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## **Original Article**



## Antibiotic stewardship teams and *Clostridioides difficile* practices in United States hospitals: A national survey in The Joint Commission antibiotic stewardship standard era

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#### Abstract

Objective: *Clostridioides difficile* infection (CDI) can be prevented through infection prevention practices and antibiotic stewardship. Diagnostic stewardship (ie, strategies to improve use of microbiological testing) can also improve antibiotic use. However, little is known about the use of such practices in US hospitals, especially after multidisciplinary stewardship programs became a requirement for US hospital accreditation in 2017. Thus, we surveyed US hospitals to assess antibiotic stewardship program composition, practices related to CDI, and diagnostic stewardship.

Methods: Surveys were mailed to infection preventionists at 900 randomly sampled US hospitals between May and October 2017. Hospitals were surveyed on antibiotic stewardship programs; CDI prevention, treatment, and testing practices; and diagnostic stewardship strategies. Responses were compared by hospital bed size using weighted logistic regression.

Results: Overall, 528 surveys were completed (59% response rate). Almost all (95%) responding hospitals had an antibiotic stewardship program. Smaller hospitals were less likely to have stewardship team members with infectious diseases (ID) training, and only 41% of hospitals met The Joint Commission accreditation standards for multidisciplinary teams. Guideline-recommended CDI prevention practices were common. Smaller hospitals were less likely to use high-tech disinfection devices, fecal microbiota transplantation, or diagnostic stewardship strategies.

Conclusions: Following changes in accreditation standards, nearly all US hospitals now have an antibiotic stewardship program. However, many hospitals, especially smaller hospitals, appear to struggle with access to ID expertise and with deploying diagnostic stewardship strategies. CDI prevention could be enhanced through diagnostic stewardship and by emphasizing the role of non–ID-trained pharmacists and clinicians in antibiotic stewardship.

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Unnecessary and inappropriate antibiotic use leads to antibiotic resistance and one of the most common and deadly healthcareassociated infections: *Clostridioides difficile* infection (CDI).<sup>1,2</sup> *Clostridioides difficile* accounts for nearly half a million infections and 15,000 deaths annually in the United States (US) alone.<sup>3</sup> The US government has used a combination of financial incentives, public reporting, and regulatory oversight to try to reduce healthcare-associated infections. Although these policies have

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led to decreases in most health care-associated infections, CDI and deaths from CDI have not decreased.  $^{4,5}$ 

Successful strategies for reducing CDI include antibiotic stewardship and infection prevention.<sup>6</sup> For example, England implemented a national CDI prevention campaign in 2007 emphasizing both antibiotic stewardship and infection prevention. That program reduced CDI and related mortality by at least 60%.<sup>7</sup> Although the US has adopted many infection prevention measures, it has been slower to adopt antibiotic stewardship. In a 2013 national survey, we found that although infection prevention practices for CDI were nearly universal in US hospitals, only 52% had an antibiotic stewardship program.<sup>8</sup> The same year, an analysis of the National Healthcare Safety Network Annual Hospital Survey found that only 39% of US hospitals met all of the Centers for Disease Control and

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Prevention (CDC) "core elements" for antibiotic stewardship programs.<sup>9</sup> This deficit in antibiotic stewardship is concerning given mounting data suggesting that antibiotic stewardship plays a larger role than infection prevention in reducing CDI.<sup>10</sup>

To address the continued problem of antibiotic overuse and CDI, the major hospital accrediting body in the US, The Joint Commission, made antibiotic stewardship programs a condition for hospital accreditation as of January 1, 2017. Specifically, The Joint Commission began requiring hospitals to have multidisciplinary stewardship teams including the following, when available: (1) an infectious diseases (ID) physician, (2) an infection preventionist, (3) a pharmacist, and (4) a practitioner (not defined; presumed in this analysis to include any physician).<sup>11</sup> Concurrently, the US is facing a shortage of available ID specialists, especially those with antibiotic stewardship expertise.<sup>12</sup> Whether all US hospitals, especially smaller hospitals that may have limited resources and staffing, have been able to meet The Joint Commission goal is not known. This concern led the CDC to recommend that critical access hospitals (<25 beds) include a pharmacist and a "physician leader" on their stewardship team.13

Furthermore, since our 2013 survey, the concept of "diagnostic stewardship"—or strategies and policies to improve appropriate use of microbiological testing—has expanded as a key method to improve antibiotic use.<sup>14</sup> Similarly, the adoption of many methods to prevent, treat, and improve testing for CDI, has not been well characterized. In 2017, we conducted a random survey of US hospitals to determine the following: (1) the presence and composition of antibiotic stewardship programs; (2) current methods of CDI prevention, treatment, and testing; (3) diagnostic stewardship program composition, CDI prevention strategies, and diagnostic stewardship vary by hospital bed size.

#### Methods

#### Data collection

The current study was part of an ongoing survey in which, every 4 years, we ask infection preventionists across the US what practices their hospitals are using to prevent common healthcare-associated infections.<sup>8,15-17</sup> Survey methods have been previously described.<sup>16</sup> Briefly, we randomly sampled 900 medical and surgical hospitals with an intensive care unit across the US. Three hospitals were excluded from the study due to closure or status change. Surveys were mailed in May 2017, with subsequent reminders to nonresponders. Hospitals that employed >1 infection preventionist were asked to have the lead infection preventionist serve as the primary respondent, though we encouraged consulting with others to complete the questionnaire. Hospital characteristics, including bed size and The Joint Commission accreditation status, were obtained by linking to the 2013 American Hospital Association database. Institutional review board approval as an exempt study was obtained from the University of Michigan.

#### Survey measures

Similar to prior surveys,<sup>15–17</sup> participants were queried regarding hospital characteristics and details of their infection prevention programs. In addition, participants were asked how frequently certain CDI prevention practices were used in their facility (responses: 1 [never] to 5 [always]).<sup>8</sup> We defined responses of 4 or 5 (ie, "almost always" or "always") as regular use of the respective CDI prevention practices. Hospitals were also asked, "Has your hospital implemented a urine culture stewardship initiative? (Yes/No)" and "Does your hospital have an antibiotic stewardship program? (Yes/No)." If participants answered yes to having an antibiotic stewardship program, they were asked to indicate who of the following was on their antibiotic stewardship team: (1) infection preventionist, (2) ID physician, (3) hospitalist (primarily inpatient general internist), (4) other physician, (5) pharmacist (with ID training), (6) pharmacist (without ID training), (7) nurse, or (8) other.

#### Data analysis

To create nationally representative estimates, survey responses are shown as weighted proportions, with sampling weights based on the inverse probability of selection and response by bed size. To evaluate whether antibiotic stewardship program composition and CDI strategies varied by bed size, we used weighted logistic regression with hospital bed size as a continuous variable. Odds ratios are reported for every 10-bed increase. For ease of visualization, responses were separated into 3 commonly used bed-size categories: <50 beds (small), 50–250 beds (medium), >250 beds (large). P < .05 was considered statistically significant. We used SAS version 9.4 software (SAS Institute, Cary, NC) for all analyses.

#### Role of the funding source

The funders of the study had no role in the study design; data collection, analysis, or interpretation; writing of the report; or in the decision to submit the paper for publication. The corresponding author had full access to all study data and had final responsibility for the decision to submit for publication.

#### Results

The survey response rate was 59% (530 of 897). Two responding hospitals (0.2%) could not be linked to bed size data and were excluded, leaving 528 hospitals in the final analysis. Hospital characteristics are shown in Table 1.

#### Antibiotic stewardship team composition

Nearly all (95%) hospitals reported having an antibiotic stewardship program. Most stewardship teams had a pharmacist (99%), a physician (95%, including ID physician [69%], hospitalist [48%], or other physician [44%]), and/or an infection preventionist (91%). Team members with ID training were less common: 52% of antibiotic stewardship programs had an ID-trained pharmacist, and 69% had an ID physician. Although most hospitals (78%) had either an ID physician or ID pharmacist, only 43% had both. Less than half of hospitals (41%) met The Joint Commission accreditation standard (ID physician, infection preventionist, pharmacist, practitioner); however, most hospitals (95%) met the minimum standards set by the CDC for critical access hospitals (pharmacist, physician).

As bed size increased, hospitals were more likely to have antibiotic stewardship team members (eg, hospitalists, non-ID physicians, nurses) with ID training (Fig. 1). In contrast, the presence of generalists on antibiotic stewardship team did not vary by bed size. Larger hospitals were more likely to meet both The Joint Commission recommendation for multidisciplinary stewardship programs and the CDC minimum recommendations for criticalaccess hospitals (Table 2).

Table 1. Hospital Characteristics

Variable	No.
Acute care beds, mean (95% CI)	216 (199–234)
Intensive care beds, mean (95% CI)	16 (14–17)
Affiliated with a medical school, % (95% CI) <sup>a</sup>	26 (22–30)
Have a hospital epidemiologist, % (95% CI) <sup>a</sup>	41 (37–46)
Full-time equivalents for infection preventionists, mean (95% CI) <sup>a</sup>	1.8 (1.6–2.1)
Have hospitalist physicians, % (95% CI)	84 (80–87)
Hospital payer, % (95% CI)	
Not-for-profit	73 (69–77)
Government (nonfederal)	16 (13–19)
For-profit	11 (9–14)
Geographic region, % (95% CI)	
South	33 (29–37)
Northeast	18 (15–21)
Midwest	30 (26–34)
West	18 (15–21)
Other	1 (0.2–2)
Accredited by The Joint Commission, % (95% CI)	75 (71–79)

Note. CI, confidence interval.

<sup>a</sup>Data on medical school affiliation, presence of a hospital epidemiologist, and full-time equivalents for infection preventionists were obtained from our survey data. The remaining data were obtained by linking respondents to the 2013 American Hospital Association database.

#### CDI prevention, treatment, and testing practices

The reported use of guideline-recommended<sup>18</sup> CDI prevention practices, which have been shown to reduce CDI, was high (>90%). Furthermore, CDI prevention was perceived as "important" or "very important" to hospital leadership in 89% of hospitals (Table 3). In contrast, use of innovative, "high-tech" methods for CDI prevention and availability of fecal microbiota transplant varied, with larger hospitals more likely to report their use. Across hospitals (56%) used polymerase chain reaction (PCR) and 25% used a combination of testing methods. Larger hospitals were more likely to use PCR and less likely to use toxin or glutamate dehydrogenase antigen enzyme immunoassay (EIA) testing methods (Table 4).

#### Diagnostic stewardship practices

Hospitals commonly (91%) reported rejecting formed stool that was submitted for CDI testing; however, the use of urine culture stewardship was uncommon (33%). Both diagnostic stewardship practices increased with higher bed size (Table 4).

#### Discussion

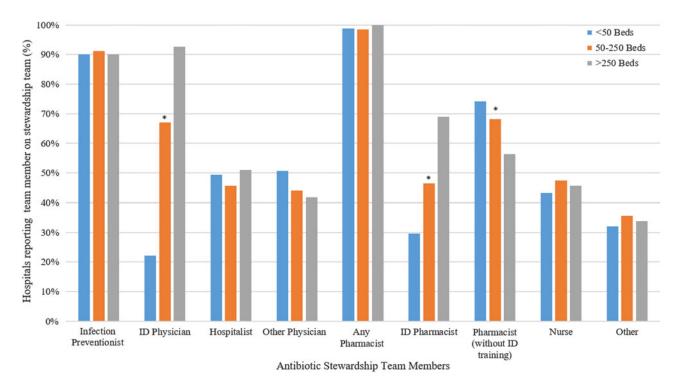
In this large national survey of US hospitals, most hospitals had an antibiotic stewardship program and used evidence-based CDI prevention practices. Notably, the number of hospitals reporting antibiotic stewardship programs has nearly doubled in 4 years,<sup>8</sup> coinciding with new US hospital accreditation standards.<sup>19</sup> Nevertheless, few hospitals met accreditation standards for multidisciplinary teams and ID expertise was limited, particularly as hospital size decreased. Despite national interest in CDI prevention, practices varied across hospitals, with less use of novel CDI practices and diagnostic stewardship strategies, especially at small hospitals.

National society guidelines in the US have long recommended antibiotic stewardship as a key tool for CDI prevention.<sup>20</sup> However, the use of antibiotic stewardship programs has been limited.<sup>8</sup> Our survey revealed that antibiotic stewardship programs have become nearly universal following new national accreditation requirements. The Joint Commission is the top hospital accreditation body (accrediting 75% of hospitals in our survey), and this uptake in stewardship is likely related to the 2017 standard. Furthermore, although hospitals struggled to meet the specific 2017 Joint Commission recommendation for multidisciplinary antibiotic stewardship programs, nearly all hospitals had multidisciplinary stewardship teams, often including a pharmacist, a physician, and an infection preventionist.

Despite the critical role of pharmacists in antibiotic stewardship, only half of US hospitals reported having pharmacists with ID training. Specialized pharmacy training programs are a more recent development and training spots are in short supply,<sup>21</sup> potentially limiting access to ID pharmacists, especially at smaller hospitals. Instead, clinical pharmacists without ID training often develop local expertise to improve antibiotic prescribing or obtaining additional training in stewardship through national organizations. Similarly, data suggest that antibiotic stewardship programs are best led by ID physicians with additional stewardship training.<sup>11,22</sup> In our study, two-thirds of hospitals had ID physicians on their stewardship teams. Lack of ID-trained leaders at small hospitals may limit antibiotic stewardship: in 2015, only 31% of hospitals with  $\leq$ 50 beds met all CDC core elements.<sup>23</sup> Although systematic changes to attract trainees to the field of ID and to antibiotic stewardship may help, this is a long-term solution.<sup>24,25</sup> Other options to help distribute ID expertise across hospitals include access to expertise through quality collaboratives<sup>26</sup> or 'tele-stewardship" in which antibiotic use data are collected remotely and ID physicians are available via a stewardship "hotline."27 The Infectious Diseases Society of America suggests telestewardship as a way to provide cost-effective subspecialty care to resource-limited populations.<sup>28,29</sup> Unfortunately, many systems are prevented from using telestewardship due to medico-legal barriers and lack of financial reimbursement.<sup>30</sup>

Even with these strategies, the numbers of ID physicians specializing in antibiotic stewardship for all US acute-care hospitals, outpatient clinics, and long-term care facilities are insufficient. To account for this shortage, the CDC instead recommends that each critical access hospital (<25 beds) have, at minimum, a pharmacist and physician on its stewardship team.<sup>13</sup> In our survey, most small hospitals were able to meet this recommendation by having a non-ID physician, such as a hospitalist, on their stewardship team. Hospitalists can play a role in antibiotic stewardship because they prescribe most antibiotics for hospitalized patients, they can improve frontline provider buy-in, and they are often engaged in complementary quality improvement efforts.<sup>31-33</sup> Similarly, the role of nurses in antibiotic and diagnostic stewardship is underappreciated but growing.<sup>34</sup> A stewardship model that relies on pharmacy and physician generalists and nurses could apply not only to small hospitals, but to outpatient and long-term care settings where availability of ID experts are limited.

In addition to antibiotic stewardship, infection prevention strategies are critical in preventing CDI and are often



**Fig. 1.** Composition of antibiotic stewardship teams, by bed size (n = 493 hospitals). \*Indicates statistically significant odds ratio for every 10-bed increase in bed size (*P* < .05). Note. ID, infectious diseases.

<b>Table 2.</b> Antibiotic Stewardship Team Member Combinations by Bed Size
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Variable	All Hospitals (N = 493), %	<50 Beds (N = 81), %	50–250 Beds (N = 261), %	>250 Beds (N = 151), %	Odds Ratio (95% CI) per 10-Bed Increase <sup>b</sup>	<i>P</i> Value
Antibiotic stewardship team combinations						
ID physician and ID pharmacist	43	11	36	66	1.06 (1.04–1.08)	<.0001
ID physician or ID pharmacist	78	41	77	95	1.12 (1.08–1.16)	<.0001
Any Pharmacist	99	99	99	100	1.14 (1.05–1.24)	.001
The Joint Commission recommendation: ID physician, infection preventionist, pharmacist, practitioner <sup>c</sup>	41	11	39	56	1.02 (1.01-1.04)	.004
CDC recommendation for critical access hospitals: pharmacist, physician	95	89	94	98	1.05 (1.01–1.09)	.02

Note. ID, infectious diseases; CDC, Centers for Disease Control and Prevention. Includes hospitals (N = 493) that reported having an antibiotic stewardship program.

<sup>a</sup>Survey responses are shown as weighted proportions with sampling weights based on the inverse probability of selection and response by bed size.

<sup>b</sup>Hospital bed size as reported by the American Hospital Association. P < .05 was considered significant.

<sup>ce</sup>Practitioner" is terminology used by The Joint Commission. For this analysis, ID physicians, hospitalists, and other physicians were included as practitioners.

multidisciplinary. Unlike stewardship, infection prevention has been a focus of US policies for decades; thus, it is not surprising that CDI prevention was considered important by hospital leadership and that evidence-based CDI prevention practices were common. The significance of variation in "high-tech" CDI prevention strategies is unclear because evidence for many of the practices is mixed and the cost is often quite high. Thus, small hospitals may be appropriately delaying purchasing expensive new technology until more evidence supports their use.

The lack of diagnostic stewardship strategies, especially at smaller hospitals, is concerning. For example, PCR techniques alone are only recommended to diagnose patients with a high pretest probability of CDI; even then, a multistep algorithm including toxin is generally preferred.<sup>18</sup> Thus, hospitals should implement diagnostic testing algorithms to improve CDI diagnostic accuracy. Ideally, such algorithms would include an assessment of symptoms and alternative causes to determine when testing is necessary. One high-yield method is automatically rejecting testing for CDI in patients with formed stools.<sup>14</sup> Similarly, urine culture stewardship is key to reducing inappropriate antibiotic use for asymptomatic bacteriuria.<sup>35</sup> Up to 40% of hospitalized patients treated with antibiotics for presumed urinary tract infection have asymptomatic bacteriuria.<sup>36</sup> Antibiotic use in this group increases adverse events and prolongs hospitalization without improving outcomes.<sup>36</sup>

 $\label{eq:constraint} \mbox{Table 3. Guideline-Recommended Clostridioides difficile Infection Prevention Practices^a$ 

Variable	Hospitals Reporting Regular Use <sup>b</sup> (N = 528), %
Contact precautions for duration of diarrhea	99
Private rooms or cohorting of patients with CDI	98
Soap and water hand hygiene when exiting CDI rooms	92
Thorough terminal cleaning and disinfecting of hospital rooms and equipment used to care for patients with CDI	99
Routine daily cleaning of high-touch surfaces in CDI rooms	90
Established surveillance system for monitoring CDI rates	98
Report CDI rates to direct care providers	90
"Important" or "Very Important" to hospital leadership to prevent CDI <sup>c</sup>	89

Note. CDI, Clostridioides difficile infection.

<sup>a</sup>Survey responses are shown as weighted proportions with sampling weights based on the inverse probability of selection and response by bed size.

<sup>b</sup>Regular use is defined by a score of 4 or 5 on Likert scale.

<sup>c</sup>Question used a Likert scale from 1 ("Not at all important") to 5 ("Very important").

Our study has several limitations. First, we relied on selfreporting. Although infection preventionists are most likely to know their hospital's current practices related to CDI, their responses may not represent true practice. Second, while our sampling strategy was designed to obtain a nationally representative sample, it is possible that participating hospitals differed from nonparticipating hospitals. For example, hospitals with less developed stewardship and infection prevention teams may have been less likely to respond. Third, because we did not collect data on availability of ID specialists, we were unable to determine whether lack of ID involvement in stewardship at small hospitals reflected limited access or limited interest by available ID specialists. Finally, due to the inherent difficulties with survey methodology we were unable to assess the implementation of CDI and diagnostic stewardship strategies.

Herein, we have provided a snapshot of antibiotic stewardship program team composition, CDI strategies, and diagnostic stewardship in US hospitals in the period immediately following the 2017 Joint Commission standard for antibiotic stewardship. Although nearly all hospitals now have an antibiotic stewardship program, team compositions differ by hospital size, and most hospitals do not meet ideal recommendations for multidisciplinary teams. Specifically, smaller hospitals appear to have limited ID expertise on their stewardship teams and to struggle with deploying diagnostic stewardship strategies. In addition to

#### Table 4. Clostridioides difficile Infection Related-Practices and Diagnostic Stewardship Strategies by Bed Sizea

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Variable	Hospitals Reporting Regular Use <sup>b</sup> (N = 528), %	<50 Beds (N = 98), %	50–250 Beds (N = 277), %	>250 Beds (N = 153), %	Odds Ratio (95% Cl) per 10-Bed Increase <sup>c</sup>	<i>P</i> Value <sup>c</sup>
"High-tech" CDI Prevention Practices						
Use supplemental no-touch disinfection devices for CDI rooms (eg, UV germicidal irradiation, hydrogen peroxide vapor)	29	10	26	44	1.035 (1.024–1.046)	<.0001
Use real-time methods to assess thoroughness of cleaning/ disinfection of surfaces in CDI rooms (eg, fluorescent marker, ATP)	72	52	72	83	1.035 (1.018–1.052)	<.0001
CDI treatment						
Offer fecal microbiota transplant for patients with recurrent CDI	32	5	29	50	1.041 (1.027-1.054)	<.0001
C. difficile testing strategies						
Primary test used for C. difficile						
Antigen EIA	3	7	3	0	.911 (.856–.970)	.004
Toxin EIA	15	21	14	13	.979 (.964–.995)	.009
PCR	56	46	55	62	1.013 (1.001–1.026)	.03
Culture/Cytotoxin assay	2	4	2	1	1.005 (0.936-1.078)	.90
Some combination of above	25	23	26	25	.999 (.989–1.009)	.83
Have a written policy to routinely test for CDI when patients have diarrhea while on or within several months of taking antibiotics	35	39	36	30	.987 (.977–.998)	.02
Clinicians educated as to when to order C. difficile testing	88	86	88	90	1.004 (.984–1.024)	.73
Diagnostic stewardship strategies						
Laboratory rejects formed stools submitted for <i>C. difficile</i> testing	91	77	93	95	1.057 (1.022–1.093)	.002
Urine culture stewardship initiative	33	27	32	36	1.011 (1.000-1.022)	.04

Note. ATP, adenosine triphosphate; CDI, *Clostridioides difficile* infection; *C. difficile*, *Clostridioides difficile*; EIA, enzyme immunoassay; PCR, polymerase chain reaction; UV, ultraviolet. <sup>a</sup>Survey responses are shown as weighted proportions with sampling weights based on the inverse probability of selection and response by bed size. <sup>b</sup>Regular use is defined by a score of 4 or 5 on Likert scale.

<sup>c</sup>Hospital bed size as reported by the American Hospital Association. P < .05 was considered significant.

implementing diagnostic stewardship, preventing CDI at small hospitals may be enhanced by emphasizing the role of generalists, such as clinical pharmacists, nurses, and hospitalists, in antibiotic stewardship.

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