



Change in blood eosinophils following treatment with inhaled corticosteroids may predict long-term clinical response in COPD

DOI:
[10.1183/13993003.02119-2019](https://doi.org/10.1183/13993003.02119-2019)

Document Version
Accepted author manuscript

[Link to publication record in Manchester Research Explorer](#)

Citation for published version (APA):

Mathioudakis, A., Bikov, A., Foden, P., Lahousse, L., Brusselle, G., Singh, D., & Vestbo, J. (2020). Change in blood eosinophils following treatment with inhaled corticosteroids may predict long-term clinical response in COPD. *The European respiratory journal*, 318(6), [1902119]. <https://doi.org/10.1183/13993003.02119-2019>

Published in:
The European respiratory journal

Citing this paper

Please note that where the full-text provided on Manchester Research Explorer is the Author Accepted Manuscript or Proof version this may differ from the final Published version. If citing, it is advised that you check and use the publisher's definitive version.

General rights

Copyright and moral rights for the publications made accessible in the Research Explorer are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

Takedown policy

If you believe that this document breaches copyright please refer to the University of Manchester's Takedown Procedures [<http://man.ac.uk/04Y6Bo>] or contact uml.scholarlycommunications@manchester.ac.uk providing relevant details, so we can investigate your claim.



1
2 **Title:** Change in blood eosinophils following treatment with inhaled corticosteroids may predict
3
4 long-term clinical response in COPD.
5
6

7
8 **Authors:** Alexander G. Mathioudakis^{1,2}, Andras Bikov^{1,2}, Philip Foden³, Lies Lahousse⁴, Guy
9 Brusselle^{5,6}, Dave Singh^{1,2,7}, Jørgen Vestbo^{1,2}
10
11
12
13

14
15 ¹ Division of Infection, Immunity and Respiratory Medicine, School of Biological Sciences, The
16 University of Manchester, UK.
17

18
19 ² North West Lung Centre, Wythenshawe Hospital, Manchester University NHS Foundation Trust,
20 Manchester Academic Health Science Centre, Manchester, UK.
21

22
23 ³ Department of Medical Statistics, Wythenshawe Hospital, Manchester University NHS Foundation
24 Trust, Southmoor Road, Manchester, UK.
25

26
27 ⁴ Department of Bioanalysis, Ghent University, Ghent, Belgium.
28

29
30 ⁵ Departments of Epidemiology and Respiratory Medicine, Erasmus Medical Center, Rotterdam, the
31 Netherlands.
32

33
34 ⁶ Department of Respiratory Medicine, Ghent University Hospital, Ghent, Belgium.
35

36
37 ⁷ Medicines Evaluation Unit, Manchester, UK.
38
39

40 **Keywords:** Eosinophils, Inhaled corticosteroids, COPD, Exacerbations, Lung function, Biomarkers,
41
42 Randomized controlled trial.
43
44

45
46 **Corresponding author:**
47

48 Prof. Jørgen Vestbo DMSc, FRCP, FERS, FMedSci
49

50 Division of Infection, Immunity and Respiratory Medicine,
51

52 The University of Manchester, UK
53

54 Jorgen.Vestbo@manchester.ac.uk
55
56
57
58
59
60

1
2 **Author contribution:** AGM and JV contributed to the conception and design of the study. AGM
3
4 conducted data analysis and drafted the manuscript with input from all authors. PF provided statistical
5
6 expertise. All authors contributed to data interpretation, discussion and critical revision of the paper for
7
8 intellectual content.
9

10
11
12 **Acknowledgement:** The authors are grateful to the ISOLDE investigators and participants, and to
13
14 GlaxoSmithKline and Clinical Study Data Request (CSDR, a consortium of clinical study sponsors and
15
16 funders that was developed to facilitate patient level data sharing, ClinicalStudyDataRequest.com) for
17
18 providing access to patient level data from the ISOLDE trial. AGM is supported by an Academic Clinical
19
20 Fellowship in Respiratory Medicine from the National Institute for Health Research (NIHR, UK). AGM,
21
22 DS, AB and JV are supported by the National Institute of Health Research Manchester Biomedical
23
24 Research Centre (NIHR Manchester BRC).
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Abstract

There is an emerging role for blood eosinophil count (EOS) as a biomarker to guide inhaled corticosteroid (ICS) therapy in COPD. Since ICS administration could influence EOS, we hypothesized that change in EOS following treatment with ICS may predict outcomes of long-term therapy.

In a post-hoc analysis of ISOLDE, a three-year, double-blind trial comparing 500µg fluticasone propionate BID with placebo in 751 patients with moderate-to-severe COPD, we evaluated whether the initial changes in EOS during ICS treatment were predictive of ICS treatment response.

EOS change within one year after the introduction of ICS was strongly predictive of treatment response. A suppressed EOS was associated with treatment effect. Characteristically, in patients with EOS suppression of ≥ 200 EOS/ μL , ICS use was associated with a decelerated FEV₁ decline rate, by 32mLs/year, and 30% reduction in the exacerbation rate. In contrast, in patients experiencing an increase in EOS of ≥ 200 EOS/ μL , ICS use was associated with an accelerated FEV₁ decline rate by 37mLs/year and an increased exacerbation rate by 80% ($p < 0.0001$). EOS change was not predictive of clinical response with regards to health status evaluated using Saint George Respiratory Questionnaire.

These findings suggest EOS change after ICS administration may predict clinical response to ICS therapy in patients with moderate-to-severe COPD at risk of exacerbations. ICS administration may be associated with more frequent exacerbations and an accelerated lung function decline in the 20% of patients where EOS increases after the administration of ICS. These hypothesis-generating observations will need validation in prospectively designed studies.

@ERSpublications Blood eosinophil change in response to ICS may predict long-term response to ICS in COPD. A rise in eosinophils was observed in 20% of participants in ISOLDE and was associated with lack of clinical benefit and a potential risk of harm. **### Link to the manuscript ###**

Plain English Abstract

Chronic obstructive pulmonary disease (COPD) is a frequent and burdensome long-term lung disease causing persistent, progressive respiratory symptoms and loss of quality of life. Inhaled corticosteroids are medications that are administered by inhalation, directly to the lungs. By reducing the ongoing inflammation, they decrease the frequency of attacks (respiratory symptom flare-ups), improve the quality of life and the function of the lungs. However, they are also associated with side effects, that include an increased risk of pneumonia and possibly osteoporosis and diabetes. While all patients are at risk of the steroids' side effects, not everyone with COPD might gain benefit from inhaled steroids. Therefore, it is important to identify accurate blood tests that will point out patients who may gain benefit, in order to personalize their administration and to avoid putting people at risk of side effects for no benefit.

It appears that only patients with raised blood eosinophils, which are cells of the immune system, respond to the administration of inhaled steroids. As a result, blood eosinophils are increasingly used to guide the administration of inhaled steroids. However, it has been suggested that the administration of inhaled steroids may influence the eosinophil levels. As a result, using blood eosinophils without taking into consideration whether inhaled corticosteroids were used at the time of the measurement may not be optimal. In this study, we tested whether blood eosinophils measured while patients were/ were not receiving inhaled steroids could guide the administration of inhaled steroids. More importantly, we hypothesized that the impact the inhaled steroids have on blood eosinophils could be more helpful in identifying patients who gain benefits from the administration of steroids. We re-evaluated data from the ISOLDE, a large controlled clinical trial, involving 751 participants who were treated with or without inhaled steroids for three years.

We found that change in blood eosinophils following treatment with inhaled steroids may be more accurate in predicting whether inhaled steroids are beneficial in COPD. Moreover, higher eosinophil levels measured while patients are not receiving any steroids could also predict response to treatment with inhaled steroids. These findings need to be confirmed in future research.

Introduction

Chronic obstructive pulmonary disease (COPD), being characterized by marked heterogeneity in both clinical manifestations and underlying mechanisms^{1,2}, represents a prime target for the introduction of precision medicine interventions. In recent years, clinical studies evaluated strategies aimed to tailor the administration of inhaled corticosteroids (ICS), which only appear to be effective in a subset of patient with COPD^{1,2}. These studies were actuated by concerns that ICS benefits come at the expense of side effects that include a significant increase in the risk of pneumonia^{3,4}.

It has been suggested that ICS may only be effective in COPD patients with enhanced eosinophilic inflammation in the airways^{5,6}. Blood eosinophil count (EOS) as a biomarker to guide the administration of ICS has been tested in several trials, either in post-hoc⁷⁻¹³, or pre-specified¹⁴⁻¹⁷ analyses, which have consistently demonstrated a positive association between blood EOS count and treatment response to ICS. Based on these analyses the Global Initiative for Chronic Obstructive Lung Disease (GOLD) now recommends the use of EOS to assist the clinical decision making of whether or not to use ICS in patients at risk of exacerbations¹⁸.

However, the association between ICS and EOS may not be that simple. In asthma, eosinophils appear to be a responsive biomarker of therapeutic response to corticosteroids. The administration of either inhaled^{19,20} or systemic^{21,22} corticosteroids leads to a reduction in eosinophil count, which is proportionate to corticosteroids treatment efficacy. This is not unexpected, since blood eosinophil reduction probably reflects a suppression of eosinophilic airway inflammation. In COPD, it has also been demonstrated that both oral²³ and inhaled²⁴ corticosteroids could significantly suppress sputum eosinophils. We therefore hypothesized that ICS administration may influence blood EOS as well and that change in blood EOS following ICS treatment could predict long-term efficacy of this treatment.

The availability of multiple EOS measurements in the ISOLDE trial dataset allowed us to test this hypothesis. In a post-hoc analysis of the ISOLDE trial, we assessed whether EOS change after initiation of ICS could more accurately predict response to ICS than a single measurement of EOS. We also assessed the predictive value of EOS measured (i) while patients were not receiving any corticosteroids or (ii) while they were receiving ICS. The ISOLDE trial compared fluticasone propionate 500µg twice

1
2 daily with placebo in 751 patients with moderate-to-severe COPD. The trial included an eight-week run-
3
4 in period where participants were not receiving ICS. Blood EOS were conveniently measured before,
5
6 at the end of the run-in period and every year thereafter (supplementary figure 1), allowing us to
7
8 evaluate these EOS biomarkers.
9

10 11 12 **Methods**

13
14
15 This retrospective analysis of data from ISOLDE trial was based on a prospectively designed analysis
16
17 plan, submitted to GSK via Clinical Study Data Request (www.clinicalstudydatarequest.com), and was
18
19 peer reviewed by an independent expert review panel.
20

21 22 *Overview of the ISOLDE study*

23
24
25 The ISOLDE study, a three-year, double-blind, randomized controlled trial (RCT), compared
26
27 fluticasone propionate 500µg twice daily (ICS) versus placebo²⁵. Eligible COPD patients were aged 40-
28
29 75 years, current or former smokers not suffering from asthma, with a post-bronchodilator forced
30
31 expiratory volume in one second (FEV₁) of less than 85% predicted with limited bronchodilator
32
33 reversibility (an FEV₁ response to 400µg salbutamol of 10% of predicted normal or less), and without
34
35 concurrent severe diseases limiting their life expectancy to less than 5 years. Enrolled patients
36
37 underwent an eight-week run-in period, during which ICS were not allowed. After this period, they were
38
39 randomized to receive either fluticasone propionate 500µg or placebo twice daily, via a metered dose
40
41 inhaler with a spacer device for three years. After the run-in but before the double-blind phase,
42
43 participants received a two-week course of oral prednisolone, unless it was contra-indicated. Patients
44
45 were followed every three months for the duration of the trial. Pulmonary function, exacerbations, health
46
47 status and adverse events were evaluated at every visit. Blood biomarkers, including EOS were
48
49 monitored before and at the end of the run-in period, after the two-week course of oral prednisolone,
50
51 and annually thereafter. The primary end-point was the decline (ml/year) in FEV₁, while other key end-
52
53 points included exacerbation frequency, changes in health status and withdrawals due to respiratory
54
55 disease. Details in the design and outcomes of the ISOLDE trial were reported previously²⁵.
56
57
58
59
60

Predictive value of blood EOS

1
2 We evaluated whether (a) change in EOS following initiation of ICS treatment, (b) EOS while patients
3
4 were not receiving any oral or inhaled corticosteroids, or (c) EOS while on ICS, could predict response
5
6 to ICS with regards to pulmonary function, exacerbations and health status.
7
8

9 We captured EOS values measured during the first year of the ISOLDE trial. For EOS while not
10
11 receiving corticosteroids, we used EOS values measured while patients were not receiving oral or
12
13 inhaled corticosteroids for at least eight weeks, prioritising EOS measured at the end of the run-in
14
15 period. For EOS while on ICS, we used EOS values measured while patients were receiving ICS for at
16
17 least eight weeks. We used baseline EOS measurements for patients receiving ICS at baseline, or EOS
18
19 measured during the first year of treatment, for patients who were randomised to receive ICS. The
20
21 ISOLDE investigators recorded in detail medications that participants received before and during the
22
23 study period. These included the study medications (ICS or placebo), during the study period, but also
24
25 the use of ICS before recruitment and the use of oral corticosteroids before or during the study period.
26
27 Therefore, we are confident that the selected EOS values satisfy the above criteria. While we accepted
28
29 EOS values measured during the first year of follow-up for our main analysis, aiming to increase our
30
31 study sample, we conducted sensitivity analyses where we only used blood EOS measurements
32
33 captured at baseline (before and after the run-in period).
34
35
36

37 We used mixed effect model repeated measures (MMRM) for analysing the impact of ICS
38
39 administration on the rate of decline in post-bronchodilator FEV₁, focusing on the three-way interaction
40
41 of treatment, time and EOS (or EOS change) on FEV₁. In a sensitivity analysis, we only used FEV₁
42
43 values measured between the 3rd and 36th months of treatment, to account for the increase that the
44
45 course of prednisolone and initiation of inhaled treatment conferred to the mean FEV₁. Since ICS are
46
47 currently not indicated as a monotherapy for COPD, in a subgroup analysis we only included
48
49 participants concurrently receiving a long-acting beta₂-agonist (LABA), to test whether our results are
50
51 generalizable to these patients.
52
53
54

55 For exacerbations, we used a Cox proportional hazards model to explore time-to-first exacerbation
56
57 and a generalised linear model assuming a negative binomial distribution, with the number of on-
58
59 treatment moderate and severe exacerbations per patient per year as the response variable. The
60

1
2 proportional hazard assumption was assessed based on the Kaplan-Meier curves and the Schoenfeld
3
4 residuals.

5
6
7 Finally, for health status, we assessed changes in Saint George Respiratory Questionnaire (SGRQ)
8
9 total scores, which range from 0 to 100, with higher scores indicating more limitations²⁶. We used
10
11 MMRM to assess the three-way interaction of treatment, EOS and time on SGRQ.
12

13
14 We considered age, sex, ICS use prior to treatment, smoking status and baseline FEV₁ as covariates
15
16 in all analyses. We only included patients who underwent randomisation and received at least one study
17
18 drug dose. We only included measurements taken while participants were receiving study treatments.
19
20 In each analysis, patients who did not have the required EOS measurements (EOS change, EOS while
21
22 not on corticosteroids or EOS while on ICS) were excluded.
23
24

25
26 Statistical analyses were performed using R statistical software, version 3.4.3 (R Foundation for
27
28 Statistical Computing, Vienna, Austria).
29
30

31 32 **Results**

33
34
35 The design and main results of the ISOLDE trial, which randomized 751 patients to receive either
36
37 fluticasone propionate (376 participants) or placebo (375) were previously reported (figure 1)²⁵. In brief,
38
39 there was no significant between-group difference in the baseline characteristics of the participants.
40
41 ICS did not affect the annual rate of decline in FEV₁ but reduced exacerbations by 25% and improved
42
43 health status, evaluated by SGRQ.
44
45

46
47 As a result of the analysis plan of this post-hoc study, different patient numbers were available for the
48
49 different analyses. Details on the number of evaluable participants for each analysis are summarised
50
51 in table 1. The degree of change in EOS values after the initiation of ICS therapy is summarised in
52
53 figure 2.
54

55 56 Decline in FEV₁

57
58
59
60

1
2 Change in EOS following initiation of ICS treatment (suppression or rise) strongly predicted ICS
3 treatment response on post-bronchodilator FEV₁ decline as outcome ($p < 0.0001$, figure 3). Compared
4 to placebo, ICS administration did not appear to have any impact on FEV₁ decline rate in cases where
5 EOS remained unchanged. ICS decelerated FEV₁ decline in cases of EOS suppression and
6 accelerated FEV₁ decline in cases of EOS rise. Characteristically, in patients experiencing an EOS
7 suppression of ≥ 200 EOS/ μ L, ICS administration decelerated the mean annual FEV₁ decline by
8 37mL/year, while in those experiencing EOS rise of ≥ 200 EOS/ μ L, ICS treatment accelerated the mean
9 FEV₁ decline by 32mL/year, favouring placebo.

10
11 Higher EOS while not on corticosteroids was associated with a significantly greater ICS treatment
12 efficacy ($p = 0.005$, figure 4). Compared to placebo, ICS decelerated the mean annual rate of post-
13 bronchodilator FEV₁ decline by 0, 7, 15, 21 and 28 mL/year for patients with 0, 100, 200, 300 and 400
14 EOS/ μ L, respectively. In contrast, high EOS following initiation of ICS treatment was associated with
15 lower subsequent efficacy of ICS on FEV₁ decline. Patients with EOS while on ICS ≥ 200 EOS/ μ L
16 receiving ICS experienced an accelerated FEV₁ decline compared to placebo ($p = 0.004$, figure 5).

17
18 These results remained robust in sensitivity analyses where we (i) excluded FEV₁ measurements at
19 baseline and up to three months after randomization or (ii) only included baseline EOS measurements
20 (see online appendix).

21
22 In a subgroup analysis where we evaluated only participants concurrently receiving LABA ($n = 51$,
23 8.8% of the participants), higher EOS suppression and higher EOS while not receiving ICS were
24 associated with response to ICS (see online appendix).

25 Exacerbations

26
27 Change in EOS following initiation of ICS and EOS while not receiving ICS did not predict response to
28 ICS with regards to time to first exacerbation. EOS while on ICS appeared predictive ($p < 0.01$): HRs for
29 ICS versus placebo were 0.66 [0.39 to 1.12] and 0.90 [0.73 to 1.10] for participants with EOS while on
30 ICS ≥ 200 and < 200 EOS/ μ L, respectively. Kaplan-Meier curves and the Schoenfeld residuals confirmed
31 that the proportional hazard assumption was met.

1
2 In contrast, EOS change was strongly associated with ICS response with regards to exacerbations
3 frequency ($p < 0.0001$). Subjects with EOS suppression of 200 EOS/ μ L, unchanged EOS or EOS rise
4 by 200 EOS/ μ L experienced a 33% decrease, no impact and an 80% increase in their exacerbation
5 frequency with ICS compared to placebo, respectively (figure 6). The results remained robust in
6 sensitivity analyses (see online appendix). Neither EOS while not receiving corticosteroids nor EOS
7 while on ICS were predictive of the impact of ICS administration on exacerbations frequency.
8
9

10 Health status

11
12 Overall, we observed a progressive decline in subjects' health status over time. This decline was
13 delayed by the administration of ICS among participants with higher EOS while not receiving ICS
14 ($p < 0.01$, figure 7). In the duration of the study, ICS limited the mean SGRQ score decline by -0.9, 1.6,
15 4.5, 6.6 and 9.1 units among subjects with EOS while not receiving ICS of 0, 100, 200, 300 and 400
16 EOS/ μ L, respectively. Neither change in EOS after initiating ICS nor EOS while on ICS predicted
17 treatment efficacy of ICS, with regards to SGRQ. The findings were similar in a sensitivity analysis
18 where we only included EOS values measured at baseline (see online supplement).
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34

35 **Discussion**

36
37 In an exploratory post-hoc analysis, we evaluated blood EOS as a responsive, therapeutic biomarker
38 to guide the administration of ICS in COPD. In the ISOLDE population, EOS change (increase or
39 decrease) in response to ICS administration was predictive of clinical response to ICS with regards to
40 FEV₁ decline and exacerbations frequency. While the use of EOS to guide ICS administration has been
41 evaluated in post-hoc or pre-specified analyses of several RCTs⁷⁻¹⁷, this is the first study to test EOS
42 change as a therapeutic biomarker.
43
44
45
46
47
48
49
50
51

52 An increase in EOS after ICS therapy revealed a vulnerable patient group, where treatment with ICS
53 was inferior to placebo. Having a prevalence of 20% within the ISOLDE trial study population, this
54 cluster requires further evaluation. The decreased therapeutic index of ICS in this group could be
55 explained by the fact that these patients who do not gain any benefit from ICS still bear their
56 immunosuppressive burden. As a result, they are at higher risk of recurrent acute respiratory infections,
57
58
59
60

1
2 such as infective exacerbations of their COPD or pneumonias, which result in accelerated FEV₁ decline.

3
4 The mechanism leading to EOS increase in response to ICS is unclear.

5
6
7 A differential treatment response to ICS among patients with COPD has been shown in studies
8
9 evaluating clinical outcomes⁷⁻¹⁷, but also in a subanalysis of the GLUCOLD study evaluating the impact
10
11 of ICS on airway gene expression²⁷. Airway genes that were consistently upregulated or downregulated
12
13 after the initiation of ICS (or ICS+LABA) versus placebo in steroid naïve patients were identified.
14
15 Responders identified through the impact of ICS on their genes, also had a better clinical response with
16
17 regards to FEV₁ and SGRQ at 30 months but this not automatically imply an effect mediated through
18
19 eosinophils.

20
21
22 In the ISOLDE trial, EOS as a biomarker to guide ICS administration has been previously tested in a
23
24 post-hoc analysis by Barnes and colleagues⁸. They used EOS measured at the end of the run-in period,
25
26 while patients were not receiving ICS. However, they did not exclude a significant proportion of the
27
28 participants who developed exacerbations during the run-in period and received oral corticosteroids,
29
30 which are known to suppress EOS. Inclusion of these participants, whose EOS were suppressed
31
32 because of the administration of oral corticosteroids, could have introduced confounding. Here, using
33
34 detailed prescription data, which were available for all participants before and during the ISOLDE study,
35
36 we captured EOS measurements while patients were not receiving any corticosteroids for at least eight
37
38 weeks and while they were receiving ICS for at least eight weeks. In our analysis, only higher EOS
39
40 measured while patients were not receiving any corticosteroids (and not EOS while on ICS) could
41
42 predict clinical response to ICS with regards to pulmonary function and health status. This is the first
43
44 study to test the impact of ICS administration on the ability of EOS to predict ICS response.

45
46
47
48
49 ISOLDE was the first large trial to evaluate exacerbations as a secondary outcome. At the time,
50
51 standardization in the definition and severity grading was lacking. The methodology used to capture
52
53 exacerbations in the ISOLDE trial might also have been suboptimal. More importantly, exacerbations
54
55 history prior to recruitment was not used as an inclusion criterion and was not documented. As a result,
56
57 the study population was heterogeneous in terms of exacerbations history, likely reducing statistical
58
59 power on this outcome. Despite these limitations, stratification of the participants by decrease versus
60

1
2 rise in EOS in response to ICS administration was revealing. ICS administration resulted in an 80%
3
4 increase in the frequency of exacerbations in patients with an EOS rise of 200 EOS/ μ L and in a 33%
5
6 decrease in those with an EOS suppression of -200 EOS/ μ L.
7
8

9 In contrast to the majority of the newer trials, ISOLDE was not enriched in exacerbations. Less than
10
11 half of the participants experienced an exacerbation during the first year of the study. This may be the
12
13 cause of our inconsistent results regarding different effects on exacerbations and health status. In
14
15 subsequent clinical trials, which have all been enriched by exacerbating patients, there seems to be a
16
17 clearer link between reduction of exacerbations and improvement in health status.
18
19

20
21 Blood eosinophil depletion following therapy with the interleukin-5 receptor antagonists mepolizumab
22
23 and benralizumab was not associated with treatment response to these medications. However, the
24
25 impact of interleukin-5 receptor antagonists versus ICS on blood eosinophils differ mechanistically, as
26
27 does their impact on other inflammatory cascades. This may be the cause of the difference in the clinical
28
29 results. We use EOS change as a therapeutic biomarker and we do not suggest that there is a direct
30
31 immunopathologic link between EOS levels and COPD symptoms or prognosis.
32
33

34 This hypothesis-generating study has important limitations and our findings will need to be
35
36 prospectively validated in future randomized controlled trials. Firstly, this was a retrospective post-hoc
37
38 analysis and therefore exploratory in nature. More importantly, whereas high dose fluticasone
39
40 propionate was used in the ISOLDE trial, low-to-medium dose ICS are currently recommended for
41
42 patients experiencing exacerbations despite treatment with long-acting bronchodilator(s)¹; however,
43
44 none of the ISOLDE participants were receiving a LAMA and only 51 evaluable subjects received a
45
46 LABA for at least six months. Even though our findings remained robust in a subgroup analysis of
47
48 subjects concurrently receiving LABA, our findings need to be tested in populations already receiving
49
50 dual long-acting bronchodilator therapy. In addition, most participants in the ISOLDE trial received a
51
52 two-weeks course of oral corticosteroids after randomization, which is not used anymore in usual care.
53
54 To minimize the impact that this course of corticosteroids may have had on our findings, we conducted
55
56 a sensitivity analysis where we excluded FEV₁ measurements during the first three months from
57
58 recruitment (including baseline values). Our results were proved robust to this analysis.
59
60

1
2 There were also limitations with regards to the availability of data on blood eosinophils. EOS
3 measurements while patients were, or were not, receiving corticosteroids were not specifically sought
4 by the ISOLDE investigators and were not available for all participants. As a result, some subjects were
5 excluded from the analyses due to missing data. We report the number of eligible participants included
6 in each analysis and the study samples supporting our main findings range between 334-672 subjects.
7
8 In addition, EOS while on ICS was measured one year after start of treatment with ICS versus placebo
9 in some participants. Therefore, in the ISOLDE population, suppression of EOS by ICS could only be
10 determined after one year of treatment. This is not optimal for predicting therapeutic response in clinical
11 practice but more frequent measurements were not available for all participants. In a sensitivity analysis
12 where we only included baseline EOS measurements (before and after the run-in period), EOS values
13 measured 6 weeks apart were used to estimate EOS change (see online appendix). Results of this
14 analysis were consistent with the main analysis, suggesting that EOS measurements over a shorter
15 period might be used in clinical practice, subsequent to validation of our findings.
16
17
18
19
20
21
22
23
24
25
26
27
28
29

30 Our findings are also limited by the fact that EOS values were recorded as multiples of 100 EOS/mL,
31 which is less accurate than other studies.
32
33
34

35 Major strengths of our study include the strict design of the ISOLDE trial and our statistical
36 methodology. The ISOLDE trial compared ICS versus placebo using a double-blind, double-dummy
37 design, while most other trials evaluated an ICS/LABA combination versus a LAMA or LABA/LAMA
38 combination. Moreover, the three-year duration of the ISOLDE trial provided longitudinal data required
39 for evaluating lung function decline.
40
41
42
43
44
45
46

47 In conclusion, our study suggests that the relationship between blood EOS and the administration of
48 ICS may be more complex than it has been anticipated until now. EOS change after ICS therapy may
49 predict long-term clinical response in COPD. In addition, our findings indicate that in the interpretation
50 of EOS values, clinicians may need to take into consideration whether patients were receiving ICS at
51 the time of EOS measurement. However, in view of the limitations of the ISOLDE's trial design, our
52 findings cannot be generalized until further data from prospective, studies become available, hopefully
53 from studies recruited from usual clinical practice.
54
55
56
57
58
59
60

1
2
3
4
5 **Figure captions**
6
7

8 **Figure 1.** The ISOLDE trial patient flow diagram.
9

10
11 **Figure 2.** Distribution of EOS change after the administration of ICS therapy.
12

13
14 **Figure 3.** Mean changes from baseline in FEV₁ in patients receiving fluticasone or placebo according
15 to their **EOS** change: a) Suppressed by 300 EOS/ μ L, b) Suppressed by 100 EOS/ μ L, c) Unchanged,
16 d) Raised by 100 EOS/ μ L and e) Raised by 300 EOS/ μ L. Estimates are derived from the MMRM model.
17
18
19

20
21 **Figure 4.** Mean changes from baseline in FEV₁ in patients receiving fluticasone or placebo according
22 to their **EOS while not receiving corticosteroids**: a) 0 EOS/ μ L, b) 100 EOS/ μ L, c) 200 EOS/ μ L, d)
23 300 EOS/ μ L and e) 400 EOS/ μ L. Estimates are derived from the MMRM model.
24
25
26
27

28
29 **Figure 5.** Mean changes from baseline in FEV₁ in patients receiving fluticasone or placebo according
30 to their **EOS while on ICS**: a) 0 EOS/ μ L, b) 100 EOS/ μ L, c) 200 EOS/ μ L, d) 300 EOS/ μ L and e) 400
31 EOS/ μ L. Estimates are derived from the MMRM model.
32
33
34

35
36 **Figure 6.** Relative risk of exacerbations of patients receiving ICS versus placebo, by EOS change.
37 Estimates are derived from the generalised linear model.
38
39

40
41 **Figure 7.** Mean changes from baseline in SGRQ in patients receiving fluticasone or placebo according
42 to their **EOS while not receiving corticosteroids** level: a) 0 EOS/ μ L, b) 100 EOS/ μ L, c) 200 EOS/ μ L,
43 d) 300 EOS/ μ L and e) 400 EOS/ μ L. Estimates are derived from the MMRM model.
44
45
46
47
48
49
50

51 **Table**
52
53

	EOS change	EOS while not receiving any steroids	EOS while on inhaled corticosteroids
FEV₁ change over time:	390 (3998)	672 (6399)	435 (4447)

number of subjects (number of evaluable FEV ₁ measurements)			
Time to first exacerbation	384	650	427
Exacerbations frequency	390	672	436
Health status	334	547	371

Table 1: Number of participants with available data that could be used in each analysis . For FEV₁ decline over time as outcome, the total number of evaluable FEV₁ measurements is also presented.

References

- 1 Vogelmeier CF, Criner GJ, Martinez FJ, Anzueto A, Barnes PJ, Bourbeau J, Celli BR, Chen R, Decramer M, Fabbri LM, Frith P, Halpin DM, López Varela MV, Nishimura M, Roche N, Rodriguez-Roisin R, Sin DD, Singh D, Stockley R, Vestbo J, Wedzicha JA, Agusti A. Global Strategy for the Diagnosis, Management, and Prevention of Chronic Obstructive Lung Disease 2017 Report: GOLD Executive Summary. *Eur Respir J*. 2017 Mar 6;49(3). pii: 1700214.
- 2 Agusti A, Bel E, Thomas M, Vogelmeier C, Brusselle G, Holgate S, Humbert M, Jones P, Gibson PG, Vestbo J, Beasley R, Pavord ID. Treatable traits: toward precision medicine of chronic airway diseases. *Eur Respir J*. 2016 Feb;47(2):410-9.
- 3 Crim C, Calverley PM, Anderson JA, Celli B, Ferguson GT, Jenkins C, Jones PW, Willits LR, Yates JC, Vestbo J. Pneumonia risk in COPD patients receiving inhaled corticosteroids alone or in combination: TORCH study results. *Eur Respir J*. 2009 Sep;34(3):641-7.
- 4 Kew KM, Seniukovich A. Inhaled steroids and risk of pneumonia for chronic obstructive pulmonary disease. *Cochrane Database Syst Rev*. 2014 Mar 10;(3):CD010115.
- 5 Brightling CE, McKenna S, Hargadon B, Birring S, Green R, Siva R, Berry M, Parker D, Monteiro W, Pavord ID, Bradding P. Sputum eosinophilia and the short term response to inhaled mometasone in chronic obstructive pulmonary disease. *Thorax*. 2005 Mar;60(3):193-8.
- 6 Barnes PJ, Burney PG, Silverman EK, Celli BR, Vestbo J, Wedzicha JA, Wouters EF. Chronic obstructive pulmonary disease. *Nat Rev Dis Primers*. 2015;1:15076.
- 7 Hartjes FJ, Vonk JM, Faiz A, Hiemstra PS, Lapperre TS, Kerstjens HAM, Postma DS, van den Berge M; and the Groningen and Leiden Universities Corticosteroids in Obstructive Lung Disease (GLUCOLD) Study Group. Predictive value of eosinophils and neutrophils on clinical effects of ICS in COPD. *Respirology*. 2018 Apr 26. doi: 10.1111/resp.13312. [Epub ahead of print]
- 8 Barnes NC, Sharma R, Lettis S, Calverley PM. Blood eosinophils as a marker of response to inhaled corticosteroids in COPD. *Eur Respir J*. 2016 May;47(5):1374-82.

-
- 1
2
3
4⁹ Pavord ID, Lettis S, Locantore N, Pascoe S, Jones PW, Wedzicha JA, Barnes NC. Blood eosinophils
5 and inhaled corticosteroid/long-acting β -2 agonist efficacy in COPD. *Thorax*. 2016 Feb;71(2):118-25.
6
7
8¹⁰ Siddiqui SH, Guasconi A, Vestbo J, Jones P, Agusti A, Paggiaro P, Wedzicha JA, Singh D. Blood
9 Eosinophils: A Biomarker of Response to Extrafine Beclomethasone/ Formoterol in Chronic Obstructive
10 Pulmonary Disease. *Am J Respir Crit Care Med*. 2015 Aug 15;192(4):523-5.
11
12
13
14
15¹¹ Pascoe S, Locantore N, Dransfield MT, Barnes NC, Pavord ID. Blood eosinophil counts,
16 exacerbations, and response to the addition of inhaled fluticasone furoate to vilanterol in patients with
17 chronic obstructive pulmonary disease: a secondary analysis of data from two parallel randomised
18 controlled trials. *Lancet Respir Med*. 2015 Jun;3(6):435-42.
19
20
21
22
23
24¹² Watz H, Tetzlaff K, Wouters EF, Kirsten A, Magnussen H, Rodriguez-Roisin R, Vogelmeier C, Fabbri
25 LM, Chanez P, Dahl R, Disse B, Finnigan H, Calverley PM. Blood eosinophil count and exacerbations
26 in severe chronic obstructive pulmonary disease after withdrawal of inhaled corticosteroids: a post-hoc
27 analysis of the WISDOM trial. *Lancet Respir Med*. 2016 May;4(5):390-8.
28
29
30
31
32
33¹³ Bafadhel M, Peterson S, De Blas MA, Calverley PM, Rennard SI, Richter K, Fagerås M. Predictors of
34 exacerbation risk and response to budesonide in patients with chronic obstructive pulmonary disease:
35 a post-hoc analysis of three randomised trials. *Lancet Respir Med*. 2018 Feb;6(2):117-126.
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60¹⁴ Roche N, Chapman KR, Vogelmeier CF, Herth FJF, Thach C, Fogel R, Olsson P, Patalano F, Banerji
D, Wedzicha JA. Blood Eosinophils and Response to Maintenance Chronic Obstructive Pulmonary
Disease Treatment. Data from the FLAME Trial. *Am J Respir Crit Care Med*. 2017 May 1;195(9):1189-
1197.

¹⁵ Lipson DA, Barnhart F, Brealey N, Brooks J, Criner GJ, Day NC, Dransfield MT, Halpin DMG, Han
MK, Jones CE, Kilbride S, Lange P, Lomas DA, Martinez FJ, Singh D, Tabberer M, Wise RA, Pascoe
SJ; IMPACT Investigators. Once-Daily Single-Inhaler Triple versus Dual Therapy in Patients with
COPD. *N Engl J Med*. 2018 May 3;378(18):1671-1680.

¹⁶ Papi A, Vestbo J, Fabbri L, Corradi M, Prunier H, Cohuet G, Guasconi A, Montagna I, Vezzoli S,
Petruzzelli S, Scuri M, Roche N, Singh D. Extrafine inhaled triple therapy versus dual bronchodilator

- 1
2
3 therapy in chronic obstructive pulmonary disease (TRIBUTE): a double-blind, parallel group,
4 randomised controlled trial. *Lancet*. 2018 Mar 17;391(10125):1076-1084.
5
6
7
8 ¹⁷ Vestbo J, Papi A, Corradi M, Blazhko V, Montagna I, Francisco C, Cohuet G, Vezzoli S, Scuri M,
9 Singh D. Single inhaler extrafine triple therapy versus long-acting muscarinic antagonist therapy for
10 chronic obstructive pulmonary disease (TRINITY): a double-blind, parallel group, randomised controlled
11 trial. *Lancet*. 2017 May 13;389(10082):1919-1929.
12
13
14
15
16
17 ¹⁸ Singh D, Agusti A, Anzueto A, Barnes PJ, Bourbeau J, Celli BR, Criner GJ, Frith P, Halpin DMG, Han
18 M, López Varela MV, Martínez F, Montes de Oca M, Papi A, Pavord ID, Roche N, Sin DD, Stockley R,
19 Vestbo J, Wedzicha JA, Vogelmeier C. Global Strategy for the Diagnosis, Management, and Prevention
20 of Chronic Obstructive Lung Disease: The GOLD Science Committee Report 2019. *Eur Respir J*. 2019
21 Mar 7. pii: 1900164.
22
23
24
25
26
27 ¹⁹ Lim S, Jatakanon A, John M, Gilbey T, O'connor BJ, Chung KF, Barnes PJ. Effect of inhaled
28 budesonide on lung function and airway inflammation. Assessment by various inflammatory markers in
29 mild asthma. *Am J Respir Crit Care Med*. 1999 Jan;159(1):22-30.
30
31
32
33
34
35 ²⁰ Djukanović R, Wilson JW, Britten KM, Wilson SJ, Walls AF, Roche WR, Howarth PH, Holgate ST.
36 Effect of an inhaled corticosteroid on airway inflammation and symptoms in asthma. *Am Rev Respir*
37 *Dis*. 1992 Mar;145(3):669-74.
38
39
40
41
42 ²¹ Green RH, Brightling CE, McKenna S, Hargadon B, Parker D, Bradding P, Wardlaw AJ, Pavord ID.
43 Asthma exacerbations and sputum eosinophil counts: a randomised controlled trial. *Lancet*. 2002 Nov
44 30;360(9347):1715-21.
45
46
47
48
49 ²² Claman DM, Boushey HA, Liu J, Wong H, Fahy JV. Analysis of induced sputum to examine the
50 effects of prednisone on airway inflammation in asthmatic subjects. *J Allergy Clin Immunol*. 1994
51 Nov;94(5):861-9.
52
53
54
55 ²³ Brightling CE, Monteiro W, Ward R, Parker D, Morgan MD, Wardlaw AJ, Pavord ID. Sputum
56 eosinophilia and short-term response to prednisolone in chronic obstructive pulmonary disease: a
57 randomised controlled trial. *Lancet*. 2000 Oct 28;356(9240):1480-5.
58
59
60

1
2
3
4 24 Siva R, Green RH, Brightling CE, Shelley M, Hargadon B, McKenna S, Monteiro W, Berry M, Parker
5
6 D, Wardlaw AJ, Pavord ID. Eosinophilic airway inflammation and exacerbations of COPD: a randomised
7
8 controlled trial. *Eur Respir J*. 2007 May;29(5):906-13.

9
10 25 Burge PS, Calverley PMA, Jones PW, Spencer S, Anderson JA, Maslen TK on behalf of the ISOLDE
11
12 study Investigators. Randomised, double blind, placebo controlled study of fluticasone propionate in
13
14 patients with moderate to severe chronic obstructive pulmonary disease: the ISOLDE trial. *BMJ* 2000;
15
16 320: 1297–1303.

17
18 26 Jones PW, Quirk FH, Baveystock CM, Littlejohns P. A self-complete measure of health status for
19
20 chronic airflow limitation. The St George's respiratory questionnaire. *Am Rev Respir Dis* 1992; 145:
21
22 1321–1327.

23
24 27 van den Berge M, Steiling K, Timens W, Hiemstra PS, Sterk PJ, Heijink IH, Liu G, Alekseyev YO,
25
26 Lenburg ME, Spira A, Postma DS. Airway gene expression in COPD is dynamic with inhaled
27
28 corticosteroid treatment and reflects biological pathways associated with disease activity. *Thorax*. 2014
29
30 Jan;69(1):14-23.

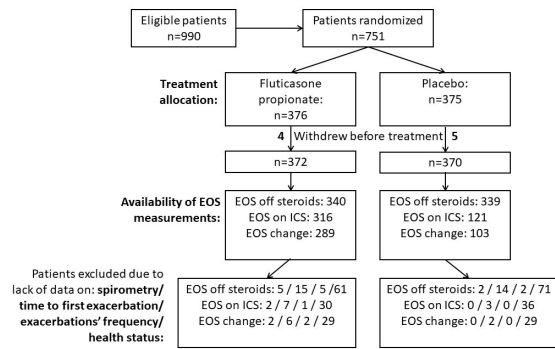


Figure 1. The ISOLDE trial patient flow diagram.

338x190mm (96 x 96 DPI)

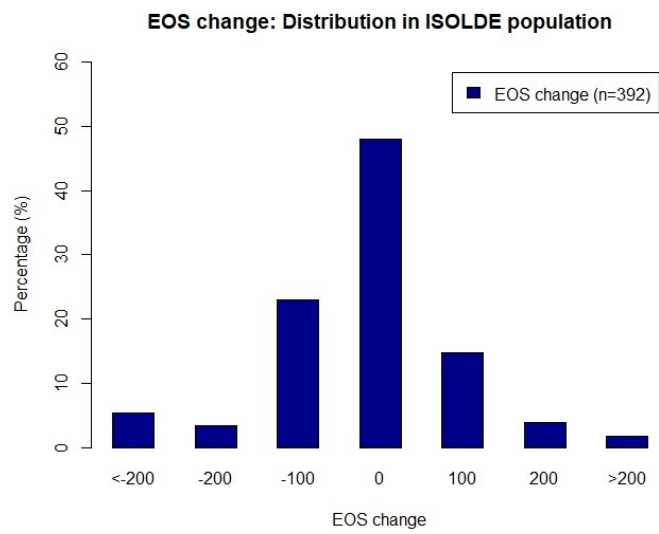


Figure 2. Distribution of EOS change after the administration of ICS therapy.

175x143mm (96 x 96 DPI)

33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

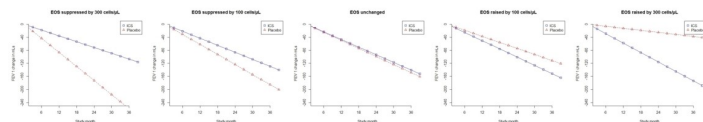


Figure 3. Mean changes from baseline in FEV1 in patients receiving fluticasone or placebo according to their EOS change: a) Suppressed by 300 EOS/ μ L, b) Suppressed by 100 EOS/ μ L, c) Unchanged, d) Raised by 100 EOS/ μ L and e) Raised by 300 EOS/ μ L. Estimates are derived from the MMRM model.

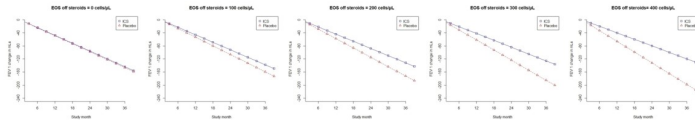


Figure 4. Mean changes from baseline in FEV1 in patients receiving fluticasone or placebo according to their EOS while not receiving corticosteroids: a) 0 EOS/ μ L, b) 100 EOS/ μ L, c) 200 EOS/ μ L, d) 300 EOS/ μ L and e) 400 EOS/ μ L. Estimates are derived from the MMRM model.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

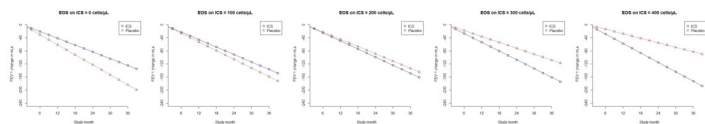


Figure 5. Mean changes from baseline in FEV1 in patients receiving fluticasone or placebo according to their EOS while on ICS: a) 0 EOS/ μ L, b) 100 EOS/ μ L, c) 200 EOS/ μ L, d) 300 EOS/ μ L and e) 400 EOS/ μ L. Estimates are derived from the MMRM model.

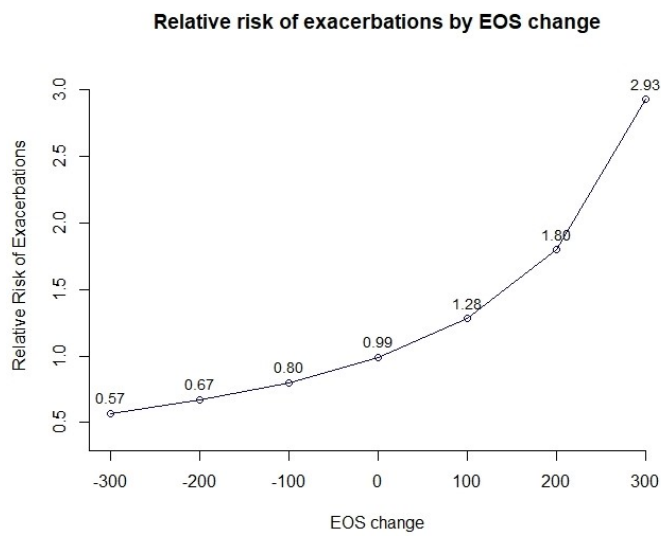


Figure 6. Relative risk of exacerbations of patients receiving ICS versus placebo, by EOS change. Estimates are derived from the generalised linear model.

175x143mm (96 x 96 DPI)

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

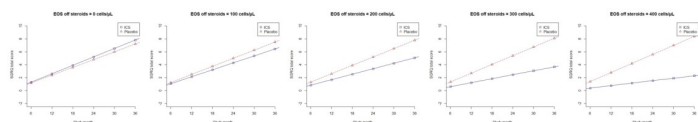


Figure 7. Mean changes from baseline in SGRQ in patients receiving fluticasone or placebo according to their EOS while not receiving corticosteroids level: a) 0 EOS/μL, b) 100 EOS/μL, c) 200 EOS/μL, d) 300 EOS/μL and e) 400 EOS/μL. Estimates are derived from the MMRM model.

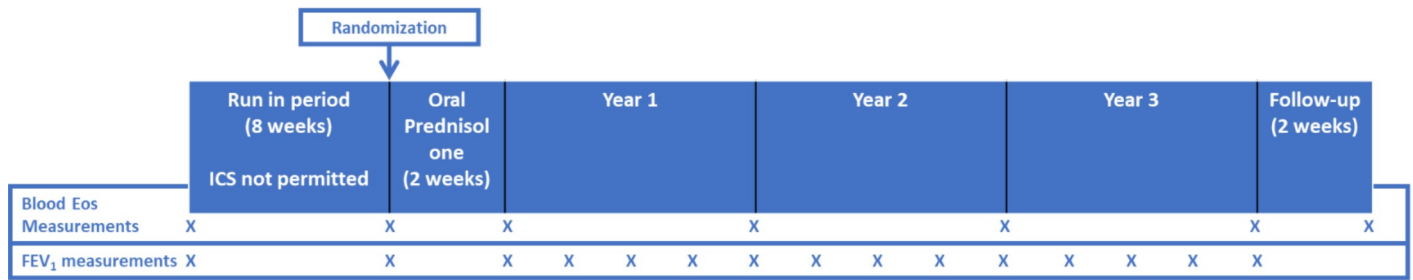
Supplementary Material: Change in blood eosinophils following treatment with inhaled corticosteroids may predict long-term clinical response in COPD.

Alexander G. Mathioudakis, Andras Bikov, Philip Foden, Lies Lahousse, Guy Brusselle, Dave Singh, Jørgen Vestbo

Contents

1	Blood EOS measurements during the ISOLDE trial	2
2	Impact of ICS administration on blood eosinophil count (EOS)	3
3	Distribution of blood EOS values in the ISOLDE population.....	6
4	Decline in FEV ₁ : Sensitivity analysis excluding all FEV ₁ measurements within 3 months from randomization.....	7
5	Decline in FEV ₁ : Sensitivity analysis including only baseline EOS measurements (before and after the run-in period).....	11
6	Decline in FEV ₁ : Subgroup analysis of participants who were concurrently receiving LABA. ..	14
7	Decline in FEV ₁ : Subgroup analysis of patients with blood EOS on ICS (i) >200 cells/mL, (ii) ≥200 cells/mL	18
8	Decline in FEV ₁ : Subgroup analysis of patients with blood EOS change (i) >0 cells/mL, (ii) ≥0 cells/mL	19
9	Exacerbations frequency: Sensitivity analysis including only baseline EOS measurements (before and after the run-in period).....	21
10	Exacerbations frequency: Subgroup analysis of patients with blood EOS change (i) >0 cells/mL, (ii) ≤0 cells/mL	22
11	Health status: Sensitivity analysis including only baseline EOS measurements (before and after the run-in period).....	23

1 Blood EOS measurements during the ISOLDE trial



Supplementary figure 1. Blood EOS measurements during the ISOLDE trial

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46

2 Impact of ICS administration on blood eosinophil count (EOS)

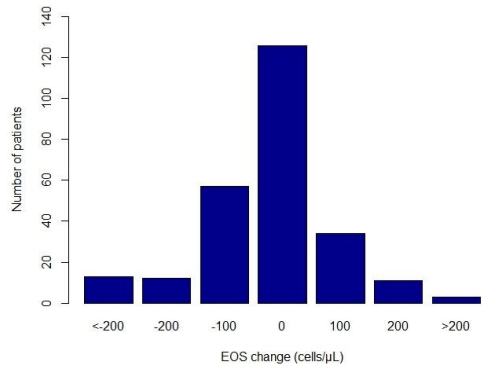
To assess whether the administration of ICS suppresses blood EOS, we compared EOS while patients were not receiving steroids for at least 8 weeks (EOS off steroids) with EOS while patients were receiving ICS (EOS on ICS), the latter measured after the first year of treatment. As a control, we performed the same comparison among participants who were randomised to receive placebo, where both EOS measurements were off steroids.

We analysed all ISOLDE participants except for those who discontinued study treatment before the selected timepoints, those who did not have their EOS measured at the assessed time-points, and those who received any steroids during the eight-week period preceding an off-steroids measurement.

Shapiro-Wilk test revealed an excessively right-skewed distribution of EOS, that logarithmic transformation, using the natural logarithm, failed to normalise. Consequently, we used Wilcoxon Signed-rank test to compare paired EOS. We also evaluated the impact of ICS on EOS using mixed effect model repeated measures (MMRM) methodology, accounting for other covariates including sex, ICS use prior to recruitment, smoking history and baseline FEV₁.

ICS administration significantly suppressed EOS count at 1 year, compared to EOS at baseline (off steroids, n= 256, Wilcoxon W= 37424, p= 0.0025, mean change 20cells/ μ L). As a result, 41 (60.3%) of the 68 subjects who had EOS off steroids \geq 200 cells/ μ L, had EOS <200 cells/ μ L while they were receiving ICS. On the contrary, in the placebo arm there was no significant difference in EOS at year 1, compared to baseline (both measurements were off steroids, n= 226, W= 27506, p= 0.1338). In both groups, we observed a significant variability in EOS values (supplementary figures 2-3). After ICS administration, we found unchanged, decreased and increased EOS in 48.2%, 31.5% and 20.3% of the participants, respectively. MMRM failed to detect a significant impact of ICS versus placebo on EOS. Previous studies have showed a degree of random variability in EOS counts over time. This was also observed in our study. Visually, the pattern of eosinophil change following initiation of ICS does not differ significantly compared to the random variability in EOS counts over time. However, the strong correlation of EOS change following treatment with ICS with the clinical outcomes suggests that the impact of ICS on EOS count is much stronger, compared to the random variability. When testing EOS changes in prospective studies, it would worth taking into consideration

1
2 several EOS values while patients are receiving and while patients are not receiving ICS, as this
3
4 may increase the accuracy of these biomarkers. However, in our analyses a single EOS
5
6 measurement while patients were not receiving any steroids and a single measurement while on ICS
7
8 could accurately predict clinical outcomes.
9



31
32 **Supplementary figure 2.** Change in EOS from baseline while patients were not receiving any
33
34 steroids (EOS off steroids) to the first year of treatment, among patients randomized to receive ICS
35
36 (EOS on ICS).
37
38
39
40

