1	Other Primary Malignancies Among Women With Adult-type Ovarian
2	Granulosa Cell Tumors
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5	Saara Bryk, MD, PhD ^{1,2} , Eero Pukkala, PhD ^{3,4} , Anniina Färkkilä, MD, PhD ^{1,2} ,
6	Markku Heikinheimo, MD, PhD ^{2.5} , Leila Unkila-Kallio, MD, PhD ¹ , Annika Riska,
7	MD, PhD^1
8	
9	1 Obstetrics and Gynecology, University of Helsinki and Helsinki University Hospital
10	2 Children's Hospital, University of Helsinki and Helsinki University Hospital
11	3 Finnish Cancer Registry, Institute for Statistical and Epidemiological Cancer
12	Research, Helsinki, Finland
13	4 Faculty of Social Sciences, University of Tampere, Tampere, Finland
14	5 Department of Pediatrics, Washington University School of Medicine, St Louis
15	Children's Hospital, St Louis, Missouri
16	
17	Corresponding author
18	Saara Bryk, M.D., Ph.D.
19	Obstetrics and Gynecology, University of Helsinki and Helsinki University Hospital,
20	PO Box 140, 00290 Helsinki, Finland
21	e-mail: <u>saara.bryk@hus.fi</u>
22	Tel: +358503559848
23	
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- 1 Abstract
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Objective To determine the incidence of new primary malignancies after adult-type
granulosa cell tumor (AGCT), and the incidence of AGCT after breast and uterine
cancer using nationwide population-based registry data.

Methods We identified all patients diagnosed with AGCT in 1968-2013 from the
Finnish Cancer Registry (n=986). The number of subsequent primary malignancies
among women with AGCT, and the number of AGCTs in women with previous
breast or uterine cancer were compared with the expected number of cases, and
expressed as Standardized Incidence Ratios (SIRs).

11 Results There were 122 cases of subsequent cancers diagnosed at least six months 12 after the primary diagnosis of AGCT (SIR 1.09, 95% CI 0.91-1.3). Particularly, the 13 observed number of cancers of the soft tissue (SIR 4.13, 95% CI 1.33-12.8), thyroid 14 (SIR 3.42, 95% CI 1.54-7.62), and leukemia (SIR 2.67, 95% CI 0.98-5.82) exceeded 15 the number of expected cases. The SIR for breast cancers after AGCT was 1.26 (95% 16 CI 0.92-1.73), and the SIR for AGCT after breast cancer 1.59 (95% CI 1.04-2.29). 17 The risk for subsequent AGCT was more than two-fold in breast cancer patients less 18 than 50 years of age, and over 15 years after primary diagnosis.

19 Conclusions There is an increased risk for thyroid and soft tissue cancer as well as 20 leukemia after AGCT, which may be associated with late effects of carcinogenic 21 treatments and possibly shared risk factors. After breast cancer, the risk for AGCT 22 was higher, which may indicate shared hormonal etiology.

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27 Adult type-granulosa cell tumors of the ovary (AGCTs) account for 5% of all 28 ovarian neoplasms, and constitute the majority of sex cord-stromal tumors¹. The 29 recently reported age-adjusted (World Standard) incidence rates (truncated to age 30 categories 20 years or older) of AGCT average around 0.6-0.8/100,000 women, and peak after menopause². AGCTs are characterized by their estrogen-secreting ability, 31 32 although it has been estimated that approximately 30% of these tumors do not secrete 33 estradiol, likely due to lack of theca cells in the tumor stroma¹. A single somatic point 34 mutation in the transcription factor FOXL2 (402C-G) is the pathognomonic molecular 35 feature for AGCTs³. Otherwise, the etiological factors remain unknown, although 36 some studies have suggested a possible hormonal background for these tumors^{4, 5}. 37 According to current knowledge, there is no hereditary predisposition for the 38 development of AGCT.

39 The diagnosis is usually made at an early stage, partly due to symptoms related to hormone secretion, and the disease tends to run an indolent course. 40 41 Excessive exposure to tumor-derived estrogen among these patients leads to an 42 increased risk of concomitant endometrial pathology and endometrial cancer ⁶⁻⁹. 43 There are, however, only a few studies focusing on other primary malignancies in women with AGCT^{10, 11}. In general, the risk of other primary malignancies after 44 45 ovarian cancer is associated with either inherent genetic or lifestyle-related extrinsic risk factors, or carcinogenic treatment regimens ¹²⁻¹⁴. As other, particularly endocrine-46 47 related cancers may share etiological factors with AGCTs, it is of interest to study the 48 potential association of these cancers, especially breast and uterine cancer. The object

- of our study was to evaluate the incidence of all other primary cancers after AGCT, aswell the incidence of AGCT after breast or uterine cancer.
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52 Materials and methods

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54 In this retrospective cohort study, we identified all patients diagnosed with 55 AGCT in Finland during 1968-2013 from the Finnish Cancer Registry (FCR). The 56 FCR is a high-quality, population-based registry relying on unique personal identity 57 codes. The personal identity code is a specific means of identification, which remains 58 unchanged throughout the person's lifetime, and has been used in Finland since the 59 1960s. Physicians, hospitals, and pathology and hematology laboratories in Finland 60 are obliged to report all malignant tumors to the FCR, resulting in a nearly complete registration of all cancer cases¹⁵. Information on vital status and emigration was 61 62 obtained from the Population Register Center, which is directly linked to the FCR 63 information.

64 AGCTs were retrieved from the registry applying the ICD topography code 65 C56.9 with morphology codes M8620/1, 8620/3, 8621/1, and 8621/3. The incidence 66 rates of AGCT during the follow-up period were calculated, and adjusted for age to 67 the World Standard Population. All patients were followed up for second primary 68 cancer from the date of first diagnosis (1968-2013) to the date of death, date of 69 migration, or until December 31st, 2013. In order to identify concomitant cancers and 70 surveillance bias, the analyses were carried out in two subgroups: 1) all subsequent 71 tumors after AGCT, and 2) all subsequent tumors except those occurring within six 72 months after AGCT. Subsequent primary tumors were grouped in 18 categories based 73 on cancer site, and included ICD-codes C00-96, D32-33, D42-43, D45-47, and D76

74 (mouth/pharynx, digestive organs, respiratory organs, breast, female genitalia, urinary 75 organs, melanoma of the skin, skin (other than melanoma), eye, thyroid gland, other 76 endocrine glands, bone, soft tissues, mesothelioma, autonomic nervous system, 77 brain/central nervous system, lymphoid/hematopoietic tissue, other/not defined). The 78 number of new primary malignant tumors among women with previous AGCT was 79 compared with the expected number of cases calculated from the accumulated person-80 years and incidence rates for the national population, stratified by age and year of 81 diagnosis.

82 Secondly, we analyzed the number of subsequent AGCTs in women with a 83 first primary breast or uterine cancer (ICD C54 and C50), and compared it with the 84 expected number of AGCTs. These analyses were likewise performed separately on 85 all subsequent AGCTs as well as those occurring within 6 months of the primary 86 cancer diagnoses. The ratio of observed to expected cases was defined as the 87 Standardized Incidence Ratio (SIR), and 95% confidence intervals (CI) were 88 calculated. The SIRs were also stratified for time since first primary cancer diagnosis 89 (0-4 years, 5-14 and 15+ years after the diagnosis of first primary tumor), for age at 90 the first primary cancer diagnosis (<50 or 50 years or older), and in breast cancer also 91 for the invasion status (localized vs. non-localized).

92 The ethics committee of Helsinki University Hospital (HUH) and the National
93 Supervisory Authority for Welfare and Health approved the study.

- 94
- 95
- 96 **Results**

In 1968-2013, a total of 986 women in Finland were diagnosed with AGCT.
The age-adjusted (World Standard) incidence varied between 0.4 and 0.9 per 100,000
women, with approximately 20 cases each year (Figure 1). The logarithmic trend line
suggests a decreasing trend in the incidence of AGCT over the 45-year study period.

102 After the diagnosis of AGCT, 122 cases of new primary malignant tumors 103 were recorded, resulting in a 12.4% rate of second malignancies among AGCT 104 patients. The expected number was 111.7 (SIR 1.09, 95% CI 0.91-1.3) (Table 1). If 105 also cancers diagnosed within six months of AGCT were included, the total rate was 106 13.9% and SIR 1.19 (95% CI 1-1.41, p=0.04). The SIR for these cancers only was 107 5.00 (95% CI 2.80-8.23). The median interval between the diagnosis of AGCT and 108 second primary tumor was 19.2 years (range 0.02-45.6 years). In a minimum time of 109 six months from the primary cancer diagnosis, the observed number of thyroid cancer, 110 soft tissue cancer, and leukemia exceeded the number of expected cases significantly 111 (Table 1). The SIRs were also elevated for cancers of the oropharynx, breast, urinary 112 organs, skin (non-melanoma), and mesothelioma, but not significantly (Table 1). 113 There were less than expected cancer cases in the uterine corpus and ovaries. For all 114 subsequent cancers, the SIRs stratified for follow-up time were 0.75 for 0.5-4 years 115 (95% CI 0.45-1.15), 0.98 for 5-14 years (95% CI 0.72-1.30), and 1.40 (95% CI 1.07-116 1.78) for more than 15 years after diagnosis of AGCT. The SIR was higher for 117 patients who were less than 50 years of age at primary diagnosis (SIR 1.31, 95% CI 118 (0.96-1.75). The results were largely similar when also second primary cancer cases 119 diagnosed within six months after AGCT were included, with the exception of uterine 120 cancer.

121 The SIR for breast cancer after AGCT was 1.4 after at least five years of 122 primary cancer diagnosis (Table 2). Subsequent breast cancer was somewhat more

123 common in patients who were at least 50 years old at the time of AGCT diagnosis 124 (SIR 1.31, 95% CI 0.86-1.91). The SIR was only elevated in localized breast cancer 125 (SIR 1.36, 95% CI 0.86-2.02), as opposed to non-localized breast cancer (SIR 0.83, 126 95% CI 0.42-1.46). In patients who had breast cancer diagnosed primarily, there were 127 25 cases of subsequent AGCTs during follow-up (Table 3). The SIR for AGCT after 128 breast cancer was 1.59 (95% CI 1.04-2.29), and increased with time since breast 129 cancer diagnosis to 2.28 (95% CI 0.98-4.41) in the follow-up category of 15 years or 130 more. For age below 50 years at breast cancer diagnosis the SIR was 2.10 (95 % CI 131 1.09-3.59).

132 From the cancers diagnosed within six months of AGCT, uterine cancer 133 accounted for 33% (n=5), digestive organs 27% (n=4), and breast cancer 13% (n=2) 134 of these cases. Other malignancies reported within this follow-up period included 135 cancers of the urinary tract, and lymphoid/hematopoietic tissue. After uterine cancer, 136 AGCT was diagnosed in 20 women within six months (SIR 4.99, 95% CI 3.18-7.37), 137 whereas two women developed AGCT more than 6 months after primary uterine 138 cancer diagnosis. All women with uterine cancer and subsequent AGCT were at least 139 50 years of age at the time of the uterine cancer diagnosis (SIR 6.21, 95% CI 4.09-140 9.42).

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142 **Discussion**

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The indolent course, relatively low disease-related mortality and estrogensecreting capability of AGCT result in a clinically relevant lifetime risk for developing a second primary cancer. On the other hand, the etiological factors of AGCT are largely unknown, and a common predisposing factor may exist behind

148 AGCT and other hormone-related cancers. To our knowledge, this is the largest and 149 first study since Björkholm et al. in 1980¹¹ to analyze all second primary malignancies 150 among AGCT patients. In our study, women with AGCT had a 9% increased risk of 151 developing a new primary malignancy as compared with the general population. If 152 cancers diagnosed within six months after the primary tumor were included, the risk 153 was significantly increased by 19%. The large difference in these figures is mainly 154 explained by the presence of concomitant endometrial cancer, but the significant 155 number of cancers of the digestive organs diagnosed within six months of AGCT 156 most likely also reflects the increased surveillance among cancer patients in general.

157 Two recent publications have described the incidence of endometrial cancer 158 and breast cancer among patients with AGCT^{6, 10}. Van Meurs et al. found a 6% rate 159 of endometrial cancer concomitant with the diagnosis of AGCT, but no increased risk 160 for endometrial abnormalities in the median follow-up time of 10 years after AGCT 161 for patients not having undergone hysterectomy⁶. Other population-based studies have 162 reported 5-8% rates of concomitant endometrial cancer^{8,9,11}, and we reported similar rates in a large, single-institute patient cohort¹⁶. In the current population-based 163 164 registry cohort, the rate was 2.5% when patients diagnosed primarily with either 165 AGCT or uterine cancer and a subsequent uterine cancer or AGCT within six months 166 of primary diagnosis were included. This relatively low rate may reflect a proportion 167 of previously hysterectomized patients, since they could not be excluded from the 168 original cancer registry data. This would also explain the higher incidence in hospital-169 based cohorts, as solely patients with endometrial sample available have been 170 evaluated.

We found an increased risk for breast cancer both before and after diagnosis ofAGCT, although the risk was significant only before AGCT. This is a similar finding

173 to the smaller Danish, Israeli and US studies where the rate of breast cancer among 174 AGCT patients was 5-10% ^{9, 10, 17}. In our study, the rate of breast cancer was 6.9% 175 among all women with AGCT. After AGCT, the risk was confined to localized breast 176 cancers, which may indicate towards surveillance bias, i.e. the increased frequency 177 and intensity of clinical follow-up and examination among patients with previously 178 diagnosed cancer. There was a relatively long latency between breast cancer and 179 AGCT regardless of which cancer was the first primary tumor, which does not 180 support genetic susceptibility. AGCT is neither associated with any of the known 181 predisposing mutations to breast cancer such as BRCA1 and BRCA2 mutations, nor is the FOXL2 mutation pathognomonic to AGCT present in breast carcinoma^{1, 18}. In 182 183 the present study, the risk for subsequent AGCT in breast cancer patients was 184 significantly increased in women who were younger than 50 years at primary 185 diagnosis, which probably reflects the long follow-up time, as the latency between the 186 cancers was also long. Shared etiological factors such as obesity, parity, and hormonal 187 environment offer a possible explanation for the increased incidence of breast cancer 188 and AGCT among same women. Obesity represents a hyperestrogenic state and is a known risk factor for breast cancer¹⁹, and has been suggested as a risk factor for 189 190 AGCT⁴. In post-menopausal women, breast cancer risk is around twice as high in those with the highest sex hormone levels compared to those with the lowest²⁰. Parity, 191 192 on the other hand, is a protective factor in both breast and ovarian cancer ^{21, 22}.

The effects of primary cancer treatment may influence the development of second primary AGCT. Selective estrogen receptor modulators (SERMs) such as tamoxifen are used to treat hormone-receptor positive breast cancer, and three case reports have linked antecedent tamoxifen use with the development of AGCT²³⁻²⁵. Furthermore, aromatase inhibitors such as letrozole are used in the treatment of both

postmenopausal breast cancer and AGCT^{26, 27}. However, further evidence is
warranted to establish a causal link between hormonal breast cancer treatment and the
development of AGCT.

201 Similarly to an earlier study, we found an increased risk for thyroid cancer 202 among patients with previous AGCT, but it should be noted that the number of cases is rather small in both studies¹¹. It has been proposed that female hormones, 203 204 reproductive factors, and obesity also play a role in thyroid cancer pathogenesis, but there are no consistent data linking ovarian and thyroid cancer^{28, 29}. *DICER1* germline 205 206 mutation carriers have a predisposition to both thyroid cancer and sex cord-stromal, particularly Sertoli-Leydig cell tumors^{30, 31}. Cancer registry data are not, however, 207 208 molecularly validated, and there is a possibility that some of the tumors identified as 209 AGCT may actually represent other sex cord-stromal tumors.

210 We also found significantly increased SIRs for soft tissue cancer and leukemia 211 after AGCT. The development of secondary soft tissue sarcoma is strongly associated 212 with radiation exposure from radiotherapy, especially after breast cancer^{32, 33}. No 213 association between female reproductive factors and the development of soft tissue cancer has been detected, but the studies in this field are scarce³⁴. As nowadays 214 215 radiotherapy is rarely used in the treatment of AGCT, the increased SIR for soft tissue 216 cancer is most likely related to shared risk factors. Furthermore, radiotherapy for 217 ovarian cancer is known to be associated with bladder carcinoma, but in our series, 218 the SIR for bladder cancer was not significantly elevated after AGCT³⁵. Adjuvant 219 therapy is used in the management of metastatic or recurrent AGCT, and presently 220 consists of platinum-based chemotherapeutic agents or more recently, hormonal treatments^{26, 36}. Late effects of chemotherapy may include increased risk for leukemia, 221 222 and most likely explains the high incidence of this cancer among patients with

previous AGCT^{12, 14}. Two large population-based studies on second malignancies after ovarian cancer of any type both reported significantly elevated SIRs for cancers of the colon, rectum, lung, breast, bladder, and thyroid, as well as for leukemia, and the risk for subsequent cancer development was associated with older age, chemoand radiotherapy^{12, 35}.

This is the largest study to date in analyzing the risk for other primary malignancies associated with AGCT. The strengths of this study are the reliable and comprehensive cancer registry incidence data, and the long observation period of over 40 years. The rarity and the lack of molecular validation of AGCT, as well as of individual data such as parity, BMI, or use of hormonal therapies are limiting factors in this analysis.

234 In conclusion, we found a slightly elevated risk for overall second 235 malignancy, particularly thyroid and soft tissue cancer, and leukemia. Partly these 236 excesses may result from carcinogenic treatments for AGCT. The increased incidence 237 of AGCT and breast cancer among the very same patients may indicate shared 238 hormonal etiology. Earlier studies have concluded that breast cancer patients have a 239 higher incidence of second primary ovarian cancer, particularly when diagnosed 240 before 50 years of age; this patient group might benefit from regular gynecological 241 surveillance³⁷⁻³⁹. This seems to be true also for AGCT after breast cancer, which 242 should be recognized in patient counseling and long-term clinical follow-up after the 243 primary tumor.

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254	The authors declare no conflict of interest.
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376 Figure legends

378 Figure 1. Incidence of adult-type ovarian granulosa cell tumors (AGCTs) in Finland

in 1968-2013, with a logarithmic trend line (dotted).

Table 1. Risk of subsequent primary malignancies among Finnish women with previous adult-type ovarian granulosa cell tumor (AGCT) in 1968-2013, by site.

Second primary tumor site	Observed	Expected	SIR	95% CI	p-value
All	137	114.7	1.19	1-1.41	0.04
All, diagnosis within 6 months of AGCT	15	3.00	5.00	2.80-8.23	< 0.001
All, diagnosis > 6 months after AGCT	122	111.7	1.09	0.91-1.3	0.33
Mouth, pharynx	3	1.7	1.76	0.57-5.45	0.33
Digestive organs	24	25.7	0.93	0.63-1.39	0.74
Respiratory organs	5	6.3	0.79	0.33-1.90	0.60
Skin, melanoma	3	3.0	0.99	0.32-3.06	0.98
Skin, non-melanoma	9	4.8	1.86	0.97-3.58	0.06
Soft tissues	3	0.7	4.13	1.33-12.8	0.01
Breast	38	30.2	1.26	0.92-1.73	0.15
Female genitalia	5	14.3	0.35	0.15-0.84	0.02
Corpus uteri	0	7.1	0.00	0.00-0.52	0.01
Ovary	1	4.3	0.23	0.01-1.30	0.18
Cervix uteri	2	1.3	1.49	0.18-5.38	0.89
Other	2	1.5	1.38	0.17-4.98	0.97
Urinary organs	8	5.6	1.43	0.71-2.86	0.31
Bladder and urinary tract	3	2.4	1.27	0.26-3.73	0.92
Brain, central nervous system	3	3.9	0.76	0.25-2.36	0.64
Thyroid gland	6	1.8	3.42	1.54-7.62	0.003
Lymphoid and hematopoietic tissue	11	9.6	1.15	0.64-2.07	0.65
Leukemia	6	2.2	2.67	0.98-5.82	0.03
Other or not defined	3	3.4	0.88	0.28-2.72	0.82

SIR= standardized incidence ratio, CI = confidence interval. Sites with < 3 observed cases are excluded, with the exception of cancers of the female genitalia.

Table 2. Risk of subsequent breast cancer among Finnish women with previous adulttype granulosa cell tumor (AGCT) in 1968-2013, by age at and time since AGCT diagnosis, and breast cancer invasion.

	Observed	Expected	SIR	95% CI	p-value
All	40	31	1.29	0.93-1.73	0.11
All, diagnosis > 6 months after AGCT	38	30.2	1.26	0.9-1.7	0.15
Follow-up time (years)					
0-4	6	6.9	0.87	0.34-1.76	0.73
5-14	17	12.3	1.38	0.82-2.15	0.18
≥15	15	10.9	1.37	0.79-2.19	0.22
Age at AGCT diagnosis					
<50	14	11.9	1.18	0.66-1.91	0.54
≥ 50 Breast cancer invasion ¹	24	18.3	1.31	0.86-1.91	0.18
Localized	21	15.5	1.36	0.86-2.02	0.16
Non-localized	10	12.0	0.83	0.42-1.46	0.56

AGCT= adult-type ovarian granulosa cell tumor, SIR= standardized incidence ratio, CI

= confidence interval.

¹Invasion status unknown in seven cases

Table 3. Risk of subsequent adult-type granulosa cell tumors (AGCTs) among Finnish women with breast cancer in 1968-2013, by age at and time since breast cancer diagnosis.

	Observed	Expected	SIR	95% CI	p-value
All	28	16.6	1.69	1.14-2.4	0.006
All, diagnosis > 6 months after breast cancer	25	15.7	1.59	1.04-2.29	0.02
Follow-up time (years)					
0-4	8	5.9	1.35	0.62-2.52	0.39
5-14	10	6.8	1.48	0.74-2.59	0.22
≥15	7	3.1	2.28	0.98-4.41	0.03
Age at breast cancer diagnosis					
<50	11	5.2	2.10	1.09-3.59	0.01
≥50	14	10.5	1.33	0.75-2.16	0.28

SIR= standardized incidence ratio, CI = confidence interval.



Figure 1. Incidence of adult-type ovarian granulosa cell tumors (AGCTs) in Finland in 1968-2013, with a logarithmic trend line (dotted).