

## 1 **Abstract**

2 In human and veterinary medicine, reducing the risk of occupationally-acquired infections relies on  
3 effective infection prevention and control practices (IPCs). In veterinary medicine, zoonoses present a  
4 risk to practitioners, yet little is known about how these risks are understood and how this translates  
5 into health protective behaviour. This study aimed to explore risk perceptions within the British  
6 veterinary profession and identify motivators and barriers to compliance with IPCs. A cross-sectional  
7 study was conducted using veterinary practices registered with the Royal College of Veterinary  
8 Surgeons. Here we demonstrate that compliance with IPCs is influenced by more than just knowledge  
9 and experience, and understanding of risk is complex and multifactorial. Out of 252 respondents, the  
10 majority were not concerned about the risk of zoonoses (57.5%); however, a considerable proportion  
11 (34.9%) was. Overall, 44.0% of respondents reported contracting a confirmed or suspected zoonoses,  
12 most frequently dermatophytosis (58.6%). In veterinary professionals who had previous experience of  
13 managing zoonotic cases, time or financial constraints and a concern for adverse animal reactions  
14 were not perceived as barriers to use of personal protective equipment (PPE). For those working in  
15 large animal practice, the most significant motivator for using PPE was concerns over liability. When  
16 assessing responses to a range of different “infection control attitudes”, veterinary nurses tended to  
17 have a more positive perspective, compared with veterinary surgeons. Our results demonstrate that  
18 IPCs are not always adhered to, and factors influencing motivators and barriers to compliance are not  
19 simply based on knowledge and experience. Educating veterinary professionals may help improve  
20 compliance to a certain extent, however increased knowledge does not necessarily equate to an  
21 increase in risk-mitigating behaviour. This highlights construction of risk is complex and  
22 circumstance-specific and to get a real grasp on compliance with IPCs, this construction needs to be  
23 explored in more depth.

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26

## 27 **Introduction**

28 Veterinary professionals can encounter a variety of occupational health risks. A high prevalence of  
29 injury has been reported, predominantly in relation to large animal work (BEVA, 2014; Fritschi et al.,  
30 2006; Lucas et al., 2009), dog and cat bites and/or scratches and scalpel or needle stick injuries  
31 (Nienhaus et al., 2005; Phillips et al., 2000; Soest and Fritschi, 2004). In addition to the risk of injury,  
32 the profession is also at risk of other occupational hazards including exposure to chemicals, car  
33 accidents (Phillips et al., 2000) and infectious diseases from zoonotic pathogens (Constable and  
34 Harrington, 1982; Dowd et al., 2013; Epp and Waldner, 2012; Gummow, 2003; Jackson and  
35 Villarroel, 2012; Lipton et al., 2008; Weese et al., 2002). Work days lost because of zoonotic  
36 infections are less frequent than days lost to injury (Phillips et al., 2000); however, because of the  
37 potential seriousness of some zoonotic infections and increasing reports of occupationally-acquired  
38 antimicrobial resistant bacteria in veterinary professionals (Cuny and Witte, 2016; Groves et al., 2016;  
39 Hanselman et al., 2006; Jordan et al., 2011; Weese et al., 2006), zoonotic risk in the veterinary  
40 profession deserves attention.

41 There are no recent data on the risk of zoonotic infections in the British veterinary profession. One  
42 study published over 30 years ago estimated 64.1% of veterinary surgeons working for government  
43 agencies reported one or more zoonotic infections during their career (Constable and Harrington,  
44 1982). Research from veterinary populations overseas indicates a substantial risk of infection within  
45 the profession, with incidence of reported infections during their career ranging from 28% in the  
46 United States (Lipton et al., 2008), 45% in Australia (Dowd et al., 2013), 47.2% in Canada (Jackson  
47 and Villarroel, 2012) to 64% in South Africa (Gummow, 2003).

48 In both medical and veterinary professions, infection prevention and control (IPC) practices are  
49 fundamental to reduce the risk of healthcare-associated infections in patients, as well as  
50 occupationally-acquired infections in practitioners. In the United Kingdom (UK), universal and  
51 standard precautions are recommended by the Department of Health. In human medicine, research has  
52 highlighted sub-optimal compliance with IPC practices. In one UK study, observed hand hygiene  
53 adherence in nurses was 20.4% and 60.1%, before and after contact with patients, respectively. In

54 doctors in the same study, the compliance was much lower, at 8.1% and 51.4%, before and after  
55 patient contact (Jenner et al., 2006). Non-adherence to guidelines is a global issue, with reported hand  
56 hygiene compliance rates of 58% in hospitals in Finland (Laurikainen et al., 2015), 41.2% in an  
57 infectious diseases care unit in France (Boudjema et al., 2016) and 40% in paediatric hospitals in New  
58 York (Løyland et al., 2016).

59 In veterinary medicine in the UK, there are no enforceable national policies for IPC practices. For  
60 veterinary practices in the Royal College of Veterinary Surgeons (RCVS) accreditation scheme,  
61 guidelines are available and specific standards have to be met to retain accreditation status. Only 51%  
62 of practices are members of the accreditation scheme (RCVS, 2014) and although guidelines and  
63 recommendations are available for non-members, they tend to be practice-specific. Additionally, the  
64 emphasis is on patient, rather than practitioner health.

65 Other countries have developed national standards for IPC in veterinary medicine, specifically related  
66 to occupationally-acquired zoonotic infections. These include the Australian Veterinary Association  
67 Guidelines for Veterinary Personal Biosecurity and the Compendium of Veterinary Standard  
68 Precautions for Zoonotic Disease Prevention in Veterinary Personnel, developed by the National  
69 Association of State Public Health Veterinarians in the United States (NASPHV).

70 Even when national guidelines exist, not all practices have IPC programmes (Lipton et al., 2008;  
71 Murphy et al., 2010). Where effective procedures and resources are available, their effectiveness is  
72 dependent on uptake (Dowd et al., 2013). Decision-making surrounding IPC practices will depend on  
73 a number of different factors. There are few data available focussing on awareness and perceptions of  
74 zoonotic diseases within the veterinary profession in the UK, however from studies that have been  
75 conducted overseas it appears that awareness is poor and compliance with IPC guidelines is low  
76 (Dowd et al., 2013; Lipton et al., 2008; Nakamura et al., 2012; Wright et al., 2008).

77 In a survey of American Veterinary Medicine Association-registered veterinary surgeons, under half  
78 (48.4%) of small animal vets washed or sanitised their hands between patients and this proportion was  
79 even lower in large and equine vets (18.2% for both). In addition, only a small proportion of large and

80 equine vets washed their hands before eating, drinking or smoking at work (31.1% and 28.1%,  
81 respectively), compared with 55.2% in small animal vets. Veterinary surgeons who worked in a  
82 practice that had no formal infection control policy had lower awareness, as did male veterinary  
83 surgeons (Wright et al., 2008). In a smaller survey of American veterinary professionals, although  
84 77% of respondents agreed it was important for veterinary surgeons to inform clients about the risk of  
85 zoonotic disease transmission, only 43% reported they initiated these discussions with clients (Lipton  
86 et al., 2008). In a study of veterinary technicians and support staff, only 41.7% reported washing their  
87 hands regularly between patients (Nakamura et al., 2012). In a sample of Australian veterinary  
88 surgeons, 43.4% wore no PPE for handling clinically sick animals and the majority (67.4%) wore  
89 inadequate PPE for handling animal faeces and urine (Dowd et al., 2013).

90 In the veterinary profession, the dichotomy between a professional status and increased risk of  
91 infection has been viewed as counterintuitive (Baker and Gray, 2009), as it could be expected a  
92 comprehensive understanding of zoonotic disease risks would manifest in more risk-averse behaviour.

93 In both medical and veterinary medicine, education has been identified as a key intervention to  
94 increase compliance (Dowd et al., 2013; Ward, 2011); however good knowledge does not necessarily  
95 lead to good practice (Jackson et al., 2014). Compliance is influenced by many factors, including  
96 motivation, intention, social pressure and how individuals understand or 'construct' risk (Jackson et  
97 al., 2014). Understanding of risk and why people engage in risk-mitigating behaviour (or not) is  
98 complex and perceived knowledge of the disease is only one factor that should be considered.

99 A better understanding of how veterinary professionals in Britain understand the risks surrounding  
100 zoonotic diseases will aid in the development of effective and sustainable IPC practices, reducing the  
101 risk of zoonotic infections within the profession. This paper examines how the veterinary profession  
102 in Britain understand zoonotic risk and motivators and barriers for using PPE.

103

## 104 **Methods**

### 105 *Study design*

106 A cross-sectional study was conducted October to December 2014; the sampling frame was all 3416  
107 veterinary practices in Great Britain registered in the RCVS database. The RCVS database holds  
108 information on registered veterinary businesses, including private practice, referral hospitals,  
109 veterinary teaching hospitals and veterinary individuals. Sample size calculations indicated that  
110 information from 348 veterinary practices was required for an expected prevalence of 50%, with a  
111 precision of 5%. Assuming a 30% response rate, 1000 practices were selected from the RCVS  
112 database by systematically selecting every third practice.

113 The principle veterinary surgeon and head nurse were identified at each practice using the RCVS  
114 register and sent a postal questionnaire. A total of 2000 questionnaires were posted to 1000 veterinary  
115 practices.

116 For non-responders, reminder emails were sent out from four weeks after the initial posting and a  
117 second reminder, including an electronic copy of the questionnaire was sent out a further four weeks  
118 after the first reminder, to any remaining non-responders.

### 119 *Questionnaire design*

120 The questionnaire was developed based on a similar study in Australian veterinary professionals  
121 (Dowd et al., 2013) and a larger, multi-country risk perception study on severe acute respiratory  
122 syndrome (de Zwart et al., 2009). The questionnaire was an A4 8-page booklet (available in  
123 supplementary information), containing four sections including veterinary qualifications and  
124 experience, disease risk perceptions, infection control practices and management of zoonotic diseases.

125 The questionnaire included both closed and open-ended questions and was piloted on a small  
126 convenience sample of veterinary surgeons, but not veterinary nurses, prior to being finalised.

127 Questionnaires were designed in automatic data capture software (Cardiff Teleform v 9.0), which  
128 allowed completed questionnaires to be scanned and verified and the data imported directly into a  
129 custom-designed spreadsheet (Microsoft Excel, Redmond, WA, USA).

### 130 *Statistical analysis*

131 Descriptive statistics were performed using commercial software (IBM SPSS Version 22, Armonk,  
132 NY, USA). Proportions were calculated for categorical data; median and interquartile ranges (IQR)  
133 for continuous data.

#### 134 *Risk perception*

135 A “risk perception score” was calculated as the mean value of the scores (high risk = 3; medium risk  
136 = 2; low risk = 1), based on the participant’s opinion of the risk (high, medium or low) of contracting  
137 a zoonosis from eight different clinical scenarios detailed in Figure 2.

#### 138 *Reported use of PPE*

139 Scores for PPE use in five clinical scenarios were calculated using Pearson’s correlation coefficient to  
140 compare reported use of gloves, masks and gowns/overalls to the recommendations in the NASPHV  
141 guidelines. These guidelines were chosen because no UK equivalent that applies across all veterinary  
142 species could be found, but the NASPHV standards are likely to be considered as reasonable levels of  
143 protection in the UK situation. The clinical scenarios included handling healthy animals (no specific  
144 protection advised: possible scores 0 to 3); handling excreta and managing dermatology cases (gloves  
145 and protective outerwear advised: possible scores -2 to 1); performing post mortems and performing  
146 dental procedures (gloves, coveralls and masks advised: possible scores -3 to 0). A score of 0  
147 indicated compliance, < 0 indicated less PPE than recommended was used and > 0 more PPE than  
148 recommended was used.

149 Redundancy analysis (RDA) was used to determine if demographic or other factors accounted for any  
150 observed clustering of the motivators or barriers to use of PPE, or for the reported PPE use in different  
151 scenarios.

152 Redundancy analysis is a form of multivariate analysis that combines principal component analysis  
153 with regression, to identify significant explanatory variables. This was performed using the R package  
154 “vegan” (Oksanen et al., 2016), based on the methods described by (Borcard et al., 2011). The  
155 adjusted R<sup>2</sup> value was used to test whether the inclusion of explanatory variables was a significantly  
156 better fit than the null model and a forward selection process was used to select the significant

157 variables that explained the greatest proportion of the variance in the response data (Borcard et al.,  
158 2011). Permutation tests were used to test how many RDA axes explained a significant proportion of  
159 the variation.

#### 160 *Motivators and barriers to PPE use*

161 Barriers and motivators to use of PPE were assessed by asking respondents to grade the influence of  
162 certain factors on their use of PPE (see Figure 4 for a full description of the barriers and motivators).  
163 The response options “Not at all”, “A little” and “Extremely” were ranked as 0, 1 and 2, respectively.  
164 Redundancy analyses, as described above, were used to determine if demographic or other factors  
165 accounted for any observed clustering of a) barriers or b) motivators to use of PPE. Explanatory  
166 variables investigated were gender, age, length of time in practice, position (veterinary surgeon or  
167 nurse; owner or employee); type(s) of veterinary work undertaken (small, large/equine or  
168 exotics/wildlife); previous experience of treating a zoonotic case; level of concern over risk (for  
169 themselves or clients). Additional explanatory variables investigated in the redundancy analysis for  
170 reported PPE use were the barrier and motivator scores and the attitude and belief scores (described  
171 below).

#### 172 *Attitudes and beliefs*

173 Participants were also asked about their level of agreement with certain statements describing their  
174 attitudes and beliefs around zoonotic disease risk and PPE use (see Figure 5 for a full description of  
175 the statements) ; the responses “Disagree”, “Agree” and “Strongly agree” were scored as -1, 1 and 2,  
176 respectively. Principal component analysis was used to investigate clustering of these “attitude”  
177 statements. As only two axes contributed variation of interest (according to the Kaiser-Guttman  
178 criterion, which compares each axis to the mean of all eigenvalues), the attitude statements were  
179 grouped into two subsets; those that contributed principally to PCA1 (seven statements) and those that  
180 contributed to PCA2 (three statements). Cronbach’s alpha was calculated on these subsets of the  
181 attitude statements, using the “psy” package in R (Falissard, 2011), to test whether any of these  
182 variables may indicate an underlying latent construct. Where correlation was judged to be acceptable

183 or better (Cronbach's alpha coefficient > 0.7), the principal component scores were used as a proxy  
184 measure for this latent construct.

185 Potential explanatory variables, including the same demographic variables used for the redundancy  
186 analyses, and responses to motivators and barriers, were tested using linear regression modelling.

187 Multivariable regression models were fitted using the base and stats packages in R software (R core  
188 team, 2015). A manual stepwise selection of variables was performed based on knowledge of  
189 expected potential associations and confounders that made biological sense. Variables were added one  
190 by one to the null model. Two-way interactions were tested and variables or interactions were retained  
191 if likelihood ratio tests showed a significant improvement in model fit ( $P < 0.05$ ). Non-significant  
192 variables were removed, including variables that later became non-significant when additional  
193 variables were added.

194

#### 195 *Ethical approval*

196 Approval for this study was agreed by Anglia Ruskin University Faculty of Health, Social Care and  
197 Education Research Ethics' Panel.

198

## 199 **Results**

### 200 *Demographic characteristics*

201 Over the 12-week study period, a total of 252 useable questionnaires were returned from the invited  
202 individuals, giving an overall response rate of 12.6%. For a number of questions, there were some  
203 missing data; therefore the denominator for all results was 252 unless otherwise stated. A summary of  
204 demographic characteristics of the respondents is presented in Table 1.

205

### 206 *Previous experience of zoonoses*



207 The majority of respondents had managed a zoonotic case within the 12 months prior to completing  
208 the questionnaire (93.1%; n=230/247). The most commonly reported infections treated were  
209 *Campylobacter* (n=111), dermatophytosis (n=99) and *Sarcoptes scabiei* (n=86).

210 Overall, 24.6% (n=62/248) of respondents reported they had previously contracted at least one  
211 confirmed occupationally-acquired episode of zoonotic disease. When including suspected zoonotic  
212 diseases, this increased to 44.7% (n=111/248). The most common zoonotic disease experienced by  
213 respondents who reported confirmed or suspected zoonotic infection was dermatophytosis (58.6%;  
214 n=65/111). The relative frequency of reported zoonotic infections (confirmed and suspected) is  
215 reported in Figure 1, showing the reported frequency in respondents who had qualified or practised  
216 outside of Britain, compared with veterinary professionals with exclusively British experience.

217

#### 218 *Risk perception and awareness of zoonoses*

219 Overall, the majority (57.5%; n=145/251) of respondents were not concerned that they or their  
220 colleagues would contract an occupationally-acquired zoonotic disease, however a considerable  
221 proportion were (34.9%; n=88/251). Only a small proportion (7.1%; n=18/251; 4.0–10.4) stated they  
222 had not thought about the risk of infection. In total, 84.6% (n=209/247) of respondents agreed or  
223 strongly agreed they had a high level of knowledge regarding zoonotic diseases.

224 Based on the eight different clinical scenarios respondents were asked to assess, the highest risk  
225 situation for zoonotic disease transmission was considered to be accidental injury, such as a needle  
226 stick injury, bite or scratch. Coming into contact with animal faeces/urine was also considered high  
227 risk for zoonotic disease transmission. These scenarios were classified as high risk by 18.3%  
228 (n=46/245) and 17.1% (n=43/246) of respondents, respectively. The aspect of the job considered to  
229 represent the lowest risk of exposure to zoonoses was contact with healthy animals, with 83.3%  
230 (n=210/250) of respondents considering this to involve low risk of exposure to disease (Figure 2). The  
231 amalgamated risk perception scores ranged from 1 (all scenarios considered low risk) to 3 (all  
232 scenarios considered high risk), with a median of 1.5 (IQR 1.25–1.75).

233

234 *Infection control practices*

235 The majority of respondents reported they were aware of their practice having standard operating  
236 procedures (SOPs) related to infection control practices (75.0%; n=189/236). All workplaces provided  
237 PPE for members of staff, although 12.3% did not provide training on how to use it. The majority  
238 provided separate eating areas (92.9%; n=234/247) and restricted access from staff and visitors to  
239 patients in isolation (92.5%; n=225/233).

240

241 *Reported use of PPE*

242 When asked about what level of PPE was used in five different clinical settings, 68.3% (n=168/246)  
243 reported they would not use any specific PPE for handling healthy animals, in line with the NASPHV  
244 guidelines. When handling dermatology cases, 23% (n=56/243) reported using no PPE. Only 2.4%  
245 (n=8/331) reported not using any PPE for handling urine or faeces; one respondent did not use any  
246 PPE for post mortem examination (n=230; 0.4%), and 2% (n=5/244) did not use any for performing  
247 dentistry work.

248 Correlation between the PPE scores for the different scenarios was low, the greatest correlation ( $r =$   
249 0.39) was between the scores for handling excreta and for handling dermatology cases. There was no  
250 evidence that respondents who wore more PPE than required in the guidelines (i.e. gloves and/or  
251 masks) for handling healthy animals would correctly select the appropriate level of PPE (i.e. gloves,  
252 masks and a protective overall) for post mortem or dentistry. A redundancy analysis indicated that  
253 greater PPE use (a higher PPE score) was negatively correlated with a fatalistic attitude for the two  
254 higher risk scenarios. Belief that SOPs acted as a motivating factor to use PPE and agreement that “I  
255 consciously consider using PPE in every case I deal with” were positively correlated with greater PPE  
256 use in dermatological cases, handling healthy animals and excreta (Figure 3).

257

258 *Motivators and barriers for use of PPE*

259 All respondents indicated that perceived risk would have some effect on their motivation to use PPE,  
260 either a little (n=63/248; 25.4%) or extremely (n=186/248; 74.6%). Respondents were also strongly  
261 motivated by previous experience with similar cases (n=135/248; 54.5%) and a high profile or recent  
262 disease outbreak (n=132/245; 53.9%).

263 Few respondents indicated any of the suggested barriers to PPE would have a strong influence as a  
264 deterrent to using PPE; safety concerns was most frequently cited, with 7.1% (n=18) respondents  
265 stating this would be an extreme deterrent to using PPE. When combining both positive responses  
266 (extreme and a little influence), time constraints and safety concerns were the most frequently cited  
267 barriers, with 56.0% (n=139/248) and 56.9% (n=141/248) of respondents indicated these barriers  
268 would affect their decision not to use PPE, respectively. Potential barriers that most respondents  
269 considered had no influence on their decision to use PPE were negative client perceptions and PPE  
270 availability, with 78.2% (n=194/248) and 76.9% (n=190/247) of respondents stating this, respectively.

271 Demographic variables that had significant associations with responses regarding motivators and  
272 barriers towards the use of PPE are illustrated in Figure 4. The explanatory variables in the model  
273 were statistically significant, however they only explained a small amount of the variation in the  
274 respondents' perceptions of barriers (adjusted R-square 3.2%) and motivators (adjusted R-square  
275 3.4%). Respondents with previous experience of treating a case of zoonotic disease were less likely to  
276 regard time or financial constraints, or concern for adverse animal reactions as a deterrent to using  
277 PPE (Figure 4a). Veterinary surgeons were more likely than nurses to be deterred from using PPE  
278 because of concerns about negative client perceptions (Figure 4a); although positive client perceptions  
279 were marginally more likely to act as encouragement in both vets and nurses who reported themselves  
280 concerned about zoonotic risk in relation to clients (Figure 4b). Those working in large animal  
281 practice were more likely to be motivated to use PPE by concerns over liability and nurses tended to  
282 be more motivated than veterinary surgeons by SOPs and concern over the perceived risk to  
283 themselves.

284 *Attitudes and beliefs*

285 Respondents were asked to state their level of agreement with 10 “attitude” statements (see Figure 5  
286 for a description of the statements) reflecting different aspects of zoonotic disease risk control in the  
287 workplace. All respondents agreed that using PPE and practising good equipment hygiene was an  
288 effective way of reducing the risk of zoonotic disease transmission. The majority thought they had a  
289 high level of knowledge regarding zoonoses (n=209/247; 84.6%) and that they were expected to  
290 demonstrate rigorous infection control practices (n=229/247; 92.7%). However, 45 respondents  
291 (18.2%) stated they just hoped for the best when trying to avoid contracting a zoonotic disease and 37  
292 (14.9%) were concerned their colleagues would think they were unnecessarily cautious if they used  
293 PPE in their workplace.

294 Responses to seven of these “attitude” statements tended to cluster together along the first PCA axis  
295 (Figure 5, statements A to G). Cronbach’s alpha coefficient for these statements was 0.76, suggesting  
296 an acceptable level of internal consistency and a potential underlying latent construct (interpreted here  
297 as a “positive attitude” towards IPCs) for these responses. Statements H to K, whilst all contributing  
298 greater weight to PCA axis 2, had an alpha coefficient of below 0.5 and were therefore evaluated  
299 individually.

300 Respondents’ scores from the first principal component axis (Figure 5) were used as a proxy to  
301 represent this potential underlying “positive attitude” towards zoonotic disease risk reduction and a  
302 multivariable linear regression model was used to investigate potential explanatory factors. The only  
303 demographic variable that significantly altered model fit was profession, with veterinary surgeons  
304 tending to score lower than nurses in this “positive attitude”. Some of the factors identified as  
305 motivators and barriers also had a statistically significant association with the outcome. Those who  
306 agreed that SOPs, positive client perceptions and risk to themselves motivated them to use PPE scored  
307 more highly; whereas those who regarded time constraints as a barrier to PPE use tended to have  
308 lower positive attitude scores (Table 2).

309 There were 18.2% (n=45/247) of respondents who agreed or strongly agreed with the statement, “I  
310 just hope for the best when it comes to trying to avoid contracting a zoonotic disease”. A  
311 multivariable model suggested that respondents who had spent less time in practice tended to agree  
312 more with this “fatalistic” attitude, as did those who held the opinion that negative client perceptions  
313 deterred them from using PPE. Furthermore, individuals with higher risk perception scores (i.e. who  
314 believed they tended to have a medium to high risk of exposure to zoonoses from clinical work) were  
315 more likely to agree that they “just hope for the best” (Table 2).

316 A regression model was also constructed for the statement, “If I use PPE, others in my workplace  
317 think that I am being unnecessarily cautious”. Explanatory variables included an interaction between  
318 gender and profession; nurses, particularly male nurses, were more likely to agree, whereas there was  
319 no significant gender difference in veterinary surgeons.

320

## 321 **Discussion**

322 The aim of this research was to explore zoonotic disease risk perceptions within a cross-section of the  
323 veterinary profession in Britain, and to identify barriers and motivators towards infection control  
324 practices and the use of PPE to minimise the risk of disease transmission. The large proportion of  
325 respondents (44.0%) who had contracted either a confirmed or suspected occupationally-acquired  
326 zoonotic infection highlights the level of occupational risk encountered by veterinary surgeons and  
327 veterinary nurses.

328 A substantial proportion of respondents stated they were concerned about the risk of zoonoses (35%),  
329 and the majority thought the highest risk of transmission was through accidental injury, despite few  
330 reported zoonoses in the study being transmitted this way. This dissonance may be reflecting other  
331 occupational risks encountered by veterinary professionals, of which zoonotic diseases only represent  
332 a small proportion. Data from studies conducted overseas suggests veterinary medicine is a high risk  
333 profession. In one survey of Australian veterinary professionals, 71% reported at least one physical  
334 injury over a 10 year period (Phillips et al., 2000). In addition to practice-acquired injuries, such as

335 dog and cat bites, scalpel blade cuts and lifting of heavy dogs, the risk of car accidents was also noted  
336 (Phillips et al., 2000). Further research in the German veterinary profession highlighted workplace  
337 accidents as the most prevalent occupational hazard (87.7%), followed by commuting accidents  
338 (8.2%). Occupationally-acquired zoonoses only represented 4.1% of the total hazards in the study  
339 (Nienhaus et al., 2005). Practitioners are clearly working in a risky environment, particularly large  
340 animal vets, where farm environments are known to be inherently dangerous. A total of 7 fatal  
341 injuries and 292 major injuries were reported in British farmers or farmworkers in 2013–2014 (HSE,  
342 2014), and a recent survey by the British Equine Veterinary Association revealed that on average,  
343 equine vets sustain seven to eight work-related injuries during a 30 year period (BEVA, 2014),  
344 highlighting just how hazardous these environments can be. Few data are available on occupational  
345 injuries in the British veterinary profession; however, when working in what could be interpreted as a  
346 high-risk environment, a constant exposure to risk for those living or working in these types of  
347 environment may lead to habituation to, or normalisation of risk (Clouser et al., 2015). Individuals in  
348 this study who tended to grade common clinical scenarios as posing a moderate to high risk of  
349 zoonosis exposure were also more likely to “just hope for the best”, perhaps suggesting they have  
350 normalised these situations and do not perceive them as requiring additional precautions.

351 Within the veterinary environment, it is also possible that risks are rationalised; when faced with a  
352 very tangible risk of accident or injury, the more imperceptible risk of zoonotic infection becomes less  
353 important. This rationalisation of risk is also noted in the healthcare profession, where healthcare  
354 workers are more careful when handling sharps, compared with demonstrating compliance with IPC  
355 practices for infectious diseases (Nicol et al., 2009). The invisibility of the disease also plays a role  
356 here; the pathogens are not visible therefore the perception of the risk they pose is more abstract. In  
357 addition, there is often a time lapse between exposure to the pathogen and onset of clinical signs,  
358 making an association between suboptimal IPC behaviour and outcome difficult (Cioffi and Cioffi,  
359 2015). In the UK, personal risk receives little attention in the veterinary profession’s media, especially  
360 when compared with issues such as mental health, with reports of high levels of psychological distress  
361 and suicide in the profession (Bartram et al., 2010) and inclusion of issues around stress and mental

362 wellbeing in surveys (Vet Futures, 2015) and veterinary curricula. This makes zoonotic disease risk  
363 less visible and may subject it to an availability heuristic, where the likelihood of an event is judged  
364 based on how easily an instance comes to mind (Tversky and Kahneman, 1974). The absence of  
365 diseases such as rabies from the UK may also mean that veterinary professionals underestimate the  
366 risk of zoonoses because they consider the impacts to be relatively minor, short-term and treatable.  
367 This affect heuristic may be especially pronounced when decisions are made under time pressure  
368 (Finucane et al., 2000), perhaps reflected in this study's finding that those who viewed time  
369 constraints as a barrier to their use of PPE had less positive attitudes towards it.

370

371 The disconnect between risk perception and health protective behaviour in the present study could be  
372 explained by perceived vulnerability. A risk might be acknowledged, yet if an individual does not feel  
373 vulnerable to this risk, there is no motivation or intention to change their behaviour. This perceived  
374 vulnerability is one of the factors considered in the protection motivation theory, where concern about  
375 a potential threat influences perception of the risk i.e. the more concerned an individual is about a  
376 disease, the higher risk they perceive it poses. If an individual feels vulnerable, this acts as a motivator  
377 for behaviour change (Schemann et al., 2013). This behavioural model has been applied to horse  
378 owners following the equine influenza outbreak in Australia where different levels of perceived  
379 vulnerability were identified in a cross section of the equine sector (Schemann et al., 2013, 2011).

380 Perceived vulnerability may be influencing health protective behaviour in the present study. It is  
381 possible that veterinary professionals, because they feel knowledgeable about zoonotic diseases, feel  
382 less vulnerable to the risks they pose. This lack of perceived vulnerability may account for the  
383 substantial proportion of respondents who stated they would not use PPE when handling clinically  
384 sick animals; perhaps because they are confident in their ability to identify those cases with  
385 potentially zoonotic or infectious aetiologies. Identification of risk to self as a motivating factor was  
386 associated with a more "positive attitude" towards PPE use, but being a nurse was independently

387 correlated with both of these variables. Possibly because nurses often have less influence in decisions  
388 over diagnostics or handling of cases, they may feel more vulnerable.

389 The protection motivation theory is only one of numerous health behaviour models that have been  
390 applied to both medical and veterinary research. These models are useful for explaining behaviour  
391 change in relation to infection control or biosecurity however they have had limited success in  
392 practice (Pittet, 2004). The main criticism of these models is that they make an assumption that  
393 behaviour is rational, controllable and therefore modifiable (Cioffi and Cioffi, 2015). In reality,  
394 behaviour is affected by many external influences such as culture and society. Society and culture are  
395 fluid, constantly changing concepts and consequently it makes incorporating them into behavioural  
396 models problematic. So while these models of behaviour are useful in explaining behaviour change to  
397 a certain extent, to gain a full understanding of what drives or inhibits behaviour change, social  
398 psychology and qualitative research is essential for making real impacts on practice.

399

400 In the current study, individuals motivated by SOPs were found to have more positive attitudes  
401 towards PPE and also to report better compliance with PPE guidelines for medium-risk scenarios,  
402 such as dermatology cases and handling excreta. The “positive attitude” construct, related to self-  
403 efficacy, knowledge and confidence in equipment and practices, also clustered with a feeling that  
404 there is an expectation to demonstrate good practice. This could be a reflection of the influence of the  
405 practice culture on behaviour. In human healthcare, organisational factors, have been identified as one  
406 of the main drivers behind poor compliance with IPC practices (Cumbler et al., 2013; De Bono et al.,  
407 2014). As compliance with infection control intersects individual behaviour and the cultural norms of  
408 the practice, the culture of veterinary practice will also be influencing behaviour surrounding infection  
409 control. It appears from the present study that when veterinary practices promote a culture of positive  
410 health behaviour and have high expectations of employees, this acts as a motivator for compliance  
411 with IPC practices. This highlights that behaviour change should also be implemented at an  
412 organisational level, rather than just focussing on individual behaviour.



413 Veterinary surgeons were more concerned than nurses that using PPE would be perceived negatively  
414 by clients. This attitude could be reflecting the importance of the vet-client relationship in veterinary  
415 practice. This is particularly relevant in farm animal practice, where vet-farmer relationships are often  
416 cultivated over extended time periods and each individual agricultural client represents a significant  
417 proportion of practices' income. Respondents working in large animal practice were more likely to be  
418 motivated to use PPE by liability concerns, again potentially a reflection of the pressure felt by  
419 veterinary professionals from their clients. This is an interesting dichotomy, as the use of PPE not  
420 only protects the practitioner, but also the animal from zoonotic disease transmission. Educating farm  
421 clients as to what infection control practices they should expect during clinical work on the farm may  
422 help mitigate concerns about negative client perceptions.

423 Choices around PPE use appear to be specific both to individuals and contexts, demonstrated by the  
424 low correlation between PPE scores in different clinical scenarios. This finding that protocols are  
425 often adapted to a specific situation has been observed previously in veterinary professionals  
426 (Enticott, 2012). The models that people construct to inform their behavioural decision making are  
427 highly individual and influenced by their biology and environment, but also their past experiences  
428 (Kinderman, 2014). In the present study, previous experiences of treating zoonotic cases were  
429 correlated with lower concern about potential barriers to PPE use. This may suggest that practical  
430 experience of dealing with zoonoses is more influential than the theoretical knowledge in negating  
431 negative attitudes to PPE use.

432

### 433 *Limitations*

434 A limitation of this study, as with any questionnaire based study, is that self-reported behaviours may  
435 not necessarily reflect actual practice. This discrepancy between reporting behaviours and actually  
436 performing them has been observed previously, particularly in relation to infection control practices  
437 and hand hygiene. One UK-based study highlighted no association between self-reported and  
438 observed hand-hygiene practices in a sample of healthcare professionals (Jenner et al., 2006),

439 reflecting how self-reported behaviour should be interpreted with caution in any context. Observation  
440 is considered the gold standard method of assessing behavioural practices, however is still subject to  
441 bias in the form of observer bias (Racicot et al., 2012) and video recording has been used recently to  
442 monitor hand hygiene practices (Boudjema et al., 2016). These methods could also be effectively  
443 applied in a veterinary context and qualitative research methods, such as ethnography, would also  
444 provide valuable insights into the culture and practices of infection control and health protective  
445 behaviours in veterinary practice.

446 The veterinary practices invited to take part in this study were randomly selected, using systematic  
447 random sampling, from the RCVS database. This system of using the RCVS database to sample the  
448 veterinary profession has been used previously for other research studies and is an established method  
449 of sampling this target population (Nielsen et al., 2014). The selection of practices was random,  
450 however the selection of participants at each practice may have been subject to selection bias. To  
451 facilitate a greater response rate, where data were available, individual respondents at each practice  
452 were selected from the RCVS register. To ensure this was consistent, the principal veterinary surgeon  
453 and head nurse were selected for each practice. Using individual names may have increased the  
454 likelihood of the participant responding, however this may have introduced some selection bias as the  
455 selected participants are likely to be a more experienced professional.

456 Our results suggested that some workplace factors, such as SOPs and expectations of colleagues,  
457 influenced respondents' perceptions and attitudes to PPE use. These might be expected to cluster  
458 within practice; the response from a veterinary surgeon and nurse from the same practice might not be  
459 completely independent. However, it was not feasible to introduce practice as a random effect, as not  
460 enough practices returned two responses (22.2% returned responses from a veterinary nurse and  
461 veterinary surgeon from the same practice). As with any questionnaire-based research, this study will  
462 be subject to an element of responder bias, and the relatively low response rate of this study may  
463 accentuate this bias. This is particularly evident with male nurses, who are few in number, making  
464 them difficult to target using random selection methods. According to the latest RCVS annual report,  
465 male nurses represented just 2.1% of the total veterinary nurse population in the UK (RCVS, 2014), in

466 the present study, 6% (95% CI 1.7–10.4) of respondents were male nurses. The RCVS database used  
467 to sample the veterinary population for this study does not contain information on specialism or type  
468 of practice, therefore it is not possible to assess whether this sample is representative of the wider  
469 veterinary profession. However, the demographic data on respondents are similar to data from the  
470 RCVS annual report; the mean age in our study was 42 years, compared with 41 years in the annual  
471 report. In addition, the gender split was similar; in our study, 61.1% (95% CI 55.1–67.1) of  
472 respondents were female and the RCVS reported 57.1% were female (RCVS, 2014). Despite  
473 similarities between the respondents and the veterinary population in the UK, the low response rate  
474 means the results from this sample may not necessarily be generalisable to the wider veterinary  
475 population, however this study is the first to provide these baseline data on attitudes and beliefs  
476 regarding zoonoses in the British veterinary population, which can be built on with future studies.

477 The majority of respondents worked in small animal practice, which partly reflects the distribution of  
478 British practice types, but as the questionnaire was posted to the practice, this may have made it easier  
479 for small animal practitioners to respond as the majority of their time is spent within the practice  
480 premises. This means the study may be more representative of small animal veterinary professionals,  
481 rather than large and equine practice. To negate this in future studies, the use of stratified sampling  
482 would be a useful sampling method to ensure representative samples from each sector of the  
483 veterinary profession.

484

## 485 **Conclusion**

486 This study aimed to investigate risk perceptions of zoonotic disease transmission in the veterinary  
487 profession in Britain. The high infection rate within the profession suggests transmission of zoonotic  
488 infections from patient to clinician should be of concern. This study identified a few concepts that  
489 were reported to influence the use of PPE including a fatalistic attitude, the social environment and an  
490 individual's position within the practice. Improving education provided to veterinary professionals  
491 may help improve compliance with SOPs and infection control practices to a certain extent, however

492 this study has highlighted that increased knowledge does not necessarily equate to exhibiting risk-  
493 mitigating behaviour. This suggests construction of risk is complex, circumstance-specific and can be  
494 influenced by a number of different internal and external factors. A qualitative study, using mixed  
495 qualitative methods including in-depth interviews and focus group discussions, to explore the  
496 construction of risk in the veterinary profession, is currently being developed to understand these  
497 concepts in more depth.

498

#### 499 **Conflict of interest statement**

500 No competing interests were declared.

501

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508

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Table 1: Summary of demographic characteristics for a sample of 252 British veterinary nurses and veterinary surgeons from a cross-sectional survey of the British veterinary profession conducted in 2014.

Demographic characteristic	Veterinary surgeon <i>n</i> = 136 (54.0%)	Veterinary nurse <i>n</i> = 116 (46.0%)
<b>Gender</b>		
Female	46 (33.8)	108 (93.1)
Male	89 (65.4)	7 (6.0)
<b>Median age (years)</b>		
	52 (IQ 39.5–57)	34 (IQ 30–40)
<b>Median years in practice</b>		
	26.5 (IQ 14–33)	14 (IQ 9–19)
<b>Country of qualification</b>		
UK	116 (91.3)	86 (100.0)
Australia/New Zealand	6 (4.7)	
South Africa	3 (2.4)	
Europe*	2 (1.6)	
<b>Specialism</b>		
Small animal	104 (76.5)	97 (83.6)
Mixed	19 (14.0)	17 (14.7)
Large/equine	8 (5.9)	2 (1.7)
Other <sup>†</sup>	5 (3.7)	0
<b>Type of practice</b>		
Private	129 (94.9)	110 (94.8)
Referral	5 (3.7)	3 (2.6)
Other <sup>‡</sup>	2 (1.5)	3 (2.6)
<b>Experience of managing a zoonotic case in the previous 12 months</b>		
Yes	94 (80.3)	50 (48.5)
No	23 (19.6)	53 (51.5)
<b>Level of concern over risk to self/colleagues</b>		
Not thought about it	10 (7.4)	8 (6.9)
Not concerned	64 (47.4)	81 (69.8)
Concerned	61 (45.2)	27 (23.3)
<b>Level of concern over risk to clients</b>		
Not thought about it	7 (5.2)	20 (17.2)
Not concerned	37 (27.4)	63 (54.3)
Concerned	91 (67.4)	33 (28.4)

640 \*Serbia and Spain; <sup>†</sup>Includes poultry and game birds and aquatics and fishers; <sup>‡</sup>includes academic institutions,  
 641 veterinary teaching hospitals and animal welfare charities;  
 642

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Table 2: Multivariable regression model outputs for agreement with statements describing attitudes and beliefs on zoonotic disease risk and infection control practices\* in a sample of 252 veterinary professionals from a cross-sectional survey of the British veterinary profession conducted in 2014.

	$\beta$	S.E	P
"Positive attitude" (PCA1 score)			
Intercept	-0.27	0.13	0.04
Time constraints	-0.14	0.04	<0.01
Perceived risk	0.14	0.06	0.03
Positive client perceptions	0.09	0.04	0.02
SOPs	0.09	0.04	0.04
Vet	-0.19	0.05	<0.01
"Fatalism" ("I just hope for the best...")			
Intercept	0.05	0.14	0.7
Years in practice	-0.06	0.02	<0.01
Negative client perceptions	0.13	0.05	0.01
Risk score	0.23	0.06	<0.01
Overcautious ("others ... think that I am being unnecessarily cautious")			
Intercept	0.16	0.04	<0.01
Negative client perceptions	0.17	0.05	<0.01
Male	0.13	0.08	0.09
Nurse	0.17	0.08	0.03
Male nurse	0.39	0.19	0.04

647 \* Responses of "Disagree", "Agree" and "Strongly agree" were scored as -1, 1 and 2, respectively. A full  
648 description of the motivators, barriers and attitude statements are provided in Figures 4 and 5.  
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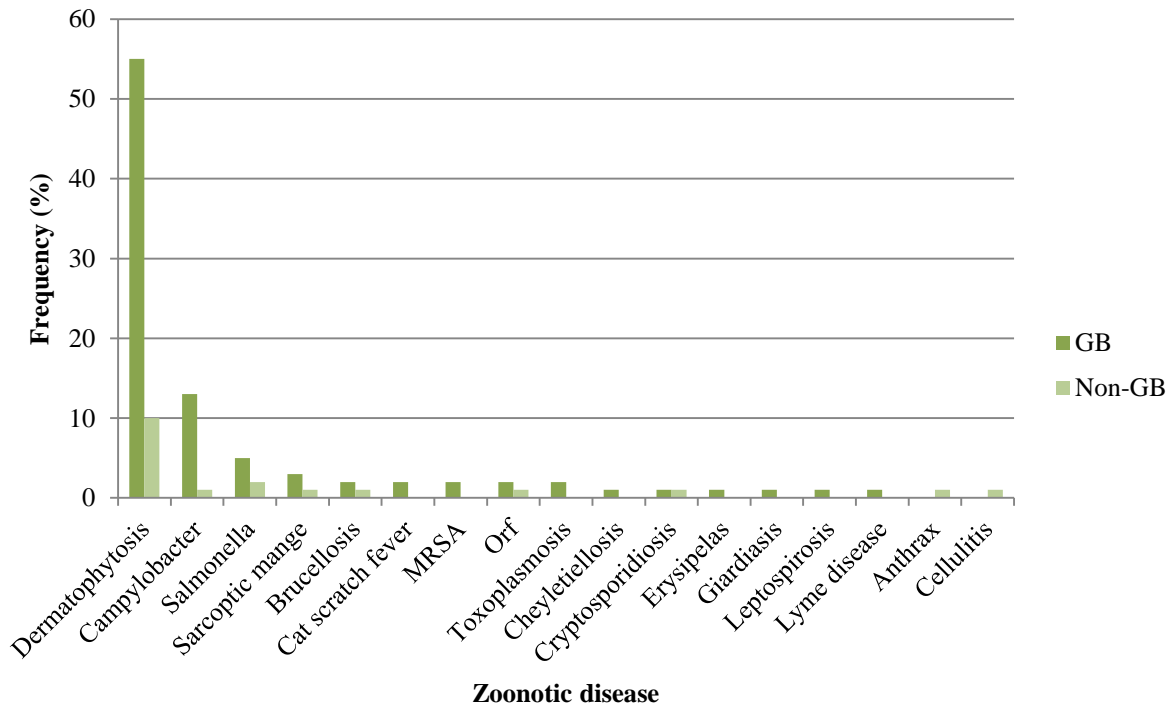
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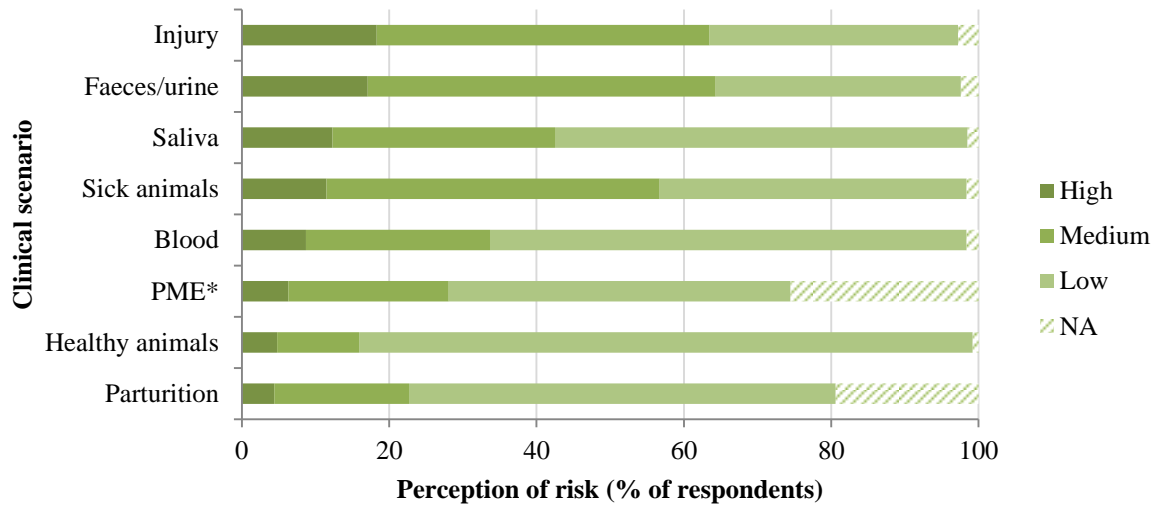
658 **Figures**



659

660 Figure 1: Relative frequency of reported zoonotic infections in a sample of 111 veterinary professionals from a  
 661 cross-sectional survey of the British veterinary profession conducted in 2014, who reported a confirmed or  
 662 suspected episode of occupationally-acquired zoonotic infection during their career, comparing those who had  
 663 qualified or practiced outside GB (n=19) with those who had qualified or practiced exclusively within GB  
 664 (n=92).

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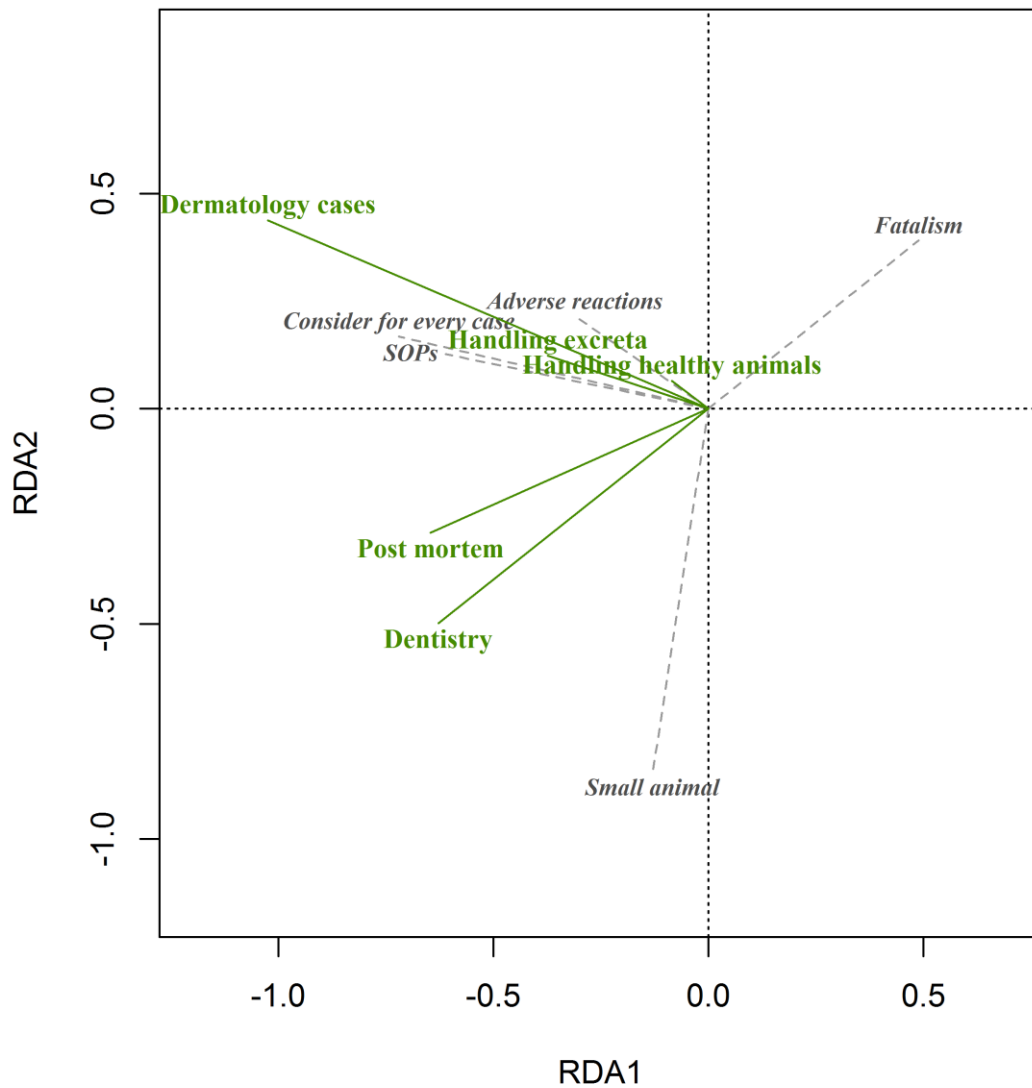


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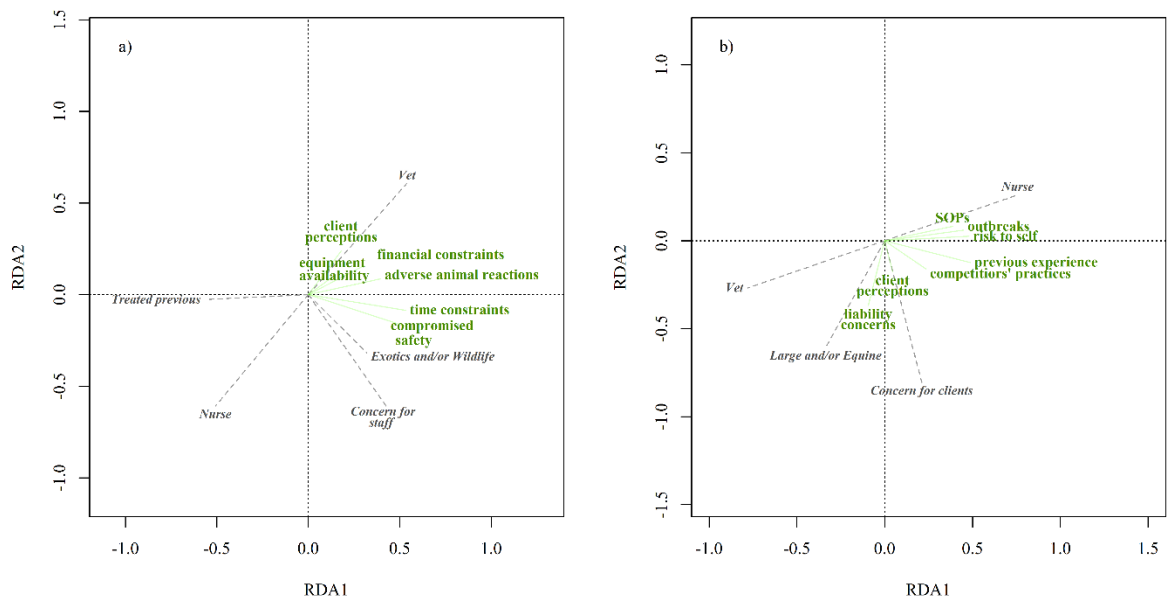
667 Figure 2: Perceptions of risk from eight different clinical scenarios in a sample of 252 veterinary professionals  
 668 from a cross-sectional survey of the British veterinary profession conducted in 2014. The clinical scenarios  
 669 respondents were asked to assess risk of included contact with animal faeces/urine; contact with animal blood;  
 670 contact with animal saliva or other bodily fluid; performing *post mortem* examinations, assisting conception and  
 671 parturition for animals, contact with healthy animals; contact with clinically sick animals and accidental injury.

672 \* *Post mortem* examination.

673



674 Figure  
 675 3: Triplots showing reported use of personal protective equipment (PPE) in five different clinical scenarios in a  
 676 sample of 221 veterinary professionals from a cross-sectional survey of the British veterinary profession  
 677 conducted in 2014. Angles between variables reflect their correlations. Solid green lines represent the  
 678 normalised PPE scores; dashed lines represent the explanatory variables. PPE use was scored in comparison  
 679 with the National Association of State Public Health Veterinarians in the United States (NASPHV) guidelines.  
 680



681

682

683 Figure 4: Triplots showing a) barriers and b) motivators to the use of personal protective equipment (PPE) in a  
 684 sample of 240 veterinary professionals from a cross-sectional survey of the British veterinary profession

685 conducted in 2014.. Angles between variables reflect their correlations. Solid green lines represent the

686 barriers/motivators; dashed lines represent the explanatory variables. Options for barriers for PPE use included

687 time constraints; financial constraints; safety concerns; negative client perceptions; adverse animal reactions to

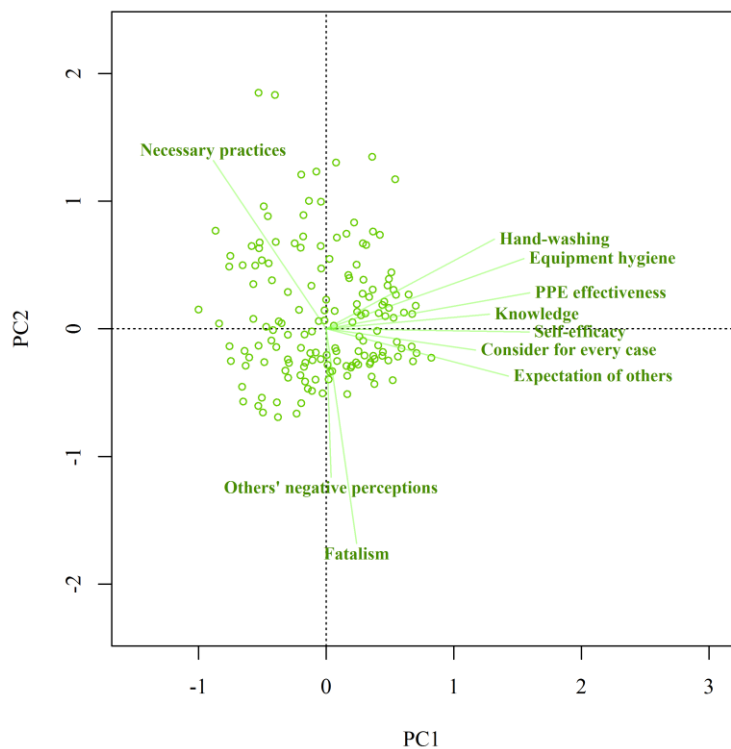
688 PPE; availability of equipment. Options for motivators for PPE use included perceived risk to self, previous

689 experience, practice guidelines, practices of competing veterinary practices, liability concerns, positive client

690 perceptions and a recent disease outbreak.

691

692



A. I feel I am able to take effective action to protect myself from risk of zoonotic disease (**self-efficacy**)

B. Using PPE is an effective way of reducing the risk of zoonotic disease (**PPE effectiveness**)

C. Regular hand washing is an effective way of reducing the risk of zoonotic disease (**hand washing**)

D. I have a high level of knowledge about zoonotic diseases (**knowledge**)

E. I consciously consider PPE to protect myself from zoonotic disease in every case I deal with (**consider for every case**)

F. Practising good equipment hygiene is effective (**equipment hygiene**)

G. I am expected to demonstrate stringent infection control practices at work (**expectation of others**)

H. I only practise stringent infection control practices when I think it's necessary (**necessary practices**)

J. If I use PPE, others think I am being unnecessarily cautious (**others' negative perceptions**)

K. I just hope for the best when it comes to trying to avoid contracting a zoonotic disease (**fatalism**)

693

694

695 Figure 5: Principal component analysis of attitudes and perceptions related to zoonotic disease risk, from a sample of 244 veterinary professionals from a cross-sectional

696 survey of the British veterinary profession conducted in 2014, based on the responses to 10 statements about attitudes towards risk of zoonotic infection and infection control

697 practices.



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