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On the origin of radial anisotropy near subducted slabs in the mid-mantle

William Sturgeon¹, Ana M.G. Ferreira^{2,1}, Manuele Faccenda³, Sung-Joon
Chang⁴ and Lewis Schardong^{1,5}

¹Department of Earth Sciences, Faculty of Mathematical & Physical Sciences, University College London,
London, UK

²CERIS, Instituto Superior Técnico, Universidade de Lisboa, Lisbon, Portugal

³Dipartimento di Geoscienze, Università di Padova, 35131 Padova, Italy

⁴Division of Geology and Geophysics, Kangwon National University, Chuncheon, South Korea

⁵Department of Geophysics and Planetary Sciences, Tel Aviv University, Tel Aviv, Israel

Key Points:

- Geodynamical modeling of anisotropy around slabs shows $V_{SV} > V_{SH}$ in the transition zone and $V_{SH} > V_{SV}$ in the topmost lower mantle.
- Shape-preferred orientation calculations do not fit seismic observations well, notably for deeply penetrating slabs.
- Four possible easy slip systems of bridgmanite are found, agreeing with recent laboratory experiments.

Corresponding author: William Sturgeon, william.sturgeon.12@ucl.ac.uk

18 **Abstract**

19 Recent seismic studies indicate the presence of seismic anisotropy near subducted slabs
 20 in the transition zone and uppermost lower mantle (mid-mantle). In this study we in-
 21 vestigate the origin of radial anisotropy in the mid-mantle using 3-D geodynamic sub-
 22 duction models combined with mantle fabric simulations. These calculations are com-
 23 pared with seismic tomography images to constrain the range of possible causes of the
 24 observed anisotropy. We consider three subduction scenarios: (i) slab stagnation at the
 25 bottom of the transition zone; (ii) slab trapped in the uppermost lower mantle; and, (iii)
 26 slab penetration into the deep lower mantle. For each scenario we consider a range of
 27 parameters, including several slip systems of bridgmanite and its grain boundary mo-
 28 bility. Modelling of lattice preferred orientation (LPO) shows that the upper transition
 29 zone is characterised by fast-SV radial anisotropy anomalies up to -1.5%. For the stag-
 30 nating and trapped slab scenarios, the uppermost lower mantle is characterised by two
 31 fast-SH radial anisotropy anomalies of $\sim +2\%$ beneath the slab's tip and hinge. On the
 32 other hand, the penetrating slab is associated with fast-SH radial anisotropy anomalies
 33 of up to $\sim +1.3\%$ down to a depth of 2,000 km. Four possible easy slip systems of bridg-
 34 manite lead to a good consistency between the mantle modelling and the seismic tomog-
 35 raphy images: $[100](010)$, $[010](100)$, $[001](100)$ and $\langle 110 \rangle \{ \bar{1}10 \}$. The anisotropy anoma-
 36 lies obtained from shape-preferred orientation calculations do not fit seismic tomogra-
 37 phy images in the mid-mantle as well as LPO calculations, especially for slabs penetrat-
 38 ing into the deep lower mantle.

39 **Plain Language Summary**

40 Seismology studies reveal that subducting slabs show different characteristics across
 41 the Earth; some flatten in the upper mantle (at 660 km depth), others are trapped in
 42 the uppermost lower mantle (660-1,200 km depth) and a few penetrate into the deep lower
 43 mantle. Subducting slabs cause the surrounding mantle to deform, but the way in which
 44 the minerals deform in the mid-mantle (410-1,200 km depth) remains poorly understood.

45 Geodynamic modelling can help us to infer how the mantle flows and deforms around
 46 subduction zones. However, the pattern and evolution of mantle flow around the full range
 47 of subduction scenarios has yet to be studied in such detail. Therefore, in this study geo-
 48 dynamic modelling is used to explore a range of mid-mantle parameters that best fit ob-
 49 servations around subduction zones from seismology studies. Deformation in the mid-

50 mantle induced by subducting slabs, including deeply penetrating slabs, is found to be
51 consistent with a mechanism known as dislocation creep, which involves the movement
52 of defects in the crystal lattice of rocks in the deep Earth, and agrees with recent seis-
53 mic, geodynamic and laboratory studies.

54 **1 Introduction**

55 Subduction zones across the world provide a unique setting for studying mantle de-
56 formation and its associated anisotropy. As tectonic plates plunge into the mantle, they
57 drive mantle flow around the subducted slabs. Some slabs penetrate to the lower man-
58 tle, whereas others stagnate at the bottom of the transition zone, near the 660 km seis-
59 mic discontinuity (e.g., Fukao & Obayashi, 2013; Goes et al., 2017). This leads to dis-
60 tinct trajectories of mantle convection, which control the thermo-chemical evolution of
61 our planet (e.g., P. S. Hall et al., 2012). One of the most direct ways to constrain man-
62 tle flow is by measuring seismic anisotropy, which can be caused by: (i) lattice-preferred
63 orientation (LPO) of intrinsically anisotropic mantle minerals due to mantle flow; or, (ii)
64 strain-induced shape preferred orientation (SPO) of isotropic materials with highly con-
65 trasting seismic properties (for a review see e.g., Chang et al., 2014).

66 Seismic anisotropy is commonly found in layers of the Earth where deformation and
67 strain are highest (Montagner, 1998). There is abundant evidence of anisotropy in the
68 upper mantle (e.g., Silver, 1996; Fischer & Wiens, 1996) and in the D" region in the low-
69 ermost mantle (e.g., Mitchell & Helmberger, 1973; Lay & Helmberger, 1983; Vinnik et
70 al., 1989; Ritsema, 2000; Nowacki et al., 2011). However, in the mid-mantle (~ 410 - $1,200$
71 km), which in this study is considered to be composed of the upper transition zone (UTZ,
72 410 - 520 km), lower transition zone (LTZ, 520 - 660 km) and uppermost lower mantle (ULM,
73 ~ 660 - $1,200$ km), the presence of anisotropy is debated (e.g., Beghein & Trampert, 2004;
74 Panning et al., 2010; Chang et al., 2014; De Wit & Trampert, 2015). Both experimen-
75 tal and numerical modelling results suggest that certain mid-mantle minerals are intrin-
76 sically anisotropic. In the UTZ, wadsleyite is anisotropic, with a single crystal V_S anisotropy
77 of 18% at ambient conditions, decreasing to $\sim 9\%$ at pressures corresponding to a depth
78 of 410 km (J. S. Zhang et al., 2018). Tommasi et al. (2004) reported that wadsleyite is
79 able to generate LPO in response to a given flow process and Kawazoe et al. (2013) ex-
80 perimentally found that $[001](010)$ is the dominant slip system of wadsleyite for a wa-
81 ter content of 50-230 wt. ppm H_2O . However, Ohuchi et al. (2014) suggested that wad-

82 wadsleyite has several slip systems of similar strength for different water content regimes,
83 and each regime will form an LPO. In the LTZ, ringwoodite has been reported to be nearly
84 perfectly isotropic at LTZ pressures (Mainprice et al., 2000). Bridgmanite, constituting
85 78% of the lower mantle, is anisotropic (e.g., Meade et al., 1995; Wenk et al., 2004; Main-
86 price et al., 2008; Tsujino et al., 2016). It has been reported that bridgmanite has a single-
87 crystal V_S anisotropy of 33% at ambient conditions (Yeganeh-Haeri, 1994), but that it
88 decreases to 8% at 1,000 km depth when using the extrapolated temperature and pres-
89 sure derivatives of Wentzcovitch et al. (2004). Such estimates of bridgmanite single-crystal
90 V_S anisotropy have been shown to be even larger when considering elastic properties cal-
91 culated by Z. Zhang et al. (2013) (Ferreira et al., 2019). Ferropericlase, constituting 16%
92 of the lower mantle, remains nearly isotropic at 660 km depth, but its single-crystal V_S
93 anisotropy increases considerably with pressure, becoming up to 40% in the D'' region
94 (Marquardt et al., 2009). It has been shown recently by Muir and Brodholt (2018) that,
95 in contrast to previous views, water does not have a significant effect on lower mantle
96 rheology. However, water may change the activity of the slip systems of bridgmanite, which
97 may in turn affect the resultant anisotropy (e.g., Jung et al., 2006).

98 Analysis of transmission electron microscopy on wadsleyite polycrystals deformed
99 in compression and simple shear in multi-anvil apparatus showed dislocations in glide
100 configuration as well as subgrains, indicating the presence of dynamic recrystallisation
101 and suggesting deformation by dislocation creep (Dupas et al., 1994; Sharp et al., 1994;
102 Dupas-Bruzek et al., 1998; Thurel & Cordier, 2003; Thurel et al., 2003). Regarding the
103 lower mantle, several seismic studies suggest that it is on average isotropic, apart from
104 the D'' region (e.g., Chang et al., 2014). This led to the view that the dominant defor-
105 mation mechanism in this region is diffusion creep (Karato et al., 1995). High temper-
106 ature experiments on fabric developments by Karato et al. (1995) suggested that the ab-
107 sence of anisotropy in the lower mantle is strong evidence for deformation by superplas-
108 ticity, provided that grain size remains reasonably small (Edington et al., 1976). More
109 recently, numerical simulations of creep by Boioli et al. (2017) suggested that disloca-
110 tion pure climb creep, which does not produce LPO, dominates in bridgmanite. On the
111 other hand, bridgmanite aggregates deformed during laboratory experiments display a
112 clear LPO at relatively small strains (Tsujino et al., 2016; Miyagi & Wenk, 2016). How-
113 ever, the validity of the extrapolation of laboratory experiments to lower mantle condi-
114 tions is questionable given the extremely different values of stress and strain rate.

115 Several shear-wave splitting studies have suggested anisotropy in the mid-mantle
116 (e.g., Vinnik et al., 1998), notably near subducting slabs down to the ULM (e.g., Wookey
117 et al., 2002; Chen & Brudzinski, 2003; Wookey & Kendall, 2004; Foley & Long, 2011;
118 Nowacki et al., 2015; Walpole et al., 2017). Several regional analyses focused e.g., on the
119 Tonga-Kermadec region, with Wookey and Kendall (2004) observing fast-SH shear waves
120 with a delay time of 0.7-2.2 s in the mid-mantle, and Foley and Long (2011) finding a
121 ~ 1 -3 s delay time attributed to the mid-mantle. However, such studies have difficulty
122 in isolating mid-mantle anisotropy from upper mantle effects and have limited depth res-
123 olution and azimuthal coverage, which can restrict their interpretation. It has also been
124 possible to image anisotropy in the mid-mantle through global anisotropy tomography
125 studies since the 1980s (e.g., Nataf et al., 1984; Montagner & Tanimoto, 1991; Panning
126 & Romanowicz, 2004; Yuan & Beghein, 2013, 2014). One of the simplest forms of anisotropy,
127 radial anisotropy, corresponds to transverse isotropy with a vertical axis of symmetry,
128 and no azimuthal dependence (e.g., Bodin et al., 2015). Radial anisotropy describes the
129 difference between horizontally and vertically polarised shear waves, which can poten-
130 tially distinguish between horizontal and vertical mantle flow.

131 Over the past decade or so, global radially anisotropic models have been developed
132 using different data sets and modelling schemes (for a review, see e.g., Chang et al., 2014).
133 The inclusion of body-wave travel time data allowed for anisotropy to be resolved in the
134 lower mantle (Panning & Romanowicz, 2006; Kustowski et al., 2008; Panning et al., 2010;
135 Auer et al., 2014; Moulik & Ekström, 2014), even if lowermost mantle anisotropy is dif-
136 ficult to resolve globally (e.g., Kustowski et al., 2008; Chang et al., 2015). In addition,
137 to account for trade-offs between isotropic and anisotropic anomalies and discontinuities,
138 discontinuity perturbations have been included in inversions (e.g., Kustowski et al., 2008;
139 Visser et al., 2008; Moulik & Ekström, 2014). The use of huge data sets and, notably,
140 a large number of surface wave overtone dispersion measurements, has led to an improved
141 agreement between models. Chang et al. (2015) quantitatively compared recent mod-
142 els and found: (i) an improved correlation between SGLOBE-rani (Chang et al., 2015)
143 and *savani* (Auer et al., 2014) of 0.5; this is an encouraging improvement compared to
144 previous studies, which do not show correlations larger than 0.3; (ii) fast-SV radial anisotropy
145 anomalies in the transition zone in SGLOBE-rani near subducted slabs, which also ap-
146 pear in some other models (e.g., *savani* and SEMUCB-WM1 (French & Romanowicz,
147 2014)); and, (iii) fast-SH radial anisotropy anomalies in the ULM in SGLOBE-rani near

148 subducted slabs (Ferreira et al., 2019), which also appear in *savani*, and seem consistent
149 with shear-wave splitting analyses. Given this increasing volume of observations, it is
150 timely to investigate the origin of anisotropy in the mid-mantle with geodynamic sim-
151 ulations and mantle fabrics calculations, which is the focus of this study.

152 The interpretation of radial seismic anisotropy is not straightforward and requires
153 a coordinated effort with other scientific disciplines, such as mineral physics and geody-
154 namics (e.g., Chang et al., 2016; Chang & Ferreira, 2019; Ferreira et al., 2019). For ex-
155 ample, LPO calculations depend on temperature, deviatoric stress, dominant creep mech-
156 anism, the slip systems of anisotropic minerals, their elastic constants and water con-
157 tent (see e.g., Karato et al., 2008; Mainprice, 2015). Yet, many of these properties are
158 imperfectly known, particularly in the deep mantle. Moreover, other mechanisms such
159 as extrinsic anisotropy through SPO also need to be considered (e.g., Faccenda et al.,
160 2019).

161 Recent advances in computational modelling have provided new insights into the
162 elastic and rheological properties of the mantle. Anisotropy due to strain-induced LPO
163 has been modelled in the upper mantle (e.g., C. E. Hall et al., 2000; Becker et al., 2012;
164 Faccenda & Capitanio, 2013) and in the deeper mantle; in particular, a number of stud-
165 ies have focused on the lowermost mantle (e.g., McNamara et al., 2002; Wenk et al., 2006;
166 Merkel et al., 2007; Walker et al., 2011). In contrast, few studies have focused on the mid-
167 mantle. Nippres et al. (2004) used 2-D subduction models to show that finite strain pro-
168 duced by the slab tip interaction with the 660 km discontinuity could be responsible for
169 anisotropy observed in the ULM. 3-D petrological-thermo-mechanical flow models of dy-
170 namic subduction by Faccenda (2014) explored mid-mantle anisotropy produced by strain-
171 induced LPO, indicating that high deviatoric stresses associated with subduction deform
172 transition zone and lower mantle aggregates by dislocation creep. This approach has been
173 subsequently used to interpret seismic observations of anisotropy in the uppermost lower
174 mantle (Ferreira et al., 2019) as well as anomalies associated with a deep plume-slab in-
175 teraction (Chang et al., 2016). The investigation of mantle flow and seismic anisotropy
176 near subducted slabs in the mid-mantle is particularly difficult because complex 3-D pat-
177 terns of mantle convection can develop (e.g., Faccenda & Capitanio, 2013; Faccenda, 2014).

178 In this study we investigate the origin of mid-mantle (transition zone and ULM)
179 anisotropy using 3-D petrological-thermo-mechanical modelling of subduction dynam-

180 ics combined with mantle fabrics calculations of mineral aggregates (Faccenda, 2014).
181 This work goes beyond that of Faccenda (2014) and Chang et al. (2016) by incorporat-
182 ing a rheological model, and therefore an effective viscosity, that is consistent with 1-D
183 profiles of viscosity inverted from gravity data, including a mid-mantle viscosity hill in
184 the lower mantle (Rudolph et al., 2015; Mitrovica & Forte, 2004). We also incorporate
185 recent mineral physics results and first principle studies of lower mantle elastic constants.
186 We consider a variety of potential slip systems of bridgmanite, and take into account pos-
187 sible contributions of mantle anisotropy from estimates of grain-scale SPO. Recently, Ferreira
188 et al. (2019) used a similar approach to interpret observations of radial anisotropy in the
189 uppermost lower mantle, but here we examine the whole mid-mantle. Moreover, we con-
190 sider a greater range of subduction styles and modelling parameters, notably by intro-
191 ducing a slab penetrating deeply into the lower mantle, and by using a variety of pos-
192 sible slip systems. The resulting models are compared with tomographic images around
193 subducted slabs, in order to understand the mechanisms responsible for the observed ra-
194 dial anisotropy.

195 **2 Motivation from global radially anisotropic tomography**

196 As explained in the previous section, despite discrepancies in studies of mid-mantle
197 anisotropy, a number of recent seismic studies suggest the presence of radial anisotropy
198 in the mid-mantle near subducted slabs. In this section we show illustrative examples
199 of radial anisotropy in the global model SGLOBE-rani; for full details of the model and
200 its robustness we refer the reader to the studies of Chang et al. (2015) and Ferreira et
201 al. (2019). We focus on this model since we fully know all the details involved in its con-
202 struction.

203 Figure 1 and Figure S1 in the Supporting Information show a selection of isotropic
204 and radially anisotropic cross-sections of seismic structure in SGLOBE-rani near sub-
205 duction zones. A variety of subduction scenarios are shown: (i) slab stagnation at the
206 bottom of the transition zone (e.g., Honshu, Bonin, Northern Chile); (ii) slab trapped
207 in the ULM (e.g., Kurile, Kermadec, Eastern Java); and, (iii) slab penetration into the
208 deep lower mantle (e.g., Central America, Western Java, possibly Northern Peru). Pat-
209 terns of radial anisotropy near subducted slabs in the mid-mantle show similar patterns
210 across the various subduction zones: the transition zone is characterised by fast-SV ra-
211 dial anisotropy anomalies of up to $\sim 3\%$. In addition, a trend of two fast-SH anomalies

212 of up to $\sim+2\%$ are observed beneath the slab in the ULM, one beneath the steeply dip-
 213 ping portion of the slab and one beneath the slab's tip.

214 In order to explain the presence of mid-mantle anisotropy around subduction zones,
 215 we can reduce the possible number of interpretations by comparing seismic tomography
 216 with predictions of geodynamic models. In this study we will compare SGLOBE-rani with
 217 calculations of radial anisotropy using 3-D geodynamic subduction models.

218 **3 Methodology**

219 **3.1 Geodynamical simulations**

220 We use a series of 3-D petrological-thermo-mechanical models in order to simulate
 221 subduction, built upon the methodology from Faccenda (2014) and Ferreira et al. (2019).
 222 These models use a 3-D geodynamic framework, I3MG, based upon a mixed Eulerian-
 223 Lagrangian finite difference scheme (Gerya, 2009). The model domain is defined by 6,000
 224 $\times 3,000 \times 3,000$ km, using $293 \times 293 \times 69$ Eulerian nodes (x, y, z coordinates, respec-
 225 tively; where y is the vertical coordinate).

226 The initial model set-up (Figure S2) includes a 30 km thick crust overlying a 1 Myr
 227 old background mantle and a 80 Myr old oceanic plate. The plate, which is 3260 km long,
 228 90 km thick, 1000 km wide, subducts self-consistently aided by a gently dipping 335 km
 229 long slab. A strain-dependent low coefficient of friction of $\mu=0.02-0.005$ at zero defor-
 230 mation and at maximum strain, respectively, is set for the crust; this lubricating layer
 231 allows self-consistent subduction of the plate. This is then increased at a depth of 100
 232 km to $\mu=0.1$ to simulate crust eclogitisation, ensuring the crust remains strong down to
 233 the transition zone.

234 We define the thermal structure of the model using a half-space cooling model above
 235 90 km depth, and an adiabatic geotherm of $0.5 \text{ K}\cdot\text{km}^{-1}$ below. Mantle phase transitions
 236 are obtained from density and enthalpy maps taken from PERPLE_X (Connolly, 2005)
 237 as a function of pressure and temperature for pyrolite. The Clapeyron slope of the man-
 238 tle discontinuities can be derived from the density map in Figure S2b.

239 All the model parameters used in our study are shown in Table S1. In order to limit
 240 the number of parameters studied, we focus largely on varying the mantle's viscosity to
 241 achieve different subduction simulations. We calculate the effective viscosity for a visco-

242 plastic material by combining a Druger-Prager yielding criterion and low-T Peierls, high-
 243 T dislocation and diffusion creep mechanisms (see Table S2 for the equations used). We
 244 simulate three subduction scenarios of: (i) slab stagnation at the bottom of the transi-
 245 tion zone; (ii) slab trapped in the ULM; and, (iii) slab penetration into the deep lower
 246 mantle.

247 For our subduction scenarios of a slab stagnation at the bottom of the transition
 248 zone and trapped in the ULM, we employ a relatively low viscosity (weak) asthenosphere,
 249 a viscosity jump at 660 km depth, and a viscosity hill at around 1,500 km depth, pro-
 250 ducing a strong mid-mantle (Morra et al., 2010; Marquardt & Miyagi, 2015; Rudolph
 251 et al., 2015). Deformation in the upper mantle is accommodated mostly by dislocation
 252 creep, whereas it is only active at deviatoric stresses above 10-20 MPa in the lower man-
 253 tle (Figure S2c). Whilst such values are taken from olivine flow laws, it is generally ac-
 254 cepted that this amount of stress is sufficient to activate dislocation creep (e.g., McNamara
 255 et al., 2002).

256 The pre-exponential factors for diffusion and dislocation creep in the upper and lower
 257 mantle are the only varying rheological parameters in each of our subduction models.
 258 This simulates regional variations in the composition of the mantle (e.g., Ballmer et al.,
 259 2017). For the deeply penetrating subduction model, the employed pre-exponential fac-
 260 tors for diffusion and dislocation creep result in the absence of the mid-mantle viscos-
 261 ity hill, which would otherwise prevent deep slab penetration.

262 **3.2 Mantle fabric modelling**

263 Following Faccenda (2014) and Ferreira et al. (2019), we calculate the strain-induced
 264 lattice-preferred orientation (LPO) of Lagrangian aggregates which are passively advected
 265 by means of the Eulerian velocity field obtained by the macro-flow modelling. Through-
 266 out the model evolution, the fabric development of each aggregate is calculated using a
 267 modified version of the kinematic model D-Rex (Kaminski et al., 2004), which accounts
 268 for deformation history, non-steady-state deformation and strain-induced LPO of mid-
 269 mantle aggregates (e.g., Faccenda & Capitanio, 2012, 2013; Faccenda, 2014).

270 We use a harzburgitic upper mantle composition (Ol:Ens=70:30, 0-410 km depth)
 271 and a pyrolitic mantle composition in the transition zone (Wd:Grt=60:40, 410-520 km;
 272 Rw:Grt=60:40, 520-660 km) and lower mantle (Brd:Fp=80:20, 660-3,000 km) (Mainprice,

273 2015). Whole crystal aggregates undergo phase transitions at arbitrary density crossovers
 274 that represent the boundary between two different rock types (Ol:Ens→Wd:Grt=3,650
 275 kg·m⁻³; Wd:Grt→Rw:Grt=3,870 kg·m⁻³; Rw:Grt→Brd:Fp=4,150 kg·m⁻³) (Figure S2).
 276 During subduction, upper mantle and transition zone mantle aggregates are entrained
 277 around the slab down into the lower mantle, except near the slab's tip (Figure S3). Given
 278 that little is known about the topotactical growth of crystal aggregates undergoing phase
 279 transitions given a pre-existing fabric, we adopt the approach of resetting the LPO af-
 280 ter each phase transition by randomising the crystal orientation.

281 We only compute the fabric development for phases that display significant single-
 282 crystal visco-elastic anisotropy, such as olivine, enstatite, wadsleyite and bridgmanite,
 283 and only for the fraction of viscous deformation accommodated by dislocation creep. There-
 284 fore crystal aggregates of cubic phases, including ringwoodite, garnet and ferroperricite,
 285 are orientated randomly throughout the model evolution, as they are mostly isotropic
 286 in the mid-mantle (e.g., Carrez et al., 2007). In this study, we only display anisotropy
 287 generated by significantly deformed aggregates due to the subducting slab (whereby $\ln\left(\frac{FSE_{max}}{FSE_{min}}\right) >$
 288 0.5). This is consistent with our interpretation of anisotropy anomalies near the slabs
 289 in the seismic tomography, which have been shown to be statistically distinct groups of
 290 anomalies by a cluster analysis (Chang & Ferreira, 2019).

291 In our models, the ratio of dislocation to diffusion creep is stress-dependent, which
 292 is accounted for in the large-scale flow model. A low transition stress value favouring dis-
 293 location creep is used for the upper mantle and transition zone (Turcotte & Schubert,
 294 2014), whereas in the lower mantle the dislocation creep mechanism is only active above
 295 deviatoric stresses of 10-20 MPa (Figure S2c and Figure 2a-f) (e.g., McNamara et al.,
 296 2002).

297 In order to calculate the strain-induced LPO of anisotropic minerals, we use the
 298 normalised Critical Resolved Shear Stress (CRSS) for slip systems of olivine, enstatite
 299 and wadsleyite from available experimental data as compiled in Faccenda (2014). For
 300 bridgmanite, we test several potential slip systems proposed from experimental studies
 301 and from ab-initio simulations (e.g., Cordier et al., 2004; Wenk et al., 2004; Mainprice
 302 et al., 2008). At ULM pressures and temperatures we consider: [100](010), [100](001),
 303 [010](100), [001](100), [001](010), [001]{ $\bar{1}10$ }, $\langle\bar{1}10\rangle$ (001), $\langle 110\rangle$ { $\bar{1}10$ }. In turn, each
 304 slip system was tested by imposing a CRSS five times lower than all other slip systems

305 (e.g., Tommasi et al., 2004). Whilst this method considers only the softest slip system
 306 at a time, it remains a reasonable simplification when dynamic crystallisation is efficient
 307 enough. We use bridgmanite elastic tensors and their P-T derivatives derived from Z. Zhang
 308 et al. (2013) at ULM conditions (Table S3). We can then obtain the full elastic tensor
 309 of each aggregate by Voigt-averaging the crystal elastic properties (scaled at local P-T
 310 conditions) according to their volume and orientation. For further comparison, we ob-
 311 tain another fabric from static (0 K) ab-initio atomic scale modelling run at ULM pres-
 312 sures (e.g., Carrez et al., 2007; Mainprice et al., 2008).

313 We test the effect of the grain boundary mobility (M^*) on the strength and loca-
 314 tion of the computed anisotropy (Figure 3a-c). In D-Rex, M^* is a dimensionless param-
 315 eter that controls the efficiency of grain-boundary migration (GBM), a process in which
 316 grains with low internal energy grow at the expense of grains with high internal energy
 317 (Kaminski & Ribe, 2001). In deformed polycrystalline aggregates, newly formed grains,
 318 having undergone recrystallisation, have low internal energy and are well orientated with
 319 the easy slip system to accommodate flow. Therefore, they grow more than other grains
 320 and dominate the LPO. The higher the M^* , the faster the LPO forms.

321 There are also other parameters that affect the computed anisotropy, such as the
 322 nucleation rate (λ^*) and grain boundary sliding, which can be modelled by the thresh-
 323 old volume fraction below which grains do not deform anymore by dislocation creep (χ)
 324 (i.e. do not rotate and have zero internal energy) and the relative CRSS of different slip
 325 systems. We use $\lambda^*=5$ and confirmed that using $\lambda^*>5$ does not lead to a significant vari-
 326 ation of LPO strength (Kaminski & Ribe, 2001; Faccenda, 2014). For $\lambda^* < 2$, although
 327 the anisotropy is stronger and more dominated by hard grains, the LPO does not agree
 328 with that found by (Mainprice et al., 2008) nor with experiments in which there is a clear
 329 alignment of one of the crystallographic orientations with the shear direction at very low
 330 strain (e.g., Tsujino et al. (2016); see Figures S4 and S5 in the Supporting Information).
 331 Regarding grain boundary sliding, we use $\chi=0.3$ (Kaminski et al., 2004). If we increase
 332 it, then only the very large grains will deform by dislocation creep, and thus the LPO
 333 will be weaker. On the other hand, if we decrease χ even further, we would only slightly
 334 strengthen the LPO as the LPO is dominated by the very large grains. $\chi = 0$ makes no
 335 sense because the dynamic recrystallisation and grain size reduction/growth are intrin-
 336 sic processes of dislocation creep. Furthermore, reducing the contrast in the CRSS among
 337 the different slip systems, decreases the strength of the LPO as more slip systems are

338 competing to accommodate the strain. Hence, for the sake of simplicity, in this study
 339 we choose to test M^* since it has been shown to have the most direct effect on the mag-
 340 nitude of the anisotropy (Kaminski & Ribe, 2001; Boneh et al., 2015). In the upper man-
 341 tle, olivine has a M^* value of 125 ± 75 taken from model predictions by Kaminski and
 342 Ribe (2001) and calibrated against the experimental results from S. Zhang and Karato
 343 (1995). In the transition zone, M^* of wadsleyite is set to 125 following the results of Faccenda
 344 (2014). For bridgmanite, we vary this constant between 10 (Boneh et al., 2015) and 200
 345 as there is currently little available data to calibrate it. Figure 3a-c shows that increas-
 346 ing M^* in the lower mantle increases the strength of the computed radial anisotropy be-
 347 neath the slab. As explained in the caption of Figure 3, we only display anisotropy that
 348 has been induced by the subducting slab. This is supported by a clustering analysis by
 349 Chang and Ferreira (2019), which showed that the anisotropy anomalies around subducted
 350 slabs in SGLOBE-rani are a statistically distinct class of anomalies.

351 In all mantle fabric models used in this study, the M^* value for bridgmanite is set
 352 to 125 because it provides a radial anisotropy of $\sim +2\%$ beneath the slab. This is con-
 353 sistent with the seismic tomography images in Figure 1 (and Figure S1), and with shear-
 354 wave splitting measurements (e.g., Walpole et al., 2017). In addition, bridgmanite's M^*
 355 value needs to be high to justify the LPO with the very low amount of deformation in-
 356 duced by the stagnating slab. Lower values of M^* would lead to a poor fit to the obser-
 357 vations (Figure 3a-c).

358 While it is generally accepted that dislocation creep is the dominant deformation
 359 mechanism in the upper mantle and transition zone (e.g., Karato & Wu, 1993; Weert-
 360 man & Weertman, 1975; Kohlstedt & Goetze, 1974; Trampert & van Heijst, 2002; Shi-
 361 mojuku et al., 2009), we explore the effect of the level of deformation absorbed by dis-
 362 location creep in bridgmanite on the LPO calculations. In light of recent experiments
 363 by Girard et al. (2015), it was reported that bridgmanite crystals are likely to absorb
 364 less deformation than the bulk deformation in the lower mantle because they are stiffer
 365 than ferropicrlase. Thus, we compare the results obtained when bridgmanite absorbs
 366 only 30% of the bulk deformation with those obtained when bridgmanite absorbs all de-
 367 formation. The results, shown in Figure 3, show that when bridgmanite absorbs 30% of
 368 the deformation (Figure 3d,e,f), the resultant ULM anisotropy is very weak ($\sim +0.5\%$).
 369 On the other hand, when bridgmanite absorbs all the deformation (Figure 3a,b,c) the
 370 resultant ULM anisotropy is more consistent with that found in the seismic tomogra-

371 phy images. However, it is worth noting that, even when bridgmanite absorbs only 30%
372 of the deformation, significant ULM anisotropy could be generated with a substantially
373 larger value of M^* or, with a higher amount of subduction-induced deformation than
374 considered in this study or, as mentioned above, with a lower nucleation rate or grain
375 boundary sliding.

376 In addition to LPO, extrinsic radial anisotropy is also estimated by modelling grain-
377 scale shape-preferred orientation (SPO) using the effective medium theory, following the
378 approach of Backus (1962), Faccenda et al. (2019) and Ferreira et al. (2019) A short sum-
379 mmary of the approach used can be found in the Supporting Information (Text S1).

380 4 Results

381 In this section we present the results obtained from our 3-D modelling approach
382 for the three subduction scenarios considered. We recall that these different scenarios
383 were achieved mainly by varying the viscosity contrast between the upper and lower man-
384 tle, for the simulation parameters presented in the Table S1. The subduction models are
385 compared with tomography images. To aid in their comparison, we interpolate our man-
386 tle fabrics calculations into a grid with spacing $200 \times 100 \times 200$ km (x, y, z co-ordinates,
387 respectively), which is more comparable with the resolution of the tomography models
388 than the higher resolution used in the geodynamical simulations ($\sim 20 \times 20 \times 40$ km). In
389 the future we plan to filter the geodynamical models using the resolution matrix of the
390 tomography models. However, given the global parameterisation used in the tomogra-
391 phy models, that is an effort that goes beyond the scope of this study.

392 4.1 Slab stagnating at the bottom of the transition zone

393 Figure 4 and Movie S1 show the results obtained for a slab stagnating at the bot-
394 tom of the transition zone. The slab arrives at the 660 km discontinuity after ~ 8 Ma,
395 at a shallow dip angle, owing to a fast trench retreat. The viscosity jump at 660 km depth,
396 together with the negative Clapeyron slope of the 660 km discontinuity and the shallow
397 dip angle, generate relatively low stresses at this depth (Christensen, 1996), ultimately
398 leading to slab stagnation.

399 Viscosity-depth profiles for each of our subduction models are shown in Figure S6.
400 For the stagnating case (Figure S6b), the effective viscosity of the surrounding mantle

401 is reduced by the subducting slab compared to the background mantle (with the excep-
402 tion of the sharp peak caused by the high viscosity of the slab itself). This is shown in
403 the upper mantle but is most evident below 660 km depth. Figure 2g shows how the strain
404 rate is high below the hinge of the retreating slab, which decreases the effective viscos-
405 ity of the lower mantle and reduces the viscosity contrast with the overlying transition
406 zone. In turn, this facilitates the entrainment of mantle transition zone material below
407 660 km depth.

408 Sub-horizontal green velocity vectors near the stagnant part of the slab in Figure
409 2g show that the slab's tip advances slowly over the 660 km discontinuity. This explains
410 the low strain rate below the slab's tip. Strain rate is higher above the stagnating plate
411 because of the return flow opposite to the direction of plate motion. Subduction induces
412 a lower mantle upwelling beyond the slab's tip and up to the right boundary of the model
413 (6,000 km in the x-coordinate, at a relatively low velocity). The induced mantle flow is
414 fastest above the stagnant part of the slab, where the trench retreats. It is worth not-
415 ing that this leads to some lower mantle regions in Figure S6a with lower viscosity than
416 the upper mantle. This is possible given what we know of the real Earth, based on the
417 history of slab subduction. In particular, a number of slab avalanche events have been
418 documented by van der Meer et al. (2018); these slabs would have greatly loaded the 660
419 km discontinuity, increasing the stress on the lower mantle and thus reducing its viscos-
420 ity.

421 LPO generation can be explained by studying the strain the slab induces on the
422 surrounding mantle. Figure 5 and Movie S2 show the maximum finite strain ellipsoid
423 (FSE) axis on the mantle surrounding the slab. Horizontal sliding of the slab over the
424 660 km discontinuity generates simple shear deformation in the mid-mantle near the stag-
425 nant part of the slab. The maximum FSE axis in the ULM is oriented parallel to the stag-
426 nant part of the slab and strain is highest beneath the slab where there is contact with
427 the 660 km discontinuity, and also surrounding the slab's tip. High strain beneath the
428 slab's tip is generated upon contact with the 660 km discontinuity; this produces an area
429 of fast-SH radial anisotropy of $\sim +2\%$. Subsequent trench retreat encourages stagnation
430 and the loading of the slab on the 660 km discontinuity generates further strain accom-
431 modated by dislocation creep (Figure 2a). Hence, the anisotropy builds away from the
432 slab's tip, forming a second fast-SH radial anisotropy anomaly beneath the steeply dip-
433 ping portion of the slab, again of $\sim +2\%$. The fast-SH anisotropy anomalies are confined

434 within the 660-1,000 km depth range and show some separation. The UTZ is characterised
 435 by \sim -1.5% fast-SV radial anisotropy due to anisotropic wadsleyite. Part of the UTZ above
 436 the stagnant part of the slab remains isotropic, due to upwelling and downwelling of the
 437 mantle, producing constant phase changes of olivine to wadsleyite and LPO resetting.
 438 Fast-SV radial anisotropy anomalies of $<$ -1% are present beyond the slab's tip due to
 439 induced poloidal flow rotating the lower mantle aggregates.

440 In order to further understand the nature of the observed anisotropy anomalies,
 441 we ran the fabrics calculations keeping track of the initial rock type for each aggregate
 442 (Figure S3). We find an interesting upwelling of transition zone material in the upper
 443 mantle wedge. Moreover, Figure S3 shows that except near the slab tip, the region with
 444 positive radial anisotropy is made mostly of upper mantle and transition zone aggregates
 445 that have been dragged down into the lower mantle (and have transformed into lower
 446 mantle aggregates) by the subducting plate. Figure 4a shows good agreement between
 447 the radial anisotropy in the seismic tomography images and our LPO fabric calculations
 448 for a slab stagnating at the 660 km discontinuity. At least qualitatively, the modelled
 449 LPO replicates well the observed anisotropy in the mid-mantle. In the uppermost man-
 450 tle, the seismic tomography images show negative ξ perturbations which do not appear
 451 in the geodynamics models. This is possibly due to (i) the fossil slab anisotropy yield-
 452 ing negative ξ perturbations when the slab is dipping above a certain angle (e.g., Song
 453 & Kawakatsu, 2012), while the slab in our modelling is isotropic, and (ii) upwellings trig-
 454 gered by the slab, which are not considered in the geodynamical modelling. The com-
 455 puted ULM anisotropy shows two separate anomalies, with one anomaly beneath the slab's
 456 tip and the other beneath the steeply dipping portion of the slab. Nevertheless, these
 457 anomalies are less separated than in the seismic tomography images. As explained in the
 458 methods section, we tested nine possible slip systems of bridgmanite in the LPO calcu-
 459 lations (Figure 4b). Upon comparison with the tomography images, it is clear that four
 460 slip systems are compatible with the observed fast-SH radial anisotropy anomalies in the
 461 ULM: $[100](010)$, $[010](100)$, $[001](100)$ and $\langle 110 \rangle \{ \bar{1}10 \}$.

462 As previously reported by Ferreira et al. (2019), modelled grain-scale SPO also repli-
 463 cates well the fast-SH radial anisotropy anomalies observed in the subslab, of up to \sim +2.5%
 464 due to the large contrast in mineral isotropic elastic properties in the region between the
 465 post-spinel and the post-garnet reactions. However, there is less separation between the
 466 two anisotropic anomalies than in the LPO calculations, and they are present down to

467 greater depths ($\sim 1,100$ km) than when considering a LPO mechanism. On the other hand,
468 the SPO modelling is not compatible with the fast-SV radial anisotropy anomalies ob-
469 served in the transition above the stagnant part of the slab.

470 **4.2 Slab trapped in the uppermost lower mantle**

471 Slab penetration through the 660 km discontinuity is achieved by reducing the vis-
472 cosity contrast between the upper and lower mantle, as can be seen in Figure S6c-d. In
473 this case, the subduction rate is slower than that of the slab stagnating at the bottom
474 of the transition zone. The slab's tip reaches the 660 km discontinuity after ~ 14 Ma, and
475 with a steeper dip angle than in the stagnating case (Movie S3). This generates a large
476 stress on the discontinuity, facilitating penetration over ~ 40 Ma.

477 The creation of radial anisotropy in the ULM is similar to that seen in the stag-
478 nating case, as can be seen in Figure 6 and Movie S3. When the slab's tip hits the 660
479 km discontinuity and consequently begins to stagnate, the large generated stress leads
480 to the first fast-SH radial anisotropy anomaly in the ULM. The slab's tip then remains
481 relatively stationary whilst trench retreat causes the slab to unload across the 660 km
482 discontinuity. This generates further stress at the discontinuity, which leads to the sec-
483 ond fast-SH anisotropy anomaly in the ULM. Eventually, the stagnant part of the slab
484 penetrates through the 660 km discontinuity into the ULM. Strain-induced LPO calcu-
485 lations lead to fast-SV anomalies up to $\sim -1.5\%$ in the UTZ and to fast-SH anomalies of
486 $\sim +1.7\%$ in the ULM.

487 During penetration through the 660 km discontinuity, the entrained material be-
488 neath the slab, which causes the fast-SH radial anisotropy observed, is pushed downwards
489 to a depth extent of $\sim 1,300$ km. The velocity vectors shown in Figure 2h show a down-
490 ward motion at a relatively fast velocity (red arrows around the slab), resulting in the
491 forcing down of the subslab material. The consequent penetration, after a stable period
492 of stagnation, takes place in ~ 7 Ma. During this period, the velocity of the mantle in
493 the whole model domain is also larger than in the stagnating case due to a faster sub-
494 duction rate, and there is a noticeably larger return flow. The mantle moves fastest in
495 the upper mantle above the slab, but unlike the stagnating case, mantle flow is also fast
496 beneath the slab due to slab penetration.

497 Strain beneath the penetrating part of the slab is higher than strain beneath the
 498 stagnant slab in the previous scenario, but also acts over a larger depth range, as seen
 499 in Figure 5b, and in Movie S4. This is due to the lower viscosity of the lower mantle than
 500 in the stagnating scenario, and to the slab arriving at the 660 km discontinuity at a steeper
 501 angle, generating a larger stress on the discontinuity.

502 Figure 6b shows the radial anisotropy produced when considering the nine possi-
 503 ble bridgmanite slip systems previously stated. We find that four slip systems lead to
 504 radial anisotropy compatible with the anisotropy observed in the seismic tomography:
 505 $[100](010)$, $[010](100)$, $[001](100)$ and $\langle 110 \rangle \{ \bar{1}10 \}$. This agrees with those found in the
 506 stagnating slab scenario. SPO modelling again shows fast-SH anisotropy below the slab.
 507 However, the anisotropy anomalies around the slab in the lower mantle are only up to
 508 +1% (peaking at +1.4% beneath the slab's tip) due to the majorite-bridgmanite phase
 509 transformation, which is weaker than in the seismic tomography images. Similar to the
 510 previous section, SPO modelling also yields fast-SH radial anisotropy in the transition
 511 zone, which disagrees with fast-SV radial anisotropy observed in both the seismic tomog-
 512 raphy and LPO images.

513 4.3 Slab penetrating deep into the lower mantle

514 By further decreasing the effective viscosity of the lower mantle compared to the
 515 two previous subduction scenarios (see Table S1), the slab penetrates below 1,000 km
 516 depth as seen in Figure S6e, Figure 7a, and Movie S5. Slab penetration is helped by a
 517 steeper dip angle than in the previous two cases when the slab reaches the 660 km dis-
 518 continuity, by slow trench retreat and by a weaker resistance imposed by the 660 km dis-
 519 continuity. Indeed, the slab bends slightly at the 660 km discontinuity due to the vis-
 520 cosity increase, and consequently a large stress is induced around the slab's tip (see Movies
 521 S5 and S6). However, the viscosity contrast between the upper and lower mantle is not
 522 strong enough to prevent slab penetration and thus, the slab is able to continue pene-
 523 trating deep into the lower mantle.

524 Figure 7a shows that fast-SV radial anisotropy anomalies are present in the ULM,
 525 whilst fast-SH radial anisotropy anomalies, of up to $\sim +1.3\%$ are present beneath the slab's
 526 tip. Movie S5 shows how the fast-SH radial anisotropy is created upon slab tip interac-
 527 tion with the 660 km discontinuity and how its strength increases with further subduc-

528 tion. Strain generated beneath the slab’s tip is distributed over a larger area (see Fig-
 529 ure 5c,f and Movie S6) than in the two previous sections, thus generating a larger area
 530 of induced anisotropy but of weaker strength than in the two previous subduction sce-
 531 narios. Figure 2i shows that the strain rate is much larger in the entire model domain
 532 compared to the previous two subduction scenarios. This is due to a faster rate of sub-
 533 duction, hence a faster and larger poloidal flow is induced in the mantle. Similarly to
 534 the trapped slab scenario, flow is vertical all around the end of the slab, producing a fast-
 535 SV anisotropy anomaly of $\sim -1\%$. The UTZ yields some fast-SV radial anisotropy anoma-
 536 lies, although the majority of the transition zone remains isotropic due to the large poloidal
 537 flow resetting anisotropy at phase change boundaries.

538 Figure 7b shows that the same four slip systems, as in the two previous sections,
 539 lead to seismic anisotropy comparable to the seismic tomography cross-sections. Grain-
 540 scale SPO modelling yields a pattern of anisotropy similar to the LPO modelling. The
 541 ULM remains largely isotropic, but fast-SH radial anisotropy anomalies appear above
 542 and below the slab’s tip at depths of $\sim 1,200-1,800$ km. However, the strength of the fast-
 543 SH radial anisotropy is significantly weaker than that seen in the LPO model, at $\sim +0.3\%$.
 544 This does not match the seismic tomography image shown in Figure 7a.

545 **5 Discussion**

546 Our study presents calculations of radial anisotropy in the mid-mantle for three
 547 subduction scenarios. LPO and SPO anisotropy mechanisms have been considered, with
 548 LPO leading to anisotropic features more consistent with observations than a SPO mech-
 549 anism, especially around penetrating slabs. This is highlighted in Figure S7, where ra-
 550 dial anisotropy due to SPO is significantly weaker in the deeply penetrating case com-
 551 pared to the LPO. Specifically, no radial anisotropy greater than $+1\%$ develops due to
 552 SPO in the deeply penetrating slab scenario.

553 **5.1 Transition zone**

554 Figure 8 compares 1-D profiles of depth-dependent radial anisotropy between the
 555 three models of subduction and seismic tomography. It is important to note that these
 556 profiles are averages of the geodynamic models and of the tomographic images of sub-
 557 duction regions, and therefore radial anisotropy values are smaller than the maximum

558 values previously stated. The geodynamic models (Figure 8a, b and c) coherently show
 559 that the transition zone is characterised by fast-SV radial anisotropy anomalies ($\xi < 1\%$)
 560 of on average $\sim 0.5\%$ when considering LPO modelling, but SPO modelling implies the
 561 transition zone is isotropic, or has fast-SH radial anisotropy ($\xi > 1\%$). Given that the seis-
 562 mic tomography 1-D profiles at subduction regions (Figure 8d) show $\sim -0.5\%$ fast-SV anisotropy,
 563 LPO can explain this observation but SPO cannot.

564 Many shear-wave splitting studies struggle to separate contributions of mid-mantle
 565 anisotropy from the transition zone and the ULM. However, Nowacki et al. (2015) found
 566 shear-wave splitting of ≤ 2.4 s in the transition zone, attributing this to the presence of
 567 hydrous phases in the region but not to the development of LPO, disagreeing with our
 568 results. Chen and Brudzinski (2003) found SH waves arriving up to 3 s earlier than SV
 569 waves in the transition zone in the Fiji-Tonga region. Whilst this finding is not consis-
 570 tent with the results presented in this study, it does agree with the presence of a plume
 571 interacting with the Tonga-Kermadec slab (Chang et al., 2016).

572 **5.2 Uppermost lower mantle**

573 For the uppermost lower mantle, Figures 8a, b and c show that four slip systems
 574 of bridgmanite ($[100](010)$, $[010](100)$, $[001](100)$ and $\langle 110 \rangle \{ \bar{1}10 \}$) yield fast-SH radial
 575 anisotropy anomalies. The tomography images for the subduction zone regions plotted
 576 in Figure 8d are comparable to the results for the slip systems in Figures 8a, b and c,
 577 agreeing with an average maximum fast-SH radial anisotropy value of $+0.5\%$ in the ULM,
 578 but not below 1,200 km depth. Whilst the seismic tomography suggests that subduc-
 579 tion regions have radial anisotropy anomalies of $\xi < 1\%$ in the lower mantle beneath 1,200
 580 km depth, all three geodynamic models show anomalies that remain $\xi > 1\%$. This could
 581 be explained by the fact that the resolution of the tomography images is limited beneath
 582 $\sim 1,400$ km depth (Chang et al., 2015). On the other hand, this could also suggest that
 583 our results are affected by errors in the model parameters, such as grain boundary mo-
 584 bility and relative slip system activities.

585 When a slab reaches the 660 km seismic discontinuity, it is expected to produce
 586 large stresses in the ULM, which in turn can induce mineral alignment, producing anisotropy
 587 (e.g., Nippres et al., 2004). Our results confirm this. Indeed, our calculations of LPO
 588 lead to a pattern of anisotropy in the ULM similar to that observed in the SGLOBE-

589 rani and *savani* tomographic models (i.e., two separated fast-SH anomalies of up to +2%
 590 beneath stagnant slabs and next to penetrating slabs). The comparison of our LPO mod-
 591 elling to the seismic tomography, to first order, fits the assumption that LPO is randomly
 592 re-set at phase changes. The support of this hypothesis, as opposed to inheritance of LPO
 593 across phase changes, provides an interesting insight into this relatively unknown area
 594 of interest. The inheritance of LPO at phase changes is important to consider in future
 595 studies and requires further constraints from laboratory experiments. For slabs stagnat-
 596 ing at the bottom of the upper mantle, SPO calculations around the 660 km seismic dis-
 597 continuity are also compatible with the seismic images.

598 Several shear-wave splitting studies have reported observations of seismic anisotropy
 599 in the ULM broadly compatible with fast-SH radial anisotropy anomalies (e.g., Wookey
 600 et al., 2002; Wookey & Kendall, 2004; Mohiuddin et al., 2015). In addition, studies in
 601 the Tonga-Kermadec region show that SH waves lead SV by a few seconds (Wookey &
 602 Kendall, 2004), which was interpreted as due to either strain-induced LPO or to SPO
 603 of subducted material into the ULM. Mohiuddin et al. (2015) observed significant split-
 604 ting (delay times >1 s) of S phases at the base of the transition zone in subduction re-
 605 gions. They hypothesised a layer of $\sim 5\%$ anisotropy with a thickness of ~ 200 km in the
 606 mid-mantle around stagnating slabs. However, they also found that the strength of anisotropy
 607 and the thickness of the layer trade off directly; thus, their results are comparable to the
 608 values found in this study, since we find weaker anisotropy ($\sim +2\%$) in a thicker region
 609 (~ 540 km). A subsequent shear-wave splitting study by Walpole et al. (2017) reported
 610 tilted transverse isotropy in the ULM with a fast symmetry axis orientated sub-parallel
 611 to the subduction direction, agreeing with our results. Splitting times of ~ 1 s in the ULM,
 612 would require a layer of 2% anisotropy ~ 180 km thick. Given that the thickness of the
 613 anisotropic anomalies in tomographic images is likely affected by limitations in resolu-
 614 tion (Chang et al., 2015), this is broadly compatible with our results.

615 Faccenda (2014) conducted the first calculations of seismic anisotropy around sub-
 616 duction zones and obtained $\sim +2\%$ fast-SH radial anisotropy anomalies down to $\sim 1,000$
 617 km depth. However, that study did not test potential slip systems of bridgmanite or take
 618 into account possible anisotropy contributions from SPO. Also, although the rheology
 619 of the lower mantle is still largely unknown, the mantle viscosity values used in this study
 620 are likely more realistic than when using the olivine flow law used in Faccenda (2014).

5.3 Bridgmanite slip systems

The four potential easy slip systems of bridgmanite identified in this study agree with recent uni-axial deformation experiments in a Kawai-type deformation-DIA apparatus (Tsuji no et al., 2016) who found a bridgmanite fabric dominated by the slip system $[001](100)$, at ULM conditions of 25 GPa and 1,873 K. Miyagi and Wenk (2016) used a diamond anvil cell apparatus to report bridgmanite’s dominant slip system as $[100]$, $[010]$ and $\langle 110 \rangle$ on the (001) planes, at pressures < 55 GPa, agreeing with our slip directions but not with our slip plane. An earlier study by Cordier et al. (2004) suggested $[100](001)$ and $[010](001)$ dislocations based on X-ray line-broadening analysis of samples recovered from a deformation experiment at 25 GPa and 1,673 K, but both slip systems disagree with our findings.

Slip systems of bridgmanite have also been investigated numerically using the Peierls-Nabarro model and calculation of generalised stacking faults and the Peierls-Nabarro-Galerkin model to evaluate Peierls stresses (Ferré et al., 2007; Gouriet et al., 2014). These studies find that $[010](100)$ and $[100](010)$ should be the easiest slip systems, agreeing with our results. A study of the interaction between the Samoan plume and Tonga-Kermadec slab (Chang et al., 2016) highlighted $[100](010)$, $[100](001)$, $\langle \bar{1}10 \rangle(001)$ and $\langle 110 \rangle\{\bar{1}10\}$ as potential bridgmanite easy slip systems. Our study agrees with two of these slip systems, suggesting that the results of Chang et al. (2016) may require higher mid-mantle temperature due to the interaction between the slabs and the plume.

5.4 Geodynamic modelling

Our geodynamic modelling of slab subduction relies on a rheological model where the high-temperature effective viscosity strongly depends on the pre-exponential factor and a depth-dependent activation enthalpy for both diffusion and dislocation creep mechanisms. Previous models, such as those in Faccenda (2014), utilised only the olivine flow law with pressure-dependent activation enthalpy, which produces a very high effective viscosity already at 1,000 km depth. In contrast, the present rheological model is more consistent with existing constraints on the 1-D viscosity profile of the Earth, including a viscosity hill in the mid-lower mantle (e.g., Rudolph et al., 2015), and the relative contribution of dislocation creep mechanism in the lower mantle that is significant only at high stresses (e.g, McNamara et al., 2002; Cordier et al., 2004; Mainprice et al., 2008).

652 The latter effect is needed to prevent lower mantle fabrics and seismic anisotropy to de-
653 velop everywhere in the lower mantle around subduction zones, which is not observed
654 in the SGLOBE-rani and *savani* tomographic models. For the stagnating scenario, the
655 negative Clapeyron slope and fast trench migration are essential for slab stagnation across
656 the 660 km discontinuity. A layer of reduced viscosity has been suggested to aid in the
657 stagnation of slabs at the 660 km discontinuity (Mao & Zhong, 2018); we performed this
658 test to find that the low viscosity layer had the effect of absorbing more deformation,
659 resulting in a wider area of diffused anisotropy in the ULM. This hindered the separa-
660 tion of two fast-SH anomalies in the ULM, which are observed in the tomography im-
661 ages.

662 On the other hand, the steeper dip angle of the slab associated with the relative
663 slower trench migration rates in the trapped and penetrating scenarios, respectively, aid
664 slab penetration through the 660 km discontinuity. This agrees with the results of geo-
665 dynamical modelling by Agrusta et al. (2017), whereby a viscosity increase in the lower
666 mantle is not by itself enough to control subduction dynamics; trench migration and the
667 Clapeyron slope also play an important role. Nippress et al. (2004) used instantaneous
668 2-D flow calculations in an early subduction setting to investigate lower mantle anisotropy.
669 Despite the differences between their modelling scheme and ours, it is interesting that
670 when comparing an early stage of our FSE modelling for the penetrating slab scenario
671 (as seen in Movie S6) to theirs, we see that in both models the longest FSE axis align
672 in the same direction.

673 Our rheological model accounts for a combined dislocation-diffusion creep mech-
674 anism, similar to that of Hedjazian et al. (2017). We have varied the criteria used to ac-
675 tivate dislocation creep by varying the pre-exponential factors of the diffusion and dis-
676 location creep flow laws. This has allowed us to (1) obtain different subduction scenar-
677 ios, which is not possible with kinematic models such as those of Hedjazian et al. (2017),
678 and, at the same time, (2) vary the contribution of one creep mechanism relative to the
679 other. Unlike this study, Hedjazian et al. (2017) identified areas deformed by diffusion
680 creep in the upper mantle. In our model, dislocation creep is predominant over diffusion
681 creep in most P-T-stress conditions in the upper mantle and transition zone. As a re-
682 sult, the anisotropy at these depths represents an upper bound estimate. Nevertheless,
683 this assumption is realistic for the anisotropy estimated near the slab where stresses are
684 generally high (about 1 MPa), agreeing with the conclusion of McNamara et al. (2002).

5.5 Limitations

As previously mentioned, a study of Girard et al. (2015) found that bridgmanite is substantially stronger than ferropericlase and largely accommodates strain in the lower mantle. Whilst Girard et al. (2015) suggested that bridgmanite only absorbs 30% of deformation in the lower mantle, when taking such results into account in our modelling, we obtained weak fast-SH radial anisotropy anomalies of $<+1\%$ in the ULM which are not compatible with the seismic tomography images. In addition, our results disagree with those found in a recent study by Boioli et al. (2017) that suggests a pure climb deformation mechanism whereby seismic anisotropy due to LPO is not present in the lower mantle. It is worth to note, however, that the experimental crystal aggregates deformed by Girard et al. (2015) contain 30% of ferropericlase. If this is the case, it is unclear which other mechanism could explain the observed radial anisotropy anomalies, as grain-scale SPO, for example, does not produce significant anisotropy for penetrating subducting slabs. This is substantially higher than the 17% volume fraction found in pyrolite, with the consequence that bridgmanite could accommodate more deformation at the expense of the less abundant ferropericlase. Our LPO and SPO modelling have only been compared to one tomography model. Ferreira et al. (2019) showed a good level of agreement between the radial anisotropy patterns in SGLOBE-rani and in its contemporaneous *savani* model near slabs in the mid-mantle. Future comparisons with new anisotropy tomography models will be important to further test the geodynamical models.

On the other hand, our micro- and macro-geodynamic modelling also have their own limitations, which are mainly related to the rheological properties of mantle's minerals. In particular, relative slip system activities and the flow law parameters for mantle aggregates are still uncertain, especially in the lower mantle. For mid-mantle aggregates, we only consider the slip system with the lowest CRSS, however, the conclusions of this study may be different if two or more slip systems have the same CRSS. Given the existing large uncertainties of the CRSS of the different slip systems of bridgmanite, investigating the contributions of two or more slip systems remains an area for future work. Nevertheless, this is a common assumption used when the uncertainties of the CRSS of the different slip systems are significantly high (Tommasi et al., 2004), which is the case e.g. for bridgmanite. The contribution to lower mantle anisotropy from ferropericlase is also not taken into account in this study, which has been reported to be the dominant cause of anisotropy across and below the pressure-induced iron spin tran-

718 sition (below about 1,200 km depth) (Marquardt et al., 2009). There are also trade-offs
719 involved in the modelling, for example between the grain boundary mobility and the amount
720 of deformation absorbed by a mineral, such as bridgmanite. Future mineral physics ef-
721 forts in these directions will help us better constrain our geodynamic and mantle fab-
722 ric calculations.

723 It is worth noting other potential causes of anisotropy in the mid-mantle. At middle-
724 transition zone conditions, representative dense hydrous magnesium silicate (DHMS) phases
725 B and D remain stable. Superhydrous phase B remains less anisotropic than wadsleyite,
726 but in contrast phase D can be more anisotropic than wadsleyite (Mookherjee & Tsuchiya,
727 2015). However, these minor phases have a limited thermal stability field, being mostly
728 stable within the slab (e.g., Frost, 1999; Pamato et al., 2015). Thus, they are unlikely
729 to be responsible for large scale anisotropy. Further calculations of extrinsic anisotropy
730 associated with compositional heterogeneity by Faccenda et al. (2019) suggested mod-
731 est SPO throughout the mantle, apart from around the 660-km discontinuity, where anisotropy
732 could be possibly related to grain-scale shape-preferred orientation. An alternative mech-
733 anism to explain the observations is the alignment of fluid-pockets in the uppermost lower
734 mantle. (e.g., Holtzman & Kendall, 2010). These structures could be generated as a con-
735 sequence of the entrainment of hydrous TZ material at lower mantle depths by the slab.
736 As lower mantle minerals have very low hydrogen solubility, partial melting of the meta-
737 somatized mantle rocks could occur. A small fraction of subhorizontally aligned melt pock-
738 ets would be then sufficient to explain the positive radial anisotropy below stagnating
739 slabs.

740 **6 Conclusion**

741 Using 3-D petrological-thermo-mechanical modelling, three subduction models were
742 investigated: (i) slab stagnation at the bottom of the transition zone; (ii) slab trapped
743 in the ULM; and, (iii) slab penetration into the deep lower mantle. Mantle fabric cal-
744 culations were conducted to investigate possible contributions to radial anisotropy in the
745 mid-mantle from LPO and SPO mechanisms. The UTZ develops a disjointed mantle fab-
746 ric of fast-SV radial anisotropy when considering LPO, where induced poloidal flow re-
747 sets some anisotropy at phase transitions. The LTZ remains isotropic due to the cubic
748 symmetry and low single crystal anisotropy of ringwoodite and garnet aggregates. SPO
749 modelling shows fast-SH radial anisotropy anomalies in the majority of the transition

750 zone, which does not match the seismic tomography images. Fast-SH radial anisotropy
751 anomalies of $\sim+2\%$ appear in the ULM when considering the LPO mechanism, and grain-
752 scale SPO could also contribute to radial anisotropy at ULM depths, but not below deeply
753 penetrating slabs due to the small contrast in isotropic elastic moduli. These observa-
754 tions agree with seismic tomography images and with results from shear-wave splitting
755 analysis (Wookey & Kendall, 2004; Walpole et al., 2017). We tested nine potential slip
756 systems for bridgmanite, of which four lead to a good consistency between the mantle
757 fabric modelling and the seismic tomographic images: $[100](010)$, $[010](100)$, $[001](100)$
758 and $\langle 110 \rangle \{ \bar{1}10 \}$. Recent deformation experiments at ULM conditions imply the dom-
759 inant slip system of bridgmanite is $[001](100)$ (Tsujino et al., 2016), which was also high-
760 lighted by this study.

761 With global radially anisotropic tomographic models starting to show improved cor-
762 relation, comparing them with geodynamic modelling is proving a powerful tool to in-
763 terpret seismic anisotropy. Whilst there are still limitations in the geodynamic modelling,
764 in particular the fact that the rheology of the mantle is still largely unknown, this study
765 gives support to LPO being the preferred mechanism for the observed radial anisotropy
766 in the mid-mantle. Given that subducting slabs likely exert high stress in the surround-
767 ing mantle, the observed radial anisotropy anomalies suggest that dislocation creep is
768 active in the mid-mantle.

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 788 rani can be downloaded from the IRIS website at [http://ds.iris.edu/ds/products/](http://ds.iris.edu/ds/products/emc-sglobe-rani/)
 789 [emc-sglobe-rani/](http://ds.iris.edu/ds/products/emc-sglobe-rani/).

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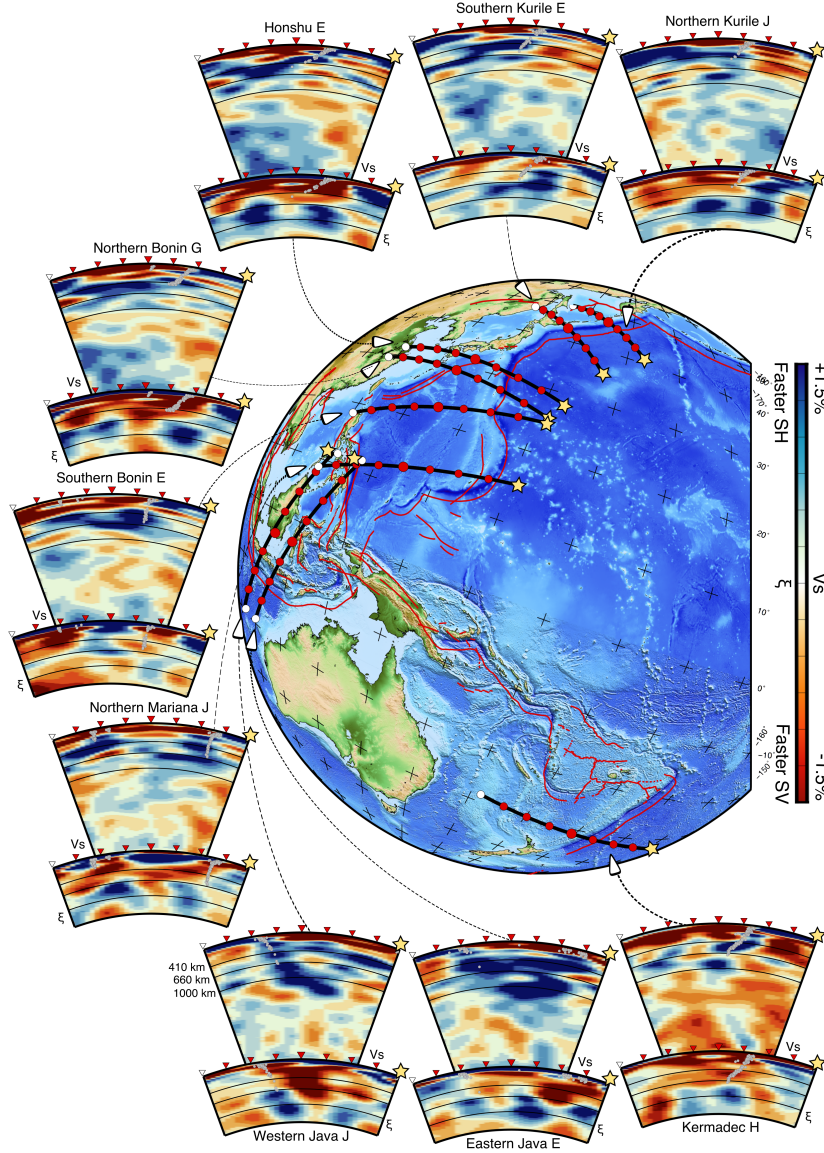


Figure 1. Cross-sections of perturbations in Voigt average and radially anisotropic structure of subduction zones in the Western Pacific. Cross-sections of the global tomography model SGLOBE-rani beneath Northern Kurile, Southern Kurile, Honshu, Northern Bonin, Southern Bonin, Northern Mariana, Western Java, Eastern Java and Kermadec. V_S denotes perturbations in the Voigt average model ($V_{Voigt}^2 = \frac{2V_{SV}^2 + V_{SH}^2}{3}$) with respect to PREM (Dziewonski & Anderson, 1981) down to the core-mantle boundary and ξ denotes perturbations in radial anisotropy ($\xi = \frac{V_{SH}^2}{V_{SV}^2}$) down to 1,400 km depth (below this depth the resolution is more limited, see Chang et al., 2015). Focal depths from EHB data (Engdahl et al., 1998) with an upper bound of 60 km are superimposed on the cross-sections as grey circles. The depths of 410 km, 660 km and 1,000 km are represented by solid black lines. For reference, we use the same geographical locations and codes (Cross-sections Northern Kurile J, Southern Kurile E, Honshu E, Northern Bonin G, Southern Bonin E, Northern Mariana J, Western Java J, Eastern Java E and Kermadec H) as in Fukao and Obayashi (2013).

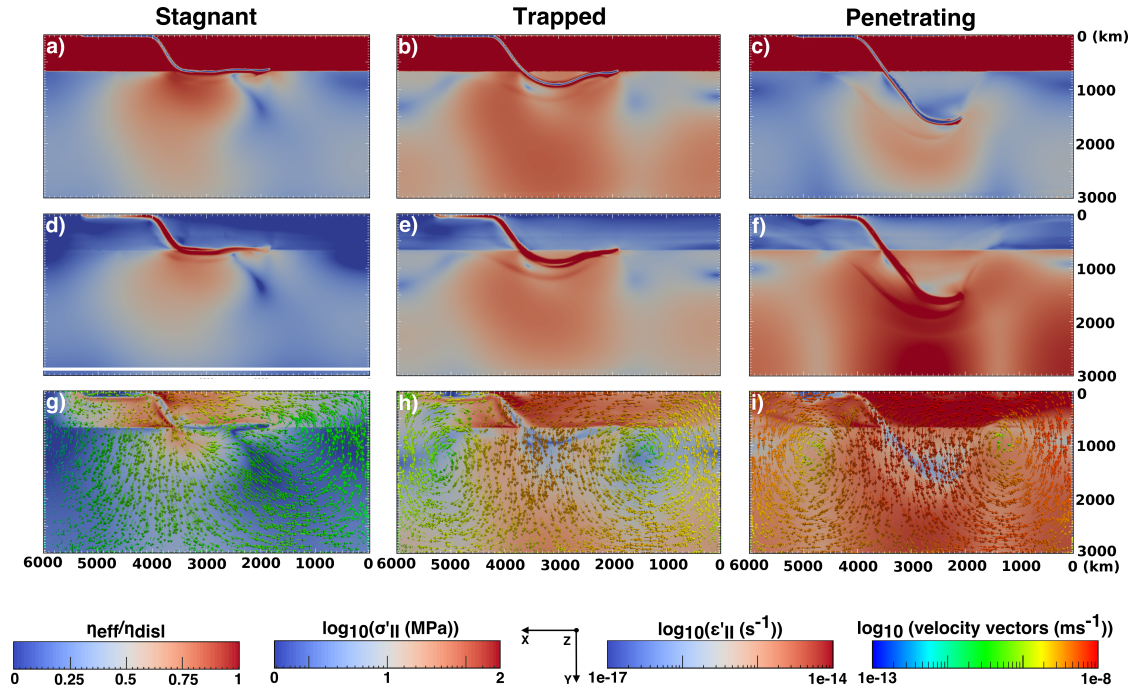


Figure 2. Fraction of deformation accommodated by dislocation creep ($\frac{\eta_{eff}}{\eta_{disl}}$; top row), second invariant of the stress tensor (σ'_{II} ; middle row) and mantle velocity vectors overlain upon the second invariant of the strain tensor (ϵ'_{II} ; bottom row) for stagnant (first column), trapped (second column) and penetrating (third column) slab models.

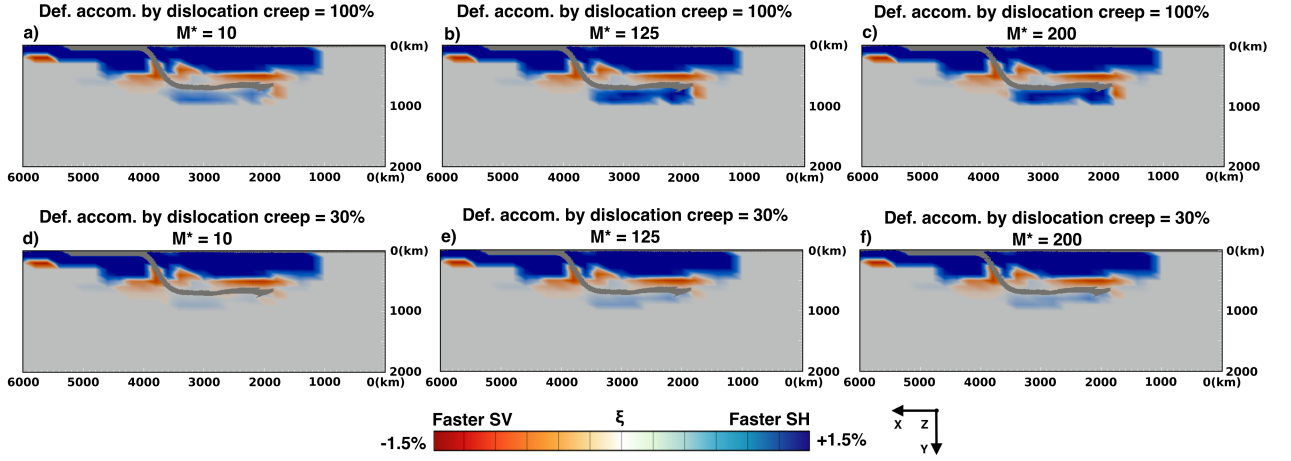


Figure 3. The effects of mantle fabric parameters on radial anisotropy. From left to right: the effect of increasing the grain boundary mobility (M^*) to 10 (a, d), 125 (b, e) and 200 (c, f) respectively, where dislocation creep accommodates 100% of the bulk deformation in the lower mantle. From top to bottom: the effect of changing the amount of the bulk deformation accommodated by dislocation creep in the lower mantle from 100% to 30%. All images show radial anisotropy produced by significantly deformed aggregates ($\ln\left(\frac{FSE_{max}}{FSE_{min}}\right) > 0.5$) and interpolated to a grid with spacings $200 \times 100 \times 200$ km (x, y, z coordinates, respectively). The slab is coloured in grey.

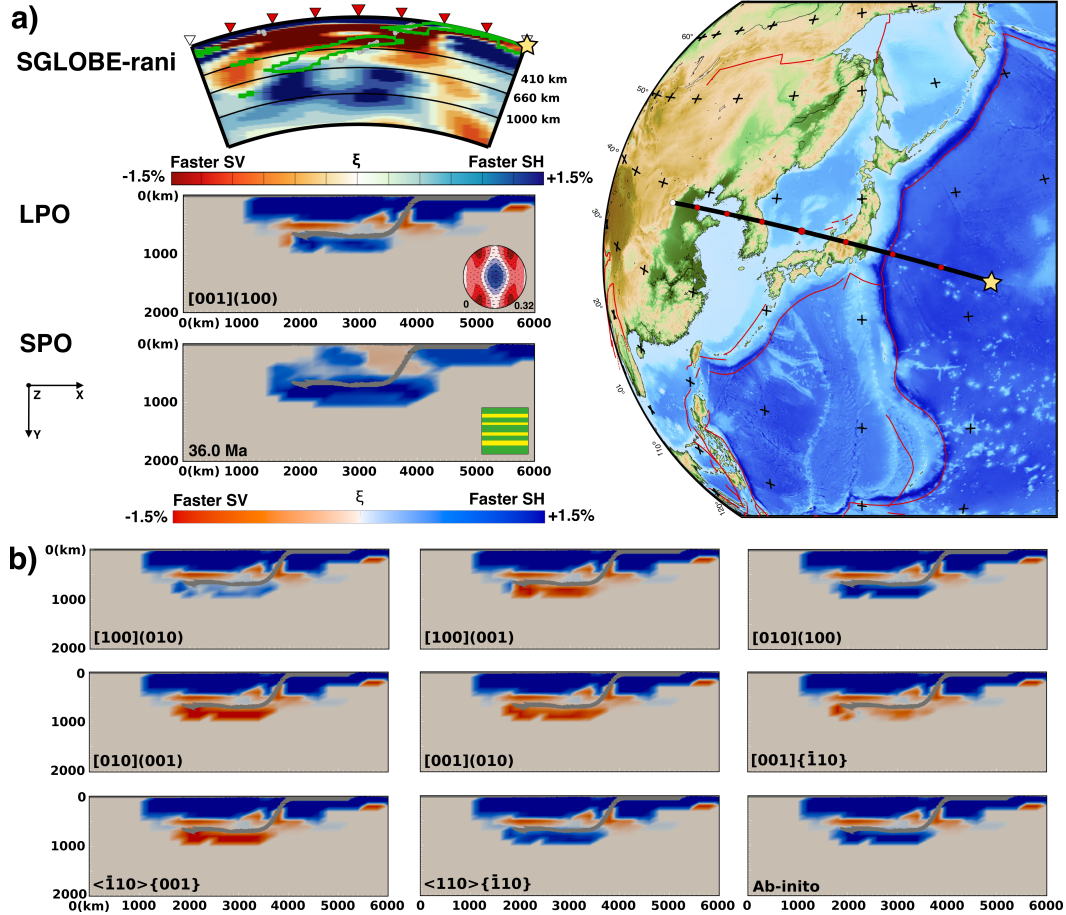


Figure 4. Comparison of seismic tomography with geodynamic modelling for a slab stagnating at the bottom of the transition zone. (a) Comparison between cross-sections of radially anisotropic structure from SGLOBE-rani beneath Honshu and results from geodynamic simulations for a slab stagnating at the bottom of the transition zone. The top image shows a cross-section of perturbations in radial anisotropy ($\xi = \frac{V_{SH}^2}{V_{SV}^2}$) from SGLOBE-rani at Honshu (cross-section Honshu C from Fukao & Obayashi, 2013). The green contours correspond to an outline of the Voigt average fast anomalies from SGLOBE-rani, in the range of 1.25-1.5%. This contour is based on observations and therefore aids in the understanding of the relationship between the slab and anisotropy anomalies. Below this is the computed LPO due to dislocation creep for the [001](100) bridgmanite slip system. We show in the bottom right hand corner the corresponding dV_S (km/s) calculated at lower mantle P-T conditions for a 80:20=Brd:Fp mixture deformed in horizontal simple shear ($\xi=1.0$); red is minimum; blue is maximum. Cubic MgO crystals are random. The bars in the dV_S maps indicate the polarisation of the fast shear wave component for different propagation directions. Below this is a geodynamic image showing grain-scale SPO assuming a perfectly layered medium for a pyrolitic medium. (b) LPO due to dislocation creep for the nine slip systems of bridgmanite where each slip system is set five times weaker than the rest, ab-initio calculations are from Mainprice et al. (2008). All geodynamic images have a resolution of $200 \times 100 \times 200$ km (x, y, z coordinates, respectively) and show radial anisotropy produced when the minimum $\ln\left(\frac{FSE_{max}}{FSE_{min}}\right) > 0.5$.

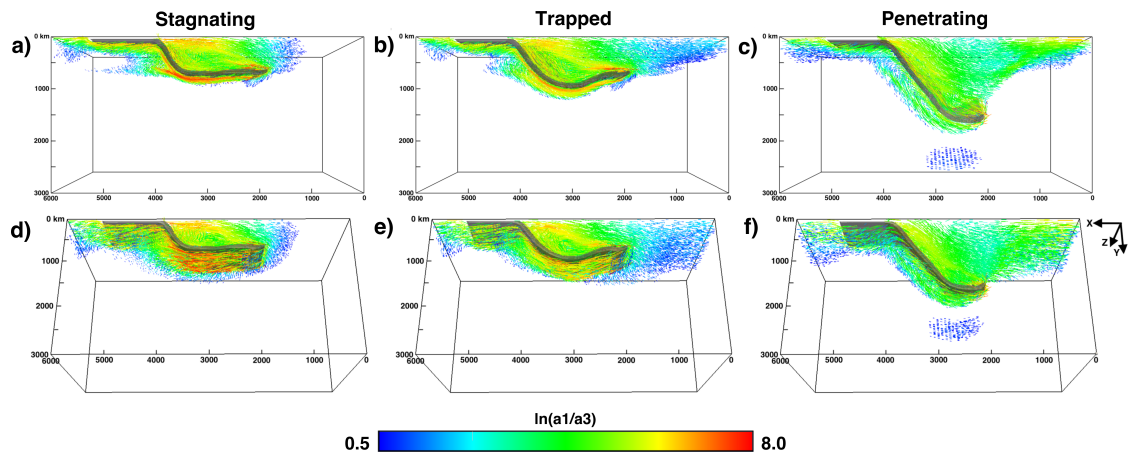


Figure 5. 3-D representations of the finite strain ellipsoid (FSE) for the three subduction scenarios considered in this study. The orientations of the maximum (a_1) FSE axis for the mantle aggregates where $\ln\left(\frac{a_1}{a_3}\right) > 0.5$ are shown, providing a clear LPO. The bar length is proportional to $\ln\left(\frac{a_1}{a_3}\right)$, as well as the colour scale. The slab is coloured in grey.

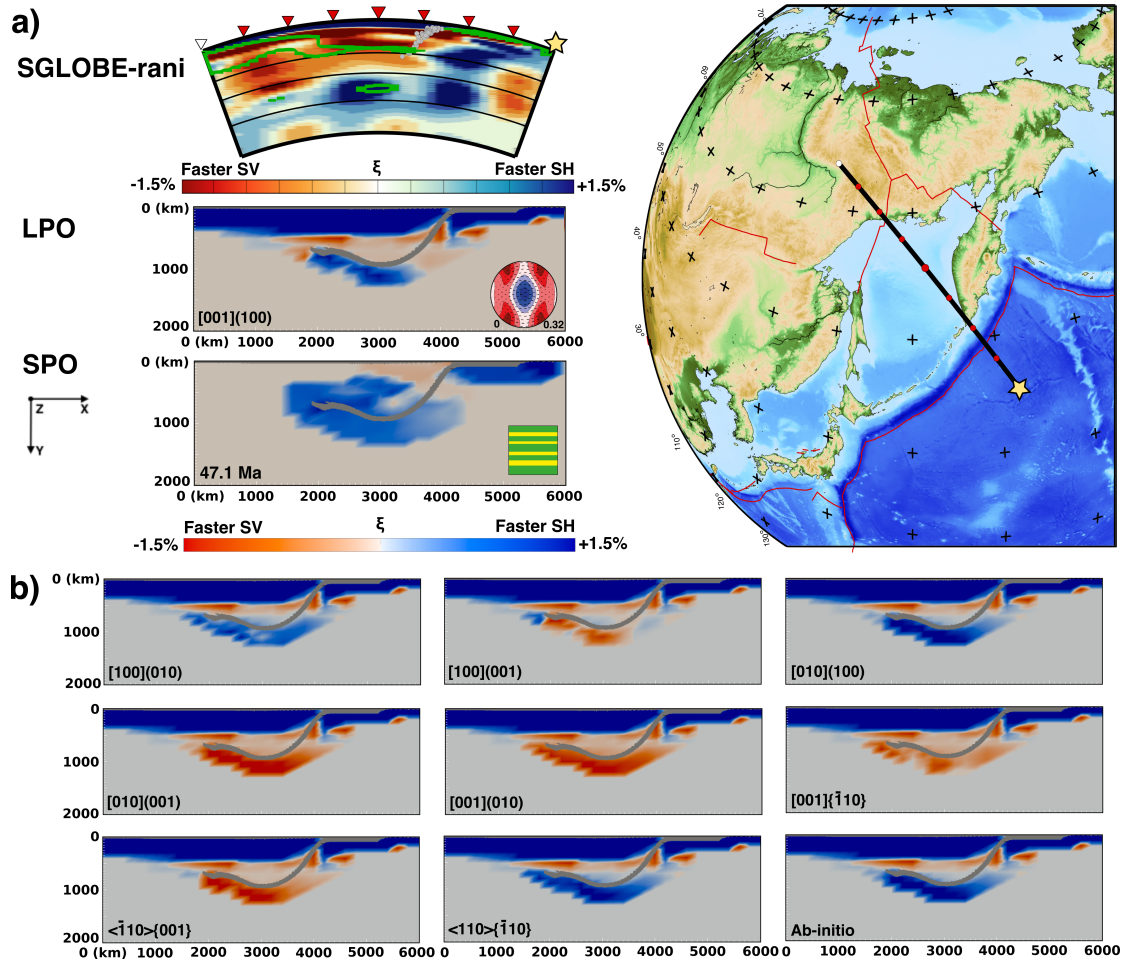


Figure 6. Comparison of the seismic tomography model SGLOBE-rani (Chang et al., 2015) with geodynamic modelling results for a slab trapped in the uppermost lower mantle. Figure details are the same as in Figure 4, but for trapped slab. The seismic tomography image is from Northern Kurile (cross-section Northern Kurile J from Fukao & Obayashi, 2013).

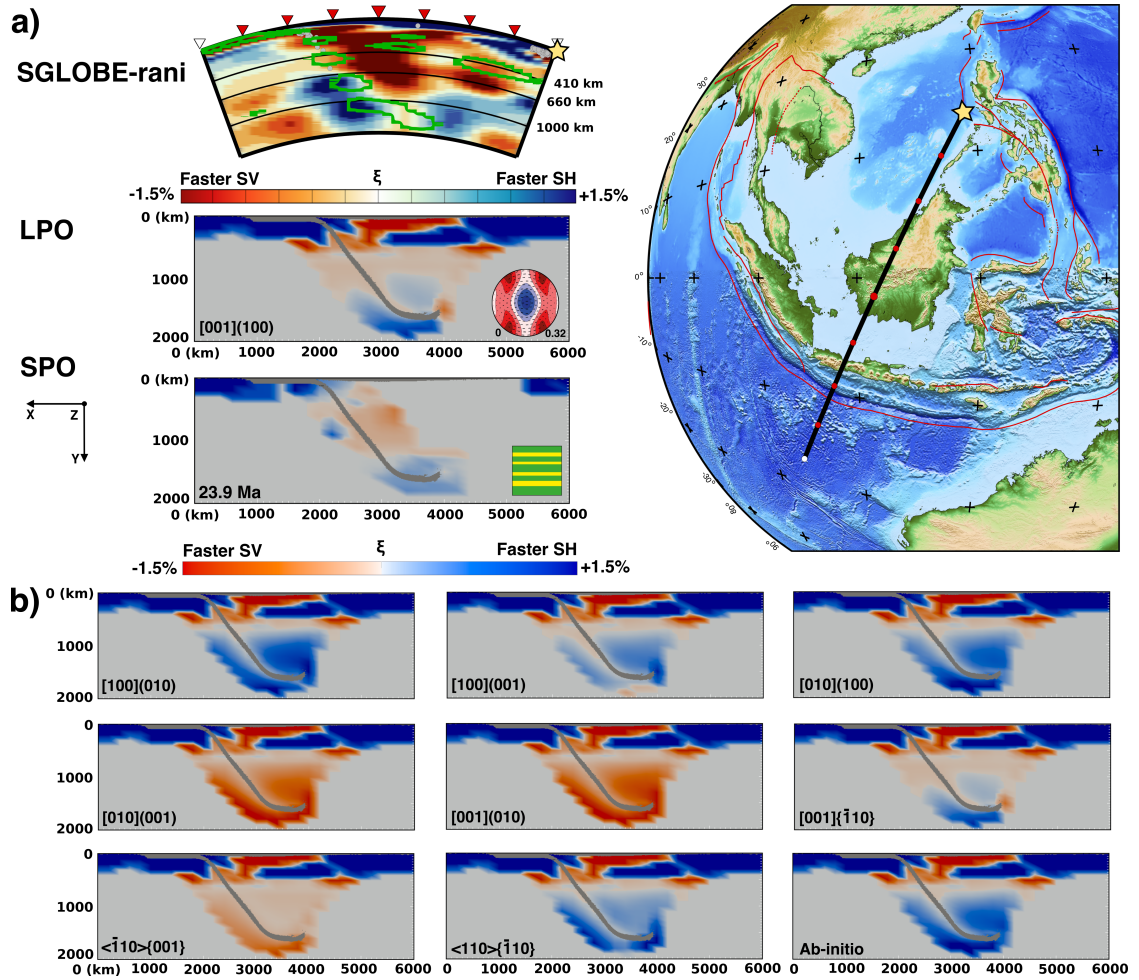


Figure 7. Comparison of the seismic tomography model SGLOBE-rani (Chang et al., 2015) with geodynamic modelling results for slab penetration deep into the lower mantle. Figure details are the same as in Figures 4 and 6, but for a deeply penetrating slab. The seismic tomography image is from Western Java (cross-section Western Java I from Fukao & Obayashi, 2013).

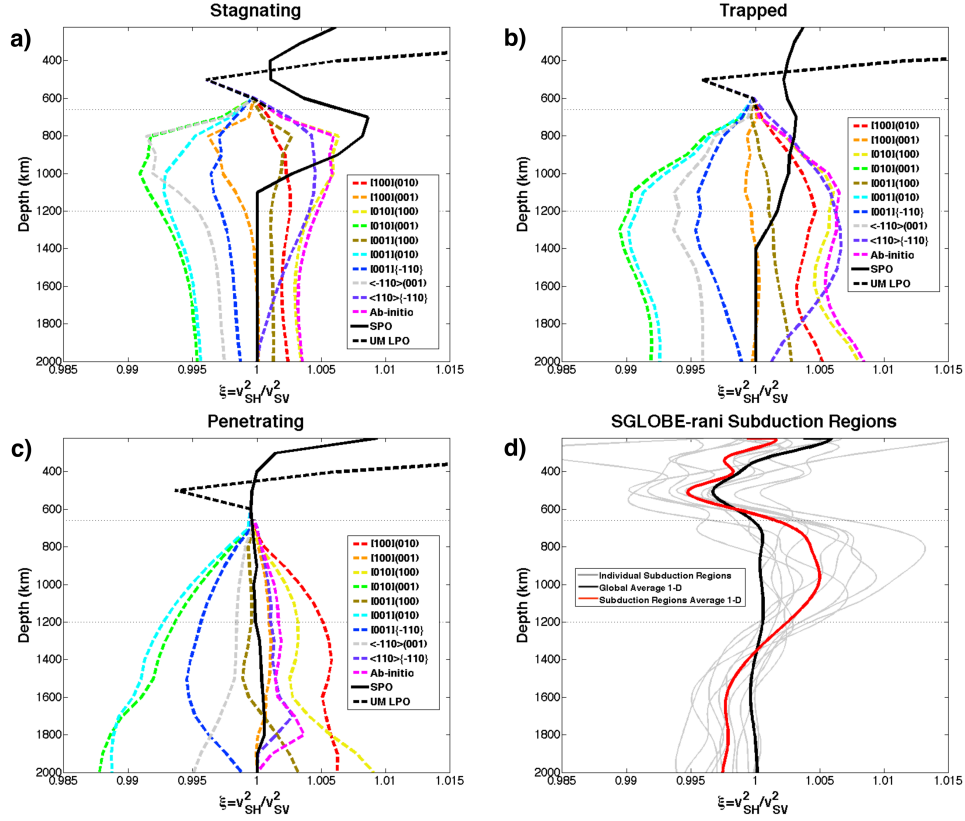


Figure 8. Comparisons of 1-D average radial anisotropy ($\xi = \frac{v_{SH}^2}{v_{SV}^2}$) depth profiles from the mantle fabrics simulations (a-c) and from the tomographic model SGLOBE-rani (d) (Chang et al., 2015). The mantle fabric 1-D profiles represent mean values of anisotropy present in the whole model domain as shown in Figures 4, 6 and 7. For LPO, the nine possible bridgmanite slip systems and ab-initio calculations from Mainprice et al. (2008) are plotted, along with SPO calculations. The results are shown for the subduction scenarios of slab stagnation at the bottom of the transition zone (a), slab trapped in the uppermost lower mantle (b) and slab penetration deep into the lower mantle (c). Panel (d) shows depth-dependent 1-D average profiles of radial anisotropy in the model SGLOBE-rani for eleven subduction zones considered: Northern Kurile, Southern Kurile, Honshu, Northern Bonin, Southern Bonin, Northern Mariana, Eastern Java, Western Java, Kermadec, Northern Central America, Northern Peru; their averages are calculated by considering all points in a $2^\circ \times 2^\circ$ grid of the tomographic model SGLOBE-rani that align with all five profiles of each subduction region as defined in Fukao and Obayashi (2013) in grey, their average (red) and the global 1-D average (black). Horizontal dashed lines at 660 km and 1,200 km are used to represent the depth bounds of the uppermost lower mantle. We choose not to interpret anisotropy beneath $\sim 1,400$ km in (d) due to the poor balance between SV- and SH-sensitive travel-time data in the body-wave datasets used in current tomography models to constrain lower mantle structure (e.g., Chang et al., 2014, 2015).