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**The effects of a robot-assisted arm training plus hand functional electrical stimulation on recovery after stroke: a randomized clinical trial.**

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1 **The effects of a robot-assisted arm training plus hand functional electrical stimulation on**  
2 **recovery after stroke: a randomized clinical trial.**

3 **Abstract**

4 **Objective:** To compare the effects of unilateral, proximal arm robot-assisted therapy combined  
5 with hand functional electrical stimulation to intensive conventional therapy for restoring arm  
6 function in subacute stroke survivors.

7 **Design:** This was a single blinded, randomized controlled trial. **Setting:** Inpatient Rehabilitation  
8 University Hospital. **Participants:** Forty patients diagnosed with ischemic stroke (time since stroke  
9 <8 weeks) and upper limb impairment were enrolled. **Interventions:** Participants randomized to the  
10 experimental group received 30 sessions (5 sessions/week) of robot-assisted arm therapy and hand  
11 functional electrical stimulation (RAT + FES). Participants randomized to the control group  
12 received a time-matched intensive conventional therapy (ICT). **Main outcome measures:** The  
13 primary outcome was arm motor recovery measured with the Fugl-Meyer Motor Assessment.  
14 Secondary outcomes included motor function, arm spasticity and activities of daily living.  
15 Measurements were performed at baseline, after 3 weeks, at the end of treatment and at 6-month  
16 follow-up. Presence of motor evoked potentials (MEPs) was also measured at baseline.

17 **Results:** Both groups significantly improved all outcome measures except for spasticity without  
18 differences between groups. Patients with moderate impairment and presence of MEPs who  
19 underwent early rehabilitation (<30 days post stroke) demonstrated the greatest clinical  
20 improvements.

21 **Conclusions:** A robot-assisted arm training plus hand functional electrical stimulation was no more  
22 effective than intensive conventional arm training. However, at the same level of arm impairment  
23 and corticospinal tract integrity, it induced a higher level of arm recovery.

24

25 **Keywords:** rehabilitation; stroke; robotics; transcranial magnetic stimulation; upper extremity

26

27 **Abbreviations:**

28 ANOVA: analysis of variance

29 BBT: Box and Block Test

30 BI: Barthel Index

31 FES: functional electrical stimulation

32 FMA-UE: Fugl-Meyer Assessment – Upper Extremity

33 ICT: intensive conventional therapy

34 MAS: Modified Ashworth Scale

35 MCID: minimal clinically important difference

36 MEPs: motor-evoked potentials

37 OP: opponent muscle

38 OSP: optimal scalp position

39 RAT: robot-assisted therapy

40 rMT: resting motor threshold

41 TMS: transcranial magnetic stimulation

42 WMFT: Wolf Motor Function Test

## 43 INTRODUCTION

44 The first 10 to 12 weeks post-stroke represent the time window when most of the functional arm  
45 recovery occurs.<sup>1,2</sup> Recently, stroke rehabilitation has recognized the importance of a timely  
46 intensive, task specific therapy to foster motor recovery;<sup>2,3</sup> however evidence supporting the  
47 superiority of one intervention over another is very scarce in subacute stroke clinical trials,<sup>4</sup>  
48 probably due to the spontaneous functional recovery that acts as prime confounder in this  
49 rehabilitation phase. The use of technology-aided interventions, such as robotics and electrical  
50 stimulation devices has been rapidly introduced into clinical settings with the aim of increasing  
51 repetitions of motor tasks and promoting the restoration of motor function after stroke. Both arm  
52 robotics and hand FES devices have been previously tested in stroke survivors, providing mixed  
53 results.<sup>5-9</sup> Arm robotics failed to demonstrate its superiority on task-oriented training, usual therapy  
54 or even if applied at a very early stage.<sup>5-7</sup> A more comprehensive recommendation was given in a  
55 recent update of the Cochrane review concluding that arm robotics was effective in increasing  
56 activities of daily living, even in the subacute stroke subgroup.<sup>10</sup> Jonsdottir et al.<sup>8</sup> reported a  
57 beneficial effect of FES in addition to a task-oriented approach and it seems to improve activities of  
58 daily living in the subacute phase after stroke.<sup>9</sup> Nevertheless, a combination of the two interventions  
59 using commercialized devices had not been tested so far.

60 Considering the positive effects on motor recovery of arm robotics and hand FES, we explored the  
61 effects of the combination of a shoulder-elbow robotic device<sup>11</sup> with a hand FES neuroprosthesis on  
62 the whole arm recovery.<sup>12,13</sup> The primary aim of this study was to test the hypothesis that a proximal  
63 arm robot-assisted therapy with the additional use of hand functional electrical stimulation (RAT +  
64 FES) during the subacute phase of rehabilitation could have higher benefit, compared with intensive  
65 conventional therapy (ICT) alone, in arm and hand function in subacute stroke patients. Moreover,  
66 we explored the role of several factors on arm motor recovery after rehabilitation and at 6-month  
67 follow-up.

## 68 METHODS

69 This was a prospective, randomized, single-blinded, control study. This trial was approved by local  
70 Ethics Committee and a written consent was provided. All procedures were conducted according to  
71 the ethical standards of the Declaration of Helsinki. The trial protocol has been registered on  
72 ClinicalTrials.gov (NCT02267798). The data that support the findings of this study are available  
73 from the corresponding author upon reasonable request. Inclusion criteria were: males and females,  
74 aged 18-80 years with diagnosis of first, single unilateral ischemic stroke verified by brain imaging  
75 <8 weeks. To be enrolled in the study patients had to have an upper limb motor impairment defined  
76 by an upper extremity score >11 and <55 on the Fugl-Meyer Assessment (FMA-UE). Patients were  
77 excluded if they presented with neurological conditions in addition to stroke that may affect motor  
78 function, other medical conditions likely to interfere with the ability to safely complete the study  
79 protocol, impaired cognitive functioning (score <21 on the Mini Mental Status Examination), or  
80 severe upper-limb pain defined as >7 on the Visual Analogue Scale. Participants were randomized  
81 to the two groups through a block randomization approach. The randomization scheme was  
82 generated using the website <http://www.randomization.com>. The random list was managed by an  
83 administrator external to the research groups to prevent selection bias.

84 The experimental group received 1 hour and 40 minutes of hand FES+ RAT for each session (5  
85 times/week over 6 weeks); the control group received the same amount of conventional therapy.  
86 The primary outcome for this study was to detect arm motor recovery. We chose the Fugl-Meyer  
87 motor Assessment score, which is the most sensitive to therapeutic change early after stroke in  
88 stroke patients with arm paresis.<sup>14-16</sup> The score ranges from 0-66. Moreover, arm motor function  
89 was tested with the Wolf Motor Function Test (WMFT) that encompasses single or multiple joint  
90 movements and functional tasks and that has been successfully used in subacute and moderate to  
91 severely affected stroke patients.<sup>17-20</sup> Gross motor function was evaluated using the Box and Block  
92 Test (BBT) where the number of blocks that can be transported from one compartment of a box to  
93 another compartment within 1 minute is counted.<sup>21</sup> Arm spasticity was assessed with the Modified  
94 Ashworth Scale (MAS).<sup>22</sup> Furthermore, ADL independency was measured with the Barthel Index

95 (BI).<sup>23</sup> All patients were evaluated before intervention (T0), after 3 weeks (T1), at the end of  
96 treatment (T2) and at 6-month follow-up (T3) by an investigator blinded with regards to the  
97 treatment group.

98 The presence/absence of TMS-induced motor-evoked potentials (MEPs) was measured as a  
99 possible prognostic factor of recovery at baseline. Focal TMS was performed by means of a 70-mm  
100 figure-of-8 stimulation coil (standard Magstim plastic-covered coil), connected to a Magstim Bistim  
101 (The Magstim Company, Carmarthenshire, Wales, UK)<sup>a</sup>, producing a maximum output of 2 T at the  
102 coil surface (pulse duration, 250  $\mu$ s; rise time, 60  $\mu$ s). The resting motor threshold (rMT), defined as  
103 the lowest stimulus intensity able to evoke 5 of 10 MEPs with an amplitude of at least 50  $\mu$ V, was  
104 determined by holding the stimulation coil over the optimal scalp position (OSP), defined as the  
105 position from which MEPs with maximal amplitude were recorded for opponent (OP) muscle. The  
106 patient was classified as MEP+ if MEPs were observed with a consistent latency in response to at  
107 least 5 stimuli, with OP latencies  $\approx$  20 – 40 ms; MEP- if MEPs were not observed at rest with 100%  
108 maximum stimulator intensity.

109 The experimental group received 1 hour and 40 minutes of arm rehabilitation. Specifically, a 40  
110 minute-session of hand FES was delivered through a battery-powered programmable stimulator and  
111 a forearm-wrist-hand orthosis containing 5 electrodes positioned to provide reliable activation of the  
112 following muscles: extensor digitorum communis, extensor pollicis brevis, flexor pollicis longus,  
113 flexor digitorum superficialis, and thenar muscles (H200, Bioness, CA)<sup>b</sup>. The intensity of  
114 stimulation was set to a level that provided comfortable and consistent activation of the extensor  
115 and flexor muscles to achieve whole hand opening and functional grasping. Participants were  
116 instructed to coordinate their actions with the pre-timed stimulation patterns programmed in the  
117 device so as to synchronize the user's intention with FES assistance. Although the stimulation  
118 cycles were fixed, participants needed to engage actively in the tasks to produce the synergistic  
119 muscle actions throughout the upper limb required for effective task performance. The therapist set  
120 up activities to involve each subject in functional exercises specific to their personal needs, such as

121 reaching, grasping, holding and releasing or daily activities with upper limb engagement. The  
122 voluntary contraction during electrical stimulation increases motor cortical excitability in the  
123 agonist muscle.<sup>24</sup> After FES training, patients received 60 minutes of RAT with an end-effector  
124 device (Reo Therapy System, Motorika Medical Ltd, Israel)<sup>c</sup> which focused on repetitive tasks that  
125 incorporate multidirectional reaching actions. In this robot-assisted therapy a robot manipulator  
126 applied forces to the paretic arm during goal-directed movements. During the session the patient's  
127 affected hand was placed on or strapped onto a robotic arm and she/he was instructed to either  
128 actively reach predefined reach points, or to be guided while the robotic arm led the arm towards  
129 these reach points.

130 The control group received the same time of conventional arm therapy (100 minutes). Specific  
131 exercises for the affected upper limb included active, passive and sensory exercises or functional  
132 tasks.

133 In addition to arm rehabilitation, all patients received multidisciplinary rehabilitation based on an  
134 individualized approach.

135 Baseline characteristics were reported as mean and standard deviation, median and inter-quartile  
136 range or frequency and percentage, according to variables distribution and compared among groups  
137 to confirm the quality of randomization, using unpaired t-test, Wilcoxon-Mann-Whitney test or  
138 Pearson's Chi-Squared test, as appropriate. To investigate time effects (T0, T1, T2 and T3) within  
139 groups we applied both Analysis of variance (ANOVA) and the alternative non-parametric  
140 Friedman test as a confirmatory analysis; results were reported as mean and 95% CI. To underline  
141 between-group differences, unpaired t-tests were performed. Since stroke encompasses a wide  
142 spectrum of characteristics, linear models were used to analyse the effect of several factors (age,  
143 sex, stroke type, affected hemisphere, comorbidities, cognitive and sensory deficits, stroke onset,  
144 MEPs presence/absence and arm impairment at baseline) on motor recovery. An intention-to-treat  
145 analysis was carried out on all outcome measures, handling missing data with the last observation



146 carried forward approach. Statistical analysis was performed using STATA 13 (StataCorp, College  
147 Station, TX) software. Significance was recognized when  $p < 0.05$ .

148 We were interested in detecting a between-group difference equal to the minimal clinically  
149 important difference (MCID) value for FMA-UE which is  $9 \pm 8.8$  points given a power of 80% and  
150  $\alpha$  of 5%.<sup>25</sup> Therefore, the sample size needed resulted of at least 34 patients (17 in each group);  
151 however, an increase of 20% to 40 patients was adopted to account for possible drops-out.

## 152 **RESULTS**

153 391 consecutive patients with ischemic stroke were screened between January 2014 and September  
154 2016 and 40 were enrolled in the study (median age 68 (58-73), 61.5% males, 37 (21-60) median  
155 days from stroke onset). One subject in the RAT + FES group did not receive the allocated  
156 treatment due to a post-randomization drop-out, whereas one patient in the ICT group did not  
157 receive the allocated treatment due to an organizational error. All participants concluded the  
158 rehabilitation protocols, except for a subject in the RAT + FES group who withdrew for medical  
159 issues. The 17.5% (5 in the RAT + FES group and 2 in the ICT group) did not return to the hospital  
160 for the 6-month follow-up for personal reasons (overall attrition rate 22.5 %). The study flow  
161 diagram is reported in Figure 1.

162 [INSERT FIGURE 1 ABOUT HERE]

163 The two groups were similar in demographic and clinical characteristics, as summarized in Table 1  
164 and 2.

165 [INSERT TABLE 1 and 2 ABOUT HERE]

166 Both groups significantly improved all outcome measures (FMA-UE, BBT, WMFT, BI) over time  
167 ( $p < 0.001$ ) except for spasticity (MAS). The effects were highlighted since T1 (mid-treatment  
168 assessment). Results were reported in Table 3.

169 [INSERT TABLE 3 ABOUT HERE]

170 Between-group differences were not found for any variables, leading to the conclusion that RAT +  
171 FES was not superior than ICT in increasing motor recovery after stroke in a subacute phase.

172 We run a linear regression model to analyse the influence of several demographic or clinical factors  
173 on FM-UE improvement after rehabilitation or on FM-UE at 6-month follow-up.

174 The first one was predicted by stroke onset ( $\beta = -0.15$ ;  $p = 0.005$ ) and FMA-UE at baseline ( $\beta = -$   
175  $0.18$ ;  $p = 0.05$ ), whereas arm motor function at 6 months was influenced by stroke onset ( $\beta = -0.30$ ;  
176  $p = 0.013$ ), FM-UE at baseline ( $\beta = 1.0$ ;  $p < 0.001$ ) and MEPs ( $\beta = 13.47$ ;  $0.036$ ). Given that arm  
177 severity at baseline and time since stroke can be considered as possible confounders, we categorized  
178 our sample into subgroups according to these variables:  $\leq 30$  days since stroke (early rehabilitation)  
179 or  $> 30$  days since stroke (late rehabilitation) and  $\leq 21$  points FM-UE (severe),  $> 21$  points FM-UE  
180 (moderate to mild).<sup>26</sup> See Table 4 and Figure 2.

181 [INSERT TABLE 4 ABOUT HERE]

182 [INSERT FIGURE 2 ABOUT HERE]

183 Moderate and early rehabilitation subgroups achieved the greatest clinical improvements after  
184 rehabilitation compared to the severe and late rehabilitation subgroups. Specifically, it was  
185 statistically significant in the ICT group for severity (+ 15.5 FM-UE points in the moderate group  
186 compared to + 4.4 FM-UE points in the severe group;  $p = 0.02$ ) and in the RAT + FES group for  
187 time since stroke (+13.7 FM-UE points in the early rehabilitation group compared to + 6.3 FM-UE  
188 points in the late rehabilitation group;  $p = 0.01$ ).

189 Our analysis revealed that only 15.79% of the patients who were enrolled within 30 days after  
190 stroke had a severe arm paresis, compared with 47.62% who started arm rehabilitation after 30 days  
191 post stroke ( $\text{Chi}^2 4.60$ ;  $p = 0.032$ ). Thus, severity and time since stroke were not independent  
192 factors. To better explore the effects of treatments, arm severity and time since stroke on arm  
193 recovery, a mixed-effects linear model was run, showing that only arm severity significantly  
194 influenced FM-UE score ( $\beta = -22.89$ ;  $p < 0.0001$ ) with a positive interaction severity\*time (at T2  $\beta$   
195  $= - 5.96$ ;  $p = 0.02$ ), whereas neither time since stroke nor treatment reached statistical significance.

196 See Figure 3.

197 [INSERT FIGURE 3 ABOUT HERE]

198 We found that, in addition to severity, treatment and MEPs significantly influenced arm motor  
199 recovery over time. Patients who were allocated to RAT + FES reached a higher level of arm  
200 recovery ( $\beta = + 5.93$ ;  $p = 0.016$ ) compared to ICT, considering same impairment level and MEPs;  
201 whereas patients with MEP+ obtained a greater arm recovery at 6-month follow-up ( $\beta = 4.35$ ;  
202  $p = 0.011$ ). See Figure 4.

203 [INSERT FIGURE 4 ABOUT HERE]

204 We observed the amount of practice during therapy sessions ( $n = 35$ ) in a convenience sample.  
205 During ICT ( $n = 16$ ) the amount of movement practice was observed and categorized according to  
206 Lang et al.<sup>27</sup> into active exercise, passive exercise, sensory and functional. We reported  $376.06 \pm$   
207  $36.12$  repetitions /each ICT session with 55.70% of functional tasks ( $209.5 \pm 24.8$ ) compared to  
208  $794.68 \pm 318.50$  repetitions/each RAT + FES session ( $p < 0.001$ ). The session consisted of  $630.47 \pm$   
209  $284.90$  RAT repetitions and  $164.21 \pm 68.34$  FES repetitions.

## 210 **DISCUSSION**

211 This clinical trial failed to demonstrate the superiority of an arm robotics plus hand FES training on  
212 a time-matched ICT in a subacute stroke population. Both groups equally improved their arm  
213 impairment, arm function and activities of daily living after an intensive arm rehabilitation and  
214 reached further gains at 6-month follow-up. Arm motor recovery, measured with the FMA-UE, was  
215 clinically significant at the end of both treatments, considering an MCID of 9-10 points. Similarly,  
216 arm function improvement measured with the WMFT Function score reached the MCID of 1.2  
217 points in both groups after 15 sessions.<sup>28</sup> The independence in activities of daily living, monitored  
218 with the BI, clinically improved after 15 sessions, considering a MCID value of 1.85 points.<sup>23</sup>

219 This trial confirmed the potential role of several factors (intensity, time, arm severity and integrity  
220 of the corticospinal tract) on arm motor recovery after stroke, outlining potential recovery  
221 trajectories that can be modulated by intensive arm rehabilitation. Regarding intensity, the proposed  
222 interventions were both more intense ( $\sim 200$  repetitions/session in ICT and  $\sim 700$  repetitions/session  
223 in RAT + FES group) compared to usual arm rehabilitation reported by Lang et al. who observed a

224 mean of 32 functional repetitions during a usual physiotherapy session.<sup>27</sup> However, a dose-response  
225 effect of task-specific upper limb training in chronic stroke patients has not been proved.<sup>29</sup>  
226 The first 30 days after stroke represent a critical time-window for starting rehabilitation, when the  
227 interaction between treatment and spontaneous recovery process can be more effective;<sup>2</sup> however,  
228 only 6% of stroke motor rehabilitation RCTs have enrolled all patients during the first month after  
229 stroke.<sup>30</sup> In our trial we enrolled patients within 8 weeks after stroke with a mean of 37 days. An  
230 association between time since stroke and arm motor recovery has been highlighted, confirming the  
231 importance of early rehabilitation for stroke outcome.  
232 Initial arm severity is the most important predictor of arm recovery after stroke and the majority of  
233 stroke patients shows a fixed arm proportional recovery of about 78%.<sup>31,32</sup> Patients who present an  
234 initial severe paresis usually do not follow this rule and, for these patients, the study of the integrity  
235 of the corticospinal tract by TMS in the first days after stroke can be useful to predict recovery.<sup>33-35</sup>  
236 In this scenario, the role of arm rehabilitation might be that of accelerating and optimizing this time-  
237 dependent, dynamic process, through a modulation of the spontaneous recovery mechanisms.<sup>4,36</sup>  
238 In our study, we confirmed the association between baseline arm severity and functional recovery,  
239 even if baseline assessment was not done in the first few days after the stroke, as studies of stroke  
240 recovery recommended.<sup>31,35</sup> The mixed-effects linear model outlined that given a fixed level of arm  
241 severity and integrity of the corticospinal tract, the RAT + FES group presented a higher arm  
242 recovery over time, suggesting a potential role of these interventions to promote recovery during  
243 rehabilitation.

#### 244 **Study Limitations**

245 In this 3-year study we enrolled subacute ischaemic stroke survivors with arm paresis defined by a  
246 FMA-UE score of 12-54, within 8 weeks from stroke. With this inclusion criteria, we had a  
247 recruitment rate of 10% which is in line with other subacute stroke trials;<sup>37,38</sup> however a low  
248 proportion of patients recruited limits the generalizability of results to the entire stroke population.  
249 Even though we reached the predetermined sample size, our hypothesis on groups difference was

250 too optimistic and further analyses on bigger samples are needed. Another limit is that our two  
251 intensive interventions were time-matched, instead of dose-matched. Both groups received more  
252 therapy compared to usual arm therapy, however a significant difference was highlighted in favor of  
253 the experimental group.<sup>27</sup> However, it is possible that more than repetitions, the quality and salience  
254 of movements trained are essential to induce motor recovery. Finally, a potential reason for the  
255 overall negative results, is that, at this stage, we characterized our sample only based on clinical  
256 outcomes and the integrity of the corticospinal tract. Including markers of biology, imaging,  
257 neurophysiology or a combination of these might improve knowledge on the effects of arm  
258 rehabilitation on stroke recovery.<sup>39</sup>

## 259 **CONCLUSION**

260 An intensive arm training that combined RAT and hand-FES, seems to not be superior to a time-  
261 matched intensive conventional arm training, even though people who received RAT + FES, at the  
262 same level of arm impairment and corticospinal tract integrity, reached a higher level of arm  
263 recovery.

## 264 **Disclosures**

265 The Authors declare that there is no conflict of interest.

266

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268

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379

**Suppliers:**

- 380 a. Magstim Bistim (The Magstim Company, Carmarthenshire, Wales, UK)  
381  
382 b. H200 (Bioness, CA)  
383  
384 c. Reo Therapy System (Motorika Medical Ltd, Israel)

384

**Figure captions:**

385  
386 Figure 1: CONSORT flow diagram.

387 Figure 2: Scatterplots showing effects of stroke onset and arm severity at baseline on arm recovery  
388 after rehabilitation (T0-T2) and at follow-up (T3) (◆ and dotted line for ICT group; ○ and  
389 continuous line for RAT + FES group).

390 Figure 3: predicted arm recovery (FM-UE) as a function of severity and stroke onset (◆ and  
391 continuous line for RAT+FES moderate early, ◆ and dotted line for ICT moderate early; ▲ and  
392 continuous line for RAT+FES moderate late, ▲ and dotted line for ICT moderate late; ■ and  
393 continuous line for RAT+FES severe early, ■ and dotted line for ICT severe early, ● and  
394 continuous line for RAT+FES severe late, ● and dotted line for ICT severe late).

395 Early =  $\leq 30$  days since stroke; late =  $> 30$  days since stroke; moderate =  $> 21$  points FM-UE;  
396 severe =  $\leq 21$  points FM-UE<sup>26</sup>

397 Figure 4: predicted arm recovery (FM-UE) as a function of corticospinal tract integrity and severity  
398 (◆ and continuous line for RAT+FES moderate MEP+, ◆ and dotted line for RAT+FES moderate  
399 MEP-; ▲ and continuous line for ICT moderate MEP+, ▲ and dotted line for ICT moderate MEP-;  
400 ■ and continuous line for RAT+FES severe MEP+, ■ and dotted line for RAT+FES severe MEP-, ●  
401 and continuous line for ICT severe MEP+, ● and dotted line for ICT severe MEP-).  
402 Moderate =  $> 21$  points FM-UE; severe =  $\leq 21$  points FM-UE<sup>26</sup>

**Table 1.** Participants Characteristics at Baseline.

	RAT + FES (n = 19)	ICT (n = 20)	Total (n = 39)	<i>p</i>
Age, years*	68 (56-71)	68 (58.5-73)	68 (58-73)	0.715
Gender, no. male (%)	12 (63.2)	12 (60.0)	24 (61.5)	0.839
Type of stroke				
Subcortical, no. (%)	9 (47.4)	10 (50.0)	19 (48.7)	0.344
Cortical, no. (%)	6 (31.6)	9 (45.0)	15 (38.5)	
Brainstem, no. (%)	4 (21.0)	1 (5.0)	5 (12.8)	
Time since stroke, days*	39 (21-62)	32.5 (20-51)	37 (21-60)	0.574
Affected hemisphere, no. left (%)	13 (68.4)	14 (70.0)	27 (69.2)	0.915
Sensory impairment, no. (%)	4 (25.0)	5 (27.8)	9 (26.5)	0.855
Cognitive impairment, no. (%)	4 (23.5)	6 (35.3)	10 (29.4)	0.452
Comorbidities, no. *	1.5 (1-3)	2 (1-3)	2 (1-3)	0.384
MEPS n (%)†	7 (50.0)	9 (60.0)	16 (55.2)	0.588

Abbreviations: RAT + FES, Robot Arm Training + Functional Electrical Stimulation; ICT, Intensive Conventional Therapy; *p*, level of significance.

\*Median (interquartile range).

† FDI-TMS was performed in 14/19 RAT + FES subjects and 15/20 ICT subjects

**Table 2.** Baseline characteristics of subacute ischemic stroke who received RAT + FES or ICT.

	RAT + FES (n = 19)	ICT (n = 20)	Total (n = 39)	<i>p</i>
Fugl-Meyer Assessment Upper Extremity (FMA-UE)				
Total score *	28.8 ± 13.3	31.4 ± 12.3	30.1 ± 12.7	0.529
Proximal score *	17.4 ± 8.4	20.4 ± 7.1	18.9 ± 7.8	0.246
Distal score *	7.8 ± 5.7	7.6 ± 6.5	7.7 ± 6.0	0.902
Impairment level				
Mild (score 66-49) no. (%)	1 (5.3)	1 (5.0)	2 (5.1)	
Moderate (score 48-22) no. (%)	10 (52.6)	14 (70.0)	24 (61.5)	
Severe (score 21-0) no. (%)	8 (42.1)	5 (25.0)	13 (33.3)	
Modified Ashworth Scale, total score †	1 (1-4)	1.75 (1-2.5)	1.5 (1-3)	0.819
Box and Block Test affected arm, total score †	7 (0-20)	6 (0-18.5)	7 (0-20)	0.728
Wolf Motor Function Test				
Functional Ability Scale score *	31.9 ± 19.6	31.2 ± 22.1	31.5 ± 20.6	0.918
Task rate ‡, no. *	12.5 ± 10.4	17.3 ± 12.3	15.0 ± 11.5	0.190

Barthel Index, total score <sup>b</sup>	80 (40-90)	75 (52.5-90)	75 (45-90)	0.724
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Abbreviations: RAT + FES, Robot Arm Training + Functional Electrical Stimulation; ICT, Intensive Coventional Therapy; *p*, level of significance.

\* Mean (standard deviation).

† Median (interquartile range)

‡ Task rate = 60(s)/Performance Time (s)<sup>28</sup>

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**Table 3.** Effects of RAT+FES or ICT on primary and secondary outcome measures reported as mean (95% CI).

		$\Delta$ T0-T1	$\Delta$ T0-T2	$\Delta$ T0-T3	<i>p</i>
FMA-UE, total score	RAT + FES	7.0 (4.0-10.0)	9.8 (6.6-13.0)	13.2 (8.3-18.1)	<0.001
	ICT	7.9 (4.9-10.8)	12.8 (9.2-16.3)	16.5 (11.9-21.1)	<0.001
	<i>p</i>	0.674	0.200	0.308	
FMA-UE, proximal score	RAT + FES	3.3 (1.2-5.4)	4.8 (2.9-6.8)	6.6 (3.9-9.3)	<0.001
	ICT	3.7 (2.1-5.3)	5.9 (4.1-7.7)	6.5 (4.3-8.7)	<0.001
	<i>p</i>	0.760	0.404	0.937	
FMA-UE distal score	RAT + FES	3.5 (1.9-5.2)	4.5 (2.7-6.3)	5.1 (3.1-7.1)	<0.001
	ICT	5.2 (3.0-7.4)	5.7 (3.7-7.7)	7 (4.7-9.3)	<0.001
	<i>p</i>	0.220	0.351	0.197	
MAS, total score	RAT + FES	0.13 (-0.88-1.14)	-0.24 (-1.41-0.93)	0.37 (-0.95-1.69)	0.651
	ICT	-0.30 (-0.89-0.29)	-0.60 (-1.18- -0.02)	-0.78 (-1.55- -0.01)	0.106
	<i>p</i>	0.446	0.564	0.127	
BBT affected arm, total score	RAT + FES	7.4 (1.7-13.0)	8.4 (3.2-13.6)	10.5 (4.6-16.5)	<0.001
	ICT	7.0 (3.1-10.8)	10.3 (5.2-15.4)	13.6 (7.6-19.5)	<0.001
	<i>p</i>	0.898	0.590	0.456	

WMFT Functional Ability Scale score	RAT + FES	8.0 (3.5-12.5)	11.2 (5.2-17.2)	15.7 (7.3-24.1)	<0.001
	ICT	11.9 (7.0-16.8)	17.1 (11.4-22.8)	23.6 (16.1-31.1)	<0.001
	<i>p</i>	0.232	0.142	0.150	
WMFT Task rate <sup>*</sup> , no.	RAT + FES	7.7 (3.6-11.8)	10.4 (5.5-15.3)	12.4 (5.6-19.1)	<0.001
	ICT	6.6 (3.3-9.9)	10.2 (5.1-15.2)	13.6 (7.8-19.4)	<0.001
	<i>p</i>	0.669	0.945	0.767	
BI, total score	RAT + FES	10.5 (3.5-17.6)	16.1 (6.7-25.4)	22.6 (12.1-33.1)	<0.001
	ICT	9.8 (2.9-16.6)	19.3 (12.0-26.5)	24.3 (13.0-35.5)	<0.001
	<i>p</i>	0.869	0.572	0.828	

Abbreviations: RAT + FES, Robot Arm Training + Functional Electrical Stimulation; ICT, Intensive Conventional Therapy; T0, assessment before treatment; T1, assessment after 3 weeks of treatment; T2, assessment after the end of treatment; T3, assessment at 6 months follow-up; *p*, level of significance over time (Friedman Test); FMA-UE, Fugl-Meyer Assessment Upper Extremity; MAS, Modified Ashworth Scale; BBT, Box and Block Test; WMFT, Wolf Motor Function Test; MAL, Motor Activity Log; BI, Barthel Index; \* Task rate = 60(s)/Performance Time (s)<sup>28</sup>



**Table 4.** Effects of RAT + FES or ICT on primary outcome in subgroups stratified for arm impairment and time since stroke reported as mean (standard error).

	Impairment level			Time since stroke		
	Moderate <sup>a</sup>	Severe <sup>b</sup>	<i>p</i>	≤ 30 days	> 30 days	<i>p</i>
RAT + FES	(n=11)	(n=8)		(n=9)	(n=10)	
Δ T0-T2	+11.6 (2.1)	+7.3 (2.0)	0.161	+13.7 (2.0)	+6.3 (1.6)	0.011
Δ T0-T3	+11.3 (2.2)	+15.9 (4.7)	0.341	+13.8 (2.0)	+12.7 (4.1)	0.819
ICT	(n=15)	(n=5)		(n=10)	(n=10)	
Δ T0-T2	+15.5 (1.5)	+4.4 (2.1)	0.002	+14.8 (1.8)	+10.7 (2.8)	0.230
Δ T0-T3	+18.2 (2.2)	+11.4 (5.3)	0.184	+18.3 (2.3)	+14.7 (3.7)	0.424

Abbreviations: RAT + FES, Robot Arm Training + Functional Electrical Stimulation; ICT, Intensive Conventional Therapy; T0, assessment before treatment; T1, assessment after 3 weeks of treatment; T2, assessment after the end of treatment; T3, assessment at 6 months follow-up; *p*, level of significance.

<sup>a</sup> > 21 points FM-UE; <sup>b</sup> ≤ 21 points FM-UE<sup>26</sup>







