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Shear Stress Rosettes Capture the Complex Flow Physics in Diseased Arteries

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1 **Abstract**

2 Wall shear stress (WSS) is an important parameter in arterial mechanobiology. Various flow

3 metrics, such as time averaged WSS (TAWSS), oscillatory shear index (OSI), and transWSS,

4 have been used to characterize and relate possible WSS variations in arterial diseases like

5 aneurysms and atherosclerosis. We use a graphical representation of WSS using shear

6 rosettes to map temporal changes in the flow dynamics during a cardiac cycle at any spatial

7 location on the vessel surface. The presence of secondary flows and flow reversals can be

8 interpreted directly from the shape of the shear rosette. The mean WSS is given by the rosette

9 centroid, the OSI by the splay around the rosette origin, and the transWSS by its width. We

10 define a new metric, anisotropy ratio (AR), as the ratio of the length to width of the shear

11 rosette to capture flow bi-directionality. We characterized the flow physics in controls and

12 patient specific geometries of the ascending aorta (AA) and internal carotid artery (ICA)

13 which have fundamentally different flow dynamics due to differences in the Reynolds and

14 Womersley numbers. The differences in the flow dynamics are well reflected in the shapes

15 of the WSS rosettes and the corresponding flow metrics.

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17 **KEYWORDS:** arterial flows, secondary flows and bidirectionality, shear metrics, aneurysms

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1. Introduction

Arterial curvature, coupled with flow pulsatility (Berger *et al* 1996), results in formation of a rich variety of vortical structures (Sudo *et al* 1992; Timité, Castelain and Peerhossaini 2010; Jarrahi *et al* 2011; Glenn *et al* 2012; Bulusu and Plesniak 2013), flow reversals (Chandran and Yearwood 1981; Yearwood and Chandran 1982; Talbot and Gong 1983; Komai and Tanishita 1997), flow separations (Talbot and Gong 1983; Hamakiotes and Berger 1988), and WSS stagnation/ fixed points (Arzani *et al* 2016; Arzani and Shadden 2018). Hemodynamics plays a fundamental role in the initiation, growth, and rupture of atherosclerotic plaques and aneurysms (Ku 1997; Chatzizisis *et al* 2007; Chien 2007; Chiu and Chien 2011; Chen *et al* 2013; Robertson and Watton 2013; Baeyens and Schwartz 2016; Baeyens *et al* 2016). Wall shear stress (WSS), imposed on the endothelial cell (EC) layer lining the arterial lumen, is a critical factor in maintaining vascular homeostasis *via* the transduction of mechanical signals into specific biochemical pathways (Caro *et al* 1971; Zarins *et al* 1983; Asakura and Karino 1990; Malek *et al* 1999). Regions of low WSS creates complex hemodynamics that induces the creation of oxygen free radicals in addition to apoptosis and migration of the vascular smooth muscle cells in the arterial wall (Penn *et al* 2011). Elevated WSS and high positive spatial WSS gradient are both implicated in the initiation and rupture of aneurysms (Kulcsár *et al* 2011).

Various shear metrics have been used to characterize possible links between hemodynamic stimuli and vessel dysfunction. Oscillatory Shear Index (OSI), Aneurysm Formation Indicator (AFI), Time Averaged Wall Shear Stress (TAWSS), Relative Residence Time (RRT), and gradients in the WSS are some of the many indices, primarily defined based on unidirectional flows, which are used to describe aneurysm growth rates (Mantha *et al* 2006). A few metrics, such as Directional Oscillatory Shear Index (DOSI) and transWSS, are based on bi-directional WSS (Chakraborty *et al* 2012; Peiffer *et al* 2013; Peiffer *et al* 2013;

1   Wang ~~et al~~ 2013; ~~Morbiducci et al~~ 2015). Each of the different shear metrics describe

2   different features of the complex changes in WSS. Polar plots or shear rosettes, showing

3   variations in the WSS at a given spatial location as a function of time, provide a more

4   complete and useful representation of the flow physics as compared to shear metrics alone.

5   Suo and colleagues were among the early researchers to present polar plots to explore the

6   differences in the magnitude and direction of the WSS in atherogenesis (Suo *et al*, 2007).

7   Their data showed the importance of changes in the WSS magnitude or direction which

8   correspond with higher expression of atherogenic proteins. More recent studies in orbital

9   shakers use shear rosettes to quantify the role of shear stress in the radial and tangential

10 directions in endothelial cell morphology (Potter *et al*, 2011; Weinberg *et al*, 2017; Weinberg

11 *et al*, 2018). However, there has been little discussion and interpretation of the rosettes and

12 their relationship to the different shear metrics and to physics of arterial flows.

13 In this study, we show how the shape and size of the shear rosette is a complementary

14 method that provides ~~useful information on the~~ complete details regarding the WSS

15 ~~variations~~ environment over a cardiac cycle. The rosette is not a substitute for any proposed

16 metrics in the literature but may be used to infer. ~~We graphically relate the shear rosette to~~ the

17 directions and values of the TAWSS variations, mean WSS, OSI and transWSS metrics at a

18 point in the flow during a cardiac cycle. We compute flows in two different geometries of

19 arteries corresponding to a large artery, the ascending aorta and a small artery, the internal

20 carotid artery (ICA). Flows in these vessels are different as they have significantly different

21 Reynolds numbers (Re) and Womersley number (α). We define the anisotropy ratio metric

22 (AR), intimately linked to the secondary flows, as an alternate method to capture the

23 bidirectionality in WSS and can be easily inferred from the shapes of the shear rosettes by

24 taking the ratio of the length to width of the rosette. Changes in the endothelial morphologies

25 under steady, oscillating, and disturbed flow conditions are causally linked to differential

An understanding of the local flow conditions in arteries is a first step to exploring the

effects of mechanical factors on EC signalling that have the potential to aid in the diagnosis

and treatment options in cardiovascular disease ~~(Chiu and Chien, 2011)~~.

2. Methods

2.1 Computational Fluid Dynamics (CFD) simulations in patient specific models of AA and ICA

We include patient specific geometries of an aortic aneurysm (AA) and ICA and the

corresponding control geometries for both these vessels. CT data from a 54 year old male

patient undergoing thoracic aortic repair *via* a Bentall procedure at the Narayana Health

hospital in Bangalore, India was used to construct the geometry of AA based on methods

described in Appendix A (Figure 1b). Informed consent was obtained based on established

IRB approved protocols at the Institute and hospital. Control AA geometry, consisted of a

180° curved circular pipe of diameter 32 mm and curvature ratio, β (a/R) = 0.38 (Figure 1a).

We reconstructed a realistic model of ICA, sans sub-branches, using an open-source aneurisk

database (patient id: C0088b) to have a constant diameter from the centreline coordinates of

the artery (Aneurisk-Team, 2012). The control ICA geometry had a length of 72 mm from the

inlet, and curvatures in multiple planes such that the maximum curvature ratio ~ 0.7 (Figure

1c). We also used the geometry of an ICA from a patient with sidewall intracranial aneurysm

(Figure1d) from the European @neurIST database (Villa-Uriol *et al* 2011, Chen *et al* 2013).

Typical Re, α, Dean's numbers and β in the AA are ~3000, 34, ~1900 and ~0.38 whereas

these are ~450, 3, ~400 and range from 0.3-0.7 for the ICA.

1   Reconstructed geometries were imported into ANSYS® ICEM CFD 13.0 (ANSYS

2   Inc., Canonsburg, Pennsylvania) and unstructured meshes were created (Appendix A). Walls

3   were assumed to be rigid, and the fluid was modelled to be Newtonian with constant density

4   ($\rho = 1055\ kg/m^3$) and dynamic viscosity ($\mu = 0.0049\ Ns/m^2$). We used mass flow

5   boundary condition at the inlet and constant pressure boundary condition at the outlet. The

6   volume flow rate in the AA geometries was specified (Figure 2a) corresponding to

7   physiological flow rates (Olufsen *et al* 2000). Regions marked blue correspond to the

8   acceleration, red the deceleration, and black, the dwell phase. Similarly, a physiological

9   volume flow rate corresponding to the ICA was specified at the inlets for the geometry

10   (Figure 1c) and are shown in Figure 2b. The dwell phase is clearly absent in the ICA case as

11   compared to AA which has dwell phase of 0.7s. The volume flow rate (inlet) and pressure

12   (outlet) waveforms used with the ICA were extracted from a 1D model of the artery from the

13   @neufuse software (Reymond *et al* 2009). The governing Navier-Stokes equations along

14   with the boundary conditions were solved using a commercial finite-volume based solver

15   (ANSYS® FLUENT 13.0). A time step of 10^{-3} s was used for all geometries and the criterion

16   for convergence for residuals was set below 10^{-5} for each of the continuity and the three

17   velocity components in each case. Grid independence studies were carried out based on the

18   following criterion: the RMS difference of WSS between successive meshes was less than

19   2% of the RMS of the WSS over one cardiac cycle. The number of cells were doubled until

20   this criterion was met. Flow cycle independence was also checked until the shear rosettes

21   were time periodic to eliminate the initial transient effects (details in Appendix A). Four

22   cardiac cycles were simulated and results corresponding to the last cycle are reported in this

23   study. Grid and flow cycle independence were achieved for the control aorta, ICA control,

24   and ICA aneurysm. The number of elements for each geometry are included in Appendix A.

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3. Results and Discussion

There are two main contributions of this study. First, we map the fluid dynamics in AA and ICA geometries where flows are very different. Pulsatility is higher in AA whereas flow in smaller vessels, like ICA, are less pulsatile (Berger *et al* 1996). We show that the different flows features are better represented using shear rosettes. Rosette size gives a measure of the magnitude of WSS whereas its shape represents the bi-directionality extent. Second, we show that the shear rosette allows us to obtain a graphical understanding of the different hemodynamic metrics. Table I lists definitions of relevant metrics with brief descriptions.

3.1 Shear rosettes present a pictorial representation of the WSS

Figure 3 shows numerical simulations for control AA geometry that were done using . We used a toroidal coordinate system where ψ-direction is in the stream-wise direction and ϕ is in the azimuth (Appendix A). Axial velocity contours with superimposed velocity vectors are shown on three transverse planes (P45, P90 & P135) and the medial plane for time points corresponding to peak velocity (Figure 3a) and at the end of systole (Figure 3b). Strong secondary flows along the walls, continually changing in time, and caused by an imbalance between the radial pressure gradient and centrifugal forces, are observed in the AA (Krishna *et al,* 2017). During the diastolic phase, flow separations occur on the inner wall which are clearly seen in the transverse plane (Figure 3b). Such separations are typical of large arteries with curvatures (Chandran and Yearwood, 1981; Hamakiotes and Berger, 1988).

Figure 4 shows the shear rosettes at select points on the plane marked P135 corresponding to flow in the control AA geometry at the 135° plane. These points are individual grid elements in the geometry. WSS in a straight pipe with unsteady flow is aligned along the axial direction. Because of the presence of secondary flows and separations,

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the shear stress in curved pipes need not be oriented along the axial direction. We choose two local reference directions $\hat{s}$ and $\hat{b}$ to characterize the shear rosettes at a given point on the wall. $\hat{s}$ was defined as the WSS direction at the first time step of the first cardiac cycle from the numerical simulations. $\hat{b}$ was perpendicular to $\hat{s}$ and is the local surface normal. Secondary flows are absent at the start of the first cardiac cycle. Thus $\hat{s}$ gives the direction of flow before viscous effects manifest themselves. Other reference directions, such as the direction of the average WSS, may also be chosen which would only change the orientation of the rosette.

The WSS vector changes magnitude and direction through the cardiac cycle. Component of the WSS vector in the axial direction (τ_s), marked 0°, is due to the axial flow whereas the component of the WSS vector along $\hat{b}$ (τ_b) is due to the secondary flows. In a shear rosette, we plot the magnitude and direction of the WSS at each instant of the cardiac cycle. Arrows in the rosette at location ~~H~~ B (Figure 1a) are at equally spaced time intervals (Figure 4a). Rosette segments are color-coded for the different phases in the physiological profile (Figure 2). Circles about the origin in the rosette plot indicate constant WSS magnitudes. The principal directions are labelled '1' and '2'; τ_1 and τ_2 refer to the WSS components in the principal directions (Appendix B).

3.2 Relationship between rosette and the various shear metrics for the AA geometry

The secondary flow velocities in the AA are small during the initial phase of the cardiac cycle (Krishna *et al*, 2017) and the WSS vectors at all spatial locations are hence oriented in the axial direction, θ=0°. The angular position, indicated by θ, is the polar coordinate in the shear rosette marked in the anticlockwise direction starting from the reference direction, $\hat{s}$. WSS values are shown for each rosette in magenta. Filled circles are also indicated corresponding to points representing the maximum acceleration (red), deceleration (blue), and mean value of WSS (black) in each rosette. Tabulated values show

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1   the relevant flow metrics at these locations. Changes in the WSS magnitude and direction at

2   point ~~H~~ B (Figure 4b) are due to strong secondary flows (Krishna *et al,* 2017). The various

3   shear metrics are indicated for this rosette. TAWSS is calculated as the time average of the

4   WSS magnitude and represents the average length of the arrows in the shear rosette. Because

5   TAWSS is a time averaged quantity, a higher weightage is given to WSS values that occur

6   over longer time durations. The mean shear stress (meanWSS) is the time average of the

7   WSS vector~~, indicated with a magenta circle~~ (Figure 4b) and is not at the rosette centroid

8   because ~60% of the cardiac cycle (Figure 2a) relates to the dwell phase (shown using black

9   in the figure 4) when flow rate and WSS values are very small (meanWSS~1.36 Pa, and

10   TAWSS ~1.71 Pa).

11   OSI relates oscillations in WSS about the origin along one direction (=0.09 for this

12   case) and does not reflect the presence of the disturbed flows (Ku *et al* 1985; Andersson *et al*

13   2017). A rosette that does not enclose the origin has an OSI =0 even though there may be

14   large variations in the WSS. The corresponding transWSS metric (=0.83), defined as the

15   time-averaged value of the transverse component of the WSS vector, is based on flow bi-

16   directionality and is related to the width of the rosette when the principal direction is in the

17   direction of mean shear stress (Table I). For flows with 0 mean WSS vector, such as in

18   purely oscillatory flows, purely circular flows, or where the dominant flow is oscillatory,

19   transWSS gives artefactual values (Peiffer *et al,* 2013). Morbiducci and coworkers defined

20   the R measure as averaged ratio of the WSS in the secondary and axial directions over the

21   cardiac cycle (Morbiducci *et al*, 2015). Because this measure is an averaged quantity, it may

22   not give a complete description of the flow bidirectionality. An alternate metric to capture

23   WSS bidirectionality is the anisotropic ratio (AR) which is calculated by taking the ratio of

24   projections of the shear rosette along the minor to the major axes,

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$$AR = \frac{\tau_{2(max)} - \tau_{2(min)}}{\tau_{1(max)} - \tau_{1(min)}} = \frac{L_2}{L_1} \tag{1}$$

1   AR is the ratio of the width to length of the rosette along the principal directions. AR has a

2   value of 0 for unidirectional flows and 1 for equibiaxial flows. AR=0.81 for the rosette in

3   Figure 4b.

4   Figures 4c and 4d show rosettes at points ~~G~~ A and ~~I~~ C (Figure 1a) along with values

5   of relevant flow metrics. The flow is symmetric about the medial plane where secondary

6   flows are absent. There are marked differences in the rosette shapes as we traverse from the

7   outer to the inner wall for a given transverse plane. The maximum bidirectionality is at ~

8    $\phi = 135°$ (Figure 4b) where the secondary flow is the strongest. At $\phi = 45^o$, the secondary

9   flows are significantly weaker which results in a smaller rosette. The various shear metrics

10   are hence correspondingly smaller as compared to the rosette in Figure 4b. The shear rosette

11   at $\phi = 180^o$ (point I) corresponds to a unidirectional, oscillatory flow, thus AR and transWSS

12   values are both zero. Because of shear stress reversals, the OSI value is high (=0.48) and is

13   close to the maximum possible value of 0.5. The low flow rates during the diastolic phase

14   lead to small values of WSS at these locations.

15   *3.3 Flow metrics in the control ICA and patient specific geometry of ICA with aneurysm*

16   The flow in the control ICA is mainly quasi-steady and unidirectional in the absence

17   of branches and other geometric distortions due to aneurysms etc. Secondary flow, caused by

18   curvature, is however generally present. Figure 5a show streamlines at peak velocity in a

19   control geometry of ICA which includes regions with curvatures ranging from 0.3-0.7.

20   Although, the average velocities in the ICA are similar in magnitude to those in the AA, the

21   WSS is significantly higher. Helical streamlines clearly show the presence of both axial and

22   secondary components of velocity. Secondary flow is clearly visible in the transverse cross-

23   section (Figure 5b) at point D corresponding to the point of high curvature where WSS value

24   is the maximum. We note that this flow is different from Dean's flow where we clearly see

25   the presence of two vortices with flow in the core moving radially outward (Berger *et al*,

1   1983). The shear rosette corresponding to this point demonstrates the unidirectional nature of

2   flow with significantly higher WSS variations as compared to those seen for AA (Figure 4).

3   Because the flow is unidirectional and the velocity magnitude is proportional to the flow rate

4   at that time, the shear rosettes lie nearly along straight lines. The TAWSS value at this point

5   (Table II) is lower than the rosette centroid value due to the low stress values which occur

6   over longer time durations when the flow rates are small.

7   The quasi-steady flow in control ICA makes the shear rosettes very different from

8   those in control AA. Stress rosettes at the other three locations (Figure 5c-f) show varying

9   magnitudes of mean and fluctuations in the WSS in addition to indicating the unidirectional

10 flows. At point C, WSS is along θ=315° which indicates similar contributions from the axial

11 and secondary components. The rosettes for ICA show significantly higher values of WSS as

12 compared to AA. Because the rosettes do not cross the origin, the OSI values are zero at all

13 these locations. The AR and transWSS values are low in the control ICA in comparison to the

14 control AA due to the unidirectional flows in the ICA.

15   Flows in patient specific geometries are more complicated due to changing cross-

16 sections and the presence of branches that result in larger TAWSS variations. ICA with

17 aneurysm (Figure 6) has shear rosettes with irregular shapes as compared to AA. Rosettes

18 have nearly straight lines except at few locations that show some bi-directionality (e.g. points

19 A and C); the flow is nearly unidirectional at points B, D, and E. Figure 6d shows several

20 reversals in the transverse component of WSS about the principal axis. Within the aneurysm,

21 WSS values are low due to low velocities. In contrast, high values of WSS are seen

22 downstream of the aneurysm due to vessel lumen narrowing. The curvatures in the complex

23 patient specific ICA geometry model were in the similar range ($0.3 \leq \beta \leq 0.7$) as the control

24 ICA geometry. However, the patient specific complex geometry shows higher values of

25 TAWSS, additional shear reversals, and the presence of shear stress bi-directionality as

3.4 Spatial distributions of flow metrics for control and patient specific geometries of aorta

Spatial distributions of flow metrics (TAWSS, OSI, AR and transWSS) are shown for

the control AA and ICA in addition to patient specific geometries of AA and ICA in Figure7.

The regions of high OSI values, just downstream of the curved section in the AA geometry,

are correlated with regions of low TAWSS where the flow is likely to be highly disturbed.

There is hence little correspondence between the distributions of OSI and transWSS metrics.

OSI has been widely used to explore pulsatility effects of the flow (Ku *et al* 1985) and relate

them to mass transport ~~(Ku *et al* 1985)~~ (Arzani et.al, 2017). The OSI is related to WSS

fluctuation about the origin in the rosette and relates neither to the amplitude of WSS

fluctuations nor the flow bi-directionality. Large OSI values are linked to changes in the WSS

vector that induces mass accumulation; low OSI correlates with regions that have smaller

changes in the WSS vector.

Regions of elevated AR in the control AA geometry correspond to regions where the

secondary flows are high (points B, E, H in Figure 1a; Figure 5). There are no secondary

flows in straight sections of tubes where AR = 0. AR and transWSS account for flow

bidirectionality, and values of these metrics are higher in curved sections of the patient

specific AA geometry due to presence of secondary flows (Figure 7).

Many locations in the ICA with aneurysm have TAWSS values > 10 that appear red

in the figure. In most locations, the AR values are low. In contrast to the control ICA, the AR

values are higher for the ICA with aneurysm and the flows are more bi-directional. The

transWSS metric is similar to AR since both metrics are related to the transverse component

of WSS. However, transWSS measures only the component perpendicular to the mean shear

stress vector and is dimensional. In contrast, AR is a non-dimensional quantity that is a direct

measure of bidirectionality. For example, the large magnitude of WSS over the cardiac cycle is responsible for the relatively high transWSS in regions where the secondary flows are present although the rosette has low bi-directionality.

Shear rosette descriptions at critical locations, identified from spatial distributions of the different metrics (example Figure 7), are essential in linking the flow physics to the underlying endothelial mechanobiology. The endothelial cell monolayer provides a direct link between fluid stress and the vessel wall constituents. EC morphology is elongated in the direction of flow under unidirectional flow conditions (Davies *et al,* 1984; Chien, 2007; Chiu and Chien, 2011; Baeyens and Schwartz, 2016). Disturbed blood flows, caused by flow recirculation, separations, and other phenomena, play a critical role in vascular homeostasis through changes to the gene expression levels of various atherogenic and thromogenic signaling molecules in the EC monolayer. These changes are shown to enhance endothelial turnover and modulate smooth muscle cell migrations in the arterial wall (Langille and O'Donnell, 1986; Cheng *et al*, 2006; Chiu and Chien, 2011). Cellular morphology alters to a less organized one with near-polygonal shapes in regions of disturbed flows that induce and sustain the activation of several atherogenic genes (Davies, 1995; Chiu and Chien, 2011). Ku and coworkers were among the earliest researchers to explore the correlations between atherosclerosis-prone regions in arteries with disturbed flows (Ku *et al*, 1985). ECs do not show their characteristic elongated phenotype in atheroprone regions which suggests a causal role for cellular alignment in modulating inflammatory pathways. Based on these studies, they hypothesized the importance of oscillatory shear reversals in plaque formation. In vitro studies of perpendicular flows to aligned EC monolayers shows an increase in inflammatory pathways as compared to flows in the aligned cell orientations that have anti-inflammatory signaling (Wang *et al*, 2013). The transverse component of WSS may hence play a dominant role in plaque formation rather than WSS oscillations (Peiffer *et al*., 2013; Mohamied *et al*.,

2015). Multidirectional flow metrics, including DOSI, transWSS, and decomposition of WSS along the axial and secondary directions, have been used to characterize regions of disturbed flow dynamics and linked to endothelial monolayer permeability, inflammatory markers, and remodeling (Chakraborty *et al,* 2012; Peiffer *et al,* 2013; Morbiducci *et al,* 2015; Dabagh *et al,* 2017). Changes in the flow dynamics near the wall affects species transport and increases cell permeability. These lead to increased leucocyte transmigration in the wall and a subsequent degradation of the extracellular matrix (Wilson *et al,* 2012; Babu *et al,* 2015; Arzani and Shadden, 2016; Dabagh *et al,* 2017). Experimental studies show that the dominant principal flow direction is a better predictor of cellular alignment under bidirectional flows; EC align to minimize the shear stress along the transverse axis (Ghim *et al,* 2017; Chakraborty *et al,* 2012). Mechanosensors that are hypothesized to mediate the endothelial response to shear stress include the endothelial glycocalyx, G protein-coupled receptors, ion channels, adherens junctions and integrin-mediated cell–matrix adhesions (Chiu and Chien, 2011; Baeyens *et al*, 2014; Peiffer *et al.*, 2013). Recent studies show the importance of a transmembrane proteoglycan, syndecan-4, in the directional mechano-sensing adaption response of ECs (Baeyens *et al*, 2014). The specific mechanisms of directional WSS sensing by EC and their links to plaque formation are, however, presently unknown. Shear rosettes give detailed information of the flow dynamics allowing links between mechanics and biology.

4. Conclusions

We compared the distributions of TAWSS, OSI, and transWSS in control and patient specific geometries of AA with aneurysm and the ICA with aneurysm. We use a shear rosette representation to give a complete and immediate picture of the variations in the WSS over a cardiac cycle. Most existing metrics can be immediately assessed using the rosette geometric properties. The rosette centroid is related to the mean WSS, splay about the origin gives the

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OSI values, it's width yields an estimate of transWSS value when the principal direction is in the direction of mean shear stress, and the ratio of the length to the width of the rosette gives the AR. TAWSS gives the time average of the WSS alone but does not characterize the temporal variations of magnitude and direction of WSS which occur during the cardiac cycle. OSI captures the pulsatility during the cardiac cycle only when the WSS values cross the origin and also does not account for the flow bidirectionality. The AR metric proposed in this study is a direct measure of the ratio of the two transverse components when resolved along the principal directions. The transWSS and AR metrics capture the bi-directional nature of the flow and hence yield similar distributions in the geometries considered in our study. However, in contrast to transWSS, AR is a direct measure of bidirectionality, is bounded between 0 and 1, and is non-dimensional. The shear rosette is a more fundamental and complete representation of the flow dynamics in arteries and may be used to better assess the correlation between WSS and EC morphologies.

5. Acknowledgements

1 **6. Conflict of interest statement**

2   None of the authors have any conflicts of interests to declare regarding the

3 submission.

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5 **7. Appendix A. Patient specific models of arteries**

6   CT images were acquired before the surgical repair and the vessel geometries were

7 extracted using Mimics 10.01 (Materialise, Leuven, Belgium). The CT data has voxel

8 dimensions of 1.24 x 1.24 x 0.789 mm^3. The images were segmented to isolate the aorta and

9 bones from the surrounding soft tissue, and a region growing algorithm was used to create a

10 mask for reconstructing the 3D model. The mask was truncated above the coronary arteries

11 and in the region below the descending aorta above the renal arteries. The Branchiocephalic

12 Artery (BCA), the Left Common Carotid Artery (LCCA), and the Left Subclavian Artery

13 (LSA), and all other intercoastal arteries were truncated; the latter accounts for less than 1%

14 of the blood flow (Olufsen *et al* 2000). In addition, the BCA, LCCA and LSA were clipped

15 where the BCA splits into the right common carotid artery and the right subclavian artery.

16 This cropped mask was used to construct the initial 3D geometry as an STL file using contour

17 interpolation between the CT scanned slices. Finally, each clipped branch was extended in

18 length by approximately one diameter. The inlet of the curved section was about 8 diameters

19 in length and the outlet was 4 diameters in length. Because the chosen inlet length was $>$

20 $\int_0^T U_i(t)dt$, we expect the presence of fully developed flows in the curved section. $U_i(t)$ is

21 the area averaged axial velocity and T the time period of the cardiac cycle. The full extended

22 lengths at the inlet and outlet of the control AA used in the computation are not shown in

23 Figure 1a. Figure 1b shows the AA geometry with aneurysm before surgery.

24   In addition to the AA geometry, we used a realistic model (patient id: C0088b) of

25 ICA, available at open-source aneurisk database, that was reconstructed with constant

1 diameter from the centreline coordinates of the artery (Aneurisk-Team, 2012). The sub-

2 branches were removed, and the inlet and outlet sections were added similar to the procedure

3 for the control AA model. The final geometry had curvatures in multiple planes with no sub-

4 branches with a length of 72 mm from the inlet and served as a control ICA geometry (Figure

5 1c). The maximum curvature ratio was ~0.7 in the control geometry of the ICA. We also

6 selected the geometry of a patient with sidewall intracranial aneurysm (Figure1d) using the

7 European @neurIST project (Villa-Uriol *et al,* 2011, Chen *et al,* 2013). Typical Re, α and

8 Dean's numbers in the AA are ~3000, 34 and ~1900 whereas these are ~450, 3 and ~400 for

9 the ICA. The values of β for the AA are ~0.38 and range from 0.3-0.7 in the ICA.

10 Reconstructed geometries were imported into ANSYS® ICEM CFD 13.0 (ANSYS

11 Inc., Canonsburg, Pennsylvania) and unstructured meshes for the control geometries were

12 created that contained hexahedral elements. ~~The realistic~~ Patient specific AA and ICA

13 geometries also contained tetrahedral elements. A finer mesh using prism layers was used to

14 resolve the unsteady boundary layer ($\delta \sim \sqrt{\nu T}$; where ν is the kinematic viscosity of blood

15 and T is the time period of the cardiac cycle) in all the different geometries. The boundary

16 layer consisted of 20 prism layers with a first length of 14 μm that corresponded to the

17 distance of first cell from the wall; subsequent cell sizes increased with growth rate of 1.2.

18 The boundary layer mesh hence transitioned uniformly into the core mesh. The total number

19 of elements were 2,691,000 in the AA control, 5,883,547 for the patient specific AA with

20 aneurysm, 2,362,320 elements for the control ICA, and 3,705,990 elements for the patient

21 specific ICA with aneurysm.

22 The governing Navier-Stokes equations were solved using a commercial finite-

23 volume based solver (ANSYS® FLUENT 13.0). Transient terms were integrated using a

24 second order implicit method. A second order upwind scheme was used to discretize the

25 convection terms, and the diffusion terms were central differenced to be accurate in their

second order. We use SIMPLEC algorithm for pressure velocity coupling and the flow was assumed to be laminar within the domain (Mohamied *et al,* 2017, Vasava *et al,* 2011). We did not include turbulence models in the AA cases as the flows are unsteady and do not become fully turbulent. Grid and flow cycle independence were not achieved for the patient specific AA geometry with aneurysm. (need to write something here to wrap up) ~~The chosen time step was 10^{-3} s and the criterion for convergence for residuals was set below 10^{-5} for each of the continuity and the three velocity components in each case. Grid independence studies were carried out based on the following criterion: the RMS difference of WSS between successive meshes was less than 2% of the RMS of the WSS over one cardiac cycle. The number of cells were doubled until this criterion was met. Flow cycle independence was also checked until the shear rosettes were time periodic to eliminate the initial transient effects. Four cardiac cycles were simulated and results corresponding to the last cycle are reported in this study.~~

8. Appendix B. Principal directions in the shear rosette

The rosette is represented using an orthogonal set of coordinates, $\hat{s}$ and $\hat{b}$, which are the axial and secondary flow directions respectively. Let '1' and '2' represent the two principal directions, θ be the angle between the instantaneous shear stress vector τ and $\hat{s}$, and ϕ be the angle between the directions '1' and $\hat{s}$. τ_1 is the projection of τ on direction 1 and τ_2 is the projection on direction 2. By definition (Chakraborty *et al.*, 2012),

$$\int_0^T \tau_1 \tau_2 \, dt = 0 \qquad (1)$$

where T is the time period of the cardiac cycle. and τ_{mag} is the magnitude of the τ. We show that the above relation is equivalent to either maximizing $\int_0^T \tau_1^2 \, dt$ in one principal direction and minimizing $\int_0^T \tau_2^2 \, dt$ in the other principal direction, "2". We compute the principal directions from the shear stress distributions.

$$\tau_1(t) = \tau_{mag} \cos(\theta(t) - \phi) \qquad (2)$$

$$\tau_2(t) = \tau_{mag} \sin(\theta(t) - \phi) \qquad (3)$$

$$\tau_{mag}^2 = \tau_1^2 + \tau_2^2 \qquad (4)$$

Substituting in equation 1, we get,

$$\int_0^T \tau^2{}_{mag}(t) \sin\left(2(\theta(t) - \phi)\right) dt = 0 \qquad (5)$$

Because ϕ is an independent variable, we can rewrite this expression as a maximization or a minimization problem as:

$$\frac{1}{2} \frac{\partial}{\partial \phi} \left(\int_0^T \tau_{mag}^2(t) \cos(2(\theta(t) - \phi)) \, dt \right) = 0 \qquad (6)$$

Thus, the integral in the above expression reaches an extremum at a particular value of ϕ which gives the principal directions. The integral in equation (6) can be rewritten as,

$$I = \int_0^T \left(\tau_{mag}^2(t) \cos^2(\theta(t) - \phi) - \tau_{mag}^2 \sin^2(\theta(t) - \phi) \right) dt \qquad (7)$$

Using equations (2-4), we get

$$I = \int_0^T \left(\boldsymbol{\tau}_1^2(t) - \boldsymbol{\tau}_2^2(t) \right) dt \tag{8}$$

$$I = \int_0^T \left(\boldsymbol{\tau}_{mag}^2(t) - 2\boldsymbol{\tau}_2^2(t) \right) dt \tag{9}$$

$$I = \int_0^T \left(2\boldsymbol{\tau}_1^2(t) - \boldsymbol{\tau}_{mag}^2(t) \right) dt \tag{10}$$

Because $\boldsymbol{\tau}_{mag}(t)$ is independent of the choice of reference direction,

$$\int_0^T \boldsymbol{\tau}_{mag}^2(t) \, dt = const \tag{11}$$

This procedure takes into account the time spent by the WSS vector at each location over the cardiac cycle.

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11

starting from the reference direction, $\hat{s}$ (b) The shear rosette is shown for ~~the same~~ point B with the mean WSS, transWSS, and AR metrics given by points marked on the rosette. (c) Rosettes are shown at point A marked on plane P45 and (d) Point C marked on plane P45. WSS values are shown for each rosette in magenta. Points corresponding to the maximum acceleration (red), deceleration (blue), and mean value of WSS (black) are indicated using filled circles. Values of the relevant flow metrics at these locations are also shown.

Figure 5: (a) Streamlines, corresponding to the peak velocity, are shown for the control ICA. (b) Axial velocity contours with superimposed velocity vectors are shown for the highlighted plane. (c-f) Shear stress rosettes are plotted corresponding to the points A-D marked in (a).

Figure 6: Streamlines are shown for the ICA with aneurysm. Shear stress rosettes are plotted at points (A-E) indicated on the surface.

Figure 7: TAWSS, OSI, AR, transWSS metrics are plotted for (a) control, (b) patient specific AA geometry with aneurysm, (c) Control ICA, and (d) patient specific model of ICA with aneurysm.

11. List of Tables
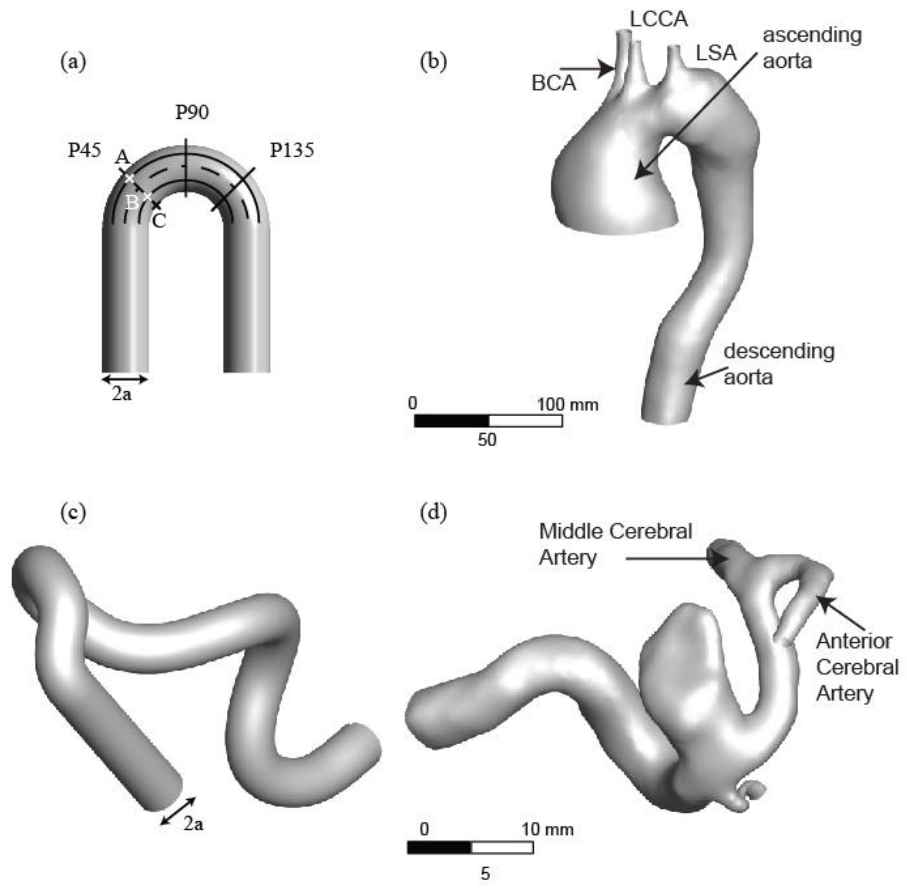
Table I: Definitions and a brief description of select shear metrics in this study.

Table II: Values of the different shear metrics for control ICA geometry and patient specific ICA with aneurysm are given at selected points in Figure 5 and Figure 6.
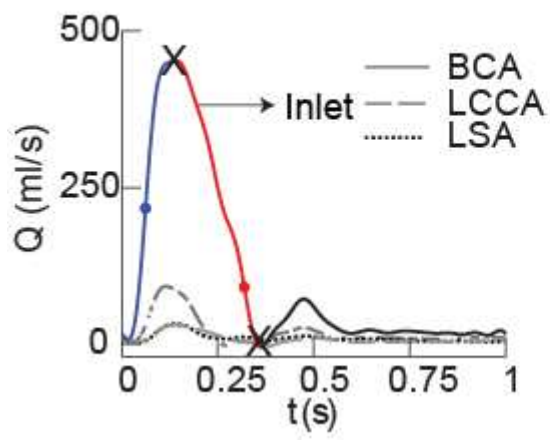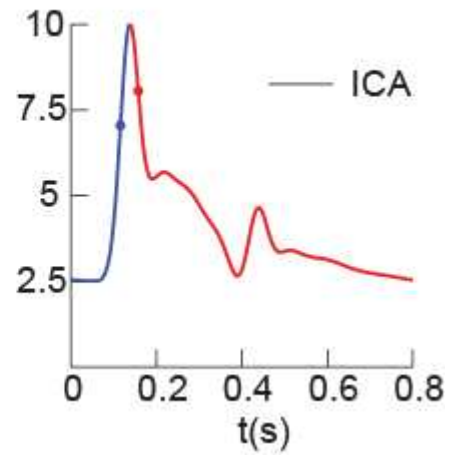
Figure 1

(a)

P90

P45 A

P135

B
C

2a

(b)

LCCA

BCA

LSA

ascending
aorta

descending
aorta

0   100 mm

50

(c)

2a

(d)

Middle Cerebral
Artery

Anterior
Cerebral
Artery

0   10 mm

5

3
4

Figure 2

1   **Figure 3**
2
3

4

Figure 4

(a) Point B
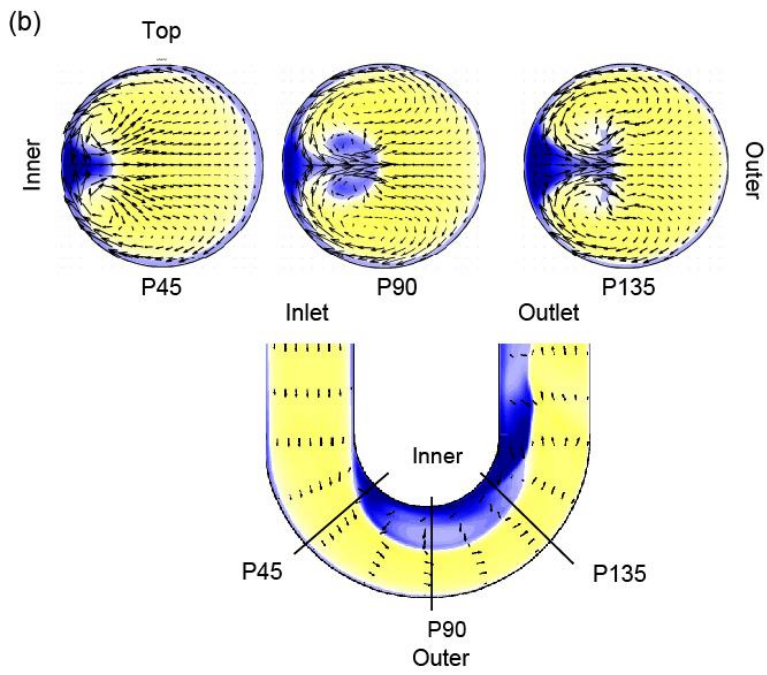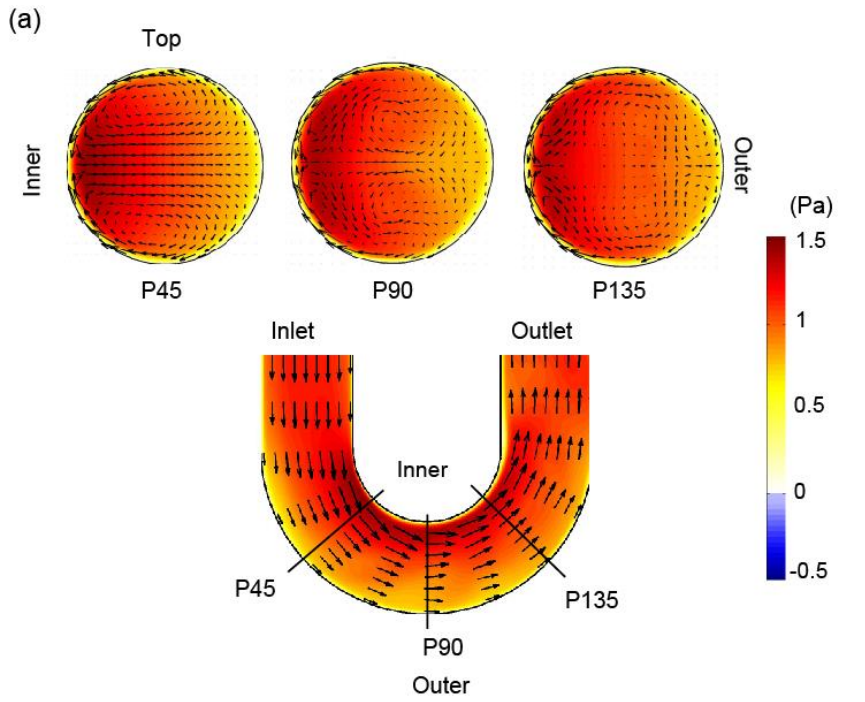
(b) Point B
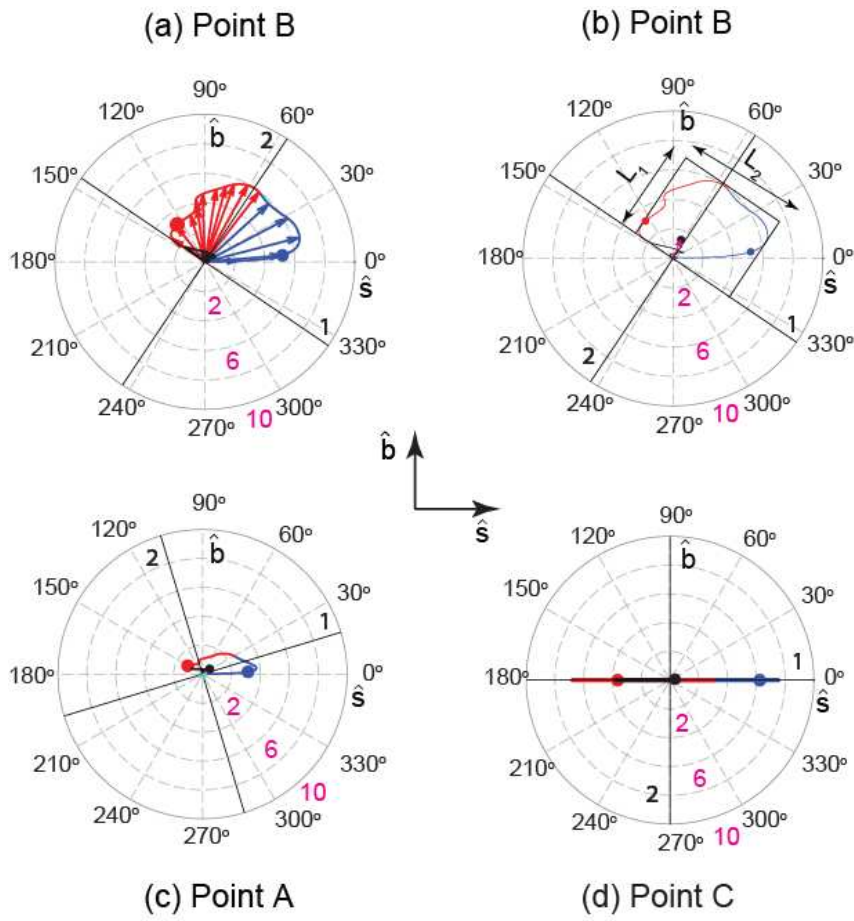
(c) Point A

(d) Point C

	|WSS$_{mean}$| (Pa)	TAWSS (Pa)	transWSS (Pa)	OSI	AR
Point A	0.54	0.76	0.4	0.15	0.4
Point B	1.36	1.71	0.83	0.09	0.81
Point C	0.06	1.34	0.0	0.48	0.0`

Figure 5

(a)

Top
×
D

C
×

Outlet

×B

A×

Inlet

Top
(b)

×D

(Pa)
1.6
1.2
0.8
0.4
0

(c) Point A
135°   40   45°
         20
2   1

235°   315°

(d) Point B
135°   40   45°
         20

2   1
235°   315°

(e) Point C
135°   40   45°
         20
2   1

235°   315°

b̂
ŝ

(f) Point D
135°   40   45°
         20
2   1

235°   315°
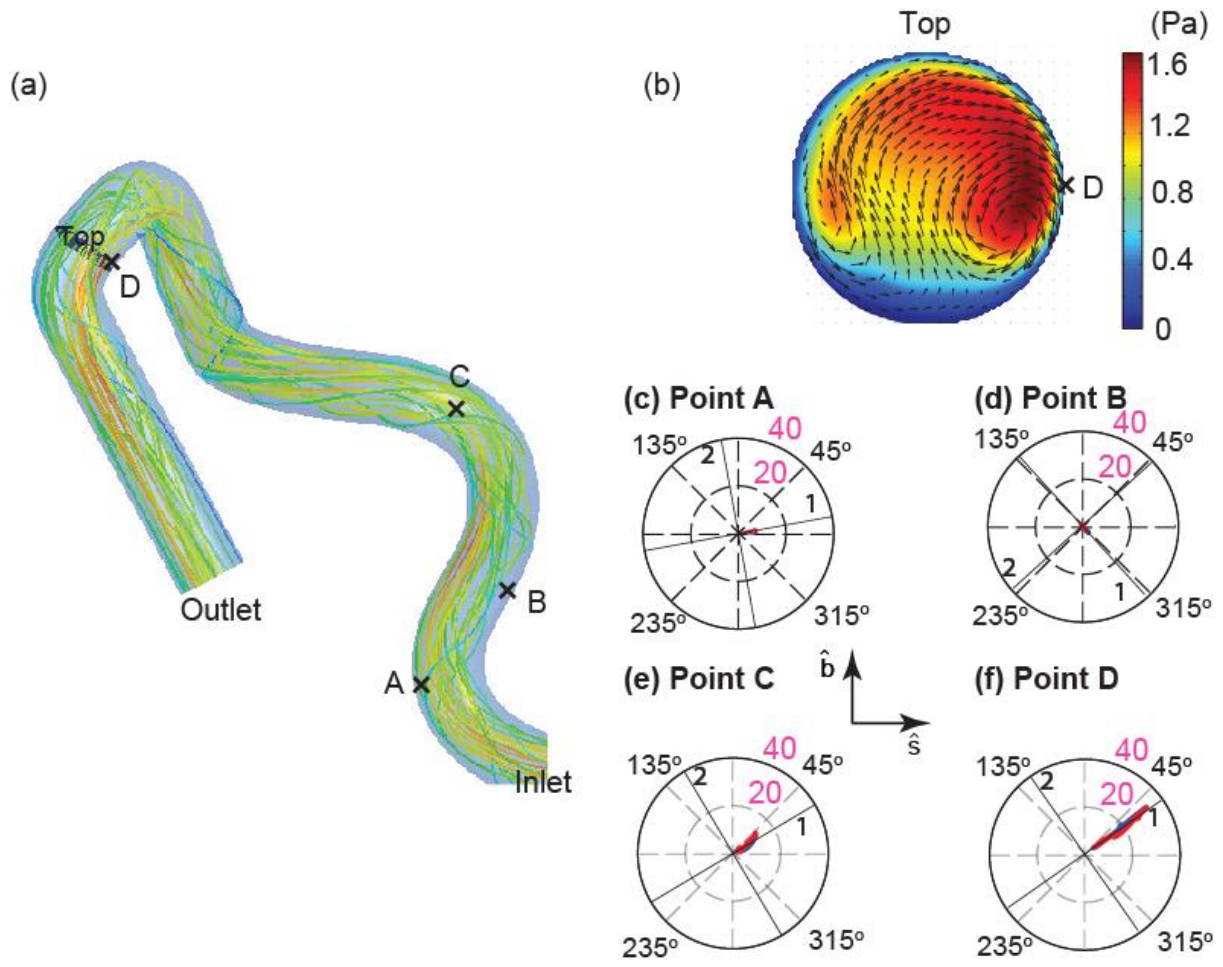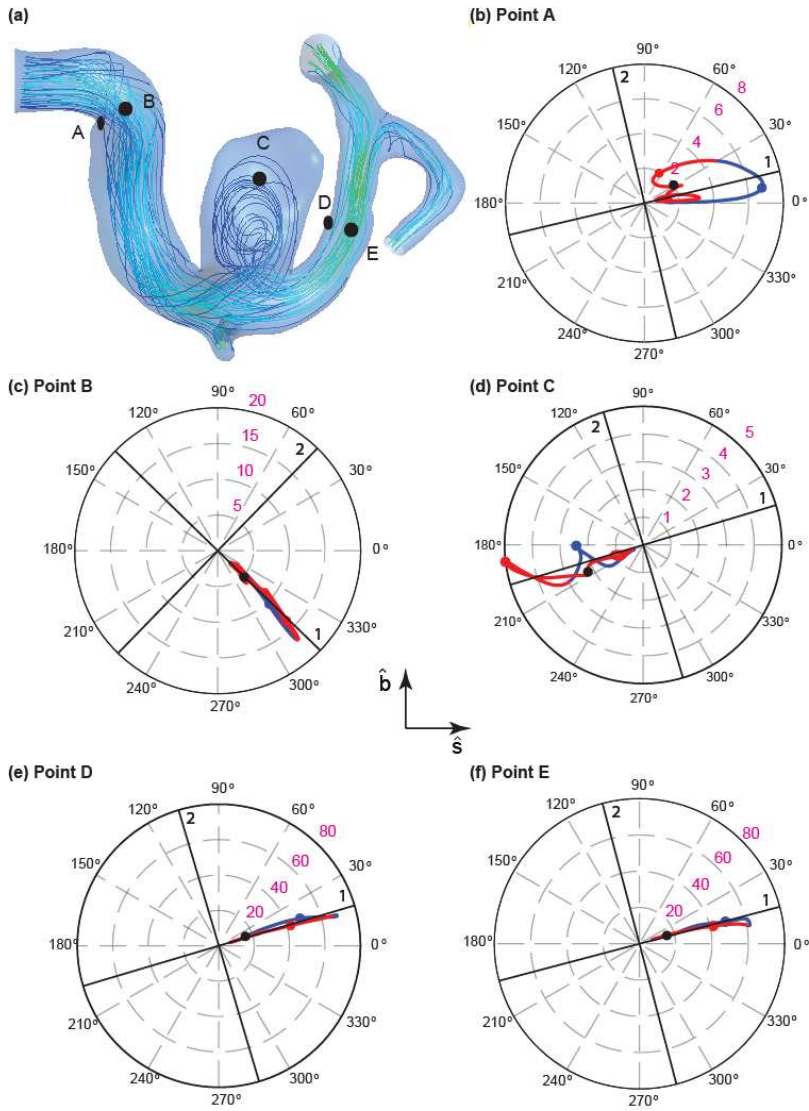
1   **Figure 6**
2

3

1   **Figure 7**

2

3
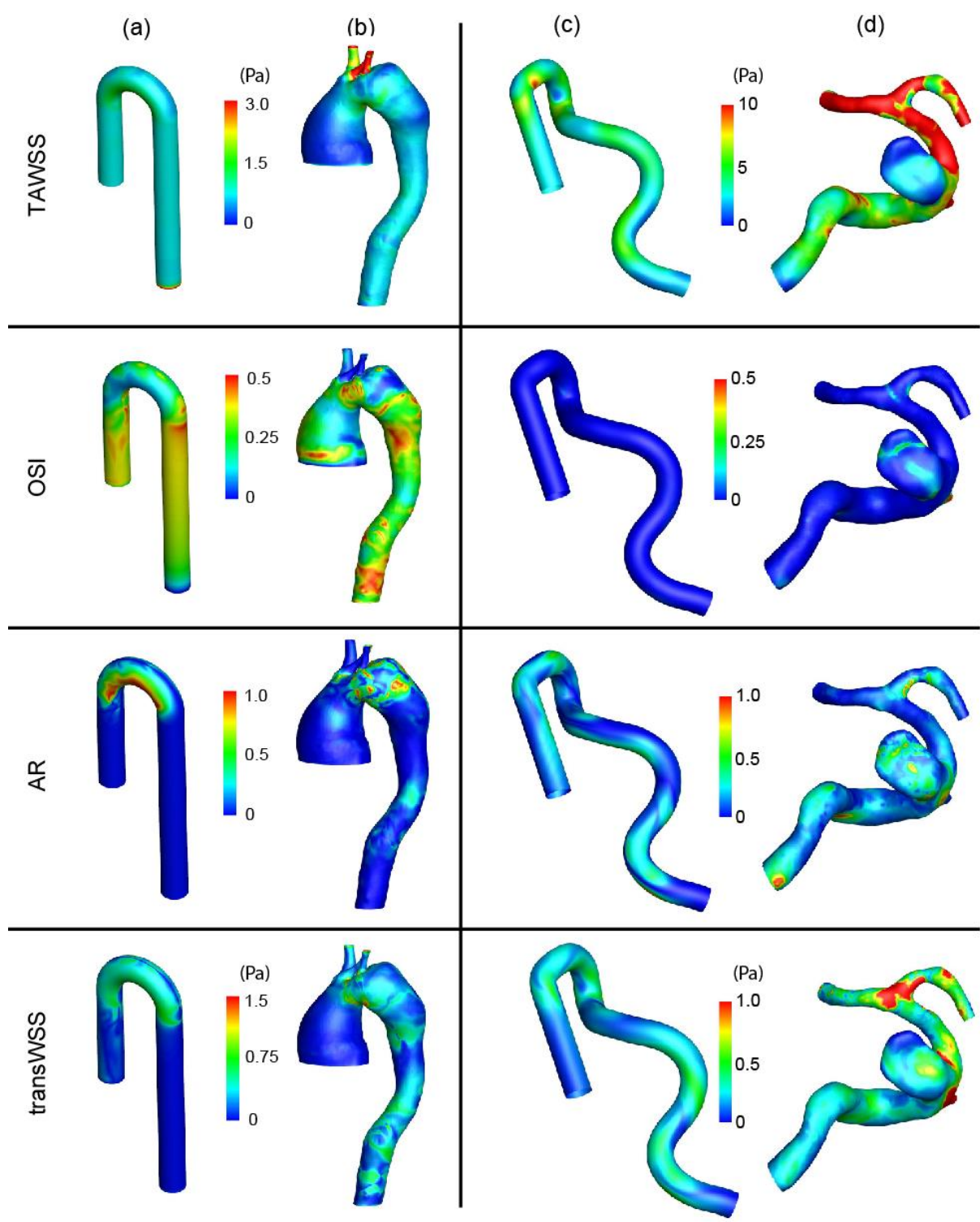
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5

1   **Table I:**

	Equation	Description	Reference
Time Averaged Wall Shear Stress (TAWSS)	$$TAWSS = \frac{1}{T}\int_{nT}^{nT+T} |\vec{\tau}|\,dt$$	Time average of the WSS magnitude over a cardiac cycle	(He and Ku, 1996)
Mean shear stress ($\vec{\tau}_{mean}$)	$$\vec{\tau}_{mean} = \frac{1}{T}\int_{nT}^{nT+T} \vec{\tau}\,dt$$	Time average of the WSS vector over a cardiac cycle	(Arzani and Shadden, 2016)
Oscillatory shear index (OSI)	$$OSI = \frac{1}{2}\left\{1 - \frac{|\vec{\tau}_{mean}|}{TAWSS}\right\}$$	Oscillatory variation in the WSS	(Ku *et al*, 1985)
TransWSS	$$transWSS = \frac{1}{T}\int_{nT}^{nT+T} \left| \vec{\tau} \cdot \left(\vec{n} \times \frac{\vec{\tau}_{mean}}{|\vec{\tau}_{mean}|}\right)\right|\,dt$$	Time averaged magnitude of WSS components normal to the mean shear stress vector and the local surface normal ($\vec{n}$)	(Peiffer *et al*, 2013)
Anisotropy ratio (AR)	$$AR = \frac{\left(\tau_{2(max)} - \tau_{2(min)}\right)}{\left(\tau_{1(max)} - \tau_{1(min)}\right)}$$	The minimum ratio of breadth to the length of a rectangular box bounding the shear rosette. 1 and 2 are principal directions of the shear rosette	Current study

2

37

1 **Table II**

	Location	OSI	TAWSS (Pa)	AR	transWSS (Pa)
Control ICA	**A**	0.00	2.43	0.11	0.11
	B	0.00	1.03	0.1	0.04
	C	0.00	4.11	0.26	0.25
	D	0.00	9.61	0.08	0.21
Aneurysm ICA	**A**	0.00	2.25	0.43	0.46
	B	0.00	5.29	0.13	0.16
	C	0.00	2.44	0.31	0.28
	D	0.00	15.48	0.08	0.38
	E	0.00	16.51	0.13	0.50

2

3