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1 2	Prediction of drug loading in the gelatin matrix using computational methods
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# 27 Abstract

28 The delivery of drugs is a topic of intense research activity in both academia and industry 29 with potential for positive economic, health, and societal impacts. The selection of the 30 appropriate formulation (carrier and drug) with optimal delivery is a challenge investigated 31 by researchers in academia and industry, in which millions of dollars are invested annually. 32 Experiments involving different carriers and determination of their capacity for drug 33 loading is very time consuming, and therefore expensive; consequently, approaches that 34 employ computational/theoretical chemistry to speed have the potential to make hugely 35 beneficial economic, environmental and health impacts through savings in costs associated 36 with chemicals (and their safe disposal) and time. Here we report the use of computational 37 tools (data mining of available literature, principal component analysis, hierarchical 38 clustering analysis, partial least squares regression, autocovariance calculations, molecular 39 dynamic simulations and molecular docking) to successfully predict drug loading into 40 model drug delivery systems (gelatin nanospheres). We believe that this methodology has 41 the potential to lead to significant change in drug formulation studies across the world.

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43 Keywords: drug delivery; computational pharmaceutics; machine learning; molecular
44 dynamics simulations; docking; formulations; nanoparticles; gelatin.

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# 53 **1. Introduction**

The global market for drug-delivery systems is a multibillion-dollar industry, demand for which is growing in both developed and emerging economies (in part, driven by aging societies and rapid urbanization) <sup>1-9</sup>. Drug-delivery systems deliver drugs at rates controlled by specific features of the systems, particularly their chemical composition (e.g., inorganic/organic components, molecular weights of their constituents, crosslinking density of polymers, etc.) <sup>10-12</sup>.

60 The selection of the appropriate system (carrier and drug) to obtain optimal delivery is a 61 challenge investigated by researchers in academia and industry, in which millions of dollars are invested annually <sup>13</sup>. Experiments involving different carriers and determination of their 62 63 capacity for drug loading is very time consuming, and therefore expensive. Consequently, 64 approaches that exploit multivariate statistical methods, molecular simulations, docking methods, and mining the data in the literature <sup>14-19</sup>, have the potential to make hugely 65 66 beneficial economic, environmental and health impacts through savings in costs associated 67 with chemicals (and their safe disposal) and time.

68 Computational/theoretical chemists/biochemists, biomedical/chemical engineers and 69 pharmacists have developed a variety of methodologies that can be applied to understand 70 drug formulations. Principal component analysis (PCA) and hierarchical clustering analysis 71 (HCA) are considered exploratory data analysis and unsupervised machine learning 72 methods, where these techniques extract patterns from the independent factors (x-variables) 73 only and irrelevant to the y-outcomes. Partial least squares (PLS) is a supervised pattern 74 recognition method correlating the inputs with outputs and subsequently leads to the generation of a model <sup>20</sup>. This data mining approach (through a retrospective analysis) 75 76 combined with computer-aided analysis and simulation extracts knowledge from complex 77 variables and responses obtained from historical records. The significant advantage of this

78 approach is the possibility of uncovering interactions and linear relationships that might not be easily detectable with conventional experimental designs <sup>21</sup>. Although not yet fully 79 80 explored in drug formulation/delivery, multivariate statistical methods such as PCA and 81 agglomerative HCA were previously used to develop drug delivery formulations. For 82 example, PCA was utilized to generate a quantitative composition-permeability relationship 83 for microemulsion formulations used to deliver testosterone transdermally, with a linear 84 relationship between the lower-dimensionality data generated from the main principal component and the permeability coefficients of the different formulations <sup>22</sup>. PCA and HCA 85 86 were used to extract stable SMEDDS (Self Microemulsifying Drug Delivery Systems) and 87 SNEDDS (Self Nanoemulsifying Drug Delivery Systems) formulations of Lovastatin and Glibenclamide, respectively <sup>23,24</sup>; and PCA and PLS analysis were used to assess the 88 qualitative and quantitative effects of different variables such as lipid/surfactant type and 89 their concentrations on parameters related to storage stability <sup>25</sup>. Furthermore, PLS was 90 91 successfully employed to predict the sizes and polydispersity index (PDI) for lipid 92 nanocapsules based on the quantitative mixture composition <sup>26</sup>.

93 Here we extend these exciting studies by combining PCA, HCA and PLS with molecular dynamics and docking analysis <sup>27</sup> to give valuable insight into drug loading in a polymer 94 95 matrix. As a model polymer matrix we use protein-based nanoparticulate drug delivery 96 systems (i.e. nanospheres composed of collagen-derived gelatin). Gelatin is an abundant and inexpensive protein <sup>28</sup>, which is amphiphilic in nature due to its amino acid contents (ca. 12%) 97 98 anionic glutamic and aspartic acid; ca. 13% cationic lysine and arginine amino acids, and ca. 11% hydrophobic leucine, isoleucine, methionine and valine)<sup>29</sup>, and gelatin-based matrices 99 can in principle be used to deliver both small molecules and macromolecules <sup>30-36</sup>. In this 100 101 study we focus on a selection of low molecular weight drugs used in the clinic as depicted in 102 Figure 1.





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106 Figure 1. The chemical structures of the substances studied herein: A) Acyclovir, 107 B) Cryptolepine, C) Amphotericin B, D) Doxorubicin, E) 5-Fluorouracil (5FU), F) 108 Isoniazid, G) Resveratrol, H) Paclitaxel, I) Indomethacin and J) Curcumin.

#### 110 2. Materials and Methods

111 2.1. Data set

112 The data set contained 4 input variables (descriptors) and one output response (mass of 113 drug loaded per 100 mg gelatin nanospheres determined experimentally) for different drugs. 114 Data mining was performed through different databases such as: Pubmed and Web of Science® to obtain the output response for ten drugs: Acyclovir <sup>37</sup>, Amphotericin B <sup>38</sup>, 115 Cryptolepine <sup>39</sup>, Doxorubicin <sup>40</sup>, 5 Fluorouracil (5FU) <sup>41</sup>, Isoniazid <sup>42</sup>, Resveratrol <sup>43</sup>, 116 curcumin <sup>44</sup>, paclitaxel <sup>45</sup> and indomethacin <sup>46</sup>. 117

# 119 2.2. Calculation of molecular descriptors

120 The drugs were analyzed using Bioclipse® version 2.6 (Bioclipse project, Uppsala 121 University, Sweden) [39]. The four descriptors chosen were constitutional (molecular 122 weight), electronic (number of hydrogen bond donors and number of hydrogen bond 123 acceptors) and physico-chemical (xLog P).

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#### 125 2.3. Hierarchical clustering analysis (HCA).

126 The molecular descriptors generated using Bioclipse<sup>®</sup> version 2.6 were subjected to Hierarchical Clustering Analysis using JMP<sup>®</sup> 7.0 (SAS, Cary, NC, USA). Ward's minimum 127 128 variance method was adopted to join the clusters and generate a dendrogram. Ward's method 129 is considered an agglomerative hierarchical technique where the merging in the dendrogram 130 starts at the final clusters (leaves) and merging occurs stepwise until it reaches the trunk. 131 Ward's minimum variance criterion minimizes the total within-cluster variance. At each step, 132 the pair of clusters possessing minimum between-cluster distance is merged (i.e. the pair of 133 clusters that leads to the minimum increase in the total within-cluster variance after merging is selected) 47. 134

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#### 136 2.4. Principal component analysis (PCA).

PCA was used to extract patterns using an exploratory data analysis method that deals with the variances in sample observations. PCA was performed using JMP<sup>®</sup> 7.0. Four principal components were calculated by taking a linear combination of an eigenvector of the correlation matrix built up from standardized original variables. The dimensionality of the data was reduced by extracting two main principal components possessing the two highest Eigen values and plotting the data with respect to these two new orthogonal axes.

144 2.5. Partial least squares analysis (PLS) for model generation and validation of the model.

145 PLS was used to study correlations between the molecular descriptors and the output 146 response. PLS was performed using JMP<sup>®</sup> 7.0 using 4 latent vectors. The PLS generated 147 model was validated by checking the differences between the mean actual and predicted 148 response values using t-test statistical analysis at P < 0.05 using GraphPad Prism® v.5.0 149 (GraphPad software Inc., San Diego, CA, USA) and by performing a k-fold (5-folds) 150 cross-validation (leave-two-out) to check the predictability of the model and its ability to navigate the experimental space. The value of Q<sup>2</sup> (Predicted R-squared) was calculated as 151 152 follows:

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$$Q^2 = \frac{PRESS}{ISS}$$

154 Where PRESS represents the predicted residual error sum of squares while ISS stands for the 155 total initial sum of squares. Moreover, a predicted versus actual correlation was obtained.

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# 157 2.6. Molecular dynamics simulations (MDS) of the gelatin matrix.

Molecular dynamics simulations (MDS) were carried-out using the GROMACS <sup>48</sup> v. 158 159 4.6.5 freeware (http://www.gromacs.org/). To prepare the gelatin system, 48 peptide 160 molecules were constructed, with 18 amino acids in each molecule. The primary sequence of 161 the peptides was AGPRGQ(Hyp)GPAGPDGQ(Hyp)GP. Six hypothetical probe molecules 162 (with calculated molecular weight of 767.13) were added at random positions to the system. The force field parameters were obtained from CgenFF<sup>49</sup> (https://cgenff.paramchem.org/). 163 164 The system was energy minimized by the steepest descent method. Molecular dynamics was 165 subsequently carried-out, with a time step of 2 fs, full periodic boundary conditions, and a 166 cut-off distance of 1.2 nm for Van der Waal's and electrostatic interactions <sup>50</sup>. PME was 167 chosen to handle long-range electrostatic interactions. All bonds were constrained by the 168 LINCS algorithm. The MDS were carried-out for 3 ns, at 373K and 1 bar using a v-rescale thermostat and a Berendsen barostat respectively <sup>51</sup>. 169

#### 171 2.7. Drug docking in simulated gelatin nanospheres.

The chemical structures of the studied drugs were drawn using ChemDraw® Ultra 172 173 version 10 (Cambridgesoft, Waltham, MA, USA). The corresponding '.mol2' files needed 174 for docking experiments were obtained using Chem3D® Ultra version 10 (Cambridgesoft, 175 Waltham, MA, USA) after energy minimization using the MM2 force field of the same 176 program. Docking analysis was generated by Argus Lab version 4.0.1. (Mark Thompson and 177 Planaria Software LLC, Seattle, WA, USA). The hypothetical probe molecules were utilized 178 to construct corresponding binding sites on the carrier (gelatin-probe), the AScore was 179 utilized for calculating the scoring function. The size of the display box in the x, y and z 180 dimensions were 15 x 15 x 15 Å as these dimensions were suitable to the size of the docked 181 molecules and ensured a central position for them inside the gelatin matrix. Additionally, the 182 genetic algorithm was used as the docking engine with 150 maximum poses. The type of 183 calculation and ligand (as chosen using the software options) were Dock and Flexible, 184 respectively; and the binding energies ( $\Delta G$ , kcal/mole) reflecting the docking efficiencies 185 were calculated.

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#### 187 **3. Results**

188 Table 1 reports the molecular descriptors (number of hydrogen bond donors, number of 189 hydrogen bond acceptors, xLogP and molecular weight) for the investigated drugs. The 190 dendrogram classifying these drugs according to HCA using Ward's minimum variance 191 method (an agglomerative type of analysis) is displayed in Figure 2. Isoniazid and 5FU were 192 clustered together according to their 4 descriptors, Resveratrol and Cryptolepine clustered 193 together, whereas Doxorubicin, Acyclovir and Amphotericin B constituted separate clusters. 194 Importantly, the loading pattern followed this classification (see Table 1) where 5FU and 195 Isoniazid scored the highest loading masses followed by Acyclovir which is closest to the aforementioned drugs in the dendrogram. Cryptolepine and Reseveratrol were very close,
with Doxorubicin near to them. Amphotericin B had the lowest mass loaded into the
nanospheres which was clear from its separate branch (furthest distance) in the dendrogram.

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binding energies from docking of the drugs on a simulated gelatin matrix.

Table 1. The descriptors of the drugs, amounts of loaded drug, and the obtained

Drug	xLogP	No. H-bond donors	No. H-bond acceptors	Molecular Weight (g/mol)	Actual Amount of Drug Loaded (mg/100mg gelatin)	Lamarckian Genetic Algorithm ΔG (kcal/mole)
Acyclovir	-1.650	3	8	225.21	8.74	-3.94
Amphotericin B	2.068	12	18	923.49	1.16	144.4
Cryptolepine	2.180	0	2	233.30	2.00	-3.81
Doxorubicin	-1.900	6	9	543.52	2.10	58.29
5-Fluorouracil	-0.760	2	4	130.00	25.07	-4.19
Isoniazid	-0.683	3	4	137.14	22.00	-4.16
Resveratrol	2.050	3	3	228.24	1.96	-3.74
Curcumin	1.95	2	6	368.13	3.50	-2.59
Paclitaxel	6.15	4	14	853.33	0.52	173.5
Indomethacin	3.78	1	4	338.14	1.91	-1.99



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Figure 2. Hierarchical Clustering Analysis (HCA) of the investigated drugs with respect to 4 constitutional, electronic and physico-chemical descriptors: number of hydrogen bond donors, number of hydrogen bond acceptors, xLogP and molecular weight.

208 A score plot of the drugs with respect to their descriptors after projecting the data into 209 two main principal components is displayed in Figure 3, where principal component 1 and 210 principal component 2 reflect 69.72% and 26.95 % of the data variation, respectively 211 (corresponding to 96.68 % of total variance, Figure 3, top right panel) and 5FU and Isoniazid 212 are clustered together with Acyclovir having the nearest score, and Amphotericin B the 213 furthest score. Figure 4 depicts the loading plots of the two main principal components. It is 214 obvious that principal component 1 is mainly composed of the descriptors; the molecular 215 weight, the number of the H-bond donors and the number of the number of H-bond acceptors 216 while principal component 2 mainly depends on the remaining descriptor; xLogP. These 217 results confirm the presentation of the 4 investigated variables in the two generated principal 218 components.



Figure 3. Principal Component Analysis (PCA) score plot of the investigated drugs with respect to 4 constitutional, electronic and physico-chemical descriptors: number of hydrogen bond donors, number of hydrogen bond acceptors, xLogP and molecular weight, displaying only two main combined components. The upper panel depicts the scree plot revealing the percentage variation of each extracted component (combined from the four descriptors).



Figure 4. Principal Component Analysis (PCA) loading plot of the two main principalcomponents.

The relationship between the obtained combined x-scores (combining the contribution from the 4 x-variables *viz.* descriptors) and y-scores is displayed in **Figure 5**, and the scree plot (**Figure 5**, bottom right) depicts the contribution of each individual latent factor to the combined x-scores with the first two factors accounting for 96.64% of the obtained scores.



Figure 5. Partial Least Squares Regression Analysis (PLS) of the investigated drugs with 4 constitutional, electronic and physico-chemical descriptors: number of hydrogen bond donors, number of hydrogen bond acceptors, xLogP and molecular weight as the x-factors and the mass of loaded drug per 100 mg gelatin nanoparticles as the y-factor. The lower panel depicts the contribution of each latent x-factor (combined factor) to the x-scores representing the combined x-dimension.

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It is noteworthy that the generated x- and y- scores represent the distances of the points in space of all the dimensions to the main vector summarizing the final dimension (in the current case there is a principal component or vector for the x-dimension comprising all the descriptors, and another for the y-dimension representing the loaded mass). Therefore, the aforementioned scores can be negative numbers. Consequently, a generated model was developed where:

Y (mass of drug loaded per 100 mg of gelatin nanoparticles) =  $13.175 + 0.115 \times$ 

 $xLogP + 0.001 \times number of hydrogen bond donors + 2.346 \times number of$  (1) hydrogen acceptors - 0.059 × molecular weight.

250 The values and the signs of the coefficients of the x-factors in the equation were 251 indicative of the importance of increasing the number H- bond acceptors in the drugs 252 chemical structure in the presence of a balanced xlogP and low molecular weight to increase 253 the loading of the drug. The model was validated by performing a t-test statistical analysis 254 between the actual experimental results for drug loading and the predicted drug loading using 255 the model where no significant difference was obtained between the means at P < 0.05. The calculated Q<sup>2</sup> or the predicted r-squared after 5-folds cross-validation scored a value of 0.721 256 (a highly acceptable value) <sup>52</sup>. Figure 6 further demonstrates the predicted versus actual 257 relationship where it is observed that most of the points are scattered around the 45° line. 258 259 Proximity of the points to this line usually indicates the favorable similarity of the results.

Accordingly, the developed model can be exploited in predicting the loaded mass of any new physically loaded or entrapped investigated drug molecule in a gelatin matrix after projecting its structure to the aforementioned four descriptors (**Table 1**).



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**Figure 6.** Predicted versus actual drug loading in gelatin nanospheres.

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# 266 4. Discussion

267 In the HCA utilized and studied method (Ward's method), the distance between two 268 clusters is the ANOVA sum of squares between the two clusters added up over all the 269 variables. At each generation, the within-cluster sum of squares is minimized over all 270 partitions obtainable by merging two clusters from the previous generation. The sums of 271 squares are usually easily interpreted when they are divided by the total sum of squares to 272 give the proportions of variance (squared semi-partial correlations). Ward's method works 273 under the assumptions of spherical covariance matrices and the condition of equal sampling 274 probabilities. Distances between clusters in Ward's method are calculated according to the

squared Euclidean distance. It is considered very useful in joining clusters with a small
number of observations and it is very accurate though sensitive to outliers <sup>53</sup>.

PCA was used to confirm the hierarchical clustering analysis results. This type of multivariate analysis deals with the x-factors (descriptors) to reduce their dimensionality by projecting the data into new orthogonal axes that display the directions (vectors) of the highest variation. These results confirmed the HCA results and correlate the x-factors (drug descriptors) with the y-outputs (mass of drug loaded per 100 mg of gelatin) where clustered points (Especially in the same quadrants) represents high similarity between them regarding their projected descriptors <sup>54</sup>.

Accordingly, a supervised learning tool (PLS) was used to generate an accurate and sensitive model that would correlate the x-factors with the y-outputs quantitatively. The techniques implemented in the PLS platform work by extracting successive linear combinations of the predictors, called factors (also called components or latent vectors), which optimally address the combined goals of explaining both response and predictor variation. In particular, the method of PLS balances the two objectives and maximizes their correlation <sup>55</sup>.

291 The obtained results can be explained by the fact that gelatin is a protein carrier with a 292 relatively balanced hydrophilic/hydrophobic character displaying several hydrogen bond 293 donor and acceptor groups with a repetitive sequence of amino acids -Ala-Gly-Pro-Arg-Gly-Glu-4Hyp-Gly-Pro- along its backbone <sup>56</sup>. This structure can be 294 295 transformed to some numerical values that are generated of each amino acid. Among which are the highly condensed variables "z-scale descriptors" <sup>57</sup> that are derived from a PCA 296 297 analysis of several experimental and physicochemical properties of the 20 natural amino 298 acids; z1, z2, and z3 and which represent the amino acids hydrophobicity, steric properties, 299 and polarity, respectively. Additionally, they are useful in QSAR analysis of peptides where they have proven effective in predicting different physiological activities <sup>58-60</sup>. Herein, we 300

used an extended scale (including 67 more artificial and derivatized amino acids)<sup>61</sup> due to the
 presence of 4-hydroxyproline in the gelatin structure.

303 In this study, we expand the use of the first descriptor (z1) to predict the drug loading 304 properties of nanoparticles. The first scale (z1) was chosen as it represents a lipophilicity 305 scale that encompasses several variables (amino acid descriptors) such as: the thin layer 306 chromatography (TLC) variables, log P, nonpolar surface area (Snp) and polar surface area 307 (Spol) in combination with the number of proton accepting electrons in the side chain (HACCR)<sup>62</sup>. In this scale, a large negative value of z1 corresponds to a lipophilic amino acid, 308 309 while a large positive z1 value corresponds to a polar, hydrophilic amino acid. Therefore, the 310 gelatin typical structure amino acids (-Ala-Gly-Pro-Arg-Gly-Glu-4Hyp-Gly-Pro-) can be 311 represented by their z1 values as follows: (0.24), (2.05), (-1.66), (3.52), (2.05), (3.11), 312 (-0.24), (2.05) and (-1.66). Furthermore, an overall topological description of the repetitive 313 sequence was accounted for by encoding the z1 descriptors of each amino acid into one auto covariance variable [49] that was first introduced by Wold et al. <sup>63</sup>. The auto covariance value 314

315 (AC) was calculated as follows: 
$$AC_{z.lag} = \sum_{i=1}^{N-lag} \frac{V_{z.i \times V_{z,i+lag}}}{N-lag}$$
 (2)

$$AC_{z,lag} = \sum_{i=1}^{N-lag} \frac{V_{z,i} V_{z,i+lag}}{N-lag}$$
(2)

where AC represents autocovariances of the same property (z-scale); i = 1, 2, 3,...; N is the number of amino acids; lag = 1, 2, 3, ... L (where L is the maximum lag which is the longest sequence used and V is the scale value).

319 Therefore, the AC value for the gelatin typical structure sequence was calculated with 320 lag 1 scoring а value approaching zero (0.028)indicating balanced а 321 hydrophobicity/hydrophilicity structure. In light of the above, the high loading of 5FU and 322 Isoniazid can be ascribed to their amphiphilic nature with LogP values approaching 0, and to

323 the presence of several hydrogen bond donors and acceptors groups relative to their low 324 molecular weight that is favorable in both diffusion through and entrapment in a protein 325 matrix like that of gelatin nanospheres. Since there was a recorded deviation between the 326 actual and the predicted values regarding Isoniazid and 5FU (may be attributed to their small 327 molecular weight that helps their non-stoichometric physical entrapment in the gelatin 328 matrix, therefore, the results were further confirmed by molecular dynamics and docking 329 experiments, where the drugs were docked on the gelatin matrix simulated structure. Figure 330 7 shows the molecular simulation of the gelatin nanosphere matrix. Interestingly, the best 331 binding energy values  $\Delta G$  (- 4.19 and -4.16 kcal/mol) corresponded to the highest loaded 332 drugs 5FU and Isoniazid, respectively, followed by Acyclovir (see Figure 8). In the same 333 context, Amphotericin B scored a highly positive  $\Delta G$  value which explains its low loading 334 values. The confirmation of the docking results with their experimental counterparts can be 335 attributed to the inclusive scoring function of the Arguslab® software. This scoring function 336 is based on the XScore calculated according to the following equation <sup>64</sup>:

 $\Delta G_{\text{bind}} = \Delta G_{\text{vdw}} + \Delta G_{\text{hvdrophobic}} + \Delta G_{\text{H-bond}} + \Delta G_{\text{H-bond (chg)}} + \Delta G_{\text{deformation}} + \Delta G_{0}$ (3) 337 where  $\Delta G_{\text{bind}}$  is the total calculated binding energy,  $\Delta G_{\text{vdw}}$  is the binding energy due to Van 338 der Waal's forces,  $\Delta G_{hydrophobic}$  is the binding energy due to hydrophobic forces,  $\Delta G_{H-bond}$  is 339 the binding energy due to H-bonding,  $\Delta G_{\text{H-bond (chg)}}$  is the binding energy due to H-bonding 340 due to charged molecules,  $\Delta G_{deformation}$  is the energy due to rotational bonds and atoms 341 involved in torsions (rotors) that were frozen due to binding, and finally,  $\Delta G_0$  represents the 342 regression-obtained binding energy. As can be inferred, the equation terms encompass nearly 343 all the possible interactions that can occur between the drug and its carrier that may lead to 344 drug entrapment which explains the high correlation obtained between the real experimental 345 values and the docking results.

An exponential model was generated correlating the actual experimental molar masses of theloaded drugs and their corresponding docking binding energies. This model was highly

fitting with an obtained r-squared value of 0.95. This relationship can highly estimate the molar masses of physically loaded drugs through docking the investigated molecule on the simulated gelatin matrix. The only limitation of the model was the number of the experimental studies that are involved in it (10 studies) which we recommend to increase in further similar studies.



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**Figure 7.** Molecular dynamics simulation of the gelatin nanosphere matrix.



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Figure 8. Drug loading versus the obtained binding energies plot of the investigated
drugs after docking on a simulated gelatin matrix built up using molecular dynamics
simulation displaying an exponential relationship.

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### 362 5. Conclusions

363 The current study introduces new approaches of interpreting and predicting drugs 364 loading on protein carriers, such as gelatin nanospheres. These approaches comprise 365 multivariate statistical methods such as: hierarchical clustering analysis, principal 366 component analysis, partial least squares regression, molecular dynamics and docking. 367 Moreover, the utilization of the amino acids z-scales descriptors represents a new and 368 important asset in interpreting drug loading in protein-based carriers. We believe that this 369 methodology has the potential to lead to significant change in drug formulation studies across 370 the world.

371

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373

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