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Food Research International

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## Effects of lipids on the water sorption, glass transition and structural strength of carbohydrate-protein systems

V.A. Maidannyk<sup>a,b</sup>, A.S.L. Lim<sup>a,b</sup>, M.A.E. Auty<sup>a</sup>, Y.H. Roos<sup>b,\*</sup>

<sup>a</sup> Food Chemistry & Technology Department, Teagasc Food Research Centre, Moorepark, Fermoy, Co. Cork, Ireland.

<sup>b</sup> School of Food and Nutritional Sciences, University College Cork, Cork, Ireland.

### ARTICLE INFO

#### Keywords:

WLF  
CLSM  
SEM  
Relaxations  
Encapsulant system  
Glass former strength

### ABSTRACT

Encapsulant systems are gaining wide practical interest due to their functional and nutritional properties. This paper was focusing on understanding structural relaxations in that systems near glass transition temperature. Freeze-dried trehalose-whey protein isolate-sunflower oil systems with various ratios of the last were used as a carbohydrate-protein-lipid food model. The Guggenheim-Anderson-de Boer (GAB) water sorption relationship was used as a tool to model water sorption isotherms. The glass transition temperature was obtained by differential scanning calorimetry (DSC). Structural  $\alpha$ -relaxation temperatures were measured by dynamical mechanical analyses (DMA), dielectric analysis (DEA) and combined to cover a broad range for strength assessment. The microstructure was characterized by optical light microscopy, confocal laser scanning microscopy and scanning electron microscopy. The  $C_1$  and  $C_2$  constants for Williams-Landel-Ferry (WLF) equation and structural strength parameter were calculated for each system. The effect of sunflower oil and water contents on strength of carbohydrate-protein system was analyzed. Strength shows decreasing with increasing of lipid concentration in the mixtures and more complex dependence on the water content in a system.

### 1. Introduction

Carbohydrates, proteins and lipids are the common macronutrients present in most food solids, including dairy powders and infant formulas. There are a number of key processes such as freeze-drying, spray drying and extrusion which can be used for encapsulation of lipid components (Roos, 2010). Glass transition temperature ( $T_g$ ) is an important parameter which characterizes the range of temperatures over which amorphous solids (e.g. glass) are converted to supercooled liquids (e.g. rubber) showing viscous flow with rapid changes in structural relaxation times at increasing temperature (Angell, Ngai, McKenna, McMillan, & Martin, 2000; Roos, 2008). Despite the fact that  $T_g$  is an important characteristic it does not provide kinetic information about structural relaxation processes. Hence, studying relaxation times of mechanical, electrical and thermal properties is required for a deeper understanding of the materials. Roos et al. (2015) proposed a simple mathematical approach, which combine temperature and practically important time factors. This structural “strength” (S) concept was introduced to describe the critical temperature difference to the  $T_g$  where structural relaxation times become equal to critical real-time macroscopic transformations (Fan & Roos, 2016, 2017; Maidannyk, Nurhadi, & Roos, 2017; Maidannyk & Roos, 2016, 2017, 2018; Roos et al., 2015).

Such information is suitable for understanding food materials properties and performance affecting food stability and flow behavior in industrial applications.

In the present study trehalose-whey protein isolate (WPI) system with various levels of sunflower oil (SO) were used to investigate structural relaxations near the  $T_g$ . Trehalose is a naturally occurring disaccharide with a high glass transition temperature. It has unique properties such as stabilizing protein structures and membrane structures in a dry state, resulted in inhibition biological damage (Crowe, Crowe, Rudolph, Womersley, & Appel, 1985; Green & Angell, 1989; Xie & Timasheff, 1997). Bovine whey proteins have very complex protein structures and consist of  $\beta$ -lactoglobulin  $\sim$  50%,  $\alpha$ -lactalbumin  $\sim$  20%, bovine serum albumin  $\sim$  10% as well as smaller quantities of lactoferrin and other proteins. A mix of whey proteins does not have clear representative  $T_g$  (Maidannyk & Roos, 2016; Roos & Potes, 2015). Carbohydrate solids and proteins, as glass-forming food components, are often used to obtain hydrophilic encapsulant solid matrices, which have important function as continuous phase during encapsulation (Vega & Roos, 2006). These amorphous substances have the ability to encapsulate or entrap volatile, bioactive and flavor compounds. SO as a liquid lipid can be dispersed into carbohydrate-protein continuous phase where it may affect protein assembly within the system

\* Corresponding author.

E-mail address: [yrjo.roos@ucc.ie](mailto:yrjo.roos@ucc.ie) (Y.H. Roos).

<https://doi.org/10.1016/j.foodres.2018.10.008>

Received 30 April 2018; Received in revised form 25 September 2018; Accepted 2 October 2018

0963-9969/© 2018 Published by Elsevier Ltd.

(Cornacchia & Roos, 2011).

Water, as a universal solvent, is an excellent plasticizer for many amorphous food systems and have a very low commonly agreed  $T_g$  ( $-135^\circ\text{C}$ ) (Debenedetti, 2003). Since,  $T_g$  of complex multicomponent systems depends on miscibility of components, the presence of water significantly decreases  $T_g$  of hydrophilic food components (Roos, 2008). Proteins are macromolecules which interact differently with water from small carbohydrates molecules (Swenson & Cervený, 2015). Due to hydrophobic structures, proteins have poor miscibility and molecular segregation with aqueous carbohydrates (Halle, 2004; Roos & Potes, 2015). On the other hand, the presence of lipids in dispersed phase of carbohydrate-protein system slightly decreases  $T_g$  (Potes, Kerry, & Roos, 2014).

Differential scanning calorimetry (DSC) is a common method in  $T_g$  determination. Unfortunately, for high water content systems ( $> 50\text{ g}/100\text{ g}$  of dry solids) the determination of  $T_g$  is practically very difficult. For these aqueous systems, the Gordon-Taylor equation can predict  $T_g$  values (Arvanitoyannis, Blanshard, Izzard, Lillford, & Ablett, 1993). At temperatures below  $T_g$ , the amorphous structures are fairly stable in the glassy state (Roos & Drusch, 2015; Slade, Levine, & Reid, 1991; Sperling, 2005). However, structural changes such as stickiness, collapse, crystallization and caking happen in amorphous materials at temperatures above  $T_g$  (Fan & Roos, 2017; Özkan, Walisinghe, & Chen, 2002; Prado, Buera, & Elizalde, 2006). Changes in mechanical and electric properties during these processes around  $T_g$  result in  $\alpha$ -relaxation which can be detected by dynamic mechanical (DMA) and dielectric (DEA) analyses in a multi-frequency mode. These methods are suitable for many carbohydrates with different water contents (Ermolina, Polygalov, Bland, & Smith, 2007; Kilmartin, Reid, & Samson, 2004; Potes, Kerry, & Roos, 2012; Silalai & Roos, 2011). For amorphous powders, the structural relaxation time can be calculated from frequency of primary  $\alpha$ -relaxation (Noel, Parker, & Ring, 2000).

Optical light microscopy (OLM) with polarized light is a common technique which allows distinguishing crystalline structure from amorphous material (Hartel, 2001; Maher, Auty, Roos, Zychowski, & Fenelon, 2015). Confocal laser scanning microscopy (CLMS) and scanning electron microscopy (SEM) are useful methods to study microstructural differences in various food products (cheeses, milk powders, infant formulae, coffees, chocolates etc.) due to significant differences in food structure surface such as the distribution of fat globules (Auty, Twomey, Guinee, & Mulvihill, 2001; Maher et al., 2015; Soottitawat, Yoshii, Furuta, Ohkawara, & Linko, 2003).

Our previous studies showed that structural strength approach can be successfully applied for various food systems including carbohydrate-protein (trehalose-WPI; lactose-WPI), miscible carbohydrate (lactose-trehalose; trehalose-maltodextrin) and partially crystalline (trehalose) systems (Fan & Roos, 2016, 2017; Maidannyk et al., 2017; Maidannyk & Roos, 2016, 2017, 2018). These studies have confirmed that composition and water contents significantly influence structural strength parameter (S). However, the effect of lipids on structural strength has not been addressed. Hence, the main purpose of the present study was to apply structural strength analysis, including water sorption, glass transition, dielectric, mechanical measurements and observations of microstructure to amorphous carbohydrate-protein-lipid systems. The effect of water on the S parameter for systems with varied amount of SO was also addressed.

## 2. Materials and methods

### 2.1. Materials

D-(+)-Trehalose crystalline dihydrate (Hayashibara Co., Ltd., Okayama, Japan), whey protein isolate (Isolac®, Carbery Food Ingredients, Ballineen, Cork, Ireland) and sunflower oil (Musgrave Excellence™, Spain) were used without purification. De-ionized water was obtained from KB scientific, Cork, Ireland.

**Table 1**

The composition (% of mass, in total) of components during emulsion preparation and final composition of emulsion.

	System 1	System 2	System 3
Pre-emulsion			
WPI	2.4	2.4	2.4
Sunflower oil	0	10	20
Water	42.6	32.6	22.6
Wall material			
Trehalose	6	6	6
WPI	4	4	4
Water	45	45	45
Final emulsion composition			
Trehalose	6	6	6
WPI	6.4	6.4	6.4
Sunflower oil	0	10	20
Water	87.6	77.6	67.6

**Table 2**

Water content of freeze-dried trehalose-WPI-SO emulsions (systems 1, 2, 3) stored at different water activities (0.11, 0.23, 0.33, 0.44, 0.55, 0.65, 0.76, 0.85) for 360 h at  $25 \pm 2^\circ\text{C}$ .

Experimental water content (g/100 g of solids not fat)			
$a_w$	System 1	System 2	System 3
0.11	$3.37 \pm 0.27$	$3.15 \pm 0.59$	$3.01 \pm 0.32$
0.23	$5.47 \pm 0.37$	$5.35 \pm 0.25$	$5.18 \pm 0.21$
0.33	$7.59 \pm 0.44$	$7.35 \pm 0.21$	$6.96 \pm 0.26$
0.44	$10.01 \pm 0.27$	$9.14 \pm 0.31$	$9.02 \pm 0.27$
0.545	$12.27 \pm 0.33$	$11.56 \pm 0.38$	$11.45 \pm 0.38$
0.65	$14.71 \pm 0.41$	$14.56 \pm 0.37$	$11.99 \pm 1.42$
0.76	$15.21 \pm 0.28$	$15.18 \pm 0.51$	$15.17 \pm 0.27$
0.86	$21.84 \pm 0.35$	$20.23 \pm 0.84$	$17.73 \pm 0.53$

### 2.2. Determination of the initial water content

Powders of trehalose and WPI (0:100; 50:50 and 100:0 trehalose-WPI systems) with final mass 0.5–1.0 g were dried at  $70^\circ\text{C}$  with absolute pressure  $P_{\text{abs}} < 10\text{ mbar}$  for 24 h in a WTB Binder vacuum oven (Mason Technology®, Tuttingen, Germany) to measure the initial water content of the materials. The difference in mass of samples before and after drying (g/100 g of dry solids) was defined as initial water content.

### 2.3. Emulsion preparation

The main principle of emulsion preparation was the addition of different amounts of SO to the systems with the same trehalose:WPI (50:50) ratio (Table 1). There were three main stages of the emulsion preparation: (I) Production of pre-emulsion; (II) Preparation of wall materials and (III) Final emulsion preparation. Emulsion preparation was done as detailed by Lim, Burdikova, Sheehan, and Roos (2016) with slight adjustments.

#### 2.3.1. Production of pre-emulsion

Deionized water was used to disperse WPI (5.6, 7.4 and 10.6% w/w for system 1, 2 and 3 respectively) and the dispersion was left to hydrate for 2 h. SO (0; 10 and 20% w/w of total emulsion mass for system 1, 2 and 3 respectively) was used as a lipid phase. The water and oil phases at the ratio 33:40 were then mixed for 60 s with IKA® T25 digital ultraturrax® mixer (Germany) at  $10^3\text{ rpm}$  to produce pre-emulsions. Homogenization of pre-emulsion was done at 240 bars (200 bars for the first stage and 40 bars for the second) at room temperature using a two-stage valve homogenizer (APV-1000, APV Homogenizer Group, Wilmington, MA, USA).

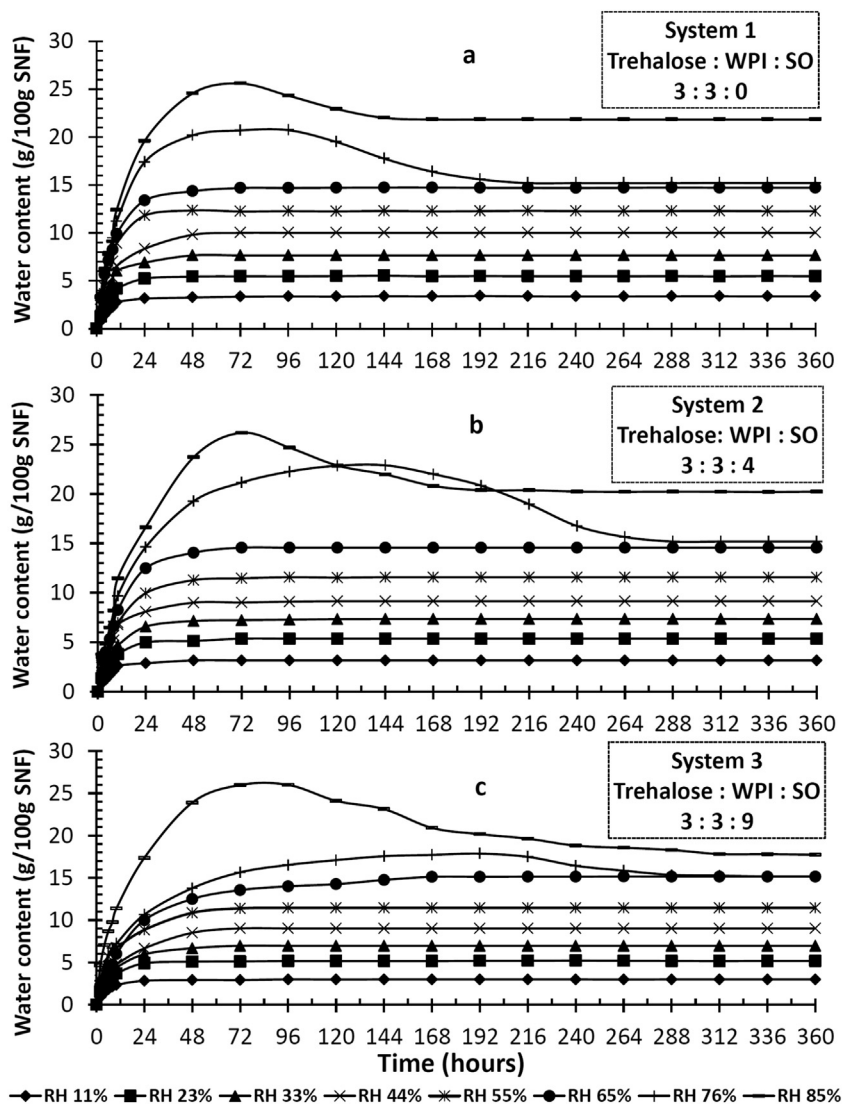


Fig. 1. Sorption kinetics profiles for freeze-dried trehalose-WPI-SO system 1(a), 2(b) and 3 (c) stored at 0.11, 0.23, 0.33, 0.44, 0.55, 0.65, 0.76 and 0.85  $a_w$ , monitored during 360 h at  $25 \pm 2^\circ\text{C}$ .

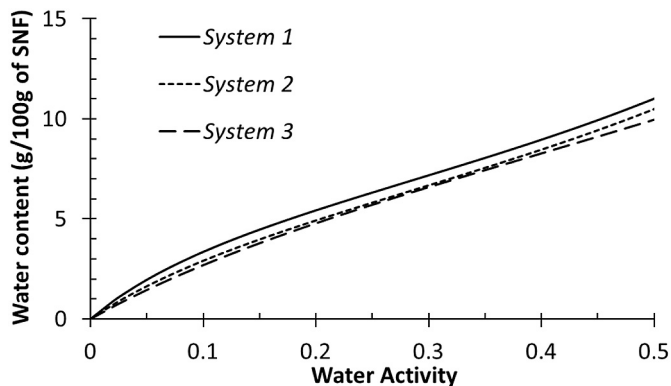


Fig. 2. Water sorption isotherms ( $25 \pm 2^\circ\text{C}$ ) for freeze-dried trehalose-WPI-SO system 1, 2 and 3. The isotherms were modeled using the GAB equation with experimental data.

2.3.2. Preparation of wall material

Trehalose (56.6% w/w of total solids, in water) and WPI (11.4% w/w in water) were used as wall materials. Trehalose was dissolved and WPI was dispersed (2 h) in deionized water, followed by mixing (with

Table 3

Measured by DSC the onset of calorimetric glass transition temperatures ( $T_g$ ) of freeze-dried (0  $a_w$ ) and humidified (0.11–0.44  $a_w$ ) trehalose-WPI-SO systems stored for 360 h at  $25 \pm 2^\circ\text{C}$ .

Glass transition temperatures ( $T_g$ ), $^\circ\text{C}$			
$a_w$	System 1	System 2	System 3
0	$119 \pm 3$	$123 \pm 7$	$126 \pm 6$
0.11	$48 \pm 6$	$51 \pm 8$	$54 \pm 3$
0.23	$35 \pm 4$	$37 \pm 6$	$38 \pm 5$
0.33	$25 \pm 3$	$28 \pm 4$	$30 \pm 5$
0.44	$18 \pm 4$	$19 \pm 5$	$21 \pm 6$

magnetic stirrer) for 30 min.

2.3.3. Final emulsion preparation

The wall material mixture was added into homogenized pre-emulsion at the ratio 55:45 or 11:9 and mixed for 30 min with magnetic stirrer to obtain the final emulsions.

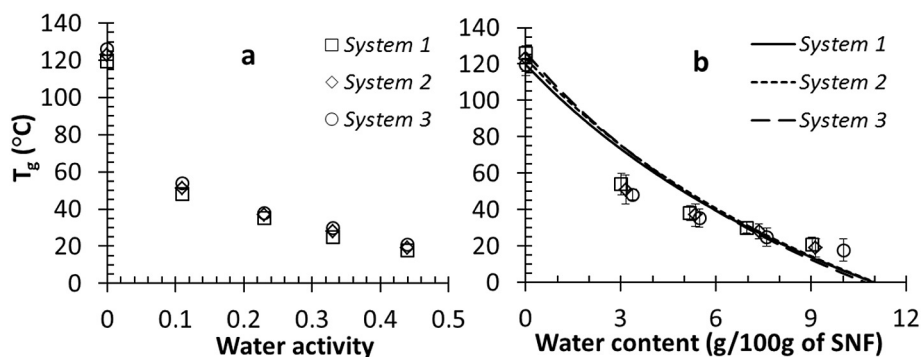


Fig. 3. Effects of water activity (a) and water content (g/100 g of SNF) (b) on the onset  $T_g$  in anhydrous and humidified trehalose-WPI-SO systems 1, 2, 3 stored for 360 h at  $25 \pm 2$  °C. Lines correspond to the  $T_g$  predicted by Gordon-Taylor equation.

#### 2.4. Preparation of amorphous freeze-dried materials

5 ml aliquots of each emulsion (system 1, 2, 3) were frozen in pre-weighted and semi-closed with septum 10 ml glass vials at  $-20$  °C for 24 h, then at  $-80$  °C for 4 h, followed by freeze-drying for 60 h at pressure  $p < .1$  mbar (Lyovac GT2, Steris®, Hürth, Germany) to obtain amorphous materials (Maidannyk et al., 2017). All vials were hermetically sealed under the vacuum conditions inside the freeze-dryer at  $p < .1$  mbar and stored over  $P_2O_5$  in vacuum desiccators (Roos & Karel, 1990) at room temperature ( $25 \pm 1$  °C) to protect samples from water uptake.

#### 2.5. Water sorption analysis

Hermetically sealed freeze-dried and closed under vacuum samples of different emulsion systems were stored in desiccators over  $P_2O_5$ . Each system was stored in an evacuated desiccator ( $25 \pm 1$  °C) for 10 days over the saturated solutions of LiCl,  $CH_3COOK$ ,  $MgCl_2$ ,  $K_2CO_3$ ,  $Mg(NO_3)_2$ ,  $NaNO_2$ , NaCl and KCl (Sigma Chemical Co., St. Louise, MO, U.S.A.), which at equilibrium provided 0.11, 0.23, 0.33, 0.44, 0.545, 0.66, 0.76 and 0.85  $a_w$  respectively. AQUALAB 4 (TE) (Decagon Devices Inc., NE) water activity meter was used to measure water activity for each material after storage. Samples were weighted at intervals of 0, 2, 4, 6, 8, 10, 24, 48, 72 and then every 24 h for up to 360 h upon storage. Possible crystallization of trehalose was assessed from water sorption kinetics (Maidannyk et al., 2017). The water content in each mixture was plotted as a function of time, and the Guggenheim-Anderson-de Boer (GAB) relationship was fitted to data to relate water activity and water content of amorphous trehalose-WPI-SO systems (Eq. (1)):

$$\frac{m}{m_0} = \frac{Cka_w}{(1 - ka_w)(1 - ka_w + Cka_w)} \quad (1)$$

#### 2.6. Differential scanning calorimetry (DSC)

Differential scanning calorimeter (DSC) (Mettler Toledo Schwerzenbach, Switzerland) was used to measure the glass transition temperature of amorphous trehalose-WPI-SO systems with 0, 0.11, 0.23, 0.33 and 0.44  $a_w$ . Samples of all systems were transferred to pre-weighted standard DSC aluminium pans (40  $\mu$ L, Mettler Toledo Schwerzenbach, Switzerland) and hermetically sealed. An empty punctured pan was used as a reference. For anhydrous systems, the lids of DSC aluminium pans were punctured to allow evaporation of residual water upon the measurement. All samples were scanned from  $\sim 30$  °C below to over  $T_g$  region with 5 °C/min heating rate and cooled at 10 °C/min to initial temperature. Then, second heating scan was run to well above ( $> 50$  °C) the  $T_g$  at 5 °C/min heating rate. The onset of  $T_g$  was determined by the STAR<sup>c</sup> software version 8.10 (Mettler Toledo Schwerzenbach, Switzerland) (Maidannyk et al., 2017). The Gordon-

Taylor equation was fitted to  $T_g$  data to model  $T_g$  for the initial emulsions.

$$T_g = \frac{w_1 T_{g1} + k w_2 T_{g2}}{w_1 + k w_2} \quad (2)$$

#### 2.7. Dynamical mechanical analyses (DMA)

Dynamic mechanical analyzer (DMA) (Tritec 2000 DMA, Triton Technology Ltd., UK) was used to measure mechanical properties ( $E''$  – loss modulus,  $E'$  – storage modulus and  $\tan \delta = E''/E'$ ) of anhydrous and humidified trehalose-WPI-SO systems (described above for the DSC experiments). The DMA instrument was balanced or set at zero to determine the zero displacement position before starting. Approximately 60 g of grinded samples were spread on a metal pocket-forming sheet (Triton Technology Ltd., UK). This sandwich sheet was fixed between the stationary and drive shaft clamps inside the measuring head of the DMA. Length, width and thickness were measured for each sample. All results were obtained using 1.43.00 DMA software version. To control the temperature, DMA was connected to a liquid nitrogen tank (1 l; Cryogun, Brymill Cryogenic Systems, Labquip Ltd., Dublin, Ireland). Samples were scanned from  $\sim 50$  °C below to over the  $\alpha$ -relaxation region with cooling rate of 5 °C/min and heating rate of 2 °C/min using the single cantilever bending mode (Fan & Roos, 2016, 2017; Maidannyk & Roos, 2016, 2017, 2018; Potes et al., 2012). The  $\alpha$ -relaxation temperatures ( $T_\alpha$ ) were determined from peak of  $\tan \delta$  above the glass transition.

#### 2.8. Dielectric analysis (DEA)

Samples (described above for DSC and DMA experiments) of each system were analyzed by dielectric spectrometer, DEA (DS6000, Triton Technology Ltd., UK) with titanium sample holders as the electrodes. The LCR meter (LCR-819) and the DEA instrument were calibrated at frequency 0.103 kHz with open-short circuit of the electrodes and zeroed in bridge RQ on a regular basis at 1 kHz with open-short circuit of the electrodes to ensure that the electrodes were ready for use (resistance value  $< 2 \Omega$ ). Approximately 100 mg of grinded samples were transferred onto the lower cup electrode (40 mm diameter) and then pressed with the upper electrode (33 mm diameter). Two electrodes were placed into a dielectric cell. The thickness ( $< 2$  mm) was measured for each sample. The DEA was connected to a liquid nitrogen tank (1 l; Cryogun, Brymill Cryogenic Systems, Labquip Ltd., Dublin, Ireland). Samples were scanned from  $\sim 50$  °C below to over the  $\alpha$ -relaxation region with cooling rate of 5 °C/min and heating rate of 2 °C/min at frequencies of 0.1, 0.5, 1, 5, 10 and 20 kHz (Maidannyk et al., 2017; Potes et al., 2012; Silalai & Roos, 2011). The  $T_\alpha$  was determined from the peak temperature above  $T_g$  of dielectric loss ( $\epsilon''$ ). All results were obtained by Triton Laboratory DEA software, version 1.0.330.

**Table 4**  
 $\alpha$ -Relaxation temperature ( $T_{\alpha}$ ) detected by DMA (0.1–10 Hz) and DEA (100.0–20,000.0 Hz) for systems 1, 2, 3 equilibrated at different relative humidities (RH).

		System 1	System 2	System 3
f, Hz	log $\tau$ , s	$T_{\alpha}$ , °C	$T_{\alpha}$ , °C	$T_{\alpha}$ , °C
<b>RH 0%</b>				
0.1	0.20	151 ± 5	151 ± 2	145 ± 3
0.5	-0.49	152 ± 2	151 ± 5	149 ± 2
1.0	-0.80	153 ± 2	152 ± 2	150 ± 3
2.0	-1.10	157 ± 5	155 ± 2	151 ± 2
5.0	-1.50	159 ± 2	156 ± 3	152 ± 2
10.0	-1.80	161 ± 2	157 ± 2	153 ± 3
100.0	-2.80	163 ± 4	158 ± 3	162 ± 3
500.0	-3.50	165 ± 2	162 ± 2	162 ± 4
1000.0	-3.80	166 ± 5	164 ± 3	163 ± 4
5000.0	-4.50	167 ± 3	165 ± 4	164 ± 3
10,000.0	-4.80	168 ± 2	165 ± 4	165 ± 3
20,000.0	-5.10	-	166 ± 5	166 ± 4
<b>RH 11%</b>				
0.1	0.20	74 ± 3	73 ± 5	77 ± 2
0.5	-0.49	75 ± 4	74 ± 3	77 ± 5
1.0	-0.80	76 ± 2	74 ± 2	78 ± 3
2.0	-1.10	77 ± 4	76 ± 2	78 ± 3
5.0	-1.50	79 ± 5	79 ± 3	79 ± 2
10.0	-1.80	80 ± 2	80 ± 5	79 ± 3
100.0	-2.80	82 ± 3	80 ± 3	80 ± 3
500.0	-3.50	84 ± 4	81 ± 3	81 ± 5
1000.0	-3.80	84 ± 5	83 ± 4	83 ± 4
5000.0	-4.50	87 ± 4	84 ± 5	84 ± 5
10,000.0	-4.80	88 ± 4	87 ± 5	87 ± 4
20,000.0	-5.10	90 ± 4	89 ± 4	89 ± 5
<b>RH 23%</b>				
0.1	0.20	52 ± 3	52 ± 1	52 ± 3
0.5	-0.49	53 ± 5	53 ± 2	52 ± 2
1.0	-0.80	56 ± 3	53 ± 3	54 ± 2
2.0	-1.10	58 ± 2	56 ± 2	55 ± 3
5.0	-1.50	60 ± 3	59 ± 3	58 ± 2
10.0	-1.80	62 ± 2	60 ± 2	60 ± 3
100.0	-2.80	65 ± 3	61 ± 4	61 ± 4
500.0	-3.50	65 ± 4	62 ± 5	61 ± 4
1000.0	-3.80	67 ± 5	62 ± 4	63 ± 5
5000.0	-4.50	69 ± 4	64 ± 4	64 ± 5
10,000.0	-4.80	72 ± 5	68 ± 4	68 ± 4
20,000.0	-5.10	73 ± 5	71 ± 5	70 ± 5
<b>RH 33%</b>				
0.1	0.20	41 ± 2	43 ± 2	44 ± 3
0.5	-0.49	43 ± 2	44 ± 3	45 ± 2
1.0	-0.80	45 ± 1	45 ± 2	46 ± 3
2.0	-1.10	46 ± 2	45 ± 2	47 ± 3
5.0	-1.50	49 ± 3	47 ± 3	48 ± 1
10.0	-1.80	51 ± 2	48 ± 2	48 ± 3
100.0	-2.80	51 ± 4	48 ± 5	48 ± 5
500.0	-3.50	53 ± 5	52 ± 4	49 ± 5
1000.0	-3.80	54 ± 5	53 ± 5	49 ± 4
5000.0	-4.50	55 ± 5	54 ± 5	48 ± 4
10,000.0	-4.80	57 ± 4	54 ± 4	48 ± 4
20,000.0	-5.10	59 ± 4	55 ± 5	49 ± 4
<b>RH 44%</b>				
f, Hz	log $\tau$ , s	$T_{\alpha}$ , °C	$T_{\alpha}$ , °C	$T_{\alpha}$ , °C
0.1	0.20	34 ± 2	34 ± 2	36 ± 3
0.5	-0.49	36 ± 2	35 ± 3	37 ± 3
1.0	-0.80	37 ± 2	35 ± 3	37 ± 2
2.0	-1.10	38 ± 3	36 ± 3	38 ± 3
5.0	-1.50	39 ± 3	38 ± 2	38 ± 3
10.0	-1.80	40 ± 2	39 ± 3	39 ± 3
100.0	-2.80	40 ± 5	37 ± 5	39 ± 3
500.0	-3.50	41 ± 4	37 ± 5	39 ± 5
1000.0	-3.80	41 ± 5	38 ± 4	39 ± 4
5000.0	-4.50	42 ± 5	39 ± 6	40 ± 5
10,000.0	-4.80	43 ± 4	40 ± 4	40 ± 6
20,000.0	-5.10	44 ± 5	40 ± 4	40 ± 4

To calculate the relaxation times ( $\tau$ ) of peak  $T_{\alpha}$ , measured by DMA and DEA at various frequencies ( $f$ ), we used the Eq. (3) (Maidannyk et al., 2017; Noel et al., 2000; Potes et al., 2012):

$$\tau = \frac{1}{2\pi f} \quad (3)$$

## 2.9. Microstructure

### 2.9.1. Optical light microscopy

Microscopy observation was done on anhydrous systems 1, 2, and 3 using an Olympus BX51 (Olympus Corporation, Tokyo, Japan) light microscope with 20× dry objective lens with polarized light. Digital images (TIFF, 8-bit) were taken and captured using Jenoptik C14 Imagic camera.

### 2.9.2. Confocal laser scanning microscopy

Leica TCS SP5 confocal laser scanning microscope (CLSM; Leica Microsystems CMS GmbH, Wetzlar, Germany) was used for powder particles visualization. Powder particles were placed onto a glass slide and labeled using a mixture of Fast Green and Nile Red (Auty et al., 2001; Maher et al., 2015). The dye mixture containing Fast Green (aq. 0.01 g/0.1 l) and Nile Red were dissolved in polyethylene glycol 400 g/mol (0.1 g/0.1 l) mixed in a ratio 1:40 of Fast Green to Nile Red, which allowed diffusion of the dye molecules into the particles whilst not influencing the particle morphology and preventing solubilization (Maher et al., 2015). Dual excitation at 488 nm/633 nm was used. The confocal images of each systems were taken using 63× oil immersion objective with numerical aperture 1.4 z-Stacks were obtained in order to generate a three-dimensional structure of the particle and to identify surface lipid staining (Maher et al., 2015). Red and Green pseudo-colored pictures (8-bit), 512 × 512 pixels in size, were acquired using a zoom factor of 1–3.

### 2.9.3. Scanning electron microscopy

Each freeze-dried trehalose-WPI-SO systems were attached to double-sided adhesive carbon tabs mounted on scanning electron microscope stubs, and then coated with chromium (K550X, Emitech, Ashford, UK). Scanning electron microscopy images were collected using a Zeiss Supra 40P field emission SEM (Carl Zeiss SMT Ltd., Cambridge, UK) at 2.00 kV. Representative micrographs were taken at 200×, 500×, 1000×, 5000× and 10,000× magnification.

## 2.10. Calculation of WLF model constants and Structural strength parameter

To calculate the structural strength parameter ( $S$ ), the constants  $C_1$  and  $C_2$  from Williams Landel Ferry (WLF) equation were obtaining as described by Roos and Drusch (2015).

The WLF equation in the form of (Eq. (5)) was used to fit DMA and DEA data (Williams, Landel, & Ferry, 1955):

$$\log_{10} \frac{\tau}{\tau_s} = \log_{10} \frac{\eta}{\eta_s} = \frac{-C_1(T - T_g)}{C_2 + (T - T_g)} \quad (5)$$

where,  $\tau$  is relaxation time,  $\tau_s$  is reference relaxation time,  $\eta$  is viscosity,  $\eta_s$  is reference viscosity,  $T$  is temperature,  $T_g$  is glass transition temperature,  $C_1$  and  $C_2$  are constants.

The WLF equation in the form of (Eq. (6)) suggested that the plot of  $1/\lg(\tau/\tau_s)$  versus  $1/(T-T_g)$  gives a linear correlation:

$$\frac{1}{\lg \frac{\tau}{\tau_s}} = \frac{1}{-C_1} - \frac{C_2}{C_1(T - T_g)} \quad (6)$$

The WLF constants  $C_1$  and  $C_2$  were derived from the slope and intercept (Roos & Drusch, 2015).

Mathematically,  $S$  is based on WLF relationship and can be

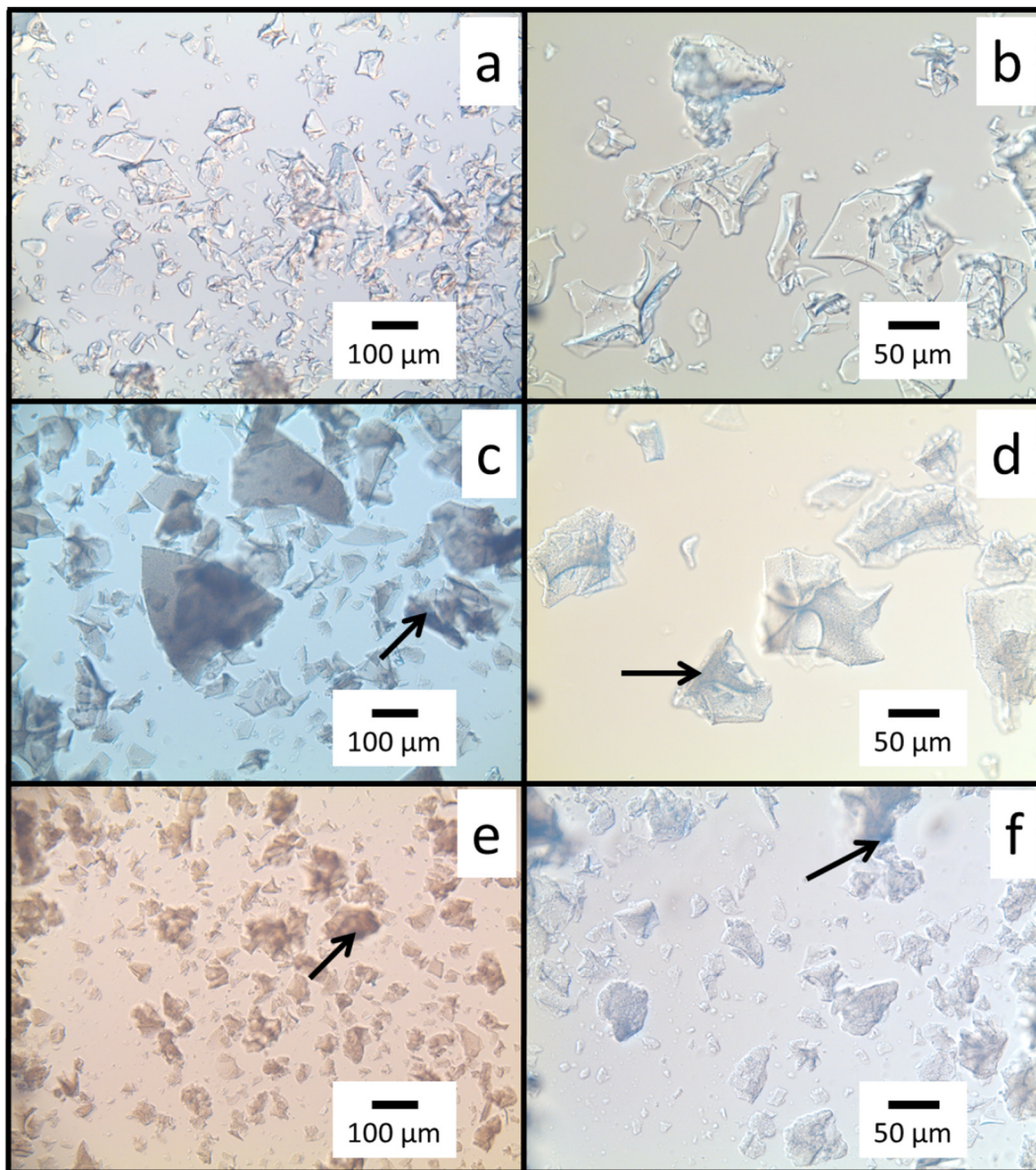


Fig. 4. Optical light micrographs of anhydrous freeze-dried trehalose-WPI-SO systems 1 (a, b), 2 (c, d) and 3 (e, f). Arrows indicate SO drops.

calculated by Eq. (6):

$$S = \frac{dC_2}{C_1 - d} \quad (7)$$

where  $d$  is a parameter, showing the critical decrease in the number of logarithmic decades for the flow (e.g., 100 s to 0.01 s corresponds to  $d = 4$ ; can be chosen for each system as an integer depending on the critical time for the process (Fig. 7)),  $C_1$  and  $C_2$  are “non-universal” constants in the WLF equation.

Eq. (8) was used to predict structural strength at different water contents:

$$S = \frac{w_1 S_1 + k w_2 S_2}{w_1 + k w_2} \quad (8)$$

where  $w_1$  – weight fraction of dry solid;  $w_2$  – weight fraction of water;  $k$  – coefficient;  $S_1$  – structural strength for anhydrous system;  $S_2$  – structural strength of pure water ( $S_2 = 6.0$ ) (Maidannyk et al., 2017).

### 2.11. Data analysis

All experiments were performed in triplicate. Mean data of the water sorption analyses, DSC, DMA and DEA were calculated from 3 replicates with standard deviations expressed in error bars. Statistical analysis was performed by Paired-sample  $t$ -test using Microsoft Office Excel 2011 (Microsoft, Inc., U.S.A.). Means differ significantly from each other if  $p < .05$ , very significantly if  $p < .01$ .

## 3. Results and discussion

### 3.1. Water sorption analysis

In Table 2 the experimental water contents for freeze-dried emulsions (systems 1, 2, 3) over the whole range of water activities at  $25 \pm 2$  °C after 360 h of storage are shown.

The sorption kinetics profiles for system 1 (Fig.1 a), 2 (Fig.1 b) and 3 (Fig.1 c) at different relative humidity demonstrate the time required for the system equilibration. At whole range of water activities, carbohydrate-protein system showed slightly higher amount of sorbed

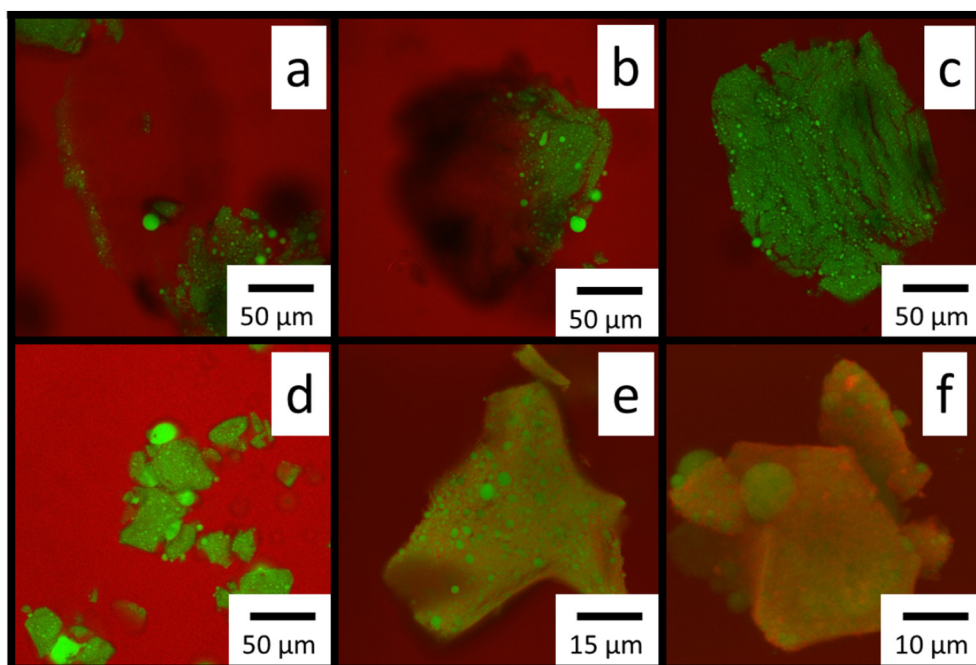


Fig. 5. Confocal scanning laser micrographs of showing internal microstructures of anhydrous system 2 (a, b, c) and system 3 (d, e, f). Figures are fluorescently labeled with Fast green/Nile red to show fat (green) and protein (red).

water followed by carbohydrate-protein-oil systems. The amount of sorbed water slightly decreased with increasing oil content, due to hydrophobic interactions between proteins and oils at the interface (Zhou & Roos, 2012).

Delayed trehalose crystallization was found at 0.76  $a_w$  and above for all systems. The longer crystallization time compare to pure trehalose systems can be explained by complex interference and possible interactions between carbohydrates and protein, e.g. by hydrogen bonds between carbohydrates and proteins (Zhou & Roos, 2012). Also, oil in carbohydrate-protein-oil systems (system 2, 3) may cover particles by free fat layer that result in significant change of water sorption profile (Vignolles et al., 2007). The dispersed oil phases with protein interfaces disturbed the continuous hydrophilic carbohydrate-protein phase and slowed the movement of carbohydrate molecules to form crystals (Zhou & Roos, 2012).

The GAB model was fitted to the water sorption data for all systems. System 1 at the low experimental  $a_w$  range showed sigmoid sorption isotherm and highest water sorption, followed closely by system 2 and then system 3 (Fig. 2). The slight decrease of sorbed water amount in the system may be explained by hydrophobic interactions between protein and oil phases, which decreased the amount of protein in carbohydrate-protein fractions and their hydrogen bonding with water (Zhou & Roos, 2012).

### 3.2. Glass transition temperature

The glass transition temperature for anhydrous and humidified systems 1, 2 and 3 were read from second heating DSC thermographs. The  $T_g$  of all systems are shown in the Table 3.

The  $T_g$  values of anhydrous of humidified trehalose-WPI-SO systems were slightly higher than that of pure trehalose due to high protecting effect of trehalose on protein structures and possible proteins effect of anhydrous systems by hydrogen bonding to carbohydrate molecules (Lopez-Diez and Bone, 2004; Maidannyk & Roos, 2016; Zhou & Roos, 2012). System 1, 2 and 3 showed similar values of  $T_g$ . Slightly increased  $T_g$  values are in agreement with water sorption data. This finding supports that systems were plasticized by water resulting in the decrease of the  $T_g$  regardless of the presence of protein and oil (Levine &

Slade, 1986; Roos & Karel, 1991; Silalai & Roos, 2011). Fig. 3a showed that glass transition occurred only in the nonfat solids components and the  $T_g$  values closely followed the carbohydrate component of system at whole  $a_w$  range (Maidannyk & Roos, 2016; Zhou & Roos, 2012).

The Gordon-Taylor equation was successfully fitted to experimental data of freeze-dried trehalose-WPI-SO systems (Fig. 3b). Experimental  $T_{g1}$  (Table 2) for anhydrous systems and  $T_{g2} = -135$  °C (Angell, 2002) for water were used in calculations. For systems 1, 2 and 3 constants  $k$  were  $7.2 \pm 1.5$ ;  $7.4 \pm 0.9$  and  $7.8 \pm 1.3$  respectively.

### 3.3. Dielectric and dynamic-mechanical properties

Structural  $\alpha$ -relaxations usually occur in amorphous systems at temperatures close to the calorimetric glass transition temperature (Champion, Le Meste, & Simatos, 2000; Roudaut, Simatos, Champion, Contreras-Lopez, & Le Meste, 2004). For freeze-dried anhydrous and humidified systems (1, 2, 3),  $\alpha$ -relaxation temperatures ( $T_\alpha$ ) were obtained from the peak temperature of dielectric loss ( $\epsilon''$ ) and dynamic  $\tan \delta$  ( $\tan \delta = \epsilon''/\epsilon'$ , where  $\epsilon''$  – loss modulus (mechanical energy dissipation),  $\epsilon'$  – storage modulus (mechanical energy storage)) of dielectric and dynamic mechanical analyses. Both DMA and DEA spectra are frequency-dependent, which allows obtaining the relaxation time – temperature dependence for amorphous systems (Eq. (3)) (Fan & Roos, 2016, 2017; Maidannyk & Roos, 2016, 2017, 2018; Potes et al., 2012; Silalai & Roos, 2011).

Peak of  $\alpha$ -relaxation occurred at 20–30 °C above the onset  $T_g$  for the DMA measurements and up to 60–70 °C above the onset  $T_g$  for the DEA measurements. Systems with higher amount of SO showed significantly broadened and less intensive DMA and DEA peaks compared to the system without SO. For anhydrous samples, at all frequencies,  $T_\alpha$  slightly decreased with increasing lipid content in the system, which could be caused by significant difference on powders surface composition (lubricant effect) which is in agreement with microscopies observations (Table 4). Trehalose acted as filler in the dry matrix which surround SO droplets. Also, free fat and fat droplets had the lower values of diffusion coefficients than trehalose and WPI hence they remained at the surface (Vignolles, Jeantet, Lopez, & Schuck, 2007). However, for humidified systems, we observed the opposite effect,

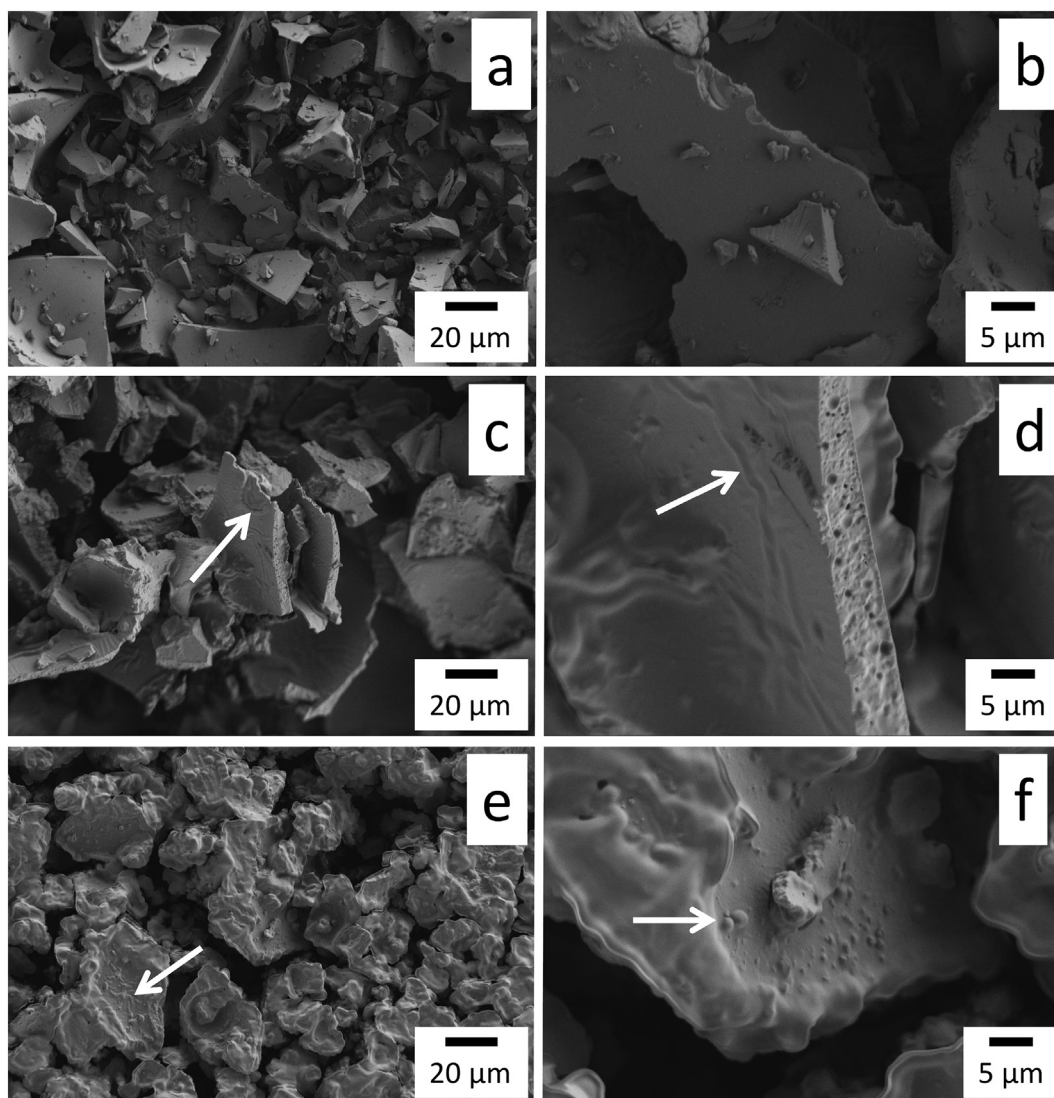


Fig. 6. Scanning electron micrographs of anhydrous system 1 (a, b), system 2 (c, d) and system 3 (e, f). Images shows powder particle surface morphology (a, c, e) and interior features of fractured particles (b, d, f). Arrows indicate fat globules and fat droplets.

Table 5

Calculated WLF constants  $C_1$  and  $C_2$  for amorphous systems 1, 2 and 3 stored at various relative humidities (RH).

System	System 1		System 2		System 3		
	RH, %	$-C_1, s$	$-C_2, ^\circ C$	$-C_1, s$	$-C_2, ^\circ C$	$-C_1, s$	$-C_2, ^\circ C$
0		$2.03 \pm 0.17$	$61.9 \pm 3.1$	$2.22 \pm 0.29$	$54.2 \pm 4.1$	$5.01 \pm 0.65$	$67.4 \pm 4.1$
11		$2.14 \pm 0.33$	$50.9 \pm 2.5$	$2.53 \pm 0.32$	$47.1 \pm 3.5$	$1.86 \pm 0.47$	$39.7 \pm 3.3$
23		$5.99 \pm 0.22$	$66.8 \pm 3.4$	$5.46 \pm 0.42$	$53.2 \pm 4.2$	$6.31 \pm 0.46$	$54.5 \pm 4.2$
33		$4.34 \pm 0.18$	$51.7 \pm 2.2$	$3.39 \pm 0.29$	$38.2 \pm 2.7$	$1.17 \pm 0.21$	$22.4 \pm 1.4$
44		$1.65 \pm 0.24$	$30.7 \pm 1.5$	$1.46 \pm 0.17$	$25.1 \pm 1.3$	$0.76 \pm 0.15$	$20.7 \pm 1.3$

which is probably caused by the plasticizing effect in amorphous systems.  $T_\alpha$  in all systems was found to decrease with increasing water content. All of these changes in systems can be explained by the free-volume theory, which shows that increasing free volume and molecular mobility significantly affected the  $T_g$  and  $T_\alpha$  of amorphous sugar in a complex system (Meinders & van Vliet, 2009; Royall et al., 2005; Slade et al., 1991).

### 3.4. Microscopy

#### 3.4.1. Optical light microscopy (OLM)

OLM was carried out on anhydrous freeze-dried systems 1, 2 and 3. Significant differences in particles transparency and shapes were observed between systems (Fig. 4). The transparency decreased with increasing SO content in the system. The layer of fat drops was observed in system 2 and 3. Also, the addition of SO makes the edges of particles smoother. No evidence of trehalose crystals was found in anhydrous systems 1, 2 and 3 in polarized light.



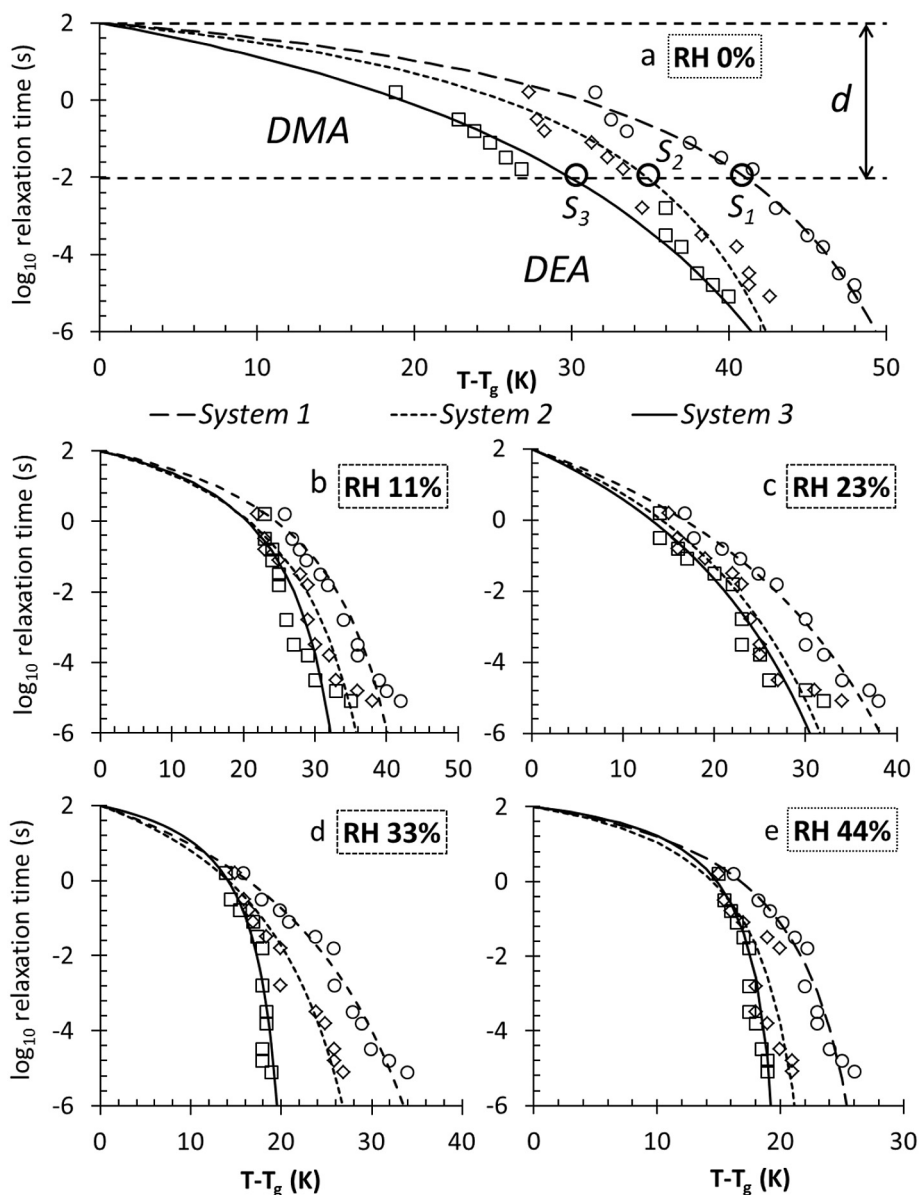


Fig. 7. Modified WLF curves (lines) and experimental data (symbols) for systems 1, 2 and 3 stored for 360 h at various relative humidity (0 (a), 11 (b), 23 (c), 33 (d) and 44% (e)) at  $25 \pm 1^\circ\text{C}$ .

**Table 6**  
Strength  $S$  (at  $d = 4$ ) for systems 1, 2 and 3 at different relative humidities (RH) and water contents.

Relative humidities/water content	System 1	System 2	System 3
RH 0%	$41.0 \pm 3.3$	$34.8 \pm 2.7$	$29.9 \pm 3.1$
RH 11%	$33.1 \pm 2.8$	$30.1 \pm 2.7$	$27.1 \pm 2.8$
RH 23%	$26.7 \pm 3.1$	$21.1 \pm 3.1$	$21.1 \pm 2.7$
RH 33%	$24.8 \pm 4.1$	$20.6 \pm 2.3$	$17.3 \pm 3.5$
RH 44%	$21.7 \pm 2.9$	$18.4 \pm 2.5$	$17.3 \pm 2.4$

3.4.2. Confocal laser scanning microscopy

Confocal laser scanning microscopy results are shown in Fig. 5. Labeling with Fast Green/Nile Red dyes colored the fat and protein phases of system 2 (Fig. 5 a, b, c) and system 3 (Fig. 5 d, e, f) and showed air vacuoles as dark regions within the particle. Discrete spherical free fat green droplets (1–5  $\mu\text{m}$  diameters) were observed in system 2 and 3 mostly on a particle surface which is in agreement with previous works (Maher et al., 2015; McKenna, 1997). System 3 shows

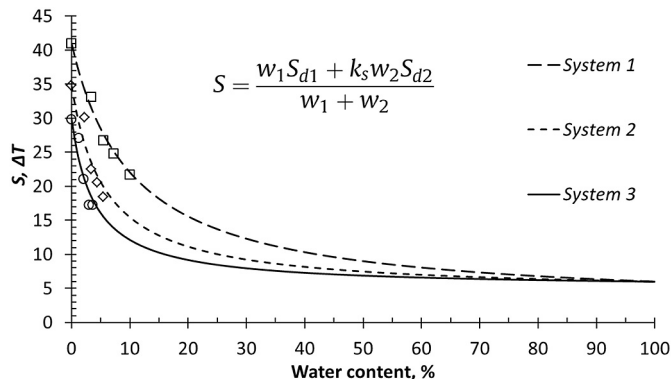


Fig. 8. Modeled by Eq. (9) (lines) and experimental (symbols)  $S$  systems 1, 2 and 3 at the different water contents.

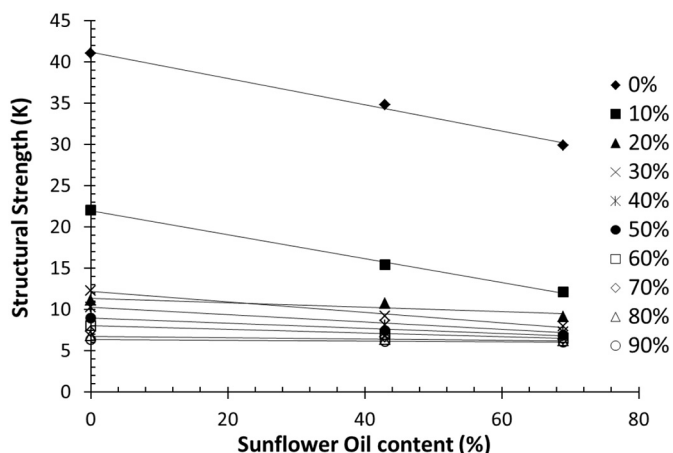


Fig. 9. The dependence of  $S$  from SO content (%) for systems 1, 2 and 3 at different water contents (The data predicted by Eq. (9)).

more free fat droplets than system 2 due to higher amount of fat in a system.

### 3.4.3. Scanning electron microscopy (SEM)

SEM is one of the most commonly used qualitative method to assess particle microstructure (Schmidt & Buchheim, 1992; Kalab, 1979; Baechler, Clerc, Ulrich, & Benet, 2005). SEM pictures of fractured powders particles are presented in Fig. 6. System 1 (Fig. 6 a, b) showed very smooth surface while system 2 (Fig. 6 c, d) and system 3 (Fig. 6 e, f) have a characteristic fat globules. There was evidence of free fat on the surface of system 2, 3. System 2 and 3 shows an uneven distribution of oil droplets spread throughout the powder particles. The size of the oil droplets was from 150 to 1200 nm, similar to results of Maher et al. (2015). Higher magnifications showed the powder wall structure at nano-scale (Fig. 6 b, d, f). In system 2 and 3, spherical fat droplets of mostly 200 nm to 3  $\mu$ m in diameter were seen embedded within the wall protein matrix.

### 3.5. WLF modeling and Strength

Williams-Landel-Ferry (WLF) equation is one of the traditional models of the temperature dependence on structural relaxation time (Williams et al., 1955). In the present study, “non-universal” constants  $C_1$  and  $C_2$  were calculated using Eq. (6) and assumption that the viscosity and relaxation time of supercooled liquid approached  $10^{12}$  Pa s and 100 s respectively. Upon heating, values of viscosity and relaxation time decreased down to  $10^5$  Pa s and  $10^{-14}$  s (Angell, 1991; Angell, 2002; Angell et al., 2000; Fan & Roos, 2016, 2017; Maidannyk et al., 2017; Maidannyk & Roos, 2016, 2017, 2018; Roos et al., 2015). Table 5 shows the WLF constants for anhydrous and humidified systems.

The introduction of WLF model to determine the flow characteristics or “strength” of amorphous materials ( $S$ ) as described by Roos (Roos et al., 2015) enable direct comparison of structural relaxation times of different materials in the vicinity and above the glass transitions as  $T_g$  was used to standardize the temperature scale (Roos, 2013). Fig. 7 shows experimental data of DMA, DEA (symbols) and WLF curves (lines) with calculated  $C_1$  and  $C_2$  constants. During heating, a critical and large change in structural relaxation time occurred between 100 s and 0.01 s or between 2 and -2 in logarithmic scale. The temperature difference,  $T-T_g$ , at which these changes happens, was defined as a “strength” parameter ( $S$ ).

Strength parameter was calculated using Eq. (7). Table 6 presented data of Strength, which were calculated at  $d = 4$ .

Table 5 and Fig. 7 show that water, as a good plasticizer, significantly decreases strength of the system. Water is one of key factor for controlling properties, processes and storage stability for many food

and pharmaceutical products (Liu et al., 2006). Previous studies (Fan & Roos, 2016; Fan & Roos, 2017; Maidannyk et al., 2017) developed an equation (Eq. (8)) for interpreting the relationship between water content and strength parameters. Such relationship was also applied for describing the influence on strength and water content of each system and achieved a very good fitting performance (Fig. 8). The  $k$  value was 10.6, 18.4 and 26.1 for the systems 1, 2 and 3 respectively.

System with the low amount of SO has more “strong” properties compare to the “weak” systems with high lipids content. Very big difference in strength values ( $\sim 20$  degree) was observed between anhydrous (RH 0%) systems 1 and 3 (Table 6, Fig. 7), that is in agreement with microscopy observation. SO presented on particle surface as free fat drops and fat globules may act as a lubricant and affect mechanical characteristics and electrical conductivity, that reduces overall strength of the system. It means that in systems with low amount of lipids, structural relaxation times achieved a critical level at lower temperatures than in trehalose-WPI systems. Similar effects were showed in our previous studies on carbohydrate-protein, miscible and semi-crystalline systems (Fan & Roos, 2016, 2017; Maidannyk et al., 2017; Maidannyk & Roos, 2016, 2017, 2018).

Systems with higher amount of sunflower oil show lower value of strength at any water content (Figs. 7, 8). Structural strength was decreasing linearly (with 0.9948, 0.9978, 0.8009, 0.9916, 0.9901, 0.9891, 0.9887, 0.9883, 0.9883, and 0.9874  $R^2$  for 0%; 10%; 20%; 30%; 40%; 50%; 60%; 70%; 80% and 90% of water respectively) with increasing SO concentration in the system (Fig. 9). Using linear dependence, experimental data (Table 5) and Eq. (8) allows prediction of  $S$  value at any lipids and water content in the system.

The present work confirms that strength approach is universal and can be applied for various food systems including systems containing fats. This model can find application in processing, quality control and storage stability of food products.

## 4. Conclusions

Strength analysis was applied for trehalose-WPI-SO systems with various contents of SO. Water sorption analysis allowed determining water content in freeze-dried amorphous systems at different water activities. The presence of lipids in the system prevents trehalose crystallization. For anhydrous system, the  $\alpha$ -relaxation temperature was decreasing with increasing lipid content in the system. At the same time  $\alpha$ -relaxation and glass transition temperature were decreasing with increasing water content in the systems. Microscopy observation showed that SO is presented as free fat and fat globules. Structural strength demonstrated linear dependence on the lipid concentration and significantly decreased with increasing of the water content. Knowing strength of pure anhydrous components allows predicting structural strength of mixture at any water contents. Present results can be useful in processing, characterization, product development as well as quality and stability control of various food products such as dairy powders and infant formulae.

## Acknowledgments

This investigation was supported by the Food Institutional Research Measure (FIRM) project “Formulation and Design for Food Structure and Stability” funded by the Department of Agriculture, Food and Marine (11-F-001), coordinated by prof. Y.H. Roos, UCC, Ireland and by the Food Institutional Research Measure (FIRM) project “Developing the next generation of high protein spray dried dairy powders with enhanced hydration properties” (15-F-679) funded by the Department of Agriculture, Food and Marine, coordinated by Dr. Mark Auty, Teagasc Food Research Centre, Moorepark, Co. Cork, Ireland. Authors also thank Ms. Carolina Gomez in Teagasc Food Research Centre, Moorepark, Co. Cork, Ireland, for her kind assistance.

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