



Matharu, G. S., Garriga, C., Rangan, A., & Judge, A. (2020). Does regional anaesthesia reduce complications following total hip and knee replacement compared with general anaesthesia? An analysis from the National Joint Registry for England, Wales, Northern Ireland and the Isle of Man. *Journal of Arthroplasty*, 35(6), 1521-1528. [E5]. <https://doi.org/10.1016/j.arth.2020.02.003>

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[10.1016/j.arth.2020.02.003](https://doi.org/10.1016/j.arth.2020.02.003)

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The Journal of Arthroplasty

Does regional anaesthesia reduce complications following total hip and knee replacement compared with general anaesthesia? An analysis from the National Joint Registry for England, Wales, Northern Ireland and the Isle of Man

--Manuscript Draft--

Manuscript Number:	JOA-D-19-01646R1
Article Type:	Original Article
Keywords:	Anaesthesia; Hip Replacement; Knee Replacement; Outcome research; Orthopaedic Surgery
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Manuscript Region of Origin:	Europe
Abstract:	<p>Background Regional anaesthesia is increasingly used in enhanced recovery programmes following total hip replacement (THR) and total knee replacement (TKR). However debate remains about its potential benefit over general anaesthesia given complications following surgery are rare. We assessed the risk of complications in THR and TKR patients receiving regional anaesthesia compared with general anaesthesia using the world's largest joint replacement registry.</p> <p>Methods We studied the National Joint Registry for England, Wales, Northern Ireland and the Isle of Man linked to English hospital inpatient episodes for 779,491 patients undergoing THR and TKR. Patients received either regional anaesthesia (n=544,620, 70%) or general anaesthesia (n=234,871, 30%). Outcomes assessed at 90 days included length of stay, readmissions, and complications. Regression models were adjusted for patient and surgical factors to determine the effect of anaesthesia on outcomes.</p> <p>Results Length of stay was reduced with regional anaesthesia compared with general anaesthesia (THR=-0.49 days, 95% confidence interval (CI)=-0.51 to -0.47 days, p<0.001; TKR=-0.47 days, CI=-0.49 to -0.45 days, p<0.001). Regional anaesthesia also had a reduced risk of readmission (THR odds ratio (OR)=0.93, CI=0.90-0.96; TKA OR=0.91, CI=0.89-0.93); any complication (THR OR=0.88, CI=0.85-0.91; TKA OR=0.90, CI=0.87-0.93); urinary tract infection (THR OR=0.85, CI=0.77-0.94; TKR OR=0.87, CI=0.79-0.96); and surgical site infection (THR OR=0.87, CI=0.80-0.95; TKR OR=0.84, CI=0.78-0.89). Anaesthesia type did not affect the risk of revision surgery or mortality.</p> <p>Conclusions Regional anaesthesia was associated with reduced length of stay, readmissions, and complications following THR and TKR when compared with general anaesthesia. We recommend regional anaesthesia should be considered the reference-standard for patients undergoing THR and TKR.</p>

Cover letter

Submission type: New

Manuscript category: Original article

Title: Does regional anaesthesia reduce complications following total hip and knee replacement compared with general anaesthesia? An analysis from the National Joint Registry for England, Wales, Northern Ireland and the Isle of Man

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All of the aforementioned authors have actively participated in the study, and the work has not been submitted elsewhere for consideration for publication.

IRB approval: With support under Section 251 of the NHS Act 2006, the Ethics and Confidentiality Committee (ECC), (now the Health Research Authority Confidentiality Advisory Group) allows the NJR to collect patient data where consent is indicated as ‘Not Recorded’.

Consent for publication: Before Personal Data and Sensitive Personal Data is recorded, express written patient consent is provided. The NJR records patient consent as either ‘Yes’, ‘No’, or ‘Not Recorded’.

Availability of data and material: Access to data is available from the National Joint Registry for England and Wales, Northern Ireland and the Isle of Man, but restrictions apply to the availability of these data, which were used under license for the current study, and so are not publicly available. Data access applications can be made to the National Joint Registry Research Committee. Access to linked HES and PROMs data is available through data applications to NHS Digital.

Competing interests: GSM has received personal fees for undertaking medicolegal work for Leigh Day. CG has no relevant conflicts of interest. AR holds non-commercial research grants from NIHR, ORUK & H2020; his department has received educational and research grants from DePuy Ltd. AJ has received consultancy fees from Freshfields Bruckhaus Deringer, and has held advisory board positions (which involved receipt of fees) from Anthera Pharmaceuticals, INC.

Funding: This project was funded by the NIHR Health Services and Delivery Research programme (project number 14/46/02). AJ is supported by the NIHR Biomedical Research Centre at the University Hospitals Bristol NHS Foundation Trust and the University of Bristol. The views expressed in this publication are those of the authors and do not necessarily reflect those of the NHS, the National Institute for Health Research or the Department of Health and Social Care.

Authors' Contributions: All authors conceived and designed the study. GM, CG and AJ analysed the data. All authors interpreted data and wrote, edited and approved the final report. All authors agree to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. AJ is the guarantor for this study and had final responsibility for manuscript submission.

13th January 2020

Response to reviewer comments for Manuscript # JOA-D-19-01646

"Does regional anaesthesia reduce complications following total hip and knee replacement compared with general anaesthesia? An analysis from the National Joint Registry for England, Wales, Northern Ireland and the Isle of Man"

Many thanks for reviewing our work. We appreciate all the comments received and feel that these have helped improve our paper. All responses to the reviewer comments below are provided in **bold text**, and we have cited both page number and line number for any changes made in the manuscript. As requested we have only submitted a clean copy of the main text document.

Reviewer #1: This is a retrospective analysis on a large population-based registry which intends to compare general vs regional anesthesia for joint replacement surgery in terms of multiple outcomes.

No specific comments to address.

The study is well designed since it addresses most of relevant outcomes and potential confounders. Furthermore, the decision to combine multiple databases in order to detect as many outcomes as possible is a great effort that adds valuable information. In addition, the manuscript is clear, well-written and organized thus making the paper very enjoyable to read.

No specific comments to address.

My only concern is in regards to the effect of the historic trend in the use of anesthetic techniques on the current results since the proportion of general anesthesia was greater during the first years of observation.

Within the materials and methods section, authors state that the year of surgery was included for adjustment for the statistical analysis and then, in the discussion section, they acknowledge that the study can not exclude the possibility that the changes in practice are responsible for the differences found between anesthetic techniques. This topic deserves a more detailed description of the adjustment made during statistical analysis, further discussion and a modification in the conclusion statement, if needed.

We thank the reviewer for this useful comment. In the analyses we had adjusted for year as a confounding variable in the multivariable regression models, with year as a binary variable (2008-12 versus 2013-17). To help address the reviewers comment, we have now conducted further analyses, using likelihood ratio tests to test for evidence of an interaction between anaesthetic techniques with year of surgery, for each of the study outcomes. We have now explained these extra details in our statistical analysis section of the revised manuscript (lines 156-7 and lines 154-6). These analyses allow us to address the question of whether the effect of regional anaesthesia on outcomes is the same in earlier versus later years of surgery. The results of the extra analyses have been presented (lines 248-269) and it has also been discussed further in the paper (lines 374-8).

In summary, very interesting paper, well written, and it contributes to currently available knowledge. Must be published if authors are able to explain the effect of that possible shortcoming.

Thank you.

Reviewer #2: The authors performed a retrospective review of the large UK Registry to compare outcomes of TKR and THR performed under regional versus general anesthesia and found a statistically significant decrease in length of stay as well as certain complications. Overall, this is a well-written, relevant study that provides good evidence for the benefits of regional anesthesia. I do have a few questions and issues that I would like the authors to address.

Specific comments addressed in turn below

TITLE AND ABSTRACT: The nomenclature for the Registry that was studied is slightly confusing, at least to this non-European reviewer. In Line 15, it is listed as the "UK National Joint Registry," yet from the title (as well as Lines 87-88) it would appear that Scotland is not included. Also, Line 15 mentions "English hospital inpatient episodes": does the registry also include data from hospitals in Wales, Northern Ireland, etc.?

We thank the reviewer for highlighting this point, and apologise for the confusion. The National Joint Registry is based on data from England, Wales, Northern Ireland and the Isle of Man. Therefore the title and the text in the methods is correct, but we have now corrected the abstract (line 15) to reflect this, given data from Scotland is not included in the NJR. Linkage to determine outcomes following joint replacement is only permitted with hospital inpatient episodes that occur in England via the Hospital Episode Statistics data, as linkage to the NJR is currently not available for the small proportion of joint replacements recorded in the NJR which are performed in Wales, Northern Ireland and the Isle of Man.

INTRODUCTION:

Lines 45-53: The wording here, regarding the impetus for using joint replacement surgery as the impetus for "enhanced recovery" protocols, seems a bit awkward. Perhaps replace "focus of enhanced recovery" with "main drivers of enhanced recovery protocols," and replace "active ingredients" with "components."

These changes have now been made as suggested (line 51 and line 57).

METHODS:

Lines 115-6: It is implied that the "regional anesthesia" all received a spinal, possibly with the addition of a peripheral nerve block. Did any of the general anesthesia patients receive nerve blocks as well? Is it possible to tease out whether or not adding a peripheral block provided any significant additional benefits to the patients in either group?

Yes some of the general anaesthesia patients did receive a nerve block and we have adjusted the wording in the methods accordingly (line 122).

We have conducted a sensitivity analysis re-running the analyses for all outcomes for: a) spinal only vs spinal + nerve block in just the spinal cohort, and then general only vs general + nerve block in just the general anaesthesia cohort. We have added this to the statistical analysis section (lines 166-8) and also included the results of this analysis (lines 271-80, and Appendix 3) and discussed them appropriately (lines 327-342).

RESULTS:

Lines 161-8: While it is reported that regional anesthesia decreased by approximately half a day (for both THR and TKR), the overall LOS of 4-5 days seems a bit long. Did the length

of stay decrease over time during the years that were studied? And has the relative degree of reduction in LOS from using regional anesthesia changed over time?

This is a European based healthcare system, and given how these are designed (relative to USA systems) the length of stay is generally longer in European based studies. Furthermore we are presenting a large nationwide sample which is thus representative of practice across the nation over a 10-year period. Mean length of stay decreased from 6.1 days in 2008 to 3.5 days in 2017 for TKR and for THR from 6.3 days to 3.4 days. The decrease was consistent across both general and spinal groups, with LOS always lower for the regional group across all years of the study. We have now added this information to the results section (lines 188-192).

Lines 182-9: It is noted that regional anesthesia was associated with a decrease in overall complications, as well as a number of specific complications. However, did regional anesthesia result in a change in the relative distribution of specific complications (or, at least, a difference in which complications were most common)? Such a difference could also have implications for enhanced recovery protocols.

Table 2 shows the relative distribution of the specific complications in each anaesthetic group for hips, and also for knees.

In hips, the 5 commonest complications (in order) with regional anaesthesia were: anaemia, surgical site infection, respiratory tract infection, VTE, and urinary tract infection.

In hips, the 5 commonest complications (in order) with general anaesthesia were: anaemia, surgical site infection, VTE, respiratory tract infection, and urinary tract infection.

In knees, the 5 commonest complications (in order) with regional anaesthesia were: surgical site infection, anaemia, VTE, respiratory tract infection, and urinary tract infection.

In knees, the 5 commonest complications (in order) with general anaesthesia were: surgical site infection, anaemia, VTE, respiratory tract infection, and urinary tract infection.

Therefore, the relative distribution of the specific complications between regional and general anaesthetic groups was similar following both THR and TKR (Table 2). We have added such a statement to the Results to highlight this (lines 220-1).

Finally, while the use of the word "secular" in Lines 212 and 261 is technically correct (in the "economic" sense), it may be confusing to some readers who associate the term with its "non-religious" definition. Perhaps use "steady" or "persistent" instead.

These changes have now been made as suggested (lines 243, 245, and 344).

Reviewer #3: The authors have submitted a nice paper. A few questions/concerns:

1. I recommend eliminating the comment about the "world's largest joint registry" (line 12). The AJRR now contains more patient records (over 1.5 million) thus making it the largest national registry in the world.

As stated in the methods of the initial paper the NJR "...contains over 2 million primary THR and TKR procedures..". This can be confirmed on their website and in the latest

16th annual report on page 36, Figure 3.1, which confirms there are 2,293,452 primary THRs and TKRs combined which are recorded within the registry (available at: <https://reports.njrcentre.org.uk/Portals/0/PDFdownloads/NJR%2016th%20Annual%20Report%202019.pdf>). Data from the Australian joint Registry suggests 1,492,892 such procedures have been performed (<https://aoanjrr.sahmri.com/documents/10180/668596/Lay+Summary+of+Hip+and+Knee+Replacement/9a0ce4fc-c157-0c7f-8850-a43027d2e044>). Therefore we have left in the statement about the NJR being the worlds largest joint registry as we believe it is still valid.

2. Why is “smoking” listed as a key word (lines 38-39)?
We apologise for this mistake and have removed this key word.

3. For the exposure section (lines 114-117) the authors report the overall breakdown of general versus regional (30/70). How does that breakdown for THA vs TKA? I would think the percentage of patients receiving general alone is higher for THA compared to TKA. Please include this breakdown.

This breakdown was included in the first row of Table 1. For THA the split is 30.2% general vs. 69.8% regional. For TKA the split is 30.1% general vs. 69.9% regional. Therefore the split between anaesthetic types is almost identical between THA and TKA. For clarity we have added a sentence in the Methods to highlight the split by joint type (lines 122-4).

4. Please clarify the categories. Would a patient with general anesthesia and a nerve block fall into the “general” cohort? Line 114-117 suggests that the two categories are really spinal versus general anesthesia and the presence of nerve block does not matter (i.e. the only requirement to be in the regional category is presence of spinal). If I am correct, than isn't the category “regional” misleading as many patients who received a nerve block (which is a regional anesthesia technique) would end up in the general category? Please clarify.
We have now clarified the definition of the exposure group in the Methods in response to this and a previous reviewer comment (lines 121-2). The further analyses performed (see response to comment 5 below) confirmed that very few patients were in the general anaesthetic + nerve block group (4.5% for THR and 7.5% for TKR). Furthermore, although nerve block as a regional technique, they still had a general anaesthetic which is the main type of anaesthesia they received so we feel they cannot be classed as completely having “regional” anaesthesia. Therefore we consider it is still appropriate to keep the terminology of the exposure group as general vs. regional anaesthesia, and in addition our subanalysis below and in the revised manuscript highlights the effect of addition of a nerve block to both general and spinal alone, which helps clarify this issue.

5. Do the authors have the numbers available to compare multiple groups (spinal alone, spinal + nerve block, general alone, general + nerve block)? Breaking this into two categories seems to be an over simplification.

We have now performed this additional work as a sensitivity analysis in response to this and a previous reviewer comment (line 122 and 166-8 in the Methods, lines 271-80 in the Results, lines 327-342 in the Discussion, and Appendix 3). Please see also our specific response to reviewer 2's comment above.



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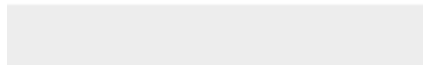
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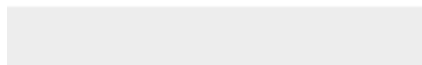
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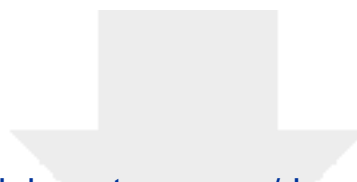




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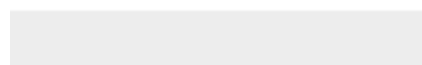
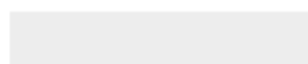
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Does regional anaesthesia reduce complications following total hip and knee replacement compared with general anaesthesia? An analysis from the National Joint Registry for England, Wales, Northern Ireland and the Isle of Man

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1 **Does regional anaesthesia reduce complications following total hip and knee**
2 **replacement compared with general anaesthesia? An analysis from the National Joint**
3 **Registry for England, Wales, Northern Ireland and the Isle of Man**

4
5 **Abstract**

6
7 **Background**

8 Regional anaesthesia is increasingly used in enhanced recovery programmes following total
9 hip replacement (THR) and total knee replacement (TKR). However debate remains about its
10 potential benefit over general anaesthesia given complications following surgery are rare. We
11 assessed the risk of complications in THR and TKR patients receiving regional anaesthesia
12 compared with general anaesthesia using the world's largest joint replacement registry.

13
14 **Methods**

15 We studied the National Joint Registry for England, Wales, Northern Ireland and the Isle of
16 Man linked to English hospital inpatient episodes for 779,491 patients undergoing THR and
17 TKR. Patients received either regional anaesthesia (n=544,620, 70%) or general anaesthesia
18 (n=234,871, 30%). Outcomes assessed at 90 days included length of stay, readmissions, and
19 complications. Regression models were adjusted for patient and surgical factors to determine
20 the effect of anaesthesia on outcomes.

21
22 **Results**

23 Length of stay was reduced with regional anaesthesia compared with general anaesthesia
24 (THR=-0.49 days, 95% confidence interval (CI)=-0.51 to -0.47 days, p<0.001; TKR=-0.47
25 days, CI=-0.49 to -0.45 days, p<0.001). Regional anaesthesia also had a reduced risk of

26 readmission (THR odds ratio (OR)=0.93, CI=0.90-0.96; TKA OR=0.91, CI=0.89-0.93); any
27 complication (THR OR=0.88, CI=0.85-0.91; TKA OR=0.90, CI=0.87-0.93); urinary tract
28 infection (THR OR=0.85, CI=0.77-0.94; TKR OR=0.87, CI=0.79-0.96); and surgical site
29 infection (THR OR=0.87, CI=0.80-0.95; TKR OR=0.84, CI=0.78-0.89). Anaesthesia type did
30 not affect the risk of revision surgery or mortality.

31

32 **Conclusions**

33 Regional anaesthesia was associated with reduced length of stay, readmissions, and
34 complications following THR and TKR when compared with general anaesthesia. We
35 recommend regional anaesthesia should be considered the reference-standard for patients
36 undergoing THR and TKR.

37

38 **Key words**

39 Anaesthesia; Hip Replacement; Knee Replacement; Outcome research; Orthopaedic Surgery

40

41

42 **Introduction**

43 Total hip replacement (THR) and total knee replacement (TKR) are commonly performed
44 and effective interventions for treating arthritis.[1] Predictions suggest that the number of
45 these procedures will continue to increase worldwide.[2, 3] Being high volume elective
46 surgical procedures, THR and TKR lend themselves well to standardising best practice for
47 improving patient outcomes, and were the main drivers of enhanced recovery protocols in
48 musculoskeletal care. Through a Department of Health led programme an “enhanced
49 recovery” patient pathway for THR and TKR was introduced across all English hospitals
50 from 2009.[4, 5]. Enhanced recovery is a complex intervention that focuses on quality
51 improvement in key areas of the patient care pathway – this includes changes that can reduce
52 the risk of complications and speed up patients’ recovery time. There is a need for clarity on
53 its core components, and how they are exerting their effect.[6, 7].

54

55 Both THR and TKR can be performed under either general anaesthesia or regional
56 anaesthesia, however there is uncertainty about which method of anaesthesia leads to better
57 outcomes.[8] The advent of enhanced recovery has led to an increase in the use of regional
58 anaesthesia for THR and TKR.[9, 10] A systematic review of 29 studies involving 10,488
59 patients undergoing THR or TKR showed that regional anaesthesia was associated with a
60 lower length of stay compared with general anaesthesia; however both techniques were
61 equally effective with a similar risk of adverse events.[11] The authors concluded that there
62 was limited evidence to suggest that regional anaesthesia was associated with better
63 perioperative outcomes. By contrast, observational studies have suggested advantages of
64 regional anaesthesia over general anaesthesia following THR and TKR in terms of mortality,
65 complications, and blood loss, in addition to length of stay.[12-15]

66

67 The absolute risk of complications following THR and TKR is rare,[16] therefore randomised
68 controlled trials comparing anesthetic types would need very large numbers for assessing
69 serious adverse events such as mortality and cardiorespiratory complications, and may not be
70 feasible. Observational studies have been criticised given these involved relatively small
71 cohorts (under 20,000 patients); they are limited by the information available; do not report
72 relevant outcomes, particularly patient reported outcomes;[11] and some only report
73 morbidity during the hospital admission, thus not capturing post-discharge events.[12] There
74 is therefore the need to examine the effects of regional anaesthesia over general anaesthesia
75 in terms of morbidity and mortality following THR and TKR in a large patient cohort with
76 sufficiently granular data, in order to overcome these limitations.

77

78 The study aim was to assess the risk of complications following THR and TKR in patients
79 receiving regional anaesthesia compared with general anaesthesia using data from the world's
80 largest mandated national arthroplasty registry. We also assessed temporal trends in
81 anaesthesia use.

82

83 **Methods**

84

85 **Study design and data source**

86 A retrospective analysis of prospectively collected observational data was performed using
87 data from the National Joint Registry (NJR) for England, Wales, Northern Ireland and the
88 Isle of Man. Data capture commenced in April 2003 and contains over 2 million primary
89 THR and TKR procedures, capturing approximately 95% of all such procedures.[16] Patients
90 consent for their details to be recorded within the NJR and data linkage to be performed, with
91 92% providing consent.[16] Operating teams complete data capture forms after performing
92 THR and TKR, which are entered onto the NJR database. Independent validation studies
93 have reported that data completion and accuracy are excellent for procedures within the
94 NJR.[17, 18]

95

96 Primary operations from the NJR were subsequently linked with Hospital Episode Statistics
97 (HES) data, which contains records of all hospital inpatient episodes undertaken in National
98 Health Service trusts in England (125 million each year). HES uses International
99 Classification of Diseases 10th revision (ICD-10) to record diagnoses and the Office of
100 Population Censuses and Surveys version 4 (OPCS-4) procedures to record diseases,
101 complications, interventions and procedures from secondary care (Appendix 1). The NJR
102 dataset was also linked with the Office for National Statistics (ONS) database, which
103 provides data on all-cause mortality.

104

105 **Exclusion criteria**

106 All patients undergoing primary THR or TKR for osteoarthritis recorded in the NJR were
107 eligible for inclusion up until February 2017. Exclusions were made as follows: (1) patients

108 with metal-on-metal THR bearings, or partial knee replacements; (2) received anaesthesia not
109 defined by the exposure group (below); (3) procedure performed as an emergency; and (4) no
110 linkage to HES data (i.e. surgery prior to 2008). There were 779,491 patients included for
111 analysis (Figure 1).

112

113 **Exposure**

114 The NJR collects data on the type of anaesthesia used for each procedure. Patients were
115 grouped as having either regional anaesthesia (spinal anaesthesia +/- sedation +/- nerve
116 block: n=544,620, 70%) or general anaesthesia (+/- nerve block: n=234,871, 30%). The
117 proportion receiving each anaesthesia type was similar for THA (69.8% regional vs. 30.2%
118 general) and TKA (69.9% regional vs. 30.1% general). These groups were chosen to reflect
119 enhanced recovery protocols compared with standard anaesthesia techniques.

120

121 **Covariates**

122 For each procedure data were available on patient demographics and the type of surgery. This
123 included age, sex, body mass index (BMI), area-level deprivation using the index of multiple
124 deprivation (IMD: based on patient residential postcode and rural/urban indicator),[19]
125 American Society of Anesthesiologists (ASA) grade,[20] Charlson comorbidity score,[21]
126 unit type (public or private), mechanical and chemical venous thromboembolism (VTE)
127 prophylaxis, surgeon grade, surgical approach (including whether minimally invasive
128 technique), and components implanted (fixation, use of bone graft, and for THRs information
129 on the bearing surface and femoral head size).

130

131 **Outcomes**

132 Outcomes of interest were length of stay, and complications within 90 days of surgery, which
133 is consistent with the recommended period for reporting morbidity following these
134 procedures.[16, 22-24] The latter included readmission, revision surgery (removal, exchange,
135 or addition of an implant), re-operations (excluding revision), and mortality, in addition to
136 specific complications like stroke, infection (chest, urine, and surgical site), wound
137 disruption, myocardial infarction, VTE, acute renal failure, blood transfusion, major
138 haemorrhage (intracranial and gastrointestinal), and anaemia. Validated generic and joint
139 specific patient reported outcome measures (PROMs) (preoperative and at 6 months
140 postoperatively) were also assessed. These included the EQ5D,[25] the Oxford Hip Score
141 (OHS) and the Oxford Knee Score (OKS).[26-28] The Oxford Scores are both scored from 0
142 (worst) to 48 (best), whilst a score of 1 is the best outcome with the EQ5D.

143

144 **Statistical analysis**

145 The effect of anaesthesia type on outcomes following surgery was assessed using linear
146 regression (for length of stay, EQ5D, OHS and OKS) and logistic regression (for
147 complications). Analyses were performed separately for THRs and TKRs. For each outcome,
148 models were adjusted for all patient and surgical factors, apart from BMI, given BMI is
149 frequently missing in the NJR.[16] Patient and surgical factors adjusted for were age, sex,
150 ASA grade, Charlson grade, year of primary surgery (as a binary variable: 2008-2012 versus
151 2013-2017), unit type, deprivation status, chemical and mechanical VTE prophylaxis,
152 surgeon grade, surgical approach, minimally invasive surgery, and implant fixation. In the
153 THR analyses, adjustment was also made for bearing material and femoral head size. Models
154 predicting the postoperative EQ5D, OHS, and OKS were also adjusted for the respective
155 preoperative score.

156

157 We performed the following sensitivity analyses: (1) regression models were adjusted for all
158 patient and surgical factors, including BMI; (2) tested for evidence of an interaction between
159 year of surgery and type of anaesthesia on outcomes using a likelihood ratio test; (3) assessed
160 outcomes in (a) general anaesthesia only vs. general anaesthesia with a nerve block, and in
161 (b) spinal anaesthesia only vs. spinal anaesthesia with a nerve block. All statistical analyses
162 were performed with Stata (version 14.2).

163 **Results**

164 Of 779,491 patients studied, 353,387 underwent THR and 426,104 underwent TKR (Table
165 1).

166

167 ***Length of stay***

168 Following THR, mean (standard deviation) length of stay after regional anaesthesia was 4.6
169 days (3.4 days) compared with 5.2 days (4.0 days) following general anaesthesia (Table 2).

170 Following TKR, mean (standard deviation) length of stay after regional anaesthesia was 4.7
171 days (3.5 days) compared with 5.2 days (3.9 days) following general anaesthesia. Regional

172 anaesthesia was associated with a significantly reduced length of stay compared with general
173 anaesthesia following THR (coefficient = -0.49 days, 95% confidence interval (CI)=-0.51 to -
174 0.47 days, $p < 0.001$) and following TKR (coefficient = -0.47 days, CI= -0.49 to -0.45 days,
175 $p < 0.001$) (Table 3).

176

177 For the whole cohort, the length of stay decreased between 2008 to 2017: for THR mean 6.3
178 days to 3.4 days, and for TKR mean 6.1 days to 3.5 days. This decrease in length of stay over
179 time was consistent across both the anaesthesia groups. However length of stay was always
180 lower for the regional anaesthesia group compared with general anaesthesia for every
181 calendar year from 2008 to 2017.

182

183 ***General complications***

184 In both THR and TKR patients, regional anaesthesia was associated with a significantly
185 reduced risk of readmission (THR odds ratio (OR)=0.93, CI=0.90-0.96; TKR OR=0.91,
186 CI=0.89-0.93) and any complication (THR OR=0.88, CI=0.85-0.91; TKR OR=0.90,
187 CI=0.87-0.93). In TKR only, regional anaesthesia was associated with a reduced risk of

188 reoperation compared with general anaesthesia (OR=0.79, CI=0.68-0.92, p=0.002). In both
189 THR and TKR patients, the risk of revision surgery or mortality was not related to
190 anaesthesia type (Table 3).

191

192 *Specific complications*

193 In THR patients, compared with general anaesthesia, regional anaesthesia was associated
194 with a significantly reduced risk of the following: any VTE (OR=0.85, CI=0.77-0.93,
195 p=0.001), pulmonary embolism (OR=0.77, CI=0.67-0.88, p<0.001), urinary tract infection
196 (OR=0.85, CI=0.77-0.94, p=0.003), surgical site infection (OR=0.87, CI=0.80-0.95,
197 p=0.001), acute renal failure (OR=0.78, CI=0.68-0.89, p<0.001), blood transfusion
198 (OR=0.62, CI=0.48-0.80, p<0.001), and anaemia (OR=0.85, CI=0.79-0.92, p<0.001). There
199 was no difference in the risk of all other complications between anaesthesia groups in THRs,
200 including chest infection (Table 3).

201

202 In TKR patients, compared with general anaesthesia, regional anaesthesia was associated
203 with a significantly reduced risk of the following: urinary tract infection (OR=0.87, CI=0.79-
204 0.96, p=0.007), surgical site infection (OR=0.84, CI=0.78-0.89, p<0.001), and anaemia
205 (OR=0.89, CI=0.83-0.95, p=0.001). There was no difference in the risk of all other
206 complications between anaesthetic groups in TKRs, including chest infection, VTE, acute
207 renal failure, and blood transfusion (Table 3).

208

209 The relative distribution of the specific complications between regional and general
210 anaesthetic groups was similar following both THR and TKR (Table 2).

211

212

213 **PROMs**

214 Postoperative EQ5D scores were significantly higher in patients having regional anaesthesia
215 compared with general anaesthesia (THR coefficient=0.021, CI=0.018-0.023, p<0.001 and
216 TKR coefficient=0.019, CI=0.017-0.022, p<0.001). Postoperative OHS and OKS were
217 significantly higher in patients having regional anaesthesia compared with general
218 anaesthesia (THR coefficient=0.79, CI=0.70-0.88, p<0.001 and TKR coefficient=0.80,
219 CI=0.71-0.88, p<0.001). None of the differences observed in postoperative PROMs reached
220 clinical significance (OHS=5 points; OKS=4 points).[26, 29]

221

222 All regression models were repeated for the sensitivity analysis, which produced similar
223 findings to those of the main analysis (Appendix 2).

224

225 ***Temporal Trends in anaesthesia use***

226 Overall the proportion of patients receiving regional anaesthesia was 69.8% in THR and
227 69.9% in TKR. From 2008 to 2016 there has been a steady increase in the use of regional
228 anaesthesia following both THR (from 57.1% to 76.8%) (Figure 2) and TKR (from 57.2% to
229 77.8%). This change was associated with a concomitant steady decline in the use of general
230 anaesthesia.

231

232 ***Sensitivity analysis: Variation of anaesthesia use over time***

233 Given the variation of anaesthesia use over time, we also examined for interactions between
234 year of surgery and type of anaesthesia on outcomes. This analysis would establish whether
235 the effect of regional anaesthesia on outcomes was the same in earlier versus later years of
236 surgery.

237

238 For THR, the only evidence of a significant interaction with year of surgery was for surgical
239 site infection ($p=0.0292$). Stratified analyses showed there was only an effect of regional
240 anaesthesia reducing the risk of surgical site infection for the later years (2013-17) compared
241 with earlier years ($OR=0.80$, $CI=0.17-0.90$, $p<0.001$).

242

243 For TKR, there was evidence of interactions with year of surgery for the following outcomes:
244 readmission ($p=0.0016$), respiratory tract infection ($p=0.0074$), major haemorrhage
245 ($p=0.0245$) and anaemia ($p=0.0034$). For readmission, the effect of regional anaesthetic was
246 weaker in 2008-12 ($OR=0.94$, $CI=0.91-0.96$, $p<0.001$), compared to 2013-17 ($OR=0.88$,
247 $CI=0.86-0.91$, $p<0.001$). There was only evidence of a significant effect in later years (i.e.
248 2013-17) for major haemorrhage ($OR=0.70$, $CI=0.55-0.90$, $p=0.004$) and anaemia ($OR=0.79$,
249 $CI=0.72-0.88$, $p<0.001$). There was an increased risk of respiratory tract infection for
250 regional anaesthesia but only in 2008-12 ($OR=1.20$, $CI=1.04-1.37$, $p=0.011$).

251

252 *Sensitivity analysis: Addition of a nerve block*

253 Compared to general anaesthesia only, the addition of a nerve block had a reduced risk of
254 readmission in both THR ($OR=0.92$, $CI=0.87-0.97$, $p=0.004$) and TKR ($OR=0.95$, $CI=0.92-$
255 0.99 , $p=0.013$) (Appendix 3). In TKR only, general anaesthesia with a nerve block was also
256 associated with a reduced risk of surgical site infection ($OR=0.80$, $CI=0.70-0.90$, $p<0.001$)
257 and improved EQ5D score though the later did not reach clinical significance (Appendix 3).

258

259 Compared to spinal anaesthesia only, the addition of a nerve block was associated with an
260 increased length of stay for TKR only, though this may not reach clinical significance
261 (coefficient= 0.12 days; Appendix 3).

262

263 **Discussion**

264 This is the largest study assessing the risk of complications following THR and TKR in
265 patients receiving regional anaesthesia compared with general anaesthesia. We observed that
266 regional anaesthesia was associated with a reduced length of stay, and a reduced risk of
267 readmissions and complications following THR and TKR when compared with general
268 anaesthesia.

269

270 Regional anaesthesia was associated with a reduced length of stay (approximately half a day)
271 compared with general anaesthesia following both THR and TKR. This is consistent with the
272 findings of a systematic review of 29 studies, which reported the overall reduction in length
273 of stay observed with regional anaesthesia was 0.40 days.[11] Furthermore a large cohort
274 study reported fewer patients receiving regional anaesthesia had a prolonged length of stay
275 (above 75th percentile) compared with general anaesthesia.[12] Given that over 200,000 joint
276 replacements are recorded annually on the NJR[16] and the significant costs associated with
277 hospital admissions,[30] the decrease in length of stay alone which was associated with
278 regional anaesthesia has the potential for substantial healthcare savings.

279

280 The reduced risk of readmissions and complications following THR and TKR that we
281 observed with regional anaesthesia would also provide further healthcare savings as noted
282 previously,[12] in addition to the obvious benefits of reduced patient morbidity. A systematic
283 review reported no difference between the risk of complications when using regional or
284 general anaesthesia.[11] However this review included 19 trials, which means the power to
285 detect differences in relatively rare secondary outcomes was low. Observational studies have
286 shown regional anaesthesia has been associated with a lower risk of complications, including
287 surgical site infection, blood transfusion, and VTE[12-15] We found similar results with

288 regional anaesthesia reducing the risk of surgical site infection, urinary tract infection, and
289 anaemia in both THR and TKR patients, and reducing the risk of VTE, acute renal failure,
290 and blood transfusion in THR patients only. Although it is acknowledged that the absolute
291 risk of complications in each anaesthetic group were low (Table 2) and the difference in these
292 risks between the anaesthetic groups were also low, these are important findings as many of
293 these complications have substantial burdens for the patient and healthcare systems. For
294 example surgical site infection, which is nationally reported in England[31] and very costly
295 to treat (medical treatment alone per case is £3,696 / \$4,657).[30] Some of the differences in
296 complication risk between THR and TKR we observed are likely to reflect how the
297 procedures are performed, for example with blood transfusion given TKR is performed with
298 a tourniquet so there is less blood loss compared with THR.

299

300 We did not find that regional anaesthesia reduced mortality, which is consistent with the
301 findings from a recent systematic review.[15] Although some studies have observed the
302 contrary,[12, 32] it has recently been shown that any potential effect of anaesthesia on
303 mortality wanes with time.[33] We observed no clinically significant differences in generic
304 and joint specific PROMs between anaesthesia types, therefore suggesting that patients gain
305 no clinically meaningful benefit in these domains in relation to anaesthetic at six-months
306 postoperatively.

307

308 In more recent years nerve blocks have been frequently used as an adjunct anaesthesia
309 technique in patients receiving THR and TKR. However currently there is still a lack of
310 evidence to establish whether nerve blocks provide any clinical benefit over not
311 administering one [34]. Our sensitivity analysis assessing the addition of a nerve block with
312 spinal anaesthesia suggested that with the outcomes available for assessment there was no

313 significant clinical benefit of having a nerve block (compared with not having one); however
314 there was a suggestion that nerve blocks were associated with an increased length of stay for
315 TKR patients. Following general anaesthesia, the addition of a nerve block reduced the risk
316 of readmission following both THR and TKR, and reduced the risk of surgical site infection
317 following TKR. On the basis of our data, nerve blocks may be beneficial in patients
318 undergoing general anaesthesia, but they may not provide any additional benefit in patients
319 undergoing spinal anaesthesia. It is therefore recommended that further studies assess the
320 benefit of adding a nerve block to both general anaesthesia and spinal anaesthesia in THR
321 and TKR patients, which specifically assess early postoperative pain scores and other
322 relevant outcome measures.

323

324 There has been a steady increase in regional anaesthesia use for joint replacement since 2008,
325 with 77% of patients now receiving regional anaesthesia. These observations were identical
326 in the hip and knee cohorts, and likely reflect changes in clinical practice during this time.
327 Between 2009 and 2011 the Department of Health in England introduced the Enhanced
328 Recovery Partnership Programme, which promotes the use of regional anaesthesia.[5] More
329 recently there have been attempts to perform THR and TKR as daycase surgery, with
330 regional anaesthesia used in these cases in a number of countries given it is considered an
331 important factor in reducing hospital stay and morbidity.[35] Our observations support the
332 notion that regional anaesthesia has a number of advantages for patients undergoing THR and
333 TKR in terms of length of stay, readmissions and complications. Given these findings, we
334 recommend that all anaesthetists involved in joint replacement surgery should be capable of
335 performing regional anaesthesia, as it is recognised to be more technically demanding and
336 time consuming than general anaesthesia which has contributed to some of the resistance for
337 using regional anaesthesia in certain regions.[12, 36]

338

339 Using a nationwide cohort from the world's largest joint replacement registry helps increase
340 the external validity and generalisability of our findings. However this study has recognised
341 limitations. Using observational data means causality cannot be inferred. Registry data does
342 not include information regarding why the anaesthetic method was selected (regional versus
343 general), the specific anaesthetic administered (technique, drugs, dose etc), the specific
344 perioperative protocols used (including enhanced recovery), and the discharge destination.
345 Although we have adjusted our data for numerous patient and surgical factors, it is
346 recognised these factors, and other important variables not recorded in routinely collected
347 datasets (e.g. the need for invasive intraoperative monitoring), may influence our findings
348 with respect to the differences in complications and length of stay between the two
349 anaesthetic groups. In addition, although we have adjusted for numerous important patient
350 and surgical factors, using observational data means we cannot definitively exclude that
351 changes in surgical practice over time were responsible for the better findings in the regional
352 anaesthesia group, rather than the effect of the anaesthesia technique itself. However we did
353 perform sensitivity analyses assessing for interactions between year of surgery and type of
354 anaesthesia on outcomes, which supported our main findings and suggested that some of the
355 findings in favour of using regional anaesthesia were only significant in more recent years
356 (2013-17) so were a reflection of modern clinical practice. Missing BMI data is a limitation
357 of NJR based studies.[22, 37, 38] We observed that the BMI distribution was balanced
358 between the anaesthesia groups, and analysis of the subgroup of patients with BMI data
359 available did not alter the findings from the regression models (Appendix 2). Although
360 PROMs were available at 6 months, it is recognised that we had no early patient reported
361 outcomes available regarding pain and nausea like those collected in trials.[8, 10] Finally, we
362 had to exclude a number of cases from the NJR without HES data linkage (prior to 2008),

363 however it is suspected these early procedures would be less of a reflection of current clinical
364 practice.

365

366 **Conclusions**

367 Regional anaesthesia was associated with a reduced length of stay, and a reduced risk of
368 readmissions and complications following THR and TKR when compared with general
369 anaesthesia. We recommend that regional anaesthesia should be considered the reference-
370 standard anaesthetic technique for patients undergoing THR and TKR.

371

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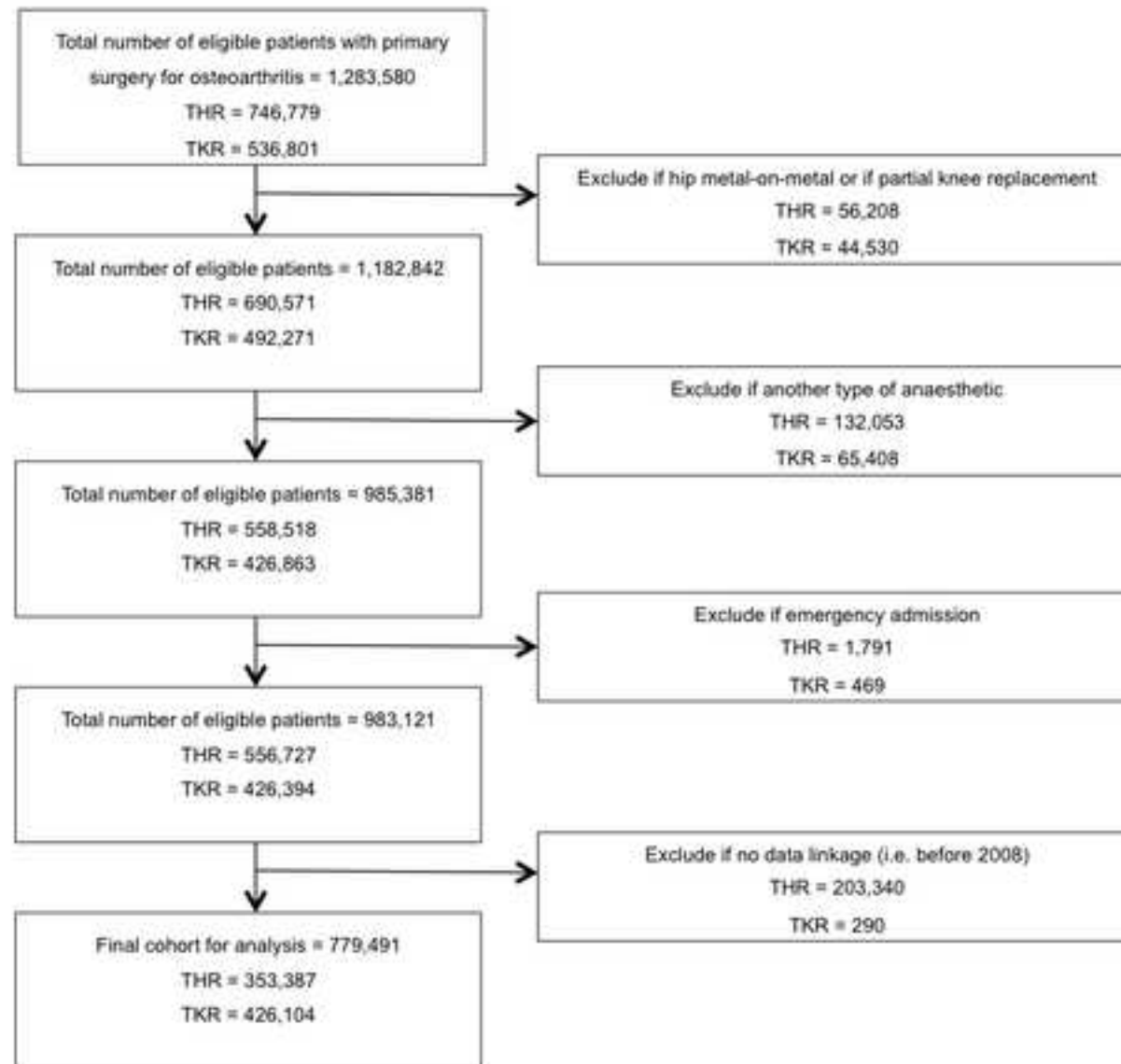
Figure Legends

Figure 1 Study selection criteria

THR = total hip replacement; TKR = total knee replacement

Figure 2 Temporal trends in anaesthesia use following total hip replacement

Almost identical findings seen following total knee replacement.



Anaesthetic variation with year of primary total hip replacement

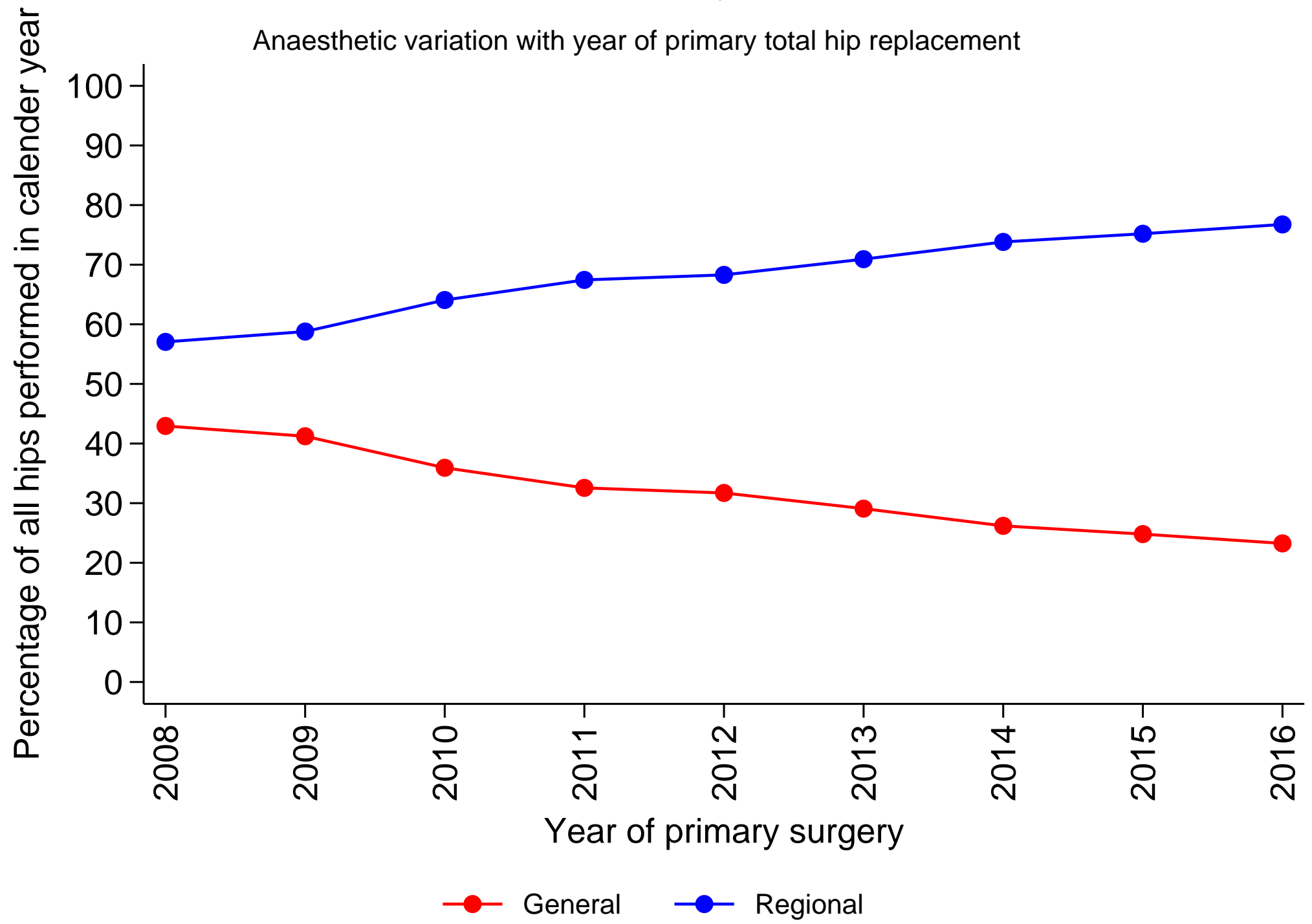


Table 1 Patient and surgical factors in primary total hip and knee replacement

	All primary THR (n=353,387) (100%)	General anaesthetic (n=106,782) (30.2%)	Regional anaesthetic (n=246,605) (69.8%)		All primary TKRs (n=426,104) (100%)	General anaesthetic (n=128,089) (30.1%)	Regional anaesthetic (n=298,015) (69.9%)
<i>Covariate</i>							
Sex							
Female vs. male	214,913 (60.8)	66,229 (62.0)	148,684 (60.3)		244,265 (57.3)	76,886 (60.0)	167,379 (56.2)
Age at primary (yr)							
Mean (SD)	69.6 (10.5)	68.5 (11.1)	70.0 (10.2)		70.2 (9.2)	69.4 (9.4)	70.6 (9.0)
BMI (kg/m²) *							
Mean (SD)	28.9 (5.2)	29.1 (5.4)	28.9 (5.2)		31.1 (5.5)	31.2 (5.6)	31.0 (5.4)
Deprivation status							
Most deprived 20%	42,437 (12.0)	13,620 (12.8)	28,817 (11.7)		64,052 (15.0)	19,800 (15.5)	44,252 (14.9)
More deprived 20-40%	59,477 (16.8)	18,696 (17.5)	40,781 (16.5)		78,398 (18.4)	24,203 (18.9)	54,195 (18.2)
Middle point	77,430 (21.9)	23,314 (21.8)	54,116 (21.9)		92,816 (21.8)	27,993 (21.9)	64,823 (21.8)
Less deprived 20-40%	86,346 (24.4)	24,887 (23.3)	61,459 (24.9)		97,049 (22.8)	28,038 (21.9)	69,011 (23.2)
Least deprived 20%	84,228 (23.8)	24,569 (23.0)	59,659 (24.2)		89,890 (21.1)	26,153 (20.4)	63,737 (21.4)
Missing	3,469 (1.0)	1,696 (1.6)	1,773 (0.72)		3,899 (0.92)	1,902 (1.5)	1,997 (0.67)
Primary year							
2008-2012	159,404 (45.1)	57,010 (53.4)	102,394 (41.5)		198,678 (46.6)	71,379 (55.7)	127,299 (42.7)
2013-2017	193,983 (54.9)	49,772 (46.6)	144,211 (58.5)		227,426 (53.4)	56,710 (44.3)	170,716 (57.3)
Unit							
NHS vs. independent	269,664 (76.3)	85,498 (80.1)	184,166 (74.7)		326,690 (76.7)	103,188 (80.6)	223,502 (75.0)
Primary ASA grade							
1	44,162 (12.5)	13,734 (12.9)	30,428 (12.3)		37,213 (8.7)	11,965 (9.3)	25,248 (8.5)
2	250,641 (70.9)	75,393 (70.6)	175,248 (71.1)		314,979 (73.9)	94,397 (73.7)	220,582 (74.0)
3 or above	58,584 (16.6)	17,655 (16.5)	40,929 (16.6)		73,912 (17.4)	21,727 (17.0)	52,185 (17.5)
Charlson group							
None	259,179 (73.3)	80,287 (75.2)	178,892 (72.5)		297,106 (69.7)	91,848 (71.7)	205,258 (68.9)
Mild	65,699 (18.6)	18,864 (17.7)	46,835 (19.0)		92,408 (21.7)	26,652 (20.8)	65,756 (22.1)
Moderate	19,235 (5.4)	5,216 (4.9)	14,019 (5.7)		25,313 (5.9)	6,689 (5.2)	18,624 (6.3)
Severe	9,274 (2.6)	2,415 (2.3)	6,859 (2.8)		11,277 (2.7)	2,900 (2.3)	8,377 (2.8)
VTE – chemical							
LMWH (+/-other)	233,080 (66.0)	73,862 (69.2)	159,218 (64.6)		309,130 (72.6)	93,304 (72.8)	215,826 (72.4)
Aspirin only	17,796 (5.0)	6,212 (5.8)	11,584 (4.7)		22,874 (5.4)	8,664 (6.8)	14,210 (4.8)
Other	93,185 (26.4)	22,653 (21.2)	70,532 (28.6)		79,122 (18.6)	19,204 (15.0)	59,918 (20.1)
None	9,326 (2.6)	4,055 (3.8)	5,271 (2.1)		14,978 (3.5)	6,917 (5.4)	8,061 (2.7)
VTE – mechanical							
Any vs. none	333,840 (94.5)	100,276 (93.9)	233,564 (94.7)		402,751 (94.5)	120,993 (94.5)	281,758 (94.5)
Surgeon grade							

Consultant vs. <i>other</i>	284,891 (80.6)	86,549 (81.1)	198,342 (80.4)		333,305 (78.2)	100,731 (78.6)	232,574 (78.0)
THR surgical approach Posterior vs. <i>other</i>	216,787 (61.4)	65,491 (61.3)	151,296 (61.4)		NA	NA	NA
TKR surgical approach Medial parapatellar vs. <i>other</i>	NA	NA	NA		399,552 (93.8)	119,678 (93.4)	279,874 (93.9)
Cup fixation							
Cemented	137,768 (39.0)	40,346 (37.8)	97,422 (39.5)		NA	NA	NA
Uncemented	211,989 (60.0)	64,875 (60.8)	147,114 (59.7)				
Missing	3,630 (1.0)	1,561 (1.5)	2,069 (0.84)				
Stem fixation							
Cemented	194,900 (55.2)	56,385 (52.8)	138,515 (56.2)		NA	NA	NA
Uncemented	153,083 (43.3)	48,336 (45.3)	104,747 (42.5)				
Missing	5,404 (1.5)	2,061 (1.9)	3,343 (1.4)				
Femoral head size (mm)							
28 or less	146,631 (41.5)	43,720 (40.9)	102,911 (41.7)		NA	NA	NA
32	119,213 (33.7)	34,690 (32.5)	84,523 (34.3)				
36 or above	79,836 (22.6)	25,142 (23.6)	54,694 (22.2)				
Missing	7,707 (2.2)	3,230 (3.0)	4,477 (1.8)				
Bearing surface							
MoP	221,139 (62.6)	63,843 (59.8)	157,296 (63.8)		NA	NA	NA
CoC	56,245 (15.9)	20,357 (19.1)	35,888 (14.6)				
CoP	64,908 (18.4)	18,027 (16.9)	46,881 (19.0)				
Other	1,033 (0.29)	353 (0.33)	680 (0.28)				
Missing	10,062 (2.9)	4,202 (3.9)	5,860 (2.4)				
TKR fixation							
Cemented	NA	NA	NA		411,370 (96.5)	123,228 (96.2)	288,142 (96.7)
Uncemented					12,751 (3.0)	4,247 (3.3)	8,504 (2.9)
Hybrid					1,983 (0.47)	614 (0.48)	1,369 (0.46)
Minimally invasive surgery	12,186 (3.5)	3,601 (3.4)	8,585 (3.5)		9,334 (2.2)	3,436 (2.7)	5,898 (2.0)
Bone graft (femoral)	2,226 (0.63)	591 (0.55)	1,635 (0.66)		4,021 (0.94)	1,307 (1.0)	2,714 (0.91)
Bone graft (acetabular)	12,521 (3.5)	4,141 (3.9)	8,380 (3.4)		NA	NA	NA
Bone graft (tibia)	NA	NA	NA		1,668 (0.39)	537 (0.42)	1,131 (0.38)

ASA = American Society of Anesthesiologists; BMI = body mass index; CoC = ceramic-on-ceramic; CoP = ceramic-on-polyethylene; LMWH = low molecular weight heparin; MoP = metal-on-polyethylene; NHS =

National Health Service; NA = not applicable; SD = standard deviation; THR = total hip replacement; TKR = total knee replacement; VTE = venous thromboembolism.

Values in brackets are percentages unless otherwise indicated.

* Missing BMI data for 96,777 hips and 119,760 knees

Table 2 Outcomes after primary total hip and knee replacement by anaesthetic type

	All primary THRs (n=353,387) (100%)	General anaesthetic (n=106,782) (30.2%)	Regional anaesthetic (n=246,605) (69.8%)		All primary TKRs (n=426,104) (100%)	General anaesthetic (n=128,089) (30.1%)	Regional anaesthetic (n=298,015) (69.9%)
Length of stay in days							
Mean (SD)	4.75 (3.62)	5.15 (4.04)	4.58 (3.41)		4.84 (3.65)	5.24 (3.91)	4.67 (3.51)
Readmissions within 90 days	41,441 (11.7)	12,953 (12.1)	28,488 (11.6)		58,477 (13.7)	18,361 (14.3)	40,116 (13.5)
Any complication within 90 days	14,547 (4.1)	4,697 (4.4)	9,850 (4.0)		17,364 (4.1)	5,440 (4.3)	11,924 (4.0)
Revision at 90 days	1,355 (0.38)	438 (0.41)	917 (0.37)		387 (0.09)	111 (0.09)	276 (0.09)
Reoperations within 90 days (not including revision)	706 (0.20)	231 (0.22)	475 (0.19)		803 (0.19)	278 (0.22)	525 (0.18)
Mortality at 90 days	1,038 (0.29)	312 (0.29)	726 (0.29)		679 (0.16)	190 (0.15)	489 (0.16)
Specific complications within 90 days							
VTE (DVT &/or PE)	2,043 (0.58)	718 (0.67)	1,325 (0.54)		2,757 (0.65)	871 (0.68)	1,886 (0.63)
DVT only	1,102 (0.31)	380 (0.36)	722 (0.29)		1,449 (0.34)	459 (0.36)	990 (0.33)
PE only	1,001 (0.28)	360 (0.34)	641 (0.26)		1,396 (0.33)	444 (0.35)	952 (0.32)
Urinary tract infection	1,712 (0.48)	544 (0.51)	1,168 (0.47)		1,902 (0.45)	580 (0.45)	1,322 (0.44)
Surgical site infection	2,639 (0.75)	886 (0.83)	1,753 (0.71)		4,378 (1.0)	1,503 (1.2)	2,875 (0.96)
Acute renal failure	1,063 (0.30)	332 (0.31)	731 (0.30)		1,406 (0.33)	379 (0.30)	1,027 (0.34)
Blood transfusion	258 (0.07)	106 (0.10)	152 (0.06)		192 (0.05)	58 (0.05)	134 (0.04)
Anaemia	3,224 (0.91)	1,043 (0.98)	2,181 (0.88)		3,614 (0.85)	1,115 (0.87)	2,499 (0.84)
Respiratory tract infection	2,089 (0.60)	594 (0.56)	1,495 (0.61)		2,316 (0.54)	615 (0.48)	1,701 (0.57)
Myocardial infarction	460 (0.13)	139 (0.13)	321 (0.13)		572 (0.13)	162 (0.13)	410 (0.14)
Stroke	368 (0.10)	111 (0.10)	257 (0.10)		481 (0.11)	146 (0.11)	335 (0.11)
Major haemorrhage	478 (0.14)	145 (0.14)	333 (0.14)		643 (0.15)	207 (0.16)	436 (0.15)
Wound disruption	546 (0.15)	164 (0.15)	382 (0.15)		1,187 (0.28)	377 (0.29)	810 (0.27)
EQ5D at 6 months *							
Mean (SD)	0.77 (0.26)	0.74 (0.27)	0.77 (0.25)		0.71 (0.26)	0.69 (0.28)	0.72 (0.26)
OHS or OKS at 6 months *							
Median (IQR)	41 (34-46)	41 (33-45)	42 (35-46)		36 (28-42)	35 (27-42)	37 (29-43)

DVT = deep vein thrombosis; IQR = interquartile range; OHS = Oxford Hip Score; OKS = Oxford Knee Score; PE = pulmonary embolism; SD = standard deviation; THR = total hip replacement; TKR = total knee replacement; VTE = venous thromboembolism.

Values in brackets are percentages unless otherwise indicated.

* Missing data for stated number of hips: EQ5D (n=170,324); OHS (n=169,851)

* Missing data for stated number of knees: EQ5D (n=205,848); OKS (n=205,754)

Table 3 Regression analysis for the effect of anaesthetic type on outcomes following total hip and knee replacement

Outcome of interest	THR adjustment for all variables excluding BMI (n=342,268)	TKR adjustment for all variables excluding BMI (n=422,205)
Length of stay	Coefficient = -0.49 (-0.51 to -0.47) p<0.001 Based on 342,246 hips	Coefficient = -0.47 (-0.49 to -0.45) p<0.001 Based on 422,179 knees
Readmissions within 90 days	OR = 0.93 (0.90-0.96) p<0.001	OR = 0.91 (0.89-0.93) p<0.001
Any complication within 90 days	OR = 0.88 (0.85-0.91) p<0.001	OR = 0.90 (0.87-0.93) p<0.001
Revision at 90 days	OR = 1.01 (0.88-1.17) p=0.849	OR = 0.99 (0.79-1.23) p=0.899
Reoperations within 90 days	OR = 0.86 (0.73-1.01) p=0.062	OR = 0.79 (0.68-0.92) p=0.002
Mortality at 90 days	OR = 0.91 (0.77-1.08) p=0.300	OR = 1.01 (0.86-1.20) p=0.865
Specific complications within 90 days		
VTE (DVT &/or PE)	OR = 0.85 (0.77-0.93) p=0.001	OR = 0.96 (0.89-1.05) p=0.370
DVT only	OR = 0.93 (0.82-1.06) p=0.257	OR = 0.98 (0.88-1.10) p=0.760
PE only	OR = 0.77 (0.67-0.88)	OR = 0.93 (0.83-1.05)

	p<0.001	p=0.236
Urinary tract infection	OR = 0.85 (0.77-0.94) p=0.003	OR = 0.87 (0.79-0.96) p=0.007
Surgical site infection	OR = 0.87 (0.80-0.95) p=0.001	OR = 0.84 (0.78-0.89) p<0.001
Acute renal failure	OR = 0.78 (0.68-0.89) p<0.001	OR = 0.93 (0.82-1.04) p=0.208
Blood transfusion	OR = 0.62 (0.48-0.80) p<0.001	OR = 0.96 (0.70-1.31) p=0.780
Anaemia	OR = 0.85 (0.79-0.92) p<0.001	OR = 0.89 (0.83-0.95) p=0.001
Respiratory tract infection	OR = 0.99 (0.90-1.09) p=0.808	OR = 1.05 (0.96-1.16) p=0.278
Myocardial infarction	OR = 0.90 (0.73-1.10) p=0.293	OR = 0.93 (0.77-1.11) p=0.409
Stroke	OR = 0.92 (0.74-1.16) p=0.500	OR = 0.88 (0.72-1.07) p=0.203
Major haemorrhage	OR = 0.94 (0.77-1.15) p=0.531	OR = 0.86 (0.73-1.02) p=0.075
Wound disruption	OR = 0.94 (0.78-1.14) p=0.550	OR = 0.91 (0.80-1.03) p=0.124
EQ5D at 6 months	Coefficient = 0.021 (0.018 to 0.023) p<0.001 Based on 170,173 hips	Coefficient = 0.019 (0.017 to 0.022) p<0.001 Based on 208,894 knees
OHS or OKS at 6 months	Coefficient = 0.79 (0.70 to 0.88)	Coefficient = 0.80 (0.71 to 0.88)

	p<0.001 Based on 176,776 hips	p<0.001 Based on 216,474 knees
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BMI = body mass index; DVT = deep vein thrombosis; OHS = Oxford Hip Score; OKS = Oxford Knee Score; PE = pulmonary VTE = venous thromboembolism

Numbers in brackets represent the 95% confidence intervals.

Odds ratios below 1 represent a reduced risk of the specified outcome in the regional anaesthetic group.

Statistically significant p-values ($p<0.05$) are in **bold**

Appendix 1 Codes for outcomes of interest**Deep vein thrombosis (ICD 10)**

- I80.1 Phlebitis and thrombophlebitis of femoral vein
- I80.2 Phlebitis and thrombophlebitis of other deep vessels of lower extremities
- I80.3 Phlebitis and thrombophlebitis of lower extremities, unspecified

Pulmonary embolism (ICD 10)

- I26.0 Pulmonary embolism with mention of acute cor pulmonale
- I26.9 Pulmonary embolism without mention of acute cor pulmonale

Reoperation hip (OPCS4)

- W801 Z756 Open debridement and irrigation of joint - Acetabulum
- W801 Z761 Open debridement and irrigation of joint - Head of femur
- W801 Z843 Open debridement and irrigation of joint - Hip joint
- W801 Z902 Open debridement and irrigation of joint - Hip NEC
- W802 Z756 Open debridement of joint NEC - Acetabulum
- W802 Z761 Open debridement of joint NEC - Head of femur
- W802 Z843 Open debridement of joint NEC - Hip joint
- W802 Z902 Open debridement of joint NEC - Hip NEC
- W803 Z756 Open irrigation of joint NEC - Acetabulum
- W803 Z761 Open irrigation of joint NEC - Head of femur
- W803 Z843 Open irrigation of joint NEC - Hip joint
- W803 Z902 Open irrigation of joint NEC - Hip NEC
- W808 Z756 Other specified debridement and irrigation of joint - Acetabulum
- W808 Z761 Other specified debridement and irrigation of joint - Head of femur
- W808 Z843 Other specified debridement and irrigation of joint - Hip joint
- W808 Z902 Other specified debridement and irrigation of joint - Hip NEC
- W809 Z756 Unspecified debridement and irrigation of joint - Acetabulum
- W809 Z761 Unspecified debridement and irrigation of joint - Head of femur
- W809 Z843 Unspecified debridement and irrigation of joint - Hip joint
- W809 Z902 Unspecified debridement and irrigation of joint - Hip NEC

Reoperation knee (OPCS4)

- W852 Endoscopic irrigation of knee joint
- W801 Z765 Open debridement and irrigation of joint - Lower end of femur NEC
- W801 Z774 Open debridement and irrigation of joint - Upper end of tibia NEC
- W801 Z787 Open debridement and irrigation of joint - Patella
- W801 Z844 Open debridement and irrigation of joint - Patellofemoral joint
- W801 Z845 Open debridement and irrigation of joint - Tibiofemoral joint
- W801 Z846 Open debridement and irrigation of joint - Knee joint
- W802 Z765 Open debridement of joint NEC - Lower end of femur NEC
- W802 Z774 Open debridement of joint NEC - Upper end of tibia NEC
- W802 Z787 Open debridement of joint NEC - Patella
- W802 Z844 Open debridement of joint NEC - Patellofemoral joint
- W802 Z845 Open debridement of joint NEC - Tibiofemoral joint
- W802 Z846 Open debridement of joint NEC - Knee joint
- W803 Z765 Open irrigation of joint NEC - Lower end of femur NEC
- W803 Z744 Open irrigation of joint NEC - Upper end of tibia NEC
- W803 Z787 Open irrigation of joint NEC - Patella

W803 Z844 Open irrigation of joint NEC - Patellofemoral joint
 W803 Z845 Open irrigation of joint NEC - Tibiofemoral joint
 W803 Z846 Open irrigation of joint NEC - Knee joint
 W808 Z765 Other specified debridement and irrigation of joint - Lower end of femur NEC
 W808 Z774 Other specified debridement and irrigation of joint - Upper end of tibia NEC
 W808 Z787 Other specified debridement and irrigation of joint - Patella
 W808 Z844 Other specified debridement and irrigation of joint - Patellofemoral joint
 W808 Z845 Other specified debridement and irrigation of joint - Tibiofemoral joint
 W808 Z846 Other specified debridement and irrigation of joint - Knee joint
 W809 Z765 Unspecified debridement and irrigation of joint - Lower end of femur NEC
 W809 Z774 Unspecified debridement and irrigation of joint - Upper end of tibia NEC
 W809 Z787 Unspecified debridement and irrigation of joint - Patella
 W809 Z844 Unspecified debridement and irrigation of joint - Patellofemoral joint
 W809 Z845 Unspecified debridement and irrigation of joint - Tibiofemoral joint
 W809 Z846 Unspecified debridement and irrigation of joint - Knee joint

Blood transfusion (ICD 10 and OPCS 4)

X33.2 Intravenous blood transfusion of packed cells
 X33.3 Intravenous blood transfusion of platelets
 X33.8 Other specified other blood transfusion
 X33.9 Unspecified other blood transfusion
 X33.1 Intra-arterial blood transfusion
 X33.7 Autologous transfusion of red blood cells

X331 Intra-arterial blood transfusion
 X332 Intravenous blood transfusion of packed cells
 X333 Intravenous blood transfusion of platelets
 X337 Autologous transfusion of red blood cells
 X338 Other specified blood transfusion
 X339 Other unspecified blood transfusion
 X341 Transfusion of coagulation factor
 X342 Transfusion of plasma NEC
 X343 Transfusion of serum NEC
 X344 Transfusion of blood expander

Major bleeding (ICD 10)

K25.0 Gastric ulcer : acute with haemorrhage†
 K25.1 Gastric ulcer : acute with perforation
 K25.2 Gastric ulcer : acute with both haemorrhage and perforation
 K25.3 Gastric ulcer : acute without haemorrhage or perforation
 K25.4 Gastric ulcer : chronic or unspecified with haemorrhage
 K25.5 Gastric ulcer : chronic or unspecified with perforation
 K25.6 Gastric ulcer : chronic or unspecified with both haemorrhage and perforation
 K25.7 Gastric ulcer : chronic without haemorrhage or perforation
 K25.9 Gastric ulcer : unspecified as acute or chronic, without haemorrhage or perforation
 K26.0 Duodenal ulcer : acute with haemorrhage
 K26.1 Duodenal ulcer : acute with perforation
 K26.2 Duodenal ulcer : acute with both haemorrhage and perforation
 K26.3 Duodenal ulcer : acute without haemorrhage or perforation
 K26.4 Duodenal ulcer : chronic or unspecified with haemorrhage

- K26.5 Duodenal ulcer : chronic or unspecified with perforation
- K26.6 Duodenal ulcer : chronic or unspecified with both haemorrhage and perforation
- K26.7 Duodenal ulcer : chronic without haemorrhage or perforation
- K26.9 Duodenal ulcer : unspecified as acute or chronic, without haemorrhage or perforation
- K27.0 Peptic ulcer, site unspecified : acute with haemorrhage
- K27.1 Peptic ulcer, site unspecified : acute with perforation
- K27.2 Peptic ulcer, site unspecified : acute with both haemorrhage and perforation
- K27.3 Peptic ulcer, site unspecified : acute without haemorrhage or perforation
- K27.4 Peptic ulcer, site unspecified : chronic or unspecified with haemorrhage
- K27.5 Peptic ulcer, site unspecified : chronic or unspecified with perforation
- K27.6 Peptic ulcer, site unspecified : chronic or unspecified with both haemorrhage and perforation
- K27.7 Peptic ulcer, site unspecified : chronic without haemorrhage or perforation
- K27.9 Peptic ulcer, site unspecified : unspecified as acute or chronic, without haemorrhage or perforation
- K28.0 Gastrojejunal ulcer : acute with haemorrhage
- K28.1 Gastrojejunal ulcer : acute with perforation
- K28.2 Gastrojejunal ulcer : acute with both haemorrhage and perforation
- K28.3 Gastrojejunal ulcer : acute without haemorrhage or perforation
- K28.4 Gastrojejunal ulcer : chronic or unspecified with haemorrhage
- K28.5 Gastrojejunal ulcer : chronic or unspecified with perforation
- K28.6 Gastrojejunal ulcer : chronic or unspecified with both haemorrhage and perforation
- K28.7 Gastrojejunal ulcer : chronic without haemorrhage or perforation
- K28.9 Gastrojejunal ulcer : unspecified as acute or chronic, without haemorrhage or perforation

- I60.X Subarachnoid haemorrhage
- I61.0 Intracerebral haemorrhage in hemisphere, subcortical
- I61.1 Intracerebral haemorrhage in hemisphere, cortical
- I61.2 Intracerebral haemorrhage in hemisphere, unspecified
- I61.3 Intracerebral haemorrhage in brain stem
- I61.4 Intracerebral haemorrhage in cerebellum
- I61.5 Intracerebral haemorrhage, intraventricular
- I61.6 Intracerebral haemorrhage, multiple localized
- I61.8 Other intracerebral haemorrhage
- I61.9 Intracerebral haemorrhage, unspecified

Anaemia (ICD 10)

- D46.0 Refractory anaemia without ring sideroblasts, so stated
- D46.1 Refractory anaemia with ring sideroblasts
- D46.2 Refractory anaemia with excess of blasts [RAEB]
- D46.4 Refractory anaemia, unspecified
- D46.5 Refractory anaemia with multi-lineage dysplasia
- D46.7 Other myelodysplastic syndromes
- D46.9 Myelodysplastic syndrome, unspecified
- D50.0 Iron deficiency anaemia secondary to blood loss (chronic)
- D50.8 Other iron deficiency anaemias
- D50.9 Iron deficiency anaemia, unspecified
- D51.0 Vitamin B12 deficiency anaemia due to intrinsic factor deficiency
- D51.1 Vitamin B12 deficiency anaemia due to selective vitamin B12 malabsorption with proteinuria
- D51.2 Transcobalamin II deficiency
- D51.3 Other dietary vitamin B12 deficiency anaemia
- D51.8 Other vitamin B12 deficiency anaemias

D51.9 Vitamin B12 deficiency anaemia, unspecified
D52.0 Dietary folate deficiency anaemia
D52.1 Drug-induced folate deficiency anaemia
D52.8 Other folate deficiency anaemias
D52.9 Folate deficiency anaemia, unspecified
D53.0 Protein deficiency anaemia
D53.1 Other megaloblastic anaemias, not elsewhere classified
D53.2 Scorbutic anaemia
D53.8 Other specified nutritional anaemias
D53.9 Nutritional anaemia, unspecified
D59.0 Drug-induced autoimmune haemolytic anaemia
D59.1 Other autoimmune haemolytic anaemias
D59.2 Drug-induced nonautoimmune haemolytic anaemia
D59.3 Haemolytic-uraemic syndrome
D59.4 Other nonautoimmune haemolytic anaemias
D59.6 Haemoglobinuria due to haemolysis from other external causes
D59.8 Other acquired haemolytic anaemias
D59.9 Acquired haemolytic anaemia, unspecified
D61.0 Constitutional aplastic anaemia
D61.1 Drug-induced aplastic anaemia
D61.2 Aplastic anaemia due to other external agents
D61.3 Idiopathic aplastic anaemia
D61.8 Other specified aplastic anaemias
D61.9 Aplastic anaemia, unspecified
D62 Acute posthaemorrhagic anaemia
D63.0 Anaemia in neoplastic disease (C00-D48)
D63.8 Anaemia in other chronic diseases classified elsewhere
D64.1 Secondary sideroblastic anaemia due to disease
D64.2 Secondary sideroblastic anaemia due to drugs and toxins
D64.3 Other sideroblastic anaemias
D64.8 Other specified anaemias
D64.9 Anaemia, unspecified
O99.0 Anaemia complicating pregnancy, childbirth and the puerperium
P61.2 Anaemia of prematurity
P61.4 Other congenital anaemias, not elsewhere classified

Wound disruption and surgical site infection (ICD 10)

T84.5 Infection and inflammatory reaction due to internal joint prosthesis
T81.3 Disruption of operation wound, not elsewhere classified
T81.4 Infection following a procedure, not elsewhere classified

Myocardial infarction (ICD 10)

I21.0 Acute transmural myocardial infarction of anterior wall
I21.1 Acute transmural myocardial infarction of inferior wall
I21.2 Acute transmural myocardial infarction of other sites
I21.3 Acute transmural myocardial infarction of unspecified site
I21.4 Acute subendocardial myocardial infarction
I21.9 Acute myocardial infarction, unspecified
I22.0 Subsequent myocardial infarction of anterior wall
I22.1 Subsequent myocardial infarction of inferior wall

- I22.8 Subsequent myocardial infarction of other sites
- I22.9 Subsequent myocardial infarction of unspecified site

Acute renal failure (ICD 10)

- N17.0 Acute renal failure with tubular necrosis
- N17.1 Acute renal failure with acute cortical necrosis
- N17.2 Acute renal failure with medullary necrosis
- N17.8 Other acute renal failure
- N17.9 Acute renal failure, unspecified

Urinary tract infection (ICD 10)

- N30.0 Acute cystitis. Excluding irradiation cystitis and trigonitis
- N39.0 Urinary tract infection, site not specified

Respiratory tract infection (ICD 10)

- J12.X Viral pneumonia, not elsewhere classified: bronchopneumonia due to viruses other than influenza viruses
- J13 Pneumonia due to Streptococcus pneumoniae
- J14 Pneumonia due to Haemophilus influenzae
- J15.X Bacterial pneumonia, not elsewhere classified: bronchopneumonia due to bacteria other than S. pneumoniae and H. influenzae
- J18.0 Bronchopneumonia, unspecified. Excluding bronchiolitis
- J18.1 Lobar pneumonia, unspecified
- J18.2 Hypostatic pneumonia, unspecified
- J18.8 Other pneumonia, organism unspecified
- J18.9 Pneumonia, Unspecified
- J22 Unspecified acute lower respiratory infection
- J44.0 Chronic obstructive pulmonary disease with acute lower respiratory infection. Excluding with influenza
- J44.1 Chronic obstructive pulmonary disease with acute exacerbation, unspecified
- J69.0 Pneumonitis due to food and vomit. Excluding Mendelson syndrome
- J69.1 Pneumonitis due to oils and essences
- J69.8 Pneumonitis due to other solids and liquids. Pneumonitis due to aspiration of blood
- J85.1 Abscess of lung with pneumonia. Excluding with pneumonia due to specified organism

Stroke (ICD 10)

- I60.X Subarachnoid haemorrhage
- I61.0 Intracerebral haemorrhage in hemisphere, subcortical
- I61.1 Intracerebral haemorrhage in hemisphere, cortical
- I61.2 Intracerebral haemorrhage in hemisphere, unspecified
- I61.3 Intracerebral haemorrhage in brain stem
- I61.4 Intracerebral haemorrhage in cerebellum
- I61.5 Intracerebral haemorrhage, intraventricular
- I61.6 Intracerebral haemorrhage, multiple localized
- I61.8 Other intracerebral haemorrhage
- I61.9 Intracerebral haemorrhage, unspecified
- I63.0 Cerebral infarction due to thrombosis of precerebral arteries
- I63.1 Cerebral infarction due to embolism of precerebral arteries
- I63.2 Cerebral infarction due to unspecified occlusion or stenosis of precerebral arteries
- I63.3 Cerebral infarction due to thrombosis of cerebral arteries

- I63.4 Cerebral infarction due to embolism of cerebral arteries
- I63.5 Cerebral infarction due to unspecified occlusion or stenosis of cerebral arteries
- I63.6 Cerebral infarction due to cerebral venous thrombosis, nonpyogenic
- I63.8 Other cerebral infarction
- I63.9 Cerebral infarction, unspecified
- I64.X Stroke, not specified as haemorrhage or infarction

Appendix 2 Sensitivity analysis for total hip and knee replacements: univariable regression models and multivariable models adjusted for all variables including body mass index

Outcome of interest	THR univariable analysis (n=353,387)	THR adjustment for all variables* including BMI (n=249,848)	TKR univariable analysis (n=426,104)	TKR adjustment for all variables* including BMI (n=304,538)
Length of stay	Coefficient = -0.56 (-0.59 to -0.54) p<0.001 Based on 353,365 hips	Coefficient = -0.39 (-0.42 to -0.36) p<0.001 Based on 249,832 hips	Coefficient = -0.57 (-0.59 to -0.55) p<0.001 Based on 426,078 knees	Coefficient = -0.37 (-0.40 to -0.34) p<0.001 Based on 304,520 knees
Readmissions within 90 days	OR = 0.95 (0.93-0.97) p<0.001	OR = 0.93 (0.90-0.96) p<0.001	OR = 0.93 (0.91-0.95) p<0.001	OR = 0.91 (0.89-0.93) p<0.001
Any complication within 90 days	OR = 0.90 (0.87-0.94) p<0.001	OR = 0.88 (0.84-0.92) p<0.001	OR = 0.94 (0.91-0.97) p<0.001	OR = 0.90 (0.87-0.94) p<0.001
Revision at 90 days	OR = 0.91 (0.81-1.02) p=0.091	OR = 1.01 (0.88-1.17) p=0.849	OR = 1.07 (0.86-1.33) p=0.554	OR = 1.01 (0.77-1.31) p=0.970
Reoperations within 90 days	OR = 0.89 (0.76-1.04) p=0.147	OR = 0.82 (0.68-0.99) p=0.042	OR = 0.81 (0.70-0.94) p=0.005	OR = 0.73 (0.61-0.87) p<0.001
Mortality at 90 days	OR = 1.01 (0.88-1.15)	OR = 0.91 (0.77-1.08)	OR = 1.11 (0.94-1.31)	OR = 1.09 (0.88-1.35)

	p=0.911	p=0.300	p=0.237	p=0.449
Specific complications within 90 days				
VTE (DVT &/or PE)	OR = 0.80 (0.73-0.87) p<0.001	OR = 0.84 (0.75-0.94) p=0.002	OR = 0.93 (0.86-1.01) p=0.078	OR = 0.97 (0.87-1.07) p=0.508
DVT only	OR = 0.82 (0.73-0.93) p=0.002	OR = 0.90 (0.76-1.05) p=0.180	OR = 0.93 (0.83-1.04) p=0.179	OR = 1.02 (0.89-1.18) p=0.750
PE only	OR = 0.77 (0.68-0.88) p<0.001	OR = 0.78 (0.66-0.91) p=0.002	OR = 0.92 (0.82-1.03) p=0.155	OR = 0.91 (0.79-1.04) p=0.164
Urinary tract infection	OR = 0.93 (0.84-1.03) p=0.159	OR = 0.88 (0.77-1.00) p=0.052	OR = 0.98 (0.89-1.08) p=0.679	OR = 0.89 (0.79-1.00) p=0.055
Surgical site infection	OR = 0.86 (0.79-0.93) p<0.001	OR = 0.82 (0.74-0.90) p<0.001	OR = 0.82 (0.77-0.87) p<0.001	OR = 0.83 (0.77-0.90) p<0.001
Acute renal failure	OR = 0.95 (0.84-1.09) p=0.470	OR = 0.85 (0.72-0.99) p=0.045	OR = 1.17 (1.04-1.31) p=0.011	OR = 0.95 (0.82-1.10) p=0.495
Blood transfusion	OR = 0.62 (0.48-0.80) p<0.001	OR = 0.57 (0.42-0.77) p<0.001	OR = 0.99 (0.73-1.35) p=0.964	OR = 0.91 (0.62-1.32) p=0.621

Anaemia	OR = 0.90 (0.84-0.97) p=0.008	OR = 0.84 (0.77-0.92) p<0.001	OR = 0.96 (0.90-1.03) p=0.297	OR = 0.90 (0.82-0.98) p=0.017
Respiratory tract infection	OR = 1.09 (0.99-1.20) p=0.075	OR = 0.99 (0.88-1.11) p=0.861	OR = 1.19 (1.08-1.31) p<0.001	OR = 1.05 (0.94-1.18) p=0.390
Myocardial infarction	OR = 1.00 (0.82-1.22) p=1.00	OR = 0.92 (0.72-1.18) p=0.508	OR = 1.09 (0.91-1.31) p=0.364	OR = 0.79 (0.63-0.97) p=0.028
Stroke	OR = 1.00 (0.80-1.25) p=0.982	OR = 1.01 (0.76-1.33) p=0.970	OR = 0.99 (0.81-1.20) p=0.889	OR = 0.89 (0.70-1.14) p=0.366
Major haemorrhage	OR = 0.99 (0.82-1.21) p=0.955	OR = 1.04 (0.82-1.33) p=0.738	OR = 0.91 (0.77-1.07) p=0.238	OR = 0.87 (0.71-1.07) p=0.192
Wound disruption	OR = 1.01 (0.84-1.21) p=0.927	OR = 1.01 (0.81-1.27) p=0.906	OR = 0.92 (0.82-1.04) p=0.201	OR = 0.87 (0.75-1.01) p=0.060
EQ5D at 6 months	Coefficient = 0.024 (0.021 to 0.026) p<0.001 Based on 175,224 hips	Coefficient = 0.023 (0.020 to 0.026) p<0.001 Based on 127,258 hips	Coefficient = 0.025 (0.023 to 0.027) p<0.001 Based on 210,816 knees	Coefficient = 0.018 (0.016 to 0.021) p<0.001 Based on 154,704 knees
OHS or OKS at 6 months	Coefficient = 0.89 (0.80 to 0.98)	Coefficient = 0.85 (0.75 to 0.96)	Coefficient = 1.02 (0.93 to 1.10)	Coefficient = 0.80 (0.70 to 0.90)

	p<0.001 Based on 182,031 hips	p<0.001 Based on 132,167 hips	p<0.001 Based on 218,558 knees	p<0.001 Based on 160,333 knees
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BMI = body mass index; DVT = deep vein thrombosis; OHS = Oxford Hip Score; OKS = Oxford Knee Score; PE = pulmonary VTE = venous thromboembolism

Numbers in brackets represent the 95% confidence intervals.

Odds ratios below 1 represent a reduced risk of the specified outcome in the regional anaesthetic group.

Statistically significant p-values (p<0.05) are in **bold**

Appendix 3 Sensitivity analysis for total hip and knee replacements to assess the effect of using a nerve block on outcomes: multivariable models adjusted for all variables excluding body mass index

Outcome of interest	THR: GA alone (reference group) vs. GA with nerve block (n=102,206)	THR: SA alone (reference group) vs. SA with nerve block (n=246,605)	TKR: GA alone (reference group) vs. GA with nerve block (n=124,523)	TKR: SA alone (reference group) vs. SA with nerve block (n=298,015)
Length of stay	Coefficient = -0.03 (-0.09 to 0.04) p=0.375 Based on 97,498 hips	Coefficient = -0.05 (-0.11 to 0.004) p=0.071 Based on 240,365 hips	Coefficient = 0.03 (-0.02 to 0.07) p=0.299 Based on 122,658 knees	Coefficient = 0.12 (0.08 to 0.15) p<0.001 Based on 296,002 knees
Readmissions within 90 days	OR = 0.92 (0.87-0.97) p=0.004	OR = 0.97 (0.91-1.03) p=0.269	OR = 0.95 (0.92-0.99) p=0.013	OR = 0.97 (0.94-1.01) p=0.088
Any complication within 90 days	OR = 0.92 (0.84-1.01) p=0.068	OR = 0.98 (0.89-1.07) p=0.624	OR = 0.94 (0.89-1.01) p=0.073	OR = 1.04 (0.98-1.10) p=0.187
Revision at 90 days	OR = 1.03 (0.78-1.36) p=0.841	OR = 0.97 (0.71-1.32) p=0.827	OR = 1.08 (0.69-1.70) p=0.739	OR = 1.23 (0.86-1.76) p=0.267
Reoperations within 90 days	OR = 1.09 (0.76-1.56) p=0.642	OR = 1.05 (0.70-1.57) p=0.826	OR = 0.99 (0.75-1.31) p=0.930	OR = 0.94 (0.71-1.25) p=0.686
Mortality at 90	OR = 0.90	OR = 0.70	OR = 0.90	OR = 0.88

days	(0.64-1.24) p=0.511	(0.48-1.03) p=0.067	(0.64-1.26) p=0.537	(0.66-1.18) p=0.400
Specific complications within 90 days				
VTE (DVT &/or PE)	OR = 0.82 (0.65-1.03) p=0.081	OR = 0.87 (0.67-1.12) p=0.284	OR = 1.04 (0.89-1.22) p=0.610	OR = 1.01 (0.88-1.16) p=0.889
DVT only	OR = 0.84 (0.62-1.15) p=0.282	OR = 0.77 (0.54-1.11) p=0.161	OR = 0.94 (0.75-1.16) p=0.561	OR = 1.00 (0.82-1.22) p=0.998
PE only	OR = 0.84 (0.61-1.15) p=0.280	OR = 1.04 (0.73-1.46) p=0.839	OR = 1.12 (0.90-1.39) p=0.313	OR = 1.00 (0.82-1.22) p=0.995
Urinary tract infection	OR = 1.03 (0.80-1.31) p=0.826	OR = 0.98 (0.75-1.29) p=0.912	OR = 1.10 (0.91-1.32) p=0.347	OR = 1.16 (0.99-1.37) p=0.075
Surgical site infection	OR = 0.87 (0.71-1.07) p=0.186	OR = 1.01 (0.82-1.25) p=0.897	OR = 0.80 (0.70-0.90) p<0.001	OR = 0.93 (0.82-1.04) p=0.209
Acute renal failure	OR = 1.15 (0.84-1.58) p=0.384	OR = 0.98 (0.69-1.38) p=0.895	OR = 0.77 (0.59-1.01) p=0.051	OR = 0.93 (0.75-1.14) p=0.464
Blood transfusion	OR = 0.58 (0.31-1.10)	OR = 1.35 (0.73-2.52)	OR = 0.79 (0.42-1.48)	OR = 1.01 (0.60-1.71)

	p=0.098	p=0.338	p=0.463	p=0.972
Anaemia	OR = 1.05 (0.88-1.24) p=0.611	OR = 0.93 (0.76-1.13) p=0.477	OR = 1.04 (0.91-1.20) p=0.569	OR = 1.05 (0.93-1.19) p=0.437
Respiratory tract infection	OR = 0.97 (0.76-1.23) p=0.777	OR = 0.88 (0.69-1.12) p=0.303	OR = 0.91 (0.75-1.11) p=0.352	OR = 1.15 (0.99-1.33) p=0.062
Myocardial infarction	OR = 0.73 (0.42-1.26) p=0.254	OR = 1.17 (0.72-1.89) p=0.523	OR = 0.86 (0.59-1.26) p=0.441	OR = 1.11 (0.82-1.50) p=0.495
Stroke	OR = 0.71 (0.39-1.31) p=0.278	OR = 1.12 (0.66-1.89) p=0.684	OR = 0.94 (0.63-1.39) p=0.745	OR = 0.93 (0.65-1.31) p=0.669
Major haemorrhage	OR = 0.69 (0.41-1.17) p=0.169	OR = 1.24 (0.80-1.92) p=0.340	OR = 0.94 (0.68-1.30) p=0.711	OR = 0.86 (0.63-1.18) p=0.357
Wound disruption	OR = 0.90 (0.57-1.41) p=0.645	OR = 0.80 (0.48-1.32) p=0.378	OR = 1.00 (0.78-1.27) p=0.987	OR = 1.04 (0.84-1.28) p=0.745
EQ5D at 6 months	Coefficient = -0.002 (-0.01 to 0.004) p=0.567 Based on 47,152 hips	Coefficient = 0.001 (-0.005 to 0.007) p=0.804 Based on 121,120 hips	Coefficient = 0.010 (0.002 to 0.012) p=0.004 Based on 58,837 knees	Coefficient = -0.005 (-0.01 to -0.001) p=0.022 Based on 148,595 knees

OHS or OKS at 6 months	Coefficient = -0.11 (-0.33 to 0.11) p=0.331 Based on 49,056 hips	Coefficient = 0.01 (-0.20 to 0.21) p=0.962 Based on 125,755 hips	Coefficient = 0.49 (-0.13 to 1.11) p=0.122 Based on 47,552 knees	Coefficient = 0.46 (-0.08 to 1.00) p=0.095 Based on 111,098 knees
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BMI = body mass index; DVT = deep vein thrombosis; GA = general anaesthesia; OHS = Oxford Hip Score;

OKS = Oxford Knee Score; PE = pulmonary; SA = spinal anaesthesia; VTE = venous thromboembolism

Numbers in brackets represent the 95% confidence intervals.

Odds ratios below 1 represent a reduced risk of the specified outcome in the anaesthesia with nerve block group (compared with the anaesthesia alone group).

Statistically significant p-values ($p < 0.05$) are in **bold**

Acknowledgments

We thank the patients and staff of all the hospitals in England, Wales and Northern Ireland who have contributed data to the National Joint Registry. We are grateful to the Healthcare Quality Improvement Partnership (HQIP), the NJR Research Committee and staff at the NJR Centre for facilitating this work. The authors have conformed to the NJR's standard protocol for data access and publication. The views expressed represent those of the authors and do not necessarily reflect those of the National Joint Registry Steering Committee or the Healthcare Quality Improvement Partnership (HQIP) who do not vouch for how the information is presented.