

1           **Predicting *Prostephanus truncatus* (Horn) (Coleoptera: Bostrichidae) populations and**  
2           **associated grain damage in smallholder farmers' maize stores: A machine learning approach**

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10           **Abstract**

11           *Prostephanus truncatus* is a notorious pest of stored-maize grain and its spread throughout sub-Saharan  
12           Africa has led to increased levels of grain storage losses. The current study developed models to predict  
13           the level of *P. truncatus* infestation and associated damage of maize grain in smallholder farmer stores.  
14           Data were gathered from grain storage trials conducted in Hwedza and Mbire districts of Zimbabwe  
15           and collated with weather data for each of the sites. Insect counts of *P. truncatus* and other common  
16           stored grain insect pests had a strong correlation with time of year with highest recorded numbers from  
17           January to May. Correlation analysis showed insect-generated grain dust from boring and feeding  
18           activity to be the best indicator of *P. truncatus* presence in stores ( $r = 0.70$ ), while a moderate correlation  
19           ( $r = 0.48$ ) was found between *P. truncatus* numbers and storage insect parasitic wasps, and grain  
20           damage levels significantly correlated with the presence of *Tribolium castaneum* ( $r=0.60$ ). Models were  
21           developed for predicting *P. truncatus* infestation and grain damage using parameter selection  
22           algorithms and decision-tree machine learning algorithms with 10-fold cross-validation. The  
23           *P. truncatus* population size prediction model performance was weak ( $r = 0.43$ ) due to the complicated  
24           sampling and detection of the pest and eight-week long period between sampling events. The grain  
25           damage prediction model had a stronger correlation coefficient ( $r = 0.93$ ) and is a good estimator for *in*  
26           *situ* stored grain insect damage. The models were developed for use under southern Africa climatic  
27           conditions and can be improved with more input data for greater precision models to build decision-  
28           support tools for maize-based production systems.  
29

30           **Key words:** Prediction model; insect grain damage prediction; decision tree; decision-support tools

31           **1 Introduction**

32  
33           The prevailing climate has shifted leading to warmer temperatures, an increased frequency of drought  
34           and an increased occurrence of extreme events which pose a significant risk to the existing food and  
35           biological systems (Thornton *et al.*, 2014). For example, the southern Africa region has experienced

36 fluctuating rainfall patterns and increasing temperatures in the last two decades, with the semi-arid  
37 regions being the most vulnerable to extreme weather events and long dry periods (IPCC, 2014).  
38 Frequent droughts including impacts of *El Niño* reduce crop yields and increase the southern Africa  
39 region's food and nutrition insecurity risk status (FAO, 2018). The potential effects of global warming  
40 on pests can be explored based on knowledge of their physiological responses to specific weather factors  
41 using pest estimation models (Régnière, 2009; Maiorano *et al.*, 2014). To-date, the effect of climate  
42 change and variability on grain storage management has been largely overlooked (Stathers *et al.*, 2013;  
43 Moses *et al.*, 2015), yet new ICTs and information gathering processes provide the possibility to create  
44 predictive early warning systems for storage pest management though challenges remain in gathering  
45 and packaging information from the field (Rashid, 2003; Wang *et al.*, 2014).

46  
47 Grain postharvest losses continue to threaten food security in sub-Saharan Africa (SSA) (Rembold *et*  
48 *al.*, 2011). Emerging postharvest research and development studies regard the larger grain borer,  
49 *Prostephanus truncatus* (Horn) (Coleoptera: Bostrichidae) as a major threat to maize grain storage and  
50 thus food security across much of SSA (Mvumi & Stathers, 2014; APHLIS, 2018; Muatinte *et al.*,  
51 2019). The insect was accidentally introduced into Tanzania from its native central America at the end  
52 of the 1970's (Dunstan & Magazini, 1980; Hodges *et al.*, 1983) and has now spread to most other  
53 African countries (Muatinte *et al.*, 2014, 2019). The pest is known to infest maturing maize while it is  
54 still in the field (Giles & Leon, 1974) and persists throughout the subsequent postharvest stages  
55 including during the storage of shelled maize grain. Many pesticides are ineffective in controlling  
56 *P. truncatus* infestations in either the field or stored grain (Golob & Hanks, 1990; Mlambo *et al.*, 2017,  
57 2018). *Prostephanus truncatus* is also known to cause more than three times the damage of the normal  
58 spectrum of maize storage insect pests dominated by *Sitophilus zeamais* Motschulsky (Coleoptera:  
59 Curculionidae) (Makundi *et al.*, 2010). The pest is largely spread through grain trade, in addition to its  
60 flight. Studies have shown that climate has an effect on the pest's food seeking flight behaviour  
61 (Borgemeister *et al.*, 1998; Nansen *et al.*, 2001) and that the pest also survives and breeds in forest  
62 habitats and wood (Nang'ayo *et al.*, 1993; Muatinte & Van den Berg, 2019). The pest is known to have  
63 sporadic distribution patterns in natural environments and in stores (Krall, 1984; Birkinshaw *et al.*,  
64 2002; Boxall, 2002). A recent study using a correlative modelling tool, MaxEnt, explored which  
65 locations across the world would be climatically and ecologically suitable for the development of *P.*  
66 *truncatus* populations, and SSA was identified as a suitable host area (Arthur *et al.*, 2019). Most studies  
67 of *P. truncatus* have been concentrated in Eastern and Western Africa involving the sampling of  
68 commodities, evaluating the extent of infestations, and determining population ecology (Arthur *et al.*,  
69 2019). Though studies have been conducted to understand the behaviour of the pest in the natural  
70 ecosystem, models for predicting stored-grain infestation by the pest and the magnitude of its damage  
71 in smallholder stores are scarce in SSA.

73 A range of approaches exist for evaluating insect dynamics including regression, theoretical, non-  
74 parametric, phenology, and life-system models (Sharov, 1995). New approaches have been proposed to  
75 incorporate the modelling of ecological systems for improved agricultural management (Donatelli *et*  
76 *al.*, 2017) with data-mining and development of pattern recognition as a plausible alternative (McQueen  
77 *et al.*, 1995; Bhagawati *et al.*, 2016), which can also be applied to postharvest-related data. Data-mining  
78 is derived from the ideas of statisticians, economists, forecasters, and communication engineers that  
79 patterns in data can be sought automatically, identified, validated, and used for prediction including in  
80 complex agricultural data (McQueen *et al.*, 1995; Witten *et al.*, 2016; Majumdar *et al.*, 2017) such as  
81 storage insect dynamics. This can result in a better understanding of causes and effects of challenges  
82 such as crop production and postharvest pest occurrence, and can help inform agricultural decision-  
83 making (Gonzalez-Sanchez *et al.*, 2014; Pham & Stack, 2018) in the face of changing agro-climatic  
84 conditions. Applying new scientific techniques and approaches to postharvest-related agricultural data  
85 can add value to the body of knowledge that currently exists and effectively allow better models to be  
86 developed (Moses *et al.*, 2015) as IPM decision-support tools.

87  
88 The term “machine learning” was first coined by pioneering computer gaming and artificial intelligence  
89 scientist Arthur Samuel in 1959. Machine learning refers to the automated detection of meaningful  
90 patterns in given data (Shalev-Shwartz & Ben-David, 2013; Sadiku *et al.*, 2018). Machine learning is a  
91 more heuristic approach able to predict possible outcomes without the solution being necessarily  
92 optimal or perfect but offering a reliable solution to a problem when classic methods fail to come up  
93 with an exact solution (Witten *et al.*, 2016). Supervised learning is used where we have prior knowledge  
94 of the output and is usually defined as a classification problem with the data containing categories,  
95 labels or classifications (Shalev-Shwartz & Ben-David, 2013; Witten *et al.*, 2016) and is used to solve  
96 problems such as sorting and decision-making. In unsupervised learning on the other hand, the input  
97 data is not labelled or categorised so the learning process tries to find common traits in the data by  
98 which to cluster the data into subsets (Shalev-Shwartz & Ben-David, 2013; Witten *et al.*, 2016).  
99 Unsupervised learning deals with clustering and association problems. Models derived from applying  
100 machine learning techniques can ultimately produce innovative software applications which are simple  
101 to use and can improve farm-level decision-making (Cunningham & Holmes, 1999; Patel & Patel, 2016;  
102 O’Grady & O’Hare, 2017) as decision support tools (Karim *et al.*, 2017). Work on modelling insect  
103 pest damage in grain storage systems can build on systems approaches developed for field pest  
104 management (Teng & Savary, 1992; Donatelli *et al.*, 2017).

105  
106 The study objective was to develop a model for predicting *P. truncatus* numbers and insect grain  
107 damage in smallholder farmers’ grain stores using data collected from farmer-managed storage trials  
108 which were set-up by multi-stakeholder learning alliances (Mvumi *et al.*, 2008), focused on building

109 community resilience to climate-related risks through developing improved postharvest decision-  
110 support tools for better postharvest management.

111

## 112 **2. Materials and methods**

113

### 114 **2.1 Description of study approach and grain storage sites**

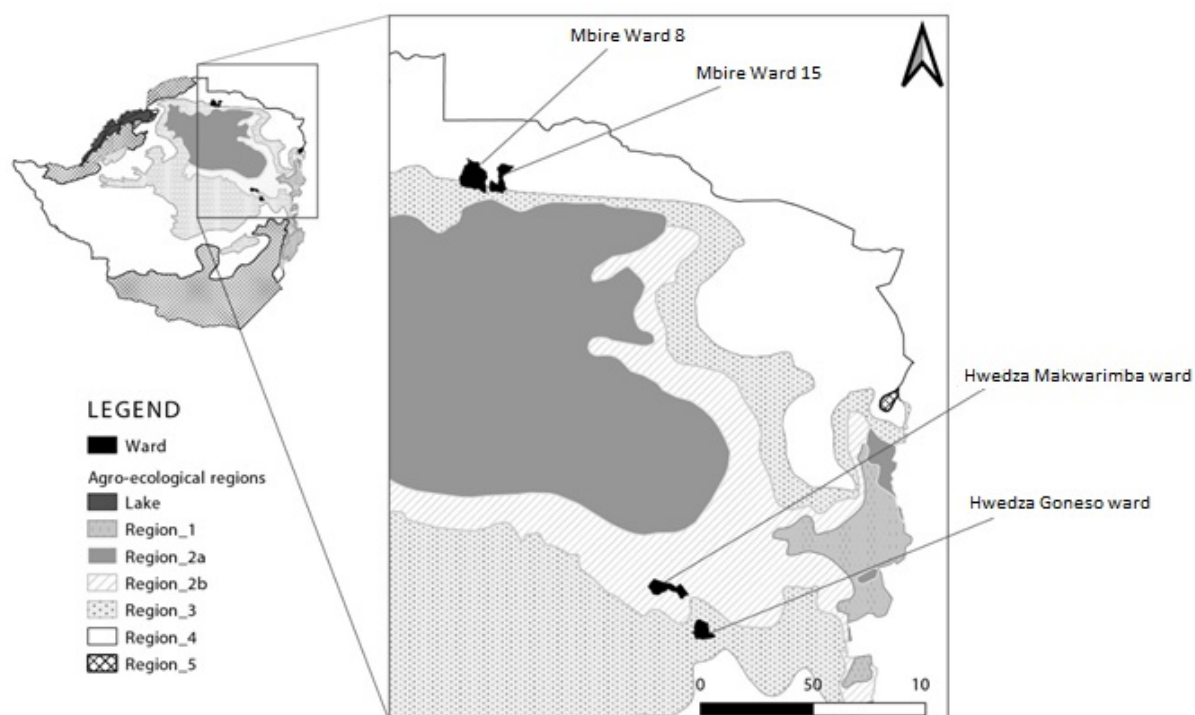
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116 This study was part of two other experiments; one focussing on efficacy of synthetic storage pesticides  
117 (Mlambo *et al.*, 2017; Mubayiwa *et al.*, 2018) and the other on effectiveness of grain storage  
118 technologies (Mlambo *et al.*, 2018); which were conducted concurrently over two grain storage seasons,  
119 *viz* August 2014 to May 2015 and August 2015 to May 2016. The experiments were conducted *in situ*  
120 and focused on collecting the grain insect pest profiles from non-pesticide treated maize grain stored in  
121 woven polypropylene bags to study the natural pest dynamics under different temperature and humidity  
122 regimes in the stored maize grain. Two districts, namely Hwedza and Mbire, were selected in Zimbabwe  
123 on the basis of their climate risk status in terms of flooding, temperature and rainfall change.

124

125 In Hwedza, two wards: Goneso and Makwarimba were selected. Goneso ward is located at a lower  
126 altitude (900-1200m) than Makwarimba (1200-1500m). Most of Makwarimba ward is in agro-  
127 ecological region IIb (Vincent *et al.*, 1960) with annual rainfall of 750 – 1000 mm and mean annual  
128 temperature ranges of 18-30°C. Goneso ward is in agro-ecological region III with mean annual  
129 temperatures of 18-35°C and 650-800 mm annual rainfall (Vincent *et al.*, 1960) (Figure 1). In Mbire,  
130 the two selected wards, namely Ward 8 and Ward 15, lie along the Zambezi valley at 500m above sea  
131 level in agro-ecological region IV (Vincent *et al.*, 1960) with mean annual rainfall of 650-700 mm  
132 which usually falls within a 100-day period resulting in high flood incidence. Temperature averages  
133 25°C annually with summer temperatures reaching over 40°C (Fritz *et al.*, 2003).

134



135  
 136 **Figure 1:** Location of sites used for the grain storage experiments in Mbire and Hwedza districts of  
 137 Zimbabwe (Regions represent agro-ecological zones as described by Vincent *et al.* (1960))  
 138

139 The study was linked to on-going storage trials (Mlambo *et al.*, 2017, 2018; Mubayiwa *et al.*, 2018)  
 140 which used a multi-stakeholder learning centre approach as described by Mashavave *et al.*, (2011).  
 141 Community leaders and local government extension workers assisted the research team in selecting the  
 142 host-farmers. These stakeholders also worked together in setting-up the experiments and in monitoring  
 143 and evaluation of the storage treatments. While the research team conducted eight-weekly sampling,  
 144 laboratory grain damage, weight loss and insect species analysis and recorded the experimental data,  
 145 the host farmers were responsible for maintaining the experimental environment between sampling  
 146 events and noting any changes observed during the course of the experiments, and sharing information  
 147 about the trials with neighbouring farmers. The learning centre approach aims to build local ownership  
 148 of applied research to aid the integration of knowledge and technologies generated through the research  
 149 into the local agricultural innovation systems. The host-farmers' sites were selected based on their  
 150 accessibility, storage structure integrity, and security against theft.

151  
 152 **2.2 Experiments, grain sampling and sample analysis**  
 153

154 Grain procurement, trial-setting methodologies and stores are detailed in Mlambo *et al.* (2017, 2018)  
 155 and Mubayiwa *et al.* (2018). Sampling of the stored grain was conducted at eight-week intervals over  
 156 an eight-month period in the storage technology trials and a 10-month period in the synthetic pesticides  
 157 efficacy experiments, coinciding with the length of time most farmers store their grain (Mvumi *et al.*,

158 2003). The samples were analysed for insect numbers per species, damage, weight loss and dust from  
 159 insect activity as described by Mlambo *et al.* (2017, 2018) and Mubayiwa *et al.* (2018). Extech  
 160 Instruments® Humidity / Temperature Dataloggers Model RHT10 (FLIR Systems, Inc., Nashua, U.S.A)  
 161 were installed under the roofs of selected representative storage facilities to measure store temperature  
 162 and humidity at 30-minute intervals from September 2014 to April 2015 and from August 2015 to May  
 163 2016. The data were downloaded and saved at bi-monthly intervals.

164  
 165 The sampling process included feedback on the state of the grain using the various protectants and the  
 166 use of wire and bead models for easy pest identification by the farmers. The samples were sieved to  
 167 separate the dust and insects from the grain as described by Mlambo *et al.* (2017, 2018) and Mubayiwa  
 168 *et al.* (2018). For the purpose of this study, a total of 13 experimental variables were recorded from the  
 169 untreated maize grain samples and coded as follows:

- 170  
 171 1. Mc (moisture) - Grain moisture content (%)  
 172 2. Tmean (temperature) - Store temperature (°C)  
 173 3. RHmean (relative\_humidity) - Store relative humidity (%rh)  
 174 4. Wk (week)– Numbered week of the year: Storage season generally begins May 1<sup>st</sup> in Zimbabwe  
 175 (week 18) of the year starting January  
 176 5. C (dust)- Dust content from insect feeding/kg sample (%)  
 177 6. D (damaged grains) - Damaged grains/kg sample (%)  
 178 7. R (rotten grains) - Rotten grains/kg sample(%)  
 179 8. Sz (sitophilus) - Number of adult *Sitophilus zeamais* insects/kg sample  
 180 9. Tc (tribolium) - Number of adult *Tribolium castaneum* insects/kg sample  
 181 10. Sc (sitotroga) - Number of adult *Sitotroga cerealella* insects/kg sample  
 182 11. *P. truncatus* (lgb) - Number of adult *Prostephanus truncatus* insects/kg sample  
 183 12. Rd (rhyzopertha) - Number of adult *Rhyzopertha dominica* insects/kg sample  
 184 13. Wa (wasps) - Number of adult parasitic wasps of the order hymenoptera/kg sample

185  
 186 Dust refers to all grain dust produced as a result of insect feeding and boring, and insect exuviae. The  
 187 number of insects included both the dead and live adult insects since the damage and dust recorded at  
 188 sampling is a product of the feeding habits of insects prior to death, live insects and residual dead insect  
 189 matter between sampling dates (Makundi *et al.*, 2010). The wasps were those which parasitise grain  
 190 storage insects of the Anthoriciidae family and Pteromalidae family such as *Pteromalus cerealellae* and  
 191 *Anisopteromalus calandrae* which can attack larvae of primary pests including *P. truncatus* (Hodges *et*  
 192 *al.*, 1983; Savidan, 2002; Bonu-Ire *et al.*, 2015; CAB International, 2018). However, for purposes of  
 193 this study, the different parasitic wasp species were not distinguished.

194

195

196 **2.3 Hyperparameter optimisation and parameter selection for *P. truncatus* and grain damage**

197

198 The Waikato Environment for Knowledge Analysis (WEKA® version 3.8.2) software (Hall *et al.*, 2009;  
 199 Frank *et al.*, 2016) was selected for use for data analysis because it is a proven data-mining and machine  
 200 learning platform and is a free and open source software (FOSS) based on the equally free Java  
 201 programming language (David *et al.*, 2013; Sharma *et al.*, 2015; Kotthoff *et al.*, 2017; Witten *et al.*,  
 202 2017).

203

204 The AutoWEKA algorithm was used to perform hyperparameter optimisation using Bayesian  
 205 Optimisation to find a strong instantiation of a dataset (Thornton *et al.*, 2013). It considers the combined  
 206 space of WEKA's learning algorithms  $A = \{A^{(1)}, \dots, A^{(k)}\}$  and their associated hyperparameter spaces  
 207  $A^{(1)}, \dots, A^{(k)}$  and aims to identify the combination of algorithm  $A^{(j)} \in A$  and hyperparameters  $\lambda \in \Lambda^{(j)}$   
 208 that minimises cross-validation loss

209

$$210 \quad A_{\lambda^*}^* \in \underset{A^{(j)} \in A, \lambda \in \Lambda^{(j)}}{\operatorname{argmin}} \operatorname{argmin} = \frac{1}{k} \sum_{i=1}^k L(A_{\lambda}^{(i)}, D_{\text{train}}^{(i)}, D_{\text{test}}^{(i)})$$

211

212 Where  $L(A_{\lambda}^{(i)}, D_{\text{train}}^{(i)}, D_{\text{test}}^{(i)})$  denotes the loss achieved by algorithm  $A$  with hyperparameters  $\lambda$  when  
 213 trained on  $D_{\text{train}}$  and evaluated on  $D_{\text{test}}$  (Thornton *et al.*, 2013; Kotthoff *et al.*, 2017). As the number of  
 214 possible algorithms that could have been used in developing the models are vast, Bayesian optimisation  
 215 procedures, Sequential model-based algorithm configuration (SMAC) and Tree-structure Parzen  
 216 Estimator (TPE) were used to find combinations of algorithms and hyperparameters that often  
 217 outperform existing baseline methods (Thornton *et al.*, 2013; Kotthoff *et al.*, 2017). Algorithms  
 218 considered included decision-trees, k-nearest neighbours, multi-layer perception, support vector  
 219 machines and linear regression.

220

221 The CfsSubsetEval algorithm was suggested in AutoWEKA for parameter selection as it evaluates the  
 222 worth of a subset of attributes by considering the individual predictive ability of each feature along with  
 223 a degree of redundancy between them; thus only using features that maximise accuracy (McQueen *et al.*  
 224 *et al.*, 1995; Hall, 1999; Kuhn & Johnson, 2013). The search method for the CfsSubsetEval algorithm  
 225 parameter selection process was the Best-First which is a method that does not just terminate when the  
 226 performance starts to drop but keeps a list of all attribute subsets evaluated so far, sorted in order of the  
 227 performance measure, so that it can revisit an earlier configuration instead (Witten *et al.*, 2016).

228

229 Regression-based algorithms were purposefully considered to develop the preferred output regression-  
230 based models for academic purposes as they work with numeric prediction as opposed to non-  
231 regression-based models produced by algorithms such as Random Forests (Witten *et al.*, 2016). Linear  
232 Regression and decision-tree induction were ultimately selected from the suggested models from  
233 running the AutoWEKA algorithm on the dataset as the best-fit for developing the models as they have  
234 the following properties:

235

236 1. Linear regression –  $Y_i = \beta_0 + \beta_i X_i$

237 Where  $Y_i$  is the dependent variable,  $\beta_0$  is the intercept,  $\beta_i$  is the slope and  $X_i$  are the  $n$   
238 observations of the independent variable (Rawlings *et al.*, 1998). This method expresses the  
239 class as a linear combination of the attributes (Witten *et al.*, 2016) and was used to develop a  
240 linear equation model for both *P. truncatus* and grain damage from the 13 afore-mentioned  
241 variables.

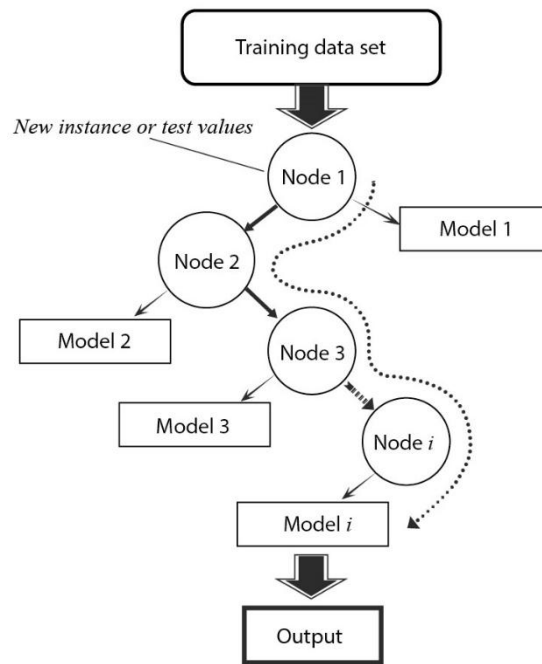
242 2. Decision-tree induction – a decision-tree is a flowchart-like tree structure, where each internal  
243 node denotes a test on an attribute, each branch represents a result of the test, and each leaf  
244 node holds a class label as shown in Figure 2 (Wang & Witten, 1996; Frank *et al.*, 1998;  
245 Grabczewski, 2014; Barros *et al.*, 2015; Sharma *et al.*, 2015). The pruned M5 model tree  
246 learning algorithm (implemented as M5P in WEKA®) was applied on the data to predict  
247 damage and *P. truncatus* count as it is ideal for use with numeric data for numeric predictions  
248 (Onyari & Ilunga, 2013; Sharma *et al.*, 2015).

249

250 Pruning reduces the size of the decision-tree by removing redundant sections, which helps in reducing  
251 the complexity of the final tree, thereby improving predictive accuracy (Mansour, 1997; Witten *et al.*,  
252 2016).

253





254

255

**Figure 2:** A pruned decision-tree (Adapted from Witten *et al.* (2016))

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## 257 2.4 Data exploration and development of prediction models

258

### 259 2.4.1 General approach

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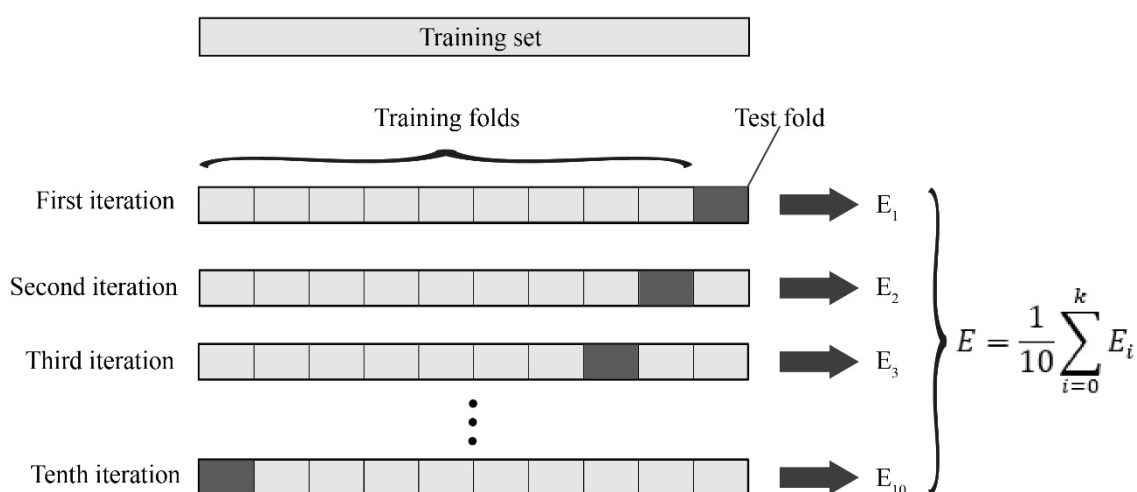
The data containing selected parameters were iteratively resolved into a three cluster classification (Abernethy, 2010) using WEKA®. Clustering was performed using the K-Means algorithm with the advantage being that it divides a dataset into clusters (groups of data points that belong together) where each cluster has points which are similar to each other (Abernethy, 2010; Trevino, 2019). Visualisation of the clustered data using Python® software with Pandas®, Matplotlib® and Seaborn® among the Python modules used (Microsoft, 2016; edX, 2018; Mendis, 2019). A Jupyter Notebook® was used to perform the visualisations including kernel density estimation (Winner, 1985) and distribution plots used to plot distribution of results as a way of observing patterns in the data. Due to the numeric nature of the required prediction, regression modelling options were considered. The machine learning approach was eventually selected due to the heuristic approach it offers which allows data-mining, parameter selection and hyperparameter optimisation (Chapelle *et al.*, 2001; Wahbeh *et al.*, 2011; Thornton *et al.*, 2013; Witten *et al.*, 2017). Machine learning is also better suited for finding patterns on complex data with many variables or smaller datasets (Witten *et al.*, 2016). In this experiment, 13 variables were recorded at bi-monthly intervals; hence the dataset was small and the number of variables fairly high.

## 278 2.4.2 Developing a model for *P. truncatus* count and grain damage

279 Stochastic and deterministic approaches were considered for developing the model (Soetaert & Herman,  
 280 2009; Tonnang *et al.*, 2017) with the stochastic approach being ultimately chosen as it requires fewer  
 281 assumptions and has limited overfitting (Witten *et al.*, 2016). Machine learning algorithms can also be  
 282 modified to clean the partially clean data and deal with missing values (Witten *et al.*, 2016). An  
 283 unsupervised learning approach (Wahbeh *et al.*, 2011; Witten *et al.*, 2016) was used to infer the natural  
 284 structure in the dataset as related to *P. truncatus* count and grain damage as the selected target  
 285 parameters. In general, an instance is a single record in a dataset characterized by the values of features,  
 286 or attributes, that measure different aspects of the instance (Witten *et al.*, 2016) which in this case,  
 287 consisted of the  $\pm 13$  records per instance. A total of 186 instances used to develop the model were  
 288 collected over two storage seasons (2014/15 and 2015/2016).

## 290 2.4.3 Model validation

291 The preferred validation method was k-fold cross-validation with  $k = 10$  as it reduces over-fitting as  
 292 compared to random sub-sampling and the holdout method especially for smaller datasets (Blockeel &  
 293 Struyf, 2001; Witten *et al.*, 2016) as in our case with 189 instances of data collected (Figure 3). This  
 294 validation method is heavy and requires adequate computing power (Witten *et al.*, 2016). The 10-fold  
 295 selection is based on theoretical evidence and extensive tests on numerous datasets with different  
 296 machine learning techniques which gives the best estimate of error (Witten *et al.*, 2016). The data were  
 297 divided randomly into 10 parts in which the class is represented in approximately the same proportions  
 298 as in the full dataset with each part held out in turn and the learning scheme trained on the remaining  
 299 nine-tenths; then its error rate calculated on the holdout set (Kuhn & Johnson, 2013; Witten *et al.*, 2016).



300

301 **Figure 3:** K-fold validation process with  $k = 10$

302

303 An iterative process was used with Linear regression and M5P algorithms under 10-fold validation to  
 304 produce solutions from which the model that maximised the correlation coefficient and minimised

305 errors (Mean-squared error, Root mean-squared error, Mean absolute error, Relative squared error, Root  
 306 relative squared error and Relative absolute error) was selected (Witten *et al.*, 2016). The resulting  
 307 models were stored in Java-based model files which are useable with any Java programming language  
 308 Integrated Development Environments (IDEs) including Android Studio®.

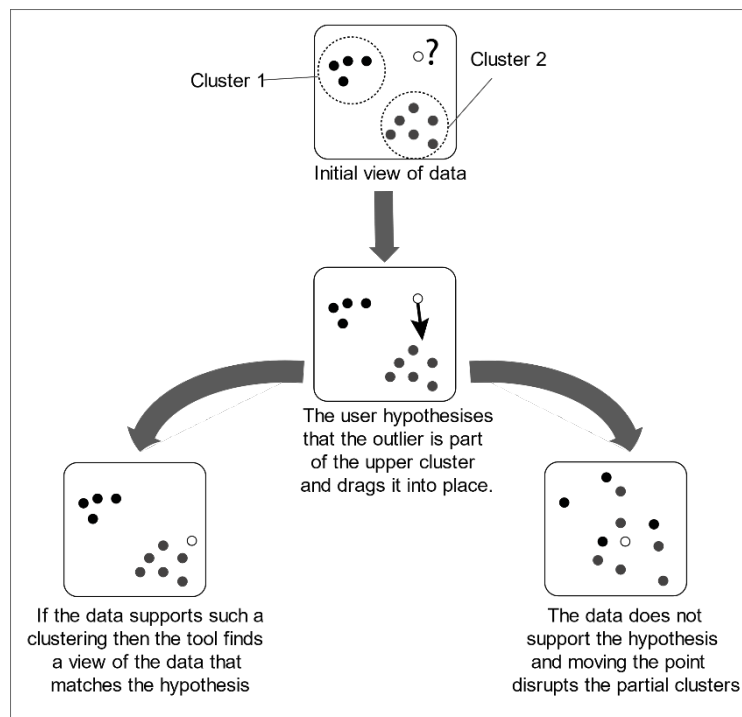
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#### 310 2.4.4 Clustering for similar instances

311 A K-Means algorithm was used to create a classes-to-clusters evaluation to find the minimum error  
 312 mapping of the classes in our data to clusters (only the class labels that correspond to the instances in a  
 313 cluster are considered for each cluster), with the constraint that a class can only be mapped to one cluster  
 314 (FutureLearn, 2019).

315

316 Clustering was implemented in WEKA® and used to visualise the collected data to show the separation  
 317 of classes in the data and give an indicator of the sources of error in the classification algorithms (Faith,  
 318 2007) (Figure 4).



319

320 **Figure 4:** The use of targeted projection pursuit for interactive data exploration (Adapted from Faith,  
 321 2007)

322

323 Techniques based on linear projections have the advantage of not only showing an informative view of  
 324 the data, but the weights of the projection itself which may include useful information (Faith, 2007).  
 325 For example, if one particular projection is found to show a clear separation between classes in the data,  
 326 then the most significant weights in the underlying projection will indicate which variables in the  
 327 original data were the best discriminators for those classes (Faith, 2007).

328 **3. Results**

329

330 **3.1 Exploring the selected data for *P. truncatus* prediction**

331

332 After conducting a parameter selection process on the source data the most important parameters  
 333 influencing *P. truncatus* count and grain damage were determined as summarised in Table 1.

334

335 **Table 1:** WEKA® -selected attributes for predicting *P. truncatus* numbers and damage using  
 336 CfsSubsetEval and BestFirst search

337

Selected parameters for predicting <i>P. truncatus</i> numbers	Selected parameters for predicting % grain damage
dust (%) Wasps (number) <i>Tribolium</i> (number) Relative humidity	moisture content (%) temperature (°C) storage time (weeks) dust (%) rotten (%) <i>Tribolium</i> (number) <i>Sitotroga</i> (number) <i>Rhizopertha</i> (number) Wasps (number)

338

339 The selected data were clustered using K-Means into three groups as shown by the confusion matrix in  
 340 Table 2.

341

342 **Table 2: Final cluster centroids and confusion matrix of selected parameters**

Attribute No. of instances	Cluster No.			
	Full Data (159)	0 (43)	1 (61)	2 (55)
Moisture	10.71	11.45	10.40	10.49
Temperature	26.59	23.84	26.59	28.74
Relative humidity	53.05	56.21	53.05	50.59
Week	24.94	19.21	42.20	10.27
Dust	1.92	6.11	0.12	0.65
Damage	30.75	72.40	4.58	27.20
Rotten	1.09	0.63	1.34	1.18
<i>Sitophilus</i>	32.77	57.47	11.10	37.48
<i>Tribolium</i>	17.84	45.76	0.64	15.08
<i>Sitotroga</i>	55.77	129.50	22.91	34.56
<i>Lgb(Prostephanus)</i>	22.70	79.31	0.64	2.89
<i>Rhizopertha</i>	0.73	2.16	0.04	0.38
Wasps	1.45	4.30	0.38	0.40

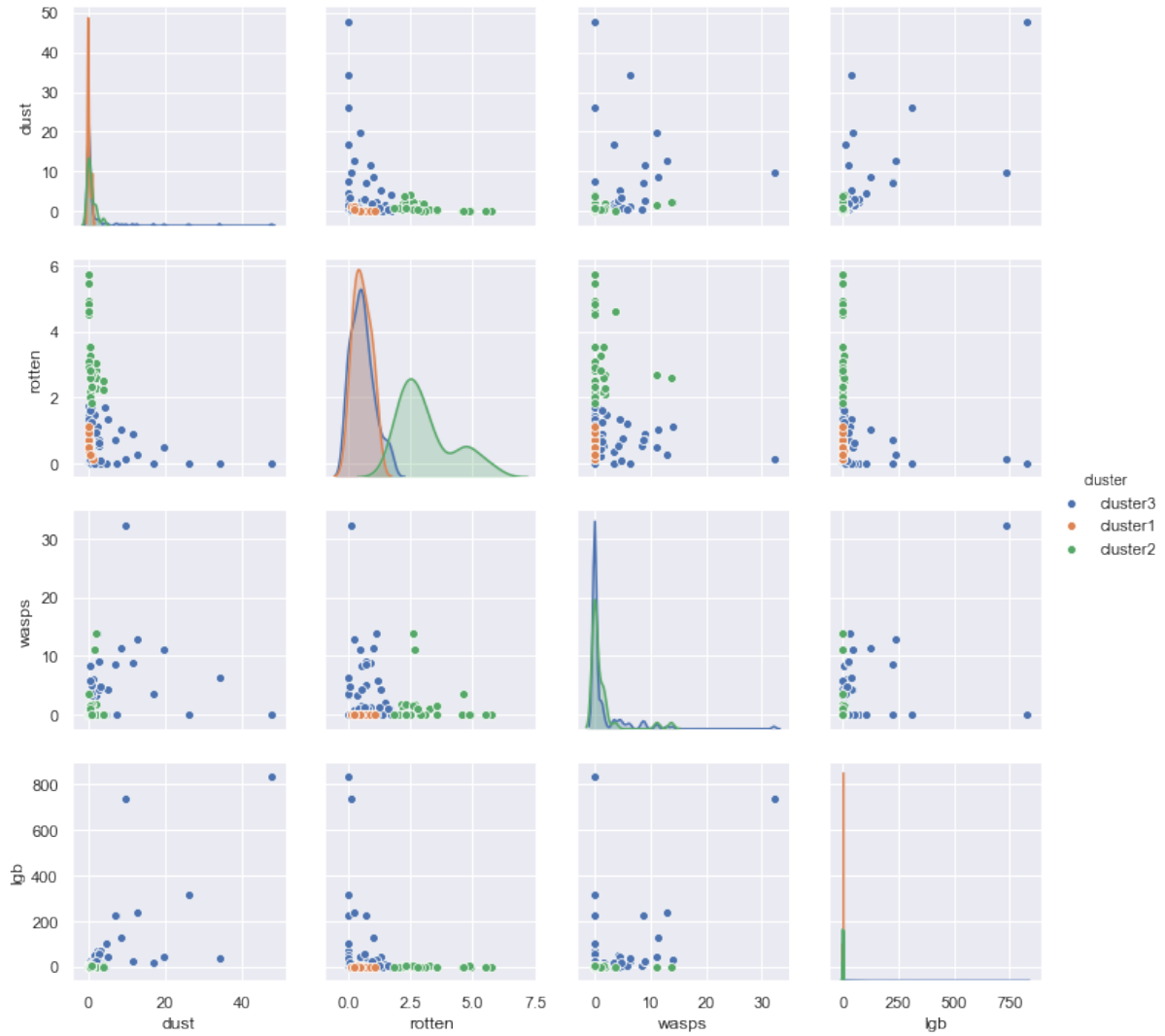
  

Confusion matrix			
	0	1	2
0	41	0	0
1	0	61	0
2	1	0	55
Clustered instances	25.8%	38.4%	34.6%
<i>Incorrectly clustered instances : 2.0</i>	<i>1.3 %</i>		

343

344 Temperatures were observed to be high (between 30°C and 37°C) during the second and third quarters  
345 of the year from August to December. The density plots of the clusters according to the main parameters  
346 of interest are shown in Figure 5. Analysis of weather parameters and *P. truncatus* counts showed the  
347 highest counts at relative humidity between 55% and 70%. Grain damage levels peaked between  
348 February and May (Weeks 5 – 18).

349



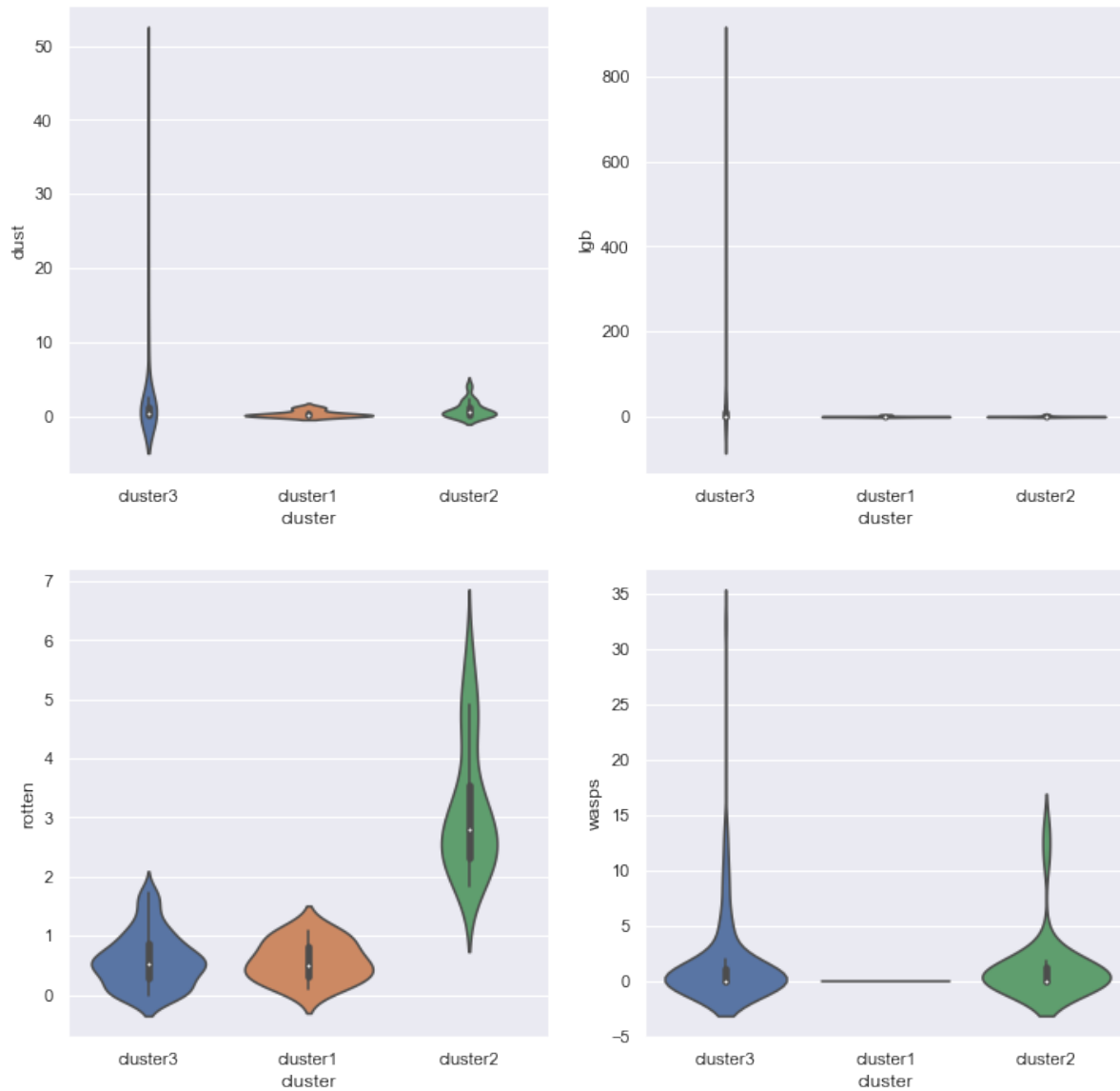
350

351 **Figure 5:** Scatter and kernel density plots of the selected data with clusters (*lgb* = *Prostephanus*  
 352 *truncatus*)

353

354 A violin plot was used to show the distribution of the data in the clusters revealing cluster 3 and cluster  
 355 1 closely largely overlaying while cluster 2 appears largely distinct from the other two (Figure 6).

356



357

358 **Figure 6:** Violin plot showing distribution of data points for different variables within clusters

359

360 A correlation matrix was plotted to highlight the correlation between the different variables and  
 361 *P. truncatus* distribution (Table 3). Notable correlation was between *P. truncatus* (lgb) and dust  
 362 ( $r=0.70$ ), and between lgb and wasps ( $r=0.48$ ).

363

364

365 **Table 3:** Pearson correlation values of the collected variables for predicting *P. truncatus* numbers and  
 366 insect grain damage  
 367

	Moisture	Temperature	Humidity	Week	Dust	Damaged	Rotten	Sitophilus	Tribolium	Sitotroga	Lgb	Rhyzopertha	Parasitic wasps
Moisture	1.00*	-0.63*	0.60*	-0.20*	0.27*	0.37*	-0.20*	0.28*	0.16*	0.11	0.15	0.02	0.13
Temperature		1.00*	-0.74*	-0.11	-0.34*	-0.44*	-0.09	-0.18	-0.2	-0.28*	-0.32*	-0.02	-0.19
Humidity			1.00*	-0.04	0.26*	0.38*	0.13	0.16	0.26*	0.09	0.29*	0.02	0.13
Week				1.00*	-0.14	-0.49*	0.1	-0.24*	-0.28*	-0.15	-0.08	-0.14	-0.15
Dust					1.00*	0.51*	-0.17*	0.34*	0.58*	-0.06	0.70*	0.05	0.30*
Damaged						1.00*	-0.35*	0.28*	0.60*	0.44*	0.41*	0.21*	0.36*
Rotten							1.00*	0.13	-0.28*	-0.24*	-0.17*	-0.08	-0.05
Sitophilus								1.00*	0.05	-0.06	0.06	-0.02	0.28*
Tribolium									1.00*	0.11	0.54*	0.19*	0.23*
Sitotroga										1.00*	0.06	-0.07	0.09
Lgb											1.00*	-0.01	0.48*
Rhyzopertha												1.00*	0.16*
Parasitic Wasps													1.00*

368 Note: \* represents a correlation value with a p-value < 0.05.

369

### 370 3.2 *Prostephanus truncatus* model after parameter selection

371

372 The output of evaluation of the decision-tree model for predicting *P. truncatus* is shown in Table 4. The  
 373 correlation coefficient is low at 0.43 with large root mean square error of 81.93.

374

375



376 **Table 4:** Model fit results for predicting number of *P. truncatus*

M5P decision tree model statistics	377
Correlation coefficient	0.43
Kendall's tau	0.40
Mean absolute percentage error	$\infty$
Root mean square percentage error	$\infty$
Spearman's rho	0.51
Mean absolute error	23.93
Root mean squared error	81.93
Relative absolute error	76.83 %
Root relative squared error	92.32 %
Total Number of Instances	189

378

379 The decision-tree regression model for *P. truncatus* was calculated using the M5P algorithm as shown  
 380 in equation 1:

381

382 *P. truncatus* model:

383

384 No. of *P. truncatus* =  $10.4663 * C + 7.3264 * Wa - 8.2842$

385

386 Where: *C* (dust) = Dust content (%) /kg sample

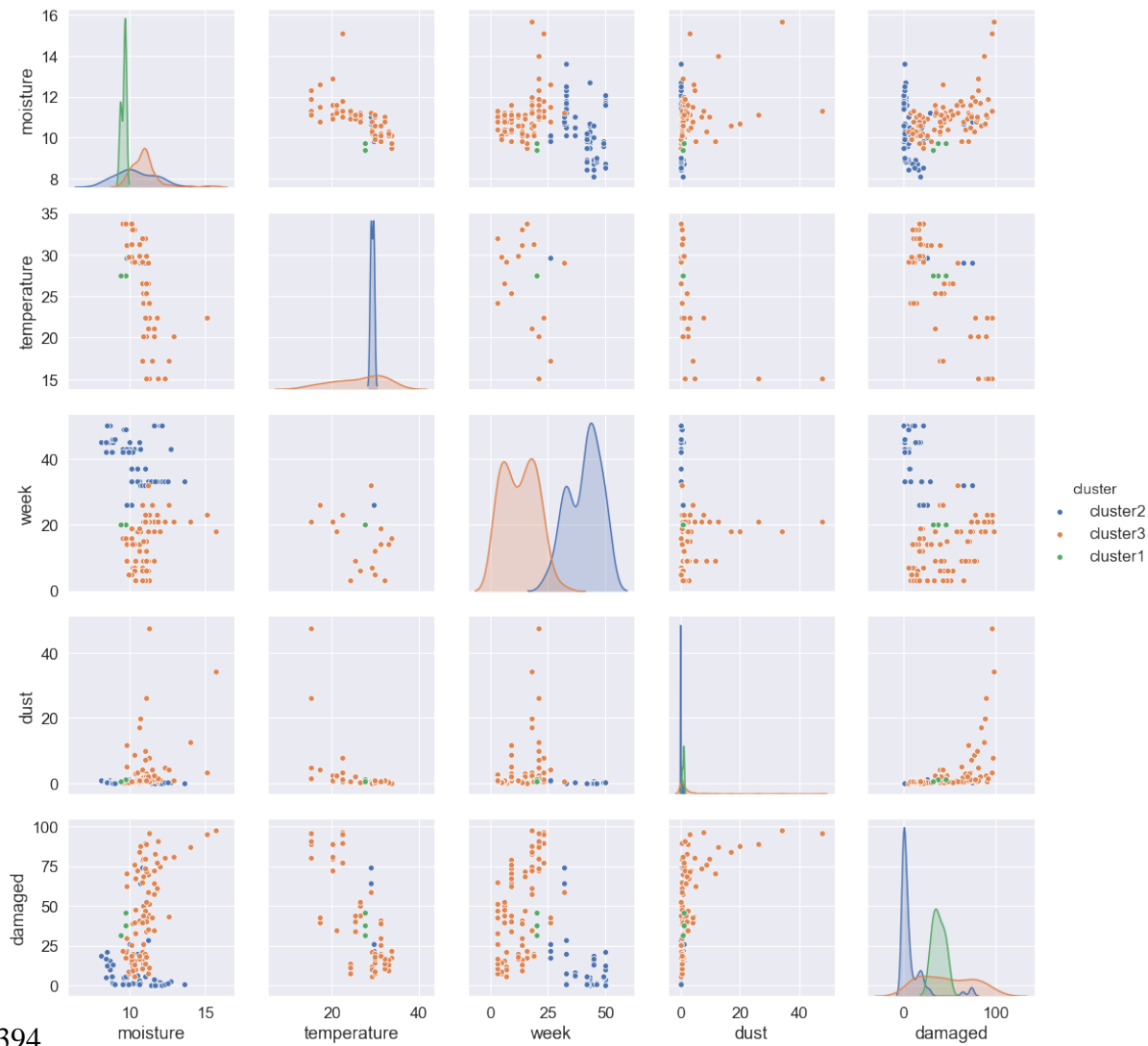
387 *Wa* = Number of adult parasitic wasps of the order hymenoptera /kg sample

388

### 389 3.3 Insect grain damage model after parameter selection

390 Parameter selection for insect grain damage yielded nine parameters which were then used as grain  
 391 damage predictors. The recorded data were further clustered using the K-Means algorithm. A kernel  
 392 density estimation plot and clustered scatter plot was generated (Figure 7).

393



394

395 **Figure 7:** Clustered kernel density and scatter plots showing the relationship between grain damage  
 396 and climate related variables

397

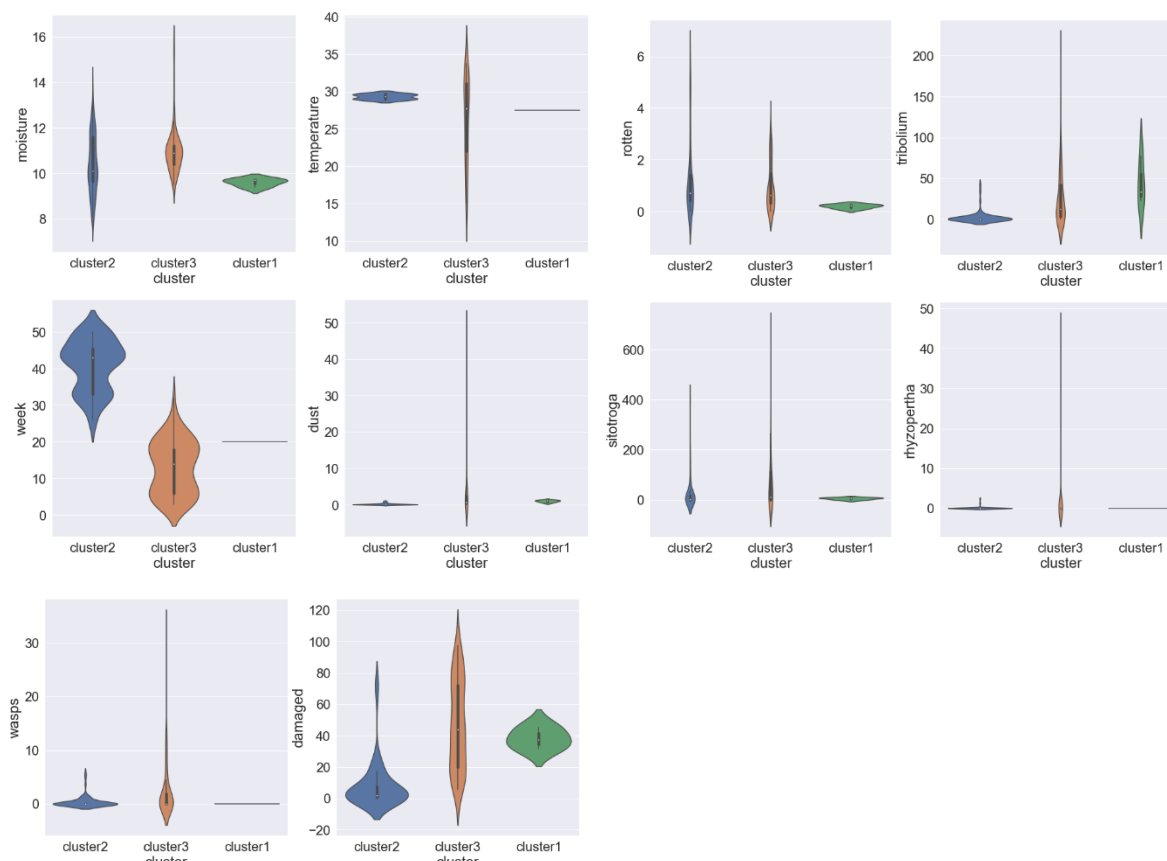
398 High levels of grain damage above 20% were observed in samples starting from weeks 5 to 35 following  
 399 moisture content rise to above 12% and average temperatures of between 25°C and 35°C over the same  
 400 period. Grain damage peaked when grain moisture content was between 11% and 15% (Figure 7).

401

402 The general trend showed that increased grain moisture content coincided with higher *P. truncatus*  
 403 numbers and higher damage between March and May (weeks 9 to 18). Pest numbers were generally  
 404 higher during the early weeks of the year when rainfall and warm to hot temperatures dominated (Figure  
 405 6). Insect numbers were notably high for *T. castaneum* and *S. cerealella* during this period.

406

407 In the violin plots for parameters selected as predictors of grain damage. week, temperature and  
 408 moisture showed visually different centroid points (Fig 8).



409

410

411 **Figure 8:** Violin plot showing distribution of clustered data points for the 10 parameters selected

412

413 Cluster 2 showed the greatest deviation in centroids from clusters 1 and 3 for parameters week,  
 414 damaged, and dust (Figure 8). Clusters 1 and 2 had the least deviation from its centroid for dust and  
 415 insect species. The M5P algorithm in WEKA® was used with tree pruning to remove tree branches  
 416 with less than 10 instances and 10-fold cross validation.

417

### 418 3.4 Modelling grain damage

419

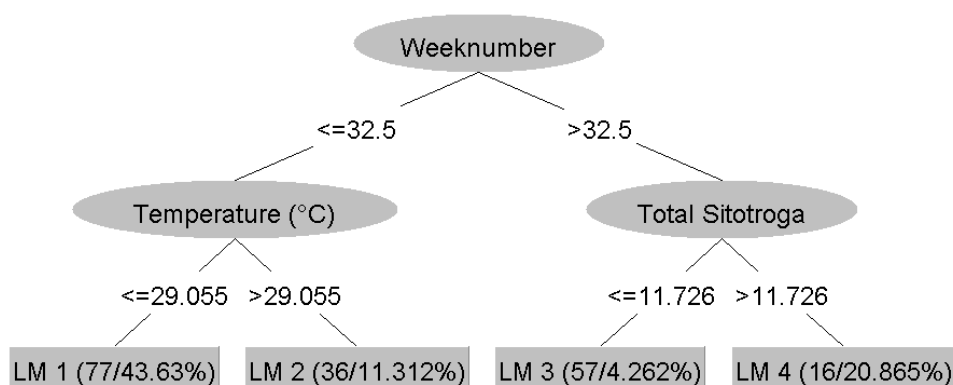
420 Using the decision tree M5P algorithm in WEKA®, a high correlation coefficient of 0.9288 was  
 421 achieved with a low root mean square error of 10.77 (Table 5).

422 **Table 5:** Model fit 10-fold cross validation summary for insect grain damage

M5P decision tree model statistics	
Correlation coefficient	0.93
Kendall's tau	0.75
Mean absolute percentage error	$\infty$
Root mean square percentage error	$\infty$
Spearman's rho	0.91
Mean absolute error	6.99
Root mean squared error	10.77
Relative absolute error	28.29 %
Root relative squared error	37.09 %
Total Number of Instances	186
Ignored Class Unknown Instances	3

423

424 The decision-tree illustration produced by the M5P algorithm for predicting grain damage from which  
 425 four equations were derived is shown in Figure 9.



426

427 **Figure 9:** Decision-tree classifier model for damage prediction after parameter selection

428 *Note: LM means Linear Model. The format (77/43.63%) indicates that 43.63 % of the data set*  
 429 *instances were correctly classified/predicted along the named branch of the decision-tree. The*  
 430 *<=32.5 denotes "if weeknumber <=32.5 then temperature becomes the next most important decision*  
 431 *parameter" etc.*

432

433

434 M5P tree model produced the following equations for % insect grain damage are shown in Equation 2.

435 *Grain damage model:*

436 *Number of rules = 4*

437 *LM num: 1*

$$438 D = 3.7781 * Mc - 0.328 * T_{mean} + 0.5342 * Wk + 1.0326 * C - 8.8884 * R + 0.0235 * Tc +$$

$$439 0.0489 * Ts + 0.6425 * Rd + 0.2108 * Wa + 11.6829$$

440

441 *LM num: 2*

$$442 D = 1.8645 * Mc + 0.1239 * T_{mean} + 0.2576 * Wk + 10.8734 * C - 2.5159 * R + 0.0235 * Tc +$$

$$443 0.1224 * Ts + 0.3174 * Rd + 0.2859 * Wa - 11.3511$$

444

445 *LM num: 3*

$$446 D = 0.0991 * Mc - 0.1904 * T_{mean} - 0.1101 * Wk + 12.2993 * C - 0.5149 * R + 0.0342 * Tc +$$

$$447 0.1303 * Ts + 0.1433 * Rd + 0.1707 * Wa + 11.3155$$

448

449 *LM num: 4*

$$450 D = 0.3968 * Mc - 0.1904 * T_{mean} - 0.1417 * Wk + 7.5753 * C + 3.8116 * R + 0.0342 * Tc +$$

$$451 0.0376 * Ts + 0.1433 * Rd + 0.1707 * Wa + 11.2657$$

452

453 The model parameters are as described in Section 2.2.

454

#### 455 **4. Discussion**

456

457 The ambient temperatures recorded in the two focal wards in Mbire district, and in Goneso ward of  
458 Hwedza district were higher than those in Makwarimba ward of Hwedza district. Previous work  
459 suggests that temperature can influence pest populations (Worner, 1998; Munyuri & Tabu, 2013).

460 *Prostephanus truncatus* is present in both Makwarimba and Goneso wards of Hwedza district, with  
461 higher pest numbers and higher mean annual temperatures in Goneso than in Makwarimba ward  
462 (Mlambo *et al.*, 2017). In previous modelling work, parameters such as storage duration, temperature,  
463 humidity, grain moisture content, and the developmental stages of *P. truncatus* have been shown to  
464 influence numbers of the pest under smallholder farm conditions (Meikle *et al.*, 1998).

465

466 Adult *P. truncatus* do not favour high grain dust situations and tend to fly away in search of alternative  
467 food sources (Borgemeister *et al.*, 1998; Nansen *et al.*, 2001) leading to higher numbers of the other  
468 grain insect pests such as *T. castaneum* and *S. cerealella* being recorded. This explains our results in  
469 the second half of the storage season when insect grain damage and dust quantities had increased. In  
470 laboratory experiments conducted with *P. truncatus* and *S. zeamais*, it was observed that the latter was

471 more competitive at lower temperature while the former was responsible for more damage and produced  
472 more progeny as temperature rose above 30°C to 35°C (Quellhorst et al., 2019). Similar results were  
473 observed in experiments involving *P. truncatus* and *R. dominica* with a further observation that that in  
474 an enclosure, *P. truncatus* outcompetes *R. dominica* in the 30°C to 35°C temperature range, more likely  
475 due to *P. truncatus* having comparatively higher preference for maize grain as a food source than direct  
476 competition (Sakka and Athanassiou, 2018). This has implications for maize grain storage in areas with  
477 generally high annual temperatures as the threat of *P. truncatus* becomes more pronounced.

478

479 As the annual rains fall in the summer months in Zimbabwe from October to April, *P. truncatus*  
480 numbers generally increase as temperatures approach the pest's optimal developmental temperature of  
481 32°C and 80% relative humidity (Shires, 1979). Hence, *P. truncatus* populations increased together with  
482 other insect pests as the rainfall season commenced and as summer temperatures increased; a trend also  
483 observed by Stathers *et al.* (2008). The high temperatures experienced during the dry third quarter of  
484 the year into the hot and humid fourth quarter and the first quarter of the following year, provide  
485 adequate conditions for *P. truncatus* larval survival with the result being a strong presence of the pest  
486 in the second quarter of the subsequent year as was also found by Meikle *et al.* (1998). Flight activity  
487 of *P. truncatus* increases in the temperature range from 20 to 30°C but declines sharply once it reaches  
488 35°C (Fadamiro & Wyatt, 1995). This suggests that there may be less movement of the pest from stored  
489 grain during the peak dry temperatures observed in the second half of the year thus contributing to the  
490 high damage later observed as the postharvest season draws to an end in the following year. Grain  
491 damage is an important parameter as damage caused by *P. truncatus* can lead to lower consumer  
492 valuation and greater price discounts than damage by other storage insect pests such as *Sitophilus* spp.  
493 (Boxall, 2002). The relationship between moisture content and relative humidity with *P. truncatus*  
494 numbers also agrees with findings by (Meikle *et al.*, 1998). In the current study, grain damage levels  
495 were observed to be highest at temperatures between 15°C and 30°C and relative humidities between  
496 45% and 75%. The high damage observed towards the cooler winter was a result of cumulative damage  
497 during the storage period when summer temperatures and rains in the first half of the year provided  
498 favourable conditions for the storage pests to flourish. This also coincides with the *P. truncatus*  
499 populations, though total insect grain damage is actually a product of feeding by multiple species of  
500 stored product insects including *Sitophilus* spp., *S. cerealella* and *Tribolium* spp.

501

502 Projections of future maize grain output and variation of temperature and precipitation in Zimbabwe  
503 suggest an increase in areas having an average annual temperature above 25°C and an increase in areas  
504 receiving annual precipitation below 610 mm (Nyabako & Manzungu, 2012). These changes in weather  
505 patterns may aid more rapid build-up of *P. truncatus* populations including in the surrounding natural  
506 environment where it thrives in some indigenous tree and shrub species (Nang'ayo et al., 1993) as  
507 suggested in other studies (Arthur et al., 2019). It should be noted, however, that only the male-produced

508 pheromone influences *P. truncatus* dispersal and host-finding behaviour, with food volatiles having no  
509 effect on the host selection (Fadamiro et al., 1998). High total *P. truncatus* numbers were recorded at  
510 temperatures of between 15°C and 30°C which may be a result of high numbers of dead *P. truncatus*  
511 insects being recorded from March to May (see details in Mlambo et al. 2017; 2018) which coincides  
512 with the start of low winter temperatures in the southern African region. It is possible that adult  
513 *P. truncatus* were attracted to the pheromones of insects in infested grain from neighbouring grain stores  
514 within the community or the natural environment. It would be informative to also investigate the  
515 *P. truncatus* population dynamics outside the store to determine the relationship between incoming  
516 versus resident (in-store) infestation and subsequent insect grain damage. Boring of grain storage bags  
517 by *P. truncatus* may be a result of the pest movement between the bags of stored grain and the natural  
518 environment. The pest causes extensive damage to the integrity of some bags as well as to the grain;  
519 resulting in generation of copious amounts of grain dust during boring and feeding. *P. truncatus* grain  
520 damage can be identified by the presence of circular holes on the polypropylene bags with a lot of grain  
521 dust trickling from these holes. *P. truncatus* grain damage often results in structural integrity failure  
522 of the bags and ripping apart while being moved or sampled (personal experience) as also reported in  
523 earlier studies (Nang'ayo et al., 1993; Birkinshaw et al., 2002; Hodges, 2002).

524  
525 Grain damage peaked together with both *P. truncatus* and wasp counts between February and June  
526 (weeks 5 to 22) as the postharvest season ended. The correlations between dust and *P. truncatus* ( $r =$   
527  $0.70$ ), and between dust and insect grain damage ( $r = 0.51$ ) were significant, demonstrating the strength  
528 of using dust as a visual indicator of the presence of *P. truncatus* and grain damage which affect grain  
529 quality. Dust content and grain weight loss are a good indication of increase of insect feeding activity  
530 and grain damage, and that is why these parameters are measured when conducting loss assessments of  
531 grain.

532  
533 Among the different insect species, the highest correlations were observed between *P. truncatus* and  
534 wasp numbers ( $r = 0.48$ ), and between *P. truncatus* and *T. castaneum* ( $r=0.54$ ). Observations indicate  
535 that development of *P. truncatus* is partially inhibited by *T. castaneum* and *S. zeamais* (Kenneth, 1988).  
536 *Sitophilus zeamais* larvae are known to deter *P. truncatus* from infesting maize as *P. truncatus* prefers  
537 uninfested grains than grains that have already been infested (Danho et al., 2000). *Prostephanus*  
538 *truncatus*, being a primary pest, favours undamaged grains and is known to produce a lot of dust, which  
539 sustains secondary pests such as *T. castaneum* which prefer to feed on damaged grains (Hodges, 1986).  
540 Hence *P. truncatus* feeding activity may lead to increases in occurrence of *T. castaneum* as was also  
541 found by (Mlambo et al., 2017).

542  
543 The number of parasitic wasps and *P. truncatus* beetles in the untreated grain were moderately  
544 correlated ( $r = 0.48$ ) which explains why the model for *P. truncatus* had wasps as a major parameter.

545 Wasps tend to be highly susceptible to chemical grain treatments (Perez-Mendoza *et al.*, 1999) and  
546 hence are usually only present in untreated grain. The small size and high mobility of the wasps make  
547 them much more difficult to count when live than the insect pests, implying a more thorough sampling  
548 and counting mechanism for *P. truncatus* and wasps may be required in future experiments. Where  
549 temperatures are relatively high above 25°C as is the case in Mbire, the survival of wasps may be  
550 negatively affected compared to the host pest which has been shown to favour generally higher  
551 temperatures of above 35°C in laboratory experiments (Shires, 1979). Increased ambient moisture  
552 availability during the rainy season generally increases insect feeding and grain damage by both primary  
553 and secondary pests. Rotting does not seem to have much effect on *P. truncatus* numbers though it does  
554 have some effect on damage level which may be due to the feeding of other insect pest species within  
555 the grain.

556  
557 Machine learning techniques were used to iteratively develop the models for predicting *P. truncatus*  
558 and grain damage as they could provide a reliable model considering the low number of data instances  
559 with *k*-fold cross-validation used for validation of the model (Blockeel & Struyf, 2001; Witten *et al.*,  
560 2016). Parameter selection was applied to determine the parameters which should be included in the  
561 models. The prediction models for *P. truncatus* and grain damage were processed iteratively using the  
562 decision-tree algorithm M5P in WEKA® software. The prediction model for *P. truncatus* had a  
563 correlation coefficient of 0.43 which is low but can be attributed to the complexity in sampling for  
564 *P. truncatus* and the nature of the experimental *in situ* environment that the experiments were conducted  
565 in. Further challenges to the model accuracy could have arisen from the low sampling frequency of  
566 eight weeks which may have affected analysis of *P. truncatus* activity and its correlation with the other  
567 variables. The accuracy of the model is, dependent on the quantity of data; with more data leading to  
568 better prediction accuracy (Witten *et al.*, 2016); though it must also be acknowledged that frequent  
569 sampling for such *in situ* experiments comes with the risk of disturbing the ecosystem too often which  
570 can affect normal population development of the storage insects.

571  
572 Insect grain damage prediction produced a high correlation coefficient ( $r = 0.93$ ) which is an indication  
573 of the confidence we can have in using the model as an estimator of grain damage. The moisture content  
574 and wasp count were the greatest factors in predicting damage. It should be noted that we can use the  
575 relationship between parasitic wasps and *P. truncatus* count shown in the *P. truncatus* model to  
576 substitute for *P. truncatus* in the damage model. Ability to predict potential insect damage to grain in  
577 storage can aid decision-making in terms of the most appropriate grain protection method depending  
578 on intended storage period and intended use of the grain. The model can be used to build applications  
579 for estimating grain condition at different times of the year under different conditions and can ultimately  
580 contribute to development of more tools for farming stakeholders including agricultural extension

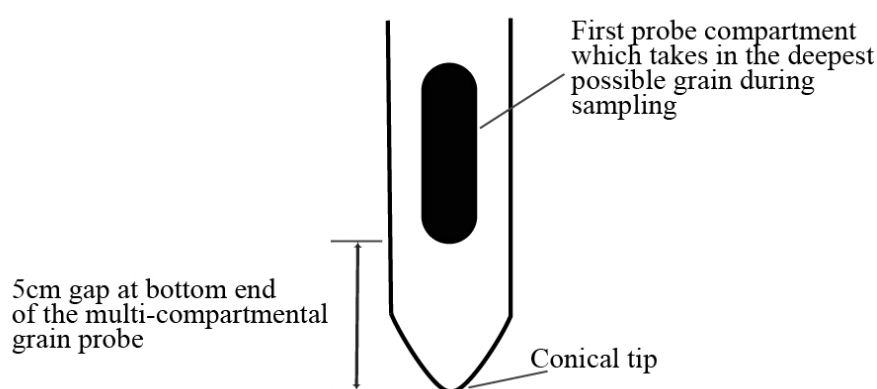


581 agents to be able to provide quicker grain pest risk assessments in stored grain and advise on preparatory  
 582 measures accordingly.

583

584 While more advanced systems of grain storage in industrialised countries offer a more stable, uniform  
 585 and controlled storage environment for grain pest behavioural analysis, the smallholder farmers' maize  
 586 stores tend to be more similar to a natural ecosystem, which results in greater immigration and  
 587 emigration of *P. truncatus* in relation to the store; making it more difficult to model the behaviour of  
 588 the pest (Meikle *et al.*, 1998). Accurate sampling for *P. truncatus* in the smallholder grain stores was  
 589 difficult due to the pest's feeding habits as the pest tends to aggregate at the base of grain bags or stacks  
 590 or bulk storage deposits (Hodges, 2002; Hodges *et al.*, 2003). Multi-compartmentalised grain probes  
 591 were used for sampling the stored bagged maize grain, and they have apertures at intervals along the  
 592 1.5 m length of the probe where grain samples are obtained from different vertical positions within a  
 593 grain bag or grain bulk. The probe was inserted repeatedly at different positions from the open top  
 594 surface of the grain bag to provide the most spatially representative sample of grain. However, the  
 595 design of the grain sampling probe is such that it has a conical tip to facilitate probing of the bagged  
 596 grain, leaving a 5 cm space at the bottom which goes unsampled; hence *P. truncatus* present in the  
 597 lowest part of a bag may not be sampled accurately (Chigoverah & Mvumi, 2018) as illustrated in  
 598 Figure 10.

599



600

601 **Figure 10:** Bottom end of multi-compartmentalised grain sampling probe showing the bottom portion  
 602 of the probe that fails to get the last 5cm of grain where *Prostephanus truncatus* is mostly found

603

604 This problem can be overcome by carefully emptying the grain from the different depth layers of a sack,  
 605 store or bulk and taking samples from each of these depths, but this is hugely laborious and causes  
 606 disturbance of developing insect populations and would affect subsequent samplings. Additionally,  
 607 there is a further sampling problem with *P. truncatus* as the insect is an internal feeder of individual  
 608 kernels (Holst *et al.*, 2000); hence there can be detection errors during manual sample analysis as many

609 adult *P. truncatus* may remain inside the grains despite sieving and may therefore not be recorded. The  
610 experiments were conducted in farmers' stores. Thus the feeding, flight and infestation activities of  
611 *P. truncatus* is potentially problematic to the farmers households due to its boring of timber, furniture,  
612 curtains and many other non-food items.

613  
614 The study produced models for predicting both potential *P. truncatus* infestation and the grain damage  
615 caused by the pest together with other grain storage pests in contrasting environments. The effect of  
616 seasonality on the *P. truncatus* infestation and grain damage was also shown to be a contributing factor  
617 to the state of the grain at different times during a typical southern African grain storage season. The  
618 high accuracy produced using the machine learning approach demonstrated the clear potential of  
619 solving real agricultural issues by starting with the small datasets that exist and refining the models  
620 based on new input data and structured collection of such data. A larger dataset can produce models  
621 with better accuracy over a wider spectrum of observations and conditions. The models developed can  
622 be packaged to aid extension staff in advising farmers on the timing of grain treatment based on the state  
623 of grain expected if no treatment is applied after harvest.

624  
625 The study presented an alternative approach to working with data from field and exploratory  
626 experiments using machine learning and open source software packages. The models developed present  
627 a methodology to iteratively improve prediction of natural processes from research. The models can be  
628 used to create decision-support tools that can run on various platforms such as mobile applications  
629 which are increasingly becoming available to farmers and stakeholders as low-cost information  
630 gathering and dissemination devices.

631

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633

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639

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