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Symposium: Revenue Transparency, Resource Development, and the Challenge of Corruption
May 17-19, 2017
York University

Symposium Themes and Description

Revenue transparency and corruption in the mining industry have long been topics of national and international conversation. Mining plays an important role in the Canadian economy, contributing billions to Canada's GDP. It is also the only domestic industry in which Canada plays an undisputed leading international role, having major operations in countries around the world.

Unfortunately, there is also a dark side to mining. Historically, both in Canada and worldwide, very few local communities, Indigenous peoples, or developing and underdeveloped nations have benefitted from mining development. To the contrary, these communities have typically borne heavy costs associated with mining activities and reaped few long-term benefits. Further, mining in underdeveloped countries with poorly enforced governance and transparency laws presents multiple opportunities for corruption and social unrest. In response, ethically responsible and sustainable mining have become fundamental objectives for leading Canadian mining companies, mining associations, and governments.

This symposium aimed to spark an international dialogue on the [Extractive Industries Transparency Initiative](#), its implementation, its effectiveness, and areas for improvement in promoting revenue transparency and mitigating corruption. CBERN received generous financing from the Social Sciences and Humanities Research Council's (SSHRC) Connections grant program which allowed this event to take place. As part of its ongoing "Ethics and Mining" related research, CBERN used the workshop to convene a series of meetings and public lectures to assess progress to date on meeting the challenges posed by corruption for resource extraction and to map a 'next steps' research agenda. Invited speakers and participants came from Canada, Africa, and the United Kingdom.

PROGRAM OVERVIEW

Wednesday, May 17, 2017

9:00am - 4:30pm

Location: York University

The symposium involved a full-day international public colloquium explored the progress that the mining industry is making to combat corruption, and the effectiveness of current strategies. The day's events took place at McLaughlin College (MC) and the Seymour Schulich Business School (SSB), both located on the Keele Campus of York University, at 4700 Keele Street, Toronto (click here for a [campus map](#)). A presentation was given by Jeffrey Davidson on his role as Canada's Extractive Sector Corporate Social Responsibility Counsellor, designed specifically to interest a wide audience of students and faculty. Events included an evening reception and a lunch series presentation by Schulich Business School's Centre of Excellence in Responsible Business.

8:30 AM – 9:00 AM: Registration and Refreshments

Location: 140 MC, McLaughlin College Senior Common Room, York University

9:00 AM – 11:15 AM: Opening Keynote Presentation

Guest Speaker: Laurence Cockcroft, co-founder of Transparency International UK and internationally acclaimed author of two recently published books, 'Global Corruption: Money Power and Ethics in the Modern World', and 'Unmasked: Corruption in the West'.

Topic: *"Global Corruption and What to Do About It"*

11:30 AM – 1:30 PM: Centre of Excellence in Responsible Business Lunch Series Presentation

Location: W132 SSB, Seymour Schulich Business School, York University

Guest speaker: Jeffrey Davidson, Canada's Extractive Sector CSR Counsellor and representative for Global Affairs Canada. Davidson called upon 35 years of experience in mining and mineral resource development, and extensive expertise in corporate social responsibility across the private and not-for-profit sectors.

Topic: "Ethics, Mining, and the Role of the CSR Counsellor"

1:45 PM – 4:30 PM: Panel discussion moderated by Prof. Ian Greene

Location: McLaughlin College

Speakers: Academic, NGO, government, industry representatives, and participants: *"Bribery: Is Transparency the Antidote?"*

5:00 PM – 6:30 PM: Evening Refreshments and Networking Reception

140 MC, McLaughlin College Senior Common Room

Thursday, May 18, 2017

9:00am - 4:00pm

Location: York University

A full day international invitational workshop that explored the effectiveness of the Extractive Industries Transparency Initiative (EITI) and identified knowledge gaps that still need to be filled.

Presentations included:

- Laurence Cockcroft, acclaimed international author and co-founder of Transparency International UK: *"Bribery: Is Transparency the Antidote?"*;
 - Bill Buenar Puplampu, Professor of Organisational Behaviour and Corporate Responsibility and Pro Vice Chancellor for Academic Affairs, Central University, Ghana: *"EITI in Ghana: The Impact of National Governance Arrangements on GHEITI"*; and
 - Kiiikpoye Aaron, Professor at the University of Port Harcourt, Nigeria: *"EITI in Nigeria: What Role has Local Government Played in the Niger Delta Region?"*.
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Friday, May 19, 2017

12:00pm-2:00pm

Location: Ryerson University

A public seminar sponsored by the Ryerson University Institute for the Study of Corporate Social Responsibility was held on May 19th. The colloquium, workshop, lecture, and presentations were videotaped and added to CBERN's electronic website education and research library of ethics and mining resources, and mobilized via social media.

Steering Committee

Dr. Wesley Cragg: Dr. Cragg is Senior Scholar and Professor Emeritus of Business Ethics at the Schulich School of Business and the Department of Philosophy, and the Director of the Canadian Business Ethics Research Network. He serves on the editorial boards of two leading Business Ethics Journals and is widely published by international journals and book publishers. He is co-editor of the Springer Encyclopedia of Business and Professional Ethics, and author of the chapter on bribery and corruption entitled "Bribery, Corruption and Moral Norms Across National Boundaries" in the forthcoming Routledge Companion of Business Ethics.

Dr. Hevina Dashwood: Dr. Dashwood is Professor and Chair of the Department of Political Science at Brock University. She has a well-established international research agenda focusing on natural resource governance in the context of developing countries. Her book, *The Rise of Global Corporate Social Responsibility: Mining and the Spread of Global Norms* (2012), examines the importance of global norms in influencing CSR adoption and global standard-setting in the global mining sector. Her research program includes the role of state and non-state actors in global standard-setting initiatives, and their impact in developing countries.

Dr. Ian Greene: Dr. Greene is a Professor Emeritus and University Professor in the School of Public Policy and Administration at York University. He is a former Master of McLaughlin College, and former Director of the Master of Public Policy, Administration and Law program. He is the Coordinator of the Graduate Diploma in Justice System Administration, serves on the Executive of the York Collegium for Practical Ethics, and currently acts as Interim Director of the Canadian Business Ethics Research Network. He continues to teach courses in Canadian public law, the Charter of Rights, court organization and management, and public sector ethics.

Dr. Uwafiokun Idemudia: Dr. Idemudia is an Associate Professor in the Department of Social Science at York University. Professor Idemudia's research interests are in the area of: critical development studies; political economy and political ecology approaches to natural resource extraction in developing countries; business and development, issues of governance; and transparency and accountability in resource-rich African countries. He is also interested in the relationship between development and conflict, as well as environmental security.

Dr. Bill Buenar Puplampu: Dr. Buenar Puplampu is Pro Vice Chancellor of Academic Affairs and Professor of Professor of Organisational Behaviour and Corporate Responsibility at Central University in Ghana. He has recently led and completed a British Council funded three-year research project on capacity building, which explored CSR and Occupational Safety and Health issues in Ghana, the UK, and Tanzania.

Dr. Kernaghan Webb: Dr. Webb is Associate Professor of Law and Business at Ryerson University's Ted Rogers School of Management and the Founding Director of the Ryerson University Institute for the Study of CSR. He has researched and published extensively on CSR standards and their connections with law and public policy in Canada, developing countries and other international contexts. He is Special Advisor to the United Nations Global Compact on the development of the ISO 26000 Social Responsibility standard, and Co-Chair of the Canadian Centre for Excellence in CSR (established as part of the federal government's CSR Strategy for the Extractive Sector). He has served on the Boards of Transparency International Canada and Canadian Business for Social Responsibility, and the Executive Committee of CBERN.

Speaker Profiles

Dr. Kiikpoye Aaron: Dr. Aaron is a Professor at the University of Port Harcourt, Nigeria, specializing in corporate social responsibility in the extractive sector in Nigeria, and local community government. He has worked with Dr. Idemudia on a project examining corporate responsibility and its role in conflict resolution in the Niger Delta. He brings direct knowledge and experience of the impact of corruption on the allocation of costs and benefits of resource extraction.

Charles-Bruno Okpuruka: Charles-Bruno Okpuruka recently graduated from York University with an MES Planning degree, and additionally studies Mining Management at the Certificate level at Ryerson University. His interests are Environmental Management and CSR as they relate mostly to the extractive industry.

Dominic Channer: Dominic Channer has worked in the mining business for more than 20 years, and has extensive experience in exploration, permitting, community relations, and external affairs in Latin and

South America. In January 2016, he stepped into the role of Vice President of Community Relations for Kinross Gold Corporation in Toronto, Ontario.

Laurence Cockcroft: Laurence Cockcroft is an internationally recognized economic development consultant focused on Africa. He is one of the founders of Transparency International UK, an international thought leader, and acclaimed author of two recently published books, *Global Corruption: Money Power and Ethics in the Modern World*, and *Unmasked: Corruption in the West*.

Nadège Compaoré: Nadège Compaoré is a SSHRC Postdoctoral Fellow in the Department of Social Science at York University. She holds a PhD in Political Studies from Queen's University, where she examined the varying attitudes of resource-rich African states towards the Extractive Industries Transparency Initiative (EITI). She conducted extensive fieldwork in Gabon, Ghana, and South Africa, funded by SSHRC, by the Center for International Governance Innovation (CIGI), and by the Canadian International Development Agency (CIDA). Her postdoctoral project investigates the evolution of mining legislations in West Africa, and their implications for host and home state behaviour, as well as for corporations and local communities. She is co-editor of *New Approaches to the Governance of Natural Resources: Insights from Africa*, Palgrave (2015).

Jeffrey Davidson: Jeffrey Davidson is Canada's Extractive Sector CSR Counsellor and a representative for Global Affairs Canada. He brings extensive work experience related to corporate social responsibility across private and not-for-profit sectors, countries and cultures to his appointment as Extractive Sector CSR Counsellor. He has been involved with mining and mineral resource development for the past 35 years as a project engineer, policy and program advisor, community worker and teacher. He has been a long-time early advocate and practitioner in the areas of socially responsible mining operations and constructive company-community engagement.

Mora Johnson: Mora Johnson is an employee (on leave) of Natural Resources Canada and Global Affairs Canada, and is currently in private practice. She spent over 10 years working on anti-corruption and transparency files at Foreign Affairs Canada and Natural Resources Canada. Her files included advising the Government of Canada on EITI and serving as Head of Delegation to the OECD Working Group on Bribery. She has also provided leadership in the Government on policy development and implementation relating to corporate social responsibility and the UN Guiding Principles on Business and Human Rights.

Zaker Khan: Zaker Khan is a Master's student enrolled in the Master of Science in Management (MScM) program at Ryerson University. He is interested in understanding how organizations respond to and learn from non-market pressures/events. His current efforts in this area of study are focused on conducting a case study of a multinational organization's evolving approach to institutionalizing/implementing non-market learning initiatives across its operations.

Cynthia Kwakyewah: Cynthia Kwakyewah is a Masters student in Interdisciplinary Studies at York University with academic interests in sustainable development, corporate human rights obligations, governance and politics in Sub-Saharan Africa, issues of social justice and female empowerment. Her Master's research studies the relationship between business and human rights and its implication for sustainable in Western Ghana. Specifically, she is examining the extent to which Canadian mining companies fulfill their human rights obligations and social responsibilities and investigating how host communities are able to hold mining companies accountable with respect to human rights and sustainable community development. A recipient of the Graduate Fellowship for Academic Distinction, Cynthia has worked as a Research Associate on a variety of projects at the Department of Geography, Social Science, Jean Augustine Chair in Education in the New Urban Environment, and Osgoode Hall Law School.

Esma Mneina: Esma Mneina is the Stakeholder Liaison Officer at the Office of the Extractive Sector CSR Counsellor. In this capacity, Esma assists the CSR Counsellor and engages directly with Canadian companies on their local impact abroad, communities in Latin America, and builds the Office's relationship with various stakeholder groups, facilitating dialogue, engagement and research related to CSR in the extractive sector. Esma has experience in CSR related to mining, best practices, research in responsible investing and fieldwork. She has worked in Guatemala, El Salvador, Washington D.C., Ottawa, and Toronto. In this position, she has travelled with the CSR Counsellor to Honduras and Guatemala.

Ed Opitz: Ed Opitz is the Vice President of Corporate Responsibility for Kinross Gold Corporation. Kinross is an industry CSR leader with gold mining operations in Ghana and many other countries around the world. Mr. Opitz is responsible for the policies that guide the company's global operations.

Eddie Rich: Eddie Rich has been Deputy Head of the EITI since the International Secretariat was established in 2007. In this role, he and his colleague, Jonas Moberg, have developed an approach to collective governance that challenges conventional developmental wisdom. It is summarised in their book, *Beyond Governments: Making Collective Governance Work – Lessons from the EITI*. It isn't difficult to think of intractable global problems that require collective solutions, from poverty and inequality to climate change, but examples of effective collective governance are rare in practice. The EITI is a case study of entrepreneurial governance.

Overall, Eddie has worked in development for over 20 years. In 1996-98 he was the DFID representative to Angola. In charge of a medium sized-aid programme, it soon became clear to him that the development debate needed to move well beyond aid. This was reinforced when he was part of the small team working on the UK Government's ground breaking White Paper on International Development 'Making Globalisation Working for the Poor'. The paper advocated a more coherent approach to international development policy highlighting issues of trade, governance, security, codes and standards, finance, and the environment. He also co-authored a background study for this on poor performing states.

He was Head of DFID's Corporate Social Responsibility team when the nascent Publish What You Pay coalition came to DFID with an idea for a transparency initiative in the extractive sector in 2001. He continued to work on the Extractive Industries Transparency Initiative in those infant times until 2004 when he moved to Kenya as Deputy Head of DFID Kenya. When Kenya started exploring for deep sea oil in 2006/7, he was thrown back into the EITI debate. Since 2007, Eddie has been the Deputy Head of the EITI International Secretariat where he has lead on Africa and the Middle East as well as oversight for finance, human resources, communications, and the Global conferences.

Esther Stanley: Esther Stanley holds a Bachelors degree in Political Science from Brock University and currently works on a Major Research Project as part of her Master in Political Science at Brock. Her specialization is International Relations; her project focuses on exploring the political factors responsible for the resource curse in Nigeria.

Claire Woodside: Claire Woodside is the Director of Publish What You Pay Canada and a board member of Transparency International Canada. She is also an alumnus of the CBERN PhD cluster and led the successful PWYP Canada campaign to persuade the Canadian Government to require Canadian-listed and Canadian resource extraction companies to make public their payments to governments, thereby increasing the transparency of thousands of globally active mining, oil, and gas companies.
