

1 **\*AIDSImpact SPECIAL ISSUE\***

2 **The impact of common mental disorders among caregivers living with HIV**  
3 **on child cognitive development in Zimbabwe**

4 Helen Mebrahtu<sup>1</sup> ([helen.mebrahtu.15@ucl.ac.uk](mailto:helen.mebrahtu.15@ucl.ac.uk)); Prof. Lorraine Sherr<sup>1</sup> ([l.sherr@ucl.ac.uk](mailto:l.sherr@ucl.ac.uk));  
5 Dr Victoria Simms<sup>2</sup> ([Victoria.Simms@LSHTM.ac.uk](mailto:Victoria.Simms@LSHTM.ac.uk)); Prof. Helen A. Weiss<sup>2</sup>  
6 ([Helen.Weiss@lshtm.ac.uk](mailto:Helen.Weiss@lshtm.ac.uk)); Rudo Chingono<sup>3</sup> ([rudo@ceshhar.co.zw](mailto:rudo@ceshhar.co.zw)); Dr Andrea M.  
7 Rehman<sup>2</sup> ([Andrea.Rehman@lshtm.ac.uk](mailto:Andrea.Rehman@lshtm.ac.uk)); Patience Ndlovu<sup>4</sup> ([pndlovu@zw.worlded.org](mailto:pndlovu@zw.worlded.org));  
8 Prof. Frances M. Cowan<sup>4,5</sup> ([Frances.Cowan@lstmed.ac.uk](mailto:Frances.Cowan@lstmed.ac.uk));

9  
10  
11  
12 <sup>1</sup> Institute of Global Health, University College London, UK. <sup>2</sup> MRC Tropical Epidemiology  
13 Group, London School of Hygiene and Tropical Medicine, UK. <sup>3</sup> Centre for Sexual Health  
14 HIV/AIDS Research (CeSHHAR) Zimbabwe. <sup>4</sup> World Education Inc./Bantwana (WEI/B),  
15 Zimbabwe. <sup>5</sup> Department of International Public Health, Liverpool School of Tropical  
16 Medicine, UK.

17  
18  
19 **Corresponding author:** H. Mebrahtu

20 **Correspondence details:** University College London, Department of Global Health, Royal  
21 Free Hospital Campus, Rowland Hill St, London, NW3 2PF.

22

23 **ABSTRACT**

24 Common mental disorders (CMD) among caregivers living with HIV may affect their young  
25 children. The aim of this paper is to analyse the impact of maternal CMD among caregivers  
26 living with HIV on the cognitive functioning of their child.

27 Data were collected at baseline and 12 months follow-up from mother-child dyads recruited  
28 as part of an ongoing trial among participants on the HIV-exposed infant register from 2 rural  
29 districts in Zimbabwe. Symptoms of CMD were assessed using the Shona Symptom  
30 Questionnaire (SSQ-8), with a cut-off point of  $\geq 6$ . Mixed-effects linear regression was used to  
31 assess child cognitive scores at follow-up (assessed using the Mullen Scales of Early  
32 Learning) in relation to caregiver CMD prevalence over 12 months.

33 Of the 574 caregivers enrolled in the trial, 514 (90.1%) were followed-up at 12 months. At  
34 baseline, caregivers reporting CMD (n=230; 40.1%) were less likely to have completed  
35 higher education (46.9% vs. 56.9%; p=0.02), more likely to be unmarried (27.8% vs. 16.0%;  
36 p<0.01), and experience food insecurity (50.0% vs. 29.4%; p<0.01) compared to the group  
37 without CMD (n=344).

38 There were 4 CMD patterns over time: i) Emerging CMD (n=101; 19.7% of caregivers)  
39 defined as those who were below the cut-off at baseline, and above it at 12 months; ii)  
40 Improving CMD (n=76; 14.8%) defined as those who reported CMD at baseline, and were  
41 below the cut-off by follow-up; iii) No CMD (n=206; 40.1%) defined as those who did not  
42 report CMD symptoms at either time point; and iv) Chronic CMD (n=131; 25.5%) defined as  
43 those who reported CMD above the cut-off at both time points. There was no evidence of a  
44 difference in the overall cognitive score of the children by caregiver CMD categories.

45 However, children of caregivers with chronic CMD (n=131, 25.5%) had lower receptive  
46 language scores (aMD:-2.81, 95%CI -5.1 to -0.6; p=0.05) compared to the reference group  
47 with no CMD (n=206, 40.1%).

48 Exposure to caregiver CMD over a prolonged period may affect child receptive vocabulary  
49 skills. This highlights the importance of the maternal mental health inclusion in HIV  
50 management as well as in child intervention programmes especially in environments  
51 compounded with adversities.

52

53 **Word count:** 351

54 **Keywords:** Common mental disorders; Maternal depression; Child cognitive development;  
55 HIV positive; Sub-Saharan Africa  
56  
57

## Introduction

58  
59  
60  
61  
62  
63  
64  
65  
66  
67  
68  
69  
70  
71  
72  
73  
74  
75  
76  
77  
78  
79  
80  
81  
82  
83  
84  
85  
86  
87  
88  
89  
90

Maternal mental health is an important factor in healthy child development (Bennett, Schott, Krutikova, & Behrman, 2016; Hadley, Tegegn, Tessema, Asefa, & Galea, 2008). There is substantial evidence that maternal mental health can affect children in many domains, including cognitive and socio-emotional development as well as their nutritional status (Bennett et al., 2016; Stein et al., 2014; Surkan, Kennedy, Hurley, & Black, 2011).

Common mental disorder (CMD) is a term widely used to describe disorders such as depression, anxiety, and somatic symptoms (Goldberg, 1992). Evidence from low-and middle-income countries (LMIC) show that the children of mothers with CMD tend to have worse growth, cognitive and language development, even when taking social adversity into account (Cooper et al., 2009; Harpham, Huttly, De Silva, & Abramsky, 2005; Mekonnen et al., 2018). The relationship between maternal anxiety-mood disorders and poor childhood development is often exacerbated by low socioeconomic status (Bradley & Corwyn, 2002; Hadley et al., 2008). A systematic review examining the link between poverty and CMD in adults in LMIC reported that CMD is strongly associated with lower levels of education and socio-economic status, rapid social change, violence and insecurity, particularly among women in low resource settings (Lund et al., 2010). However, most studies in the review were cross-sectional, thus making it difficult to draw clear conclusions regarding the direction of the poverty-CMD relationship (Lund et al., 2010). This was supported by other studies from Africa which highlight the association of CMD with caregiver income and level of education (Chhagan et al., 2014) as well as child development (Hadley et al., 2008). The likely mechanism is that poverty and food insecurity influence maternal anxiety and depression and that these factors can be thought of as indirect contributors to children's development, with the effect mediated by maternal mental health status (Hadley et al., 2008).

Persistence of maternal CMD over time seems to be particularly important in relation to child cognitive development, especially in terms of language development (Quevedo et al., 2012). A study in Brazil found that children of mothers who experienced chronic depression (post-partum and 12 months later) had on average poorer language skills than the children who were exposed to depression only at one time-point or not at all (Quevedo et al., 2012). Other studies report the impact of maternal CMD on child development is influenced by the amount of time the child is exposed to the adult with the disorder, the severity of maternal symptoms and the time of exposure (Brennan et al., 2000; Sohr-Preston & Scaramella, 2006). Mothers with chronic depression may compromise the level of care and quality of stimulation given to

91 their child, particularly in verbal interactions (Brennan et al., 2000). However, some studies  
92 report no evidence of an association between infant developmental outcomes and the  
93 presence of high levels of maternal CMD symptoms at more than one time-point (i.e.  
94 chronic) even after adjusting for confounding variables such as infant undernutrition, birth  
95 weight, prolonged labour and illness episodes (Servili et al., 2010).

96 Cross-sectional studies in LMIC show that maternal CMD such as depression are associated  
97 with child language development in HIV-affected populations (Mebrahtu et al., 2018; Tse,  
98 Rich-Edwards, Rifas-Shiman, Gillman, & Oken, 2010). There is also good evidence that HIV  
99 is associated with an elevated mental health burden (Bernatsky, Souza, & Jong, 2007; Brandt,  
100 2009; Egbe et al., 2017). However scant attention is paid to the mental health burden of the  
101 mother living with HIV and the impact of prolonged exposure on child developmental  
102 domains, especially during the early stages of development. There is also a need for more  
103 evidence from LMIC settings investigating the impact of maternal CMD using locally  
104 developed and validated assessment tools for such population. The association of maternal  
105 CMD and child cognitive scores will be investigated in this longitudinal study.

## 106 **Methods**

### 107 *Study sample*

108 Data for this study were collected as part of a cluster-randomized controlled trial (The Child  
109 Health Initiative for Developmental Outcomes-CHIDO [PACTR201701001387209]). Details  
110 of the trial methods and outcome have been published previously (Chingono et al., 2018;  
111 Mebrahtu et al., 2019).

112 In brief, mother-child dyads were recruited from catchment areas surrounding 30 clinics in 2  
113 rural districts in Zimbabwe. All mothers with confirmed HIV positive status during  
114 pregnancy who lived locally and had singleton births aged 0-24 months with no other chronic  
115 illness were invited to enrol in the trial. All participants were provided with full information  
116 and gave consent to participate in the study as well as consent for child participation.

117 Trial participants were assessed at baseline upon enrolment and followed up for 12 months  
118 for re-assessment. This analysis includes all primary caregivers (i.e. biological mothers and  
119 other caregivers) that completed mental health assessments at both time points as well as their  
120 children. Given that the intervention of the trial had no significant effect on child cognitive  
121 development (Mebrahtu et al., 2019) the data from all arms of the trial were pooled.

122 **Measures**

123 I) *Maternal measures*

124 Socio-demographic information were collected on participant characteristics (age, marital  
125 status), and socioeconomic factors (educational level, employment status, asset index score,  
126 and number of adults living in the household). A subset of questions from the Household  
127 Food Insecurity Access Scale (Coates, Swindale, & Bilinsky, 2007) was used to assess  
128 household food insecurity in the study. These were used to categorize households as: food  
129 secure, moderately insecure or severely insecure.

130 II) *Mental health measures*

131 Common mental disorder (CMD) symptoms were assessed using the locally developed and  
132 validated Shona Symptom Questionnaire (SSQ)-8 (Patel, Simunyu E Fau - Gwanzura,  
133 Gwanzura F Fau - Lewis, Lewis G Fau - Mann, & Mann, 1997). The short form is derived  
134 from the longer SSQ-14 version. Scores range from 0-8, and scores 6 and above were used a  
135 cut-off point for identifying those diagnosed as suffering from CMD symptoms (Patel et al.,  
136 1997). The longitudinal data was used to generate four groupings: i) caregivers with Emerging  
137 CMD defined as those who were below the cut-off at baseline, and above it at 12 months; ii)  
138 Improving CMD defined as those who reported CMD at baseline, and were below the cut-off  
139 by follow-up; iii) No CMD defined as those who did not report CMD at either time point; and  
140 iv) Chronic CMD defined as those who reported CMD above the cut-off at both time points.

141 The EPDS, a postpartum depression-screening questionnaire (with scores ranging from 0-30),  
142 which has also been validated in Zimbabwe (Chibanda et al., 2010) was administered to  
143 participating mothers (Chibanda et al., 2010; Cox, Holden, & Sagovsky, 1987). A cut-off  
144 point ( $\geq 12$ ) was used for identifying participants with high depressive symptoms. The  
145 Parental Stress Index-Short Form (PSI-SF), a self-completed screening tool was used for  
146 identifying different types of stress associated with parenting (Abidin, 1995). This index  
147 comprises 3 subscales which combine to give a Total Stress Score ranging from 40-149.

148 III) *Child assessment measures*

149 Child cognitive development was assessed using the Mullen Scales of Early Learning (M. J.  
150 Boivin, Nakasujja, Sikorskii, Opoka, & Giordani, 2016; Mullen, 1995). The Mullen scale  
151 assesses child abilities in different developmental domains including gross motor skills,  
152 visual reception, fine motor skills, receptive language, and expressive language (Mullen,

153 1995). The Mullen scales were administered to all children in the standardized format at  
154 enrolment and 12 months later. The test scores obtained by the children for each Mullen scale  
155 were transformed into an age-standardized T-score, using a US reference population as there  
156 was no local Zimbabwean reference population on this index. The Mullen scales have been  
157 used in several settings in Africa (Bass et al., 2016; Michael J. Boivin et al., 2013a, 2013b;  
158 Bornman et al., 2018; Brahmhatt et al., 2017; Mireku et al., 2016; Ruiseñor-Escudero et al.,  
159 2016). The standardized T-scores of four components - the fine motor, expressive language,  
160 receptive language, and visual perception scales are combined to produce the Early Learning  
161 Composite (ELC) score. Composite scores were used in this analysis to measure general  
162 cognitive functioning. Gross motor scale was not included in the ELC score and was used  
163 separately as an indicator concentrating on their motor skills (Akshoomoff, 2006; Mullen,  
164 1995).

### 165 *Statistical analysis*

166 Student's t-test, and Pearson's chi square were used to compare characteristics of participants  
167 by CMD symptoms. Characteristics of the sample were described using means, standard  
168 deviations (SD), frequencies and percentages.

169 Mixed-effects linear regression was used to compare child cognitive outcomes by caregiver  
170 CMD over 12 months. Data were pooled for this analysis as there was no evidence of  
171 differences in child cognitive outcomes by trial arm. Adjusted mean differences were  
172 reported comparing the mean children's cognitive scores at follow-up by caregiver's CMD  
173 categories. Models were adjusted for baseline Mullen scores and tested confounding variables  
174 (household food insecurity and the code for the person conducting Mullen assessments).  
175 Clustering within study sites was accounted for by incorporating a random effect for cluster  
176 in all models. All analysis was conducted using STATA v.15.1 (StataCorp LP, College  
177 Station, Texas, USA).

### 178 *Ethical approval*

179 The study was approved by the Medical Research Council of Zimbabwe (MRCZ/A/1943),  
180 University College London (6789/002) and the London School of Hygiene and Tropical  
181 Medicine (9912). All participants were provided with full information and gave consent to  
182 participate in the study as well as consent for child participation.

183

184

## Results

### 185 *Sample characteristics at baseline*

186 At baseline, all 574 caregivers enrolled in the trial completed the assessments, with 230  
187 (40.1%) caregivers scoring above the cut-off for CMD on the SSQ-8 scale (Table 1).

188 The mean age of the mothers (n=562) was 31.9 years (SD=6.9), 52.9% had completed  
189 secondary level of education and above, over three quarters were married (79.3%), and  
190 36.6% reported being formally or informally employed. The mean household size was 5.2  
191 (SD=1.8), and 37.6% reported moderate to severe hunger in the household. Over half the  
192 women (53.0%) were diagnosed with HIV before their pregnancy and were aware of their  
193 status prior to conception with the remainder diagnosed during antenatal care.

194 There was no evidence of differences by trial arm allocation in baseline prevalence of CMD  
195 among the caregivers (48.7% reported CMD in the intervention arm vs. 51.3% control arm;  
196  $p=0.92$ ). However, CMD symptoms were associated with caregivers' education level, marital  
197 status, food insecurity, child cognitive scores, and parental stress and depression symptoms  
198 (Table 1). Caregivers with CMD were less likely to have completed higher education (46.9%  
199 vs. 56.9%;  $p=0.02$ ), more likely to be unmarried (27.8% vs. 16.0%;  $p<0.01$ ), and more likely  
200 to live in households with moderate to severe hunger (50.0% vs. 29.4%;  $p<0.01$ ) compared to  
201 the group with no CMD. Caregivers with CMD also experienced elevated parental stress  
202 (PSI-SF mean- 93.1 vs. 79.4;  $p<0.01$ ) and post-natal depression symptoms (EPDS mean- 16.2  
203 vs. 8.3;  $p<0.01$ ).

204 **Insert Table 1 here**

### 205 *Caregiver CMD symptoms change over 12 months*

206 Of the 574 caregivers who completed the baseline assessments, 90.1% (n= 514) completed a  
207 follow-up survey after 12 months. Of the 514 caregivers, the largest proportion (n=206;  
208 40.1%) did not report CMD at baseline or 12 months follow-up. However, 131 (25.5%)  
209 caregivers reported chronic CMD. There were 101 (19.7%) caregivers reporting emerging  
210 CMD and 76 (14.8%) reporting improvement in CMD symptoms (Figure 1).

211 **Insert Figure 1 here**

### 212 *Caregiver CMD symptoms and child outcome*



213 The mean Mullen scores of the children by caregiver CMD categories are shown in Figure 2.  
214 Children of the chronic group tend to have lower scores across the developmental sub-scales.  
215 Results of the multivariable regression models show no evidence of a difference in the overall  
216 cognitive score of the children by caregiver CMD categories (Tables 2 and 3). However,  
217 there was evidence of a difference in receptive language comparing children of caregivers  
218 with chronic CMD (adjusted mean difference (aMD) -2.81, 95%CI: -5.1 to -0.6; p=0.05) to  
219 the children of caregivers without CMD at either time point.

220 **Insert Figure 2 here**

221 **Insert Tables 2 and 3 here**

## 222 **Discussion**

223 The prevalence of CMD symptoms at baseline was high (40%) in the study sample, and was  
224 associated with lower education level, marital status, and food insecurity. CMD scores above  
225 the cut-off were also negatively associated with child receptive vocabulary. The findings of  
226 this study are consistent with previous studies that show caregivers who report mental  
227 disorders were more likely to have no source of income (from informal employment or social  
228 services) and have less formal education than other caregivers (Chhagan et al., 2014;  
229 Tomlinson, Grimsrud At Fau - Stein, Stein Dj Fau - Williams, Williams Dr Fau - Myer, &  
230 Myer, 2009; Williams et al., 2008), leading to household food insecurity. It is unclear  
231 whether these harsh living conditions drive poor mental health, or whether those with poor  
232 mental health gravitate towards social deprivation such as unemployment, school dropout and  
233 food insecurity. For all these mothers HIV was an additional factor which may contribute to  
234 the complex cycle of poor mental health and social deprivation. It is well established that  
235 there is a profound mental health burden of HIV (Myer et al., 2008; Tomlinson et al., 2009).  
236 Those with mental health problems are more likely to become infected in the first place, and  
237 the demands of living with a life threatening health condition, often stigma bound, may  
238 negatively affect mental health (Sherr, Cluver, et al., 2014; Whetten, Reif, Whetten, &  
239 Murphy-McMillan, 2008 ). Poor maternal mental health such as chronic or recurrent maternal  
240 depression may affect child development and especially when it occurs in the context of  
241 adversity such as poverty and dealing with HIV illness as experienced by this study  
242 population (Grace, Evindar, & Stewart, 2003); this was evident in the results of this study.  
243 There is further evidence in the literature on the effect of chronic maternal depression on  
244 child development (McLearn, Minkovitz, Strobino, Marks, & Hou, 2006). Mothers suffering

245 from chronic CMDs might be engaging less in early child stimulation practices and verbally  
246 interacting less with their children compared to the reference group (Brennan et al., 2000).  
247 This could explain the low language scores reported by the children of the chronic CMD  
248 group. However, contrary to the findings here, a cross-sectional study examining maternal  
249 CMD in rural Ethiopia reported that maternal symptoms of CMD were associated with both  
250 child global development and most developmental sub-scales except for language domain  
251 (Hadley et al., 2008). Another study reported mothers with chronic depressive symptoms  
252 were more likely to engage in parenting behaviours associated with child health and  
253 development than mothers with depressive symptoms at only 1 time or not at all (McLennan  
254 & Kotelchuck, 2000).

255 Of importance, it is difficult to disentangle anxiety and depression symptoms in patients  
256 experiencing CMDs. A study in Ethiopia reported that when symptoms of mental disorders  
257 were separated into high symptoms of depression and anxiety, depression was responsible for  
258 the association observed between overall child developmental scores and maternal symptoms  
259 of CMD (Hadley et al., 2008). There is usually an overlap between the two categories (i.e.  
260 depression and anxiety symptoms), with symptoms reported by patients in each category  
261 being highly related. Nonetheless, this is important to help tailor mental health care for HIV  
262 positive mothers and ensure their children reach their potential. Of note, the SSQ-8 tool used  
263 in this study measures the risk of CMD and is not diagnostic. Additionally, when being used  
264 in HIV positive individuals, the items in the SSQ-14 (Patel et al., 1997) (longer version of  
265 SSQ-8) identify many somatic symptoms which can be associated with HIV infection rather  
266 than CMD– although it has also been validated in HIV positives.

267 Strengths of the study include the large sample size which was representative of the study  
268 population and the high follow-up rate over 12 months. The majority of studies of maternal  
269 mental health and child development use cross-sectional data, are based in high-income  
270 countries and focus specifically on maternal depressive symptoms. The use of locally  
271 validated CMD assessment tool and longitudinal data allowed for an in-depth examination of  
272 CMD over time for this group of women living with HIV. This study highlights the effect of  
273 prolonged maternal CMD (over 12 months) exposure on a child's language acquisition skills.  
274 The findings here also provide valuable information on the characteristics of HIV positive  
275 mothers at risk of common mental disorders in rural settings.

276 Limitations include that the data for this analysis were collected as part of a trial. Although  
277 the trial did not show differences in cognitive development over time which allowed us to  
278 pool the data, there may have been some intervention exposure considerations that were  
279 missed. It was not possible to differentiate depression symptoms and anxiety when assessing  
280 caregiver's mental health using the SSQ-8 scale. Additionally, it was difficult to separate the  
281 reciprocal impact of maternal mental disorders and child development and establish a direct  
282 causal pathway. It is well documented that both HIV infected, and HIV exposed uninfected  
283 children may experience cognitive delay (Blanchette, Smith, Fernandes-Penney, King, &  
284 Read, 2001; Gay et al., 1995; Hutchings & Potterton, 2013; Sherr, Croome, Parra Castaneda,  
285 Bradshaw, & Herrero Romero, 2014; Van Rie, Mupuala, & Dow, 2008). Although HIV  
286 status was controlled for in the analysis, the direction of the CMD cannot be categorically  
287 ascertained. It may well be that observing a child with developmental challenges affects the  
288 mood of a mother – herself diagnosed with HIV either before conception or during  
289 pregnancy. Future studies would benefit from a longer follow-up period to assess child  
290 development over time.

291 In settings of high HIV prevalence and poverty, the concurrent common mental health burden  
292 needs urgent recognition and prioritization, given what is known about the impact of maternal  
293 depression on child language development. When considering public health policy and  
294 interventions in other LMIC with similar resource constraints the social or contextual factors  
295 contributing to caregiver mental health should be of high relevance (Chhagan et al., 2014).  
296 Interventions should be tailored to address such mental health challenges. This study aimed to  
297 examine the impact of duration, and severity of CMDs on child cognition. The consistent  
298 association between chronic CMD and child development observed here serves to strengthen  
299 the case for the inclusion of maternal mental health on the agenda of child intervention  
300 programmes and centre of postnatal care, especially in environments compounded with  
301 adversities.

302

303 **Word count:** 3,000

304 **Acknowledgements**

305 We would like to thank our various partners USAID-PEPFAR, the PEPFAR OVC Technical  
306 Working Group, CeSHHAR, and World Education Zimbabwe (project implementing  
307 partner). We would also like to thank the families and children who participated in the trial.

308 **Funding details**

309 The funding partners for the study are USAID-PEPFAR, funded under the Orphans and  
310 Vulnerable Children Special Initiative.

311 **Declaration of interest statement**

312 The authors declare that they have no competing interests.

313

## References

- 315 Abidin, R. R. (1995). Parenting Stress Index, Third Edition: Professional Manual. In. Odessa, FL:  
 316 Psychological Assessment Resources, Inc.
- 317 Akshoomoff, N. (2006). Use of the Mullen Scales of Early Learning for the assessment of young  
 318 children with Autism Spectrum Disorders. *Child Neuropsychol*, 12(4-5), 269-277.  
 319 doi:10.1080/09297040500473714
- 320 Bass, J. K., Nakasujja, N., Familiar-Lopez, I., Sikorskii, A., Murray, S. M., Opoka, R., . . . Boivin, M. J.  
 321 (2016). Association of caregiver quality of care with neurocognitive outcomes in HIV-  
 322 affected children aged 2-5 years in Uganda. *AIDS Care*, 28 Suppl 1, 76-83.  
 323 doi:10.1080/09540121.2016.1146215
- 324 Bennett, I. M., Schott, W., Krutikova, S., & Behrman, J. R. (2016). Maternal mental health, and child  
 325 growth and development, in four low-income and middle-income countries. *Journal of*  
 326 *Epidemiology and Community Health*, 70(2), 168. doi:10.1136/jech-2014-205311
- 327 Bernatsky, S., Souza, R., & Jong, K. d. (2007). Mental health in HIV-positive pregnant women: results  
 328 from Angola. *AIDS Care*, 19(5), 674-676.
- 329 Blanchette, N., Smith, M., Fernandes-Penney, A., King, S., & Read, S. (2001). *Cognitive and Motor*  
 330 *Development in Children with Vertically Transmitted HIV Infection*.
- 331 Boivin, M. J., Bangirana, P., Nakasujja, N., Page, C. F., Shohet, C., Givon, D., . . . Klein, P. S. (2013a). A  
 332 Year-Long Caregiver Training Program Improves Cognition in Preschool Ugandan Children  
 333 with Human Immunodeficiency Virus. *J Pediatr*, 163(5), 1409-1416.e1405.  
 334 doi:<https://doi.org/10.1016/j.jpeds.2013.06.055>
- 335 Boivin, M. J., Bangirana, P., Nakasujja, N., Page, C. F., Shohet, C., Givon, D., . . . Klein, P. S. (2013b). A  
 336 year-long caregiver training program to improve neurocognition in preschool Ugandan HIV-  
 337 exposed children. *Journal of developmental and behavioral pediatrics : JDBP*, 34(4), 269-278.  
 338 doi:10.1097/DBP.0b013e318285fba9
- 339 Boivin, M. J., Nakasujja, N., Sikorskii, A., Opoka, R. O., & Giordani, B. (2016). A Randomized  
 340 Controlled Trial to Evaluate if Computerized Cognitive Rehabilitation Improves  
 341 Neurocognition in Ugandan Children with HIV. *Aids Research and Human Retroviruses*, 32(8),  
 342 743-755. doi:10.1089/aid.2016.0026
- 343 Bornman, J., Ronski M Fau - Tonsing, K., Tonsing K Fau - Sevcik, R., Sevcik R Fau - White, R., White R  
 344 Fau - Barton-Hulsey, A., Barton-Hulsey A Fau - Morwane, R., & Morwane, R. (2018). Adapting  
 345 and translating the Mullen Scales of Early Learning for the South African context. *S Afr J*  
 346 *Commun Disord.*, 65(1), e1-e9.
- 347 Bradley, R. H., & Corwyn, R. F. (2002). Socioeconomic status and child development. *Annu Rev*  
 348 *Psychol.*, 53, 371-399.
- 349 Brahmabhatt, H., Boivin, M., Ssempijja, V., Kagaayi, J., Kigozi, G., Serwadda, D., . . . Gray, R. H. (2017).  
 350 Impact of HIV and Atiretroviral Therapy on Neurocognitive Outcomes Among School-Aged  
 351 Children. *Jaids-Journal of Acquired Immune Deficiency Syndromes*, 75(1), 1-8. Retrieved from  
 352 <Go to ISI>://000399376500008
- 353 Brandt, R. (2009). The mental health of people living with HIV/AIDS in Africa: a systematic review.  
 354 *African Journal of AIDS Research*, 8(2), 123-133. doi:10.2989/ajar.2009.8.2.1.853
- 355 Brennan, P. A., Hammen C Fau - Andersen, M. J., Andersen Mj Fau - Bor, W., Bor W Fau - Najman, J.  
 356 M., Najman Jm Fau - Williams, G. M., & Williams, G. M. (2000). Chronicity, severity, and  
 357 timing of maternal depressive symptoms: relationships with child outcomes at age 5. *Dev*  
 358 *Psychol.*, 36(6), 759-766.
- 359 Chhagan, M. K., Mellins, C. A., Kauchali, S., Craib, M. H., Taylor, M., Kvalsvig, J. D., & Davidson, L. L.  
 360 (2014). Mental health disorders among caregivers of preschool children in the Asenze study  
 361 in KwaZulu-Natal, South Africa. *Matern Child Health J*, 18(1), 191-199. doi:10.1007/s10995-  
 362 013-1254-5
- 363 Chibanda, D., Mangezi, W., Tshimanga, M., Woelk, G., Rusakaniko, P., Stranix-Chibanda, L., . . .  
 364 Shetty, A. K. (2010). Validation of the Edinburgh Postnatal Depression Scale among women

365 in a high HIV prevalence area in urban Zimbabwe. *Arch Womens Ment Health*, 13(3), 201-  
366 206. doi:10.1007/s00737-009-0073-6

367 Chingono, R., Mebrahtu, H. A.-O. h. o. o., Mupambireyi, Z., Simms, V., Weiss, H. A., Ndlovu, P., . . .  
368 Sherr, L. (2018). Evaluating the effectiveness of a multi-component intervention on early  
369 childhood development in paediatric HIV care and treatment programmes: a randomised  
370 controlled trial. *BMC Pediatr.*, 18(1), 222.

371 Coates, J., Swindale, A., & Bilinsky, P. (2007). Household Food Insecurity Access Scale (HFIAS) for  
372 Measurement of Food Access: Indicator Guide (v3). In. Washington, DC: FHI 360/FANTA-2.

373 Cooper, P. J., Tomlinson, M., Swartz, L., Landman, M., Molteno, C., Stein, A., . . . Murray, L. (2009).  
374 Improving quality of mother-infant relationship and infant attachment in socioeconomically  
375 deprived community in South Africa: randomised controlled trial. *BMJ (Clinical research ed.)*,  
376 338, b974. doi:10.1136/bmj.b974. (Accession No. 19366752)

377 Cox, J. L., Holden, J. M., & Sagovsky, R. (1987). Detection of postnatal depression. Development of  
378 the 10-item Edinburgh Postnatal Depression Scale. *The British Journal of Psychiatry*, 150,  
379 782-786.

380 Egbe, C. O., Dakum, P. S., Ekong, E., Kohrt, B. A., Minto, J. G., & Ticao, C. J. (2017). Depression,  
381 suicidality, and alcohol use disorder among people living with HIV/AIDS in Nigeria. *BMC*  
382 *Public Health*, 17(1), 542. doi:10.1186/s12889-017-4467-5

383 Gay, C., Armstrong, D., Cohen, D., Lai, S., Hardy, M., Swales, T., . . . Scott, G. (1995). The Effects of  
384 HIV on Cognitive and Motor Development in Children Born to HIV-Seropositive Women With  
385 No Reported Drug Use: Birth to 24 Months. *Pediatrics*, 96 (6).

386 Goldberg, D. (1992). *Common mental disorders: a biosocial model*.

387 Grace, S. L., Evindar, A., & Stewart, D. E. (2003). The effect of postpartum depression on child  
388 cognitive development and behavior: A review and critical analysis of the literature. *Archives*  
389 *of Women's Mental Health*, 6(4), 263-274. doi:10.1007/s00737-003-0024-6

390 Hadley, C., Tegegn, A., Tessema, F., Asefa, M., & Galea, S. (2008). Parental symptoms of common  
391 mental disorders and children's social, motor, and language development in sub-Saharan  
392 Africa. *Annals of Human Biology*, 35(3), 259-275. doi:10.1080/03014460802043624

393 Harpham, T., Huttly, S., De Silva, M. J., & Abramsky, T. (2005). Maternal mental health and child  
394 nutritional status in four developing countries. *Journal of Epidemiology and Community*  
395 *Health*, 59(12), 1060-1064. doi:10.1136/jech.2005.039180

396 Hutchings, J., & Potterton, J. (2013). Developmental delay in HIV-exposed infants in Harare,  
397 Zimbabwe. *Vulnerable Children and Youth Studies*, 9(1), 43-55.  
398 doi:10.1080/17450128.2013.778440

399 Lund, C., Breen, A., Flisher, A. J., Kakuma, R., Corrigall, J., Joska, J. A., . . . Patel, V. (2010). Poverty and  
400 common mental disorders in low and middle income countries: A systematic review. *Social*  
401 *Science & Medicine*, 71(3), 517-528. doi:<https://doi.org/10.1016/j.socscimed.2010.04.027>

402 McLearn, K. T., Minkovitz, C. S., Strobino, D. M., Marks, E., & Hou, W. (2006). The Timing of Maternal  
403 Depressive Symptoms and Mothers' Parenting Practices With Young Children:  
404 Implications for Pediatric Practice. *Pediatrics*, 118(1), e174. doi:10.1542/peds.2005-1551

405 McLennan, J. D., & Kotelchuck, M. (2000). Parental prevention practices for young children in the  
406 context of maternal depression. *Pediatrics.*, 105(5), 1090-1095.

407 Mebrahtu, H., Simms, V., Chingono, R., Mupambireyi, Z., Weiss, H., Ndlovu, P., . . . Sherr, L. (2018).  
408 Postpartum maternal mental health is associated with cognitive development of HIV-  
409 exposed infants in Zimbabwe: a cross-sectional study. *AIDS Care*, 30(sup2), 74-82.  
410 doi:10.1080/09540121.2018.1468015

411 Mebrahtu, H., Simms, V., Mupambireyi, Z., Rehman, A., Chingono, R., Matsikire, E., . . . Sherr, L.  
412 (2019). *Supporting caregivers through parenting classes and economic strengthening to*  
413 *improve the cognition of HIV-exposed infants in rural Zimbabwe: the CHIDO pragmatic*  
414 *cluster randomised controlled trial*. *BMJ Global Health*

415 Mekonnen, H., Medhin, G., Tomlinson, M., Alem, A., Prince, M., & Hanlon, C. (2018). Impact of  
416 maternal common mental disorders on child educational outcomes at 7 and 9 years: a  
417 population-based cohort study in Ethiopia. *BMJ open*, *8*(1), e018916. doi:10.1136/bmjopen-  
418 2017-018916. (Accession No. 29358435)

419 Mireku, M. O., Davidson, L. L., Boivin, M. J., Zoumenou, R., Massougbojji, A., Cot, M., & Bodeau-  
420 Livinec, F. (2016). Prenatal Iron Deficiency, Neonatal Ferritin, and Infant Cognitive Function.  
421 *Pediatrics*, *138*(6), e20161319. doi:10.1542/peds.2016-1319

422 Mullen, E. M. (1995). *Mullen scales of early learning*: AGS Circle Pines, MN.

423 Myer, L., Smit J Fau - Roux, L. L., Roux LI Fau - Parker, S., Parker S Fau - Stein, D. J., Stein Dj Fau -  
424 Seedat, S., & Seedat, S. (2008). Common mental disorders among HIV-infected individuals in  
425 South Africa: prevalence, predictors, and validation of brief psychiatric rating scales. *AIDS*  
426 *Patient Care STDS*, *22*(2), 147-158.

427 Patel, V., Simunyu E Fau - Gwanzura, F., Gwanzura F Fau - Lewis, G., Lewis G Fau - Mann, A., & Mann,  
428 A. (1997). The Shona Symptom Questionnaire: the development of an indigenous measure  
429 of common mental disorders in Harare. *Acta Psychiatr Scand.*, *95*(6), 469-475.

430 Quevedo, L. A., Silva, R. A., Godoy, R., Jansen, K., Matos, M. B., Tavares Pinheiro, K. A., & Pinheiro, R.  
431 T. (2012). The impact of maternal post-partum depression on the language development of  
432 children at 12 months. *Child: Care, Health and Development*, *38*(3), 420-424.  
433 doi:10.1111/j.1365-2214.2011.01251.x

434 Ruiseñor-Escudero, H., Familiar-Lopez, I., Sikorskii, A., Jambulingam, N., Nakasujja, N., Opoka, R., . . .  
435 Boivin, M. (2016). Nutritional and Immunological Correlates of Memory and Neurocognitive  
436 Development Among HIV-Infected Children Living in Kayunga, Uganda. *Journal of acquired*  
437 *immune deficiency syndromes (1999)*, *71*(5), 522-529. doi:10.1097/qai.0000000000000905

438 Servili, C., Medhin, G., Hanlon, C., Tomlinson, M., Worku, B., Baheretibeb, Y., . . . Prince, M. (2010).  
439 Maternal common mental disorders and infant development in Ethiopia: the P-MaMiE Birth  
440 Cohort. *BMC Public Health*, *10*(1), 693. doi:10.1186/1471-2458-10-693

441 Sherr, L., Cluver, L., Betancourt, T., Kellerman, S., Richter, L., & Desmond, C. (2014). Evidence of  
442 impact: Health, psychological and social effects of adult HIV on children. *AIDS*, *28*(SUPPL. 3),  
443 S251-S259. doi:10.1097/qad.0000000000000327

444 Sherr, L., Croome, N., Parra Castaneda, K., Bradshaw, K., & Herrero Romero, R. (2014).  
445 Developmental challenges in HIV infected children—An updated systematic review. *Children*  
446 *and Youth Services Review*, *45*, 74-89.  
447 doi:<http://dx.doi.org/10.1016/j.childyouth.2014.03.040>

448 Siegfried, N., Muller, M., Deeks, J. J., & Volmink, J. (2009). Male circumcision for prevention of  
449 heterosexual acquisition of HIV in men. *Cochrane Database Syst Rev*, *15*(2).

450 Siegfried, N., van der Merwe, L., Brocklehurst, P., & Sint, T. T. (2011). Antiretrovirals for reducing the  
451 risk of mother-to-child transmission of HIV infection. *Cochrane Database Syst Rev*, *6*(7).

452 Sohr-Preston, S. L., & Scaramella, L. V. (2006). Implications of timing of maternal depressive  
453 symptoms for early cognitive and language development. *Clin Child Fam Psychol Rev.*, *9*(1),  
454 65-83.

455 Stein, A., Pearson, R. M., Goodman, S. H., Rapa, E., Rahman, A., McCallum, M., . . . Pariante, C. M.  
456 (2014). Effects of perinatal mental disorders on the fetus and child. *The Lancet*, *384*(9956),  
457 1800-1819. doi:[https://doi.org/10.1016/S0140-6736\(14\)61277-0](https://doi.org/10.1016/S0140-6736(14)61277-0)

458 Surkan, P. J., Kennedy, C. E., Hurley, K. M., & Black, M. M. (2011). Maternal depression and early  
459 childhood growth in developing countries: systematic review and meta-analysis. *Bull World*  
460 *Health Organ*, *89*(8), 608-615. doi:10.2471/BLT.11.088187

461 Tomlinson, M., Grimsrud At Fau - Stein, D. J., Stein Dj Fau - Williams, D. R., Williams Dr Fau - Myer, L.,  
462 & Myer, L. (2009). The epidemiology of major depression in South Africa: results from the  
463 South African stress and health study. (0256-9574 (Print)).

464 Tse, A. C., Rich-Edwards, J. W., Rifas-Shiman, S. L., Gillman, M. W., & Oken, E. (2010). Association of  
465 maternal prenatal depressive symptoms with child cognition at age 3 years. *Paediatric and*  
466 *Perinatal Epidemiology*, 24(3), 232-240. doi:10.1111/j.1365-3016.2010.01113.x

467 Van Rie, A., Mupuala, A., & Dow, A. (2008). Impact of the HIV/AIDS Epidemic on the  
468 Neurodevelopment of Preschool-Aged Children in Kinshasa, Democratic Republic of the  
469 Congo. *Pediatrics*, 122(1), e123-e128. doi:10.1542/peds.2007-2558

470 Volmink, J., Siegfried, N. L., van der Merwe, L., & Brocklehurst, P. (2007). Antiretrovirals for reducing  
471 the risk of mother-to-child transmission of HIV infection. *Cochrane Database Syst Rev*, 24(1).

472 Whetten, K., Reif, S., Whetten, R., & Murphy-McMillan, L. (2008 ). Trauma, mental health, distrust,  
473 and stigma among HIV-positive persons: implications for effective care. *Psychosom Med.*,  
474 70(5), 531-538.

475 Williams, D. R., Herman, A., Stein, D. J., Heeringa, S. G., Jackson, P. B., Moomal, H., & Kessler, R. C.  
476 (2008). Twelve-month mental disorders in South Africa: prevalence, service use and  
477 demographic correlates in the population-based South African Stress and Health Study.  
478 *Psychological medicine*, 38(2), 211-220. doi:10.1017/S0033291707001420

479



480

### **List of tables**

481 Table 1: Caregiver demographic, socioeconomic, reproductive, mental health characteristics  
482 and child cognitive development by CMD symptoms at baseline

483 Table 2: Mullen T-scores of children at 12 months follow-up by caregiver CMD categories

484 Table 3: Association of caregiver CMD over time with child Mullen scores at 12 months

485

486

### **List of figures**

487 Figure 1: Categories of change in CMD symptoms reported using the SSQ-8 from enrolment  
488 to 12 months

489 Figure 2: Child Mullen scores at 12 months by caregiver common mental disorder categories

490 *Table 1: Caregiver demographic, socioeconomic, reproductive, mental health characteristics*  
 491 *and child cognitive development by CMD groups at baseline*

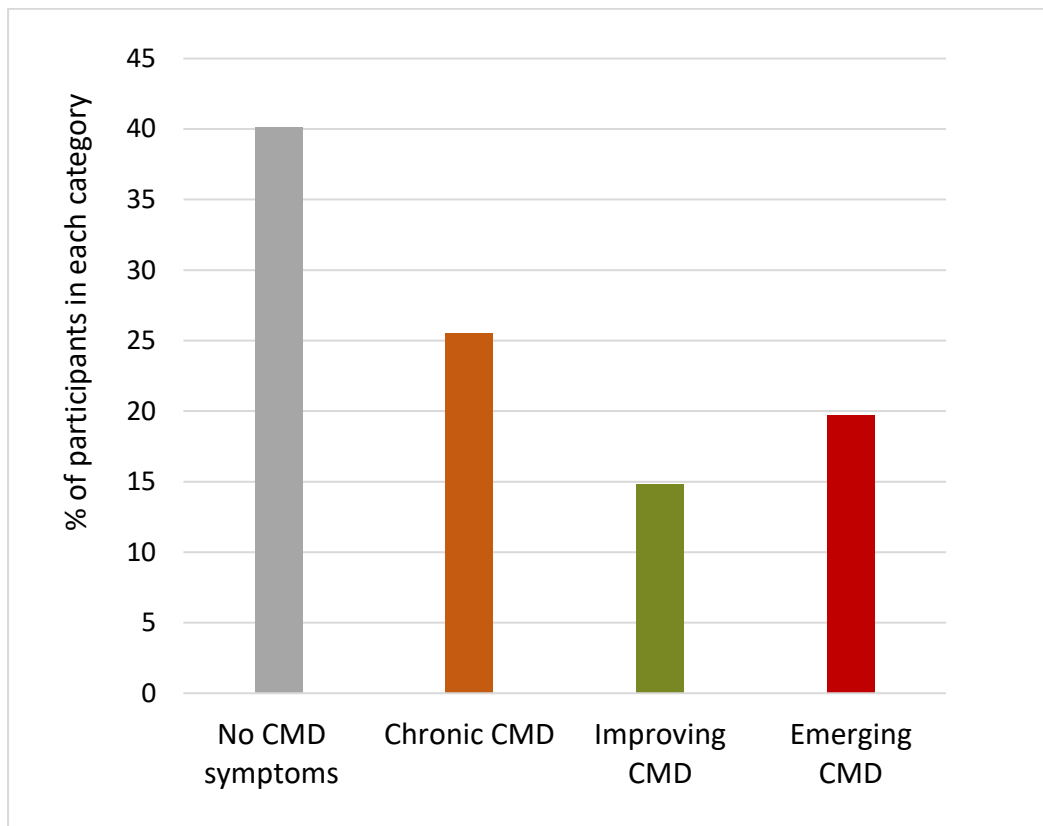
	<b>No CMD (n=344)</b>	<b>CMD (n=230)</b>	<b>Total (n=574)</b>	<b>P value</b>
<b>Trial arm, n (%)</b>				0.92
Intervention	169 (49.1)	112 (48.7)	281 (49.0)	
Control	175 (50.9)	118 (51.3)	293 (51.0)	
<b>Age (Years), mean (SD)</b>	31.6 (6.5)	32.3 (7.6)	31.9 (6.9)	0.22
<b>Education level (Completed secondary school and above), n (%)</b>	196 (56.9)	108 (46.9)	304 (52.9)	0.02
<b>Marital status, n (%)</b>				<0.01
Yes	289 (84.0)	166 (72.2)	455 (79.3)	
No	55 (16.0)	64 (27.8)	119 (20.7)	
<b>Relationship status<sup>^</sup>, n (%)</b>				0.01
Married	289 (84.0)	166 (72.2)	455 (79.3)	
Divorced/separated	32 (9.3)	42 (18.3)	74 (12.9)	
Widowed	15 (4.4)	16 (7.0)	31 (5.4)	
Never been married	8 (2.3)	5 (2.2)	13 (2.3)	
<b>Employment status (Yes-employed), n (%)</b>	116 (33.7)	94 (40.9)	210 (36.6)	0.08
<b>Household size (number of people living under the same roof) , mean (SD)</b>	5.2 (1.7)	5.3 (1.9)	5.2 (1.8)	0.31
<b>Hunger scales, n (%)</b>				<0.01

Little to no hunger	243 (70.6)	115 (50.0)	358 (62.4)	
Moderate to severe hunger	101 (29.4)	115 (50.0)	216 (37.6)	
<b>Asset Index score (terciles), n (%)</b>				0.08
Low	108 (31.4)	84 (36.5)	192 (33.5)	
Middle	109 (31.7)	82 (35.7)	191 (33.3)	
High	127 (36.9)	64 (27.8)	191 (33.3)	
<b>Tested for HIV, n (%)</b>				0.36
Before pregnancy	186 (54.6)	116 (50.7)	302 (53.0)	
During or following pregnancy	155 (45.5)	113 (49.3)	268 (47.0)	
<b>Child cognitive development at baseline (Mullen scales), mean (SD)</b>				
Expressive Language	53.8 (11.0)	51.2 (10.4)	52.8 (10.8)	<0.01
Fine Motor	52.0 (11.2)	48.6 (11.7)	50.7 (11.5)	<0.01
Gross Motor	51.0 (10.6)	49.6 (11.3)	50.5 (10.9)	0.13
Receptive Language	48.8 (11.3)	45.7 (11.8)	47.6 (11.6)	<0.01
Visual reception	55.0 (12.4)	50.2 (12.8)	53.1 (12.7)	<0.01
<i>Early Learning Composite Score</i>	104.9 (17.2)	98.3 (18.6)	102.3 (18.0)	<0.01
<b>Parental Stress Index at baseline, mean (SD)</b>				
Parental distress	29.2 (6.5)	36.2 (7.1)	32.0 (7.6)	<0.01
Difficult child	26.6 (5.8)	30.8 (6.8)	28.2 (6.6)	<0.01
Parent-child dysfunction	23.6 (5.5)	26.0 (6.6)	24.6 (6.0)	<0.01
<i>Total Stress score</i>	79.4 (13.8)	93.1 (16.2)	84.9 (16.2)	<0.01

<b>EPDS at baseline, mean (SD)</b>	8.3 (5.4)	16.2 (5.0)	11.5 (6.5)	<0.01

492 *EPDS: The Edinburgh postnatal depression scale/ SSQ-8: Shona Symptom Questionnaire/ CMD:*  
493 *common mental health disorder*  
494 *^ Relationship status variable was recoded to married/not married during analysis*  
495 *SSQ-8 cut-off points (No CMD=scores 0-5/ CMD = 6-8 scores)*  
496

497 *Figure 1: Categories of change in CMD symptoms reported using the SSQ-8 from enrolment*  
498 *to 12 months*



499

500

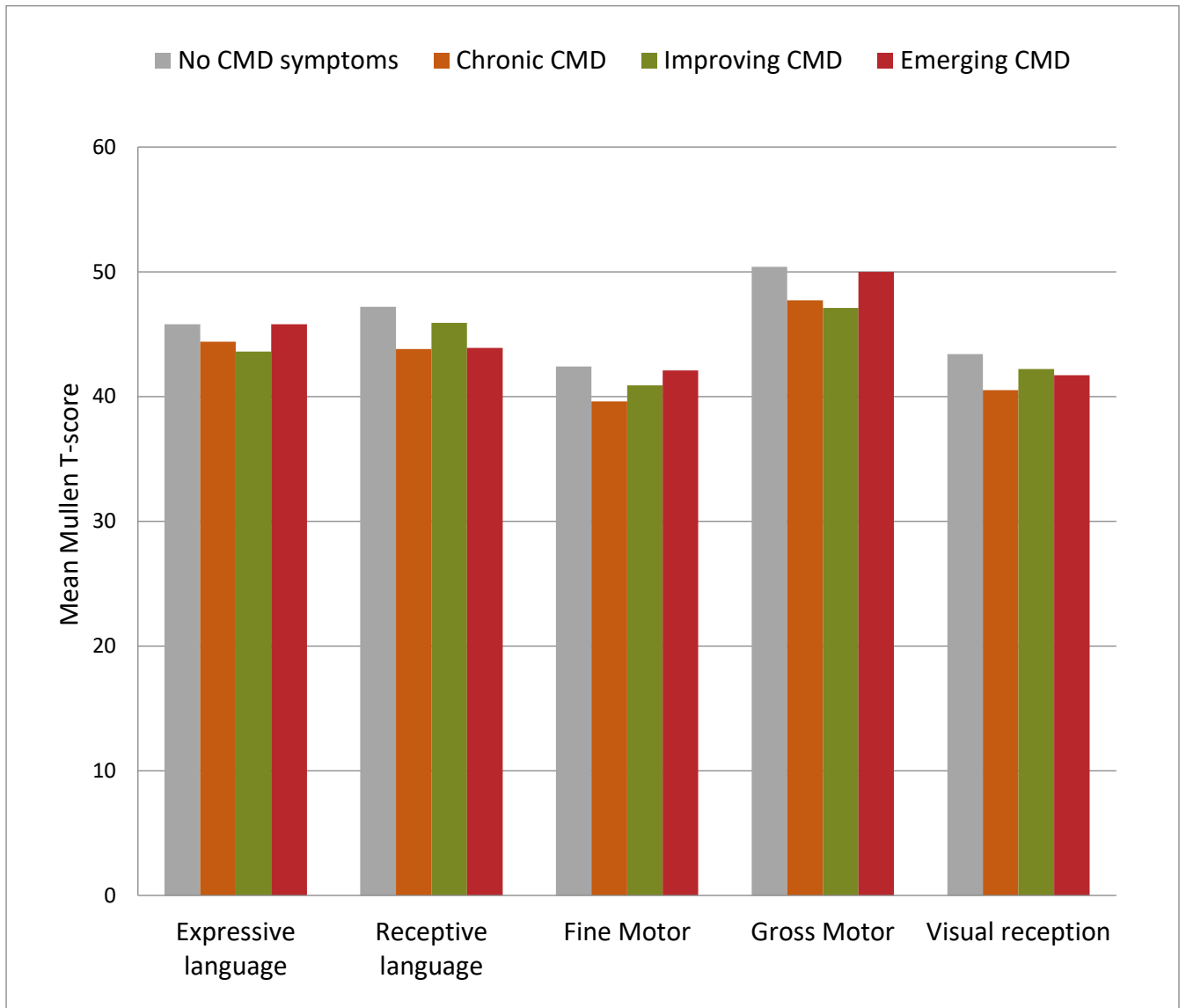
501 *Table 2: Mullen T-scores of children at 12 months follow-up by caregiver CMD categories*

<b>Mullen Scales (T-scores)</b>	<b>No CMD symptoms (n=206)</b>	<b>Chronic CMD (n=131)</b>	<b>Improving CMD (n=76)</b>	<b>Emerging CMD (n=101)</b>
	Mean (SD)			
Expressive language	45.8 (9.1)	44.4 (10.5)	43.6 (8.8)	45.8 (8.9)
Receptive language	47.2 (10.1)	43.8 (11.1)	45.9 (9.2)	43.9 (9.4)
Fine Motor	42.4 (11.3)	39.6 (9.8)	40.9 (11.5)	42.1 (10.9)
Gross Motor ^	50.4 (11.0)	47.7 (13.2)	47.1 (11.5)	50.0 (9.2)
Visual reception	43.4 (10.8)	40.5 (10.7)	42.2 (12.3)	41.7 (10.7)
<b>Early learning composite score</b>	90.0 (15.4)	85.2 (16.0)	87.1 (15.0)	87.5 (14.6)

502 <sup>^</sup>*Only measured in children aged <36 months at follow-up (n=397)*

503

504 *Figure 2: Child Mullen scores at 12 months by caregiver common mental disorder categories*



505

506

507 *Table 3: Association of caregiver CMD over time with child Mullen scores at 12 months*

<b>Mullen Scales (T-scores)</b>	<b>No CMD symptoms (n=206)</b>	<b>Chronic CMD (n=131)</b>	<b>Improving CMD (n=76)</b>	<b>Emerging CMD (n=101)</b>	<b>P value *</b>
		Adjusted mean difference (95% CI)			
Expressive Language	Ref	-0.48 (-2.99 to 1.28)	-0.71 (-3.32 to 1.90)	1.60 (-0.72 to 3.92)	0.33
Receptive Language	Ref	-2.81 (-5.07 to -0.56)	0.24 (-2.45 to 2.92)	-1.66 (-4.05 to 0.74)	0.05
Fine Motor	Ref	-0.87 (-3.40 to 1.67)	1.40 (-1.58 to 4.38)	1.82 (-0.83 to 4.48)	0.24
Gross Motor <sup>^</sup>	Ref	-0.77 (-3.83 to 2.30)	-1.40 (-4.86 to 2.08)	0.30 (-2.98 to 3.58)	0.81
Visual reception	Ref	-0.96 (-3.49 to 1.57)	1.85 (-1.05 to 4.75)	-0.06 (-2.55 to 2.67)	0.35
<b>Early Learning Composite Score</b>	Ref	-2.86 (-6.34 to 0.62)	1.13 (-2.87 to 5.12)	0.09 (-3.46 to 3.63)	0.23

508 *\*Model adjusted for baseline Mullen scores, household food insecurity, clustering of trial sites and*  
 509 *examiner*

510 *<sup>^</sup>Only measured in children aged <36 months at follow-up (n=397)*