

**Broadband dielectric response of  $\text{CaCu}_3\text{Ti}_4\text{O}_{12}$ : From dc to the electronic transition regime**Ch. Kant,<sup>1</sup> T. Rudolf,<sup>1</sup> F. Mayr,<sup>1</sup> S. Krohns,<sup>1</sup> P. Lunkenheimer,<sup>1</sup> S. G. Ebbinghaus,<sup>2</sup> and A. Loidl<sup>1</sup><sup>1</sup>*Experimental Physics V, Center for Electronic Correlations and Magnetism, University of Augsburg, 86135 Augsburg, Germany*<sup>2</sup>*Solid State Chemistry, University of Augsburg, 86135 Augsburg, Germany*

(Received 7 September 2007; published 25 January 2008)

We report on phonon properties and electronic transitions in  $\text{CaCu}_3\text{Ti}_4\text{O}_{12}$ , a material which reveals a colossal dielectric constant at room temperature without any ferroelectric transition. The results of far- and midinfrared measurements are compared to those obtained by broadband dielectric and millimeter-wave spectroscopy on the same single crystal. The unusual temperature dependence of phonon eigenfrequencies, dampings, and ionic plasma frequencies of low-lying phonon modes is analyzed and discussed in detail. Electronic excitations below 4 eV are identified as transitions between full and empty hybridized oxygen-copper bands and between oxygen-copper and unoccupied Ti 3d bands. The unusually small band gap determined from the dc conductivity ( $\sim 200$  meV) compares well with the optical results.

DOI: [10.1103/PhysRevB.77.045131](https://doi.org/10.1103/PhysRevB.77.045131)

PACS number(s): 78.30.-j, 63.20.-e, 77.22.Ch

**I. INTRODUCTION**

After first reports of very large dielectric constants of the order of up to  $10^5$  in  $\text{CaCu}_3\text{Ti}_4\text{O}_{12}$  (CCTO) in ceramic samples,<sup>1</sup> single crystals,<sup>2</sup> and thin films,<sup>3</sup> experimental evidence has been provided that these colossal values have their origin in Maxwell-Wagner-like relaxation phenomena, characteristic of inhomogeneous media. Planar defects in single crystals or grain boundaries in ceramics<sup>4</sup> as well as contact phenomena and surface effects<sup>5-7</sup> were considered as possible sources for the unconventional dielectric response in CCTO. However, also an intense search for intrinsic mechanisms is still ongoing, an example being a recent report on nanoscale Ca/Cu disorder.<sup>8</sup>

In addition to this unsettled dispute about the origin of colossal dielectric constants in CCTO, another interesting phenomenon was detected: The dielectric constant as measured by far-infrared (FIR) spectroscopy is as large as 80 at room temperature and increases with decreasing temperature,<sup>2,9</sup> contrary to what is expected for a normal anharmonic solid. This effect was investigated in some detail by Homes *et al.*<sup>9</sup> and explained in terms of charge-transfer processes.

The present investigation deals with the following topics:

(i) The complete phononic response, which has been measured by FIR spectroscopy as a function of temperature, is analyzed in full detail: eigenfrequencies, dampings, and ionic plasma frequencies are determined for all modes to study their unusual temperature dependence. Our results are compared to published results<sup>2,9</sup> and to model calculations of the lattice dielectric response of CCTO from first principles.<sup>10-12</sup> (ii) Within the low-frequency reflectivity spectrum, which is dominated by phonon modes, we detect an unusually large number of crossing points in the reflectivity ( $\partial R/\partial T=0$ ), which seems to be too significant to be ignored or to be explained by accidental effects. (iii) Electronic excitations for energy transfers up to 4 eV are studied via the dynamic conductivity and are compared to *ab initio* band structure calculations.<sup>10</sup> (iv) The dynamic conductivity and dielectric constant from infrared and millimeter-wave spectroscopy are directly compared to broadband dielectric results to visualize the full dielectric response of CCTO to electromagnetic

fields over 15 decades in frequency. (v) Finally, we derive the band gap from the dc conductivity obtained from the dielectric results and find a good agreement with the theoretically predicted optical band gap, which is in accord with the IR results.

**II. EXPERIMENTAL DETAILS**

Single crystals were grown by the floating-zone technique using a growth furnace equipped with two 1000 W halogen lamps, with the radiation focused by gold-coated ellipsoidal mirrors.<sup>7</sup> Polycrystalline bars, prepared as reported in Ref. 6, cold pressed, and sintered in air for 12 h at 1000 °C, served as seed and feed rods. The rods were rotated with a speed of 30 rpm, while the feed was kept still. The growth rate was adjusted to 5 mm/h. Crystal growth was performed in oxygen (flow rate of 0.2 l/min) at a pressure of 4 bar to avoid thermal reduction of copper. High purity single crystals with a lattice constant of 0.7391 nm and free of impurity phases were obtained.  $\text{CaCu}_3\text{Ti}_4\text{O}_{12}$  belongs to a unique class of perovskite derived structures in which the  $\text{TiO}_6$  octahedra are strongly tilted to form an ideal square planar coordination for the Cu cations.<sup>13</sup> The tilting of the octahedra and the concomitant noncubic site symmetry of  $\text{Ti}^{4+}$  strongly reduces the possibilities for off-center displacements and rules out the long-range polar order of the Ti ions. Thus, this class of compounds does not usually display ferroelectricity.<sup>1</sup>

For the dielectric measurements, silver paint contacts were applied to opposite faces of the disklike single crystals. The complex dielectric permittivity as function of temperature was measured over nine frequency decades from 1 Hz up to 1.3 GHz (for experimental details, see Ref. 14). Additional measurements using a quasi-optic spectrometer in a Mach-Zehnder configuration were performed in a frequency range from 60 to 120 GHz.<sup>15</sup> In the far- and midinfrared range, reflectivity measurements were carried out using the Bruker Fourier-transform spectrometers IFS 113v and IFS 66v/S, which are both equipped with He bath cryostats. In most cases, the reflectivity spectra were directly analyzed using a generalized oscillator model with four parameters per phonon mode.<sup>16,17</sup> To calculate the dielectric loss from the experimentally obtained reflectivity, we used a smooth  $\omega^{-h}$

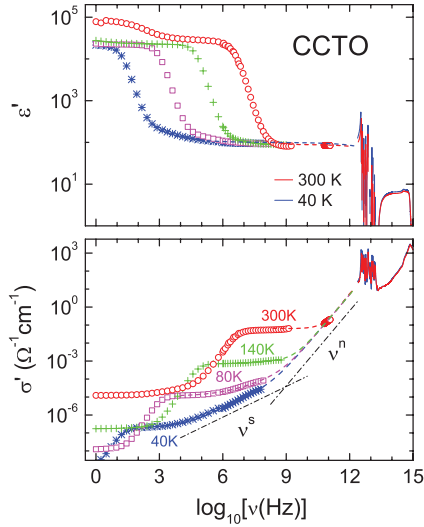


FIG. 1. (Color) Dielectric constant and dynamic conductivity of CCTO over 15 decades in frequency for various temperatures. The symbols and the lines at  $\nu > 10^{12}$  Hz show experimental data. Dashed lines are fits of the data beyond the relaxation, taking into account dc and ac conductivities, the latter described by sub- and superlinear power laws  $\nu^s$  and  $\nu^n$ , respectively (dash-dotted lines, see text).

extrapolation to high frequencies. The low-frequency extrapolation was based on the measured dielectric data.

### III. RESULTS AND ANALYSIS

A survey of the dielectric constant  $\epsilon'(\omega)$  and the dynamic conductivity  $\sigma'(\omega)$  over 15 decades in frequency is shown in Fig. 1 for temperatures between 40 and 300 K. Figure 1 impressively documents how, at least at room temperature, the “relaxational” dielectric response for frequencies below 10 GHz is decoupled from the ionic ( $\nu = 1 \times 10^{12} - 2 \times 10^{13}$  Hz) and from the electronic ( $\nu > 2 \times 10^{13}$  Hz) processes. The upper frame indicates that the relatively high intrinsic dielectric constant of the order of 100 detected at the higher frequencies and lower temperatures of the dielectric experiments<sup>1,2,4,5,7</sup> can be ascribed to the ionic polarizability.  $\epsilon_\infty$ , defined as  $\epsilon'$  beyond the phonon modes and determined by the electronic polarizability only, is well below 10. The intrinsic conductivity of CCTO in the lower frame of Fig. 1, specifically at 40 K, follows a universal behavior where the dc conductivity with  $\nu^0$  at low frequencies is followed by Jonscher’s universal dielectric response with  $\nu^{0.57}$  (Ref. 18) and by a superlinear power law with  $\nu^{1.39}$  at even higher frequencies. Fits using this approach are indicated as dashed lines for  $\epsilon'$  and  $\sigma'$  in Fig. 1. This sequence of dc, as well as sublinear and superlinear ac conductivity regimes, has been observed in a number of disordered semiconductors and transition-metal oxides.<sup>19</sup>

#### A. Phonon excitations

Figure 2 shows the measured reflectivity of CCTO at 295 K, including the results of a fit using ten oscillators de-

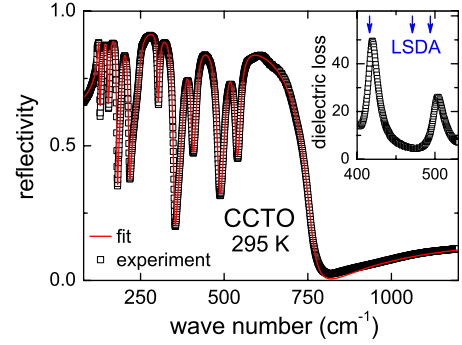


FIG. 2. (Color online) Reflectivity spectrum of CCTO at 295 K. The solid line is the result of a fit using ten oscillators, as described in the text. Inset: dielectric loss obtained by a Kramers-Kronig analysis. The arrows indicate the positions of expected loss peaks according to Ref. 11. Note that the predicted resonance at  $471 \text{ cm}^{-1}$  is completely absent.

scribed by four parameters each<sup>16</sup> according to the following equation:

$$\epsilon(\omega) = \epsilon_\infty \prod_j \frac{\omega_{LOj}^2 - \omega^2 - i\gamma_{LOj}\omega}{\omega_{TOj}^2 - \omega^2 - i\gamma_{TOj}\omega}. \quad (1)$$

For the fits, from the dielectric function  $\epsilon(\omega)$  the reflectivity was calculated using standard optical formulas. This theoretical modeling is outlined in detail in Ref. 20. For each phonon mode  $j$ , the fit parameters are the transverse optical (TO) and longitudinal optical (LO) eigenfrequencies  $\omega_{TO}$  and  $\omega_{LO}$  and the damping functions  $\gamma_{TO}$  and  $\gamma_{LO}$ . In addition, the electronic polarizability is taken into account by  $\epsilon_\infty$ , which is treated as a free parameter. This model yields an almost perfect description of all eigenmodes as observed in the reflectivity spectrum (Fig. 2). Similar results have been obtained for a series of measurements at different temperatures down to 5 K. From fits up to  $2000 \text{ cm}^{-1}$ ,  $\epsilon_\infty$ , which is due to electronic polarizability only, has been determined.  $\epsilon_\infty$  obtained from these fits was found to scatter between 6 and 7, with no systematic temperature variation. Hence, for all further analysis, we used an average value of 6.5 for all temperatures. A list of the LO and TO mode frequencies and dampings as well as the effective ionic plasma frequencies  $\Omega$  and the dielectric strengths  $\Delta\epsilon$  are given in Table I. The dielectric

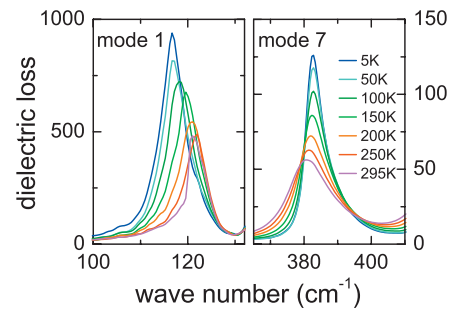


FIG. 3. (Color) Dielectric loss of mode 1 (left panel) and mode 7 (right panel) as a function of wave number for various temperatures.

TABLE I. Eigenfrequencies  $\omega$  and dampings  $\gamma$  of both transverse (TO) and longitudinal optical (LO) phonon modes of CCTO at 5 K. Additionally, the plasma frequencies  $\Omega$  and dielectric strengths  $\Delta\epsilon$  are provided for each mode. The second column contains the frequencies of IR-active phonon modes calculated by He *et al.* (Ref. 11).

Mode (5 K)	LSDA <sup>a</sup>	$\omega$ (cm <sup>-1</sup> )		$\gamma$ (cm <sup>-1</sup> )		$\Omega$ (cm <sup>-1</sup> )	$\Delta\epsilon$
		TO	LO	TO	LO		
1	125	119.2	129.8	11.8	1.3	478.2	16.1
2	135	134.5	152.7	5.2	2.5	591.1	19.4
3	158	158.1	181.1	4.2	2.4	630.7	15.9
4	199	195.1	216.1	5.2	4.7	599.3	9.4
5	261	250.4	303.9	11.9	3.4	914.6	13.3
6	310	307.7	352.9	4.5	4.3	799.9	6.8
7	385	382.9	407.7	8.8	5.5	608.7	2.5
8	416	421.3	487.3	9.1	12.2	920.6	4.8
9	471						
10	494	506.9	542.4	13.2	10.2	677.9	1.8
11	547	551.6	760.3	10.2	32.2	1333.8	5.8

<sup>a</sup>Reference 11.

strength of mode  $j$ , in the case of nonoverlapping modes, is given by<sup>20</sup>

$$\Delta\epsilon_j = \epsilon_\infty \frac{\omega_{LOj}^2 - \omega_{TOi}^2}{\omega_{TOj}^2} \prod_{i=j+1} \frac{\omega_{LOi}^2}{\omega_{TOi}^2}. \quad (2)$$

The plasma frequency  $\Omega$  is obtained via

$$\Omega_j^2 = \Delta\epsilon_j \omega_{TOj}^2. \quad (3)$$

The experimental results documented in Table I compare reasonably well with first-principles calculations of the TO eigenfrequencies of CCTO within local spin-density approximation (LSDA) by He *et al.*,<sup>11</sup> which are shown in the second column of Table I. All experimentally observed eigenfrequencies lie in a frequency range of approximately  $\pm 10$  cm<sup>-1</sup> when compared to the theoretical predictions. However a mode of moderate strength, predicted to occur at 471 cm<sup>-1</sup> (Ref. 11) is fully missing in the reflectivity data. Indeed, while the symmetry of the crystal allows for 11 IR-active modes, only ten are observed experimentally. A closer look in Fig. 2 shows that fit and experimental result almost coincide, and it is hard to believe that an extra mode of considerable strength can be hidden in this reflectivity spectrum if not two eigenfrequencies are accidentally degenerated within  $\pm 5$  cm<sup>-1</sup>. To check this possibility in more detail, the inset of Fig. 2 shows the dielectric loss vs wave number in the frequency range from 400 to 530 cm<sup>-1</sup>. The arrows in the inset indicate the eigenfrequencies as theoretically predicted. While theory meets the modes close to 420 and 500 cm<sup>-1</sup>, there is not the slightest indication of an additional mode close to 471 cm<sup>-1</sup>. Two possibilities exist to settle this disagreement: The missing mode is much closer to the mode at 500 cm<sup>-1</sup> than predicted by LSDA or its intensity is so weak that it is not detected in the reflectivity spectrum.

In the following, we will address the different phonon modes with numbers, as indicated in Table I. A closer inspection of this table reveals rather unusual details. Specifically, for modes 1 and 5,  $\gamma_{TO}$  is much larger compared to  $\gamma_{LO}$ , contrary to what is expected in a canonical anharmonic solid. In conventional solids, the damping will be of the order of 1%–5% of the eigenfrequencies at low temperatures. In the case of CCTO, where TO and LO eigenfrequencies are not too different, one could expect similar damping constants. However, for mode 1,  $\gamma_{TO}$  is almost a factor of 10 larger than  $\gamma_{LO}$ . This may be at least partly related to the unconventional temperature dependence of eigenfrequencies and damping (see below). In what follows, we will give a detailed description of the temperature dependence of some of the polar phonon modes in CCTO. The modes can be grouped into two fractions: The first five modes reveal an unusual temperature dependence that cannot be explained by normal anharmonic effects.<sup>21</sup> Phonons 6–11 can be classified as phonon excitations of a classical anharmonic solid. As prototypical examples, Fig. 3 shows the dielectric loss of phonons 1 and 7 as a function of wave number for a series of temperatures. With decreasing temperature, phonon 1, which lies close to 120 cm<sup>-1</sup> at room temperature, broadens, shifts to lower frequencies, and strongly increases in dielectric strength. On the contrary, phonon 7, which appears close to 380 cm<sup>-1</sup> at 295 K, becomes narrow and shifts to higher frequencies on lowering the temperature, a behavior reflecting anharmonicity due to phonon-phonon scattering processes. As will be shown later, in this case the dielectric strength almost remains constant, which is expected in a purely ionic solid with no charge-transfer processes and no ferroelectric instability.

The results of a detailed four-parameter analysis are documented in Fig. 4: LO and TO eigenfrequencies (upper frames) and dampings (middle frames), as well as the ionic plasma frequencies (lower frames) are shown for phonon 1

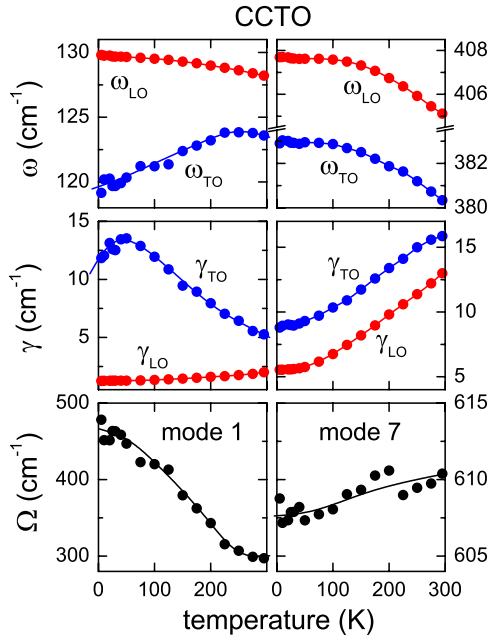


FIG. 4. (Color online) Eigenfrequencies, dampings, and plasma frequencies for mode 1 (left frames) and mode 7 (right frames) as a function of temperature. The lines are drawn to guide the eyes.

(left frames) and phonon 7 (right frames). For mode 1, the TO mode softens considerably and its damping is unusually large and increases on decreasing temperature. As has been documented already by Homes *et al.*,<sup>2,9</sup> the plasma frequency increases by as much as 60% when the temperature is lowered from room temperature down to 5 K. On the other hand, mode 7 shows a conventional behavior. LO and TO eigenfrequencies slightly increase, and the inverse lifetimes decrease when temperature is lowered. The plasma frequency almost remains constant at a value of  $(609 \pm 2)$   $\text{cm}^{-1}$ , which is certainly within the experimental uncertainties. As the ionic plasma frequency, which corresponds to the effective charges, is proportional to the difference of the squared LO and TO eigenfrequencies, it is obvious that the increase of the plasma frequency  $\Omega$  of mode 1 predominantly corresponds to the softening of the transverse optic mode (see the upper left frame in Fig. 4). At present, it is unclear whether this observation indicates an underlying ferroelectric instability or points toward charge-transfer processes as driving forces.

As documented in Table I for 5 K, we calculated the dielectric strength for all modes and determined these values as a function of temperature. The lower frame in Fig. 5 shows the static dielectric constant  $\epsilon_s$ , which corresponds to  $\epsilon_\infty$  plus the sum over the dielectric strengths of all modes. According to this FIR result,  $\epsilon_s$  increases from 83 at room temperature to approximately 100 at low temperatures. This has to be compared to measurements of the dielectric constant at GHz frequencies, which corresponds to the intrinsic static dielectric constant. These results are documented in the upper frame of Fig. 5. The GHz dielectric constant indeed roughly follows the FIR results; especially, it shows similar temperature dependence. The strong increase of  $\epsilon'$  as detected at lower frequencies corresponds to Maxwell-Wagner-like effects.<sup>5-7</sup>

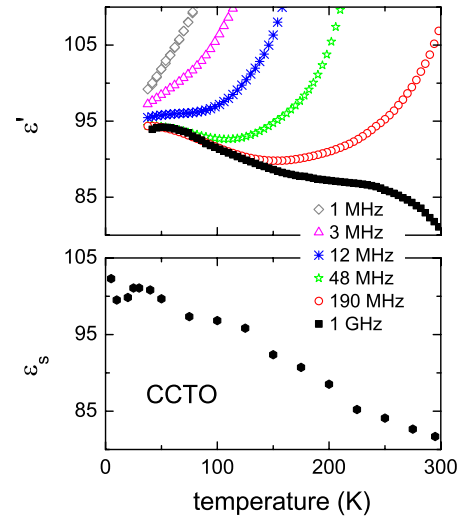


FIG. 5. (Color online) Upper panel: dielectric constant for various frequencies as a function of temperature obtained by dielectric spectroscopy. Lower panel: static dielectric constant derived from FIR experiments.

In discussing the phonon properties, we would like to point toward another interesting phenomenon, whose nature and origin are unclear at present. Each pair of TO and LO modes creates a rectangular shaped band in the reflectivity: In an ideal harmonic solid, one would expect that the reflectivity  $R$  is close to unity between the TO and the LO modes. The decrease of  $R(\omega)$  with increasing temperature follows from an increasing anharmonicity. In the temperature dependent reflectivity spectra of CCTO, each band exhibits two striking crossing points. In optical spectroscopy of chemical species, a so-called isosbestic point usually defines a point on the wavelength scale, where two species have exactly the same absorption. Isosbestic points have sometimes also been identified in the dynamical conductivity of transition-metal oxides and were explained in terms of spectral weight transfer driven by strong electronic correlations.<sup>22</sup> Quite generally, it can be stated that whenever a system can be described by a superposition of two components with dynamic quantities, which only depend linearly on density, isosbestic points are expected to occur.<sup>23</sup> In particular, this also applies to the temperature dependence as long as the total density is constant. In our case, each reflectivity band exhibits two wavelengths where the reflectivity is exactly temperature independent, i.e.,  $\partial R/\partial T=0$ . As an example, Fig. 6 shows the reflectivity at around 140 and 390  $\text{cm}^{-1}$ . Close to each TO and LO mode, we find these crossing points where, indeed, the reflectivity is temperature independent within experimental uncertainty. Again, we would like to stress that similar observations can be made for each reflectivity band of CCTO. At present, however, it is unclear how the reflectivity of CCTO can be described by the interaction of light with two components. One could think of microscopic (electronic) phase separation. Indeed, reports on nanoscale disorder of Cu and Ca sites from x-ray absorption fine structure measurements<sup>8</sup> and reports of the coexistence of strained and unstrained domains by scanning electron microscopy<sup>24</sup> provide some arguments in favor of this explanation. One could



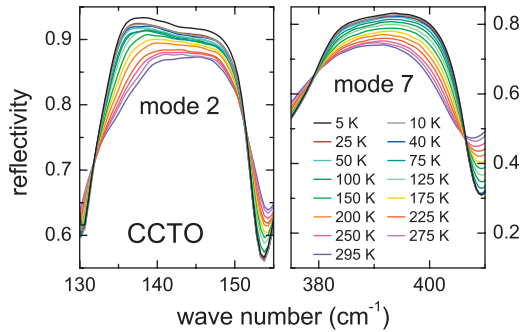


FIG. 6. (Color) Raw reflectivity data for mode 2 (left panel) and mode 7 (right panel) for various temperatures. Note the isosbestic points, i.e., points of temperature independent reflectivity.

also speculate that in CCTO the  $\text{CuO}_2$  planes and the  $\text{TiO}_6$  octahedra behave like two independent components being responsible for the occurrence of isosbestic points in all absorption bands.

### B. Electronic excitations

Finally, we studied the low-lying electronic transitions up to  $30\,000\text{ cm}^{-1}$ , corresponding to an energy of  $3.8\text{ eV}$ . The results are documented in Fig. 7, which shows the real part of the dynamic conductivity vs wave number on a double-logarithmic scale. The spectral response below  $700\text{ cm}^{-1}$  is dominated by the phonon response. Beyond the phonon regime, the conductivity gradually increases up to  $10\,000\text{ cm}^{-1}$  and then shows a stronger increase with a peak close to  $24\,000\text{ cm}^{-1}$  corresponding to  $3.0\text{ eV}$ . We would like to stress that the conductivity below  $1\text{ eV}$  ( $\approx 8000\text{ cm}^{-1}$ ) is relatively small (note the double-logarithmic scale of Fig. 7) and slightly depends on the extrapolation scheme beyond  $4\text{ eV}$ , used for the Kramers-Kronig analysis. However, all reasonable extrapolations yield similar results with only slight differences in the tail toward the lowest frequencies. When comparing our results to first-principles density-functional theory within the LSDA from He *et al.*,<sup>10</sup> we identify the continuous increase of the conductivity from about

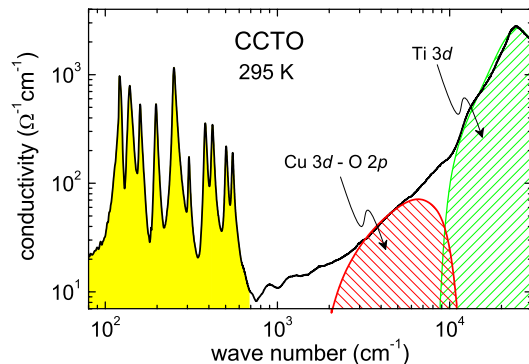


FIG. 7. (Color online) Dynamic conductivity of CCTO at  $295\text{ K}$ . The spectral response can be divided into three major contributions: a phonon part (shaded area), transitions into empty  $\text{Cu } 3d\text{-O } 2p$  orbitals (left hatched area) and transitions into unoccupied  $\text{Ti } 3d$  states (right hatched area).

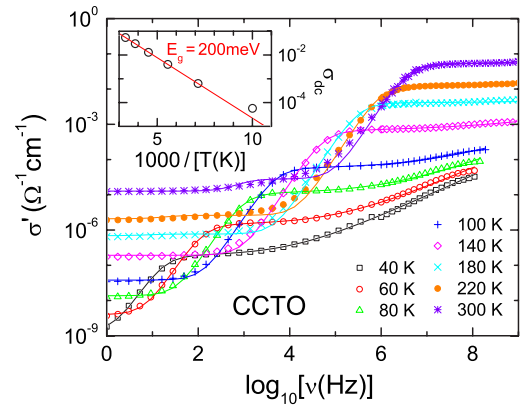


FIG. 8. (Color online) Dynamic conductivity over nine decades of frequency for CCTO for various temperatures. Solid lines represent the results of an equivalent-circuit analysis (see text). The inset shows the resulting dc conductivity at  $T \geq 100\text{ K}$  in an Arrhenius-type representation.

$2000$  to  $10\,000\text{ cm}^{-1}$  with transitions between the empty and filled strongly hybridized  $\text{Cu } 3d$  and  $\text{O } 2p$  orbitals. The filled bands are located just below the Fermi level, while the empty states extend up to  $0.7\text{ eV}$ , with a maximum close to  $0.5\text{ eV}$  and an onset at about  $0.25\text{ eV}$ , which corresponds to approximately  $2000\text{ cm}^{-1}$ . According to theory, the dominant peak close to  $24\,000\text{ cm}^{-1}$  ( $\sim 3.0\text{ eV}$ ), observed in Fig. 7, can be identified with transitions into predominantly  $d$ -derived empty states from the Ti ions. In the LSDA calculations, this  $\text{Ti } 3d$  band of mainly  $t_{2g}$  character extends from  $1.5$  to  $3.5\text{ eV}$ , with a peak maximum close to  $2.8\text{ eV}$ . Thus, overall, the dynamical conductivity at high frequencies could be determined by the superposition of two electronic transition bands located at around  $0.75$  and  $3.0\text{ eV}$ , as schematically indicated by the hatched areas in Fig. 7. It should be noted that the conductivity tail due to transitions between the hybridized copper-oxygen bands extends to rather low frequencies. In the chosen double-logarithmic plot, the band gap should be read off at a limiting vertical decrease of  $\sigma'(\nu)$  at low frequencies. This is not observed in the data, most likely due to the mentioned uncertainties at very low conductivity values or possible phonon tails as expected for indirect transitions. Clearly, while our results are not a proof of the band structure of CCTO, they are at least compatible with the LSDA calculations, especially concerning the predicted small band gap.<sup>10</sup>

It can be expected that this optical band gap also determines the dc conductivity. In Fig. 8, we show the conductivity of CCTO deduced from the dielectric experiments over nine decades of frequency for a series of temperatures.<sup>7</sup> At high frequencies and low temperatures,  $\sigma'(\nu)$  of CCTO is dominated by ac conductivity, resulting in a power-law increase toward the highest frequencies. At the highest temperatures, the conductivity in the MHz to GHz range is purely of dc type and independent of frequency. Toward lower frequencies, the well-known Maxwell-Wagner relaxation leads to a steplike decrease of  $\sigma'$ . The dc plateaus for each temperature can easily be identified. At  $40\text{ K}$ , the dc plateau is located between  $50\text{ Hz}$  and  $10\text{ kHz}$  and shifts to

higher frequencies with increasing temperature. It is located beyond 10 MHz for 300 K. These dc conductivity plateaus also show up in the lower frame in Fig. 1.

The complex frequency-temperature dependence of the conductivity can only be exactly analyzed utilizing an equivalent-circuit analysis including elements for the bulk sample and surface layers. The solid lines in Fig. 8 result from fits assuming two RC circuits for two types of barriers (e.g., external and internal) and one RC circuit including ac conductivity for the bulk sample. For details, see Refs. 5–7. The resulting dc conductivity at  $T \geq 100$  K is indicated in the inset of Fig. 8 in an Arrhenius type of presentation. The energy barrier derived from the Arrhenius fit is of the order of 200 meV, in good agreement with the theoretical band gap and consistent with the optical results (see Fig. 7). Here, we assumed an intrinsic semiconductor with constant mobility and a charge-carrier density proportional to  $\exp[-E_g/(2k_B T)]$ . At lower temperatures, deviations from an Arrhenius behavior show up, which may be ascribed to hopping conductivity of localized charge carriers, as will be discussed in a forthcoming paper.<sup>25</sup>

#### IV. CONCLUDING REMARKS

In summary, our detailed optical characterization of CCTO and the comparison with broadband dielectric spectroscopy performed on the same single crystal revealed a number of unusual properties of this material, in addition to the well-known colossal dielectric constants.

We analyzed in detail the temperature dependence of the phonon modes and determined LO and TO eigenfrequencies and dampings as well as the ionic plasma frequencies. The low-lying modes (numbers 1–5, see Table I) do not behave like phonons of normal anharmonic solids. The TO modes soften and the plasma frequencies strongly increase. At present, it is unclear if this is due to an underlying ferroelectric instability, which, however, does not lead to a transition even for lowest temperatures or if this indicates significant charge-transfer processes, as has been assumed by Homes *et al.*<sup>9</sup> The phonon modes that are higher in frequency (numbers 6–11) exhibit a canonical behavior; i.e., eigenfrequencies and dampings reveal a temperature dependence characteristic of an anharmonic solid, which is dominated by phonon-phonon interactions. The damping of the TO mode at  $120 \text{ cm}^{-1}$  shows a cusp close to the antiferromagnetic phase transition. In CCTO, the  $\text{Cu}^{2+} 3d$  electrons constitute almost localized  $S=1/2$  spins, which undergo Néel ordering close to  $T_N=24 \text{ K}$ .<sup>26</sup> In strongly correlated electron systems, a strong spin-phonon coupling is often observed.<sup>20</sup> In CCTO, however, only mode 1 shows an anomaly at  $T_N$ , and, overall, it seems that the phonons are not strongly coupled to the spin system.

The static dielectric constant arising from the phonon modes as derived from the measurements of this work in-

creases from roughly 80 at room temperature to approximately 100 at 5 K. It nicely scales with the dielectric constants measured at 1 GHz by dielectric spectroscopy. The purely electronic polarizability leads to  $\epsilon_\infty=6.5$ .

As a function of temperature, each reflectivity band exhibits significant crossing points. At these “isosbestic” points, the reflectivity is completely independent of temperature. The implications for eigenfrequencies, dampings, and strengths are unclear. These crossing points are too significant and too well defined to be explained by trivial effects of the temperature dependence of the phonon modes. Isosbestic points are usually explained as being due to two components with constant total density. An identification of two components in CCTO is not straightforward, and these crossing points await a deeper theoretical analysis.

Finally, we summed up the effective plasma frequencies for all modes, which must correspond to the ratio of the squared effective charges and the mass of all ions in the unit cell (see, e.g., Ref. 20). Experimentally, we find a value of  $\Omega=2500 \text{ cm}^{-1}$ , compared to the theoretical value of  $2700 \text{ cm}^{-1}$ , which assumes ideal ionicity for all atoms. In calculating the ionic plasma frequency of CCTO, the main contributions result from  $\text{Ti}^{4+}$  and  $\text{O}^{2-}$ , while  $\text{Ca}^{2+}$  and  $\text{Cu}^{2+}$  ions contribute less than 1%. The fact that the experimentally observed plasma frequency is so close to that calculated for an ideal ionic solid demonstrates that, at least, the  $\text{TiO}_6$  octahedra are purely ionically bonded while the Cu-O subsystem can reveal partly covalent bonds. This seems to be in accord with the LSDA calculations.<sup>10,11</sup>

The strong ionicity and the weak hybridization between the oxygen  $2p$  levels and the titanium  $3d$  states<sup>10</sup> indicate that the underlying nature of the anomalies in CCTO are different from the origin of ferroelectricity in perovskite oxides: These model ferroelectrics require strong hybridization, charge distortion, and covalency.<sup>27</sup> However, also alternative routes to ferroelectricity were proposed, taking into account the strong polarizability of the  $\text{O}^{2-}$  ion,<sup>28</sup> and, recently, an attempt has been made to explain the optical response of CCTO utilizing these ideas.<sup>29</sup>

The dynamic conductivity beyond the phonon modes is consistent with two electronic excitations as theoretically predicted from LSDA band structure calculations.<sup>10</sup> They can be ascribed to transitions from the filled hybridized O  $2p$  and Cu  $3d$  bands to the empty O  $2p$ /Cu  $3d$  states and to the empty Ti  $3d$  orbitals, arising close to 0.75 and 3.0 eV, respectively.

#### ACKNOWLEDGMENTS

This research was supported by the European Commission via STREP: NUOTO, NMP3-CT-2006-032644, and partly by the Collaborative Research Program, SFB 484 (Augsburg). Stimulating discussions with D. Vollhardt are gratefully acknowledged.

- <sup>1</sup>M. A. Subramanian, D. Li, N. Duan, B. A. Reisner, and A. W. Sleight, *J. Solid State Chem.* **151**, 323 (2000); A. P. Ramirez, M. A. Subramanian, M. Gardel, G. Blumberg, D. Li, T. Vogt, and S. M. Shapiro, *Solid State Commun.* **115**, 217 (2000); M. A. Subramanian and A. W. Sleight, *Solid State Sci.* **4**, 347 (2002).
- <sup>2</sup>C. C. Homes, T. Vogt, S. M. Shapiro, S. Wakimoto, and A. P. Ramirez, *Science* **293**, 673 (2001).
- <sup>3</sup>W. Si, E. M. Cruz, P. D. Johnson, P. W. Barnes, P. Woodward, and A. P. Ramirez, *Appl. Phys. Lett.* **81**, 2056 (2002).
- <sup>4</sup>D. C. Sinclair, T. B. Adams, F. D. Morrison, and A. R. West, *Appl. Phys. Lett.* **80**, 2153 (2002); S.-Y. Chung, I.-D. Kim, and S.-J. L. Kang, *Nat. Mater.* **3**, 774 (2004).
- <sup>5</sup>P. Lunkenheimer, V. Bobnar, A. V. Pronin, A. I. Ritus, A. A. Volkov, and A. Loidl, *Phys. Rev. B* **66**, 052105 (2002).
- <sup>6</sup>P. Lunkenheimer, R. Fichtl, S. G. Ebbinghaus, and A. Loidl, *Phys. Rev. B* **70**, 172102 (2004).
- <sup>7</sup>S. Krohns, P. Lunkenheimer, S. G. Ebbinghaus, and A. Loidl, *Appl. Phys. Lett.* **91**, 022910 (2007).
- <sup>8</sup>Y. Zhu, J. C. Zheng, L. Wu, A. I. Frenkel, J. Hanson, P. Northrup, and W. Ku, *Phys. Rev. Lett.* **99**, 037602 (2007).
- <sup>9</sup>C. C. Homes, T. Vogt, S. M. Shapiro, S. Wakimoto, M. A. Subramanian, and A. P. Ramirez, *Phys. Rev. B* **67**, 092106 (2003).
- <sup>10</sup>L. He, J. B. Neaton, M. H. Cohen, D. Vanderbilt, and C. C. Homes, *Phys. Rev. B* **65**, 214112 (2002).
- <sup>11</sup>L. He, J. B. Neaton, D. Vanderbilt, and M. H. Cohen, *Phys. Rev. B* **67**, 012103 (2003).
- <sup>12</sup>C. McGuinness, J. E. Downes, P. Sheridan, P.-A. Glans, K. E. Smith, W. Si, and P. D. Johnson, *Phys. Rev. B* **71**, 195111 (2005).
- <sup>13</sup>B. Bochu, M. N. Deschizeaux, J. C. Joubert, A. Collomb, J. Chenavas, and M. Marezio, *J. Solid State Chem.* **29**, 291 (1979); A. Deschanvres, B. Raveau, and F. Tollemer, *Bull. Soc. Chim. Fr.* **11**, 4077 (1967).
- <sup>14</sup>R. Böhmer, M. Maglione, P. Lunkenheimer, and A. Loidl, *J. Appl. Phys.* **65**, 901 (1989); U. Schneider, P. Lunkenheimer, A. Pimenov, R. Brand, and A. Loidl, *Ferroelectrics* **249**, 89 (2001).
- <sup>15</sup>B. Gorshunov, A. Volkov, I. Spektor, A. Prokhorov, A. Mukhin, M. Dressel, S. Uchida, and A. Loidl, *Int. J. Infrared Millim. Waves* **26**, 1217 (2005).
- <sup>16</sup>F. Gervais, in *Infrared and Millimeter Waves*, edited by K. J. Button (Academic, New York, 1983), Vol. 8, Chap. 7, p. 279.
- <sup>17</sup>A. Kuzmenko, REFFIT, University of Geneva (<http://optics.unige.ch/alexey/reffit.html>).
- <sup>18</sup>A. K. Jonscher, *Nature (London)* **267**, 673 (1977).
- <sup>19</sup>P. Lunkenheimer and A. Loidl, *Phys. Rev. Lett.* **91**, 207601 (2003); P. Lunkenheimer, T. Rudolf, J. Hemberger, A. Pimenov, S. Tachos, F. Lichtenberg, and A. Loidl, *Phys. Rev. B* **68**, 245108 (2003).
- <sup>20</sup>T. Rudolf, Ch. Kant, F. Mayr, J. Hemberger, V. Tsurkan, and A. Loidl, *Phys. Rev. B* **76**, 174307 (2007); T. Rudolf, Ch. Kant, F. Mayr, and A. Loidl, *Phys. Rev. B* **77**, 024421 (2008).
- <sup>21</sup>R. A. Cowley, *Adv. Phys.* **12**, 421 (1963).
- <sup>22</sup>Y. Okimoto, T. Katsufuji, Y. Okada, T. Arima, and Y. Tokura, *Phys. Rev. B* **51**, 9581 (1995).
- <sup>23</sup>M. Eckstein, M. Kollar, and D. Vollhardt, *J. Low Temp. Phys.* **147**, 279 (2007).
- <sup>24</sup>T.-T. Fang and C. P. Liu, *Chem. Mater.* **17**, 5167 (2005).
- <sup>25</sup>S. Krohns, P. Lunkenheimer, S. G. Ebbinghaus, and A. Loidl, arXiv:0710.1610 (unpublished).
- <sup>26</sup>Y. J. Kim, S. Wakimoto, S. M. Shapiro, P. M. Gehring, and A. P. Ramirez, *Solid State Commun.* **121**, 625 (2002).
- <sup>27</sup>R. E. Cohen, *Nature (London)* **358**, 136 (1992).
- <sup>28</sup>R. Migoni, H. Bilz, and D. Bäuerle, *Phys. Rev. Lett.* **37**, 1155 (1976).
- <sup>29</sup>A. Bussmann-Holder and A. R. Bishop, *Phys. Rev. B* **68**, 155104 (2003).