

## How many mutations does it take to make a uveal melanoma?

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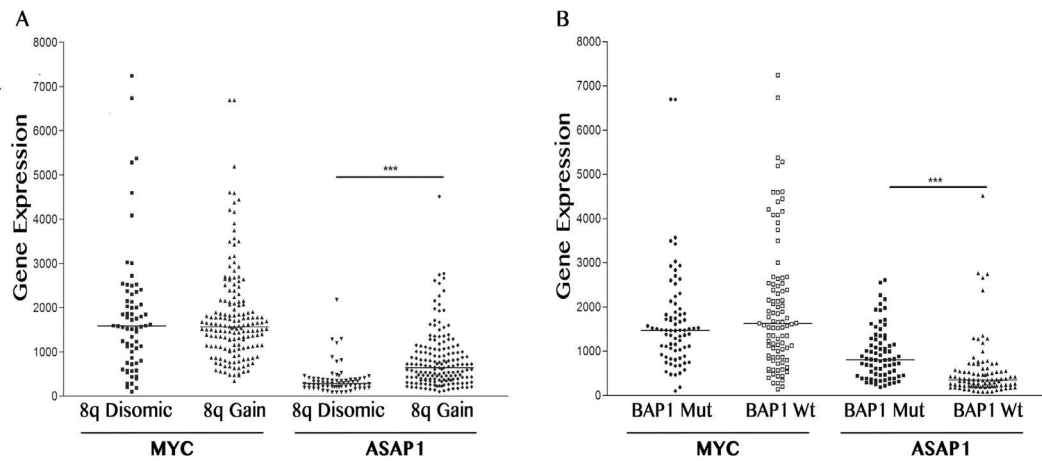
Uveal melanoma (UM) is a rare cancer that affects the choroid and, less frequently, the ciliary body or the iris (for recent reviews see [1-3]). Despite a profound knowledge of the oncogenic mechanisms behind UM tumorigenesis and despite an accurate cytogenetic and molecular prognosis, only limited advances have been made in UM therapy. Therapies targeting mitogen-activated protein (MAP)-kinases have largely failed [4] and immune checkpoint blockers have met with limited success [5,6]. The latter is likely explained by the extremely low mutational burden of 0.5 - 1.1 mutations per megabase [7,8] which translates to 17 [8] to 30 [9] non-synonymous mutations in protein coding sequences per exome and therefore to the generation of few immunogenic neo-antigens. The lack of response to therapies that target downstream effectors of the oncogenic mutations is probably due to the concomitant GNAQ/11 dependent activation of the yes associated protein 1 gene, *YAP1* [10,11] that is not inhibited by MAP-kinase inhibitors.

Uveal and cutaneous melanoma are both generated through the transformation of neural crest-derived melanocytes, yet they show different mutations and chromosomal aberrations that drive oncogenesis, a very different mutational burden, low in uveal and high in cutaneous melanoma [12], and different mutational signatures indicating a different etiology [13].

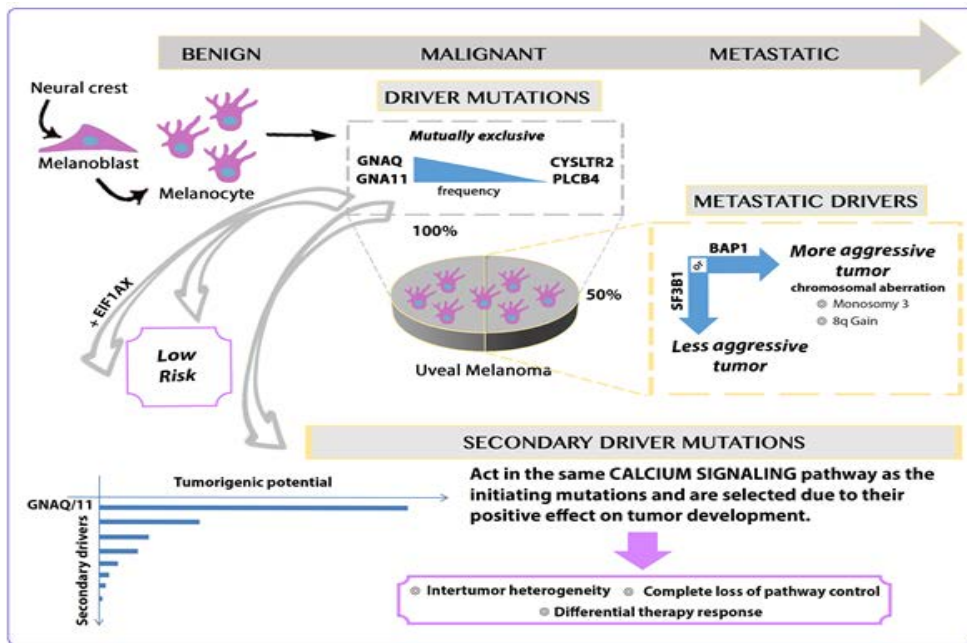
UM is driven by hot spot oncogenic mutations that affect the two G-protein  $\alpha$ -subunit Q and 11 genes (*GNAQ* [14], *GNAI1* [15]) or, much less frequently [16], the G-protein coupled receptor cysteinyl leukotriene receptor 2 gene (*CYSLTR2* [17]) or the phospholipase C beta 4 gene (*PLCB4*) [7]. Approximately one third of the tumors also carry mutations in the BRCA1-associated protein 1 gene (*BAP1*) [16], a tumor suppressor gene whose function is depleted through mutation of one and loss of the other allele [18]. Alternatively, hotspot mutation in the splicing factor 3b subunit 1 gene (*SF3B1*) [19,20] or the serine and arginine rich splicing factor 2 (*SRSF2*) gene [9,21] likely affect splicing of various genes, thereby creating oncogenic splice variants [22-24]. Interestingly, the splicing factor mutations in UM are different from those observed in the same genes in blood cancers [25,26]. *BAP1* is associated with a high [18] and *SF3B1* with an intermediate metastatic risk [27]. Cases without any mutation of these two genes have a low metastatic risk. Further frequent gain-of-function mutations in the 5' part of the coding sequence of the eukaryotic translation initiation factor 1A X-linked gene (*EIF1AX*) are associated with a low risk of metastasis [20,28]. These mutations likely support a specific tumor development path that does not lead to a metastatic potential.

Chromosomal copy number alterations, mainly monosomy of chromosome 3 and amplification of chromosome 8q, are hallmarks of UM at high risk of metastasis. The analysis of the mutations, copy number alterations, DNA-methylation and RNA expression performed by The Cancer Genome Atlas consortium allows for the identification of two subtypes with different metastatic risks that are characterized by profound differences at all levels of molecular characterization [8]. Each subtype can further be subdivided albeit with less robust discriminators [29-31,8]. The molecular classification is confirmed by data fusion techniques that have been developed for the integration of multi-domain molecular data [32].

*GNAQ*, *GNAI1*, *CYSLTR2* mutations are, with very few exceptions, mutually exclusive [16,9] and are likely to be cancer-initiating mutations. The metastasis drivers *BAP1* and *SF3B1* are also mutually



**Figure 1: Expression analysis of MYC and ASAP1.** Gene expression data were collected from three publicly available cohorts of primary UM cases [45-48,8] and merged as previously described [13]. The cases for which copy number alteration data and somatic mutation data were available were interrogated for the expression of MYC and ASAP1. A – MYC and ASAP1 expression in cases with and without amplification of chr8q, B – MYC and ASAP1 expression in cases with and without BAP1 mutations. Gene expression data are indicated as arbitrary intensity units, \*\*\* =  $p < 0.001$ ; the horizontal line indicates the mean value.



**Figure 2:** Graphical abstract of the concept of secondary drivers.

exclusive with, however, more frequent exceptions [9,16]. *PLCB4* mutations have also been described to occur in a mutually-exclusive manner with the other initiating mutations yet the isoforms *PLCB1* and *PLCB2* have been found in *GNAQ*-mutated cases [13]. As a consequence, low risk UM carry a single recurrent mutation (*GNAQ*, *GNA11*, *CYSLTR2* or *PLCB4*), with in some cases, in addition a mutation in *EIF1AX*, and high risk cases carry two recurrent mutations, one of the former four and either *BAP1* or *SF3B1*.

The combination of a hotspot mutation in a G-protein  $\alpha$ -subunit and a protein-truncating mutation in a tumor suppressor gene would

thus be sufficient to form a highly aggressive metastasized tumor that is resistant to chemotherapy, targeted therapy and immune checkpoint blockers and causes the death of the patient within a year of diagnosis of metastases. In favor of this hypothesis comes a genetically engineered mouse model, where the expression of a transgenic *GNAQ* gene carrying the Q209L mutation is driven by a melanocyte inducing transcription factor, MITE, responsive promoter. In these animals, the single mutation is apparently sufficient to drive uveal melanomagenesis with high penetrance and short latency despite low expression levels of mutated *GNAQ* [33]. 94% of these animals even presented lung metastases [33].

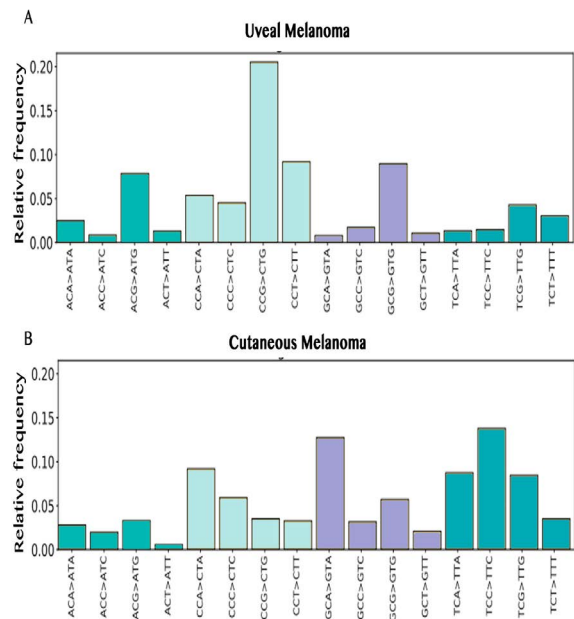
However, when the expression of mutated GNAQ is induced in adult animals it is not sufficient to generate uveal melanomas [33] indicating that additional events are required.

We envisage five possible scenarios: i) at present, there are data on sequenced exomes from 139 UM [9] and sequencing many more cases might reveal other recurrent mutations that contribute to tumorigenesis and/or metastasization; ii) there might be frequent mutations that are not evidenced by exome sequencing, such as mutations in regulatory elements or non-coding RNAs; iii) copy number alterations, especially those affecting so far unknown elements on chromosome 3 in addition to *BAP1* mutations might be necessary; iv) several of the genes that showed mutations in only a few or even a single case might act as secondary drivers; v) a combination of the above.

Scenario #1 can be tested by continuing to sequence the exomes of UM. Scenario #2 can be tested by whole genome sequencing; yet, the first paper on whole genome sequencing did not report any specific non-coding mutations [34]. Scenario #3 offers some obvious clues given the high frequency and the high impact of monosomy of chromosome 3, yet the molecular players in addition to *BAP1* have not been identified and, given the considerable effort dedicated to this aspect, are apparently difficult to identify. The sequencing studies would anyway indicate that the missing actor on chromosome 3 is not linked to a somatic mutation but to gene dosage effects. The *MYC* Proto-Oncogene has been thought to account for the dismal effects of chromosome 8q amplification; yet, there is no direct evidence for this claim, which has been challenged by a study indicating the *ArfGAP With SH3 Domain, Ankyrin Repeat And PH Domain 1* gene, *ASAP1* (also named *DDEF*), plays this role [35]. In fact, in our merged gene expression dataset [13], *ASAP1* but not *MYC* is significantly associated with chr8q gain and *BAP1* mutation. Given the scattered distribution, even *ASAP1* is unlikely to explain all of the chr8q amplification effect (Figure 1).

We addressed the fourth scenario by analyzing all the mutations identified by exome sequencing in 139 UM (Figure 2). Our data show that secondary mutations are significantly enriched in the calcium signaling and other pathways in which also the four initiating mutations (*GNAQ*, *GNA11*, *CYSLTR2* and *PLCB4*) are annotated. Almost all nodes of the Kyoto Encyclopedia of Genes and Genomes (KEGG) calcium signaling pathway (map04020) contain at least one gene that is mutated in at least one of the 139 UM. This is highly significant (adjusted  $p < 0.0004$ ) despite the fact that gene annotations are extremely incomplete and biased towards genes for which there is more information available. A similar analysis done by a literature search and homology analyses identifies many more genes that are likely to act in the same pathway as the initiating mutations (our unpublished observation). The analysis of potential secondary drivers also led to the identification of several oncogenic hot spot mutations and heterozygous tumor suppressor gene-truncating mutations [13]. The expression values of many of the genes carrying secondary mutations were significantly associated with disease free survival [13].

Unfortunately, we did not have access to the DNA of the cases analyzed by exome sequencing and were therefore unable to validate these mutations by Sanger sequencing. We followed up one of these mutations, in the protein tyrosine kinase  $2\beta$  gene (*PTK2B*). In addition to the two mutations identified by exome sequencing,



**Figure 3: Mutational signatures.** Mutational signatures were calculated applying sparse dictionary learning [43] for uveal (A) and cutaneous (B) melanoma considering the actually mutated, the preceding and the following nucleotides. For each melanoma type the part of the most informative signature that shows the frequency of the mutated triplets with a central (mutated) C is shown.

we could identify two other ones. Two mutations are in the kinase domain and the other two are in the focal-adhesion-targeting domain; yet, a potential oncogenic effect of these mutations is not evident [13].

These observations lead to important conclusions: i) our present distinction of driver and passenger mutations is probably too simple. In addition to strong primary drivers there are many other genes carrying mutations that can affect gene function. If this effect is negative, these mutations are selected against, if the effect is neutral these mutations are carried on as passenger mutations, and if the effect is positive they will be selected. Genetic germline variants influence cancer risk, with very few variants showing a strong effect [36] and many others having very limited yet measurable effects [37]. If this is translated to somatic mutations, we would normally expect very few primary drivers with a strong effect on tumorigenesis followed by secondary drivers that are selected due to their variably positive effect on tumor development; ii) secondary drivers generate inter tumor heterogeneity and might determine the complex therapy responses observed in the clinics since each tumor contains different secondary drivers in addition to a common primary driver; iii) in UM, secondary drivers appear to occur in the same pathway as the primary drivers, in the calcium-signaling pathway (Figure 2). If confirmed, this could indicate that a single mutation is not sufficient to entirely derange an important intracellular pathway, only a second hit in the same pathway determines complete loss of control. This makes sense biologically since the hypothesis that a single mutation is sufficient, likely determines an exaggerated cancer risk, not observed in the real world.

The hypothesis of secondary driver mutations in the same pathway as the primary driver is sustained by a recent analysis of

35 metastatic UM by sequencing a panel of 500 genes commonly involved in cancer. In this study, several additional mutations in genes encoding for factors acting in the G-protein signaling pathway were identified although the analysis of only 35 cases did not allow for enrichment analyses and the limited complexity of the gene panel might have missed many mutations [38].

We also addressed UM mutations in a more general manner by analyzing mutational signatures. Uveal and cutaneous melanoma both show a preponderance of C>T transitions yet they occur in different sequence contexts. Two methods to classify mutation patterns have been developed, and considering the actually mutated nucleotide and one or two others, yield 14 [39] or 96 [40] possibilities. Since each tumor shows more than one of these possibilities, they must be collapsed into a signature. The algorithms used to do this are to some extent arbitrary and can be heuristically considered for the potential to identify signatures linked to specific tumors and/or specific etiological factors [40]. Alexandrov's algorithm correctly identifies a signature associated to exposure to ultraviolet light that is active in cutaneous [41] but not uveal [8] melanoma indicating that the latter is not caused by UV light, which in fact is absorbed by the vitreous body [42]. However, Alexandrov's algorithm does not identify any signature that is clearly active in UM [8]. We therefore applied an alternative approach to the calculation of mutational signatures based on sparse dictionary learning [43]. Two of these signatures capture more of the mutational spectrum of UM than Alexandrov's signatures do, due to the fact that the main consensus of NCG (where C is the mutated nucleotide, N= any nucleotide) was expanded to NCG and CCN. The two UM signatures are not active in cutaneous melanoma, further sustaining the hypothesis of different etiological factors being at work (Figure 3).

If the double hit in the oncogenic pathway can be confirmed for UM there would be no reason to assume that other cancers behave differently. The extraordinarily low mutational burden of UM makes this analysis easier, yet it should be possible to see the same mechanism at work in other cancers. But does this affect therapy? Mechanisms of resistance to targeted therapy are manifold [44]. Activation of upstream or downstream-signaling nodes and parallel-signaling pathways to activate a common downstream pathway are among these mechanisms [44] and are prone to secondary driver mutations. As a consequence, we are proposing to continue to sequence the exomes of primary and metastatic UM since a large case collection will eventually highlight secondary drivers of low frequency that will allow for a fine dissection of the oncogenic pathway driven by *GNAQ* and *GNA11*. Future clinical trials with targeted therapy should comprise exome sequencing in order to allow for a correlation between rare responses and specific mutational patterns.

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