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1 **Introduction**

2

3 The importance of developing safe and effective medicines for children has been
4 recognised now. It has resulted in a paradigm shift in the profile of and the
5 expectations for research with paediatric populations including policy changes in the
6 global medicines environment. Regulations in both Europe and the USA mandate the
7 development of paediatric medicines for new products that are still patent protected
8 drugs and incentives are in place for the development of off-patent paediatric
9 medicines ((1, 2)). The formulation of paediatric medicines can be challenging since
10 it is necessary to consider the diversity of this patient population in terms of age
11 with associated compliance challenges such as acceptable palatability and potential
12 safety concerns associated with excipients. Considering the issues in paediatric
13 product development are shared among the stakeholders (governments, regulatory
14 authorities, research institutions, pharmaceutical industry, and healthcare
15 professionals), an integrated and co-coordinated approach is needed to address the
16 issues and knowledge gaps. In 2007 European Paediatric Formulation Initiative
17 (EuPFI) was launched with the objective of identifying the issues and challenges in
18 paediatric drug formulation development. This article provides an overview of EuPFI
19 consortium, highlighting the activities and efforts invested by EuPFI members. It also
20 presents the challenges faced by the group members to advance and promote
21 development of better medicines for the paediatric population.

22

23 **EuPFI Background**

24

25 Creation of the EuPFI consortium has been a major achievement in itself. EuPFI was
26 created informally in 2007 based on the genuine willingness of formulation
27 scientists' aspiration to work together to in a non-competitive environment to
28 understand better and learn how formulation research and development could
29 better fulfill the needs of sick children. It evolved quickly into a structured
30 established consortium with a mission to promote and facilitate the development of
31 better and safe medicines for children through linking research, and information
32 dissemination Seven founding members (GlaxoSmithKline, Novartis, Roche,

33 University College London, AstraZeneca, Boeringer Ingelheim and MSD) raised
34 sufficient funds to support the initial development of the EuPFI infrastructure. Since
35 then much has been achieved, aims have evolved and are more refined, more
36 specific and ambitious. Today, EuPFI is a consortium of 10 pharmaceutical
37 companies, 5 universities, 1 hospital and uniquely, the European Medicines Agency
38 (EMA) as an observer. Table 1 provides the goals and objectives of EuPFI consortium.
39

40 Table 1: EuPFI objectives

Identify the issues and challenges associated with development of paediatric formulation and consider ways towards better medications and clinically relevant dosage forms for children.

Promote early pharmaceutical consideration for development of paediatric medicines.

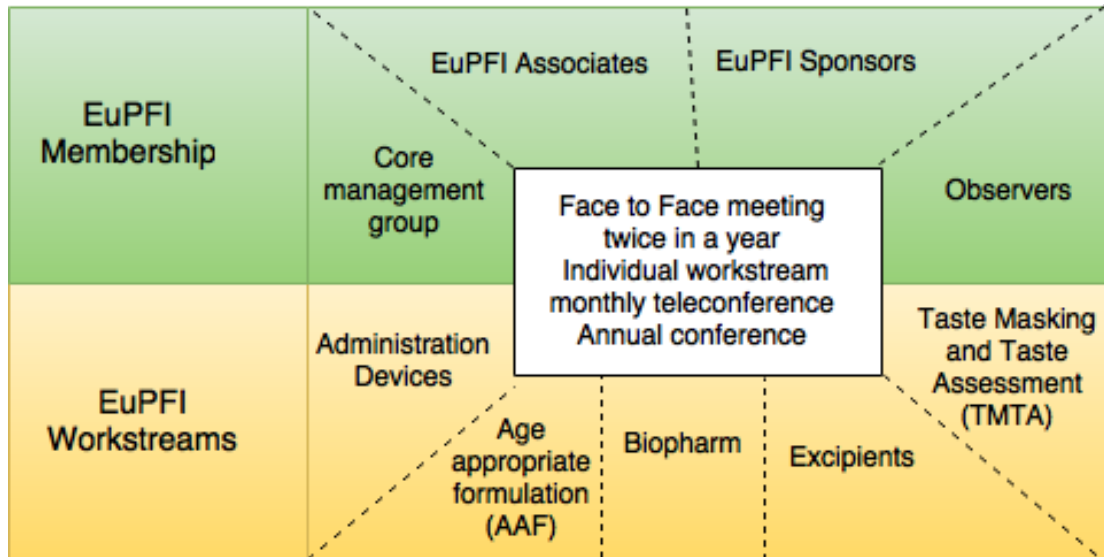
Identify potential information, knowledge, know-how gaps in the paediatric formulation development.

Improve the availability of information of paediatric formulations.

41

42 **EuPFI Framework**

43 To enhance collaboration and build competencies, several membership options and
44 criteria were defined (Associate, Sponsor and Observer) Figure 1. EMA acts as an
45 observer to the group to observe proceedings/discussions in a passive way. They
46 contribute to the exchange of comments and understanding of any
47 recommendations raised by group members but does not influence the objectives of
48 the EuPFI. The consortium members meet regularly (usually twice a year face to face
49 and then over teleconferences as required). From time to time, other stakeholders
50 are invited to attend the face to face meetings and present their work to the group.
51 For example EuPATI (European Patients' Academy on Therapeutic Innovation)
52 expressed interest in being part of EuPFI and was invited to provide an overview to
53 explore



54

55

56 how to set up a two-way collaboration as EuPFI recognise the importance of Patient
 57 and Public involvement (PPI). EuPFI has five workstreams (Figure 1) each addressing
 58 a fundamental aspect of the development of medicines for children. Information on
 59 the work of each workstream including key deliverables for the near future are listed
 60 below.

61

62 **Age Appropriate Formulations Workstream (AAF)**

63 Children require age appropriate formulations that can deliver variable dose with
 64 age/weight, are safe and are adapted to their development and ability to take
 65 medicines. However there is limited knowledge about the age appropriateness of
 66 different dosage forms and limited availability of appropriate dosage forms even
 67 when the medicine is authorized for children (3). To overcome age appropriate
 68 formulation-related issues, healthcare professionals patients and parents have to
 69 resort to pharmaceutical compounding and drug manipulations. These are risky
 70 practice and can potentially cause harm, including toxicity or therapeutic failure,
 71 without knowing the pharmacokinetic and clinical outcome. The workstream
 72 activities are centered around the development and evaluation of medicines for
 73 marketing authorisations and guide the use of modifications to the dosage form in
 74 practice. The intent is to provide guidance to industry, regulators and academic
 75 researchers of the age-appropriateness of different pharmaceutical dosage
 76 forms. An initial activity was therefore around the selection of age appropriate

77 formulations, which requires a risk/benefit analysis on a case-by-case basis. The
78 group proposed a structured integrated approach for assessing the risk and benefits
79 of different pharmaceutical design options against pre-determined criteria relating
80 to different routes of administration and formulation options including the safety of
81 excipients, efficacy, usability, manufacturability, cost and patient access (4).

82 Recognizing that there is confusion about the types of paediatric pharmaceutical
83 preparation that are available for approval by medicines regulators, a reflection
84 paper on 'Preparation of medicines for children – a hierarchy of definition' was
85 published by AAF workstream members (5). The paper explores compounding and
86 manipulation of medicines in relation to approval by medicines regulators to fulfil
87 the needs of the individual patient. The team has proposed standardised definitions
88 and terminology to clarify the types of paediatric pharmaceutical preparation. It
89 aims to simplify strategies in product development to ensure quality and
90 bioavailability. Another key aspect in development of age appropriate formulation is
91 patient acceptability. Children and older adults differ in many aspects from the other
92 age subsets of population and require particular considerations in medication
93 acceptability. AAF workstream published a review highlighting the similarities and
94 differences in two age groups in relation to factors affecting acceptability of
95 medicines (6) and a paper highlighting how formulation factors affect the
96 acceptability of different oral medicines in children (7). Currently the workstream is
97 examining the acceptability of pharmaceutical products for children, evaluating
98 formulation attributes, methodology development and criteria for acceptability
99 assessments. Moreover addressing manufacturing challenges in developing
100 paediatric formulations and proposing novel solutions eg for poorly water-soluble
101 drugs is underway in preparation through publications. Future tasks include
102 considering industrial perspectives in harmonising formulation development for
103 adults and children and collaborating with regulatory bodies on issues of age-
104 appropriateness of paediatric formulations. Another task would be to review the use
105 of modified release formulations and different routes of administration in children to
106 shift the emphasis to alternative routes which are understudied possibly and bridge
107 the evidence gap.

108

109 **Biopharmaceutics**

110

111 Improving the understanding of biopharmaceutical assessment of paediatric
112 pharmaceutical products enables more efficient development of medicines designed
113 for children due to availability of appropriate *in vitro* tests that de-risk clinical
114 assessment. The workstream has reviewed *in vitro* tests used in adult populations to
115 determine what amendments are required to ensure they are relevant for a
116 paediatric population (8). Specifically research undertaken by the biopharmaceutics
117 workstream was to identify the relevant volume to classify a dose as highly soluble;
118 values increased with age from a volume of 25 mL being proposed for neonates
119 compared to the adult volume of 250 mL. Dissolution conditions also suggested
120 reduced volumes for younger children with <250mL for newborns and infants and
121 larger volumes from 250-900mL for older children and adolescents. In addition, the
122 applicability of the Biopharmaceutical Classification System (BCS) to paediatric
123 populations was reviewed both using the literature (9) and from the results of a
124 cross industry survey (10). The results of these reviews highlight several knowledge
125 gaps in current methodologies in paediatric biopharmaceutics that are being
126 addressed by the group. This includes better characterisation of the physiology and
127 anatomy of the gastrointestinal tract (GI) tract in paediatric patients;
128 characterisation of age-specific changes in drug permeation across the intestinal
129 membrane and the development of biorelevant media and testing conditions for
130 dissolution.

131 In collaboration with AAF, the current priority for the workstream is to understand
132 the impact of co-administration of paediatric medicines with foods (such as apple
133 sauce, pudding) that are commonly used to facilitate administration and improve
134 compliance. There is no guidance on how the impact of manipulations is risk
135 assessed from the laboratory to the patient. Non-standardised development
136 approach for paediatric products increases the relative cost and timelines to support
137 labelling claims. Biopharm group aims to address the risk level of co-administration
138 of food with medicine on bioavailability based on a literature search and a discussion
139 amongst experts. The group will also explore the biopharmaceutics tools used to
140 predict food effects and evaluate how bridging may be achieved for *in vitro*

141 prediction of *in vivo* performance in children. Future priority is to extend the
142 understanding the biopharmaceutics of excipients, for exemplar identifying how
143 excipients can affect the absorption of drugs and GI physiology in children.

144

145 **Administration Devices**

146 It is undeniable that the need for and the type of paediatric administration device
147 should be considered as an integral part of the paediatric product development
148 process. The device should not only be technically capable of measuring the
149 required/correct doses but also easily accessible and sufficiently user-friendly so as
150 to facilitate compliance. To address these issues, the devices workstream aims to
151 identify and highlight current paediatric medicine administration devices practices
152 and issues, with the ultimate aim of informing and facilitating the development and
153 access to easy to use devices.

154 The workstream has reviewed currently available paediatric administration devices
155 (oral, pulmonary, parenteral, nasal and ocular routes) together with challenges
156 associated with their use and recent developments (11, 12). In addition, as both the
157 understanding and the usage of medical devices for oral and respiratory drug
158 administration are heterogeneous among patients and caregivers, the workstream
159 conducted a survey in hospital-based healthcare professionals (HCPs) (doctors,
160 pharmacists and nurses) in six European countries to gain an understanding of HCP
161 experiences of and opinions on oral and pulmonary paediatric administration
162 devices (13). The countries selected (UK, Italy, Spain, France, Hungary and Germany)
163 were considered to represent the geographical and cultural diversity of Europe. The
164 results provided some valuable insights indicating that HCPs are aware of patients
165 and caregivers having difficulty in using these types of devices. The challenge was
166 identifying and contacting the HCPs in each country due to the lack of direct access
167 to HCPs as the group had no formal links to any hospitals or patient groups. To build
168 upon these findings, the workstream is planning to conduct a similar survey in
169 patients and their caregivers (parents, non-HCPs) to help identify areas for
170 improvement. Long-term activities of the workstream include the development of
171 guidance for conducting user handling studies, and an investigation into industry

172 knowledge gaps for the development of administration devices and combination
173 products, including regulatory requirements.

174

175 **Excipients**

176

177 One critical element in the development of paediatric formulations is the selection
178 and use of excipients, as their safety in paediatric subpopulations is often unknown
179 There are many issues (diseases specific, idiosyncratic reactions, physiological
180 limitation) that have to be considered in the excipients selection process. Some
181 excipients (e.g. propylene glycol, benzyl alcohol) are known to be less well tolerated
182 by children depending upon the administration route, especially neonates and young
183 children whose physiological system are still developing. Since excipients may be
184 toxic, focused and detailed research is urgently needed to identify and support the
185 use of excipients in different subsets of the paediatric population. Even though the
186 demand for paediatric data on the safety of excipients has grown considerably, there
187 is very limited paediatric excipient safety data in the public domain, and it is
188 distributed throughout many sources. In an effort to address these availability and
189 accessibility issues the excipients workstream has worked in collaboration with other
190 networks such as United States Paediatric Formulation Initiative (USPFI) and Global
191 Research in Paediatrics (GRiP) to develop the **S**afety and **T**oxicity of **E**xipients (STEP)
192 database (14). This user-designed resource compiles the clinical, non-clinical, in-
193 vitro, review and regulatory information of excipients into one freely accessible
194 source. The database assists in screening and selecting of excipients for use in
195 children and thus facilitates paediatric drug development (15). STEP launched in
196 October 2014 has now information on 40 excipients with users from industry,
197 academics, hospitals and regulators. It is accessible freely from EuPFI website and
198 perceived as useful and an important addition to current resources (16). Existing
199 data is updated regularly and additional excipients are added quarterly. It is
200 important to focus on the future by moving forward with the addition of excipients
201 and enriching the existing content for the continuation of the use of the STEP
202 database. Hence “Sponsor an Excipient” scheme has been introduced. The scheme

203 allows end-users to include the excipients of their choice in the STEP database at
204 minimal costs.

205

206 **Taste Assessment & Taste Masking (TATM)**

207

208 Improving the understanding of taste assessment tools and methodology used
209 during the development of pharmaceutical products designed for paediatric
210 populations is a must in parallel with better understanding of taste masking
211 strategies that lead to the development of paediatric pharmaceutical products that
212 have an acceptable taste. The first inter-laboratory testing of electronic taste
213 sensing systems was led by EuPFI (five participating centers including 3 EuPFI
214 members), each working with the Insent (Insent Inc., Atsugi-Shi, Japan) e-tongue
215 (17). Most of the published data reported good correlation between the human
216 taste panel test and the electronic taste sensing systems. However, in most of these
217 studies methods followed for bitterness prediction and constructing the correlation
218 with human taste data were not always fully described. Electronic sensors give
219 relative taste statement and should be validated with human taste panel tests.
220 Ideally electronic tongues could be used for early screening of taste of pure APIs and
221 optimisation of taste masked preclinical formulations in industry.

222 However until it is demonstrated that electronic tongues can reliably predict
223 bitterness intensity of the compounds, which were not used for developing
224 calibration model, the use of this technology is still limited. A review paper to
225 provide an overview of different approaches to taste masking APIs in paediatric oral
226 dosage forms, with a focus on the tolerability of excipients used was also published
227 (18) (19). Current TATM workstream focuses on 1) consolidating “Electronic tongue
228 “user group, 2) the application of non-human *in vivo*, *in silico* and cell based taste
229 assessment tools in pharmaceutical taste assessment.

230

231 **Reflection and challenges**

232 Nine years after its initiation, EuPFI is a well established collaboration of academia,
233 industry, hospital and regulatory authorities, formed to harness the energies of
234 these stakeholder groups for their common purpose and most importantly to

235 provide the drive for finding solutions to issues in paediatric drug development. One
236 of the strengths of the consortium has been its association with EMA, as observer on
237 the group. The EMA representative participates in the consortium meetings and the
238 group works together to update the research, identify gaps and discuss the
239 regulatory needs and implications for paediatric product development. EuPFI
240 members are invited to represent the group at several external meetings including
241 EMA workshops. The annual conferences organised by EuPFI offers opportunity for
242 paediatric formulation specialists to exchange and present recent accomplishments
243 as well as discuss remaining challenges for the future with a vision of better
244 medicines for children. So far the consortium has organized 7 annual conferences
245 with up to 200 participants at a time. The 8th annual conference is scheduled for 21st
246 and 22nd Sept 2016 in Lisbon, Portugal (<http://www.eupfi.org/8th-conference/>). The
247 proceedings and selected invited publications are published in a special issue in
248 International journal of pharmaceuticals following to each conference (20-26). The
249 collaborative effort has resulted in significant progress to date and the identification
250 of new challenges to be met. However the process has not been a smooth journey.
251 Many challenges came way through developing partnerships and collaboration.

252

253 **Shared vision and consortium management**

254 Given the diversity of approaches to the development of paediatric formulations
255 consortium members worked to develop a shared vision. This is a long term and
256 evolving process. As new members joined the consortium, the agenda of various
257 stakeholders (patients, academia, clinicians, industry and policy makers) differ, and
258 sometimes was difficult to reconcile. Maintaining a shared vision is a challenge.
259 Another challenge is keeping it small and manageable. Due to complexity in
260 managing larger organization, the consortium members preferred restricting it to
261 smaller organization with 20- 25 core members. It was also agreed that, at least at
262 first, EuPFI would be limited to Europe. However, later due to large interest from
263 other countries such as India and US, it was decided to accept the members from
264 other countries only if they were able to participate at face-to-face meeting held
265 twice in a year. The success of the consortium has been to achieve a balance

266 between the shared vision of the consortium, added value of each member and the
267 specific aims of each workstream.

268

269 **Potential overlap between networks**

270 Considering large number of networks have established since the release of
271 paediatric regulation and currently flourishing globally (Turner) such as GRiP, USPFI,
272 some overlap between their activities is inevitable. Obviously, this might result in
273 duplication of efforts and dissipation of resources. Within EuPFI emphasis is made
274 on establishing links and synergies .The aim is to avoids any duplication of work and
275 indeed encourage harmonization the efforts. In 2014, EuPFI and Pediatric
276 Formulation Working Group of the Innovative and Quality (IQ) Consortium (PFWGIQ)
277 in collaboration conducted a systematic survey of researchers and regulators on
278 current practices in paediatric product development ([http://www.grip-](http://www.grip-network.org/index.php/en/news/item/57)
279 [network.org/index.php/en/news/item/57](http://www.grip-network.org/index.php/en/news/item/57)). EuPFI members contributed to the
280 paediatric formulation module of the GRiP e-Master of Science in Paediatric
281 Medicines Development and Evaluation. ‘GRiP’ is an initiative funded by the
282 European Union Seventh Framework Programme (FP7/2007-2013) to stimulate and
283 facilitate the development and safe use of medicines in children through
284 development of a comprehensive training programme and integrated use of existing
285 research capacity. They were also actively involved in delivering ‘Meet the Expert in
286 Paediatric Formulations’ webinars series ([http://www.grip-](http://www.grip-network.org/index.php/cms/en/Webinars-top)
287 [network.org/index.php/cms/en/Webinars - top](http://www.grip-network.org/index.php/cms/en/Webinars-top)). GRiP has partially funded the
288 development, quality control and validation of the STEP database, which is
289 developed in collaboration with USPFI. The USPFI was formed as a project of the
290 Eunice Kennedy Shriver National Institute of Child Health and Human Development
291 (NICHD) in 2005 to identify the issues and challenges in developing formulations for
292 children. (27). As both EuPFI and USPFI group were working on similar issues it was
293 decided to join the forces in the development of the STEP database. The EuPFI
294 excipients workstream worked with USPFI in collecting the information needs of the
295 potential users and evaluating the need of the STEP database. USPFI also contributed
296 to the development of methodologies for data collection, performing the usability
297 study of the STEP database and continues to contribute via performing the searches

298 on the additional excipients to be included in the database as part of expansion of
299 the database. Additionally, there is overlap between EuPFI membership and the
300 SPaeDD-UK project (Smart Paediatric Drug Development – UK, accelerating
301 paediatric formulation development <http://www.paediatricscienceuk.com>), funded by
302 Innovate UK which aims to generate a structured approach to designing age-
303 appropriate medicines for children and technology for predicting their quality and
304 performance (28).

305 In addition, a first transatlantic workshop on paediatric formulation development is
306 organised through M-CERSI (University of Maryland's Center of Excellence in
307 Regulatory Science and Innovation funded by the *FDA* as a collaborative partnership
308 between University of Maryland and FDA) and held in US in June 2016. It aims to
309 provide an opportunity for experts to share their experiences and move towards
310 consensus regarding best practices for developing age-appropriate drug products,
311 which meet the needs of pediatric patients aligned with the requirements of
312 regulatory agencies.

313

314 **Sustainability of the consortium**

315 There is the clear commitment of all partners to work together, to combine their
316 expertise and strength, and to create a critical mass that is well integrated in the
317 European pediatric formulation research area. However, unless stable funding can
318 be secured, sustaining a consortium is truly challenging. The consortium has actively
319 started to explore future options for sustaining the consortium. For example, the
320 excipients workstream has recently launched the “sponsor an excipient” campaign. It
321 will help finance excipients that have not yet been undertaken under the STEP
322 database project and will help expedite the data curation process and maintain the
323 database.

324

325 **Member’s commitment**

326 Maintaining a balance between the interests of members and their day-to-day
327 responsibilities is another challenge. It depends heavily on the time and
328 commitment of the members with conflicting priorities as they generally work on
329 EuPFI activities in our own time. To date the support from the EuPFI members to

330 formulating innovative ideas to issues in paediatric formulation development is what
331 has kept the consortium active and on.

332

333 **Concluding remarks**

334

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336 provided support for this work and Patricia Fowler for her help in proofreading the
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338

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