID 0.18, Wuxi Samini Spring Co., LTD, China) was placed around the left CCA to prevent CBF compensation. The entire surgical procedure was completed within

20 minutes. The control group received a sham surgery including an incision exposing CCAs but neither the ameroid constrictor rings nor the microcoils were implanted. All further experimentation was performed blinded to surgical group.

### Cerebral Blood Flow Measurement

Before the placement of the ameroid constrictor ring and microcoil, baseline CBF was measured. Briefly, the skull was exposed with a 1.5 cm incision. Ten consecutive measurements of CBF were acquired with a MoorFLPI laser Doppler system (Moor Instruments, England) over the course of 5 minutes with the exposure of 200 ms. To make certain that the surgery did not damage CAA, a second CBF measurement was performed soon after the implantation. The incision was closed with sutures, and the animals were subcutaneously injected with a local anesthetic, bupivacaine (1 mg/kg), once a day for 3 days. Subsequent CBF measurements were performed by reopening the scalp at the same incision site, followed by suturing and bupivacaine injections.

### Histochemistry

On day 34, mice were anesthetized and transcardially perfused with 20 mL of 0.01M phosphate buffered saline followed by 20 mL of 4% paraformaldehyde, pH of 7.45. Brains were extracted, placed in 4% paraformaldehyde and incubated at 4 °C overnight. Fixed brains were sliced into 2 mm coronal sections, embedded in paraffin, sliced into 10 µm coronal sections and mounted onto specimen slides. Deparaffinized and rehydrated tissue sections were stained with Haematoxylin and Eosin (H&E) to assess general brain pathology (Xiong et al., 2008) and silver stained to assess axonal damage (Uchihara, 2007). The sections were imaged on a MIF Olympus VS120 Slide Scanner at 20X magnification.

### Statistical Analysis

Statistical comparisons were performed using ANOVA (with or without repeated measures, where appropriate). Dunnett's post-hoc test was used for comparison of the experimental groups relative to a control group, or for comparison within a given group at one time point post-surgery (sham or surgical hypoperfusion) relative to the pre-surgery time point.  $p < 0.05$  was considered significant.

## Results

### Cerebral Blood Flow

Out of the initial seven mice in the hypoperfusion group, two died on day 15 and 17, post-surgery. There was no mortality in the sham group. The impact of surgical hypoperfusion was assessed by measuring changes in global CBF over time (Figure 1A). To account for group differences in pre-hypoperfusion CBF values, we calculated the percent change at each experimental time point (day 1, 3, 7, 13, 27, and 34) relative to the average of the values at the pre-surgery time point within each group individually (sham or hypoperfusion) (Figure 1B). One sham animal was excluded from the analyses due to inaccurate CBF measurements at the pre-surgery time point. Using these values, we conducted repeated measures ANOVA with surgery as the independent factor and time point as the repeated factor. There was a significant interaction between these factors [ $F(6,63) = 4.967$ ,  $p <$

0.0005]. To determine the extent to which our surgical hypoperfusion model induced a gradual constriction that progressively reduced CBF, we probed the significant interaction by evaluating temporal CBF changes within each group separately using the repeated measures ANOVA where time was the repeated factor. For the sham group, the ANOVA was not significant ( $p = 0.43$ ), indicating that there was no change in CBF in this group during the time interval evaluated. However, the repeated measures ANOVA for the surgical hypoperfusion group was significant [ $F(6,34) = 10.26, p < 0.005$ ]. To determine critical time points at which CBF was altered in this group, and to control for multiple post-hoc two-group comparisons because we were primarily interested in change in CBF relative to the pre-surgery time point, we applied the Dunnett's multiple comparisons approach to assess change in CBF across time. We found no CBF differences at day 1 ( $p = 0.07$ ), day 3 ( $p = 0.99$ ), or day 7 ( $p = 0.47$ ) from the pre-surgery time point in the hypoperfusion group. However, CBF in the hypoperfusion group was significantly decreased from the pre-surgery time point by 28% on day 13 ( $p = 0.04$ ), 43% on day 27 ( $p = 0.04$ ) and 40% on day 34 ( $p = 0.02$ ). The gradual reduction in CBF was further supported by a significant drop in CBF in the hypoperfusion mice as compared to sham mice at day 13 ( $p = 0.0001$ ), day 27 ( $p = 0.02$ ), and day 34 ( $p = 0.0008$ ). There were no differences in CBF between these groups on days 1, 3 and 7 post-surgery.

### Histological Assessment of Cerebral Injury

H&E staining of cerebral tissue from hypoperfused animals revealed a variety of hallmark pathologies of gray and white matter (Figure 2). Thus, there was striking neuronal loss in the stratum pyramidale of the Cornu Ammonis 1 (CA1) and Cornu Ammonis 3 (CA3) region of the hippocampus, and loss of neurons in the stratum granulosum in the dentate gyrus (DG). We noticed hemisphere asymmetry in hippocampal and cortical injury in hypoperfused mice. Hypoperfusion is known to induce lesions that are formed by localized intense vacuolization (Wells and Wells, 1989). We observed lesions of intense vacuolization in the stratum oriens, stratum pyramidale, and stratum radiatum in the CA1 and CA3 regions of the hippocampus. There were overt degenerative lesions in the fimbria of the fornix (FF), external segment of the globus pallidus (GPe), and internal segment of the globus pallidus (GPI). These lesions contained an elevated number of nuclei, suggesting infiltration of inflammatory cells. No pathology was evident in the sham group.

Silver staining revealed severe axonal injury, identified as punctate staining observed in the stratum oriens and stratum radiatum of the CA1 region of the hippocampus of hypoperfused mice (Figure 3). A similar observation was made in the CA2 region. Axonal injury in both the stratum oriens and stratum radiatum of the CA3 region of the hippocampus appeared to be less affected.

Silver staining also revealed prominent injury of the corpus callosum in hypoperfused mice (Figure 4). Severe atrophy of the body of the corpus callosum was apparent in the hypoperfused mice. Intense silver staining of axons projecting through the corpus callosum indicated axonal damage. The appearance of gaps between axons in the corpus callosum indicated their degeneration. Additionally, axonal disorganization was a common

observation in regions with extensive axonal injury. No axonal injury was apparent either in the hippocampus or in the corpus callosum of the sham mice.

## Discussion

Numerous studies of cerebral pathophysiology of hypoperfusion in animal models have led to the identification of hundreds of potential therapeutic compounds. However, in clinical trials, all of these compounds have proven ineffective, or less effective than the already clinically available interventions. The lack of adequate experimental models of cerebrovascular hypoperfusion with pathophysiology analogous to humans is likely the primary cause of the loss of translation from laboratory to clinical practice. A major limitation of the current animal models is variable severity of ischemic damage that weakens the statistical power of experimental research making the identification of effective therapeutics difficult.

There are several paradigms to induce cerebrovascular hypoperfusion in mice. Essentially all of the current paradigms involve the occlusion of two (Bottiger et al., 1998; Bottiger et al., 1999), three (Carmichael, 2005; Thal et al., 2010; Onken et al., 2012) or four (Pulsinelli and Buchan, 1988; Traystman, 2003) vessels. These paradigms include, bilateral common carotid artery stenosis (Shibata et al., 2007; Matin et al., 2016; Patel et al., 2017), permanent carotid artery ligation (Ohta et al., 1997) and sequential common carotid artery occlusion (Cechetti et al., 2010). Limitations of other procedures used to induce hypoperfusion in mice include a high mortality rate (Longa et al., 1989; Connolly et al., 1996; Kitagawa et al., 1998) and inconsistency in the severity and localization of cerebral damage (Connolly et al., 1996; Clark et al., 1997; Ohta et al., 1997; Takano et al., 1997; Matin et al., 2016; Patel et al., 2017). Using the present paradigm, we were able to induce a consistent time-dependent reduction of cerebral blood flow that resulted in limited mortality and relatively conserved damage severity and localization.

Models of cerebrovascular hypoperfusion that use bilateral CCA stenosis with microcoils have demonstrated pathology to white matter without gray matter damage after 30 days of chronic reduction of CBF (Shibata et al., 2004). However, a maximum reduction of CBF to approximately 50% of baseline occurred within 2 hours post-surgery, followed by a progressive recovery of CBF to approximately 80% of baseline by day 30 (Shibata et al., 2004). Also, a similar acute reduction of CBF to ~50% of baseline was observed on the first day in other studies (Kitamura, et al., 2016; Srinivasan et al., 2015; Hattori et al., 2016). Growth of collateral vessels occurs only after a few days of hypoperfusion resulting in a recovery of CBF over time (Srinivasan et al., 2015). This suggests that the greatest damage occurs during the first days after surgery, and once CBF is restored, the tissue recovers. This makes it difficult to gauge accurately the extent of damage caused by permanently reduced blood flow as in cerebral hypoperfusion in humans. In our model, the stenosis of the CCA develops gradually over time. The irreversibility of CBF reduction prevents the recovery of cerebral tissue, which may explain the injury of both the white and gray matter.

In hypertensive rats a two-vessel occlusion with ameroid constrictor rings of both CCAs CBF was reduced by approximately 20% within 3 hours, and reached a maximum of 70%

after 24 hours (Kitamura et al., 2016). This CBF reduction persisted for seven days, but recovered to approximately 80% of baseline by day 14. In contrast, we found no change in CBF for seven days post-surgery in the hypoperfusion group. Moreover, CBF was gradually reduced beginning day 13, and reached 60% of baseline on day 34. There was no CBF recovery over the 34 days of hypoperfusion. In agreement with the findings of Kitamura et al. (2016) we found similar lesion in the corpus callosum. However, we also observed severe damage to the hippocampus, cortex and subcortical regions. albeit asymmetric to the brain. These pathological differences may be attributed to the characteristic hemodynamic properties of hypertensive rats used by Kitamura et al.

In conclusion, we have established a novel murine model of irreversible hypoperfusion that develops gradually. Our paradigm results in a substantial reduction of CBF and produces consistent localization and severity of cerebral injury in the hippocampus, cortex, fornix, globus pallidus and corpus callosum with a relatively low mortality rate. The model provides a tool for investigating cerebral vascular disease with chronic cerebral hypoperfusion, which is implicated in the development of white matter disease and lacunar infarcts.

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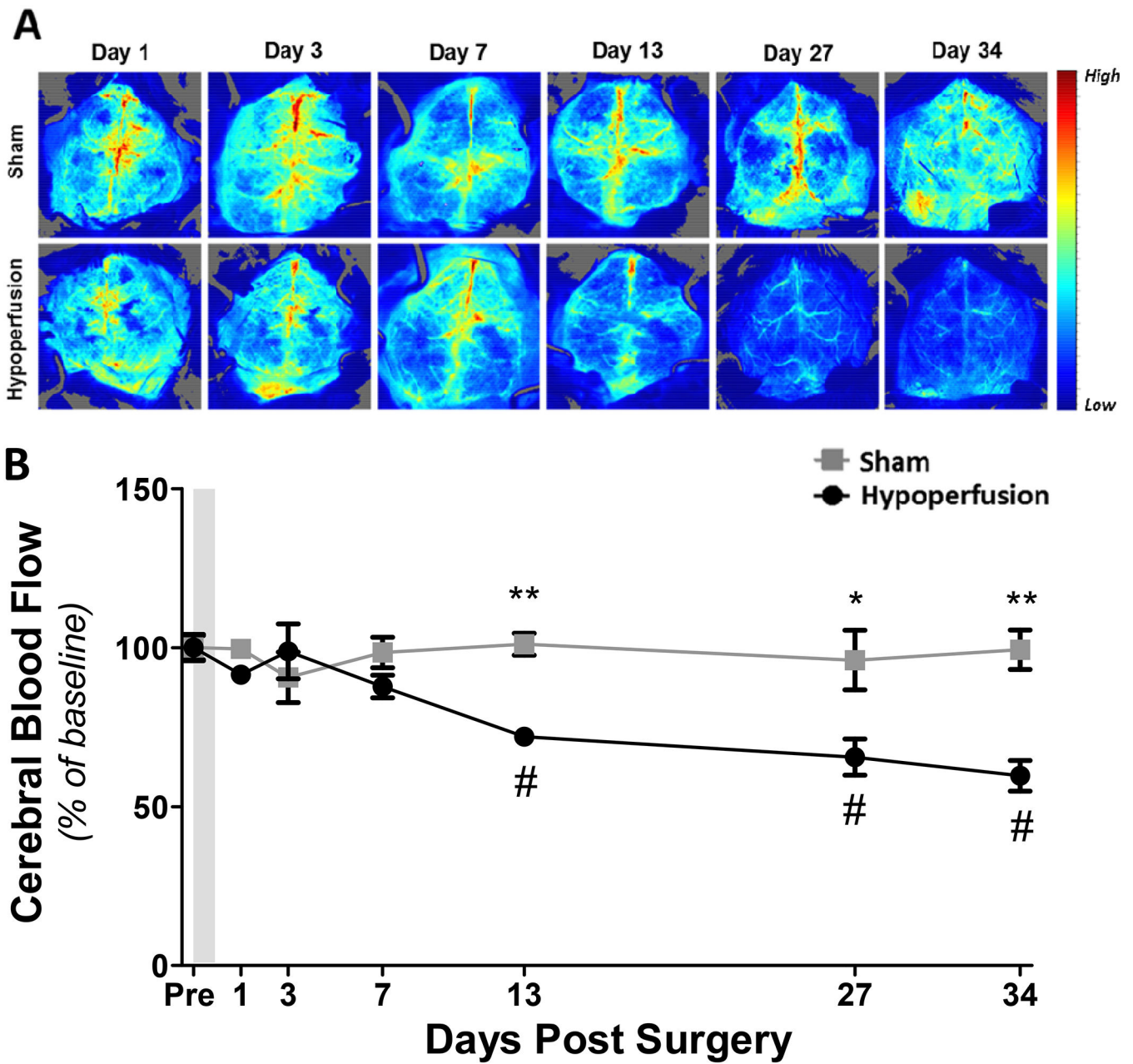
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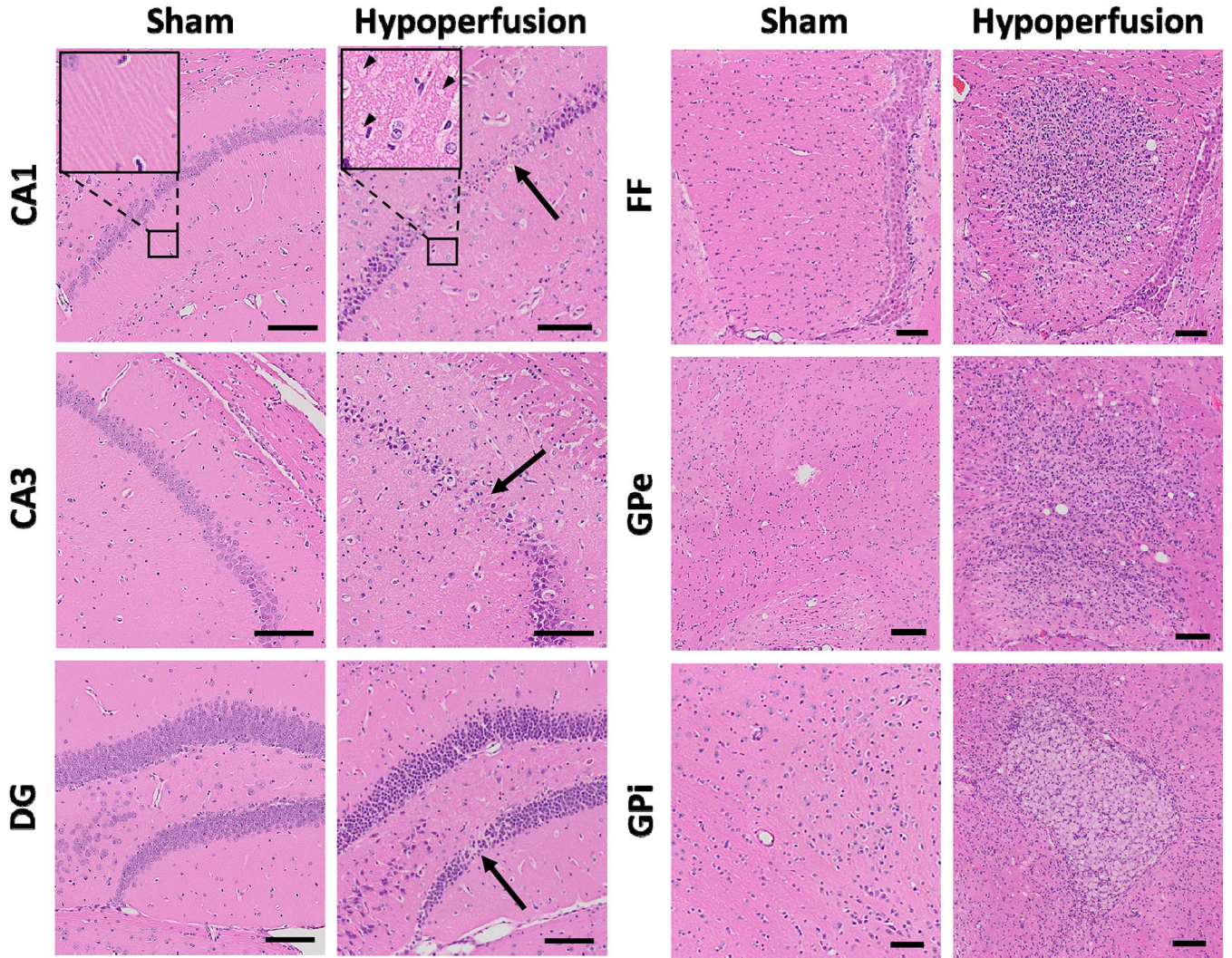
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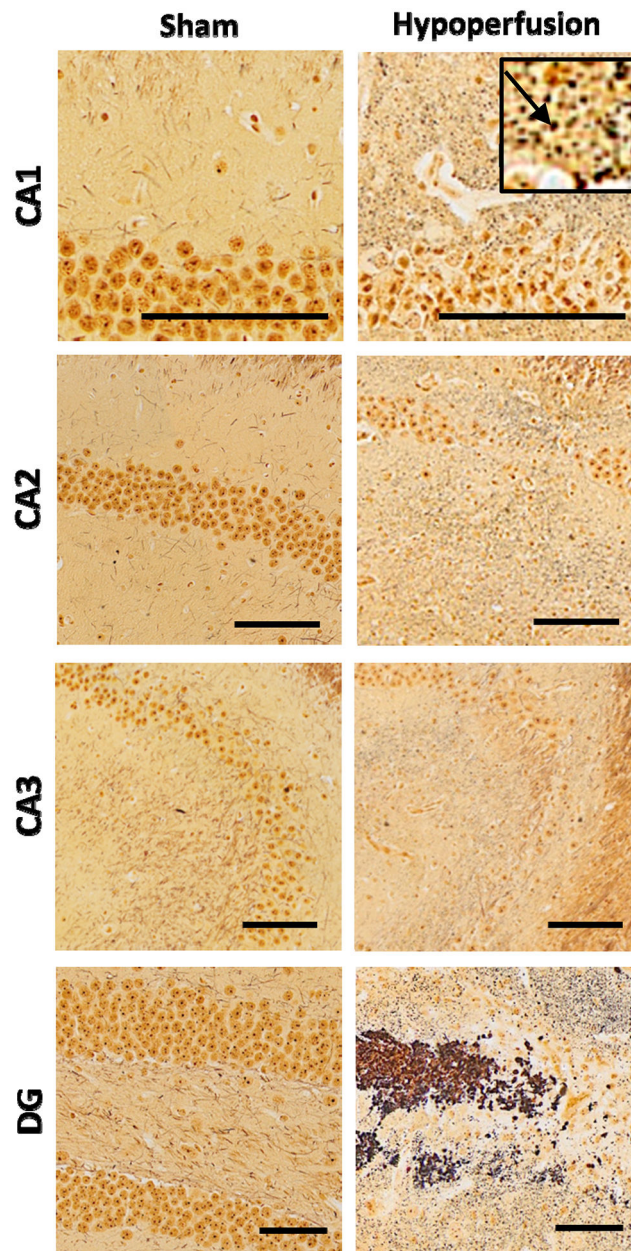
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**Figure 1. Cerebral blood flow following induction of surgical hypoperfusion.** (A) Laser speckled flowmetry flux images depicting CBF over time. Red color indicates areas of high CBF while blue color indicates areas of low CBF. (B) Temporal changes in CBF measured by the laser Doppler system. Points represent means  $\pm$  SEM, sham n = 6, hypoperfusion n = 5, # =  $p < 0.05$  for the hypoperfusion group at each time point versus pre-surgical time point; \* =  $p < 0.05$ ; \*\* =  $p < 0.001$  between treatment groups at each time point.

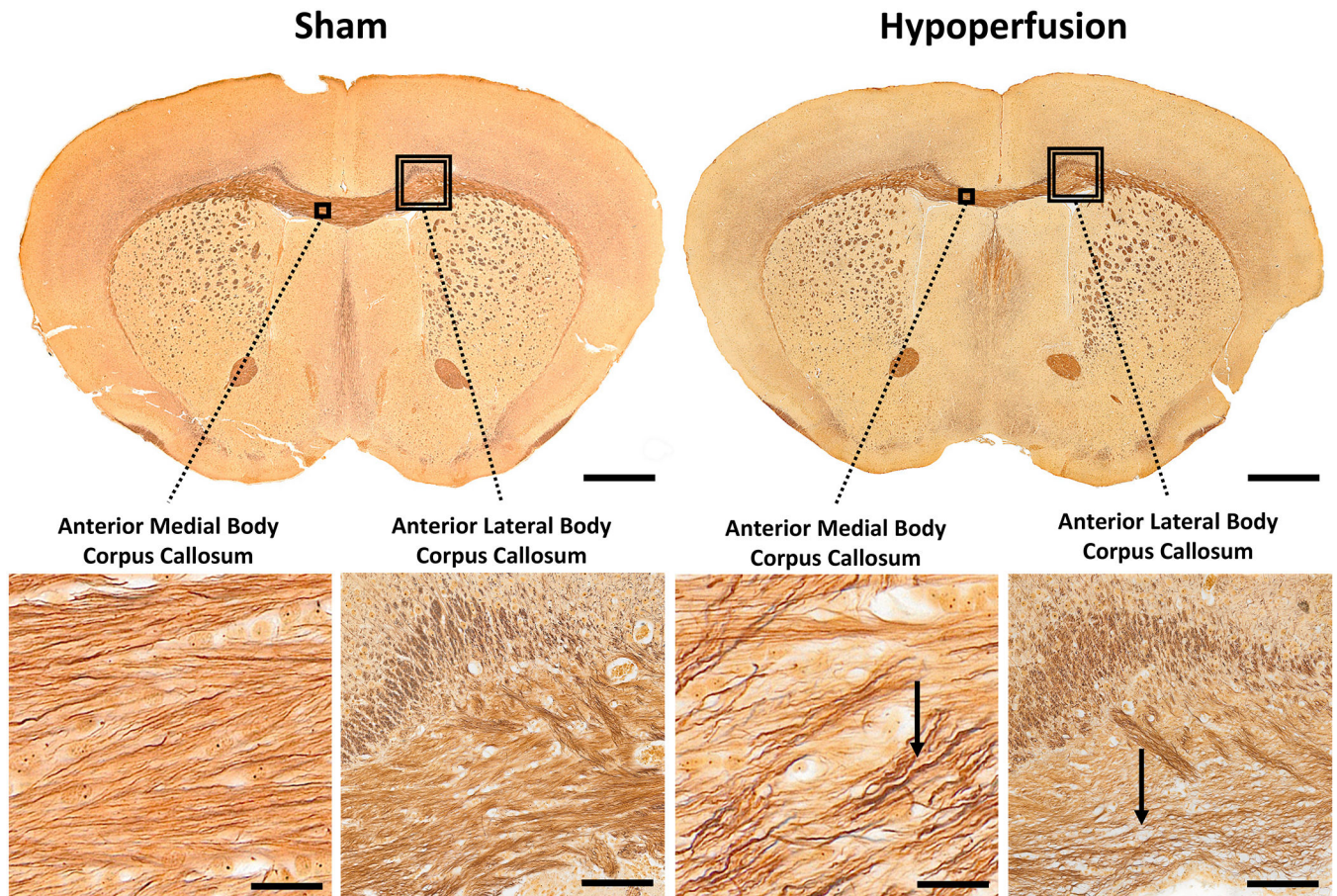


**Figure 2. Cerebrovascular hypoperfusion causes brain tissue injury.** Brightfield micrographs of H&E stained coronal tissue sections from sham and hypoperfused group mice. Cornu ammonis 1 (CA1); cornu ammonis 3 (CA3); dentate gyrus (DG); fimbria fornix (FF); globus pallidus externus (GPe); globus pallidus internus (GPi). Arrows indicate regions of neuronal degeneration. In the magnified panel insert, arrowheads indicate vacuolization. Scale bars indicate 100  $\mu$ m.



**Figure 3. Cerebrovascular hypoperfusion causes hippocampal injury.**

Sliver stained tissue depicting histological changes in sham and hypoperfusion group mice. Cornu ammonis 1 (CA1); cornu ammonis 2 (CA2); cornu ammonis 3 (CA3); dentate gyrus (DG). The magnified panel insert shows degenerated axons and their punctated appearance (black arrow). Scale bars indicate 100 $\mu$ m.



**Figure 4. Cerebrovascular hypoperfusion causes corpus callosum injury.** Silver stained whole coronal tissue sections of the brain and magnified regions of the medial and lateral corpus callosum in sham and hypoperfused mice. Black arrows in the medial and lateral corpus callosum indicate axonal degeneration (threadlike) and disorganization (intense and non-bundled), respectively. Scale bars indicate 1 mm in the whole coronal sections, and 20  $\mu$ m in the magnified panels.