

1 Complete sequence of the IncA/C<sub>1</sub> plasmid pCf587 carrying *bla*<sub>PER-2</sub> from *Citrobacter freundii*  
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18  
19 Running title: An IncA/C<sub>1</sub> plasmid carrying *bla*<sub>PER-2</sub>

20 **Abstract**

21

22 The *bla*<sub>PER-2</sub> harboring plasmid pCf587 (191,541 bp) belongs to lineage IncA/C<sub>1</sub> and is closely  
23 related to pRA1. It contains a large resistance island including the *bla*<sub>PER-2</sub> gene between two  
24 copies of ISKox2-like elements, the toxin–antitoxin module *pemK-pemI*, several other  
25 resistance genes inserted within a Tn2 transposon, a Tn21-like structure, and a class 1  
26 integron. pCf587 belongs into ST 13, a new pMLST.

27 **Text**

28

29 Since the initial report of PER-2 (1), *bla*<sub>PER-2</sub> was detected in different species including  
30 *Klebsiella pneumoniae*, *Enterobacter cloacae*, *Enterobacter aerogenes*, *Vibrio cholerae* and  
31 community-acquired enteropathogenic *Escherichia coli*. PER-2 has been the second most  
32 frequent ESBL (after the pandemic CTX-Ms) in Argentina (and probably Uruguay), accounting  
33 for nearly 10% and 5% of the oxyimino-cephalosporin resistance in *K. pneumoniae* and *E. coli*,  
34 respectively (2-4); it has also been sporadically reported in a few other countries (5-10). PER-1  
35 and PER-2 are the most frequently reported members of the nine-variants PER family in clinical  
36 settings (2).

37 In contrast to *bla*<sub>PER-1</sub> containing plasmids (11-13), little is known about the genetic  
38 organization of the *bla*<sub>PER-2</sub> gene. We previously reported the immediate flanking sequences in  
39 plasmid pCf587 from *Citrobacter freundii* 33587, recovered from a urine sample in 1999 (14).  
40 To further delineate the genetic background of *bla*<sub>PER-2</sub>, the aim of this study was to analyze the  
41 complete sequence of pCf587 recovered almost 20 years ago.

42 *E. coli* 33587-TC9 is an *E. coli* CAG12177 transconjugant clone harboring the pCf587 plasmid  
43 from *C. freundii* 33587 (14). The plasmid sequence was determined with the Illumina MiSeq  
44 platform. Full genomic DNA was sequenced by MinION (Oxford Nanopore Technologies). A  
45 hybrid *de novo* assembly was performed with SPAdes V3.9.0 using both generated libraries  
46 (15). Gaps were closed using a PCR-based strategy and Sanger sequencing. Gene predictions  
47 and annotation were performed on classic RAST tool (16, 17) and manually curated by BLAST  
48 online. Sequence comparisons were performed by Mauve software (18). Identification of  
49 acquired antibiotic resistance genes and the incompatibility group determination were  
50 conducted by ResFinder 3.0 (19) and PlasmidFinder (20), respectively. Alignments were  
51 constructed using ClustalW with default settings. The phylogenetic tree was produced in  
52 MEGA 7.0.26 (21) using maximum likelihood with default settings and 1,000 bootstraps.

53 The overall genetic organization of pCf587 is shown in Figure 1. The plasmid (191,541 bp,  
54 average G+C content of 49.97 %) contains 253 coding sequences, from which 141 have no  
55 assigned annotation. The genes involved in a type IV secretion system, the master regulators  
56 *acaDC*, the *tral* gene encoding for the MOB<sub>H121</sub> relaxase, and the putative maintenance genes  
57 *parAB*, *stbA(parM)*, and *kfrA*, were easily recognized (Figure 1). A large resistance island (RI-  
58 pCf) was also identified (Figure 2).

59 The *in-silico* analysis established that pCf587 belongs to the IncA/C group. Upon searching for  
60 closely related plasmids by nucleotide BLAST in the NCBI database, only three IncA/C plasmids  
61 with a query coverage higher than 65% were found: *Enterobacter hormaechei subsp.*  
62 *steigerwaltii* strain 34998 plasmid p34998 (73%), *Aeromonas hydrophila* plasmid pRA1 (66%),  
63 and *K. pneumoniae subsp. pneumoniae* strain KP4898 plasmid pIncAC-KP4898 (67%) (accession  
64 numbers: CP012168.1, FJ705807.1, KY882285.1). The plasmids backbone comparison revealed  
65 that pCf587 is more closely related to pRA1 (Figure 3); they have a similar overall genetic  
66 arrangement and backbone length with almost 99% nucleotide identity.

67 IncA/C backbones are highly conserved and it has been postulated that they derive from a  
68 common ancestor (27). However, two different lineages, A/C<sub>1</sub> and A/C<sub>2</sub>, were established  
69 based on *repA* genes sequence similarities (28); IncA/C<sub>2</sub> plasmids were further split in two  
70 types (29). To date, only the primitive plasmid pRA1 (ST11) (22) and the recently incorporated  
71 VIM-encoding pIncAC-KP4898 (ST12) (30) belong to the first lineage.

72 Plasmid pCf587 *repA* gene has 99% nucleotide identity with pRA1 *repA*, with only two  
73 nucleotidic changes not resulting in amino acid changes; the *tra* genes are 99-100% identical to  
74 the corresponding *tra* genes from pRA1. Therefore, pCf587 belongs to IncA/C<sub>1</sub>, along with  
75 pRA1 and related plasmids.

76 Other features shared with pRA1 are: (i) an ORF between *traA* and *dsbC* encoding a 1,828-aa  
77 protein (orf1828) with 99% amino acids identity; (ii) the TA *hipAB*; (iii) absence of *tad* and *ata*

78 genes from other putative TA system typical of all IncA/C<sub>2</sub> plasmids; (iv) lack of *ssb* gene  
79 (present in IncA/C<sub>2</sub> plasmids).

80 In most A/C<sub>2</sub> type 1 plasmids, the antimicrobial resistance island A (ARI-A) is found either  
81 embedded in or upstream of the *rhs1* gene. It contains a class 1 integron, multiple  
82 transposons, a Tn21-*tnp* module, and a Tn21-*mer* module generally interrupted by IS4321  
83 which docks the resistance island at that site (29). The RI-pCf (Figure 2) has similar  
84 characteristics and location as that for ARI-A, although *rhs1* is absent as expected. RI-pCf  
85 comprises: (i) a *bla*<sub>TEM-1B</sub>-containing Tn2 whose *tnpA* is interrupted by an ISAs1-like element; (ii)  
86 the TA *pemKI* followed by a large region with three IS1R copies, an ISAb125-like element, the  
87 *aph(3')*-*Vla*-like and *catA1*-like resistance genes; (iii) a Tn21-like structure including the Tn21-  
88 *tnp* and Tn21-*mer* modules whose IR<sub>tnp</sub> is interrupted by a IS4321-like element, a class 1  
89 integron carrying the *aadB* and *sul1* resistance genes, and a zone delimited by two similar  
90 copies of ISKox2-like elements (sharing 99% nucleotide identity) carrying the *bla*<sub>PER-2</sub> gene, a  
91 truncated IS1326 and an IS6100. The two ISKox2-like were found 26 bp upstream *abct* and  
92 3,703 bp downstream ISPa12 elements previously found as part of the *bla*<sub>PER-2</sub> environment  
93 (ISPa12/*bla*<sub>PER-2</sub>/*gst*-like/*abct*) (14). The region between ISKox2-like and ISPa12, with no  
94 homologues in NCBI database, contains 8 ORF including a *traW* gene encoding a putative  
95 conjugal transfer pilus assembly protein. We postulate that ISKox2-like elements could have  
96 been involved in the recruitment of *bla*<sub>PER-2</sub> and its surrounding genes from a still unknown  
97 reservoir to an ancient RI-pCf (Figure 2). A recent publication describes *bla*<sub>PER-2</sub> in the  
98 chromosome of a clinical *Shewanella sp.* isolate Shew256 (31). The *abct* in Shew256 was larger  
99 than in pCf587, what may suggest that the ISKox2-like element partially interrupted pCf587-  
100 *abct* during recruitment.

101 Interestingly, there are no copies of IS26 in pCf587. This IS is found associated with most  
102 IncA/C plasmids previously described, including pRA1 (27) and is considered to be implicated in  
103 the evolution of ARI-A in type 1 A/C<sub>2</sub> plasmids (29).

104 For pCf587, the database (<https://pubmlst.org/plasmid/>) could not recognize a specific ST,  
105 according to the Plasmid Multilocus Sequence Typing Scheme (pMLST) for IncA/C plasmids  
106 developed by Hancock *et al* (25). We built a phylogenetic tree using concatenates of the four  
107 pMLST genes (Figure 4), and observed that, as expected, pCf587 was related to ST11 which  
108 includes pRA1 as well as other IncA/C<sub>1</sub> plasmids like the recently described ST12 (pIncAC-  
109 KP4898) (30), and p34998, all separate from the rest of the STs including the IncA/C<sub>2</sub> lineage  
110 (type 1 and type 2). The pMLST alleles of pCf587 were assigned as ST13, and the core gene  
111 PMLST (cgPMLST) ST13.1.

112 IncA/C plasmids are high-molecular size, low-copy number plasmids initially described in fish  
113 pathogens as *A. hydrophila* and *Vibrio spp.* around 1970 (22-24), and are now disseminated  
114 among *Enterobacteriaceae* (25, 26).

115 Currently, IncA/C plasmids are considered as an important healthcare problem (32), and  
116 responsible for dissemination of *bla*<sub>CTX-M</sub>, *bla*<sub>CMY</sub>, *bla*<sub>NDM</sub>, *bla*<sub>IMP</sub>, and *bla*<sub>VIM</sub>, and *bla*<sub>KPC</sub> genes,  
117 among others (25, 26).

118 It is noteworthy that IncA/C<sub>1</sub> plasmids like pCf587 could have been circulating among  
119 pathogens in Argentina since at least the late 90s, and even so, their dissemination seems to  
120 be not as proficient as other resistance plasmids like IncA/C<sub>2</sub> involved in mobilization of CTX-M  
121 or metallo- $\beta$ -lactamases, which are much more widespread enzymes. The presence of efficient  
122 TA-systems in their backbone may provide some stability even in the absence of selective  
123 pressure. This plasmid lineage may also have a role in mobilization of other (still unrecognized)  
124 resistance markers, as shown by the recent finding of some MBL associated with similar  
125 backbones in recent isolates (30) (Elena *et al.* unpublished results).

126 Even if further studies on the mechanisms involved in *bla*<sub>PER-2</sub> mobilization are still needed, this  
127 study provides some insights on the genetic elements that could have facilitated the  
128 recruitment of *bla*<sub>PER-2</sub> in IncA/C<sub>1</sub> plasmids.

129

130 Nucleotide sequence accession number

131 The annotated complete sequence of pCf587 plasmid has been deposited in GenBank under  
132 accession number MG053108.

133

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143

144 **Transparency declarations**

145 None to declare

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247

248 **Figure 1. Schematic representation of the genetic organization of pCf587.** The two outer rings  
249 show the coding sequences (CDSs) on the forward and reverse strand of the plasmid. Each CDS  
250 is color-coded by its predicted function as shown in the figure. The grey arc depicts the  
251 resistance island (RI-pCf) with the IS identified on the plasmid. The two inner rings represent  
252 the GC plot and GC skew graph, respectively. For both plots, magenta and olive green indicate  
253 the measures below and above the average, respectively.

254

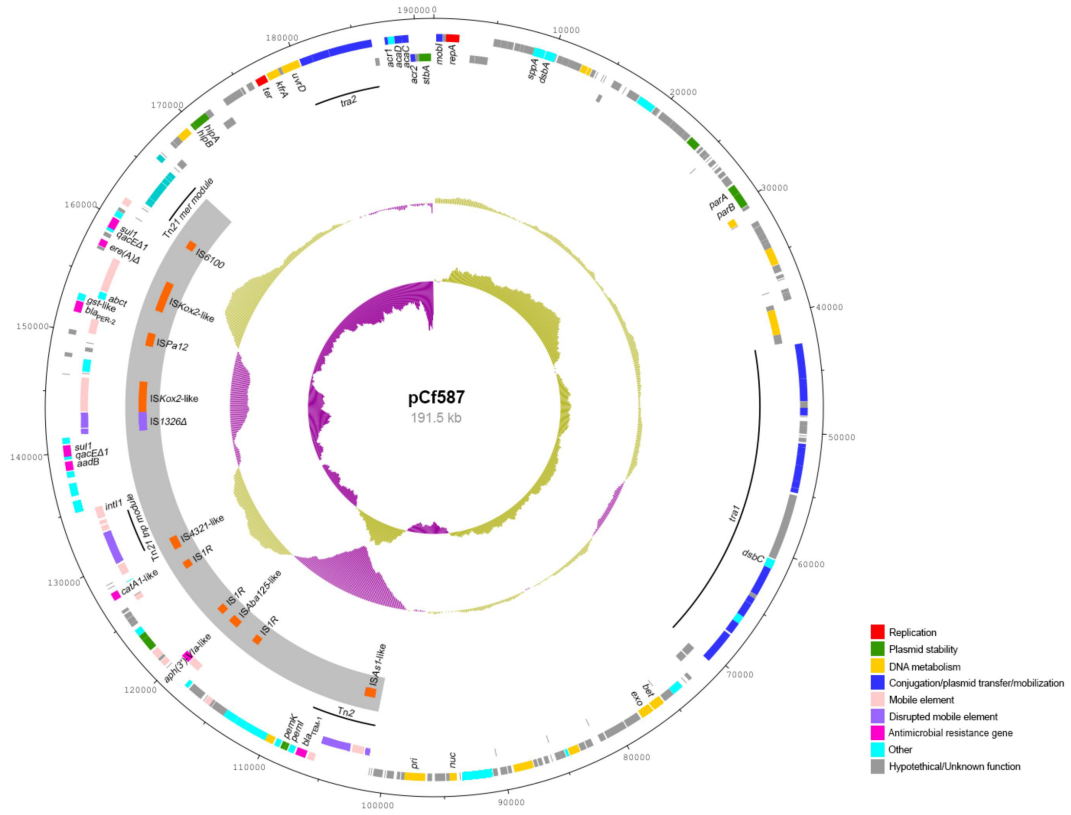
255 **Figure 2. Schematic representation of the pCf587 resistance island (RI-pCf).** Color codes  
256 match those used in Figure 1. The elements highlighted in the figure are further discussed in  
257 the text. The box indicates the close environment of the *bla*<sub>PER-2</sub> gene. Direct repeats  
258 bracketing the postulate ISKox2-like-mediated transposition event of *bla*<sub>PER-2</sub> and its  
259 surrounding genes are shown in capitals. Inverted repeats from ISKox2-like are marked with  
260 yellow (IRL) and orange (IRR) rectangles.

261

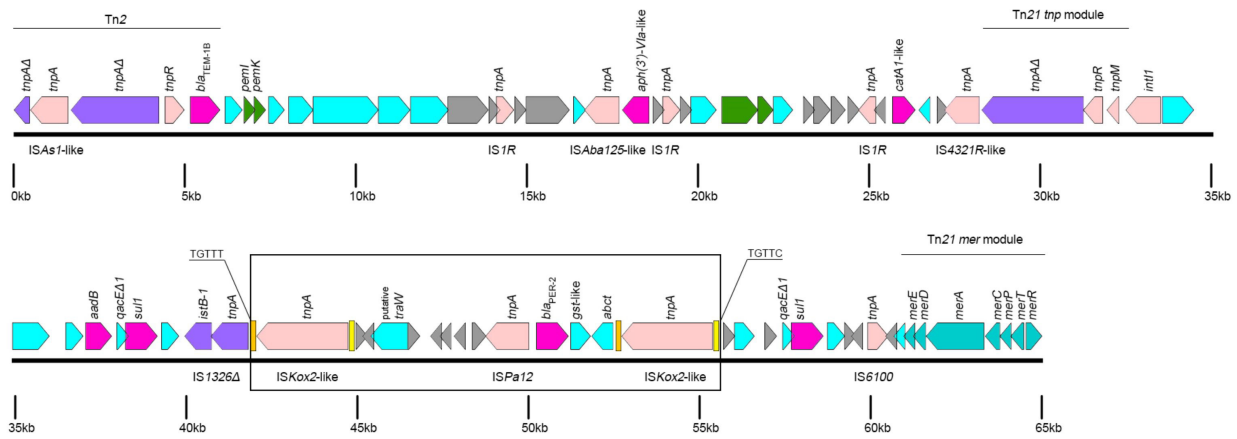
262 **Figure 3. Local collinear blocks (LCBs) comparison between pCf587, pRA1, p34998 and**  
263 **pIncAC-KP4898 by MAUVE software.** Each LCB represents regions with homologous sequences  
264 without rearrangements. Same LCB are identified with the same color. The height of each LCB  
265 is proportional to the identity level between them. Grey and red lines indicate the plasmids  
266 backbone and the resistance islands respectively. The dotted grey line indicates an insertion in  
267 the p34998 backbone. The black arrow indicates the position of a deletion on pIncAC-KP4898  
268 backbone. The *repA* gene of each plasmid is identified.

269

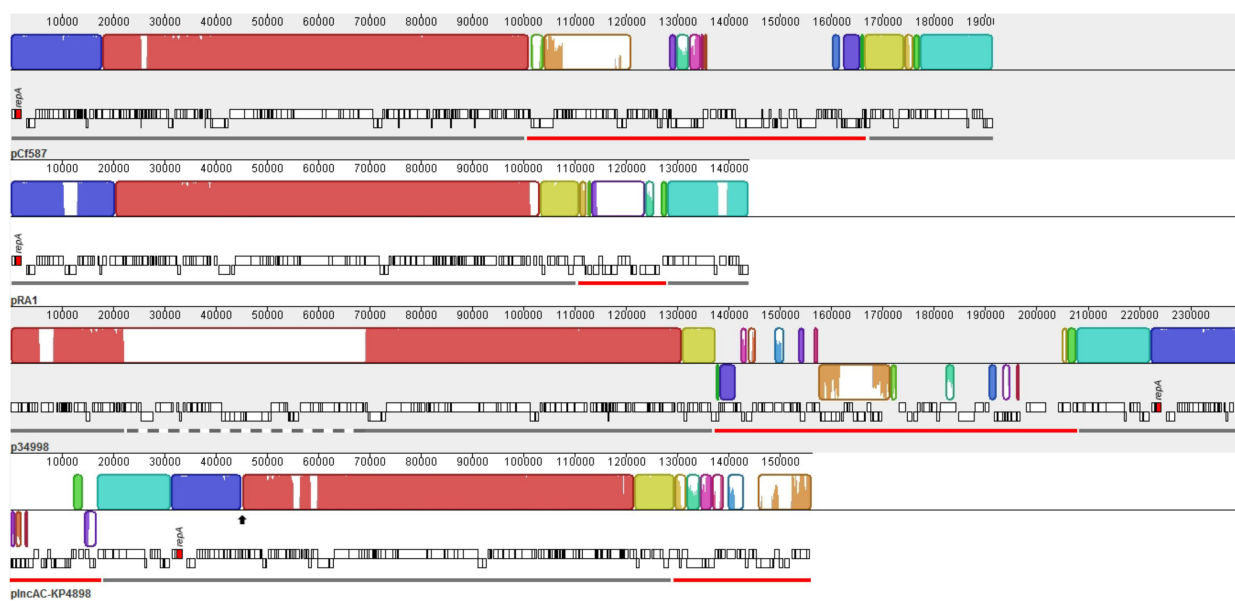
270 **Figure 4. Phylogenetic relationships between pCf587, p34998 and the different IncA/C STs to**  
271 **date, based on maximum likelihood and Bayesian methods.** The tree was created based on  
272 the alignment of concatenated gene sequences of *repA*, *para*, *parB* and 053.



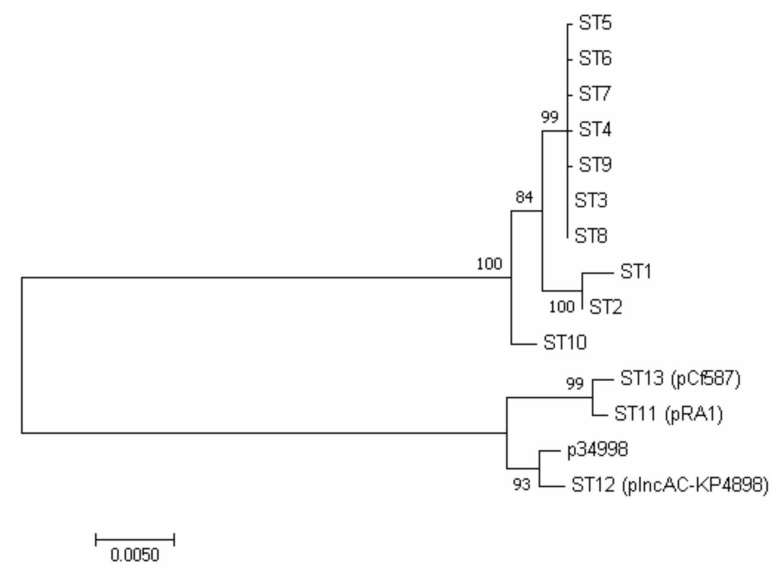
**Figure 1. Schematic representation of the genetic organization of pCf587.** The two outer rings show the coding sequences (CDSs) on the forward and reverse strand of the plasmid. Each CDS is color-coded by its predicted function as shown in the figure. The grey arc depicts the resistance island (RI-pCf) with the IS identified on the plasmid. The two inner rings represent the GC plot and GC skew graph, respectively. For both plots, magenta and olive green indicate the measures below and above the average, respectively.



**Figure 2. Schematic representation of the pcF587 resistance island (RI-pCf).** Color codes match those used in Figure 1. The elements highlighted in the figure are further discussed in the text. The box indicates the close environment of the *bla*<sub>PER-2</sub> gene. Direct repeats (DR), bracketing the postulated ISKox2-like-mediated transposition event of *bla*<sub>PER-2</sub> and its surrounding genes, are shown in capitals. Inverted repeats (IR) from ISKox2-like are marked with yellow (IR<sub>1</sub>) and orange (IR<sub>2</sub>) rectangles.



**Figure 3. Local collinear blocks (LCBs) comparison between pCf587, pRA1, p34998 and plncAC-KP4898 by MAUVE software.** Each LCB represents regions with homologous sequences without rearrangements. Same LCB are identified with the same color. The height of each LCB is proportional to the identity level between them. Grey and red lines indicate the plasmids backbone and the resistance islands respectively. The dotted grey line indicates an insertion in the p34998 backbone. The black arrow indicates the position of a deletion on plncAC-KP4898 backbone. The *repA* gene of each plasmid is identified.



**Figure 4.** Phylogenetic relationships between pCf587, p34998 and the different IncA/C STs to date, based on maximum likelihood and Bayesian methods. The tree was created based on the alignment of concatenated gene sequences of *repA*, *parA*, *parB* and 053.