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# ON THE H-FORCE NUMBER OF HAMILTONIAN GRAPHS AND CYCLE EXTENDABILITY

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### Abstract

The H-force number h(G) of a hamiltonian graph G is the smallest cardinality of a set  $A \subseteq V(G)$  such that each cycle containing all vertices of A is hamiltonian. In this paper a lower and an upper bound of h(G) is given. Such graphs, for which h(G) assumes the lower bound are characterized by a cycle extendability property. The H-force number of hamiltonian graphs which are exactly 2-connected can be calculated by a decomposition formula.

**Keywords:** cycle, hamiltonian graph, *H*-force number, cycle extendability.

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#### 1. Introduction

Throughout this paper, only finite graphs without loops or multiple edges are considered. The number of vertices of a graph G, i.e., its order will be denoted by n. We use the standard graph terminology according to [3].

Let G be a hamiltonian graph with vertex set V = V(G) and edge set E =E(G). A nonempty vertex set  $X \subseteq V(G)$  is called a hamiltonian cycle enforcing set (for short, H-force set) of G if every X-cycle of G (i.e., a cycle of G containing all vertices of X) is a hamiltonian one. Let h(G) denote the smallest cardinality of an H-force set of G and call it the H-force number of G. The concepts of H-force set and H-force number were first given by Fabrici et al. (see [4]) and studied there for several special families of hamiltonian graphs. Timková (see [9]) determined the H-force number of generalized dodecahedral graphs. Note also, that the concepts of H-force set and H-force number were extended to hamiltonian digraphs and hypertournaments in [10] and [7], respectively.

The authors in [4] observed that the H-force number h(G) of a hamiltonian graph G satisfies

- h(G) = 1 if and only if G is a cycle,
- h(G) = n if and only if G is 1-hamiltonian (that is, if G is hamiltonian and G v is hamiltonian for every  $v \in V$ ).

For a hamiltonian graph G, we define sets  $S = S(G) = \{x \in V \mid G - x \text{ is hamiltonian}\}$  and  $T = T(G) = \{x \in V \mid G - x \text{ is 2-connected}\}$ . Then, we have  $S \subseteq T$ . Let s(G) = |S(G)| and t(G) = |T(G)|.

**Proposition 1.** Let G be a hamiltonian graph and P be a path of G containing no branch vertex of G, i.e., no vertex of degree at least 3 in G. Then, every smallest H-force set  $F \subseteq V(G)$  contains at most one vertex of P.

Let  $\mathcal{H}$  be the family of hamiltonian graphs that do not contain adjacent vertices of degree 2. Also, let G' be the graph formed from a hamiltonian graph G by replacing each maximal path not containing a branch vertex by a single vertex. Then, G' is hamiltonian and has no adjacent vertices of degree 2, so  $G' \in \mathcal{H}$ . Because h(G') = h(G), it is sufficient to restrict our study to the family  $\mathcal{H}$ .

The main results of this paper are Theorems 2, 7, 8 and 11. Theorem 2 shows that s(G) and t(G) form bounds for the H-force number h(G). After this theorem, we discuss some consequences. Theorem 7 contains a decomposition formula for the H-force number of hamiltonian graphs which are exactly 2-connected. In Theorem 8 hamiltonian graphs G for which S(G) is an H-force set are characterized by a cycle extendability property. Eventually, a sum formula for hamiltonian graphs G with s(G) < h(G) is proved in Theorem 11.

## 2. Results and Proofs

**Theorem 2.** Let  $G \in \mathcal{H}$ . Then

$$s(G) < h(G) < t(G)$$
.

The proof of this theorem requires the following exchange property.

**Lemma 3.** Let  $G \in \mathcal{H}$  and let  $F \subseteq V$  be a smallest H-force set of G. Then, for every vertex  $v \in F \setminus T$  there exists a vertex  $u \in T$  such that  $(F \setminus \{v\}) \cup \{u\}$  is an H-force set of G.

**Proof.** Suppose there exists a vertex  $v \in V \setminus T$ . Then G is exactly 2-connected. Let C be any fixed hamiltonian cycle of G and w be a cut-vertex of G - v. Then, C consists of two v-w-paths  $P_1$  and  $P_2$  both of which have at least one inner vertex but no inner vertex in common. Since G is not a cycle, C has a chord.

But, there is no chord connecting an inner vertex of  $P_1$  with an inner vertex of  $P_2$ . Let  $F \subseteq V$  be a smallest H-force set of G (i.e., |F| = h(G)) and suppose  $v \in F$ .

Case 1. The cut-vertex w of G-v can be chosen so that each  $P_i$ , for i=1,2, has a chord of C, say  $x_iy_i$ . Then, the subpath  $(x_i,y_i)$  of  $P_i$  contains an inner vertex  $z_i$  such that  $z_i \in F$ . Otherwise, the  $x_i$ - $y_i$ -path on C which passes v forms together with  $x_iy_i$  a non-hamiltonian F-cycle. By the choice of F,  $F \setminus \{v\}$  is not an H-force set of G, i.e., G contains a non-hamiltonian  $(F \setminus \{v\})$ -cycle C' not passing v. Since  $z_1$  and  $z_2$  belong to different components of  $G - \{v, w\}$  and since w is a cut-vertex of G - v, every  $z_1$ - $z_2$ -path of G - v is passing w which contradicts the fact that C' is a cycle.

Case 2. By any choice of the cut-vertex w of G-v only one of  $P_1$  and  $P_2$  has a chord. Suppose for a fixed w that  $P_1$  has no chord. Then  $P_1$  has only one inner vertex u where  $d_G(u) = 2$ . Since every hamiltonian cycle of G passes the edge uv,  $F' := (F \setminus \{v\}) \cup \{u\}$  is also an H-force set of G. Moreover, we have  $u \in T$  because otherwise there exists a cut-vertex z of G-u which is also a cut-vertex of G-v. Hence, C consists of two v-z-paths (with no common inner vertices) such that both of them have at least one chord, a contradiction. That proves the assertion.

**Proof of Theorem 2.** Let  $F \subseteq V$  be any smallest H-force set of G. Suppose that S contains a vertex x such that  $x \notin F$ . A hamiltonian cycle C of G - x is, obviously, a non-hamiltonian F-cycle of G. That is a contradiction and proves  $S \subseteq F$  and, consequently,  $s(G) \leq h(G)$ .

Let  $F \subseteq V$  be a smallest H-force set of G. If  $F \subseteq T$  then  $h(G) \leq t(G)$  trivially holds. Otherwise, there exists an  $x \in F \setminus T$ . By Lemma 3 there is a  $y \in T$  such that  $(F \setminus \{x\}) \cup \{y\}$  is an H-force set of G, too. The repeated use of the above exchange property finally yields a smallest H-force set  $F' \subseteq T$  and proves the upper bound.

From the proof of Theorem 2, we have  $S \subseteq F$  and we can choose F such that  $F \subseteq T$ .

Corollary 4. Let  $G \in \mathcal{H}$ . Then,

- (i) s(G) = n if and only if h(G) = n.
- (ii) If s(G) = n 1, then h(G) = n 1.

**Proof.** Statement (i) is an immediate consequence of the lower bound in Theorem 2.

If s(G) = n - 1, then the lower bound of Theorem 2 implies  $h(G) \ge n - 1$ , and by (i) we have  $h(G) \ne n$  which proves (ii).

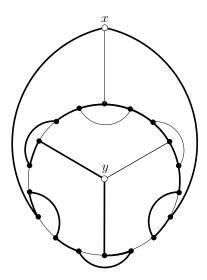


Figure 1

The graph G of order 20 shown in Figure 1 is hamiltonian (the bold painted edges form a hamiltonian cycle) with  $S = V \setminus \{x, y\}$  and with  $V \setminus \{x\}$  as a smallest H-force set confirms that the converse of statement (ii) does not hold.

Theorem 2 has the following two consequences. A planar graph is called *outerplanar* if it can be embedded in the plane in such a way that every vertex is incident with the unbounded face.

**Theorem 5.** Let  $G \in \mathcal{H}$  be outerplanar. Then h(G) corresponds to the number of vertices of degree 2 whose two neighbours are adjacent.

**Proof.** Let  $G \in \mathcal{H}$  be outerplanar and let  $x \in V$ . If  $d_G(x) \geq 3$  then  $x \notin T$  and also  $x \notin S$ . Assume otherwise  $d_G(x) = 2$  and let  $y, z \in V$  denote the neighbours of x. If  $yz \notin E$  then  $x \notin T$  and also  $x \notin S$ . If  $yz \in E$  then G - x is hamiltonian which yields  $x \in S$  and, consequently,  $x \in T$ . Hence, S = T and the statement can be deduced from Theorem 2.

In [4], the H-force number of an outerplanar hamiltonian graph G different from a cycle was proved to be equal to the number of leafs of the weak dual of G. The weak dual of an outerplanar graph G is a tree and is obtained from the dual of G by removing the vertex corresponding to the unbounded face.

**Theorem 6.** For  $G \in \mathcal{H}$ , h(G) = 2 if and only if t(G) = 2.

**Proof.** Suppose first h(G) = 2. Then by Lemma 3 there exists a smallest H-force set  $F = \{x, y\}$  of G such that  $F \subseteq T$ . Assume that there exists a vertex

 $v \in T \setminus F$  which means that G - v is 2-connected. Then, G - v and, consequently, G has two different x-y-paths with no common inner vertices. Hence, G has an F-cycle not passing v, a contradiction. That proves F = T and t(G) = 2.

Suppose now t(G) = 2. Since G is not a cycle we have  $h(G) \ge 2$ . And, by Theorem 2 we have  $h(G) \le 2$  which completes the proof.

In [4], hamiltonian graphs with H-force number 2 have been characterized already by a condition on crossed chords of a hamiltonian cycle. In [4] they also noted that every hamiltonian graph with h(G) = 2 is planar.

Now, we give a decomposition formula with respect to the H-force number of a hamiltonian graph which is exactly 2-connected. To that end, let  $G \in \mathcal{H}$  be a graph with vertices  $u, v \in V$  such that  $G - \{u, v\}$  is disconnected, i.e.,  $u, v \notin T$ . Any given hamiltonian cycle C of G can be divided into two u-v-paths  $P_1$  and  $P_2$  which have no inner vertices in common. For i = 1, 2, let  $G_i$  denote the graph which results from  $G[V(P_i)]$  (the subgraph of G induced by  $V(P_i)$ ) by introducing an additional vertex  $w_i$  ( $w_1 \neq w_2$ ) and edges uv,  $uw_i$ ,  $vw_i$ . Obviously,  $G_i$  is also a member of  $\mathcal{H}$ .

**Theorem 7.** Let  $G \in \mathcal{H}$  with  $u, v \in V(G)$  such that  $G - \{u, v\}$  is disconnected, and let  $G_1, G_2$  be graphs derived from G as described above. Then,

$$h(G) = h(G_1) + h(G_2) - 2.$$

**Proof.** On the one hand, from  $u, v \notin T(G_i)$  and Lemma 3 it follows that  $G_i$  has a smallest H-force set  $F_i \subseteq V(G_i)$  such that  $u, v \notin F_i$ .  $F_i$  contains  $w_i$  because  $G_i - w_i$  is hamiltonian. Let  $F := (F_1 \setminus \{w_1\}) \cup (F_2 \setminus \{w_2\})$  and let  $C_F$  denote an F-cycle of G.  $F_i \setminus \{w_i\}$  is not empty for i = 1, 2 which implies that neither  $G_1$  nor  $G_2$  contains  $C_F$  as a cycle. Suppose that  $C_F$  is not a hamiltonian cycle of G. Then, without loss of generality, there exists a vertex  $x \in V(G) \setminus V(G_2)$  which is not contained in F. Let  $P_{F,1}$  denote the u-v-path of  $C_F$  which is completely contained in  $G_1$ . Then, the cycle obtained by connecting  $P_{F,1}$  with the u-v-path  $(u, w_1, v)$  is an  $F_1$ -cycle of  $G_1$  which is not hamiltonian, a contradiction. Consequently, F is an H-force set of G and

$$h(G) \le |F| = |F_1 \setminus \{w_1\}| + |F_2 \setminus \{w_2\}| = (|F_1| - 1) + (|F_2| - 1)$$
$$= h(G_1) + h(G_2) - 2.$$

On the other hand, Lemma 3 implies that G has an H-force set  $F \subseteq V(G)$  where |F| = h(G) and  $u, v \notin F$ . Clearly,  $F_i := (F \cap V(G_i)) \cup \{w_i\}$  is a subset of  $V(G_i)$ . If  $C_i$  denotes an  $F_i$ -cycle of  $G_i$ , then  $C_i$  contains  $w_i$  and also the vertices u and v. Hence,  $C_i - w_i$  is a u-v-path of  $G_i$  and also of G. By connecting the u-v-paths  $C_1 - w_1$  and  $C_2 - w_2$  we obtain an F-cycle  $\tilde{C}$  in G. If  $C_i$  for i = 1 or 2 would not be hamiltonian in  $G_i$ , then  $\tilde{C}$  could not be hamiltonian in G.

This contradicts the fact that F is an H-force set of G and implies that  $F_i$  is an H-force set of  $G_i$ . Hence,

$$h(G) = |F| = (|F_1| - 1) + (|F_2| - 1) \ge (h(G_1) - 1) + (h(G_2) - 1) = h(G_1) + h(G_2) - 2$$

which proves the statement of Theorem 7

If, for example,  $G_t$  denotes the hamiltonian graph which consists of a "chain" of  $t \geq 1$  cube graphs (see Figure 2) then by induction and using Theorem 7 we obtain for the H-force-number  $h(G_t) = 2t + 2$ .

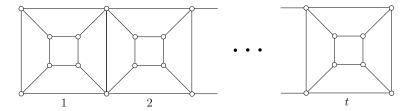


Figure 2

Next, we will give a characterization of hamiltonian graphs G such that S(G) is an H-force set of G and, consequently, h(G) = s(G). To this end, let us consider the concept of cycle extendable graphs (which was first investigated by Hendry in [5]) and weaken it in a suitable sense.

A cycle C of a graph G is called *extendable* if G contains a V(C)-cycle C' which has exactly one vertex more than C. A graph G is called *cycle extendable* if G contains a cycle and if every non-hamiltonian cycle is extendable. Cycle extendable graphs are obviously hamiltonian ones.

In [5], Hendry raised the problem whether every hamiltonian chordal graph is cycle extendable or not. Jiang proved in [6] that every planar hamiltonian chordal graph is also cycle extendable. Moreover, a hamiltonian graph which is an interval graph or a split graph has been proved to be cycle extendable, see [1] and also [2].

Now, we call a non-hamiltonian cycle C of a graph G weakly extendable if G contains a V(C)-cycle of length n-1. And, a graph G is called weakly cycle extendable if G is hamiltonian and if every non-hamiltonian cycle is weakly extendable. Trivially, every cycle extendable graph is weakly cycle extendable. Every outerplanar graph which belongs to  $\mathcal{H}$  is also weakly cycle extendable.

**Theorem 8.** Let  $G \in \mathcal{H}$ . Then, the following conditions are equivalent.

- (i) S(G) is an H-force set, i.e., h(G) = s(G).
- (ii) G is weakly cycle extendable.

**Proof.** Suppose that S = S(G) is an H-force set and that G contains a cycle C which is not weakly extendable. Then, G - x is not hamiltonian for each  $x \in V(G) \setminus V(C)$  which implies  $x \notin S$ . Hence, C is an S-cycle which contradicts our claim that S is an H-force set. Thus, G is weakly cycle extendable.

Now, let G be weakly cycle extendable and suppose that S is not an H-force set. If S is empty then G-x is not hamiltonian for each  $x \in V(G)$ . Since G is not a cycle, there exists a cycle C in G of length at most n-2, and G is not weakly extendable, a contradiction. So, suppose that G is not empty and let G be a non-hamiltonian G-cycle of G. Then, G is weakly extendable, i.e., G has a G-cycle G-c

$$x \in V(G) \setminus V(C') \subseteq V(G) \setminus V(C) \subseteq V(G) \setminus S$$

yields a contradiction which proves that S is an H-force set.

Hence, every weakly cycle extendable graph  $G \in \mathcal{H}$  has a uniquely determined smallest H-force set. In Figure 3, a not weakly cycle extendable graph with a unique smallest H-force set (the two black vertices) is presented.

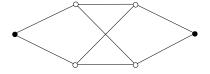


Figure 3

Theorem 9. Let  $G \in \mathcal{H}$ .

- (i) If  $s(G) \ge n-1$ , then G is weakly cycle extendable.
- (ii) If  $s(G) \leq 1$ , then G is not weakly cycle extendable.
- **Proof.** (i) If s(G) = n then G is 1-hamiltonian which implies that every non-hamiltonian cycle of G is weakly extendable. If s(G) = n 1 then every S-cycle is hamiltonian. For every other non-hamiltonian cycle C of G, there is an  $x \in S$  which is not contained in G. Since G x is hamiltonian, G is a cycle of G x and, consequently, weakly extendable in G.
- (ii) If s(G) = 0 then G has no cycle of length n-1, i.e., every non-hamiltonian cycle is not weakly extendable. If s(G) = 1 then, obviously, G has at least five vertices. Let be  $S = \{x\}$  and let G be a hamiltonian cycle of G x. Moreover, let G and G be two neighbors of G. Then, G passes G and G and consists of two G and G and G with no common inner vertex. At least one of these paths has more than one inner vertex. Otherwise, because of G and

 $P_2$  would have exactly one inner vertex which implies s(G) > 1, a contradiction. Suppose, now, that  $P_1$  has at least two inner vertices. Then,  $V(P_2) \cup \{x\}$  is the vertex set of a cycle C' of length at most n-2. C' cannot be weakly extendable in G because otherwise there would exist a V(C')-cycle of length n-1 in G which is different from C. That contradicts the claim  $S(G) = \{x\}$ .

For every integer  $n \ge 9$  and all k with  $2 \le k \le n-2$  we were able to construct a weakly cycle extendable graph of order n with H-force number k.

Now, let  $\mathcal{F} = \mathcal{F}(G)$  for a given graph  $G \in \mathcal{H}$  denote the family of all H-force sets of G. As is easily seen,  $\bar{\mathcal{F}} = \{X \subseteq V \mid X \notin \mathcal{F}\}$  is an independence system on V which means that  $\bar{\mathcal{F}}$  satisfies the following two properties.

- (M1)  $\emptyset \in \bar{\mathcal{F}}$ .
- (M2)  $X \in \bar{\mathcal{F}}, Y \subseteq X$  implies  $Y \in \bar{\mathcal{F}}$ .

In general, the independence system  $(V, \bar{\mathcal{F}})$  is not also a matroid which means that the property

(M3) If  $X, Y \in \bar{\mathcal{F}}$  and |X| = |Y| + 1, then there exists an  $x \in X \setminus Y$  such that  $Y \cup \{x\} \in \bar{\mathcal{F}}$ .

is not satisfied for every graph  $G \in \mathcal{H}$  (see, also [8]). Consider the hamiltonian graph G with vertex set  $V = \{1, 2, ..., 7\}$  which consists of the cycle (1, 2, ..., 7) and the chords 14 and 36. For G we have  $\{1, 2, 3, 4\} \in \bar{\mathcal{F}}$  and  $\{1, 2, 3, 6, 7\} \in \bar{\mathcal{F}}$  but, property (M3) is not satisfied for these two sets.

**Theorem 10.** If G is a weakly cycle extendable graph, then  $(V, \overline{\mathcal{F}})$  is a matroid.

**Proof.** Let  $X,Y\in\bar{\mathcal{F}}$  be two sets where |X|=|Y|+1. As G is weakly cycle extendable, G contains a Y-cycle C of length n-1. Let  $v\in V$  be the only vertex which does not belong to C. Hence,  $X\setminus\{v\}$  is a subset of V(C). If there is a vertex  $x\in X\setminus\{v\}$  with  $x\notin Y$ , then we have  $Y\cup\{x\}\in\bar{\mathcal{F}}$  and, consequently,  $Y\setminus\{x\}\in\bar{\mathcal{F}}$ . Otherwise, we have  $Y=X\setminus\{v\}$ . That yields  $Y\cup\{v\}=X\in\bar{\mathcal{F}}$  and proves the property (M3).

The maximal independent sets of the matroid  $(V, \bar{\mathcal{F}})$ , which are the members of  $\bar{\mathcal{F}}$  of maximal cardinality, are just the vertex sets of the cycles of length n-1 of G.

If C = C(G) denotes the set of all cycles in G which are not weakly extendable, then let  $(C_1, C_2, \ldots, C_m)$  denote a partition of C, i.e., C is the union of  $m \geq 1$  nonempty and disjoint subsets  $C_i$  of C(G). We call a partition  $(C_1, C_2, \ldots, C_m)$  vertex-unsaturated (for short, unsaturated) if  $V(C_i)$  where

$$V(\mathcal{C}_i) := \bigcup_{C \in \mathcal{C}_i} V(C)$$

is different from V(G) for i = 1, 2, ..., m. Now, let p(G) denote the smallest integer m for which there exists an unsaturated partition  $(\mathcal{C}_1, \mathcal{C}_2, ..., \mathcal{C}_m)$  of  $\mathcal{C}(G)$ .

**Theorem 11.** Let  $G \in \mathcal{H}$  be a graph that is not weakly cycle extendable. Then,

$$h(G) = s(G) + p(G).$$

**Proof.** First, let  $(C_1, C_2, \ldots, C_m)$  be an unsaturated partition of C(G) such that m = p(G). For  $i = 1, 2, \ldots, m$  let  $v_i \in V(G) \setminus V(C_i)$  be any fixed vertex. We prove that  $X := S(G) \cup \{v_1, \ldots, v_m\}$  is an H-force set which implies  $h(G) \leq s(G) + p(G)$ . For this purpose, let C be any non-hamiltonian cycle of G.

If there exists a V(C)-cycle C' of length n-1 in G, then S(G) contains a vertex v such that  $\{v\} = V(G) \setminus V(C')$ . Hence,  $v \notin V(C)$  and, consequently,  $X \not\subseteq V(C)$ . If there is no V(C)-cycle of length n-1 in G, then G contains a V(C)-cycle  $C'' \in \mathcal{C}(G)$ . In this case there exists a partition set  $\mathcal{C}_i$ ,  $1 \leq i \leq m$ , such that  $C'' \in \mathcal{C}_i$ . Then

$$v_i \in V(G) \setminus V(C_i) \subseteq V(G) \setminus V(C'') \subseteq V(G) \setminus V(C)$$

implies  $X \not\subseteq V(C)$ . Thus, every X-cycle is hamiltonian and X is an H-force set.

Assume now that there exists an H-force set X of G with less than s(G)+p(G) vertices. Since, by Theorem 8, S(G) is not an H-force set, there exists a nonempty subset  $Y \subseteq V(G) \setminus S(G)$  such that  $X = S(G) \cup Y$ . Because of the assumption we have |Y| < p(G). Note that every cycle  $C \in \mathcal{C}(G)$  is an S(G)-cycle because otherwise there would exist an  $x \in S(G) \setminus V(C)$  such that  $V(G) \setminus \{x\}$  is the vertex set of a cycle C' of length n-1 in G with  $V(C) \subseteq V(C')$ , a contradiction with respect to  $C \in \mathcal{C}(G)$ . Since, moreover, every X-cycle is hamiltonian, we have that for every  $C \in \mathcal{C}(G)$  there exists a vertex  $y \in Y$  such that  $y \notin V(C)$ .

For every  $y \in Y$ , let us define  $\mathcal{D}_y = \{C \in \mathcal{C}(G) \mid y \notin V(C)\}$ . Then, we have

$$\mathcal{C}(G) = \bigcup_{y \in Y} \mathcal{D}_y$$

and, because of  $\mathcal{C}(G) \neq \emptyset$ , there exists a vertex  $y_1 \in Y$  such that  $\mathcal{D}_{y_1} \neq \emptyset$ . Now, we are able to construct an unsaturated partition of  $\mathcal{C}(G)$ . To this end, let  $\mathcal{C}_1 := \mathcal{D}_{y_1}$  and  $Y_1 := Y \setminus \{y_1\}$ . We may assume that the partition sets  $\mathcal{C}_1, \ldots, \mathcal{C}_k$  with  $k \geq 1$  are already constructed. If  $Y_k$  contains a vertex  $y_{k+1}$  such that the set

$$\mathcal{D}_{y_{k+1}} \setminus \bigcup_{i=1}^k \mathcal{C}_i$$

is not empty, then let

$$\mathcal{C}_{k+1} := \mathcal{D}_{y_{k+1}} \setminus \bigcup_{i=1}^k \mathcal{C}_i.$$

This procedure terminates after at most |Y| - 1 steps and yields an unsaturated partition  $(C_1, \ldots, C_m)$  with m < p(G) which contradicts the definition of p(G).

As an immediate consequence of Theorem 11 we have

Corollary 12. Let  $G \in \mathcal{H}$  be a not weakly cycle extendable graph. Then, the following conditions are equivalent.

- (1) h(G) = s(G) + 1,
- (2)  $(\mathcal{C}(G))$  is unsaturated.

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