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Stass, Robert

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# Structures of enveloped virions determined by cryogenic electron microscopy and tomography

Robert Stass<sup>1,\*</sup>, Weng M. Ng<sup>1,\*</sup>, Young Chan Kim<sup>1,\*</sup> and Juha T. Huiskonen<sup>1,2,\*,#</sup>

<sup>1</sup> Division of Structural Biology, Wellcome Centre for Human Genetics, Roosevelt Drive, OX3 7BN, University of Oxford, UK

<sup>2</sup> Helsinki Institute of Life Science HiLIFE and Research Programme in Molecular and Integrative Biosciences, Faculty of Biological and Environmental Sciences, Viikinkaari 1, 00014, University of Helsinki, Finland

\* These authors contributed equally

# Correspondence should be addressed to J.T.H (juha.huiskonen@helsinki.fi)

## Abbreviations

Bundibugyo virus (BDBV)  
Charge coupled device (CCD)  
Chikungunya virus (CHIKV)  
Contrast transfer function (CTF)  
Cryogenic electron microscopy (cryo-EM)  
Dengue virus (DENV)  
Deoxyribonucleic acid (DNA)  
Direct electron detector (DED)  
Ebola virus (EBOV)  
Eastern equine encephalitis virus (EEEV)  
Endosomal sorting complex required for transport (ESCRT)  
Enterovirus 71 (EV71)  
Focused ion beam (FIB)  
Glycoprotein (GP)  
Hazara virus (HAZV)  
Hemagglutinin (HA)  
Hepatitis B virus (HBV)  
Human immunodeficiency virus (HIV)  
Human parainfluenza virus 3 (HPIV3)  
Japanese encephalitis virus (JEV)  
Lassa virus (LASV)  
Major capsid protein (MCP)  
Marburg virus (MARV)  
Measles virus (MeV)  
Mouse hepatitis virus (MHV)  
Mucin-like domain (MLD)

45 Neuraminidase (NA)  
46 Newcastle disease virus (NDV)  
47 Nucleocapsid (NC)  
48 Human parechovirus 3 (HPeV3)  
49 Ribonucleic acid (RNA)  
50 Rift Valley fever virus (RVFV)  
51 Severe acute respiratory syndrome-related coronavirus (SARS-CoV)  
52 Semliki Forest virus (SFV)  
53 Sendai virus (SeV)  
54 Sindbis virus (SINV)  
55 Single particle averaging (SPA)  
56 Stable signal peptide (SSP)  
57 Sub-tomogram averaging (STA)  
58 Tick borne encephalitis virus (TBEV)  
59 Tula virus (TULV)  
60 University of Helsinki virus (UHV)  
61 Vaccinia virus (VACV)  
62 Venezuelan equine encephalitis virus (VEEV)  
63 Vesicular stomatitis virus (VSV)  
64 Virus-like particle (VLP)  
65 Volta phase plate (VPP)  
66 West Nile virus (WNV)  
67 Zika virus (ZIKV)

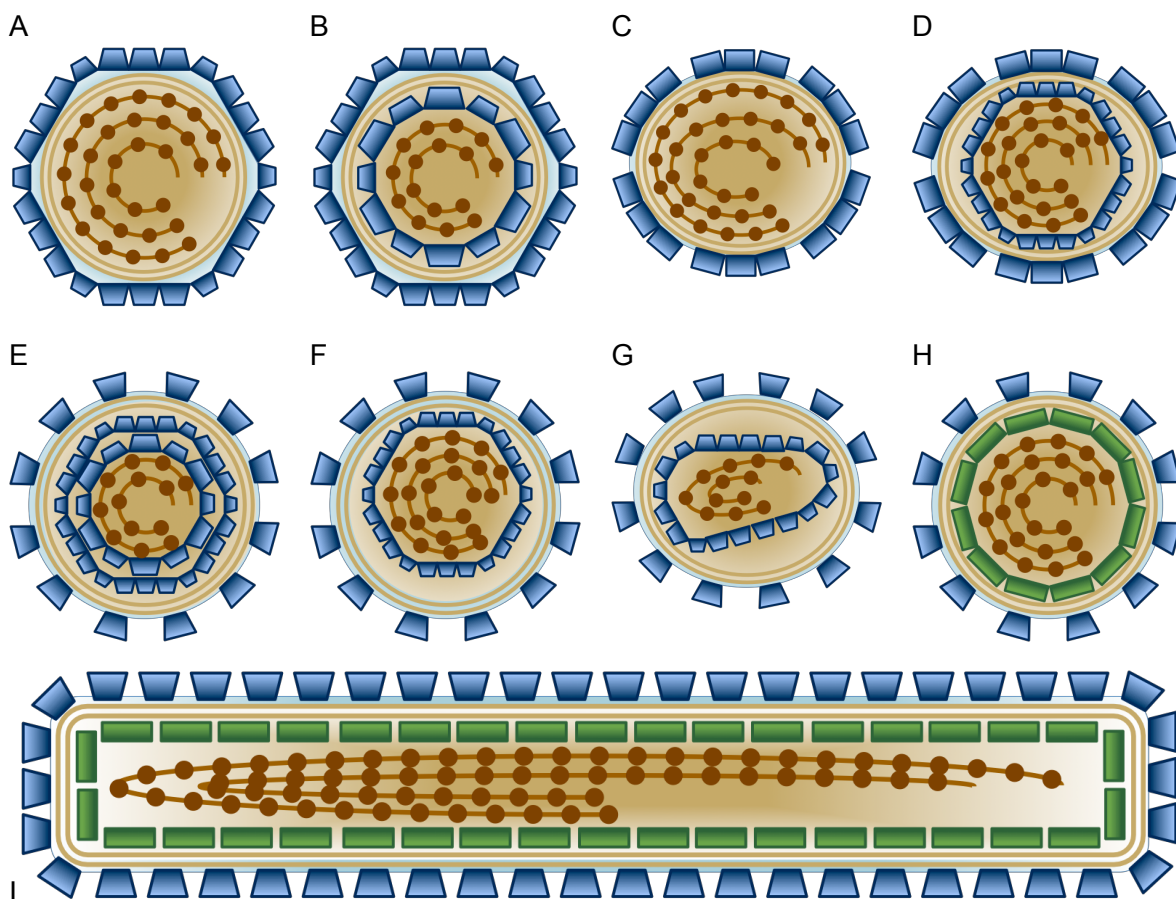
68  
69 **Keywords:** cryogenic electron microscopy, cryo-EM, tomography, cryo-ET, virion,  
70 enveloped virus, membrane-containing virus, single particle averaging, sub-tomogram  
71 averaging, viral glycoprotein, viral fusion, membrane fusion, virion budding

## 72 1. Introduction

73 Enveloped viruses encompass a large group of viruses with different morphologies and  
74 genome types spanning across different virus families infecting host cells from all three  
75 domains of life (Eukaryota, Bacteria and Archaea). Common to all of these viruses is that  
76 their virions harbor a lipid bilayer which associates with integral and peripheral membrane  
77 proteins. Together these structural components create a viral envelope that encloses the  
78 genome, or an internal protein–genome complex called the nucleocapsid (NC). The virion  
79 envelope is derived from the host cell membrane by fission in the budding process of  
80 progeny virions. During entry to a new host cell, it must fuse to a host cell membrane for the  
81 enclosed genome or the NC to enter the cytoplasm. This process is catalysed by membrane  
82 fusion proteins (Harrison, 2015; Kielian, 2014).

83  
84 Understanding the structures of enveloped viruses is important for understanding their  
85 infection mechanisms, especially entry by membrane fusion in addition to assembly and  
86 budding by membrane fission. Various types of enveloped virus morphologies are  
87 schematized in Figure 1. The shapes of the enveloped virions range from spherical or  
88 icosahedral to ellipsoidal to pleomorphic and filamentous. The virion envelope surface is  
89 covered to varying degrees by membrane proteins that often form multimeric assemblies.

90 Such morphological units on the virion surface are referred to as capsomers or spikes, as  
 91 they often protrude from the surface and have pointed appearance. In animal viruses, these  
 92 surface proteins are typically glycosylated (glycoproteins; GPs). In a seminal study on  
 93 herpes simplex virus 1 (HSV-1) the GPs were visualized on the virion surface by cryo-  
 94 electron tomography (cryo-ET) (Grunewald, 2003). The capsomers may further form higher  
 95 order assemblies which may have local symmetry. In many enveloped viruses the lipid  
 96 bilayer is almost entirely covered by surface proteins, leaving hardly any naked membrane  
 97 accessible from the virion exterior. This is the case for example in the members of  
 98 *Flaviviridae*, such as DENV, where GPs form a continuous icosahedral protein shell on the  
 99 envelope (Kuhn et al., 2002), and members of *Hantaviridae*, such as Tula virus (TULV),  
 100 where GPs form locally ordered patches on the envelope (Huiskonen et al., 2010). In  
 101 contrast, members of *Retroviridae*, such as HIV, harbor very few glycoprotein spikes, leaving  
 102 a large fraction of the membrane naked (Briggs et al., 2003). Some enveloped viruses  
 103 harbor a symmetric nucleocapsid, which can have either icosahedral or helical symmetry.  
 104 For example, members of *Togaviridae*, such as Semliki Forest virus (SFV), harbor an  
 105 icosahedrally symmetric nucleocapsid (Fuller et al., 1995). Finally, many, but not all,  
 106 enveloped viruses have a matrix protein directly under the envelope (Ke et al., 2018a; Li et  
 107 al., 2016a).



108  
 109 **Figure 1. Approximate schematic presentations of different types of enveloped virion**  
 110 **morphologies.** (A) Virion with an icosahedrally symmetric outer protein shell covering a lipid bilayer.  
 111 Example structures include members of *Flaviviridae* such as dengue virus (DENV) (Kuhn et al., 2002)  
 112 and *Phenuiviridae* such as Rift Valley fever virus (RVFV) (Huiskonen et al., 2009) in addition to many  
 113 membrane-containing prokaryotic viruses including members of *Tectiviridae* such as bacteriophage

114 PRD1 (San Martín et al., 2002). (B) Virion with an icosahedrally symmetric outer protein shell covering  
115 a lipid bilayer and an additional icosahedrally symmetric inner protein shell. Example structures  
116 include members of *Togaviridae* such as SFV (Mancini et al., 2000). (C) A virion with a non-  
117 icosahedrally symmetric, but locally ordered outer protein shell covering most of the lipid bilayer and  
118 lacking a matrix layer. Example structures include members of order *Bunyavirales* such as Tula virus  
119 (TULV) (*Hantaviridae*) (Huisken et al., 2010), Hazara virus (HAZV) (*Nairoviridae*) (Punch et al.,  
120 2018) and Bunyamwera virus (BUNV) (*Orthobunyviridae*) (Bowden et al., 2013). (D) A virion with an  
121 icosahedral inner capsid and a non-icosahedrally symmetric, but locally ordered outer protein shell.  
122 Example structures include members of *Hepadnaviridae* such as hepatitis B virus (HBV) (Dryden et  
123 al., 2006). (E) A virion with two internal icosahedrally symmetric protein shells surrounded by a lipid  
124 envelope with surface spikes. Example structures include members of *Cystoviridae* such as  
125 bacteriophage  $\Phi 6$  (Jääliñoja et al., 2007a). (F) Members of *Herpesviridae*, such as HSV-1  
126 (Grunewald, 2003), have an icosahedrally symmetric protein shell, enclosed by a tegument layer (not  
127 shown) and an external lipid envelope with surface glycoproteins. (G) Some members of *Retroviridae*,  
128 such as human immunodeficiency virus 1 (HIV-1) (Briggs et al., 2006), have mature virions with  
129 relatively few GPs on the virion envelope. (H) A virion with a lipid bilayer, decorated by glycoprotein  
130 spikes and an internal matrix protein layer is shown. Examples include members of *Arenaviridae* such  
131 as Lassa virus (LASV) (Li et al., 2016a), *Coronaviridae* such as severe acute respiratory syndrome-  
132 related coronavirus (SARS-CoV) (Neuman et al., 2006), and *Paramyxoviridae* such as measles virus  
133 (MeV) (Ke et al., 2018a). (I) A filamentous virion with envelope glycoprotein spikes and internal matrix  
134 layer. Examples include members of *Filoviridae*, such as Ebola virus (EBOV) (Bharat et al., 2012) and  
135 *Pneumoviridae* such as respiratory syncytial virus (RSV) (Ke et al., 2018b), in addition to filamentous  
136 forms of influenza A virus (*Orthomyxoviridae*) (Calder et al., 2010). Blue, viral structural protein; Light  
137 brown, lipid bilayer; Brown circles, nucleoprotein or other genome-associated protein; Brown line(s),  
138 viral genome segment(s); Green, matrix protein. Note that we have not attempted to accurately depict  
139 the genome type nor its organization or the symmetry and arrangement of different protein shells.

140

141 In this chapter we review recent advances in understanding enveloped virus structures by  
142 cryogenic electron microscopy (cryo-EM). We will begin by an overview of different cryo-EM  
143 data collection and processing strategies relevant to the topic and then proceed to reviewing  
144 how cryo-EM structures of enveloped virions contribute to our understanding of the  
145 molecular interactions driving assembly, the dynamic nature of viral particles, their budding  
146 and membrane fusion mechanisms in addition to virus neutralization and furthermore how  
147 these structural biology studies are informing vaccine design.

## 148 2. Cryogenic electron microscopy in membrane virus research

149 Cryo-EM is a well-suited method for the structural analysis of enveloped virions  
150 (Subramaniam et al., 2007). As the structures are often pleomorphic (*i.e.* lacking a regular  
151 shape), they are often not amenable to X-ray crystallography, another structural biology  
152 technique which relies on crystallization of the sample of interest. In fact, in only a few cases  
153 has the structure of an enveloped virion been solved by X-ray crystallography (Abrescia et  
154 al., 2004, 2008). Cryo-EM methods, however, are applicable to both regular and  
155 pleomorphic virions, as no crystals are needed and structures can be determined from a  
156 relatively small amount of purified virions. The electric potential maps (or simply 'cryo-EM  
157 density maps') determined by cryo-EM have in many cases similar level of detail when  
158 compared to electron density maps determined by X-ray crystallography, although there are  
159 also subtle differences that become more significant at high resolution (Wang and Moore,  
160 2017). Furthermore, cryo-EM allows structural investigations of more complex and rapid

161 processes such as viral envelope–host membrane fusion and viral budding from the plasma  
162 membrane of infected cells.

163

164 As many enveloped viruses are human and animal pathogens, their production, purification  
165 and preparation for cryo-EM requires suitable containment facilities and bio-safety protocols  
166 (Sherman et al., 2013). To circumvent the need for decontaminating cryo-EM equipment,  
167 which may be in some cases impractical, purified virions can be inactivated for example by  
168 chemical fixation (Bharat et al., 2011; Halldorsson et al., 2018; Li et al., 2016a) or ultraviolet  
169 radiation (Park et al., 2011; Ye et al., 2018; Zhong et al., 2016) prior to cryo-EM sample  
170 preparation. Alternatively, virus-like particles (VLPs) that contain the relevant viral structural  
171 proteins with a lipid bilayer can be used as a model system instead of the native virion (Li et  
172 al., 2016a; Sun et al., 2013). Whether live or inactivated, virion or VLP, purified particles are  
173 prepared for cryo-EM similar to any other macromolecular complex (Thompson et al., 2016).  
174 A small aliquot of virus suspension, typically 3  $\mu$ l, is pipetted on an EM sample grid, a  
175 circular metal mesh (3 mm in diameter), typically coated with a foil of holey carbon or gold.  
176 The grid, held by tweezers, is then blotted by a piece of filter paper to remove most of the  
177 sample in order to leave a very thin film of virus suspension on the grid (often not much  
178 thicker than the particle itself). The grid is then plunged in liquid ethane, which is cooled by  
179 liquid nitrogen. The extremely cold temperature of the cryogen (around  $-180^{\circ}\text{C}$ ) leads to a  
180 very rapid cooling rate, and the formation of amorphous, glass-like ice, which is compatible  
181 with the vacuum of the electron microscope column and also transparent to the electron  
182 beam.

183

184 Typical cryo-EM data collection and processing workflows for enveloped viruses are outlined  
185 in Figure 2. Cryo-EM data can be collected either as 2D projection images (micrographs;  
186 Figure 2A) or as a series of tilted images (a tomographic tilt series) from which a 3D  
187 tomographic volume (or a tomogram) can be calculated (Figure 2B). To increase the  
188 inherently low signal-to-noise ratio (SNR), signal from multiple images (or volumes) needs to  
189 be averaged in a coherent manner to reach sufficiently high resolution in the average. The  
190 target resolution depends on the types of questions addressed and ranges from better than  
191 3 Å (required to model the polypeptide chains of proteins and to see small bound molecules)  
192 to 30 Å and even lower (sufficient for addressing the organisation of envelope proteins and  
193 visualising the lipid bilayers; Table 1). Different approaches to averaging in the context of  
194 enveloped viruses are discussed below.

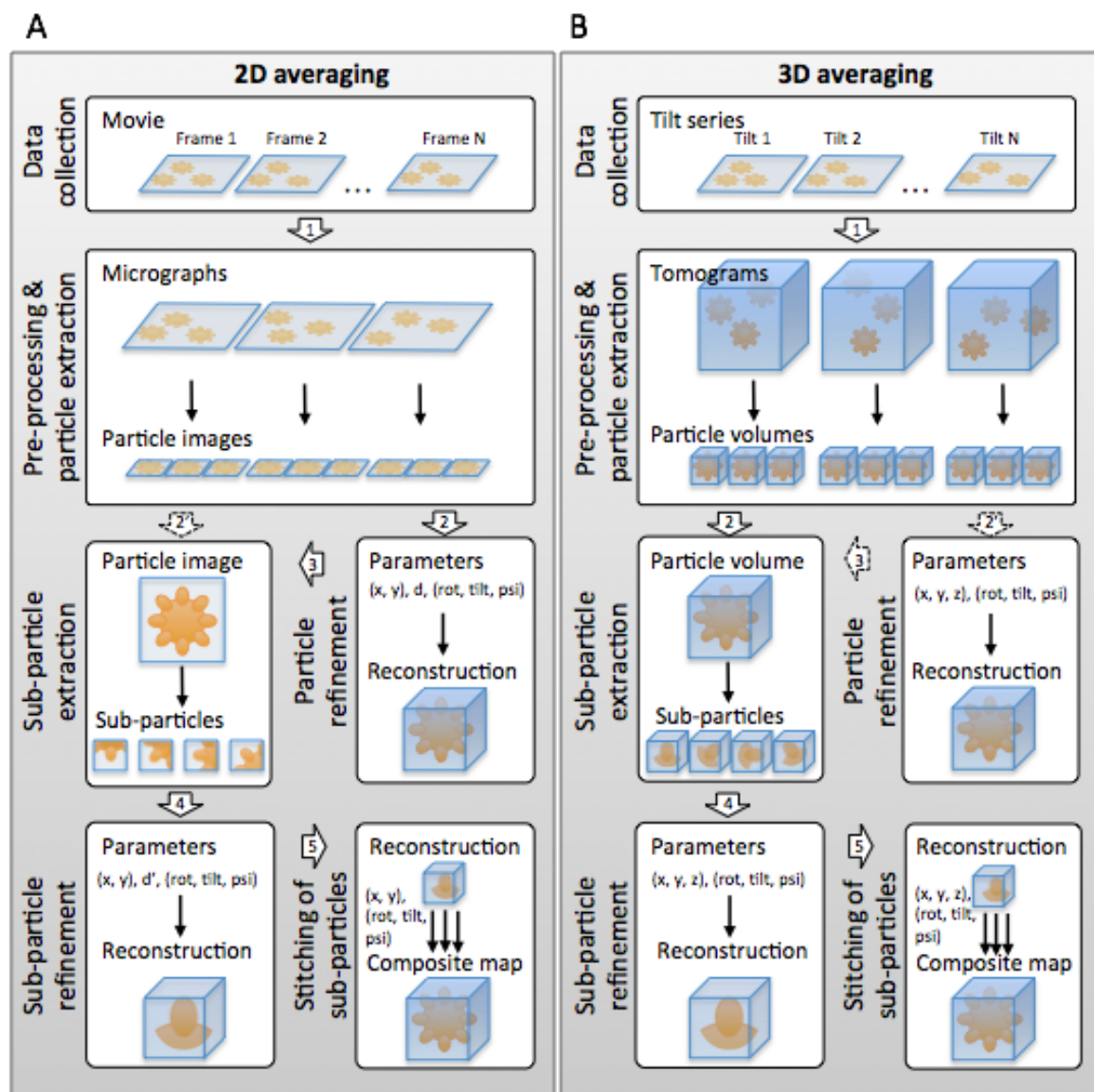
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200 **Figure 2. Cryo-EM data processing strategies for determining structures of enveloped virions**  
 201 **and their glycoprotein spikes.** (A) Here an enveloped virion is depicted as an orange sphere with  
 202 protruding blobs that depict glycoprotein spikes. Defocus of the particle is  $d$ . Defocus of the sub-  
 203 particle is  $d'$ . The view direction of the particle or sub-particle is defined by angles  $rot$  and  $tilt$ . The in-  
 204 plane rotation of the particle projection is defined by  $psi$ . (B) Note that each image in a tomography tilt  
 205 series is potentially recorded first as a movie. The range between tilt 1 to tilt  $N$  is typically  $[-$   
 206  $60,60$  degrees] and  $N$  is typically 41 (for 3-degree angular sampling) or 61 (for 2 degree angular  
 207 sampling). Here and in the text we have assumed that the tomograms have been corrected for the  
 208 effects of the contrast transfer function (CTF) during preprocessing but other approaches are  
 209 possible. Here the three Euler angles ( $rot$ ,  $tilt$ ,  $psi$ ) define the orientation of the particles and the sub-  
 210 particles. The block arrows refer to different data processing steps described in the text. The block  
 211 arrows with dashed outlines depict less common data processing approaches.

212 2A. Single particle averaging and localized reconstruction

213 Many enveloped viruses harbor an icosahedrally symmetric protein shell, which can be  
 214 either external (Figure 1A) or internal to the lipid bilayer (Figure 1D,F). Some enveloped  
 215 virions have two such shells sandwiching the lipid bilayer (Figure 1B) and some have two

216 internal shells (Figure 1E). The structures of the icosahedrally symmetric protein shells in  
217 these types of virions can be determined by cryo-EM and single particle averaging (SPA). In  
218 standard SPA workflows, the virions are located in the micrographs (particle picking) and  
219 extracted in smaller images each containing one virion image in the middle (particle images).  
220 After determining the defocus value of each particle image, the exact location of the virion in  
221 the image (two coordinates) and orientation of the particle (three angles), the structure of the  
222 virion can be determined (or reconstructed) (Figure 2A; steps 1 and 2). To increase the SNR  
223 and thus attainable resolution for the protein shells, icosahedral symmetry is normally  
224 applied at this stage. Several icosahedrally symmetric enveloped virus structures have been  
225 determined by this approach (see section 3A; Table 1). To obtain the highest possible  
226 resolution for large membrane viruses, it may also be crucial to take into account the  
227 thickness of the specimen in the 3D reconstruction process (Wolf et al., 2006).

228  
229 The downside of this standard SPA workflow is that those components of the virion that are  
230 not organized in a strictly symmetric fashion will get incoherently averaged and this limits the  
231 attainable resolution, or hinders their reconstruction all together (Huiskonen, 2018). For  
232 example, if the structure of a virion presented in Figure 1A is somewhat flexible, signal both  
233 between different particles and asymmetric units within each particle will be incoherently  
234 averaged. In order to improve the resolution for flexible enveloped virions and their  
235 symmetry-mismatched components, the localized reconstruction method can be used to  
236 divide the particle in several sub-particles (Figure 2A; sub-particle extraction) (Huiskonen,  
237 2018; Ilca et al., 2015). The orientation (three angles:  $\theta$ : tilt and  $\psi$ ) of each sub-particle and  
238 location in the particle image (two coordinates:  $x$  and  $y$ ) can then be calculated and used as  
239 an initial estimate to further refine sub-particles around their original positions and locations  
240 (Figure 2A; steps 1, 2, 3, and 4). Furthermore, the defocus of each sub-particle is calculated  
241 to take into account the defocus gradient across the specimen (Ilca et al., 2015). This  
242 approach has allowed improving the resolution of Rift Valley fever virus (RVFV) virions that  
243 have an icosahedrally ordered, but yet highly flexible, large protein shell (diameter  $\sim 110$  nm),  
244 from 13 Å to 7.7 Å (Halldorsson et al., 2018). The resolution in this study and other similar  
245 studies may be limited due to overlaps between other subparticles, the membrane and the  
246 genome. In some cases overlapping components can be subtracted from the particle image  
247 by partial signal subtraction to improve the accuracy of sub-particle alignments (Bai et al.,  
248 2015; Huiskonen et al., 2007). Also it is worth noting that in this approach only distortions in  
249 the image plane can be handled and any movement along the beam direction  
250 (corresponding to further changes in defocus) is ignored. After aligning sub-particles in the  
251 image plane and reconstructing them, a composite 3D model of the virion can be created by  
252 'stitching' the entire virion from individual sub-particle reconstructions (Figure 2A, step 5).  
253 Recently the structure of the complete Sindbis virus (SINV) virion has been reconstructed  
254 this way from three separate sub-particle reconstructions at 3.5 Å resolution (Chen et al.,  
255 2018).

256  
257 Some particles are too pleomorphic for even rough orientational alignment rendering them  
258 challenging for SPA approaches. Several studies have attempted averaging glycoprotein  
259 spikes from the edge of an pleomorphic particles (Figure 2A; steps 1 and 2'). This has been  
260 done for example for HNTV (Battisti et al., 2010), DENV at acidic pH (Zhang et al., 2015),  
261 and SARS (Neuman et al., 2006). In contrast to localized reconstruction where the three  
262 angles describing the orientation of the sub-particle ( $\theta$ , tilt and  $\psi$ ) can be estimated from  
263 the orientation parameters of the entire particle, in this approach only two angles of the three



264 angles (tilt and psi) can be estimated (tilt can be assumed to be close to 90 degrees; psi can  
265 be estimated from the normal of the membrane projection). Due to this limitation, it is not  
266 possible to calculate a 3D reconstruction without further exhaustive alignment to determine  
267 the third angle that is unknown (rot). Another limitation of this approach is that spikes at the  
268 edge of the particle can overlap other spikes and in the absence of an approximate 3D  
269 model of the particle, these overlaps cannot be removed by partial signal subtraction (Bai et  
270 al., 2015; Huiskonen et al., 2007). Due to these reasons, most studies have classified and  
271 averaged spike side-view projections only in 2D (Figure 2A; steps 1 and 2'). At the 2D level,  
272 such studies have been informative. For example, two distinct conformations of the SARS M  
273 protein were observed by this approach (Neuman et al., 2006). In some cases 3D averaging  
274 has also been performed (Figure 2A; step 4). In one example, the 3D structure of the  
275 tetrameric GP spike of HNTV was resolved to 25 Å from side-view sub-particles (Battisti et  
276 al., 2010)(Figure 2A; steps 1, 2' and 4). This structure agreed well with the structure of TULV  
277 GP spike solved by cryo-ET and sub-tomogram averaging (STA)(Huiskonen et al., 2010). In  
278 another example, the structure of the trimeric GP spike of Ebola virus (EBOV) was  
279 determined at 11 Å resolution from side-view projections on the virion surface (Beniac and  
280 Booth, 2017)(Figure 2A; steps 1, 2' and 4). Consistent with a cryo-ET and STA investigation  
281 of GP from EBOV VLP (Tran et al., 2014), a mucin-like domain (MLD) was located to the  
282 apex and sides of each GP1 monomer, partially shielding the receptor-binding site, while the  
283 GP1 sits atop the GP2. In these side-view averaging approaches it is only possible to  
284 include spikes from the edge of the virion projection image so the the packing of the spikes  
285 on the virion surface remains unattainable.

## 286 2B. Tomography and sub-tomogram averaging

287 Tomography is a method well suited for determining structures of flexible and truly  
288 pleomorphic virions from cryo-EM data that are challenging or unsuitable for the SPA  
289 approaches described above. When virions lack well defined shape, it is impossible to  
290 combine particles with different views extracted from 2D micrographs to reconstruct a correct  
291 3D volume. Instead, different views must be collected for each virion in the form a series of  
292 tilted images (Figure 2B). These views are then combined to calculate a tomographic 3D  
293 volume (a tomogram) of the specimen region under investigation. Due to the slab-shaped  
294 geometry of the cryo-EM specimen holders and the cryo-EM grid itself, the specimen cannot  
295 be tilted to 90 degrees and thus the angular range in a typical tomographic tilt series is  
296 limited from -60 to +60 degrees. This results in incomplete sampling of information in the 3D  
297 reconstruction, which can be described as a 'missing wedge' in the 3D Fourier transform of  
298 the tomogram. Because of this limitation, features in tomograms are distorted and averaging  
299 of 3D particles in different orientations is required to fully sample the information in the final  
300 3D reconstruction (Subramaniam et al., 2007).

301  
302 Similar to the 2D processing workflow described in the previous section, it is often practical  
303 to extract smaller 3D volumes, each corresponding to a single virion, from the larger  
304 tomograms (Figure 2B; particle extraction). If these 3D particles are homogenous enough it  
305 is then in possible to align, classify and average them together. For example, the first low-  
306 resolution structure of a bunyavirus (Uukuniemi virus, UUKV; *Phenuiviridae*) was determined  
307 by aligning single 3D volumes of virions and by applying icosahedral symmetry (Overby et  
308 al., 2008) (Figure 2B; steps 1 and 2'). Once the orientation (rot, tilt, psi) of each particle is  
309 known from an initial alignment, it is possible to deal with any possible flexibility of the virion

310 by extracting 3D sub-particles (also referred to as sub-volumes or sub-tomograms; Figure  
311 2B; step 3)(Castaño-Díez et al., 2017). These sub-particles, corresponding for instance to  
312 envelope GP spikes can then be refined further (Figure 2B; step 4) and finally a composite  
313 map of the entire virion can be stitched from the 3D reconstruction of the sub-particles  
314 (Figure 2B; step 5)(Huiskonen et al., 2010).

315

316 In most cases where tomography is applied, however, the 3D particles are too dissimilar to  
317 be aligned and averaged in coherent manner. This is the case with truly pleomorphic  
318 virions. In these cases, sub-particles of GP spikes are extracted from unaligned 3D volumes  
319 of enveloped virions (Figure 2B; steps 1 and 2). The locations of the spikes first need to be  
320 determined by a 3D search, which can be restricted close to the membrane surface  
321 (Castaño-Díez et al., 2017; Huiskonen et al., 2014). Also the direction of the spike can be  
322 estimated from the membrane surface normals. Once the 3D-subvolumes, each  
323 corresponding to a centered spike, have been extracted, only the rotation around spike long  
324 axis remains to be determined before a 3D reconstruction of the sub-particle can be  
325 calculated (Figure 2B, step 4)(Förster et al., 2005; Zanetti et al., 2006). For example, several  
326 studies have produced low resolution reconstructions of Env from native HIV virions using  
327 STA (Liu et al., 2008; Zanetti et al., 2006; Zhu et al., 2003, 2006). As described above, these  
328 3D sub-particle reconstructions can then be plotted back onto the original particle volumes to  
329 stitch together composite models of entire virions. Here, the completeness of this stitching  
330 depends on the coverage of the 3D picking (Huiskonen et al., 2010).

## 331 2C. Hybrid methods

332 As we have outlined in Figure 2, averaging of 2D and 3D single particles follow highly  
333 analogous workflows. In some cases it may be beneficial to mix these two. In one example  
334 of such a hybrid approach, the structure of the GP spike of prototypic foamy virus (PFV) has  
335 been studied (Effantin et al., 2016). First STA was used to determine the structure of the  
336 trimeric GP at ~30 Å resolution (Figure 2B; steps 1, 2, 3, 4). Plotting back the GP structure  
337 allowed visualizing hexagonal assemblies of six GPs on the envelope (Figure 2B; step 5). A  
338 patch of six trimers was extracted, six-fold symmetry was imposed and this volume was  
339 used as a search model to pick and extract GP sub-particles directly from untilted images  
340 (Figure 2A; steps 1 and 2'). GP spike sub-particles were then subjected to conventional SPA  
341 refinement that allowed determination of a three-fold symmetrized GP density map at ~9 Å.  
342 The density map revealed a region interpreted as a coiled-coil of three  $\alpha$ -helices, a hallmark  
343 of viral class I fusion proteins.

## 344 3. Structures of purified virions

### 345 3A. Virions with icosahedral symmetric protein shells

346 Structures of icosahedrally symmetric protein shells in enveloped virions have been a topic  
347 of several cryo-EM studies over the past two decades. The first 3D reconstructions of  
348 Semliki Forest virus (SFV; *Togaviridae*) and dengue virus (DENV; *Flaviviridae*) virions were  
349 determined at 22 Å resolution (Fuller et al., 1995) and at 24 Å resolution (Kuhn et al., 2002),  
350 respectively, by SPA with icosahedral symmetry applied (Figure 2A; steps 1 and 2). At such  
351 limited resolution only the rough morphology of the virions could be resolved. However,  
352 fitting of X-ray structures into cryo-EM maps has, in many cases, allowed the creation of so-

353 called pseudo-atomic models of the glycoprotein shells. For example, fitting of the X-ray  
354 crystallographic structure of the E protein from another flavivirus, tick borne encephalitis  
355 virus (TBEV)(Rey et al., 1995) revealed the so-called herringbone arrangement of E-protein  
356 dimers on the DENV virion surface (Kuhn et al., 2002). The advent of direct electron  
357 detectors (DEDs) and other advances in electron microscope hardware and image  
358 processing software led to a 'resolution revolution' in cryo-EM making it possible to derive  
359 atomic models from cryo-EM maps of single particles alone (Kühlbrandt, 2014). A notable  
360 exception from the pre-resolution revolution era of cryo-EM is the structure of mature DENV  
361 that was determined at 3.5 Å resolution from images collected on a charge coupled device  
362 (CCD) camera (Zhang et al., 2013a).

363

364 In the post resolution revolution era, determining structures of icosahedrally symmetric  
365 protein shells in enveloped viruses from cryo-EM data alone has become routine. For  
366 instance, the structure of mature Zika virus (ZIKV; *Flaviviridae*) virion has been solved by  
367 cryo-EM and SPA by two research groups at ~3.8 Å resolution (Kostyuchenko et al., 2016;  
368 Sirohi et al., 2016). These studies revealed that despite the high level of structural similarity  
369 to other flaviviruses such as DENV, ZIKV particles displayed greater thermal stability at  
370 higher temperature (40°C degrees) which could account for their survival in the semen and  
371 urine. ZIKV protein shell also presents a unique amino acid region around the Asn154  
372 glycosylation site which may explain the neurotropic nature of ZIKV similar to West Nile virus  
373 (WNV; *Flaviviridae*). A recent 4.3-Å cryo-EM structure of Japanese encephalitis virus (JEV;  
374 *Flaviviridae*) has allowed mapping of neurovirulence factors on the virus surface (Wang et  
375 al., 2017). The same strategy has been applied to several members of the *Togaviridae*  
376 family. A cryo-EM structure of Sindbis virus (SINV; *Togaviridae*) at 3.5 Å resolution has  
377 allowed the identification of a 'pocket factor', a 20-Å long molecule, possibly a phospholipid  
378 tail, projecting from the viral lipid bilayer into a membrane-proximal hydrophobic pocket of  
379 the GP shell (Chen et al., 2018). A chikungunya virus (CHIKV; *Togaviridae*) VLP structure  
380 has been determined at 5.3-Å resolution. This study shows that togavirus VLPs reflect the  
381 structures of mature virions and revealed that CHIKV E1 and E2 glycoproteins are not  
382 associated with the E3 glycoprotein unlike other alphaviruses such as Venezuelan equine  
383 encephalomyelitis virus (VEEV) (Sun et al., 2013).

384

385 Structures of several prokaryotic viruses with icosahedral capsids and an internal membrane  
386 have also been studied by cryo-EM and SPA. Typically these viruses harbor major capsid  
387 proteins (MCPs) that consist of upright beta-barrel folds and fully cover an internal  
388 membrane following different arrangements (described by the triangulation [*T*] number).  
389 These viruses include bacteriophages PRD1 (*T*=25) (San Martín et al., 2002), Bam35 (*T*=25)  
390 (Laurinmäki et al., 2005), PM2 (*T*=21*d*) (Huiskonen et al., 2004) and FLiP (*T*=21*d*) (Laanto et  
391 al., 2017), in addition to archaeal viruses SH1 (*T*=28) (de Colibus et al., 2019), STIV (*T*=31*d*)  
392 (Veesler et al., 2013) and STIV2 (*T*=31*d*) (Happonen et al., 2010). Similarity of the MCP fold  
393 and the arrangement MCPs of the virions surface have allowed grouping these viruses to a  
394 so-called 'PRD1–adenovirus lineage', based on the extended similarity to the non-enveloped  
395 adenovirus. Interestingly, cryo-EM and SPA has revealed that giant eukaryotic dsDNA  
396 viruses share the same basic MCP building block with aforementioned prokaryotic viruses  
397 and adenovirus. In these giant dsDNA viruses compelling evidence exists for an internal lipid  
398 bilayer (Xiao and Rossmann, 2011). Structures of several viruses belonging to this group  
399 have been determined by cryoEM and SPA, including CIV (*T*=147) (Khayat et al., 2010),  
400 PBCV-1 (*T*=169*d*) (Zhang et al., 2011), PpV01 (*T*=219*d*) (Yan et al., 2005), CroV (*T*=499)

401 (Xiao et al., 2017) and mimivirus (972≥T≥1,200) (Xiao et al., 2009)(Klose et al., 2010). Cryo-  
402 EM has also been used in characterizing other types of enveloped bacteriophages belonging  
403 to the *Cystoviridae* family. Members of this family have an outer lipid envelope with surface  
404 proteins enclosing two internal icosahedral protein shells (with  $T=1$  and  $T=13$ / architecture)  
405 enclosing a segmented dsRNA genome. Structures including  $\Phi 6$  (Jääliñoja et al.,  
406 2007b)(Sun et al., 2017),  $\Phi 8$  (Jääliñoja et al., 2007b) and  $\Phi 12$  (Wei et al., 2009) have  
407 highlighted structural similarities in their protein shells to non-enveloped reoviruses. Taken  
408 together these studies have started to exemplify possible distant evolutionary links between  
409 enveloped and non-enveloped viruses.

### 410 3B. Dynamic nature of enveloped virions

411 In addition to the high-resolution cryo-EM structures of enveloped virions and their  
412 icosahedrally symmetric protein shells, several cryo-EM studies have highlighted the  
413 dynamic nature of these shells. One realization is that enveloped virions may assemble from  
414 a fixed number of GP capsomers (such as 12 pentamers and N hexamers) on a defined  
415 icosahedral lattice but the resulting virion structure may be flexible. The first representative  
416 structure for members of *Phenuiviridae*, and for the entire order of Bunyavirales, has been  
417 studied by cryo-ET of purified UUKV virions (*Phlebovirus*, *Phenuiviridae*) (Overby et al.,  
418 2008). This study revealed that the virion has icosahedral symmetry with  $T=12$  triangulation  
419 and should then in principle be amenable to SPA. Later the structure of RVFV (*Phlebovirus*,  
420 *Phenuiviridae*) virions has been studied by SPA but the resolution has been limited to 13 Å  
421 due to significant flexibility of the GP layer (Halldorsson et al., 2018). Despite this limitation,  
422 localized reconstruction method (Ilca et al., 2015) has allowed partially dealing with flexibility  
423 to improve the resolution to 7.9 Å. This was sufficient for flexible fitting of Gn and Gc X-ray  
424 crystallographic structures, revealing how the Gn chaperone protein caps the fusion loops of  
425 the fusion protein Gc (Halldorsson et al., 2018).

426  
427 Highly dynamic structural changes take place during virion maturation and entry (Hasan et  
428 al., 2018a). Cryo-EM studies have played a significant role in determining the structural  
429 changes exhibited by flaviviruses in their immature, fusogenic and mature forms. The first  
430 cryo-EM structure of a mature DENV showed that the mature infectious particles are  
431 icosahedral and ~500 Å in diameter. The surface is smooth and is comprised of 90 copies of  
432 an E protein dimer that is closely packed suggesting that a major rearrangement is required  
433 before host cell fusion (Kuhn et al., 2002). Cryo-EM and crystallography of fusogenic virions  
434 has revealed how the parallel E protein dimers of the mature virion first rearrange into  
435 monomers and then into the E-protein fusogenic trimers with three-fold symmetry (Allison et  
436 al., 1995; Bressanelli et al., 2004; Modis et al., 2004; Zhang et al., 2015). The first cryo-EM  
437 studies of immature flavivirus particles (DENV and YFV) have showed striking differences in  
438 terms of the considerably larger diameter (600 Å) and the presence of 60 prominent trimeric  
439 spikes (Zhang et al., 2003b). More recently, the 9-Å resolution cryo-EM structure of the  
440 immature ZIKV has showed similar characteristics and spatial arrangement to DENV  
441 (Prasad et al., 2017). These studies demonstrate that flavivirus particles undergo a series of  
442 significant conformational changes during virion maturation and entry, reflecting the highly  
443 dynamic nature of the virions.

444  
445 It is becoming increasingly clear that temperature is a significant factor in the conformation of  
446 enveloped virions, yet typically samples are prepared for cryo-EM at ambient temperature or

447 below. Two cryo-EM studies on the structure of the mature DENV virion have highlighted the  
448 structural changes to DENV virion at elevated temperatures (Fibriansah et al., 2013; Zhang  
449 et al., 2013b). When heated to 37°C DENV envelopes change their appearance from smooth  
450 to bumpy. This suggests that nearly all mature DENV virions involved in human infection  
451 have bumpy structures and therefore optimal vaccines should target epitopes exposed on  
452 the bumpy form of the virus.

### 453 3C. Inherently pleomorphic virions and their glycoproteins

454 Enveloped viruses whose structural protein shells do not exhibit icosahedral symmetry  
455 present a challenge for high resolution cryo-EM structure determination as these virions are  
456 unsuitable to SPA processing. In these cases cryo-ET has been used to study the overall  
457 virion ultrastructure. Examples of such studies include those on HSV-1 (Grunewald, 2003),  
458 vaccinia virus (Cyrklaff et al., 2005), HIV (Briggs et al., 2006), influenza virus (Calder et al.,  
459 2010; Harris et al., 2006), rabies virus (Guichard et al., 2011), EBOV (Bharat et al., 2012),  
460 MARV (Bharat et al., 2012) and baculovirus (Wang et al., 2016a). These studies have  
461 provided valuable insights into the virion morphology and high-level organization of structural  
462 components. For example, cryo-ET of HIV has revealed that the virion is comprised of a  
463 protein core containing the viral genome surrounded by an envelope, in which the surface  
464 glycoprotein (Env) is embedded (Briggs et al., 2006). The HIV genome is contained within a  
465 conical capsid made up of the capsid protein CA arranged into hexamers and pentamers.  
466 Cryo-ET and STA have also been used to determine the structure of the capsid within intact  
467 virions (Mattei et al., 2016). Studies on influenza virions have revealed a capsular or a  
468 filamentous shape, with HA covering most of the virion surface and NA clustering in patches  
469 (Calder et al., 2010; Harris et al., 2006). A layer of matrix protein, M1, underneath the  
470 membrane and eight RNP segments were also observed. Interestingly, NA and RNPs  
471 occupy opposite poles of the virion (Calder et al., 2010). Like the filamentous form of  
472 influenza, EBOV (Bharat et al., 2011) and MARV (Bharat et al., 2012) also present a  
473 strikingly filamentous morphology.

474  
475 The first three-dimensional characterizations of arenaviruses and their GP spikes have been  
476 performed by cryo-ET and STA of University of Helsinki virus (UHV; reptarenavirus) (Hetzl  
477 et al., 2013) and Lassa virus (LASV; mammarenavirus) (Li et al., 2016a). These studies  
478 have revealed the higher order assembly of the GP spikes, with each spike consisting of  
479 three protomers of GP1–GP2 heterodimers organized into a tripartite complex, distributed  
480 randomly over the whole virion surface. The improvement in resolution of the spike complex  
481 from 32 Å (UHV) to 14 Å (LASV) has allowed the fitting of a crystal structure of the LASV  
482 GP1–GP2 ectodomain (Hastie et al., 2017). The resulting model places the GP1 receptor-  
483 binding glycoproteins to the membrane-distal region of the spike complex where they sit atop  
484 the GP2 class I fusion glycoproteins that protrude from the virion membrane. Inspection of  
485 the tomographic slices shows that the spike complex penetrates the membrane and interacts  
486 with the underlying layer of the Z matrix protein that links the to the genome (Li et al.,  
487 2016a). Although association between stable signal peptide (SSP) and GP2 has been  
488 reported (Bederka et al., 2014; Shankar et al., 2016), the structure and topology of SSP  
489 remain unresolved at 14 Å resolution.

490  
491 Cryo-ET and STA have also started to reveal the striking differences in GP multimerization  
492 and higher level clustering on the viral envelopes, several studies focusing on bunyaviruses

493 (*Bunyavirales*). The organisation of hantavirus GP spikes on the virion has been studied by  
494 sub-tomogram averaging of TULV (*Hantaviridae*) glycoprotein spikes, followed by placing  
495 them back to their correct positions on the virion envelope (Huiskonen et al., 2010; Li et al.,  
496 2016b). These studies showed how the tetrameric spikes, consisting of four copies of Gn–  
497 Gc heterodimers, cover the envelope surface in locally ordered patches. Improved resolution  
498 in later models allowed proposing a model where the globular domains of Gn are membrane  
499 distal with a tetrameric stalk that descends into the membrane and the Gc fusion proteins  
500 occupy the space between the spikes (Li et al., 2016b). Another prototypic bunyavirus,  
501 Bunyamwera virus (BUNV; *Orthobunyaviridae*) has been studied by cryo-ET and STA  
502 (Bowden et al., 2013). This study revealed the first low-resolution structure of the trimeric  
503 glycoprotein spikes and how these spikes create locally ordered lattices on the virion  
504 surface, analogous to TULV (Huiskonen et al., 2010). Nairoviruses are another example of a  
505 pleomorphic bunyavirus displaying an ordered lattice of glycoproteins on their envelope. The  
506 first structural insights into this lattice came from STA of HAZV revealing a tetrameric array  
507 of spikes on the virion envelope (Punch et al., 2018).

508

509 The first representation of measles virus (MeV; *Paramyxoviridae*) ultrastructure (Liljeroos et  
510 al., 2011), derived by cryo-ET of purified virions, revealed that the virus is highly pleomorphic  
511 with no obvious glycoprotein ordering and are highly variable in size (50–510 nm), agreeing  
512 with the observations of similar structural investigations of SeV (Loney et al., 2009), NDV  
513 (Battisti et al., 2012), and HPIV3 (Gui et al., 2015) virions. Although a recent crystallographic  
514 study revealed that the fusion glycoprotein is capable of forming a pseudo-hexameric  
515 arrangement (Xu et al., 2015), this organization has yet to be visualized on native virions.  
516 Human orthopneumovirus (formerly respiratory syncytial virus [RSV]; family *Pneumoviridae*)  
517 has also been studied by cryo-ET and STA (Liljeroos et al., 2013). These virions display a  
518 wide range of morphologies including spherical and filamentous forms of different sizes.  
519 Interestingly a matrix layer of the M protein can only be seen sporadically in spherical  
520 particles but is common in the filamentous form. This M layer is thought to provide the  
521 membrane curvature required for budding. On the surface of the virion is the fusion protein F  
522 and the attachment glycoprotein G which both appear to be randomly distributed. The F  
523 protein can be seen in two different conformations, pre fusion and post-fusion, as  
524 determined by classification of subvolumes. This study notes that each virion typically has  
525 only one conformation of F protein on its surface but differences can be seen between  
526 virions. Another study goes further to suggest that the F protein is exclusively in the  
527 prefusion form on filamentous particles but in the post fusion form on spherical particles (Ke  
528 et al., 2018b). This suggests that the infectious form of the virus is the filamentous form and  
529 highlights the need for studying virions in their most native form, free from purification  
530 artefacts, that is by cryo-ET of budding sites on the cell surface (see section 5).

## 531 4. Envelope virus membrane fusion

### 532 4A. Fusion-triggered forms of purified virions

533 Entry of enveloped viruses into host cells can either occur by direct fusion at the plasma  
534 membrane or by endocytic pathways (i.e. macropinocytosis, clathrin-mediated and caveolin-  
535 mediated endocytosis), leading to the formation of endocytic vesicles and eventually fusion  
536 with endosomes or lysosomes (Yamauchi and Helenius, 2013). The harsh environment of

537 these compartments, including low pH and unusually high or low ionic concentration, often  
538 results in significant changes in the morphologies of the virions, particularly the envelope-  
539 displayed fusion proteins (Harrison, 2015).

540

541 Cryo-EM has become the principal technique to visualize the structural transitions that  
542 enveloped viruses and their fusion proteins undergo during fusion. Virions from different viral  
543 families have been imaged by cryo-EM in acidic conditions by changing the purified virions  
544 to low pH buffer. The results appear to agree that the fusion proteins in spite of their class (I,  
545 II, III), undergo varying degrees of structural changes. DENV virions with a bound Fab and  
546 incubated at pH 5.5 buffer have been used to reconstruct a cryo-EM map at 26 Å resolution  
547 (Zhang et al., 2015). This map revealed extended E-protein spikes with consistent shape to  
548 that of the E-protein trimer in its post fusion conformation solved by X-ray crystallography  
549 earlier (Modis et al., 2004). Averaging of side-views of the spikes at the edge of the virion  
550 allowed calculating 2D class averages of spikes (Zhang et al., 2015). As these experiments  
551 were carried out in the absence of target membranes, these results suggested that the  
552 observed trimer is a transient pre-fusion trimeric state of the E fusion protein, stabilised by  
553 the bound Fab (Zhang et al., 2015). Similar side-view averaging of BUNV GP spikes from  
554 the surface of the virions, imaged at low pH, has suggested that the GP lattice loses some of  
555 its contacts at the tips of the spikes mainly formed by the class II fusion GPs (Bowden et al.,  
556 2013). Likewise, 2D averages of TULV side views has demonstrated the collapse of its  
557 ordered tetrameric lattice under low pH conditions (Rissanen et al., 2017). A recent cryo-ET  
558 and STA investigation of the LASV GP spike complex supports the sensitivity of GP1  
559 attachment glycoprotein towards ambient pH (Cohen-Dvashi et al., 2015; Li et al., 2016a;  
560 Pryce et al., 2019). Conformational differences can be observed for GP1 structure as the pH  
561 drops from neutral (8–7) to endosomal or lysosomal pH (6.5–3.0), ultimately resulting in the  
562 shedding of the GP1 and the fusogenic rearrangement of the GP2 fusion protein (Li et al.,  
563 2016a).

564

565 Not just low pH, but other factors, either physiological or non-physiological, can also be  
566 applied to trigger the fusion protein from its prefusion condition. HRPV5 is an enveloped  
567 archeal virus with a monomeric envelope fusion protein, which does not confer to any of the  
568 existing classes I–III (El Omari et al., 2019). HRPV5 virions have been triggered to their  
569 fusogenic conformation by exposure to high temperature (55°C). This led to a conformational  
570 change in their monomeric fusion protein, allowing it to extend far enough to conceivably  
571 reach across the host cell S-layer to bridge the virion and host cell membranes (El Omari et  
572 al., 2019). Other factors such as the concentration of potassium ions ( $K^+$ ) has also been  
573 shown to be crucial for fusion events. An STA study of HAZV GP has demonstrated that the  
574 Gc fusion protein can be triggered by high  $K^+$  concentration into an extended conformation  
575 and subsequently embedded into the target membrane of co-purified vesicles (Punch et al.,  
576 2018).

#### 577 4B. Virus–liposome complexes

578 Liposomes have been used extensively as mimics of cellular plasma and endosomal  
579 membranes in cryo-EM studies of virus fusion. Liposomes serve as an accessible tool as  
580 their lipid compositions are well-defined and can be easily manipulated to suit the  
581 physiological fusion environment. As compared to a whole cell, the relatively small sizes of  
582 liposomes also enable cryo-EM imaging without complicated sample preparations such as

583 focused ion beam (FIB) milling or cryo-sectioning. The effect of various factors such as pH,  
584 temperature, lipid composition, ion concentration, in addition to antibodies or fusion  
585 inhibitors, have been investigated using virus–liposome complexes (Calder and Rosenthal,  
586 2016)(Chlanda et al., 2016)(Halldorsson et al., 2018).

587

588 Visualization of influenza virus–liposome fusion events at low pH has been achieved with  
589 cryo-ET and STA, showing a progression of sequential events from HA-liposome contact,  
590 membrane–membrane contact, full fusion, to redistribution of viral components and contents  
591 (Calder and Rosenthal, 2016). The M1 matrix layer has also been observed to undergo a  
592 conformational change at low pH prior to dissociating from the viral membrane, allowing  
593 membrane deformation and formation of a fusion pore (Fontana and Steven, 2013). In  
594 corroboration with crystal structures of HA obtained at neutral (Wilson et al., 1981) and  
595 fusion permissive pH (Bullough et al., 1994; Chen et al., 1999), cryo-EM investigations  
596 (Calder et al., 2010) have established that HA (class I fusion protein) undergoes a  
597 conformational change at low pH, exposing the fusion peptide for membrane fusion. Indeed,  
598 cryo-EM snapshots and tomographic slices of influenza virus–liposome interactions at low  
599 pH reveal an extended triple-stranded coiled coil HA structure, perpendicular to the  
600 membrane, that can be seen inserted into the target membrane. The membranes are pulled  
601 towards each other, first creating a dimple and then hemifusion of the two membranes. After  
602 the eventual formation of the fusion pore the HA can be seen in the "foldback" conformation  
603 radiating from the membrane contact points (Calder and Rosenthal, 2016). Another cryo-ET  
604 study has proposed a hemifusion stalk-independent model of membrane fusion termed the  
605 "rupture-insertion" pathway utilized when the cholesterol content in the liposomes is low  
606 (Chlanda et al., 2016). Interestingly, the HA fusion peptide of influenza virus has been  
607 observed to insert into the viral membrane in *cis* in the absence of a target membrane  
608 (Calder et al., 2010; Ruigrok et al., 1986; Skehel et al., 1982)(Calder and Rosenthal, 2016).

609

610 A recent cryo-ET and STA study of RVFV Gc (class II fusion protein) (Halldorsson et al.,  
611 2018) has shed more light on the prefusion conformation of Gc, how the hydrophobic fusion  
612 peptide is protected prior to the fusion event and how the fusion loops are embedded in a  
613 target membrane. Localized reconstructions of RVFV surface GP spikes have allowed their  
614 structures to be resolved at sufficiently high resolution (~8 Å) for flexible fitting of Gn and Gc  
615 X-ray crystallographic structures. This resulted in a model showing that the Gn glycoprotein  
616 shields the fusion loop by associating noncovalently with the Gc glycoprotein in the pre-  
617 fusion state at neutral pH (Halldorsson et al., 2018). Cryo-ET carried out at fusion permissive  
618 low pH and in the presence of liposomes showed that the Gn-shield shifts away to expose  
619 the fusion peptide, allowing extension of the Gc molecule from a kinked, likely metastable  
620 conformation to a more straightened intermediate conformation. Extension of the Gc allows it  
621 to embed its fusion loops in the target membrane, with the aromatic side chains projected  
622 into the hydrophobic region of the lipid bilayer (Halldorsson et al., 2018). This Gn-fusion loop  
623 shielding mechanism resembles that of alphaviruses CHIKV (Sun et al., 2013) and SFV  
624 (Mancini et al., 2000), where the fusion peptide of the E1 fusion protein is shielded by the E2  
625 receptor-binding protein but contrasts the homotypic shielding observed in E–E interactions  
626 of the flaviviruses DENV (Kuhn et al., 2002; Zhang et al., 2003a) and ZIKV (Sirohi et al.,  
627 2016).



## 628 5. Virus budding

629 Virus budding is a crucial step in the life cycle and propagation of enveloped viruses.  
630 Packaged with the newly synthesized genomic contents and viral proteins, virions escape  
631 from the host cell membrane prior to infecting more cells. Electron microscopy images show  
632 that budding virions can display different morphologies and often recruit viral matrix protein  
633 to the budding site. The assembly and organization of the matrix protein along with the spike  
634 GPs, NCs and in some cases also with the help of cellular ESCRT complexes induce a  
635 membrane curvature in the host cell membrane and form vesicles that are eventually  
636 pinched off to release the viral particles (Chen and Lamb, 2008). Other mechanisms that  
637 involve internal viral nonstructural proteins and lipid rafts have also been reported (Hyatt et  
638 al., 1993) (Ono and Freed, 2001)(Bavari et al., 2002)(Leser and Lamb, 2005). Cryo-ET of  
639 infected cells has been proven to be a useful technique to study the morphologically variable  
640 process of virion budding (Ke et al., 2018a)(Bharat et al., 2011)(Ke et al., 2018b)(Carlson et  
641 al., 2010).

642  
643 The matrix protein (M) of MeV has been observed, using whole-cell cryo-ET and STA, to  
644 form a well-ordered lattice that lines the inner leaflet of the plasma or virion membrane of  
645 MeV-infected cells and released virus particles, respectively (Ke et al., 2018a). The M  
646 protein has also been shown to interact with the cytoplasmic or intravirionic tails of the  
647 envelope glycoproteins and the helical ribonucleoprotein (RNP) (Ke et al., 2018a). In  
648 contrast, purified MeV virions were observed to contain ~30 nm tubular structures composed  
649 of M protein tightly coating the inner RNP, but without M protein present at the membrane  
650 (Brown et al., 1987; Liljeroos et al., 2011). Matrix oligomerization at the membrane and  
651 association on the RNP suggest that the M protein plays essential role in MeV assembly and  
652 budding by coordinating the M–glycoprotein and M–RNP interactions and that this is likely a  
653 common assembly mechanism utilized across the *Paramyxoviridae* family. These  
654 observations suggest that the methods of virus preparation and purification may result in  
655 alterations of the virus particles and subsequently impact our interpretation and  
656 understanding of the data (Kiss et al., 2014).

657  
658 The roles of matrix protein and NC in virus budding have also been studied in filoviruses  
659 such as MARV. Cryo-ET and STA investigations of intact MARV virions (Bharat et al., 2011)  
660 have revealed that the membrane-associated VP40 matrix protein interacts with other viral  
661 proteins such as VP24, VP35, and NC, and that NC displays a strong structural disparity  
662 with characteristic “pointed” and “barbed” ends by analogy with actin. The analysis of MARV-  
663 infected cells by cryo-ET has shown that the helical NCs associates laterally with the inner  
664 leaflet of the host plasma membrane and are subsequently enveloped to form filopodia-like  
665 membrane protrusions prior to excision, releasing filamentous particles. The virion VP40  
666 lattice have been observed to undergo structural rearrangement when associated with NC  
667 as compared to VP40 in VLP without NC, indicating that the VP40-NC interaction is likely  
668 required for NC envelopment and budding. Interestingly, the filamentous rhabdovirus  
669 vesicular stomatitis virus (VSV), another member of *Mononegavirales*, appears to utilize  
670 different envelopment and budding mechanisms despite the morphological homology of its  
671 NC with that of filovirus (Ge et al., 2010). Evidently, the “barbed” ends of the VSV NC bud  
672 out first, whereas, all MARV NCs are oriented with their “pointed” ends outwards. This  
673 differential budding directionality, is however, reconciled by the authors as the requirement

674 for the first base of the genome (3') to be synthesized to bud first, placing the absolute RNA  
675 directionality a priority over the NC directionality.

676

677 A previous study of MARV budding has showed that filamentous particles constitute  
678 infectious virions while rounded particles of lower infectivity were released during late rounds  
679 of infection (Welsch et al., 2010). This morphological distinction has also been observed in  
680 the paramyxovirus RSV using cryo-ET in the presence of a fusion inhibitor to exclude the  
681 possibility of observing fusion events (Ke et al., 2018b). The particles are observed in  
682 various stages of the budding process including initiation, elongation, and scission.  
683 Interestingly, the particles bud in the filamentous form despite spherical forms of the virus  
684 have been also reported (Liljeroos et al., 2013). The authors suggest that this provides  
685 further evidence that the infectious form of RSV is the filamentous form.

686

687 In enveloped virions that lack a matrix protein, such as in the members of *Bunyavirales*,  
688 organization of the spike GPs may become a key contributor to viral budding. For example,  
689 hantavirus TULV tetrameric GP forms locally ordered patches as shown by cryo-ET and  
690 STA. These patches have been proposed to create membrane curvature and contribute to  
691 the budding of hantaviruses (Huiskonen et al., 2010). Interestingly, nairovirus HAZV GP has  
692 also been observed to arrange in ordered tetrameric patches (Punch et al., 2018).  
693 Analogously, locally ordered patches of GP trimers have been observed also in BUNV, an  
694 orthobunyavirus, suggesting that such local order may be a generic driver of budding in  
695 pleomorphic bunyavirus virions (Bowden et al., 2013).

696

697 For retroviruses, the Gag polyprotein mediates many essential events including membrane  
698 binding, virion assembly, and genome packaging. Cryo-ET and STA analysis of native HIV-1  
699 budding sites revealed a consistently continuous lattice of Gag polyprotein with the same  
700 organization and structure as seen in released immature virions, indicative that the  
701 organization of these particles is determined at their intracellular assembly point (Carlson et  
702 al., 2010). Cortical actin filaments were also visualized at these sites, particularly the  
703 filopodia-assisted buds, suggesting a role of actin filaments in retrovirus assembly. A lattice  
704 lacking the NC-RNA-p6 complex was also observed in some budding sites and virions,  
705 indicating that the viral genome was absent, an observation attributed to premature  
706 proteolytic maturation and failure to recruit the ESCRT machinery in some HIV-1 infected T-  
707 cells. Interestingly, the use of whole-cell cryo-ET permitted the direct visualizations of HIV-1  
708 virions and VLPs connected to each other and to the plasma membrane by highly dynamic  
709 and filamentous proteinaceous bodies, referred as tethers (Strauss et al., 2016). The  
710 localization of tethers at budding sites supported an established restriction model for HIV-1  
711 release and dissemination. Indeed, observation of beads-on-a-string appearance on  
712 tomographic images suggested that virion budding had occurred sequentially through a  
713 tetherin-enriched microdomain.

## 714 6. Neutralisation

715 The increasing number of outbreaks caused by arboviruses, such as DENV, ZIKV and  
716 CHIKV, has placed tremendous pressure on scientists worldwide to generate therapeutic  
717 agents or vaccines in response to the epidemics (Weaver et al., 2018). Cryo-EM has been  
718 applied extensively to study the structures of virion-antibody complexes which have

719 provided insights into the molecular basis of antibody-mediated neutralisation mechanisms  
720 and the identification of potential therapeutic immunogens.

721

722 The cryo-EM structures of DENV in complex with monoclonal antibodies (mAb) at elevated  
723 temperatures have provided a wealth of detailed information into neutralization of different  
724 DENV serotypes. These structures show that the bumpy form of DENV2 is able to bind an  
725 anti-DENV Fab 1A1D-2 (Lok et al., 2008) and E104 (Zhang et al., 2015) only at elevated  
726 temperatures. The cryo-EM structure of Fab 1A1D–DENV2 complex demonstrates that the  
727 complex formation was temperature dependent with a higher level of Fab binding at 37°C  
728 than at ambient temperature due to the exposure of a hidden part of the Fab binding epitope  
729 on the E proteins (Lok et al., 2008). However, it has also been shown that this temperature-  
730 dependent transition from smooth to bumpy is strain-dependent. The cryo-EM structure of  
731 the DENV4 virion at 4.1-Å resolution suggests that this serotype has higher thermal stability  
732 than other DENV strains (Kostyuchenko et al., 2014). Furthermore, unlike other DENV  
733 strains, these virions do not expose the fusion loops to allow binding to flavivirus mAbs until  
734 the temperature is increased to 40°C (Sukupolvi-Petty et al., 2013). A DENV2-specific  
735 human mAb (2D22) complex cryo-EM structure suggests that HMAb 2D22 neutralises  
736 DENV2 by binding E dimers and prevents the E protein rearrangement required for viral  
737 entry (Fibriansah et al., 2015a). The cryo-EM structure of Fab HMAb 5J7-DENV3 complex  
738 shows that a single Fab molecule of HMAb 5J7 simultaneously binds to three functionally  
739 significant E protein domains, each on a different E protein molecule, to prevent virus  
740 attachment (Fibriansah et al., 2015b). The cryo-EM structure of a DENV1–HMAb 1F4  
741 complex has revealed that the HMAb 1F4 binds to DI and DI–DII hinge region on an E  
742 protein monomer to prevent different stages of viral entry (Fibriansah et al., 2014) while the  
743 DENV1 specific HM14c10 targets an adjacent surface of E protein dimers to neutralise the  
744 virus by blocking virus attachment as shown by cryo-EM of the DENV1–HMAb 14c10  
745 complex (Teoh et al., 2012). More recently, cryo-EM has been applied to study two highly  
746 neutralising antibodies (2C8 and 3H5) against DENV E protein and to understand the  
747 difference in their capacity to promote antibody dependent enhancement (ADE) (Renner et  
748 al., 2018; Wirawan et al., 2018). It was proposed that antibody 3H5 promoted minimal ADE  
749 by its unusual binding to the viral surface resulting in poor access to Fc region. Another  
750 study looked at the role of anti-prM antibodies in the pathogenesis of immature DENV by the  
751 cryo-EM structures of the immature DENV in complex with a Fag fragment of HMAb 1H10,  
752 at different pH values. This suggested the mechanism by which the Fab 1H10 enhanced  
753 attachment of immature DENV to liposomes by increasing dissociation of prM from E  
754 (Wirawan et al., 2018).

755

756 Recent epidemics of ZIKV in the Americas have accelerated structural studies into the  
757 neutralization mechanism of ZIKV virion–mAb complexes (Cauchemez et al., 2016; Fauci  
758 and Morens, 2016; Mlakar et al., 2016). The first cryo-EM structure of a ZIKV–mAb complex  
759 used a cross-reactive DENV mAb (C10) which inhibited viral fusion to acidified endosomes  
760 by locking of the E proteins (Zhang et al., 2016). This was followed by the 9-Å resolution  
761 cryo-EM complex structure of ZIKV and the ZIKV specific human mAb (Fab Z23) which  
762 demonstrated the binding of Fab Z23 to DIII domain of the E protein (Wang et al., 2016b).  
763 Another study showed that the neutralising human mAb (ZIKV-117) cross-links monomers  
764 with E dimers as well as between neighbouring E dimers to prevent the conformational  
765 changes of E dimers into fusogenic trimers in acidified endosomes (Hasan et al., 2017).  
766 More recently, a 4-Å resolution cryo-EM structure of ZIKV in complex with Fab fragments of

767 the highly specific human mAb (ZIKV-195) suggested that Fab ZIKV-195 neutralises ZIKV by  
768 binding to two adjacent E dimers to prevent the structural reorganization to trimers required  
769 for membrane fusion (Long et al., 2019). Cryo-EM has been applied also on other flavivirus–  
770 mAb complexes. TBEV, in complex with the neutralising mouse mAb Fab fragment  
771 (19/1786) has been resolved to a resolution of 3.9 Å revealing that this Fab neutralises by  
772 inhibiting virus-induced membrane fusion (Füzik et al., 2018). The 14.5 Å resolution cryo-EM  
773 structure of WNV in complex with the Fab of the strongly neutralizing antibody E16 has  
774 revealed that it binds to DIII and neutralises by preventing the conformational change of E  
775 prior to membrane fusion (Kaufmann et al., 2006).

776

777 Many alphavirus neutralizing antibodies in complex with virions have been studied by cryo-  
778 EM. These studies have shown that most of these neutralizing mAbs target the exposed E2  
779 protein cap (Long et al., 2015; Sun et al., 2013) (Porta et al., 2016) (Fox et al., 2015) (Hasan  
780 et al., 2018b). One of the first studies produced four ~15-Å resolution cryo-EM structures of  
781 CHIKV VLPs complexed with the Fab fragments of neutralizing mouse MABs (Sun et al.,  
782 2013). This study shows that the CHK-152 mAb neutralises by stabilising the viral surface  
783 and preventing the exposure of fusion-loop and thereby the fusion. The three mAbs CHK-9,  
784 m10 and m242 antibodies have been suggested to block the receptor-attachment site (Sun  
785 et al., 2013). More recently, two cryo-EM studies of CHIKV VLPs complexed with Fabs of  
786 highly neutralizing human mAbs have been described providing insights into the structural  
787 basis of neutralisation (Long et al., 2015; Porta et al., 2016). Furthermore, it has been  
788 suggested that the Fabs 4J21 and 5M16 bind to domains B on E2 blocking virus fusion  
789 (Long et al., 2015). The Fab 8B10 inhibits attachment by covering the receptor binding site  
790 whereas 5F10 inhibits fusion by restricting B domain movement (Porta et al., 2016). Another  
791 cryo-EM study of Fab fragments of two human mAbs has revealed a mechanism for  
792 inhibiting membrane fusion and also identified E2-W64 as a key neutralizing epitope of  
793 CHIKV E protein (Jin et al., 2015). The 16-Å resolution cryo-EM structure of CHIKV in  
794 complex with CHK-265 Fab fragments has shown that the Fab binds to domain B of E2 and  
795 induces significant conformational changes in domain A and cross-links adjacent E2 spikes  
796 to block viral entry and egress steps (Fox et al., 2015). The cryo-EM study of another  
797 alphavirus, VEEV, in complex with the highly neutralizing mAb Fab fragments (F5 and 3B4C-  
798 4) at resolution of ~17.5 Å has revealed the difference in their neutralisation mechanisms.  
799 While both Fab fragments neutralise by stabilising E2 trimeric spikes and preventing the viral  
800 fusion, the F5 cross-links E2 within a trimeric spike to block the receptor binding site  
801 whereas the 3B4C-4 Fab cross links E2 from neighbouring spikes to prevent the exposure of  
802 the fusion loop by steric hindrance (Porta et al., 2014). Recent cryo-EM studies looking at  
803 the SINV-EEEV chimera in complex with 5 different Fabs have revealed that three Fab  
804 fragments of neutralizing mAbs (EEEV-5, EEEV-42 and EEEV-58) bound to domain A of E2  
805 protein which cause intraspine cross-linking while the other two (EEEV-3 and EEEV-69)  
806 interact only with domain B favouring interspike cross-linking (Hasan et al., 2018b).

807

808 In addition to extensive cryo-EM studies of the arboviruses belonging to *Flaviviridae* and  
809 *Togaviridae* families described above, cryo-EM has been applied to study the structures of  
810 virion–antibody complexes for several viruses from other families, notably HIV and EBOV.  
811 STA has been used to study the HIV Env trimer on native virions in complex with a broadly  
812 neutralising antibody Fab fragment, b12, and the cell surface receptor, CD4 (Liu et al.,  
813 2008). This study shows that both the Fab and the receptor cause a large conformational  
814 change that “opens” the trimer but this change is more dramatic with the receptor CD4. It

815 has therefore been proposed that the b12 antibody exerts its neutralising effect by locking  
816 Env in a conformation that prevents a further CD4 induced conformational change that is  
817 required to continue the virus life cycle. To understand the structural basis of ZMapp (a  
818 cocktail of 3 mAbs: c24G4, c4G7 and C13C6), a promising anti-EBOV therapeutic, cryo-EM  
819 structures of c2G4, c4G7 and c13C6 IgGs bound to EBOV VLPs have been studied by STA  
820 (Tran et al., 2016). This study suggests that two of these antibodies (c2G4 and c4G7)  
821 remain bound through the endocytic pathway and exert their neutralising effect by preventing  
822 conformational changes required for fusion.

## 823 7. Conclusions

824 Cryo-EM has always been the method of choice to study the structures of enveloped virions.  
825 Due to technological developments in both microscope hardware and image processing  
826 software, the rate of discoveries has been accelerating in the past five years. Structures of  
827 regular enveloped virions whose protein shell(s) follow icosahedral symmetry can now be  
828 determined in a matter of days by SPA. Pleomorphic virions and virions in complex with  
829 receptors or antibodies remain a challenge for structure determination. Those virions or  
830 complexes that show slight deviations from perfect icosahedral symmetry may be tractable  
831 by improved computational 2D averaging approaches that deal with structural deformations  
832 accurately. When deviations are more severe or when the virion structure is truly  
833 pleomorphic or filamentous, STA remains the method of choice and is becoming more  
834 widely utilized. In near future more studies are expected to utilize various hybrid data  
835 processing approaches to tackle the most challenging targets for which resolution has  
836 remained limited or which have remained entirely unattainable. The 2D and 3D averaging  
837 workflows depicted in Figure 2 will likely merge into one framework within the same software  
838 package in the future. This should facilitate routinely resolving structural components in  
839 pleomorphic, enveloped virions to near-atomic resolution and further to address mechanisms  
840 of virus infection and neutralization in enveloped viruses in general.

841

842

## 843 Tables

844

845 **Table 1.** Examples of enveloped virion structures and their membrane associated  
 846 components determined by different cryogenic electron microscopy data processing  
 847 strategies.

Virus	Component	Method and steps*	Resolution (Å)	Accession codes	Reference
Simian immunodeficiency virus 1 ( <i>Retroviridae</i> )	Capsomer (Env)	3D 1-2-4	28	EMD-1216 PDB:2BF1	(Zanetti et al., 2006)
Zika virus ( <i>Flaviviridae</i> )	Virion	2D 1-2	3.1	EMD-7543 PDB:6CO8	(Sevvana et al., 2018)
Sindbis virus ( <i>Togaviridae</i> )	Virion	2D 1-2-3-4-5	3.5	EMD-9693 PDB:6IMM	(Chen et al., 2018)
Rift Valley fever virus ( <i>Phenuiviridae</i> )	Capsomer (Gn–Gc)	2D 1-2-3-4	7.7	EMD-4201 PDB:6F9F	(Halldorsson et al., 2018)
Hantaan virus ( <i>Hantaviridae</i> )	Capsomer (Gn–Gc)	2D 1-2'-4	25	N/A	(Battisti et al., 2011)
Tula virus ( <i>Hantaviridae</i> )	Capsomer (Gn–Gc)	3D 1-2-4	16	EMD-3364	(Li et al., 2016b)
Bunyamwera virus ( <i>Orthobunyviridae</i> )	Capsomer (Gn–Gc)	3D 1-2-4	30	EMD-2352	(Bowden et al., 2013)
Hazara virus ( <i>Nairoviridae</i> )	Capsomer (Gn–Gc)	3D 1-2-4	25	N/A	(Punch et al., 2018)
Lassa virus ( <i>Arenaviridae</i> )	Capsomer (GP1–GP2)	3D 1-2-4	14.0	EMD-3290	(Li et al., 2016a)
Measles virus ( <i>Paramyxoviridae</i> )	Virion	3D 1	N/A	N/A	(Ke et al., 2018a)
Ebola virus ( <i>Filoviridae</i> )	Capsomer (GP)	2D 1-2	11	EMD-8036	(Beniac and Booth, 2017)
Influenza virus A ( <i>Orthomyxoviridae</i> )	Virion	3D 1	N/A	N/A	(Calder et al., 2010)
Haloarcula hispanica SH1 virus ( <i>Sphaerolipoviridae</i> )	Virion	2D 1-2-3-4-5	3.8	EMD-4633 PDB:6QT9	(de Colibus et al., 2019)

848 \* The steps refer to different parts of the various image processing workflows in Figure 2. For  
 849 steps in 2D workflows (single particle averaging and sub-particle averaging), refer to Figure  
 850 2A. For steps in 3D workflows (tomography and sub-tomogram averaging), refer to Figure  
 851 2B.

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