Contents lists available at ScienceDirect

Journal of Hydrology X

Technical Note

Towards a global interpretation of dual nitrate isotopes in surface waters

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ABSTRACT

Modern anthropogenic activities have significantly increased nitrate (NO_3^-) concentrations in surface waters. Stable isotopes ($\delta^{15}N$ and $\delta^{18}O$) in NO_3^- offer a tool to deconvolute some of the human-made changes in the nitrogen cycle. They are often graphically illustrated on a template designed to identify different sources of NO_3^- and denitrification. In the two decades since this template was developed, $\delta^{15}N$- and $\delta^{18}O$-NO_3^- have been measured in a variety of ecosystems and through the nitrogen cycle. However, its interpretation is often fuzzy or complex. This default is no longer helpful because it does not describe surface water ecosystems well and biases researchers towards denitrification as the NO_3^- removal pathway, even in well oxygenated systems where denitrification is likely to have little to no influence on the nitrogen cycle. We propose a different scheme to encourage a better understanding of the nitrogen cycle and interpretation of NO_3^- isotopes. We use a mechanistic understanding of NO_3^- formation to place bounds on the oxygen isotope axis and provide a means to adjust for different environmental water isotope values, so data from multiple sites and times of year can be appropriately compared. We demonstrate that any interpretation of our example datasets (Canada, Kenya, United Kingdom) show clear evidence of denitrification or a mixture of NO_3^- sources simply because many data points fall outside of arbitrary boxes which cannot be supported once the range of potential $\delta^{18}O$-NO_3^- values has been considered.

1. Introduction

Stable isotopes ($\delta^{15}N$ and $\delta^{18}O$) in nitrate (NO_3^-) have been commonly measured for > 4 decades (see Heaton (1986) and papers therein). Methods have evolved from off-line $AgNO_3$ precipitation (e.g., Chang et al., 1999; Silva et al., 2000), to chemical and microbial reduction to N_2O and subsequent continuous flow – isotope ratio mass spectrometry analyses (Sigman et al., 2001; McIlvin and Altabet, 2005). Since NO_3^- is a very common global pollutant, contributes to eutrophication of surface waters (Vitousek et al., 1997) and is the most common groundwater pollutant (Spalding and Exner, 1993), a key application of NO_3^- isotopes was to identify NO_3^- sources. Through combining a number of individual studies, this lead to publication of a $\delta^{18}O$-NO_3^- vs $\delta^{15}N$-NO_3^- schematic biplot with suggested ranges for different 'sources' of NO_3^- (Kendall, 1998). It has been modified a few times (e.g., Kendall et al., 2008; Xue et al., 2009; Kendall et al., 2015) but the fundamental concept remained the same. Its application for interpreting NO_3^- isotopes has become widespread but this figure is not really fit for this purpose and is commonly over-interpreted. Here, we discuss the assumptions inherent in this figure and key improvements needed for improved understanding of NO_3^- isotopes in surface waters.

2. Background

The schematic biplot figure was originally designed for interpreting groundwater data where NO_3^- isotope values of different NO_3^- sources are preserved except by (chemo)denitrification (e.g., Böttcher et al., 1990; Aravena et al., 1993; Aravena and Robertson, 1998). Some researchers identified that forests receiving a lot of nitrogen deposition export NO_3^- in streams and this NO_3^- does not retain the atmospheric deposition isotope values (e.g., Spoelstra et al., 2001; Pardo et al., 2004). This was early evidence that measured NO_3^- isotopes in surface water showed that they should be carefully used for source identification because of various biological alterations along their flowpath. As method improvements allowed more NO_3^- isotope data to be generated, a schematic figure that recognised biotic and abiotic processing of NO_3^- between its sources and sampling point needed to be developed. Knowledge of isotope fractionation during NO_3^- production and consumption was summarised in Kendall (1998) yet, despite the many figures in this chapter, one figure described as "simplified" has become the ubiquitous interpretation scheme. This figure visually summarises a compilation of NO_3^- isotope data with boxes by "dominant sources of nitrate" and encourages researchers to think only about one process,

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denitrification, although this process may be uncommon in well oxygenated lake surfaces or streams and rivers. In this way, we need a better schematic figure that explicitly recognises the differences between NO_3^- sources and processes that produce and consume NO_3^-.

The "nitrogen axis" had been used as the primary differentiator between sources. However, given the wide range of possible $\delta^{15}N$ values in manure/sewage and soils (e.g., 30‰ range in soil alone, Craine et al., 2015), and the obvious fact that nitrogen will be biologically cycled in those systems, source identification cannot be done with boxes on a figure. Moreover, a system with three NO_3^- sources and only one measurement, $\delta^{15}N$, is underdetermined. Measuring locally appropriate sources of nitrogen as potential initial $\delta^{15}N$ values is the appropriate way to constrain this axis instead of relying on the broad assumption that a single set of boxes, derived from a limited number of measurements, are globally appropriate (Bateman and Kelly, 2007). Without locally appropriate values, the borders between NO_3^- sources become very blurred on the $\delta^{15}N$-NO_3^- axis (e.g., Kendall et al., 2015) and this provides no useful resolution in the measured surface water data and no direct ability to identify sources.

In some cases, nitrogen from fertilizers and legumes will be mixed into the soil nitrogen pool (e.g., Oelmann et al., 2007) before NO_3^- is exported to surface waters (e.g., Deutsch et al., 2006). In such cases the exported $\delta^{15}N$-NO_3^- values will be controlled largely by the soil nitrogen pool and land-use history, rather than a single year of precipitation and fertilizer input (e.g., Loo et al., 2017). In this scenario the soil nitrogen averages all of its nitrogen inputs and NO_3^- subsequently exported from the soil to surface water maintains this average unless there is direct input of isotopically district NO_3^- to the surface waters. Hence the large overlap in the NO_3^- sources boxes that does not contribute to source identification (e.g., Kendall et al., 2015).

The "oxygen axis" has groups that can be defined *a priori*: (i) high $\delta^{18}O$ values from NO_3^- produced in the atmosphere where the $\delta^{18}O$ value depends strongly on latitude (Michalski et al., 2012); and (ii) low $\delta^{18}O$ values where the $\delta^{18}O$ value depends strongly on the $\delta^{18}O$ of H_2O where the NO_3^- is formed (Snider et al., 2010). The $\delta^{18}O$ value of NO_3^- produced by autotrophic and heterotrophic nitrification can be bounded in two ways. First, canonical two-step nitrification (from NH_4^+ to NH_2OH to NO_2^- to NO_3^-) adds one O atom from O_2 in the first step and one O atom from H_2O in each of the next two steps (Hollocher et al., 1981; Andersson and Hooper, 1983; Aleem et al., 1965; Hollocher, 1984; DiSpirito and Hooper, 1986). Isotope fractionation during these steps occurs but is not always expressed, such as when NO_2^- is fully consumed (Buchwald and Casciotti, 2010; Casciotti et al., 2010; Snider et al., 2010). Abiotic equilibrium of oxygen may occur between H_2O and NO_2^- and increase the $\delta^{18}O$ value of the NO_2^- (Casciotti et al., 2007). In surface soils, the pore gas $\delta^{18}O$-O_2 value is very likely near the atmospheric value of $+ 23.5‰$ (vs SMOW; Kroopnick and Craig, 1972). However, in productive aquatic ecosystems, the diel variability of $\delta^{18}O$-O_2 values can be large (e.g., 26‰ range in Gammons et al., 2011, 23‰ range in Venkiteswaran et al., 2015, 18‰ range in Hotchkiss and Hall, Jr, 2014, 14‰ range in Wassenaar et al., 2010, and 13‰ range in Parker et al., 2005) though this range can be estimated by one set of diel samples during the most productive part of the year and analyzed via a variety of techniques (e.g., Barth et al., 2004; Wassenaar and Koehler, 1999). Second, incubation experiments with various levels of $\delta^{18}O$-H_2O indicate that the contribution of $\delta^{18}O$-H_2O values to the final $\delta^{18}O$-NO_3^- value is often much greater than the minimum two-thirds and sometimes close to 1 (Snider et al., 2010). Thus the range of $\delta^{18}O$ values of NO_3^- produced *in situ* can be bounded by knowledge of $\delta^{18}O$-O_2 and $\delta^{18}O$-H_2O values: a minimum of the $\delta^{18}O$-H_2O value and a maximum of $\frac{1}{3} \times \delta^{18}O$-$O_2 + \frac{2}{3} \times \delta^{18}O$-$H_2O$. However, abiotic exchange of oxygen between H_2O and NO_2^- may increase this theoretical minimum value. When the diel range in $\delta^{18}O$-O_2 values is considered the maximum $\delta^{18}O$ values of NO_3^- produced *in situ* will vary by upwards of 10‰ (i.e., $\frac{1}{3}$ of the diel range of $\delta^{18}O$-O_2 values, e.g., 9‰ in Gammons et al., 2011, 8‰ in Venkiteswaran et al., 2015, 6‰ in Hotchkiss and Hall, Jr, 2014, 5‰ in Wassenaar et al.,

2010, and 4‰ range in Parker et al., 2005). Data in Silver Bow Creek, Montana, USA exhibit synchronous diel $\delta^{18}O$-NO_3^- and $\delta^{18}O$-O_2 cycles (Gammons et al., 2011).

2.1. Site descriptions

To highlight the need to include nitrogen cycling in surface waters into our working interpretation of NO_3^- isotopes, we selected six rivers from Canada, Kenya, and the United Kingdom each with different climate regions, seasonal variation in flow, and $\delta^{18}O$-H_2O values.

The Grand River, Ontario, Canada is the largest river draining into the Canadian side of Lake Erie. There are five cities, 30 wastewater treatment plants, and extensive modern agriculture along the 300 km river in its 6800 km² basin (Venkiteswaran et al., 2015). Climate is humid continental with a warm summer (Köppen–Geiger classification Dfb), average temperature is around 9 °C and mean precipitation is 915 mm. Samples were collected weekly to monthly from March 2015 to March 2016 from three sites: two sites upstream of the first major city and first large wastewater treatment plant and one below two cities and two large wastewater treatment plants. These sites offer the opportunity to sample from the river largely affected by diffuse non-point sources and after two large point sources (Hood et al., 2014; Venkiteswaran et al., 2019). All sites are in the middle of the Grand River and were sampled at baseflow.

The Nzoia, Nyando, Sondu Rivers drain from Kenya into the east side of Lake Victoria. Kenyan drainage comprises 40% of the inflows to Lake Victoria (COWI, 2002) and is therefore a significant source of the increasing nutrient concentrations in the lake (Juma et al., 2014). Eight sites on the Nzoia River, 11 sites on the Nyando River, and five sites in the Sondu River were sampled from January to April 2015. Sampling sites were selected based on access to the river and upstream land use. Climate in western Kenya is tropical rainforest and tropical monsoon (Köppen–Geiger classifications Af and Am).

The UK study sites compare nitrogen sources from *peri*-urban and rural river floodplains. Climate is maritime (Köppen–Geiger classification Cfb). Site 1 focuses on a peri-urban section of the River Thames in the vicinity of the city of Oxford in the southern UK. The mean annual flow of the Thames upstream of the study area is 18.48 m³/s (Hannaford and Marsh, 2008). The baseflow index for the river at this location is 0.67, reflecting the influence of influent groundwater, sourced from the limestone aquifers located in the headwaters, and the extensive floodplain gravel aquifers. During the summer a significant component of flow is supported by effluent from Wastewater Treatment Works (WwTW) (Bowes et al., 2010). Five sites upstream and downstream of a WwTW were selected along the Thames and sampled in April and September 2016 for NO_3^- isotopes at steady-state flow. Site 2 is on the River Lambourn in Berkshire. Chalk streams such as this are widespread across southern England (Allen et al., 2010). They are characterised by a high baseflow index (> 0.9) and a shallow hyporheic zone. The primary source of nitrogen therefore comes from NO_3^- in groundwater due to fertilizer use. Samples were collected at steady-state flow.

2.2. Methods

Canadian samples for NO_3^- isotopes were collected in HDPE bottles and filtered in the field to 0.45 μm. Samples were kept cold and dark until returned to the lab where they were frozen until analysed. Samples for H_2O isotopes were collected in HDPE bottles without headspace. Canadian analyses were performed at the Environmental Isotope Laboratory at the University of Waterloo. NO_3^- isotope samples were analysed via the chemical denitrifier method where NO_3^- is reduced to N_2O with cadmium and sodium azide (McIlvin and Altabet, 2005). The resultant N_2O gas was analysed on an IsoPrime continuous flow isotope ratio mass spectrometer (now Elementar, Cheadle Hulme, UK) with a precision of $\pm 0.3‰$ for $\delta^{15}N$-NO_3^- and $\pm 0.5‰$ for $\delta^{18}O$-NO_3^-. Water isotopes were measured on a a Los Gatos (Los Gatos Research, San Jose,

USA) water isotope analyser with a precision of $\pm$ 0.2‰ for δ^{18}O-H_2O.

Kenyan samples were filtered to 0.45 μm and stored below 4 °C in 1L HDPE bottles. Kenyan analyses were performed at the Ghent University Stable Isotope Facility (UGent-SIF). NO_3^- isotopes were analysed by the bacterial denitrification method (Xue et al., 2009) and the resulting N_2O gas analyzed with a SerCon trace gas preparation unit coupled to a SerCon 20–20 isotope ratio mass spectrometer (SerCon, Crewe, UK).

UK samples were also filtered to 0.45 μm and stored below 4 °C in 1L HDPE bottles. Isotope preparation and analysis for UK samples was carried out at the NERC Isotope Geosciences Laboratory (Keyworth, UK). NO_3^- was separated on anion resins and prepared as $AgNO_3$ using the method of Silva et al. (2000) and δ^{15}N analysed by combustion in a Flash EA coupled to a Delta Plus XL mass spectrometer (Thermo-Finnigan, Bremen, Germany) with precision (1 SD) typically < 0.8‰. δ^{18}O was analysed by thermal conversion to CO gas at 1400 °C in a TC–EA online to a Delta Plus XL mass spectrometer with precision (1 SD) typically < 1.2‰.

3. Results and discussion

On the traditional biplot, our data from Canada, Kenya, and the United Kingdom fall in a wide swath (Fig. 1A). Data from each country has a wider range of δ^{15}N-NO_3^- values than δ^{18}O-NO_3^- values (ranges of δ^{15}N-NO_3^- and δ^{18}O-NO_3^- are 6.6‰ to 14.8‰ and −0.66‰ to 4.6 in Canada, 1.1‰ to 18.0‰ and 2.1‰ to 19.7‰ in Kenya, and 3.4‰ to 17.2‰ and −0.95‰ to 9.9‰ in the United Kingdom). Additionally, data from each country has a positive relationship between δ^{18}O-NO_3^- and δ^{15}N-NO_3^- (2-tailed parametric p < 0.006 for each country). But this relationship also contains seasonal changes in ambient δ^{18}O-H_2O values, temperature, and nitrogen sources and processes that confound direct comparison of the data.

This means that without additional independent information, there are several possible explanations for the data that are more complex than simply assigning a source of NO_3^- based on the δ^{15}N values or assigning a single process based on a simplistic pattern in the δ^{18}O-NO_3^- vs δ^{15}N-NO_3^- values. For example, varying contributions of the δ^{18}O-H_2O values, two or more sources of nitrogen, uptake and release of varying amounts of ammonium and NO_3^-, and denitrification in varying combinations may have produced the observed patterns in our data. It is critical to avoid wrongly invoking denitrification as the primary explanation for individual points on the traditional biplot as this risks suggesting nitrogen removal from the ecosystem when other explanations for the data need to be considered.

Certainly, any interpretation that our data show clear evidence of denitrification or a mixture of NO_3^- sources because many data points fall outside of arbitrary boxes with the traditional δ^{18}O axis (Fig. 1A) cannot be supported once the range of potential δ^{18}O-NO_3^- values has been considered (Fig. 1B). Moreover, almost all measured δ^{18}O-NO_3^- values fall within the range of expected δ^{18}O-NO_3^- values based on nitrification with variable amount of H_2O exchange (Fig. 1B). Thus, the theoretical range of δ^{18}O-NO_3^- values should be generated for each field site rather than a single catch-all approach. Globally, δ^{18}O-H_2O values of surface water vary widely along a meteoric water line, but they can be predicted by latitude and databases such as water-isotopes.org though direct measurement is much simpler than NO_3^- isotopes. Additionally, to make δ^{18}O-NO_3^- data comparable between seasons and sites, δ^{18}O-NO_3^- data should be displayed vs the δ^{18}O-H_2O value from the same sample (i.e., same location and time) rather than vs SMOW[1]. This is the ^{18}O/^{16}O ratio of NO_3^- divided by the ^{18}O/^{16}O ratio of H_2O rather than by the ^{18}O/^{16}O ratio of SMOW. This is akin to the way δ^{18}O-PO_4^{3-} values are plotted relative to their temperature-

specific equilibrium point with δ^{18}O-H_2O (e.g., Davies et al., 2014, Paytan et al., 2002) in order to remove the influence of difference δ^{18}O-H_2O values (Fig. 1B). Here the differences in δ^{18}O-NO_3^- values between countries is much reduced and most δ^{18}O-NO_3^- values are near the upper-end of the δ^{18}O-NO_3^- values predicted from microbial transformation of nitrogen. There is a positive linear relationship between δ^{18}O-NO_3^- and δ^{15}N-NO_3^- in the Kenya and UK data (p < 10^{-4}) but not Canada (p > 0.4).

Some variability due to watershed size and seasonality can also be considered with this approach. First, as watershed size increases above a river sampling point the average duration the nitrogen spends in the watershed increases and thus the likelihood that the sampled NO_3^- had been assimilated and released multiple times approaches 100%. Second, initial δ^{18}O-NO_3^- values entirely depend on the ambient δ^{18}O-H_2O and δ^{18}O-O_2 at the time of nitrification and not the δ^{18}O value of the NO_3^- added to the watershed at some point upstream if the nitrogen has been cycled at least once. Thus changes in δ^{18}O-H_2O between seasons or throughout watersheds (e.g., Yue et al., 2018) are accounted for by reporting δ^{18}O-NO_3^- relative to the H_2O.

We recognise that in our approach that the δ^{18}O-H_2O measured concomitantly with the δ^{18}O-NO_3^- does not completely represent the H_2O that relevant during the most recent production of each NO_3^- molecule. Indeed, the δ^{18}O-H_2O during NO_3^- formation is not necessarily that which is found in the river during sampling due to mixing of a plethora of sources of N and H_2O. Similarly, small or slow flowing rivers maybe subject to significant seasonal evaporation resulting in increases in ambient δ^{18}O-H_2O values that may temporally differ from when NO_3^- was formed. These issues reinforce the need to collect samples of waters where NO_3^- is formed, to recognise that NO_3^- is continuously cycled in surface waters, and to explicitly make a distinction between N sources and processing. The implication here is that identifying the source of the NO_3^- cannot be done with δ^{18}O-NO_3^- values.

Increases in δ^{15}N- and δ^{18}O-NO_3^- values, which are often interpreted as evidence of denitrification with closed-system assumptions (e.g., Böttcher et al., 1990), cannot be uniquely separated from multiple processes that recycle nitrogen in surface waters. Necessarily, this requires us to move beyond looking only for denitrification in our δ^{15}N- and δ^{18}O-NO_3^- data and towards how multiple processes and sources interact to produce the values measured in surface waters. Likely, this will ultimately require development of process-based NO_3^- isotope models for surface waters and will be informed by measurements of other nitrogen species, transformation processes and associated isotope enrichment factors (e.g., Venkiteswaran et al., 2019).

Only once the appropriate range of initial δ^{18}O-NO_3^- values has been determined, can processes such as nitrification, denitrification, and NO_3^- assimilation be considered. Here, the δ^{15}N- and δ^{18}O-NO_3^- values in the environment will be pulled in multiple directions at the same time. The magnitude of change depends on multiple factors that are difficult or impossible to statically display in a biplot: (1) mineralization of organic nitrogen and subsequent nitrification may decrease δ^{15}N- and δ^{18}O-NO_3^- values depending on if there is a difference between the δ^{15}N value of organic nitrogen and NO_3^- and the δ^{18}O contributions of O_2 and H_2O; (2) ammonia and NO_3^- uptake and release by riverine periphyton and macrophytes may have differing impacts since isotope fractionation during ammonia uptake is non-linearly dependant on concentration (Fogel and Cifuentes, 1993; Hoch et al., 1992) and denitrification in riparian zones and anoxic river and lake sediments may increase δ^{15}N- and δ^{18}O-NO_3^- values if there is residual NO_3^- to measure. In all cases, changes in the δ^{15}N- and δ^{18}O-NO_3^- values are more complex than a single arrow for denitrification suggests (Kendall, 1998). A recent review has summarised the modelling approaches and isotope fractionation factors necessary to interpret measured δ^{15}N- and δ^{18}O-NO_3^- values in soils (Denk et al., 2017). With this process-based understanding it is clear that a single vector or slope on a biplot for denitrification is inappropriate for surface waters.

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[1] Unitless δ values are converted from 'relative to SMOW' to 'relative to H_2O'
as: $\delta^{18}O - NO_{3_{H_2O}} = \frac{\delta^{18}O - NO_{3_{SMOW}} + 1}{\delta^{18}O - H_2O_{SMOW} + 1} - 1$
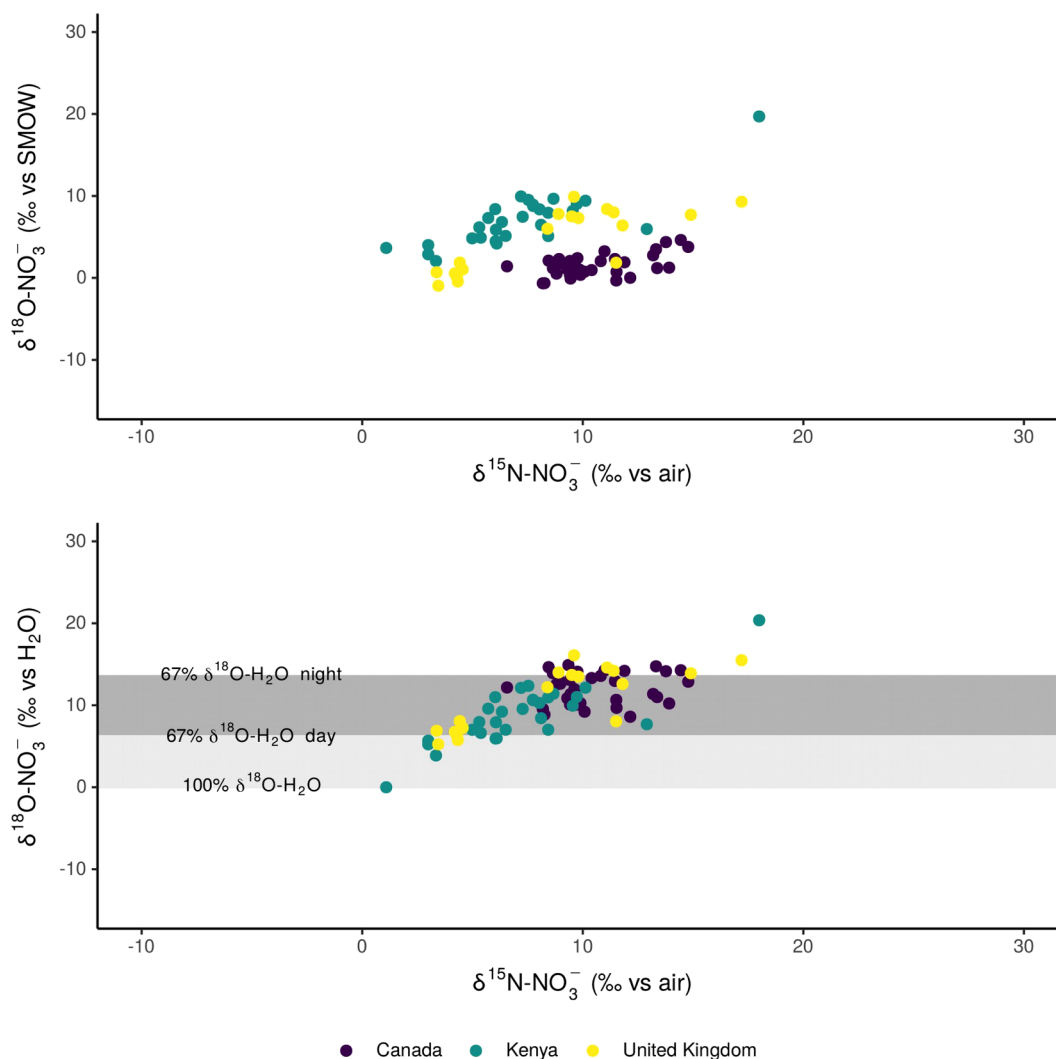
Fig. 1. (a): Nitrate isotope biplot of data from three sites in the middle of the Grand River, Ontario, Canada; 11 sites in the Nyando River, Kenya; eight sites in the Nzoia River, Kenya; five sites in the Sondu River, Kenya; eight sites in the River Lambourn near Boxford, United Kingdom; and 11 sites in the River Thames near Oxford, United Kingdom. Comparisons are difficult between seasons at one site and still more difficult between sites because of the variability in $\delta^{18}O$-H_2O since the $\delta^{18}O$-NO_3^- axis is reported relative to the typical standard SMOW. *(b)*: Nitrate isotope biplot of the same data where the $\delta^{18}O$-NO_3^- axis is reported relative to the ambient $\delta^{18}O$-H_2O values in the river at the time of sampling, as per the first recommendation. The grey bands indicates NO_3^- produced with a range of $\delta^{18}O$-NO_3^- values based on a mixture of $\delta^{18}O$-O_2 and $\delta^{18}O$-H_2O values. The minimum value is where the $\delta^{18}O$-H_2O is entirely retained in the $\delta^{18}O$-NO_3^- value and without isotope fractionation associated with abiotic oxygen exchange (Casciotti et al., 2007). The light grey band covers the range expected when $\delta^{18}O$-O_2 values are lowest during the day. The dark grey band extends the range expected when $\delta^{18}O$-O_2 values are greatest during the night (Venkiteswaran et al., 2015). Thus the $\delta^{18}O$ value of newly produced NO_3^- in these rivers may cycle through these ranges on a diel basis. Here, data are more clearly expressed relative to the appropriate environmental conditions that recognise that nitrogen is biologically cycled and will be largely imprinted with the ambient $\delta^{18}O$-H_2O value with a minor contribution from the variable $\delta^{18}O$-O_2 value. A parsimonious interpretation here is that many data from Kenya and the UK exhibit the range of known contributions of the $\delta^{18}O$-H_2O values, i.e., from two-thirds to one. Most Canadian and some Kenyan and UK data approach the theoretical maximum $\delta^{18}O$-NO_3^- before a requirement of denitrification must be considered.

4. Summary and conclusions

In order to move beyond the simple source apportionment assumptions commonly made in NO_3^- isotope biplots and to explicitly acknowledge that there are a variety of processes that alter the $\delta^{15}N$- and $\delta^{18}O$-NO_3^- values *in situ* we therefore recommend:

- Measuring $\delta^{18}O$-H_2O values at the same time as $\delta^{18}O$-NO_3^- values and report $\delta^{18}O$-NO_3^- values vs $\delta^{18}O$-H_2O instead of SMOW to make appropriate comparisons with time and across sites;
- Combining $\delta^{18}O$-H_2O and $\delta^{18}O$-O_2 values to develop appropriate

site-specific ranges of $\delta^{18}O$-NO_3^- produced *in situ*; and
- Measuring locally relevant $\delta^{15}N$ source values to significantly reduce the range of $\delta^{15}N$ values of nitrogen input to aquatic systems.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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