

1 *Journal of Clinical Microbiology Mini Review*

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4 **Cryptococcal Meningitis Diagnostics and Screening in the Era of Point-of-Care Laboratory**
5 **Testing**

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27 Word count: 2485

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30 **Abstract**

31 Over the past ten years, standard diagnostics for cryptococcal meningitis in HIV-infected persons
32 have evolved from culture, to India ink, to detection of cryptococcal antigen (CrAg) with the
33 recent development and distribution of a point-of-care lateral flow assay. This assay is highly
34 sensitive and specific in cerebrospinal fluid (CSF), but is also sensitive in the blood to detect
35 CrAg prior to meningitis symptoms. CrAg screening in HIV-infected persons in the blood prior
36 to development of fulminant meningitis, and preemptive treatment for CrAg-positive persons is
37 recommended by the World Health Organization and many national HIV guidelines. Thus, CrAg
38 testing is occurring more widely, especially in resource-limited laboratory settings. CrAg titer
39 predicts meningitis and death, and could be used in the future to customize therapy according to
40 burden of infection.

41

42 ***Introduction***

43 Globally cryptococcal meningitis causes 15% of AIDS-related deaths, with an estimated
44 181,100 deaths annually.(1) HIV-infected persons with advanced HIV disease are at highest risk
45 of infection. While efforts are underway to increase access to antiretroviral therapy (ART)
46 globally, persons who do not start, or who default ART remain at risk of cryptococcal
47 meningitis.(2) The majority of cases of cryptococcal meningitis occur in sub-Saharan Africa
48 where diagnostic facilities, access to optimal antifungal medications, and access to intensive
49 hospital-based treatments are limited.(3) Thus, 6-month mortality from cryptococcal meningitis
50 in hospital settings, despite standard of care antifungal therapy, ranges from 40 to 60% in
51 resource-limited settings.(2, 4) Over the last 10 years, drastic improvements in the development
52 and distribution of a point-of-care lateral flow assay (LFA) for cryptococcal antigen (CrAg) has
53 dramatically improved prevention efforts and diagnosis of this lethal infection.

54

55 ***Diagnostics for cryptococcal meningitis***

56 Diagnosis of cryptococcal meningitis requires CSF culture, India ink or CrAg testing.

57 ***Culture***

58 CSF culture is considered the gold standard for diagnosis of cryptococcal meningitis.
59 Unfortunately, diagnosis can take days, up to 1-2 weeks for definitive results. Thus, other
60 diagnostic methods have been used to expedite diagnosis and treatment. In research settings,
61 quantitative CSF cultures have been utilized which provide valuable clinical information.(5)
62 These CSF quantitative cultures are easily performed in any microbiology laboratory. The simple
63 technique uses 100 μ L input volume of CSF with five 1:10 serial dilutions in water, plating on
64 Sabouraud dextrose agar, and then quantitative culture counting on the plate with the least
65 growth.(5) Increasing quantitative culture burden is a risk factor for 2-week mortality with a
66 ~40% increase in odds of mortality per \log_{10} CFU/mL CSF increase in *Cryptococcus* growth.(6)
67 The change in quantitative culture growth with subsequent serial lumbar punctures gives
68 clinicians valuable feedback on the rate of CSF *Cryptococcus* clearance, and when the CSF is
69 likely to be sterile.

70 *India ink*

71 India ink microscopy has historically been a quick, low-resource method to detect
72 *Cryptococcus* in the CSF.(7) The stain fills the background field, but is not taken up by the thick
73 *Cryptococcus* capsule, forming a halo of light by which it can be visualized using a light
74 microscope. While simple, and readily accessible in resource-limited settings, where the burden
75 of cryptococcal infection is the greatest, unfortunately sensitivity is low, at 86% in expert hands,
76 meaning 1 in 7 diagnoses are missed by India ink microscopy.(8) For persons presenting early in
77 disease process with lower burden of infections, India ink's sensitivity is only 42% when the
78 CSF *Cryptococcus* colony forming units (CFUs) <1000 per mL of CSF.(8) CSF centrifugation
79 can likely increase the sensitivity of microscopy; however, microscopy is less sensitive than
80 testing for CrAg.(8)

81 *Cryptococcal Antigen*

82 CrAg can be identified using latex agglutination, which historically has a sensitivity and
83 specificity of >99% in blood and CSF.(8) More recent comparisons have reported sensitivities
84 of 97-98% and specificities of 86-100% dependent on the specific manufacturer.(8) Results can
85 be qualitative, or semi-quantitative with titers by 1:2 serial dilution. The latex agglutination
86 detects polysaccharide antigens of the *Cryptococcus* capsule, but the process of performing the
87 test requires testing in a laboratory environment, thus skilled laboratory workers, steady
88 electricity, heat inactivation, cold-chain shipping, and refrigeration of reagents.(9) While feasible
89 in high-income country laboratories, these requirements are frequently prohibitive where the
90 majority of cryptococcal infection occurs. The major expense of the test in high-income country
91 is laboratory labor. In low-income countries, the major expense is cold chain shipping and
92 storage. Thus, clinicians historically have relied on India ink for diagnosis in low-income
93 countries, despite the lower sensitivity. Overall, CrAg latex agglutination is now an archaic test
94 as latex agglutination is more expensive, more labor intensive, less sensitive, less specific, and
95 requires cold-chain shipping/storage. (8)

96 The CrAg lateral flow assay (LFA), approved by the US Food and Drug Administration
97 (FDA) in 2011 (Immy, Norman, OK), is an immunochromatographic dipstick assay that also
98 detects antigen with qualitative or semi-quantitative results.(9) If CrAg is present in the drop of
99 serum, plasma, or CSF sample, it will bind to the gold-conjugated, anti-cryptococcal antibodies
100 on the test strip to cause a visible line. This FDA approved point-of-care dipstick test has
101 changed the diagnostic landscape of cryptococcal meningitis, as it does not require laboratory
102 infrastructure. Semi-skilled healthcare workers without laboratory training can perform this test
103 in clinics, or at the patient bedside. No refrigeration is required, and results are available after 10
104 minutes. Conversely, laboratory based CrAg testing using the latex agglutination takes
105 approximately 5 hours from test ordering to availability of results in high-income settings.(8)

106 In a large validation study in South Africa and Uganda, 832 HIV-infected persons
107 underwent diagnostic testing for cryptococcal meningitis. The CrAg LFA performed best, with a
108 sensitivity of 99.3% and specificity of 99.1% for CSF (**Figure 1**). CrAg testing by either latex
109 agglutination or LFA was more sensitive than CSF culture, which has historically been
110 considered the gold standard for diagnosis. Importantly the LFA identified 6 additional persons
111 with cryptococcal meningitis that were not detected by any other means.(8)

112 In 2018, there are five manufacturers of CrAg LFAs. The first CrAg LFA by Immy is
113 FDA-approved, (European Conformity) CE-marked in Europe, and has been used among
114 hundreds of thousands of persons globally with large multi-site validation studies. Three other
115 CrAg LFAs have more limited validation data and none are FDA-approved. Second is the
116 Biosynex CryptoPS (Biosynex, Paris, France), which is CE-marked in Europe. This CryptoPS is
117 also marketed by Bio-Rad (Hercules, California, USA). To date, a single site validation study has
118 been performed testing 186 serum/plasma and 23 CSF samples from Cameroon.(10) In
119 comparison to the FDA-approved Immy CrAg LFA, the CryptoPS LFA had 78% (11/14)
120 sensitivity in serum, 92% (11/12) in plasma, and 100% (4 of 4) in CSF.(10) Specificity was
121 excellent (100%) in all sample types.(10) In the initial validation study, among serum specimens
122 positive by Immy CrAg LFA with Immy titers <1:100, only 2 of 5 were positive by Biosynex
123 CrAg, missing titers positive at 1:10 and 1:20 dilution using the Immy LFA.(10) More
124 validation is needed among serum specimens with low titers and among CSF specimens.

125 A third test is the StrongStep CrAg (Liming Bio, China). In a two-site validation study in
126 Uganda, 143 CSF and 167 plasma samples were tested in comparison to the Immy CrAg LFA
127 and CSF culture.(11) The StrongStep performed well in CSF with 100% (101/101) sensitivity
128 and 98% (41/42) specificity.(11) However, the specificity was only 90% (101/112) in
129 plasma.(11), with 98% sensitivity (54/55). The limited specificity of plasma in the setting of
130 CrAg screening, and 9% CrAg prevalence rate equates to a positive predictive value of only
131 50%.(11) This test appears to be highly sensitive; however, there are substantial challenges with
132 specificity.(11)

133 Two other manufactured tests exist. The Dynamiker CrAg LFA and FungiXpert
134 Cryptococcal Capsular Polysaccharide K-Set are manufactured in China. No published
135 validation studies exist for either test, although Dynamiker has clinical validation studies
136 ongoing in 2018. Neither test is approved in Europe or the United States.

137 *CrAg testing in the blood to detect meningitis*

138 Management of cryptococcal meningitis is complex and resource-intensive. Specifically,
139 persons with cryptococcal meningitis frequently have elevated intracranial pressure, caused by
140 the large polysaccharide capsule of the cryptococcal organism plugging the arachnoid villa, and
141 obstructing CSF outflow.(12) This elevated intracranial pressure is associated with increased
142 mortality.(13) Therapeutic lumbar punctures are therefore needed to release this pressure and
143 reduce mortality in persons with cryptococcal meningitis.(14, 15) In resource-limited settings,
144 where access to lumbar punctures is difficult, combining the diagnostic lumbar puncture with the
145 first therapeutic lumbar puncture would streamline care.(16) Thus, using peripheral blood for a
146 rapid point-of-care diagnosis of cryptococcal meningitis, allows clinicians to remove large
147 amounts of CSF and reduce intracranial pressure with the first lumbar puncture, as well as
148 confirming the diagnosis.

149 Performance of the CrAg LFA as a fingerstick point-of-care test has been evaluated in
150 this context.(16) Specifically, for those with suspected meningitis, a point-of-care fingerstick
151 CrAg LFA was performed prior to lumbar puncture and compared to subsequent plasma and
152 CSF CrAg LFA. The positive predictive value of fingerstick LFA for the detection of CrAg in
153 the blood was 100%, and 93% for cryptococcal meningitis (**Figure 2**). Those (7%) that had a
154 positive fingerstick but negative CSF CrAg were found to have serum/plasma CrAg positive;
155 thus these weren't false positives, but actual cryptococcal infection the blood. Fingerstick had
156 100% concordance with serum or plasma CrAg results, and 100% negative predictive value for
157 excluding cryptococcal meningitis. Fingerstick CrAg testing does have limitations in
158 asymptomatic populations with low fungal burdens, where false negatives can occur in
159 comparison to serum or plasma testing.(17) Pipetting fingerstick whole blood onto the LFA
160 improves diagnostic performance over direct application of blood to the CrAg LFA sample
161 pad.(17)

162 *Other Diagnostics*

163 Other available diagnostics in high-income country settings include PCR. The
164 FilmArray® Meningitis/Encephalitis panel (Biofire, Salt Lake City, Utah) is a multiplex PCR
165 assay that detects 14 meningitis-causing pathogens (bacteria, viruses, and fungi), including
166 *Cryptococcus*. FilmArray PCR detected *Cryptococcus* in 96% (95%CI, 83%-99%) of CSF when
167 there were >100 *Cryptococcus* colony forming units per mL of CSF, and specificity was
168 100%.(18) The expense of multiplex-PCR does not make this an ideal cryptococcal-assay, but
169 the multiplex component does make this very nice as an overall meningitis assay for common

170 causes of community-acquired meningitis. As well, matrix-assisted laser desorption/ionization
171 time-of-flight mass spectrometry (MALDI-TOF) has also been reported to detect *Cryptococcus*
172 in clinical specimens.(19)

173

174 *CrAg-based screening for cryptococcal meningitis*

175 Early Cryptococcal Meningitis

176 The majority of persons with cryptococcal meningitis present with signs and symptoms
177 of meningitis (headache, neck stiffness, fever), and are found to have positive CSF CrAg with
178 diagnostic lumbar punctures and peripheral blood CrAg through fingerstick or venipuncture.
179 However, there is a population with symptoms of meningitis, who are negative for CSF CrAg
180 and negative by CSF culture but CrAg positive in the blood.(20) In one cohort in Uganda, this
181 represented 4.3% of those HIV-infected with suspected meningitis. This population (blood CrAg
182 positive, symptoms of meningitis, but CSF CrAg negative) was presumed to have early
183 cryptococcal CNS infection, and in-hospital mortality was 39%, which was similar to in-hospital
184 mortality of those with fulminant cryptococcal meningitis (32%). Larger studies are needed to
185 further characterize the natural history of this population.

186 *Cryptococcal Antigen Screening*

187 CrAg is detectable in blood weeks to months before onset of meningitis symptoms.(21)
188 Prevalence of asymptomatic cryptococcal antigenemia varies from 1% to 15% among HIV-
189 infected persons with advanced HIV disease.(1, 22) In high-income countries the average
190 prevalence of asymptomatic CrAg is 2.6%.(1) Asymptomatic CrAg positivity is an independent
191 predictor of meningitis and death.(21, 23) Preemptively treating those with cryptococcal
192 antigenemia with high dose fluconazole before symptoms of meningitis develop prevents
193 mortality. This has been evaluated most rigorously in a randomized controlled trial of 2000
194 persons with advanced HIV disease in sub Saharan Africa, that demonstrated a 28% survival
195 benefit with CrAg screening and preemptive treatment, alongside adherence support (24). Given
196 this clear survival benefit, the World Health Organization and numerous national HIV guidelines
197 now recommend CrAg screening those with advanced HIV disease, and preemptively treating
198 those CrAg+ with high dose fluconazole (25).

199 *CrAg Titer*

200 Both latex agglutination and CrAg LFA can be semi-quantified using titers. CrAg LFA
201 titers are performing by the same serial dilution, and the titer is the last positive test before the
202 dilution turns negative. Titers across LFA manufacturers are not comparable, and even the titer
203 between Immy latex-agglutination and Immy LFA; the median difference was 2.5-fold (IQR,
204 1.25 to 5-fold) higher with the CrAg LFA.(8) This difference in titer confirms the better

205 analytical sensitivity of the CrAg LFA over latex agglutination, but the titer difference is
206 variable.

207 CrAg titer is predictive of meningitis and death.(26-29) Plasma CrAg titers $\leq 1:80$ by
208 Immy CrAg have an exceedingly low probability of meningitis. (26, 27) As serum or plasma
209 CrAg titers rise from 1:160 to 1:320 to 1:640, the probability of CSF involvement becomes
210 increasingly probable.(27) CrAg titers of $\geq 1:1280$ have near universal central nervous system
211 (CNS) involvement.(26, 27) The Biosynex CrAg LFA provides a semi-quantification with both
212 a low and high titer test lines. The high CrAg titer band equates to approximately 1:1024 Immy
213 CrAg LFA titer.

214 There have been four published cohorts of asymptomatic CrAg+ persons investigating
215 CrAg titer versus outcome, each relatively small.(26-29) We combined these cohorts to
216 summarize the effect of CrAg titer on survival when preemptive fluconazole monotherapy is
217 given to CrAg-positive persons. Of 415 records, 287 had plasma CrAg titers measured at time of
218 starting fluconazole. Survival was measured for those with low CrAg titers ($< 1:160$), medium
219 titers (1:160 to 1:2560), and high titers ($> 1:2560$). Survival decreased as the plasma CrAg titer
220 increased (Log-rank $P < 0.0001$) (**Figure 3**). Among asymptomatic CrAg+ persons, CrAg titers
221 $\geq 1:160$ are associated with increased mortality despite receiving fluconazole preemptive
222 therapy.(28, 29)

223 *How to screen for CrAg*

224 There are two possible methods for implementing CrAg screening into laboratories. The
225 first is reflexive laboratory testing. With this method, those with a CD4 cell count result ≤ 100
226 cells/ μL would routinely have a CrAg performed on the remaining plasma specimen from the
227 CD4 test. Thus, the ordering provider would not be responsible for initiating this test, but testing
228 would occur via a laboratory protocol. The result would be presented with the CD4 lab test result
229 with a brief explanation of what to do if the CrAg result is positive.

230 The alternative is to depend on healthcare providers to order a CrAg test when a CD4 lab
231 value returns ≤ 100 cells/ μL . While a seemingly simple task, in settings that are already
232 overburdened and understaffed, asking providers to remember to order this lab test has proved
233 challenging. In one South African evaluation, only 27% of eligible persons were CrAg screened
234 using a provider-initiated approach (30). Conversely, with reflex laboratory testing $> 95\%$ of
235 eligible persons were screened (30). Additionally, by having a provider order the test, there is a
236 delay in testing. A new CrAg positive result in an untreated patient is a critical laboratory result,
237 requiring urgent action to prevent progression to meningitis and death.

238 The difficulties with laboratory based screening are that there is often a delay between
239 receipt of the lab result and bringing the patient back to the clinic for results and potential
240 treatment. Conversely with provider initiated screening, there is less uptake of initial screening,
241 but if done in the clinic room with the patient, the results are potentially available in 10 minutes,

242 and the patient can initiate therapy immediately, if needed. In the United States, CrAg testing is
243 not a U.S. Clinical Laboratory Improvement Amendments (CLIA)-waived test, so provider
244 point-of-care testing would not be allowable. In most high prevalence settings where CrAg
245 screening occurs, a reflexive laboratory-based approach has been adopted. South Africa
246 performs reflexive CrAg screening at national laboratories where CD4 testing is performed.

247

248 *Future Implications & Conclusions*

249 Given the importance of CrAg titer in predicting meningitis and/or death, CrAg titer will
250 likely be used in the future to customize therapy both for prevention and treatment of
251 cryptococcal meningitis. For example, if someone is found to be asymptomatic with a low CrAg
252 titer (<1:160), they could be treated with fluconazole preemptive therapy, per current standard of
253 care. However, given the high mortality despite high dose fluconazole in asymptomatic persons
254 with a high CrAg titer, more intensive therapies should be evaluated to improve survival. Such
255 therapies may include liposomal amphotericin and/or flucytosine. It is also possible that in those
256 with fulminant meningitis, those with high titers may benefit from longer duration of therapy
257 compared to those with low titers. Thus, titer will likely play a significant role in the
258 management of cryptococcal infection, both in low-income areas and in the high-income
259 settings. Infectious Diseases Society of America (IDSA) guidelines currently recommend 4
260 weeks of amphotericin for those with cryptococcal meningitis without HIV infection. This
261 recommendation is based on no empiric data. Evaluation of how to shorten duration of therapy
262 based on burden of infection (i.e. titer or quantitative culture) would be novel, and would spare
263 patients exposure to toxic antifungal therapy.

264 In the last 20 years, cryptococcal testing has progressed from culture, which takes days
265 for results, thereby clinically unhelpful with initial diagnosis, to India Ink, which is technically
266 easy and quick, but with poor sensitivity, to a highly sensitive and specific point-of-care lateral
267 flow assay that can be done at the patient bedside for rapid diagnosis. This evolution has greatly
268 improved meningitis diagnosis and expedited initiation of effective treatment. Furthermore, the
269 cost of \$2.50 to \$3.00 for the Immy CrAg LFA in resource-limited settings has made screening
270 for cryptococcal infection a cost-effective strategy to prevent meningitis and death. (9, 31) CrAg
271 titer predicts meningitis and death. Future areas of research include evaluation of customized
272 therapy according to titer in persons with cryptococcal infection. The evolution of cryptococcal
273 diagnostics highlights the enormous impact of point-of-care diagnostics in enhancing medical
274 care and public health programs.

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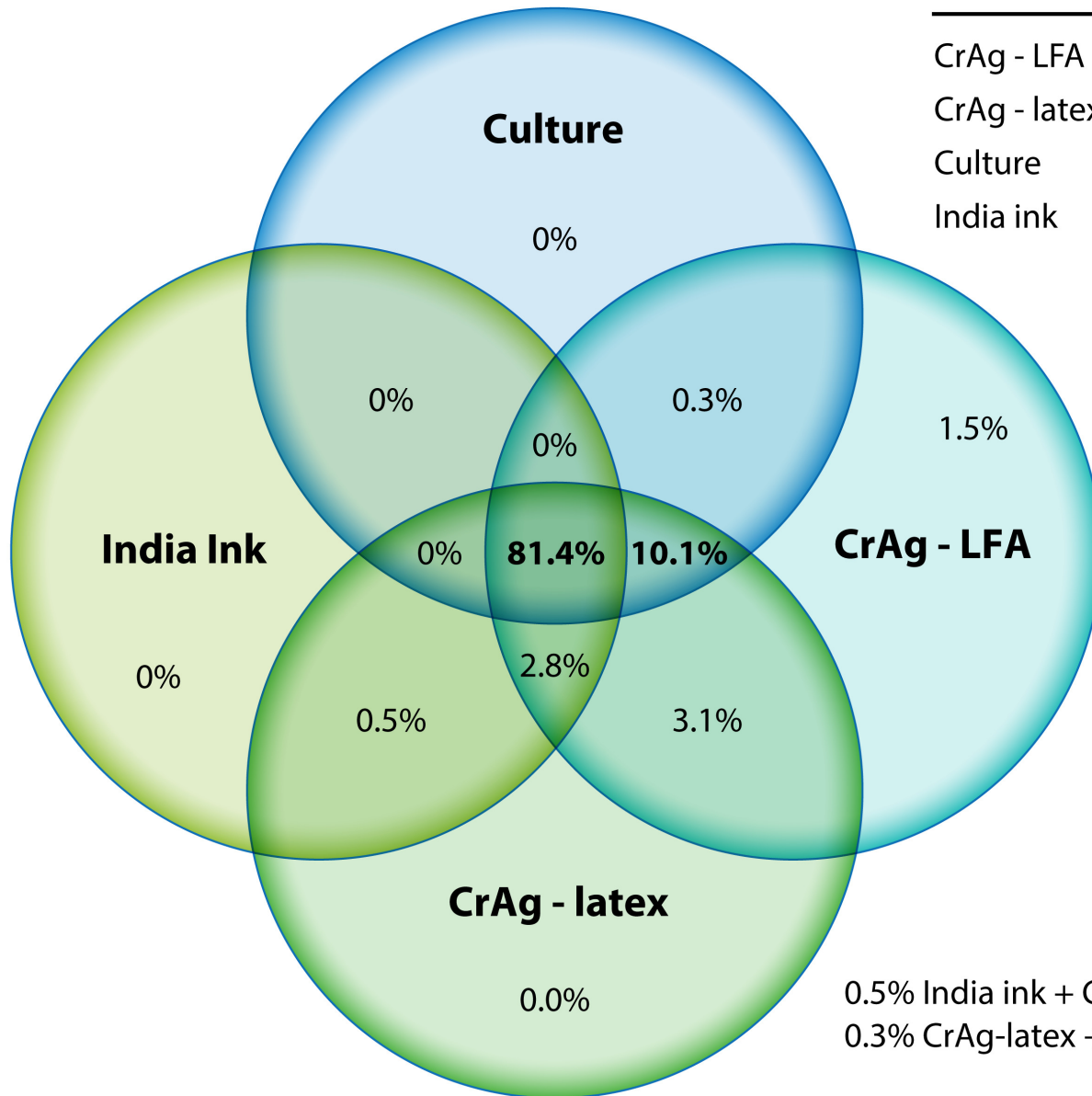
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- 1 **Figure 1:** Venn Diagram of Distribution of CSF Diagnostic Testing in Uganda and South Africa
2 during 2006-2012 (n=832).(8)
- 3
- 4 **Figure 2.** Venn Diagram of the Distribution of Positivity by Blood CrAg, CSF CrAg, and CSF
5 Culture (16).
- 6
- 7 **Figure 3.** Survival by CrAg titer in 287 Asymptomatic HIV-infected Persons with Cryptococcal
8 Antigenemia in four cohorts in Ethiopia, South Africa, Tanzania, and Uganda.(26, 27, 29, 32)
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0.5% India ink + CrAg LFA
0.3% CrAg-latex + Culture

Sensitivity

CrAg - LFA	99.3%
CrAg - latex	97.0%
Culture	94.2%
India ink	86.1%

