1	Time course of motor gains induced by Music-Supported Therapy after
2	stroke: An exploratory case study
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Abstract

OBJECTIVE: Previous studies have shown that Music-Supported Therapy (MST) can improve the 33 motor function and promote functional neuroplastic changes in motor areas. However, the time 34 35 course of the motor gains across MST sessions and treatment periods remain unknown. This study 36 aimed to explore the progression of the rehabilitation of motor deficits in a chronic stroke patient 37 during 7 months. 38 **METHOD:** A reversal design (ABAB) where no treatment was provided in the A periods and MST was applied in the *B* periods was implemented in a chronic stroke patient. Each period comprised 4 39 weeks and an extensive evaluation of the motor function using clinical motor tests and 3D movement 40 analysis was performed weekly. Moreover, during the MST periods, a keyboard task was recorded 41 daily. A follow-up evaluation was performed 3 months after the second MST treatment. 42 **RESULTS:** Improvements were seen during the first sessions in the keyboard task but clinical gains 43 were noticeable only at the end of the first treatment and during the second treatment period. These 44 gains were maintained in the follow-up evaluation. 45 **CONCLUSIONS:** This is the first study examining the pattern of motor recovery progression in 46 MST, evidencing that gradual and continuous motor improvements are possible with the repeated 47 application of MST training. Besides, fast-acquisition in specific motor abilities was observed at the 48 beginning of the MST training but generalization of these improvements to other motor tasks took 49

- 50 place at the end or when another treatment period is provided.
- 51 *Keywords:* Stroke, motor rehabilitation, Music-Supported Therapy

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54 **Public significance statement:**

- 55 This study examined the progression of the motor and functional gains of a chronic stroke patient
- 56 treated with Music-Supported Therapy during two different periods. The patient showed significant
- 57 improvements in the clinical motor domain at the end of the first and second treatment periods. Some
- of these gains were maintained over time in a follow-up evaluation performed at 3 months.

59 Time course of motor gains induced by Music-Supported Therapy after stroke: a case study

Motor deficits are the most common outcome after a stroke and can include weakness, spasticity, slowness, or tremor (Pomeroy et al., 2011). Around 75% of stroke patients present paresis of the contralateral upper extremity (UE) (Rathore, Hinn, Cooper, Tyroler, & Rosamond, 2002) which can affect coordination, precision and dexterity, limiting the performance of activities of daily living (Langhorne, Bernhardt, & Kwakkel, 2011). Overall, motor deficits have a fundamental impact on the lives of stroke patients, restricting their participation in various family, work, and leisure contexts and markedly reducing their quality of life (Visser et al., 2015).

The rehabilitation of motor deficits aims to facilitate plastic changes in the brain to restore lost 67 functions (Cramer et al., 2011) through the use of tasks involving massed practice (Carmichael & 68 Krakauer, 2013), shaping, modeling and feedback to promote skill learning (Krakauer, 2006). In this 69 70 context, it is important that the tasks used in the rehabilitation process are experienced as motivating 71 by patients, having real world relevance (Timmermans, Spooren, Kingma, & Seelen, 2010). In this vein, music has emerged as a very promising tool in neurorehabilitation since musical activities place 72 73 unique demands on the nervous system (Zatorre, Chen, & Penhune, 2007) and can be adapted to utilize many basic principles of neurorehabilitation. 74

75 Music-Supported Therapy (MST) was developed for stroke patients by Schneider and colleagues (Schneider, Schönle, Altenmüller, & Münte, 2007) with the aim of enhancing the 76 hemiparesis of the UE through musical playing. Recent studies in both acute and chronic stroke 77 patients have shown that MST can improve UE motor deficits, including gains in dexterity and 78 79 movement kinematics (Altenmüller, Marco-Pallares, Münte, & Schneider, 2009; Rojo et al., 2011). 80 MST is aimed at promoting neuroplastic changes similar to those occurring during and after normal motor skill learning and training. Learning to play an instrument promotes functional and structural 81 changes in motor and sensory regions of the brain as seen in musicians as well as in naïve individuals 82

receiving intensive musical training (Altenmüller & Schlaug, 2015; Schlaug, 2015). In chronic stroke
patients, Ripollés and colleagues (Ripollés et al., 2015) recently observed neuroplastic changes
induced by MST, showing a reduction of activation in contralesional motor cortical areas during a
simple motor task, resulting in a motor activation pattern more similar to that of a normally
functioning brain. Moreover, an increase in the excitability of the sensorimotor cortex as well as
changes in the cortical motor representation have been reported in subacute and chronic stroke
patients treated with MST (Amengual et al., 2013; Grau-Sánchez et al., 2013).

One of the key features of MST may be the role of the auditory feedback in the training since 90 music playing requires the interaction of auditory-motor networks. It has been widely observed that 91 92 auditory-motor co-activation takes place not only during musical performance but also when 93 listening to musical pieces or when playing a silent instrument (Bangert et al., 2006; Baumann, Koeneke, Meyer, Lutz, & Jäncke, 2005). To account for this effect, a feedforward and feedback 94 model has been proposed where the internal motor representation and the auditory expectation might 95 influence the final motor output and also evaluate the performance of on-line movements (Zatorre et 96 al., 2007). This sensory-motor interplay seems to be disrupted in stroke patients (Rodriguez-Fornells 97 et al., 2012). However, it has been found that the activity of the premotor cortex, supplementary 98 motor area, and precentral gyrus increases when stroke patients treated with MST listen to the trained 99 100 melodies. Moreover, functional connectivity analysis has revealed that this co-activation of auditory 101 and motor regions could be re-established in chronic stroke patients after MST (Ripollés et al., 2015). In these studies investigating the plastic changes associated to MST (Amengual et al., 2013; Grau-102 103 Sánchez et al., 2013; Ripollés et al., 2015), a proper control patient group treated with a different therapy has not been assessed and therefore, it is not possible to establish a direct link between the 104 implications of auditory-motor circuits in motor recovery. Further research is needed to elucidate the 105 106 mechanisms of neural plasticity promoted by MST.

Interestingly, MST can have a positive impact not only on the motor domain, but also on 107 cognition, mood, and quality of life. In a recent study, chronic patients treated with MST showed a 108 cognitive improvement in attention, speed of processing, and rate of verbal learning (Ripollés et al., 109 2015). Moreover, MST was found to reduce negative affective symptoms and increase positive affect 110 and quality of life. Similarly, also daily music listening has been found to enhance the recovery of 111 verbal memory and focused attention and prevent negative mood in acute stroke patients. These 112 behavioral gains were linked functionally to enhanced neural efficiency of auditory encoding, as 113 indexed by the mismatch negativity (MNN) response, and structurally to increased grey matter 114 volume in spared prefrontal and limbic regions (Särkämö et al., 2010, 2014). Overall, music has a 115 remarkable ability to elicit positive emotions, motivate and engage patients with the rehabilitation 116 activity, and promote recovery and brain plasticity (Altenmüller & Schlaug, 2015). 117

However, some aspects of MST still remain unclear. First, previous studies have only 118 evaluated patients at the beginning and at the end of the treatment. Therefore, the exact time course 119 and the intersession progression of the different motor effects of MST across treatment have not been 120 systematically explored. Second, MST protocols usually are applied in a period of 3 or 4 weeks but 121 the potential benefit of repeating or extending the training has not been studied. Consequently, taking 122 in consideration the first and second aspects, an optimal dose-response has not been established. 123 124 Thirdly, it is unclear if the motor improvements in chronic stroke patients treated with MST are 125 maintained without treatment over time. Finally, the degree to which the gains observed in playingrelated motor skills transfer to other motor tasks and generalize to better activities of daily living 126 127 functions, is still largely undetermined.

Here, we present a stroke patient case study with an ABAB design, which aimed to (i) explore the progression of the rehabilitation of the motor deficits throughout the sessions of a 4-week MST treatment, (ii) examine the effects of a second MST treatment period in the motor and functional

domain, (iii) study the retention of gains during an off-treatment period and (iv) investigate the
generalization of gains to activities of daily living. Our hypotheses were that (i) the enhancement of
playing-related motor skills and general motor skills induced by the MST would show a different
temporal trajectory; (ii) the second MST period would be important for enhancing functional gains;
(iii) motor gains would be maintained over time and (iv) transfer or generalization to other motor task
would occur at the end of the training.

137

Method

138 Participants

The patient was a 55-year-old right-handed male who suffered an ischemic stroke 18 months 139 prior to his enrollment in the study at the Department of Physical Medicine and Rehabilitation of the 140 [masked hospital], [masked city and country]. The stroke was located in the right anterior choroidal 141 artery, causing lesions in the right internal capsule and thalamus, and was classified as a small vessel 142 occlusion following the TOAST criteria (Adams et al., 1993). Figure 1A shows a structural MRI T1-143 image of the lesion. At the acute stage, the patient presented dysarthria, inferior left facial paresis and 144 hemiplegia in the left upper and lower extremities, with a score of 11 in the National Institute of 145 Health Stroke Scale (NIHSS) that assesses presence and severity of symptoms after stroke (Brott et 146 147 al., 1989). After being in the stroke unit, the patient received 8 months of a standard neurorehabilitation program in an intensive rehabilitation outpatient facility and was discharged 10 148 149 months prior his participation in the study. At the beginning of the study, the patient still presented a 150 slight paresis of the left upper extremity (UE) and mild paresis in the lower left extremity, needing a walking stick (NIHSS score= 3) and affecting the ability of the patient to perform instrumental 151 152 activities of daily living. In regard to his education and past occupations, the patient studied until the age of 19, completing a vocational training course in mechanics. He worked as a security guard for 153 28 years but since the stroke the patient has retired due to his medical condition. The patient had not 154

155	received any formal music education or musical training and reported to listen to music daily through
156	television, radio and his smartphone, and to dance once a week at home. The styles of music the
157	patient enjoys are jazz, swing, pop and reggae. The patient obtained normal values in music pleasure,
158	with a total score of 68 out of 100 in the Barcelona Music Reward Questionnaire that assesses reward
159	experiences associated with music (Mas-Herrero, Marco-Pallares, Lorenzo-Seva, Zatorre, &
160	Rodriguez-Fornells, 2013).
161	In addition, normative data for non-standardized outcome measures was obtained from
162	altogether 15 healthy right-handed and age-matched control subjects (see below).
163	Experimental design
164	A reversal design (ABAB) (Smith, 2012) where no treatment was provided in the A periods
165	and MST was applied in the B periods with repeated assessment across each period was implemented
166	in a chronic stroke patient. The study comprised four 4-week stages (16 weeks in total): an initial
167	baseline period (weeks 1-4), first Music-Supported Therapy treatment period (MST-1, weeks 5-8), a
168	withdrawal period (weeks 9-12) and a second Music-Supported Therapy treatment period (MST-2,
169	weeks 13-16). An extensive assessment of motor function (see below) was performed at the end of
170	each week (from week 1 to 16). In addition, a longitudinal follow-up evaluation was done three
171	months after the end of MST-2 (week 28). Figure 1B illustrates the design of the study. The study
172	was approved by the clinical research ethical committee of [masked name], [masked city and
173	country], and the patient gave written informed consent.
174	Music-Supported Therapy (MST)
175	The treatment periods (MST-1 and MST-2) both consisted on three MST sessions of 1.5 h per

week during four weeks [24 sessions (36 hours) in total]. The central idea in MST is to promote

177 plastic changes in the sensorimotor cortex due to motor skill learning and facilitate the

reestablishment of the audio-motor coupling loop (Rodriguez-Fornells et al., 2012). Patients play
musical instruments with the UE and, importantly, the auditory feedback produced by the musical
instrument may be used to evaluate on-line motor actions and to influence motor outputs.

In each session, a digital keyboard (CTK-810/WK110, Casio Europe GmbH, Norderstedt,
Germany) and an electronic drum set of 8 pads (Roland drum system, TD-6KW, Roland Corporation,
Hamamatsu, Japan) were used during 45 min each to train fine and gross movements of the affected
UE, respectively. The order in which each instrument was played was counterbalanced across
sessions.

186 For the keyboard training, the instrument was on a table at a distance of ~35 cm from the edge. The patient was seated in front of the keyboard, with the elbow flexed at 90 and the forearm 187 resting on the table. Only eight consecutive notes were used (C, D, E, F, G, A, B, C') and the hand 188 189 was positioned in the middle of the octave with a slight extension of the wrist and the fingers resting 190 on the keys. For the drum playing, the patient was seated in a chair without armrests and the drum set was placed at a distance of ~45 cm with the 8 pads of 20 cm of diameter around both sides of the 191 192 body. The pads produced piano sounds (C, D, E, F, G, A, B, C') to maintain the auditory feedback constant during the session. The therapist was seated next to the patient or standing behind on the 193 affected side, providing physical assistance when it was needed and correcting compensatory 194 movements. The exercises consisted of playing simple tone sequences until the patient could learn to 195 196 play short melodies following a modular regime. Exercises were first shown by the therapist and then 197 repeated by the patient. If the patient succeeded, the difficulty of the sequence was progressively increased. Stickers labeling the keys and the pads with numbers from 1 to 8 were used as a cue to 198 199 produce sequences. The exercises with the keyboard involved movements of flexion, extension, 200 adduction and abduction of the fingers and thumb. The drum training, aimed to enhance gross motor function, required movements of flexion, adduction and abduction of the shoulder as well as its 201

internal and external rotation. Movements of flexion and extension of the elbow and wrist were
needed to hit the pads. Overall, the training was aimed at increasing the range of movement,
coordination and speed.

205 Motor function assessment

An extensive evaluation of the motor function was performed at the end of each week using standardized motor tests and 3D movement analysis. In addition, during the treatment periods, the performance of the patient playing an octave with the keyboard was recorded at the end of each session.

210 **Clinical motor tests.** To evaluate the level of impairment, the Upper Extremity subtest from the Fugl-Meyer Assessment of Motor Recovery (FMA) (Fugl-Meyer, Jääskö, Leyman, Olsson, & 211 Steglind, 1975) was administered. Grip strength of both UEs was measured with a dynamometer (E-212 Link System H500, Biometrics Ltd, Newport, United Kingdom) as the mean of three trials. Finger 213 dexterity and gross manual dexterity were assessed with the Nine Hole Pegboard Test (NHPT) 214 215 (Parker, Wade, & Langton Hewer, 1986) and the Box and Blocks Test (BBT) (Mathiowetz, Volland, 216 Kashman, & Weber, 1985), respectively. The unaffected (right) UE was also evaluated with these two tests in order to compare the performance to the affected (left) UE. The overall functioning of the 217 affected UE was evaluated with the Action Research Arm Test (ARAT) (Lyle, 1981). The FMA, grip 218 strength, NHPT, BBT and ARAT were performed each week (weeks 1-16). 219

Moreover, only at the beginning of the study and at the end of each period (weeks 1, 4, 8, 12,
and 16), the Chedoke Arm and Hand Activity Inventory (CAHAI) (Barreca, Stratford, Lambert,

222 Masters, & Streiner, 2005), which measures the ability of the affected UE to perform bimanual tasks

from activities of daily life, was administered. All the tests were performed also at the longitudinal

follow-up evaluation (week 28).

3D movement analysis. The movement analysis was performed using an ultrasonic device (CMS 50, Zebris, Isny, Germany) that recorded the continuous 3D spatial positions of markers attached to the hand of the patient. Three different motor tasks were recorded with both UEs: a finger and a hand tapping task, and a target reaching task (Amengual et al., 2013). A control group of 10 healthy participants (all males, mean age 55.9 ± 6.4) performed the same evaluation at the beginning of the study.

For the finger and hand tapping task, participants were asked to perform a continuous tapping with the index finger or the whole hand, respectively. Three trials were recorded and the following parameters were obtained per trial: (i) frequency, as the number of tappings per second, as well as, (ii) mean velocity and (iii) smoothness of the movement, as the number of inversions in the velocity per movement segment. The mean of the three trials was accounted for the analysis.

In the target reaching task, participants had to reach a round target of 0.8 cm of diameter that was placed on a table at a distance of 35 cm from the patient and height of 10 cm. Eight trials were recorded and the mean of the trials was performed to obtain (i) the time to peak velocity in reaching, (ii) the maximum acceleration in reaching, and (iii) the smoothness of the movement around the target.

Keyboard performance. At the end of each MST session the patient was asked to play an octave (C, D, E, F, G, A, B, C') with the keyboard. This exercise was recorded for the index and middle finger and the MIDI output was obtained. The same task was recorded at baseline for 5 healthy control participants (3 females, mean age 55.2 ± 3.4). The duration of the sequence was calculated as well as the strength used to strike the keys. As the strength was obtained for each individual key press, the mean of the 16 values of the octave (8 with the index finger and 8 with the middle finger) was calculated to have a score per sequence and session.

248 Data analysis

249	For the clinical standardized motor tests (with exception of the CAHAI), we obtained 16 data
250	points (4 per period) and calculated the mean of each period. The Minimal Detectable Change
251	(MDC) or the Minimal Clinically Important Difference (MCID) of each test was added to the mean
252	baseline in order to determine if the subsequent evaluations reached a clinically significant level of
253	gain. The MDC estimates statistically the smallest change in an outcome measure that can be
254	detected beyond the measurement error and represents a noticeable change in ability and similarly,
255	the MCID is the smallest amount of change that is considered important by the patient or clinician.
256	Due to the high sensitivity of the 3D movement analysis parameters, the mean of each period
257	was computed and compared to the mean of the control group. For the keyboard performance, the
258	mean of the index and middle finger sequences was performed per each session and compared to the
259	performance of the control participants.
260	
261	Results

262 Clinical motor tests

263 The scores of the patient in all clinical motor tests at different time points are shown in Table
264 1. Figure 2 illustrates the results for those tests which showed a treatment effect.

Grip Strength. The MCID for the grip strength of the affected (non-dominant) UE is 6.2 Kg

266 (Lang, Edwards, Birkenmeier, & Dromerick, 2008). For the affected UE, the patient scored 29.7 (\pm

- 267 1.5) Kg at baseline (Figure 2A). During MST-1 and MST-2, the mean grip strength increased
- progressively to 33.4 (\pm 1.5) Kg and 34.7 (\pm 1.6) Kg, respectively, but did not quite reach the MCID
- level (only the second week of MST-2 showed a significant improvement above MCID).
- Interestingly, during the withdrawal period, the mean score returned to the baseline levels (29.5 ± 2.1)
- Kg), indicating that MST-1 was not enough to achieve lasting gains. In contrast, at the longitudinal

follow-up (week 28), the grip strength remained at a similar level as in MST-2. For the unaffected UE, the baseline mean grip strength of the patient (44.5 Kg \pm 1.6 Kg) was already within normal limits for his age (45 Kg) (Massy-Westropp, Gill, Taylor, Bohannon, & Hill, 2011) and remained relatively same during the follow-up.

Box and Blocks Test. In the BBT, the mean score of the patient for the affected UE at 276 baseline was 31.2 (\pm 2.3) blocks per minute and the MDC is 5.5 blocks per minute (Chen, Chen, 277 Hsueh, Huang, & Hsieh, 2009) (Figure 2B). Therefore, a score above 36.7 was considered as 278 clinically relevant. During MST-1, there was a slight mean increase to $34.5 (\pm 2.5)$ blocks per minute, 279 exceeding the MDC on the last week (38 blocks per minute). At the withdrawal phase, the patient 280 remained stable in this test, maintaining the gains, with a mean score of 37.2 (\pm 0.9). During MST-2, 281 282 the patient continued improving, and the mean performance was clearly above the MCD (40.5 ± 2.3). Importantly, this significant gain was maintained in the follow-up evaluation at week 28 (39 blocks 283 per minute). Interestingly, the unaffected UE exhibited a similar pattern, with progressive 284 improvement towards the end of MST-1, plateauing at withdrawal, and further improvement during 285 MST-2, indicating a positive transfer effect of the MST for gross motor speed of the unaffected UE. 286

Action Research Arm Test. In the ARAT test the patient had a relatively high mean score already at baseline (52 ± 1.4) . However, during the MST-1, withdrawal, and MST-2 periods, the patient showed progressive gains in the mean score, which reached MCID (5.7 points above baseline (van der Lee, Beckerman, Lankhorst, & Bouter, 2001) at MST-2 (**Figure 2C**). In the follow-up evaluation (week 28), the improvements seen during the MST-2 were, however, not maintained.

292 Chedoke Arm and Hand Activity Inventory. The CAHAI was administered only at the 293 beginning and at the end of each period. The results of this test are presented in Figure 2D. For the 294 baseline period, we calculated the mean, which was 70.5 (\pm 3.5). The MDC is 6.3 points (Barreca et 295 al., 2005), which was achieved already after MST-1 (79 points). This relevant level of gain was

stable over the withdrawal period and, importantly, the patient still continued improving during

297 MST-2 (80 points). At the follow-up evaluation the gains were also maintained.

Fugl-Meyer Assessment of Motor Recovery and Nine Hole Pegboard Test. For the FMA and NHPT (Wagner, Rhodes, & Patten, 2008; Chen et al., 2009), the MDC was not achieved in any of the evaluations although the patient progressively obtained better scores in the NHPT during the treatment periods.

302 In summary, these results indicated that major clinical motor gains were noticeable at the end 303 of MST-1 and during MST-2.

304 3D movement analysis.

Table 2 shows the scores for the 3D movement analysis where the mean of each period was 305 306 calculated. The mean velocity of the affected UE in both finger and hand tapping tasks improved 307 during both MST-1 and MST-2, even rising above the mean score of the controls for the hand tapping task (701.6 \pm 65.5 mm/s) (Figure 3A, 3B). For the unaffected UE, the mean velocity of the 308 hand tapping increased during both MST-1 and MST-2 while the mean velocity of the finger tapping 309 showed some increase only during MST-2. The smoothness of the finger tapping for both UEs was 310 311 comparable to the controls across the follow-up, showing no clear changes. In the hand tapping task, the smoothness of the affected UE also remained relatively stable during MST-1 and MST-2, but 312 313 showed a decline in the withdrawal period. The frequency of both finger and hand tapping tasks for 314 both UEs was smaller than the frequency that controls exhibited and did not show any improvement across time. 315

In the target reaching task (**Figure 3C**), the patient's performance with the affected UE became faster especially during MST-2, as indicated by decreased time to achieve maximum velocity of movement (144.4 ± 19.6 ms). The time-to-peak velocity of the unaffected UE was not within the

normal range of controls in any time point. Although the maximum acceleration scores for both UEs 319 were within normal range scores, there was a slight increase in MST-2 ($65.5 \pm 19.2 \text{ mm/s}^2$) for 320 affected UE. Also, the smoothness of the movements when approaching the target improved during 321 322 the withdrawal and MST-2 periods, reaching scores that were below the mean of controls for both 323 UEs. In summary, the results from the 3D movement analysis indicated that, during the treatment 324 periods, the velocity in the finger and hand tapping task improved. In contrast, the parameters in the

- reaching task only improved during the second treatment period (MST-2). 326
- 327 Keyboard performance.

325

The sequence duration, obtained as the mean of the two sequences (with the index and middle 328 finger), decreased steadily across the sessions during MST-1 (Figure 4A). During MST-2, the gains 329 330 in this parameter were maintained, but no further progress was observed. Also the variance of performance within each session decreased towards the end of MST-1 and remained smaller during 331 MST-2. However, the performance of the patient remained slower than that of the controls. 332

333 A similar pattern of results was observed for the amount of pressure applied when pressing 334 the keys of the keyboard. During the first half of MST-1, key pressure was smaller and also more variable than in controls (Figure 4B). However, from session eight of MST-1, the performance of the 335 336 patient started to show more power and stability, becoming within normal range compared to 337 controls. These gains were again maintained during MST-2.

338 In summary, the results from the analysis of keyboard performance indicated that the main gains in 339 terms of speed and strength where seen during MST-1.

341

Discussion

This study examined the progression of the motor and functional gains of a chronic stroke patient treated with MST in a case-study with an ABAB design. Three different types of evaluation were performed: (i) the clinical motor test assessed the functional use of the hand in other tasks not directly related to the treatment and in activities of daily living, (ii) the 3D movement analysis evaluated the kinematics properties of movements and (iii) the keyboard task assessed the motor performance in a task-specific to the training.

The patient showed significant improvements in the clinical motor domain at the end of MST-348 349 1 (BBT and CAHAI), and during MST-2 (grip strength, BBT, ARAT, and CAHAI). Some of these 350 gains were maintained over time in the follow-up evaluation performed 3 months after MST-2. Moreover, the velocity of a finger and hand tapping task increased during MST-1 and MST-2, 351 whereas the kinematic properties of a reaching task improved only in MST-2. Importantly, gains in a 352 keyboard task were only seen during the first sessions of MST-1. These results are in agreement with 353 previous research validating MST in subacute and chronic stroke patients where motor improvements 354 were also observed. For instance, similar results in the BBT and ARAT scores have been reported in 355 stroke patients who received MST (Grau-Sánchez et al., 2013; Ripollés et al., 2015). Moreover, 356 357 improvements in a finger and hand tapping task have been also found after the application of MST (Amengual et al., 2013; Schneider et al., 2007). 358

MST is a task-specific training, aimed to elicit similar processes as those occurring during and after motor skill learning, where movements become more accurate and quick with practice (Dayan & Cohen, 2011). It is known that the acquisition of a new motor skill develops fast at the beginning of the training (Censor, Sagi, & Cohen, 2012). In this sense, major improvements where seen during the first sessions of MST-1 in the keyboard task. The patient pressed the keys with more strength and needed less time to play an octave. Similarly, the finger and hand tapping velocity increased during

MST-1. Importantly, these movements are required for the keyboard and drum pads playing. Therefore, both the keyboard task and the finger and hand tapping tasks evidenced fast acquisition and task-specific learning. Only at the end of MST-1 improvements were observed at a functional level as the patient obtained significant better scores in the BBT and CAHAI. The patient also improved during MST-1 in other tests such as the grip strength, the ARAT and the NHPT although these gains were not significant.

In the withdrawal period this patient did not receive any kind of treatment, which can be 371 compared to an offline period in the process of motor skill learning (Davan & Cohen, 2011). It has 372 been reported that in the absence of practice there is stabilization and consolidation of motor memory 373 374 traces, where new motor memories could become more robust (Robertson, Pascual-Leone, & Miall, 375 2004). During this period, the scores for the BBT and CAHAI remained stable. The fact that these gains were maintained over time may evidence long-term retention. It has been discussed that 376 improvements can take place also during offline periods (Censor et al., 2012). This was the case for 377 the ARAT evaluation, where the patient improved slightly during the withdrawal period. However, 378 the gains observed in the grip strength disappeared. 379

The additional treatment provided during MST-2 may be considered as a period where 380 381 memory reactivation of motor traces occurs and it is further modified with practice. In this period, the patient did not show any improvement in the keyboard task. The key pressure remained at the same 382 383 level that the patient reached in MST-1, being within the normative values of controls. The duration 384 of the octave also remained as in MST-1 although did not reach similar times compared to controls. 385 On the other hand, the patient reached a significant level of gain in the BBT in and CAHAI during MST-2, and in some of the evaluations of this period in the ARAT and grip strength. Besides, the 386 387 mean velocity of the finger and hand tapping tasks as well as the time-to-peak velocity, maximum acceleration and smoothness of the reaching task improved during MST-2. Overall, major gains in 388

the clinical motor tests were seen in MST-2, meaning that generalization to other tasks occurred mostly at this period. Most of the clinical motor gains were maintained in the follow-up evaluation, which may reflect improved long-term retention.

With regard to the aims of the study, the interim conclusions are that (i) fast acquisition within the same task occurs during the first MST sessions, but generalization to other motor tasks is prominent only at the end of the MST training; (ii) the second MST training does not have effects on the musical instrument performance but it may be important for the generalization of improvements to other tasks and long-term retention; (iii) during the withdrawal period gains can be maintained, improved or lost; and (iv) generalization of gains to activities of daily living occurs during the second treatment period.

399 Indeed, one of the important findings of the present study is the improvement seen in the 400 CAHAI. This test evaluates the functional ability of the affected UE in performing bimanual tasks 401 from activities of daily living. For instance, the patient is asked to make a phone call, pour a glass of water, or put toothpaste on a toothbrush, among others. Previous literature on MST had never 402 403 evaluated this domain and importantly, this study points out that the motor improvements due to MST may be transferred to activities of daily living. This may be crucial as the ultimate goal in 404 405 stroke motor rehabilitation is to reduce the limitations in activities of daily living, which have an impact in the participation and the quality of life of patients (Langhorne et al., 2011). 406

The FMA and the NHPT were the only clinical motor tests that did not show any significant improvement in any of the evaluations. For the NHPT, the patient progressively scored better across the different evaluations but the change was not significant. The MDC for this test, which is 32 seconds, was established in a sample of acute stroke patients who usually present a major room for improvement (Turton & Pomeroy, 2002). One possible explanation for the lack of results in this test may be that the MDC is difficult to achieve in chronic stroke patients. Moreover, previous studies in

chronic stroke patients treated with MST did not find any improvement in this test (Amengual et al.,
2013; Grau-Sánchez et al., 2013; Ripollés et al., 2015). Thus, it could be argued that MST does not
promote gains that can be observed with this test in chronic stroke patients.

With respect to the training protocol, the study from Schneider and colleagues had 15 sessions 416 whereas the studies in chronic patients contained 20 sessions (Rodriguez-Fornells et al., 2012; 417 Schneider et al., 2007). In these studies, the sessions were administered daily during 30 minutes. In 418 contrast, in the present study, the patient received only 3 sessions per week which made the training 419 more distributed with longer rest periods between sessions. Moreover, the sessions lasted 1.5 h and 420 thus, were more intense. This modification was intended to facilitate a major degree of improvement 421 as this depends on the amount of practice (Lage et al., 2015). Besides, two instruments were used in 422 423 each session, where the first half of the session was dedicated to play one instrument and the last part to play the other one. This contextual interference is thought to be positive for the training as it has 424 been described that better performance is achieved if more than a single task is practiced alone 425 (Krakauer, 2006; Pauwels, Swinnen, & Beets, 2014). A future modification of the protocol can be to 426 involve both extremities in the activity where the unaffected upper extremity can serve as an intra-427 subject control. Bilateral arm training has emerged in the past years based on the idea that the 428 429 majority of everyday tasks and activities require the use of both extremities and that rehabilitation 430 programs with more ecological approaches may be more effective in improving the autonomy of patients (McCombe Waller & Whitall, 2008). Different studies have demonstrated the effectiveness 431 of bilateral training with sensory feedback (Stewart, Cauraugh, & Summers, 2006) and specifically 432 433 with rhythmic auditory cueing (Whitall, McCombe Waller, Silver, & Macko, 2000). Future studies should investigate the effectiveness of applying MST as bilateral arm training. 434

As mentioned, MST has been already evaluated in previous works using experimental groups
designs where two groups are compared at pre- and post- intervention (Altenmüller et al., 2009;
Amengual et al., 2013; Ripollés et al., 2015; Rodriguez-Fornells et al., 2012). Given the existing

evidence about its benefits, the aim of the present study was to explore the progression of motor 438 recovery in MST using single-case methodology. This type of methodology, which is frequently used 439 in psychology, rehabilitation or education, is more sensitive to individual differences and the 440 participant serves as his/her own control. However, single-case designs are subject to several 441 limitations, such as order effects or blinding of the patient and therapist, which is difficult in behavioral 442 interventions. In the case of stroke rehabilitation, we dealt with irreversibility and carry-over effects, 443 not having a clear reversion in the withdrawal for most measures. However, this is in favor of the 444 intervention tested, since improvements are maintained over time and do not disappear when the 445 treatment is removed. Although previous studies have included both hemorrhagic and ischemic stroke 446 patients in their samples, the description of the pattern of progression of this study is from a patient 447 with an ischemic stroke. Future research should investigate whether hemorrhagic stroke patients treated 448 with MST show a similar time course of motor gains. 449

As a summary, this study evaluated the progression of the motor and functional improvements in a chronic stroke patient treated with MST. Given the exploratory nature of the study, its results cannot be generalized but may provide a deeper understanding of the motor progression in MST and raise new questions with regard to the MST protocol. Future studies are needed to further investigate how these variations in the MST protocol as well as the offline periods benefit the recovery of motor deficits.

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598	Table 1. Results of the clinical motor tests. The results for the clinical motor test are presented by each
599	upper extremity (Affected, Unaffected), period (Baseline, MST-1, Withdrawal, and MST-2) and evaluation
600	(weeks from 1 to 16 and follow-up at week 28). The mean and the standard deviation (SD) are calculated by
601	period. The Minimal Detectable Change (MDC) or Minimal Clinically Important Difference (MCID) of each
602	test was added to the mean baseline. The maximum score for the Fug-Meyer is 66. The grip strength is
603	expressed in Kg. The Nine Hole Pegboard Test is reported as the seconds needed by the patient to perform the
604	task. For this test, it is not possible to subtract the MDC (32.8 seconds) from the baseline as the score does not
605	make sense for the task. Instead, the normative values are presented. The Box and Blocks Test accounts the
606	number of cubes that the patient is able to pass from one box to another in one minute. The maximum score of
607	the ARAT is 57 and 91 for the Chedoke. W = week; ARAT = Action Research Arm Test.

			CLINICA	AL MOTO	R TESTS RI	ESULTS			
	Fugl-	Grip	strength	Nine Hole Pegboard Test		Box and Blocks Test		ARAT	Chedoke
	Meyer	Affected	Unaffected	Affected	Unaffected	Affected	Unaffected		
Baseline									
W1	62	28.7	45.6	40	27	33	49	52	73
W2	58	30.6	45.7	47	23	31	46	54	
W3	62	31.4	42.2	45	26	33	48	51	
W4	60	28.1	44.5	50	27	28	45	51	68
Mean	60.5	29.7	44.5	45.5	25.7	31.2	47	52	70.5
SD	1.9	1.5	1.6	4.2	1.8	2.3	1.8	1.4	3.5
MCID/MDC	65.7	35.9	50.7	19.8	18.9	36.7	52.5	57	76.8
MST-1									
W5	62	33.5	47.6	44	23	32	45	51	
W6	63	34.9	50.3	41	22	34	46	55	
W7	64	34.2	48.6	38	24	34	49	53	
W8	63	31.3	43.5	39	23	38	54	55	79
Mean	63	33.4	47.5	40.5	23	34.5	48.5	53.5	
SD	0.8	1.5	2.8	2.6	0.8	2.5	4	1.9	
Withdrawal									
W9	62	32.6	45.3	41	21	37	56	52	
W10	62	27.9	45.2	41	24	38	55	56	
W11	61	29.6	47.5	39	24	38	57	57	
W12	62	28	43.1	41	22	36	56	56	78
Mean	61.7	29.5	45.2	40.5	22.7	37.2	56	55.2	
SD	0.5	2.1	1.8	1	1.5	0.9	0.8	2.2	
MST-2									
W13	63	33.3	45.8	38	23	41	62	57	
W14	62	36.7	45.5	35	19	42	60	56	
W15	62	33.4	48.9	37	23	37	56	56	
W16	63	35.4	49.5	39	22	42	64	57	82
Mean	62.5	34.7	47.4	37.2	21.7	40.5	60.5	56.5	
SD	0.5	1.6	2	1.7	1.8	2.3	3.4	0.5	
Follow-up						- m			
W28	64	32.4	47.8	46	20	39	59	55	80
						- /			~ ~

609 **Table 2. Results of the 3D movement analysis.** The mean and the standard deviation (SD) for the

- 610 finger and hand tapping; and reaching tasks are presented by each upper extremity (affected,
- unaffected) and period (Baseline, MST-1, Withdrawal, and MST-2). The results of the follow-up
- 612 evaluation are also shown. The mean and standard deviation of controls at baseline is also presented.
- 613 The frequency is expressed in Hz, the mean velocity in mm/s, the time to peak velocity in ms and the
- maximum acceleration in mm/s^2 . The smoothness is the number of velocity inversions per movement
- segment and typically is expected to be 1.
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3D MOVEMENT ANALYSIS RESULTS							
	Baseline	MST-1	Withdrawal	MST-2	Follow-up	Controls	
Finger tapping							
Frequency Affected	1.5 (0.1)	2 (0)	1.8 (0.2)	2.1 (0.1)	1.8	4.3 (1.7)	
Frequency Unaffected	2.8 (0.8)	2.1 (0.3)	1.8 (0.1)	2.2 (0.2)	2	4.4 (1)	
Mean Velocity Affected	138.5 (16.1)	155.1 (16.3)	164.1 (17.5)	191.1 (16.2)	181	222.6 (67.7)	
Mean Velocity Unaffected	189.8 (39)	190.7 (21.3)	188.2 (8.9)	217.8 (15.1)	232.5	195.3 (63.6)	
Smoothness Affected	1 (0)	1 (0)	1 (0)	1 (0)	1	1 (0)	
Smoothness Unaffected	1 (0)	1.1 (0.1)	1 (0)	1 (0)	1	1 (0)	
Hand tapping							
Frequency Affected	1.6 (0.1)	1.8 (0.1)	1.9 (0.1)	2 (0.1)	2	3.7 (1.3)	
Frequency Unaffected	1.8 (0.1)	2 (0.1)	1.8 (0)	2.1 (0.2)	2	3.9 (1.7)	
Mean Velocity Affected	395.2 (73.3)	552.8 (73.6)	620.9 (50.2)	701.6 (65.5)	640	529 (229.3)	
Mean Velocity Unaffected	458.2 (61.1)	722.5 (92.2)	693.1 (59.8)	810.5 (92.3)	753.5	418.3 (149.4)	
Smoothness Affected	1 (0)	1.1 (0.1)	1.4 (0.1)	1.1 (0)	1.3	1 (0.1)	
Smoothness Unaffected	1 (0)	1 (0.1)	1 (0)	1.1 (0.1)	1	1 (0)	
Target reaching							
Time to peak velocity Affected	190 (36.1)	201.4 (31.3)	182.4 (32.8)	144.4 (19.6)	121.6	148.6 (41.4)	
Time to peak velocity Unaffected	224.2 (14.5)	216.6 (35.9)	224.2 (14.5)	186.2 (63.7)	243.2	156.5 (35.8)	
Maximum Acceleration Affected	58.4 (27.9)	52.4 (18.5)	59.1 (7.5)	65.5 (19.2)	75.2	70.3 (33.4)	
Maximum Acceleration Unaffected	40 (10.8)	51.1 (6.9)	61.9 (5.9)	55 (11.1)	39.5	61.9 (30.3)	
Smoothness Affected	5 (2.8)	4.7 (0.5)	2.2 (0.9)	2 (1.4)	4	3.2 (1.6)	
Smoothness Unaffected	3 (0)	3 (0.8)	2 (0)	2.2 (0.5)	2	2.7 (1.2)	

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- **Figure 1. Brain lesion and study design. 1A.** The lesion of the patient is shown in a MRI T1-image.
- 624 The stroke was classified as a small vessel occlusion following the TOAST criteria and was located
- 625 in the right anterior choroidal artery, causing lesions in the right internal capsule and thalamus. **1B.**
- The study comprised 4 periods in an ABAB design: baseline, Music-Supported Therapy-1,
- 627 withdrawal and Music-Supported Therapy-2. A follow-up evaluation was performed 3 months after
- the last period. The weekly motor evaluation and the training protocol with MST are described.
- 629 W=Week.

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- Figure 2. Results of the clinical motor tests. The most relevant findings regarding the clinical 640 evaluation are shown. The score obtained by the patient at each evaluation is displayed (weeks from 641 1 to 16 and week 28). In each period, the mean of the participant was calculated (green line) and the 642 Minimal Detectable Change (MDC) or Minimal Clinically Important Difference (MCID) was added 643 to the mean baseline (orange line). A regression line considering all the data points was performed to 644 explore the tendency of the outcome across the different evaluations (blue line). 2A. Results of the 645 646 grip strength for both extremities expressed in Kg. 2B. Results of the Box and Blocks Test. The number of cubes that the patient is able to pass from one box to another in one minute is presented 647 for both extremities. 2C. Results of the Action Research Arm Test, which has a maximum 648 649 punctuation of 57. 2D. Results of the Chedoke Arm and Hand Activity Inventory. This test was
- performed only during weeks 1, 4, 8, 12 and 16 and 28. The maximum punctuation is 91.



- **Figure 3. Results of the 3D movement analysis.** The results of the finger and hand tapping, and a
- reaching task are displayed for both extremities and each period (A.Baseline, B. MST-1, A.
- 655 Withdrawal, B. MST-2) and the follow-up evaluation (week 28). The mean of the controls and the
- standard deviation of their performance at baseline are presented. **3A.** Results of the finger tapping
- task. **3B.** Results of the hand tapping task. **3C.** Results of the target reaching task. The frequency is
- 658 expressed in Hz, the mean velocity in mm/s, the time to peak velocity in ms and the maximum
- acceleration in mm/s^2 . The smoothness is the number of velocity inversions per movement segment
- and typically is expected to be 1.





- **Figure 4. Results of the keyboard performance.** The performance of playing an octave is shown
- across sessions for both treatment periods (MST-1 and MST-2). The mean and standard deviation of
- the controls' performance at baseline are presented. **4A.** Results of the sequence duration. The
- 666 duration of playing an octave is presented in ms. **4B.** The key pressure with the patient strike the keys
- 667 is displayed.

668

