

1 **Experimental Characterization of Thermal-Hydraulic Performance of a Microchannel**
2 **Heat Exchanger for Waste Heat Recovery**

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13 **Abstract**

14 Given size and performance advantages, microchannel heat exchangers are becoming increasingly
15 important for various energy recovery and conversion processes. In this study, detailed experimental
16 measurements were conducted to characterize flow and heat transfer performance of a microchannel heat
17 recovery unit (HRU) manufactured using standard photochemical etching and diffusion bonding
18 processes. According to the global flow and temperature measurement, the HRU has delivered the
19 predicted thermal performance under various oil and air flow rates. As expected, the heat transfer
20 effectiveness varies between 88% and 98% for a given air and oil flow rates while it increases with air
21 inlet temperature due to the improved thermal conductivity. However, significant flow mal distribution is
22 identified among the air channels according to the in-depth flow distribution measurement using hot wire.
23 The flow measurement also indicates visible misalignment of the air channels caused by the
24 manufacturing processes. In addition, the excessive pressure drops occurred for both air and oil channels
25 indicating reduced flow areas due to the photochemical etching process. The results of this experimental
26 study can hopefully provide insights in improving designs of microchannel heat exchangers using the
27 same manufacturing processes.

28
29 **Keywords:**

30 Microchannel heat exchanger, experimental characterization, thermal-hydraulic, flow distribution, organic
31 Rankine cycle, waste heat recovery,
32

33 **Nomenclature**

34 Variables:

35 A *Cross-sectional area of an air channel*

36	D_h	<i>Hydraulic diameter</i>
37	\dot{m}	<i>Mass flow rate</i>
38	N	<i>Total number of air channels</i>
39	Re	<i>Reynolds number</i>
40	t	<i>Student's t distribution</i>
41	T	<i>Temperature</i>
42	u	<i>Error (uncertainty analysis)</i>
43	V	<i>Velocity</i>
44	ρ	<i>Density</i>
45	μ	<i>Viscosity</i>
46	ε	<i>Heat transfer effectiveness</i>

47 Subscripts:

48	<i>Air</i>	<i>the air side</i>
49	<i>bias</i>	<i>Bias error</i>
50	<i>c</i>	<i>the cold side of the fluid</i>
51	<i>h</i>	<i>the hot side of the fluid</i>
52	<i>Oil</i>	<i>the oil side</i>
53	<i>pres</i>	<i>Precision error</i>

54 Acronyms:

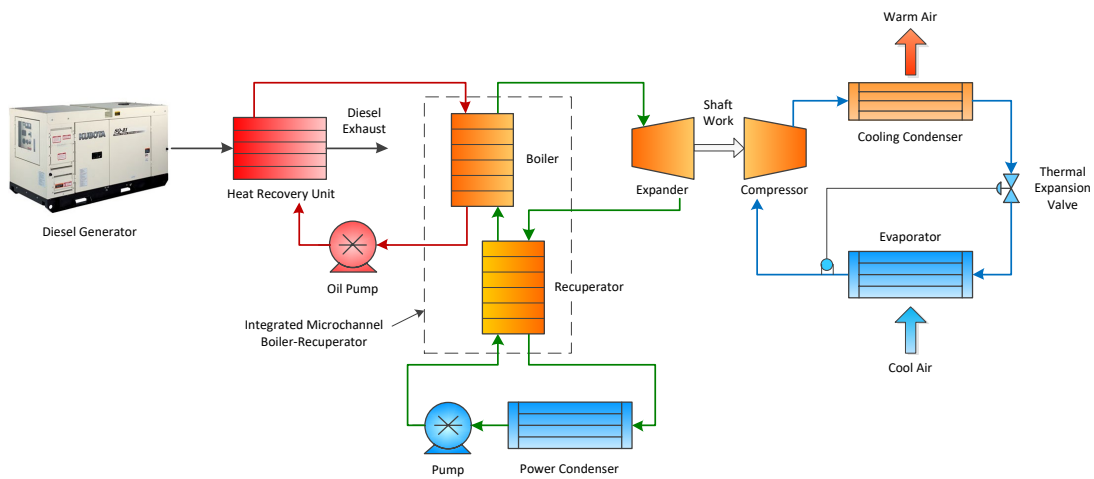
55	<i>COP</i>	<i>Coefficient of Performance</i>
56	<i>HRU</i>	<i>Heat recovery unit</i>
57	<i>ORC</i>	<i>Organic Rankine cycle</i>
58	<i>TEG</i>	<i>Thermoelectric generation</i>

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61 **1. Introduction**

62 In the spirit of improving energy efficiency and mitigate climate change due to greenhouse gases
63 emissions, waste heat recovery has been attracted significant research in recent years [1]–[4]. In general,
64 there are three levels of waste heat that are loosely defined as: low grade, with temperatures less than 200
65 °C; medium grade, with temperatures greater than 200 °C and less than about 600 °C; and high grade,
66 with temperatures greater than 600 °C [5]. Among various technologies for waste heat recovery, organic
67 Rankine cycle (ORC) has been widely used for low-to-medium grade waste heat recovery systems and
68 geothermal power plants [6], [7]. The technology has also been increasingly used for engine waste heat
69 recovery [8]–[11]. A technical and economic analyses of using ORC for waste heat recovery from internal
70 combustion engines is conducted [12]. For waste heat recovery of large stationary gaseous fuel internal
71 combustion engines with rated power of 1 MW, both steam Rankine cycle and ORCs have been

72 considered and shown respective pros and cons according to the technical and economic analyses [13].
 73 For mobile applications with unsteady waste heat, dynamic simulation and control strategies need to be
 74 considered over various dynamic driving cycles [11], [14], [15]. Recently, an interesting waste heat
 75 recovery system using ORC is proposed for automotive engines in order to account for various dynamic
 76 driving situations [16]. It includes exhaust gas recirculation and thermal energy storage using metal
 77 blocks. According to the numerical simulation, the design significantly improves the operating stability
 78 and the overall efficiency of the ORC system. While improving system designs and performance, more
 79 and more studies have also been focused on design optimization of the ORC system for various objective
 80 functions [9], [17].



81
 82 **Figure 1. Waste heat-to-cooling system integrating an ORC with a vapor compression cycle**

83 While most of the studies related to organic Rankine cycle for waste heat recovery have been
 84 focusing on converting the thermal energy to power, it is often desirable to convert waste heat to cooling
 85 directly for various cooling applications. An example of waste heat-to-cooling system utilizing ORC is
 86 shown in Figure 1. The system combines an ORC with a vapor-compression cycle to meet the cooling
 87 needs directly [18]. It is designed to produce cooling from the exhaust of a diesel engine. The waste heat
 88 recovery process starts at the diesel generator. Exhaust gases from the diesel engine pass through the
 89 Heat Recovery Unit and provide energy to the heat transfer oil. The oil is pumped through the boiler of

90 the organic Rankine cycle, energizing the working fluid before it enters the expander. In this system, the
91 expander is directly coupled to the compressor of a vapor-compression cycle to produce conditioned air.

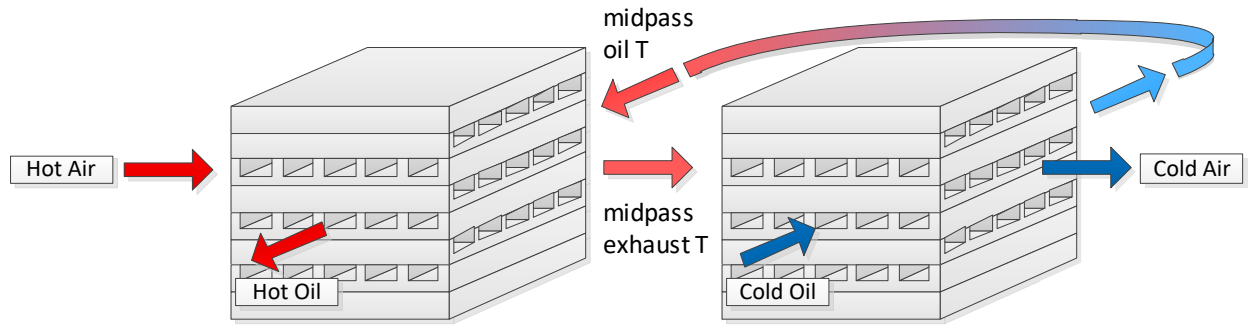
92 As critical components, heat exchangers are crucial to the success of any waste heat recovery and
93 energy conversion systems. With high surface area-to-volume ratio and increased heat transfer
94 coefficients associated with short diffusion lengths, microchannel heat exchangers have become
95 increasingly attractive for various energy conversion and management systems [19]–[22]. This often
96 translates to considerably smaller package sizes with enhanced heat transfer [23]. In addition, the volume
97 of working fluid necessary for operation is less than that of conventional heat exchangers, which
98 potentially improves the safety for pressurized energy systems. With the ability to handle extremely high
99 temperatures and pressures, they are considered as the top candidates for supercritical CO₂ Brayton
100 cycles [24]. In addition to straight channel designs [25], [26], various fin designs have been proposed to
101 optimize thermal-hydraulic performance for supercritical CO₂ recuperators, including zigzag [27], [28],
102 S-shape [29], airfoil [30] and sinusoidal [24]. A recent review provides a good summary of recent
103 experiment and numerical studies in understanding the flow and heat transfer characteristics associated
104 with microchannel (printed circuit) heat exchangers [31].

105 While the studies provided valuable insights of flow and heat transfer within individual
106 microchannel designs, significant questions still remain. For example, many studies have been focused on
107 geometry design and numerical simulation for a single or only several channels. Thus, the results can be
108 difficult to apply to real microchannel heat exchangers with hundreds or even thousands of microchannels
109 due to potential flow maldistribution. According to a recent numerical and experimental study [32], flow
110 maldistribution had very significant impact on heat transfer effectiveness of the device. Without uniform
111 flow distribution in microchannel heat exchangers in a heat pump, a study shows up to 30% degradation
112 of its cooling capacity is identified [33]. In addition, little work has been focused on in-depth, physical
113 measurement of the actual flow and heat transfer performance of a sizeable, multi-channel and multi-layer
114 microchannel heat exchanger. In this study, global and local characterizations of flow and heat transfer
115 performance of a 10 kW-class microchannel prototype heat exchanger are performed. Specifically, it

116 conducted a unique flow distribution study of a microchannel heat exchanger made by the standard
117 photochemical etching and diffusion bonding processes. The work provided a useful measurement
118 scheme of using hot wire and a 3-axis traverse system, and a post-measurement analysis tool for
119 quantifying flow distribution among the microchannels. The results provide not only model validation,
120 but also valuable insights in improving designs of high-performance microchannel heat exchanger.

121 **2. Microchannel Heat Recovery Unit**

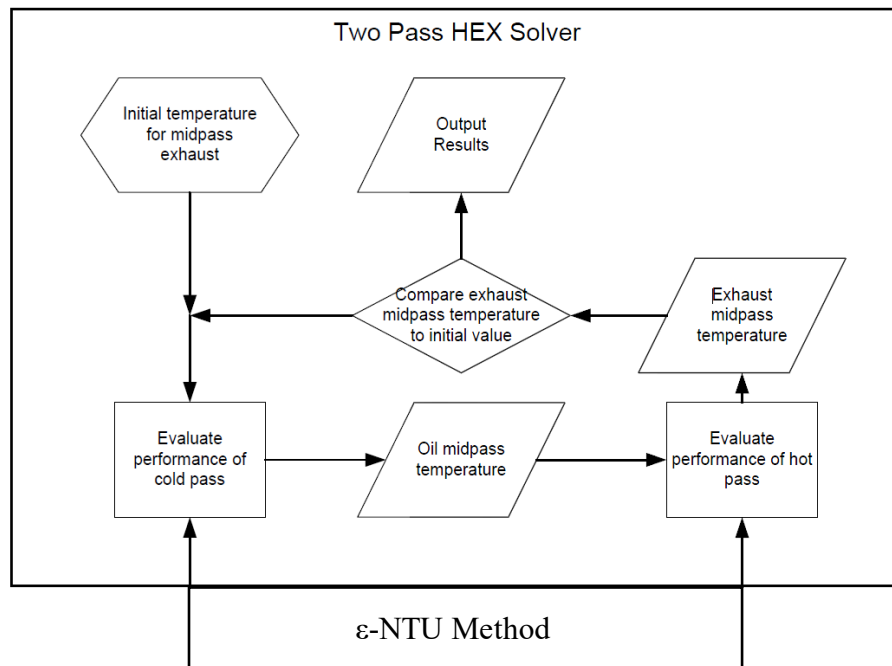
122 The microchannel Heat Recovery Unit (HRU) is a two-pass, cross-counter flow microchannel heat
123 exchanger. A schematic of this type of heat exchanger is shown in Figure 2. The Heat Recovery Unit was
124 designed to operate as an intermediary between the diesel generator and the organic Rankine cycle. As
125 such, there were physical and thermal considerations that influenced the design. Physically, the size of the
126 HRU was limited by the space available within the chassis of the diesel generator. Thermally, the HRU
127 had to work within the operating conditions of the diesel generator and organic Rankine cycle. Other
128 factors, such as soot deposition and pressure drop, were also considered in the design. Based on the
129 expected 5.3 kW cooling capacity of the vapor-compression cycle and an overall system COP of 0.5, the
130 Heat Recovery Unit was designed to recover approximate 10.6 kW of heat from the diesel engine. A
131 thermal model was developed in MATLAB to optimize the heat transfer and geometry of the HRU to
132 achieve this goal. Given the two-pass and cross-counter flow design, an iterative scheme was used to
133 determine the fluid temperatures between the passes. The model first assumes the intermediate exhaust
134 (air) temperature called midpass exhaust. The midpass oil temperature can be solved using ϵ -NTU method
135 for the cold pass (section with blue arrows in Fig. 2). With hot exhaust (air) inlet temperature and oil inlet
136 temperature (the calculated midpass oil temperature) known for the hot pass (section with red arrows in
137 Fig. 2), a new midpass exhaust temperature is determined using the ϵ -NTU method, which becomes the
138 updated input to the cold pass. Convergence is determined when the change in subsequent values of the
139 intermediate exhaust temperature are less than $1E-3$ °C. The solution algorithm of the thermal model is
140 shown in Fig. 3.



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Figure 2. Two-pass, cross-counter flow plate-fin heat exchanger



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Figure 3. Solution algorithm for the heat exchanger thermal model

145 The Heat Recovery Unit is a diffusion brazed device that consists of a stack of alternating stainless-
 146 steel shims. The overall dimensions of the HRU model are 210 mm in length, 145 mm in width, and 85
 147 mm in height. There are two different shim geometries in the Heat Recovery Unit. The first is the exhaust
 148 shim (also referred to as the air shim) and the second is the oil shim. Both shim types are designed with
 149 rectangular microchannels and are produced by photochemical etching. The exhaust channels are straight,
 150 with relatively wide cross-sectional area to reduce the back pressure on the engine and the potential of
 151 soot buildup from the diesel exhaust. Exhaust gases enter and leave through manifolds attached at the two
 152 ends of the heat exchanger. The oil shim contains two sections of channels that make up the two-pass

153 flow arrangement. Flow distribution veins help guide the oil from the inlet port to the channels of the first
 154 pass. After the oil has passed through the first set of channels, it enters a plenum where it can mix with
 155 the oil from other layers before going through the second pass. The mixing plenum exists to increase heat
 156 transfer.

157 The design values of channel dimensions for both shims are shown in Table 1, while the design
 158 conditions and thermal model results for the HRU are shown in Table 2. Figure 4 shows the pictures of
 159 the fabricated HRU and the exhaust and oil shims. The objective of this study is to experimentally
 160 characterize the thermal-hydraulic performance of the microchannel HRU fabricated using a standard
 161 photochemical etching and diffusion brazing processes. The results will then be used to validate and
 162 refine heat transfer and flow models associated with microchannel heat exchangers.

163 **Table 1. Channel dimensions and information of the shims**

	Exhaust Shim	Oil Shim
Number of Channels	23	35 (per pass)
Channel Length (mm)	210	60
Channel Width (mm)	2.00	1.50
Channel Depth (mm)	0.80	0.15
Shim Thickness (mm)	0.99	0.30
Number of Shims in the Bonded Device	46	45

166 **Table 2. Heat Recovery Unit design conditions and model results**

Input Parameters		Model Results	
Air Inlet Temp (°C)	488	Air Outlet Temp (°C)	118
Oil Inlet Temp (°C)	100	Oil Outlet Temp (°C)	200
Air Flow (kg/s)	0.030	Duty (kW)	11.6
Oil Flow (kg/s)	0.046	Effectiveness	0.95
		Air Pressure Drop (kPa)	2.0
		Oil Pressure Drop (kPa)	22.0

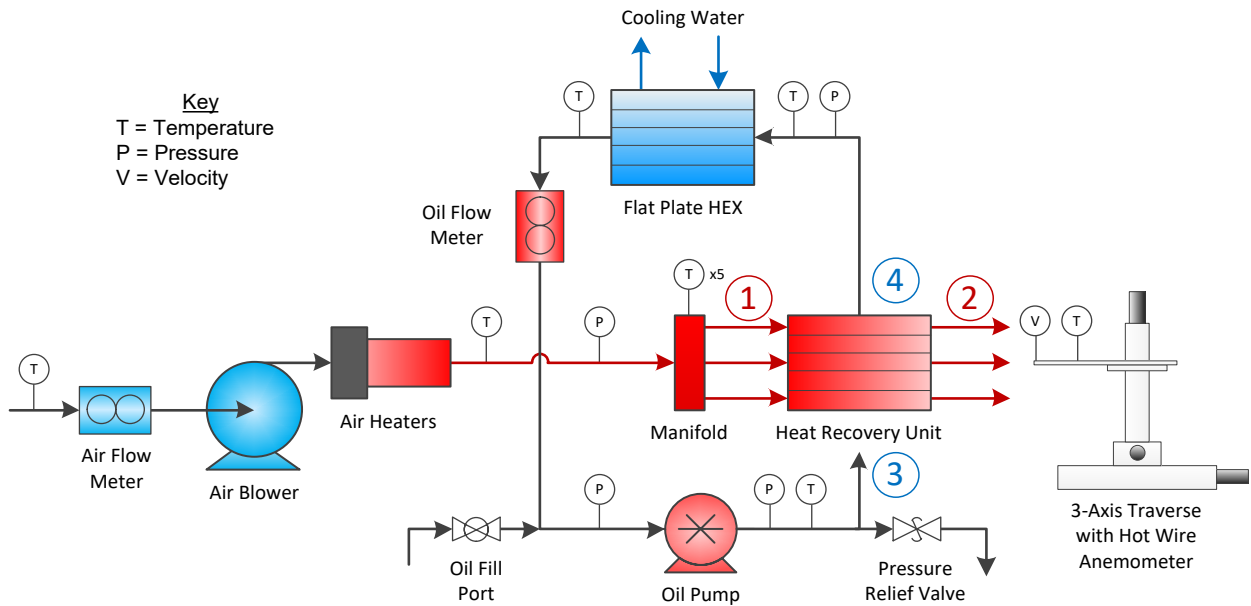
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172 **Figure 4. (left) A photograph of the HRU showing air and oil inlets; (middle) Exhaust shim; (right)**
173 **Oil shim**

174 **3. Experiment Setup and Analysis**

175 The thermal testing facility had the capacity to test the thermal performance and measure velocity
176 and temperature profiles of the Heat Recovery unit using hot air in place of diesel exhaust. A process and
177 instrumentation diagram for the thermal testing facility is presented in Figure 5.



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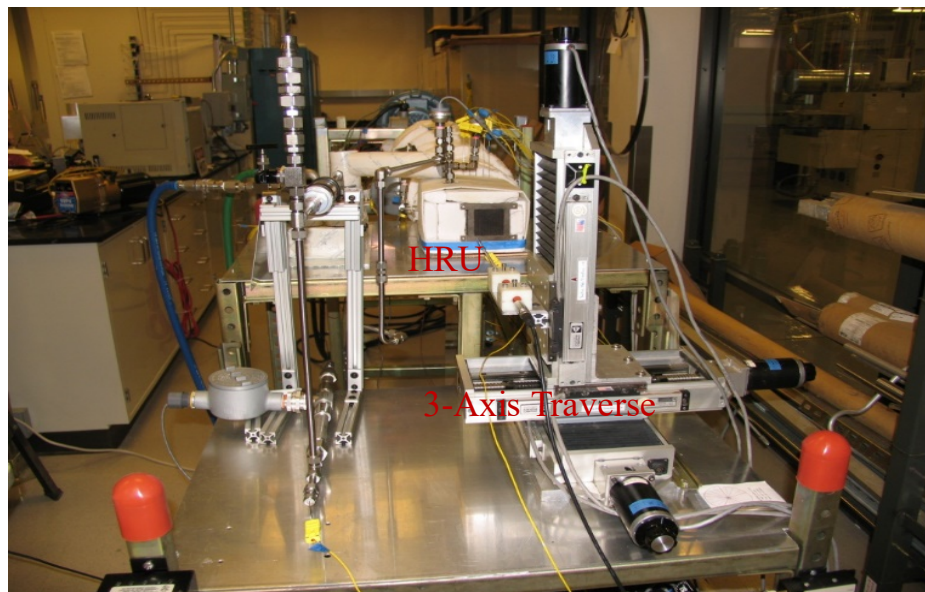
Figure 5. Heat Recovery Unit thermal test bench schematic

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181 A regenerative blower intakes ambient air and passes it through two electrical air heaters. The hot air
182 then enters a manifold where it is allowed to distribute before entering the exhaust channels of the Heat
183 Recovery Unit (shown as stream 1 in Fig. 5). After the air exchanges heat with the oil in the HRU, it is
184 exhausted into ambient air (shown as stream 2). A gear pump circulates oil through the HRU (stream 3
185 and 4) and into a flat plate heat exchanger. Cooling water runs through the flat plate heat exchanger to

186 remove heat from the oil. A custom-built manifold was made for ducting the hot air into the Heat
187 Recovery Unit. The manifold featured five holes for thermocouples to measure the air temperature
188 distribution before it enters the heat exchanger. A pressure port was also included on the side to measure
189 inlet pressure.

190 The instrumentation in the test bench included a hot wire anemometer, K-type thermocouples, 4-20
191 mA absolute pressure transducers, and turbine flow meters. A 3-axis linear traverse system was used to
192 collect velocity and temperature measurements on the exhaust outlet of the Heat Recovery Unit. A single
193 probe hot film anemometer (often referred to as a hot wire) was mounted to the LabVIEW controlled
194 traverse system. The film had a sensing diameter of 25.4 μm and a length of 0.25 mm (recall that the
195 exhaust channels were designed to 2 mm by 0.8 mm). A 1/16-in (~ 1.6 mm) diameter thermocouple was
196 attached to the probe support. LabVIEW was used for the data acquisition and traverse control. A picture
197 of the thermal testing facility is shown in Figure 6, where the HRU and traverse system are labelled to
198 show the respective positions.



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Figure 6. Thermal testing facility

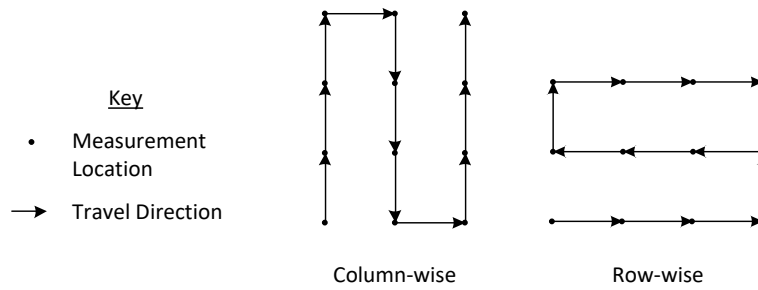
201 The testing conditions used to evaluate the performance of the microchannel HRU are presented in
202 Table 3. The nominal air inlet temperature was based on the average of the five manifold thermocouples.

203 The motivation behind the selected test values was to operate the HRU in a range that encompassed the
 204 design air flow rate, oil flow rate and air inlet temperature. For each thermal test case, steady state
 205 measurements were taken at 100 samples per second for three minutes. The thermocouple measuring the
 206 outlet air temperature from the HRU was located approximately in the center of the outlet plane and about
 207 1 mm away from the face.

208 **Table 3. Thermal test matrix**

Nominal Flow Rates		Nominal Air Inlet Temperature (°C)				
		300	400	450		500
Air Flow (g/s)	Oil Flow (g/s)	Warm Oil (73 °C Inlet)		Cold Oil (53 °C Inlet)	Warm Oil (73 °C Inlet)	
20	40	x	x	x	x	x
	47	x	x	x	x	x
	55	x	x	x	x	x
23	40	x	x	x	x	x
	47	x	x	x	x	x
	55	x	x	x	x	x
27	40	x	x	x	Design	x
	47	x	x	x	x	x
	55	x	x	x	x	x

209
 210 The traverse system was designed to move the hot wire and thermocouple sensors in a plane parallel to
 211 the exposed face of the microchannel HRU in order to characterize both velocity and temperature profiles
 212 of the air (exhaust) stream. Measurements were taken using a stop-and-go style of traversing. The hot
 213 wire and thermocouple were moved to a measurement position, and then data was acquired for a specified
 214 period of time before moving on to the next point. Two movement sequences were developed: a column-
 215 wise traverse and a row-wise traverse, as shown in Figure 7. These two sequence styles were mainly
 216 developed for program flexibility. The coordinate system used for the traverse measurements is drawn in
 217 Figure 8 (left). This coordinate system is only an indication of direction; it is not the origin of each scan.
 218 Each velocity scan has its own origin. The channels are numbered starting from the bottom left corner as
 219 shown in Figure 8 (right).

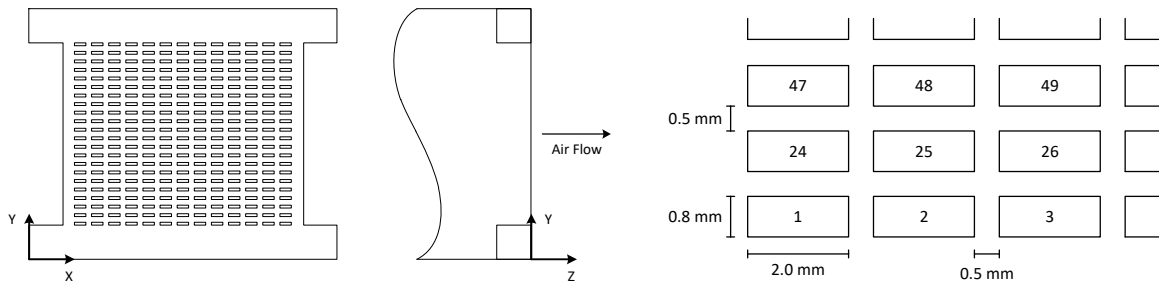


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Figure 7. Scanning sequence styles

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Figure 8. (left) Coordinate system for the traverse; (right) Air channel numbering and spacing

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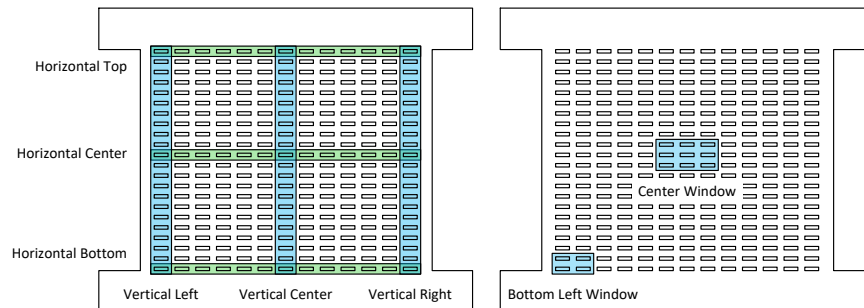
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Velocity measurements were taken with unheated air at the design flow rate 27 g/s through the Heat Recovery Unit with no oil flow. In order to get adequate resolution of the channel velocities, measurements were taken in several regions instead of the full field because the acquisition time of a full field profile would have been impractical. To understand general trends in the air flow, the hot wire probe was traversed horizontally and vertically along the sides and middle channels of the HRU, as shown in Figure 9 (left). Window regions shown in Figure 9 (right) were also scanned to examine the flow structures near the channel outlets.



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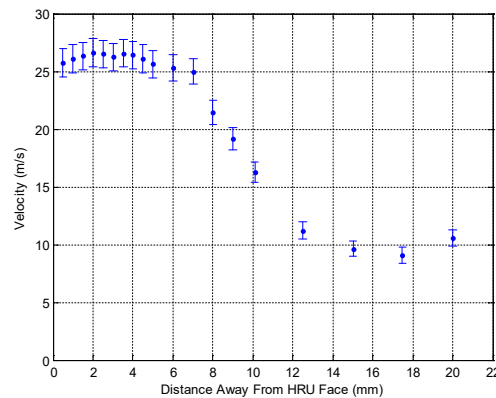
Figure 9. (left) Vertical and horizontal regions for hot wire measurements; (right) window regions

233

234 Vertical scans were executed using the column-wise movement scheme and the horizontal scans
 235 used the row-wise scheme. The window scans used the column-wise scheme. The scan resolution for each
 236 region is presented in Table 4. For all hot wire scan cases, at least 1,000 samples were collected over a
 237 period of one to two seconds for each measurement location. All velocity measurements were taken
 238 approximately 1 mm away from the face of the Heat Recovery Unit. The justification for this distance
 239 comes from measurements of the velocity in the z-direction for a center exhaust channel. As can be seen
 240 from the graph in Figure 10, the velocity was nearly constant within the range of 0.5 mm to 5 mm then it
 241 decreased as the probe moved away from the HRU face. This behavior resembles classical free jet theory.

242 **Table 4. Scan resolutions**

Scan Case	Directional Resolution	
	X (μm)	Y (μm)
Horizontal Top	200	100
Horizontal Center	200	100
Horizontal Bottom	200	100
Vertical Left	200	200
Vertical Center	200	200
Vertical Right	200	200
Center Window	100	100
Bottom Left Window	100	100
Full Temperature Field	1000	1000



244
 245 **Figure 10. Air velocity as function of distance in the z-direction**

246
 247

248 A full field temperature profile was also captured for the nominal conditions of 27 g/s of air flow,
249 450 °C of air inlet temperature, 55 g/s of oil flow, and 60 °C of oil inlet temperature. The resolution for
250 the thermal profile was 1 mm in both the x-direction and y-direction. Four hundred measurements were
251 taken over three seconds for each location. The thermocouple was located approximately 2 mm away
252 from the face of the HRU.

253 The thermal performance of the Heat Recovery Unit is characterized by the heat duty, heat transfer
254 effectiveness, air pressure drop, and oil pressure drop. To evaluate the specific heat, the average of fluid
255 inlet and outlet temperatures was used. For the air stream, the cold temperature was the average of the
256 five manifold thermocouple readings. The hot temperature was taken from the thermocouple in the center
257 of the air exit plane. Using air and oil temperatures, the effectiveness is defined as [34]:

$$258 \quad \varepsilon = \frac{T_{Air,h} - T_{Air,c}}{T_{Air,h} - T_{Oil,c}} \quad (1)$$

259 The oil pressure drop across the microchannel HRU was taken as the difference between the two
260 pressure measurements on the inlet and outlet of the oil line. The air pressure drop was measured by the
261 single pressure transducer in the manifold (as outlet open to the atmosphere).

262 The theoretical air velocity out of the Heat Recovery Unit, assuming that the channels are the same
263 size and uniform flow is

$$264 \quad V = \frac{\dot{m}}{\rho(A \times N)} \quad (2)$$

265 where \dot{m} is the air mass flow rate, ρ is the density of air, A is the cross-sectional area of an air channel,
266 and N is the total number of air channels. The Reynolds number is defined as

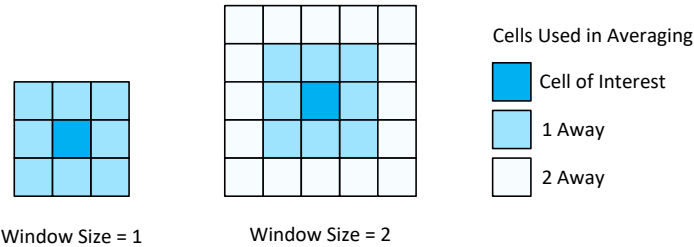
$$267 \quad Re = \frac{\rho V D_h}{\mu} \quad (3)$$

268 where ρ is the density, V is the velocity, D_h is the hydraulic diameter, and μ is the dynamic viscosity.

269 The Reynolds number can also be written in terms of the mass flow rate

270
$$\text{Re} = \frac{\dot{m}D_h}{A\mu} \quad (4)$$

271 The velocity and temperature profiles were smoothed using a spatial averaging scheme. Each point is
 272 averaged with neighboring points up to two spaces away, including diagonals shown in Figure 11.



273 Window Size = 1 Window Size = 2
 274 **Figure 11. Averaging scheme for the velocity and temperature profiles**

275 **4. Uncertainty Analysis**

276 The uncertainty of each measured variable was calculated using [35]:

277
$$u = \sqrt{u_{bias}^2 + (t \times u_{pres})^2} \quad (5)$$

278 where u_{bias} is the bias error, t is the Student's-t distribution factor, and u_{pres} is the precision error. The
 279 bias error of a measured variable consisted of the inherent limitations of the measuring instrument and the
 280 curve fit error of the calibration curve. Table 5 provides specific information and measurement accuracy
 281 of each instrument.

282 **Table 5. Instrumentation specifications**

Description	Manufacturer	Model Number	Range (Selected)	Accuracy
Hot Wire Anemometer	TSI	Model 1750 (System) 1260A-10A (Probe)	-	-
Turbine Flow Meter	OMEGA	FTB-938	8-130 acfm	1% Reading 0.25% Repeatability
Flow Meter Signal Conditioner	OMEGA	FLSC-61	75-375 Hz (350-1950 Hz) 1875-10000 Hz	0.3% FS Linearity
Turbine Flow Meter	OMEGA	FTB-901T	0.5-2.5 gpm	0.5% Reading 0.05% Repeatability
Flow Meter Signal Conditioner	OMEGA	FLSC-62A	(100-1000 Hz) 1 KHz-10 KHz	0.3% FS Linearity
Pressure Transducer	Cole-Parmer	68075-18	0-100 psig	0.25% FS
Pressure Transducer	Cole-Parmer	68075-16	0-50 psig	0.25% FS
Pressure Transducer	OMEGA	PX209-030A5V	0-30 psia	0.25% FS
Thermocouple	OMEGA	KMQSS-062U-6 KMQSS-062E-6	-200 to 1250 °C	Greater of 2.2 °C or 0.75%

284 The Student's-t statistic for a 95% confidence interval was taken as 1.96 for all measurements because the
 285 number of measurement samples was large (> 60), and hence a large degree of freedom. The precision
 286 error was taken as the standard deviation of the measurements, usually multiplied by the slope of the
 287 calibration curve if necessary. Table 6 lists the average relative uncertainty for the measured variables.

288 Propagation of the uncertainties to a derived quantity, such as the fluid energies and the
 289 effectiveness, was calculated using the root-sum-square method [35]. The average relative uncertainties of
 290 the calculated quantities are summarized in Table 7. Specific uncertainties of measured and calculated
 291 quantities are presented as error bars in the results sections.

$$u_y = \pm \sqrt{\sum_i \left(\left. \frac{\partial y}{\partial x_i} \right|_{x=\bar{x}} \times u_{x_i} \right)^2} \quad (6)$$

293 **Table 6. Average relative uncertainty of measured quantities**

Measurement		Average Relative Uncertainty
Flow Rate	Air Volumetric Flow Rate (m ³ /s)	2%
	Oil Volumetric Flow Rate (m ³ /s)	2%
Pressures	Air Manifold Pressure (kPa)	4%
	Oil Pump Pressure In (kPa)	1%
	Oil Pump Pressure Out (kPa)	1%
	HRU Oil Pressure In (kPa)	1%
	HRU Oil Pressure Out (kPa)	1%
Temperatures	Manifold Temperatures (°C)	1%
	HRU Air Outlet Temperature (°C)	3%
	Air After Heaters Temperature (°C)	1%
	Ambient Air Inlet Temperature (°C)	9%
	HRU Oil Inlet Temperature (°C)	4%
	HRU Oil Outlet Temperature (°C)	1%
	After Flat Plate HEX Temperature (°C)	4%

294

295

Table 7. Average relative uncertainty of calculated quantities

Calculated Quantity		Average Relative Uncertainty
Fluid Properties	Average Air Temperature [Manifold & Outlet] (°C)	1%
	Average Air Specific Heat (kJ/kg-K)	0.04%
	Air Inlet Density (kg/m ³)	1%
	Average Oil Temperature [HRU Inlet & Outlet] (°C)	1%
	Average Oil Specific Heat (kJ/kg-K)	1%
	Average Oil Density (kg/m ³)	0.2%
Mass Flow	Air Mass Flow Rate (g/s)	2%
	Oil Mass Flow Rate (g/s)	2%
Thermal Performance	Average Manifold Temperature (°C)	0.2%
	Air Energy (kW)	2%
	Oil Energy (kW)	5%
	Effectiveness (%)	1%
	Air Pressure Drop (kPa)	4%
	Oil Pressure Drop (kPa)	2%

296

297 As shown, a measurement that had a high relative uncertainty was the ambient air inlet temperature.

298 This measurement was located at the inlet of the air blower and was used to calculate the air density near

299 the flow meter. This seemingly high uncertainty in the measured temperature did not significantly affect

300 the calculations because the density of air is not very sensitive to small changes in temperature. The

301 primary contributor to the uncertainty of the oil energy was the oil mass flow rate.

302 The positional accuracy and repeatability of the traverse stages was 23 μm and 5 μm , respectively,

303 over the full travel range. Each stage had a linear encoder that was used in conjunction with the motor

304 driver to position the stage precisely. The encoders had a resolution of 5 μm . Using the root-sum-square

305 method from Figliola and Beasley [35], the uncertainty of travel was calculated as 25 μm . On average, the

306 uncertainty of the velocity measurements was 2 m/s. The velocity uncertainty was dominated by the level

307 of fluctuations in the readings (standard deviation). Where there was more flow and turbulence, the

308 fluctuations were larger.

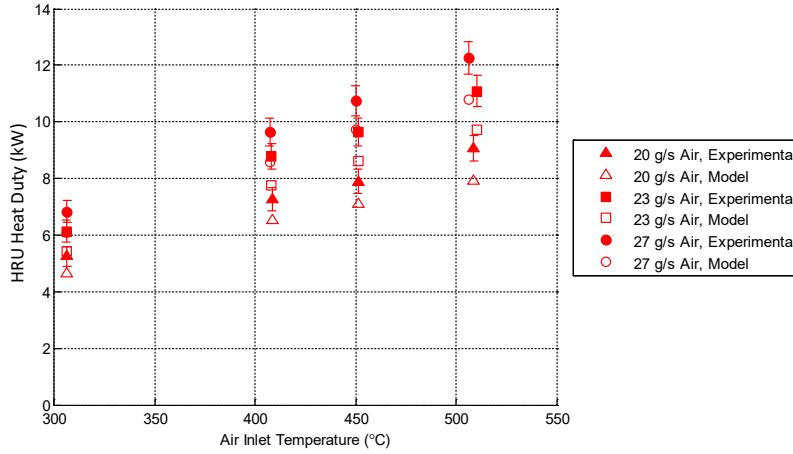
309 5. Results and Discussions

310 5.1 Thermal-hydraulic Performance Tests

311 For all thermal tests, the manifold thermocouples indicated a uniform air temperature distribution
312 into the Heat Recovery Unit. Graphical results of the duty, effectiveness, air pressure drop, and oil
313 pressure drop are presented in the following subsections. Additionally, comparisons of performance
314 between cases with a warm oil inlet temperature (73 °C average) and a cold oil inlet temperature (53 °C
315 average) are shown and discussed.

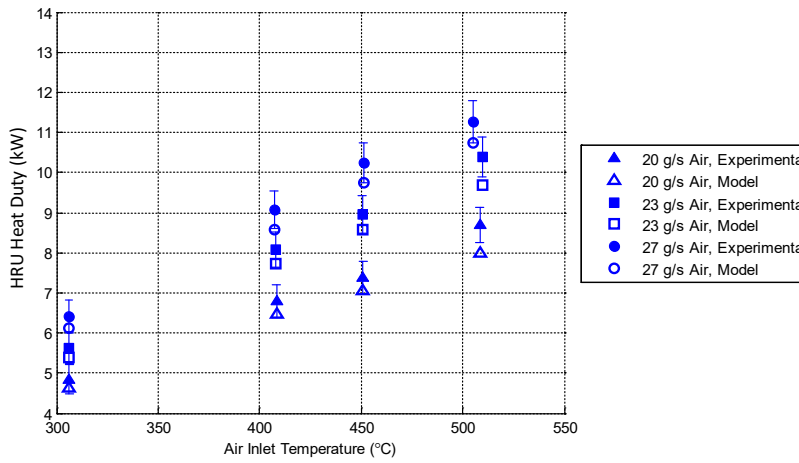
316 The heat duty (overall heat transfer rate) is presented as the oil energy, reflecting the energy change
317 per unit mass flow per unit time. Figures 12-14 display the heat duty for each constant oil flow rate. These
318 tests were conducted with an oil inlet temperature near 73 °C, which is closest to the design point of the
319 microchannel HRU. For a constant oil mass flow rate, higher air inlet temperature increases the amount of
320 energy picked up by the oil. Similarly, as the air mass flow rate increases, so does the oil energy.
321 Increasing the air inlet temperature and the air mass flow rate effectively increases the available energy
322 for exchange. Meanwhile the increased heat duty is also attributed to improved thermal conductivity for
323 air at higher temperature and improved average heat transfer coefficient for air at higher mass flow rates.
324 For 40 g/s oil mass flow rate (Figure 12), the experimental results are consistently higher than the model
325 predictions, even when considering the uncertainty. However, for the cases of 47 g/s and 55 g/s of oil
326 flow, the experimental heat transfers match the predicted heat transfer to within the estimated uncertainty.
327 An investigation later revealed that the calibration of the oil flow meter using the catch and weigh method
328 may have over-estimate the oil flow rate at lower flow rates.

329 Overall, the model predicted the heat transfer of the microchannel HRU very well. For the air
330 energy, the model agreed with the experimental values almost exactly (less than 1% difference). Even
331 though the geometric characterization indicates that the flow areas of the microchannels were smaller than
332 the design, it did not significantly degrade the heat transfer performance of the Heat Recovery Unit. This
333 is likely because the hydraulic diameters did not significantly deviate from the design.



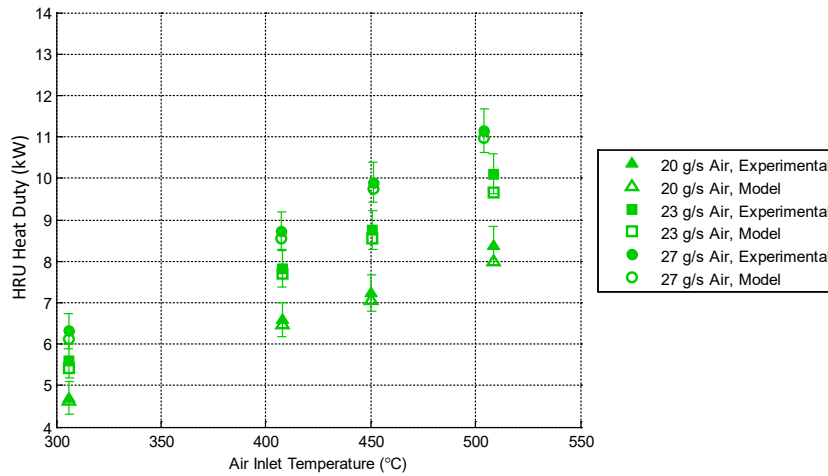
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Figure 12. Heat Duty for 40 g/s warm oil flow



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Figure 13. Heat Duty for 47 g/s warm oil flow

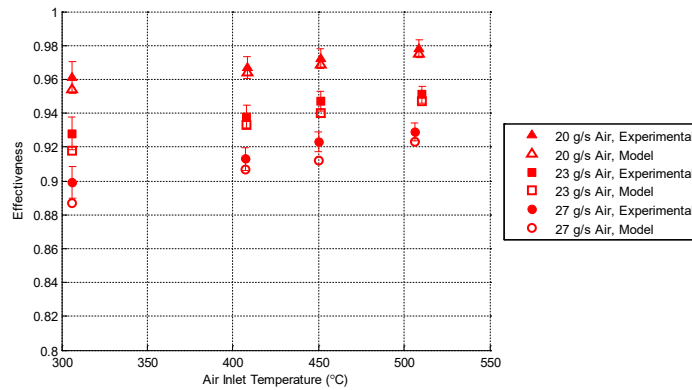


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Figure 14. Heat Duty for 55 g/s warm oil flow

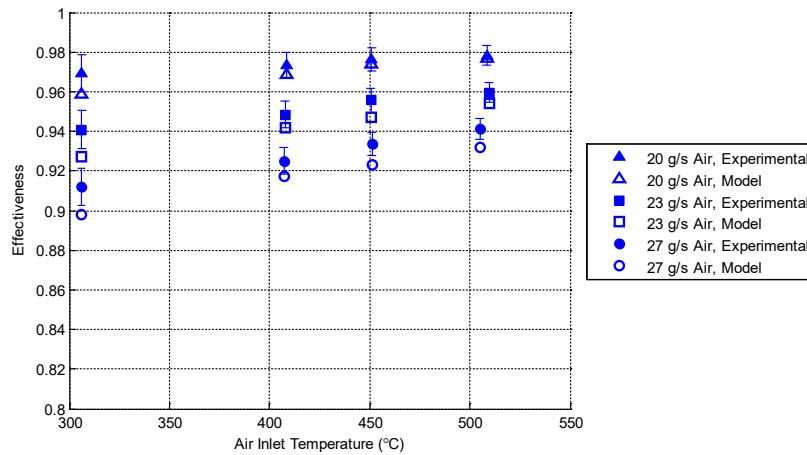
340 The heat transfer effectiveness for the three oil flow rates are shown in Figures 15-17. These plots
341 also correspond to the tests with the warm oil inlet temperature. As shown, the effectiveness increased

342 slightly as the inlet air temperature increased. Unlike the heat duty, the effectiveness decreased when the
 343 air mass flow rate increased. The effectiveness seems to be insensitive to oil flow rate for these
 344 conditions. Nearly all of the experimental data matches the model predictions within the uncertainty. For
 345 those that do not fall within the uncertainty, the difference is minimal. The very slight discrepancy in the
 346 effectiveness for a few cases could be due to the air outlet temperature measurement. The air outlet
 347 temperature was only measured at a single location for the thermal tests. The thermocouple was placed at
 348 the center of the air exhaust plane, 1 mm away from the face of the HRU. Since it is unlikely that the flow
 349 was entirely uniform, as the model presumes, the location of the air outlet temperature measurement may
 350 influence the resulting effectiveness. In general, the results of the effectiveness confirm that the
 351 microchannel HRU performed as the model predicted.



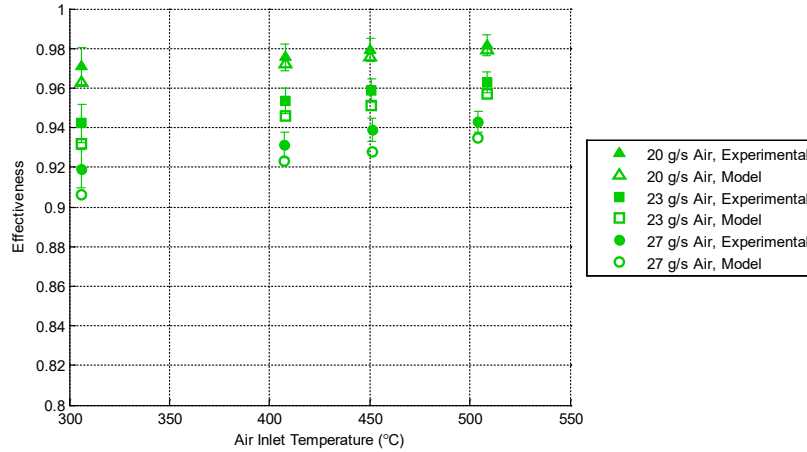
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Figure 15. Effectiveness for 40 g/s warm oil flow



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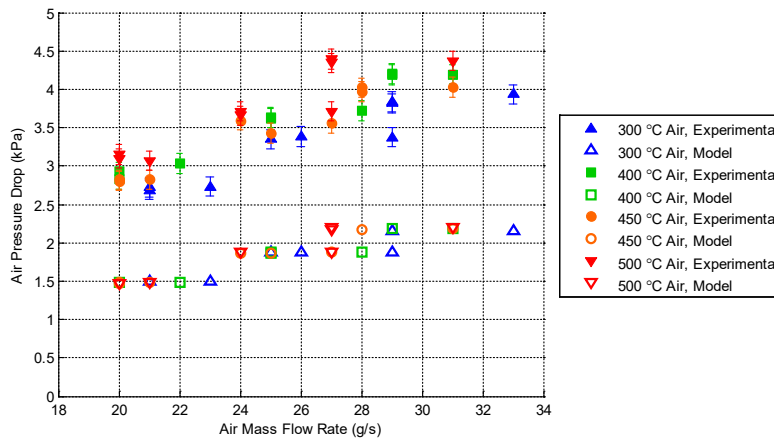
Figure 16. Effectiveness for 47 g/s warm oil flow



356
357 **Figure 17. Effectiveness for 55 g/s warm oil flow**

358 The air pressure drop is plotted versus the air mass flow rate in Figure 18. The plot shows that the air
 359 pressure drop was significantly more than what the model predicted for all the test cases. The general
 360 trend of increasing pressure drop as flow rate increases is displayed by both the experimental data and the
 361 model prediction. The pressure drop also increases for an increase in the air temperature, as expected, as
 362 the viscosity of air increases with the temperature. The factor that likely contributes the most to the
 363 discrepancy of the pressure drop is the channel cross-sectional area. Since the actual air channels are
 364 smaller than the design, significantly larger pressure drop is expected.

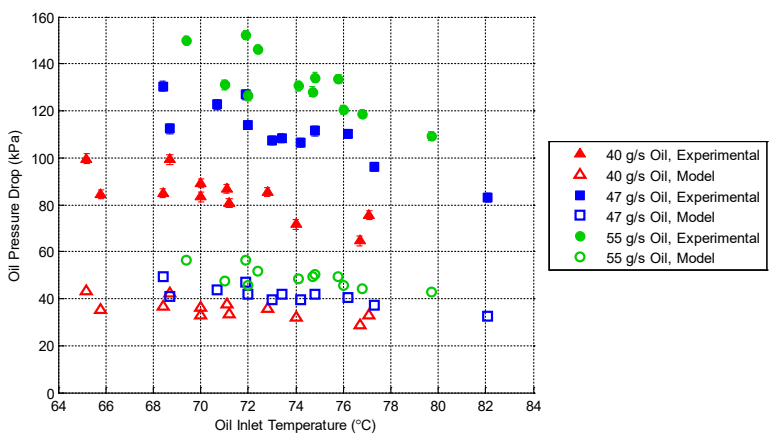
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366
367 **Figure 18. Air pressure drop as function of mass flow rate**

368 The oil pressure drop is plotted versus oil inlet temperature in Figure 19. The experimental data
 369 shows that the oil pressure drop tends to decrease as the temperature increases. This is because the

370 viscosity of the oil is sensitive to temperature. As the oil inlet temperature increases, its viscosity
 371 decreases resulting in lower pressure drops. As the oil mass flow rate increases, the pressure drop also
 372 increases. However, the magnitude of the experimental data is significantly different from the model
 373 predictions by consistently more than a factor of two. The same explanations discussed in the previous
 374 section for the inconsistency between the experimental and model results of the air pressure drop are also
 375 valid for the oil pressure drop. The smaller cross-sectional area and shape of the oil channels likely caused
 376 the conflict between the measured data and the model prediction. In addition, the model does not account
 377 for any of the header and plenum features within the oil passages. For example, there are significant
 378 constrictions at the inlet and outlet of the oil ports. Also, the plenum area between the two passes could
 379 have contributed to significant oil pressure drops.



380
 381 **Figure 19. Oil pressure drop as function of inlet temperature**

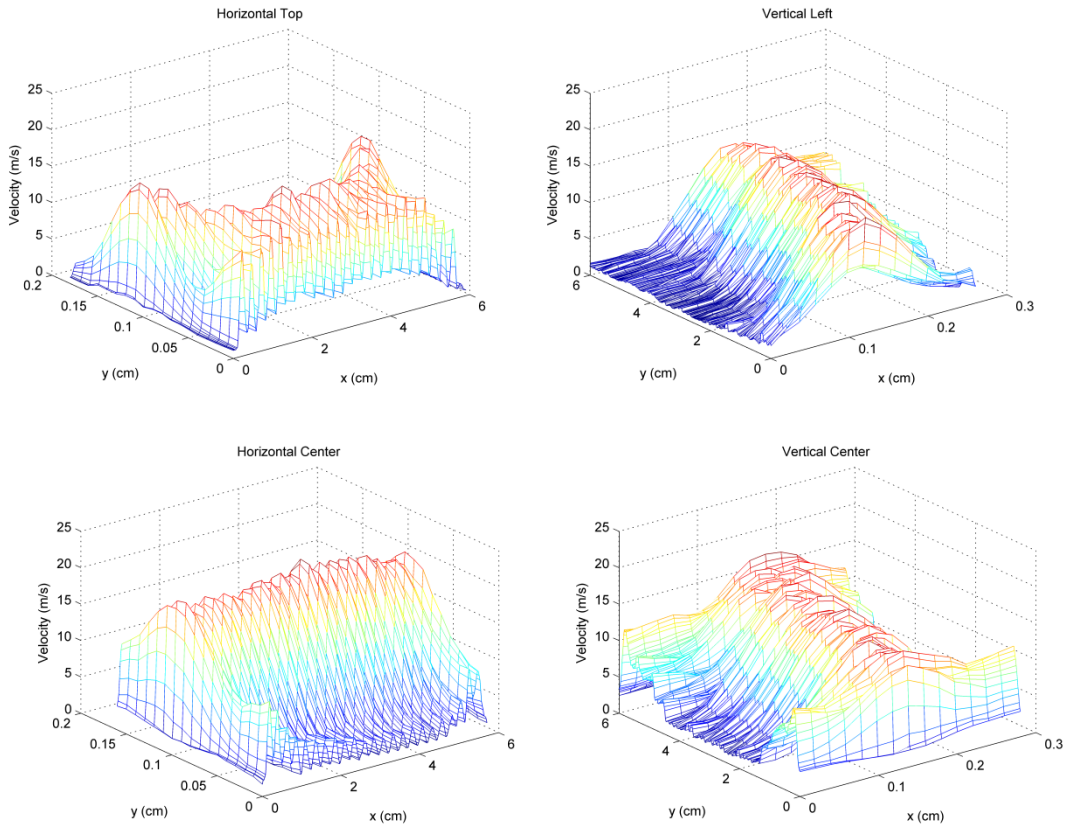
382 **5.2 Hot Wire Flow Distribution Tests**

383 All the velocity measurements were taken with unheated air (25 °C inlet) at an air mass flow rate of
 384 27 g/s. Several plots of the horizontal and vertical velocity scans are presented in this section. Figure 20
 385 shows 3-D plots of each scan (noted the x and y directions are in different scales). The contour plots of
 386 the horizontal and vertical scans are shown in Figures 21 and 22. Velocity profiles of individual channels
 387 are distinguishable in the figures. Each figure shows that the velocity magnitudes were fairly uniform
 388 within the scan. Out of all six scans, the horizontal center scan showed more consistent and higher air
 389 velocities (approaching 20 m/s). A peculiarity of the horizontal and vertical scans is that the peak

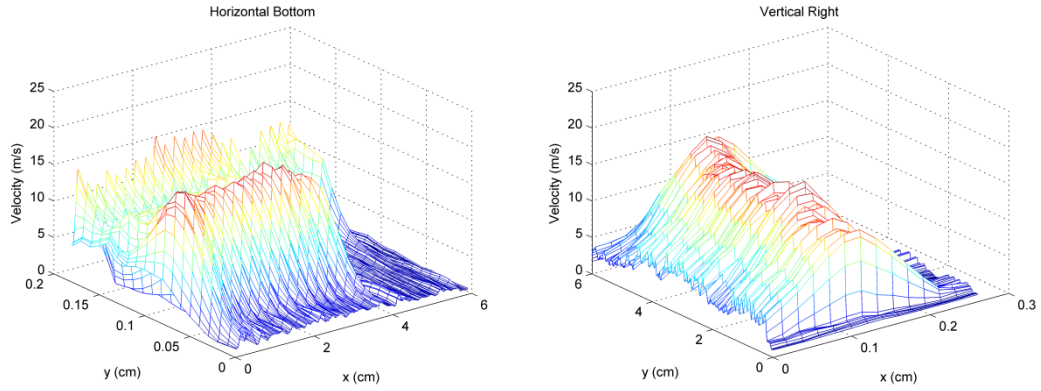
390 velocities do not match where the scans should intersect. The reason why the scans do not match is likely
391 due to the resolution of the traverses. The vertical scans were taken with a resolution of 200 μm in the x-
392 direction and 200 μm in the y-direction, whereas the horizontal scans were taken with a resolution of 200
393 μm in the x-direction and 100 μm in the y-direction. The horizontal scans were deemed more accurate at
394 characterizing the flow than the vertical scans because the resolution was finer.

395 From Figures 21 and 22, it can be seen that there are some channels missing in the horizontal bottom
396 scan. This could be due to some blockage in the channels (potentially during the diffusion brazing
397 process). Similarly, the vertical right scan is missing a channel at the bottom. In addition, the horizontal
398 top velocity scan and all vertical scans have depicted the poor channel alignment due to fabrication.
399 According to the measurement, more flow is reached to the center channels than the top and bottom
400 channels. Presumably, the diffusion bonding process may have also deformed some of the top and bottom
401 channels and exacerbated the mis-alignment.

402



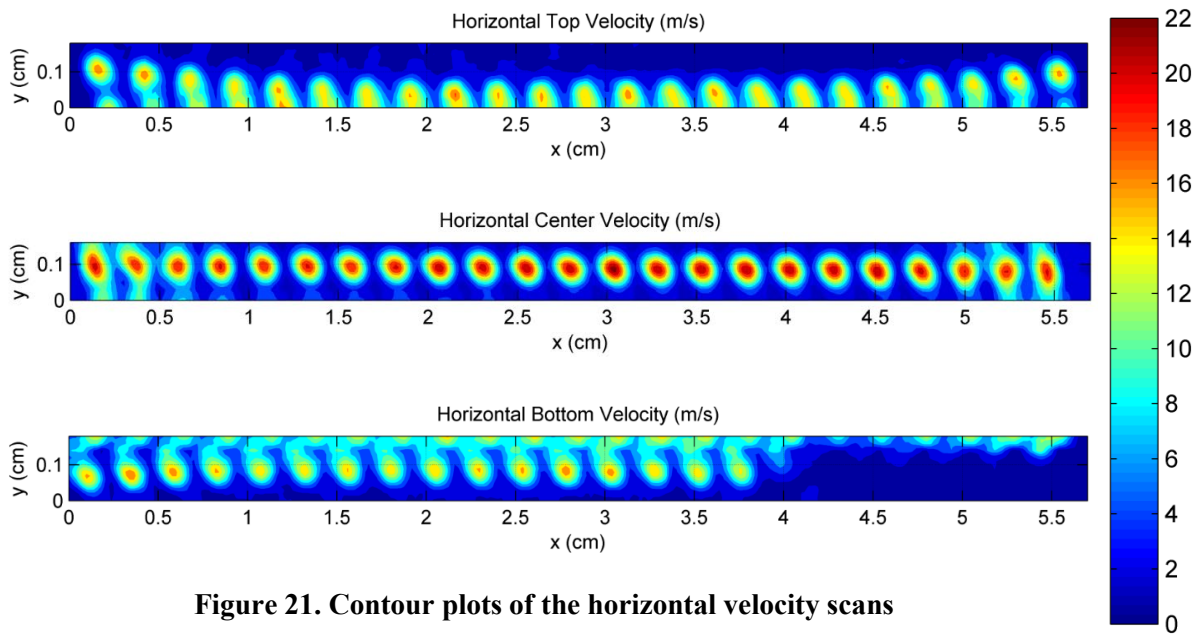
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Figure 20. 3-D plots of horizontal and vertical velocity scans



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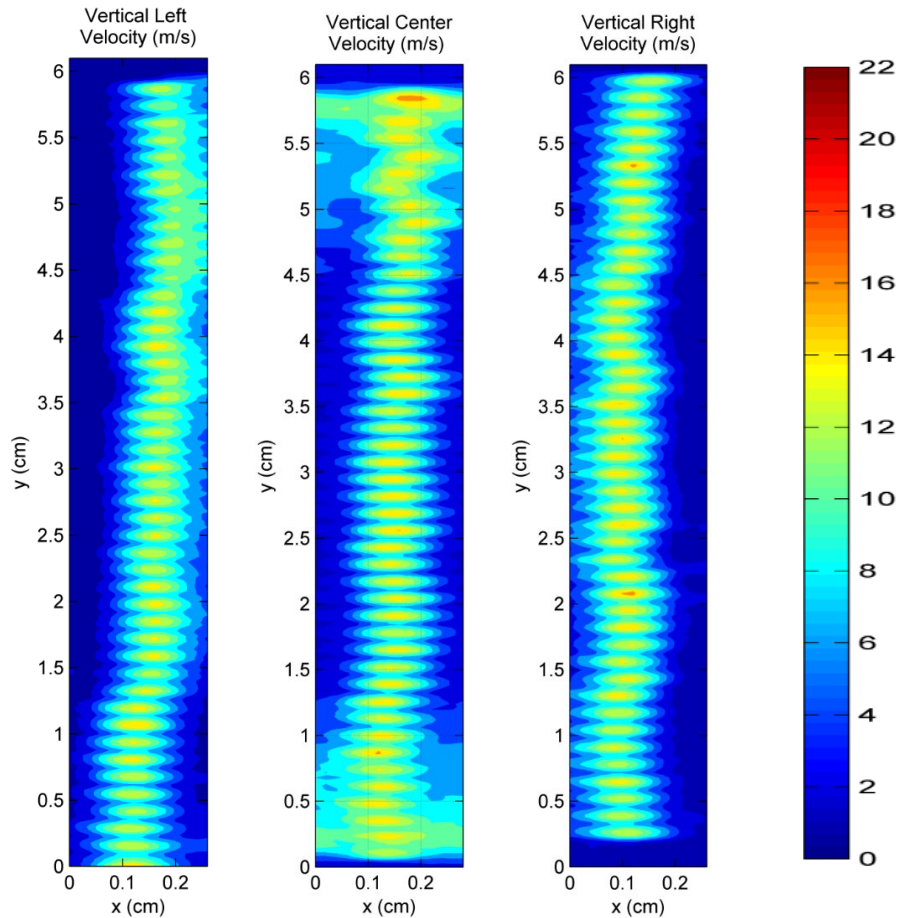
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Figure 21. Contour plots of the horizontal velocity scans

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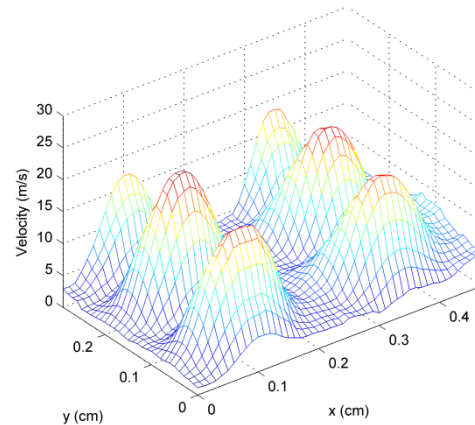
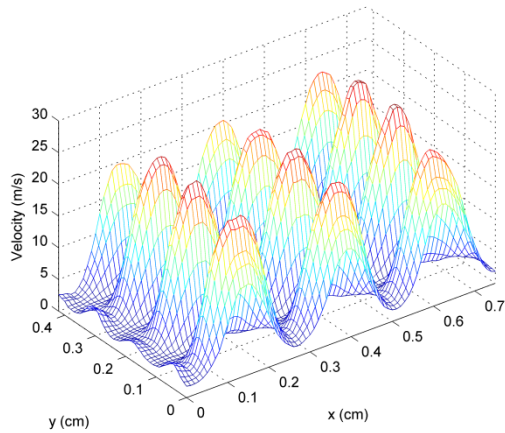
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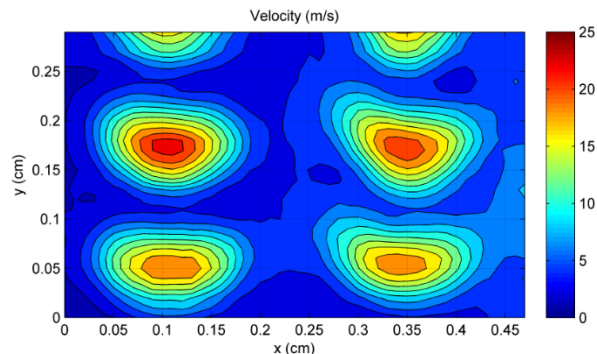
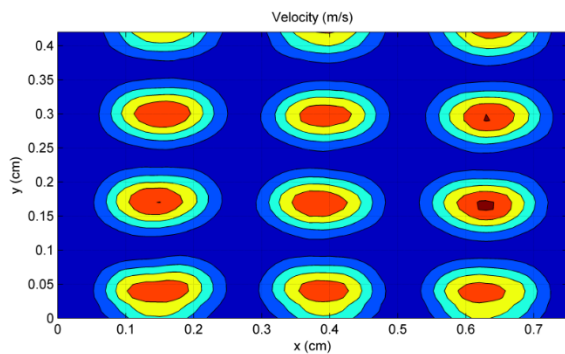
413
414 **Figure 22. Contour plots of the vertical velocity scan**

415 Figures 23 and 24 display the results of the center window scan and the bottom left window scan.

416 The window scans were taken with the highest resolution of all the traversing schemes at $100\ \mu\text{m}$ in both
417 the x and y directions. The largest magnitudes collected for the window scans agree with the results of the
418 horizontal scans, which confirms that the resolution was an issue. For the nine microchannels captured in
419 the center window scan, their velocity profiles appear to be very uniform in the center region. Similarly,
420 velocities appear fairly uniform in the four microchannels of the bottom left window. On the global scale,
421 however, the flow distribution is not very uniform across all air channels, which is also identified in a
422 full-field maximum velocity interpolation study.



423 **Figure 23. 3-D velocity plot of the center window (left); the bottom left window (right)**



424 **Figure 24. Velocity contour plot of the center window (left); the bottom left window (right)**

425 **5.3 Full Field Temperature Test**

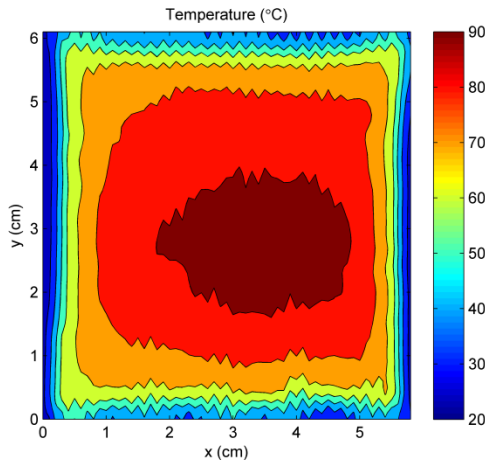
426 A full field temperature profile was measured for one case of thermal loading. The testing conditions
 427 are tabulated in Table 8. Measurements were taken at a resolution of 1 mm in both the x and y directions,
 428 approximately 2 mm away from the HRU face. The temperature profile's non-uniform shape (as shown in
 429 Figure 25) is indicative of the heat transfer and flow of the Heat Recovery Unit. The air along the outer
 430 regions of the channel array was cooled more than the air in the center, resulting in lower temperatures on
 431 the sides and higher temperatures in the middle. The left and right sides of the profile are not mirrored.
 432 On the left side, the temperature contours show that there was slightly more cooling than on the right.
 433 This can be attributed to the geometry of the HRU. Recall that, in this view, the header ports for the oil
 434 are located on the left side. The inlet oil starts cold on the left side then warms up as it travels to the right,

435 picking up heat from the air stream. Consequently, the exhaust air was cooler on the left and warmer on
 436 the right because more heat transfer occurred on the left.

437 **Table 8. Test conditions for the full field temperature profile**

Controlled Parameters		Heat Exchanger Performance	
Air Mass Flow Rate (g/s)	27	Average Outlet Air Temperature (°C)	72
Inlet Air Temperature (°C)	451		
Oil Mass Flow Rate (g/s)	56	Outlet Oil Temperature (°C)	155
Inlet Oil Temperature (°C)	62	Heat Transfer [Based on Oil Energy] (kW)	11.8
		Effectiveness	0.97
		Air Pressure Drop (kPa)	3
		Oil Pressure Drop (kPa)	200

438



439

440 **Figure 25. Full field temperature profile for conditions close to the design**

441 **6. Conclusions**

442 The study performed a comprehensive experimental characterization on the flow and heat transfer
 443 performance of a nominal (design value) 10.6 kW microchannel heat recovery unit manufactured using
 444 standard photochemical etching and diffusion bonding processes. In addition to global performance
 445 assessment in terms of heat transfer effectiveness and pressure drops, local flow and temperature
 446 measurements were performed to quantify flow distribution. Based on the results, the following
 447 conclusions are drawn from the study:

- 448 1) The microchannel HRU upheld the predicted thermal performance under various oil and air flow
449 rates. The heat transfer effectiveness varied between 88% and 98%.
- 450 2) For a given oil flow rate, the overall heat transfer (heat duty) increased with air flow while the
451 effectiveness decreased with air flow. The effectiveness increased with air inlet temperature as
452 the result of its higher thermal conductivity.
- 453 3) Significant flow mal distribution was identified among the air channels according to the flow
454 measurement using hot wire. The flow measurement also showed visible misalignment of the air
455 channels and even flow blockage caused by the manufacturing processes.
- 456 4) Significantly higher pressure drops were encountered for both air and oil channels, which can be
457 largely attributed to reduced flow areas due to the photochemical etching process.
- 458 5) The findings shed some lights in improving designs of microchannel heat exchangers, which
459 should consider the capabilities and limitations of the manufacturing processes.

460 7. Acknowledgement

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462 System Program.

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