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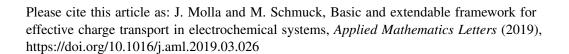
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Basic and extendable framework for effective charge transport in electrochemical systems

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## Abstract

We consider basic and easily extendible transport formulations for livium by therees consisting of an anode (Li-foil), a separator (polymer electrolyte), and a composite cathode (composed on electrolyte and intercalation particles). Our mathematical investigations show the following novel features: i) complete and very basic description of mixed transport processes relying on a neutral, binary symmetric electrolyte resulting in a non-standard Poisson equation for the electric potential together with interstitial diffus. In approximated by classical diffusion; (ii) upscaled and basic composite cathode equations allowing to take geometric and material features of electrodes into account; (iii) the derived effective macroscopic model can be numerically solved with well-known numerical strategies for homogeneous domains and hence does not require to solve a high-dimensional numerical problem or to depend on a computationally involved multiscale discretisation strategies swhere highly heterogeneous and realistic, nonlinear, and reactive boundary conditions are still unexplored. We where highly heterogeneous and easily extendible formulations will serve as a basic and simple setup tow, row a systematic theoretical and experimental understanding of complex electrochemical systems and their optimization, e.g. Li-batteries.

Keywords: lithium batteries, multiscale modeling, Buller-Volmer equations, homogenization, electrode design

#### 1. Introduction

Energy storage systems play an increatingly important role for reliable, efficient, and preferably green energy and delivery in developed countries and also between them. Two major developments make affordable and endurable energy storage a necessity: (i) the glout awareness of climate change and as such the need for renewable and low CO<sub>2</sub> energy-consumption/production; (ii) the realisation and affordability of electric mobility (cars and buses). In order to make storage systems and in order to give systematic (i.e. based on variational principles) guidance understanding of the processes and older to give systematic (i.e. based on variational principles) guidance on design optimization. Since electric cars are expected to become a multi-billion dollar buisness until 2030 and Li-ion batteries play a major and is this development, we aim here to provide a fundamental, basic, and effective macroscopic description of an active electrode.

Due this expected demand, recently an increasing interest in mathematical modeling of lithium batteries emerged. Well-known and commonly used macroscale models were developed by Newman and collaborators already decades ago. Ag. [1] and [2], which serves as basis for the present investigations. In order to improve the battery performance it will be crucial to connect material properties and the geometry of microstructure to current-voltage characteristics. This has recently led to an increased interest in the systematic derivation of effective macroscopic charge apport equations [3, 4]. In fact, for the full nonlinear Poisson-Nernst-Planck equations first rigorous error stm and have been derived in [5]. Related research for porous and heterogeneous media are [6, 7, 8] for instance.

We consider a basic and easily extendible non-re-chargeable Li-battery consisting of a polymer electrolyte/separator  $\mathcal{D}_p$ , a composite cathode  $\mathcal{D}_c$ , a Li-foil  $\Gamma_l$  as anode, and a cathodic current collector  $\Gamma_r$ , see Fig. 1 (Left). The composite cathode  $\mathcal{D}_c$  can be identified as the periodic extension of a statistically defined, characteristic

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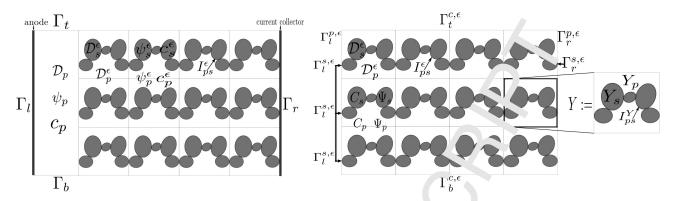


Figure 1: Left: Schematic of lithium battery with separator  $\mathcal{D}_p$ , (homogenized) composite carbodic current collector  $\Gamma_r$ . Right: Microscopic composite cathode  $\mathcal{D}_c := \mathcal{D}_p^\epsilon \cup \mathcal{D}_s^\epsilon$  as a periodic extension of a reference cell  $Y := Y_p \cup Y_s$  of length  $\ell$ .

reference cell Y of length  $\ell$ , see Fig. 1 (Right). This leads to a  $\varepsilon$  call  $\varepsilon$  heterogeneity parameter  $\epsilon:=\frac{\ell}{L}$  where L is the length of the cathode. Hence,  $\mathcal{D}_c$  is highly heterogeneous and composed of an electrolyte  $\mathcal{D}_p^{\epsilon}$  and a solid intercalation phase  $\mathcal{D}_s^{\epsilon}$  such that  $\mathcal{D}_c:=\mathcal{D}_p^{\epsilon}\cup\mathcal{D}_s^{\epsilon}$ . The interface  $\varepsilon$  tweer the polymer and solid phase is denoted by  $I_{ps}^{\epsilon}:=\partial\mathcal{D}_p^{\epsilon}\cap\partial\mathcal{D}_s^{\epsilon}$ . We model Li-diffusion in neutral, binary symmetric electrolytes by the dilute solution theory [1] and Li-transport in solid intercalation hosts is described by classic diffusion. Based on this basic formulation, we systematically derive effective macroscopic cathode equations using the method of asymptotic two-scale expansions [9]. The central quantities of interest are the evolution of Li-density in dependence of an applied electrical current  $I_a$  and the resulting electric potential. As shown in Fig. (v. e. apply the following notation for the Li-density c and the potential  $\psi$  in various domains  $\mathbb{D} \in \{\mathcal{D}_p, \mathcal{D}_p^{\epsilon}, \mathcal{D}_s^{\epsilon}\}$  i.e.,

$$c := \begin{cases} c_p \,, & \text{in } \mathbb{D} = \mathcal{P}_{\neg} \,, \\ c_p^{\epsilon} \,, & \text{in } \mathbb{D} = \mathcal{D}_p^{\epsilon} \,, \\ c_s^{\epsilon} \,, & \text{in } \mathbb{D} = \mathcal{D}_s^{\epsilon} \,. \end{cases} \qquad \psi := \begin{cases} \psi_p \,, & \text{in } \mathbb{D} = \mathcal{D}_p \,, \\ \psi_p^{\epsilon} \,, & \text{in } \mathbb{D} = \mathcal{D}_p^{\epsilon} \,, \\ \psi_s^{\epsilon} \,, & \text{in } \mathbb{D} = \mathcal{D}_s^{\epsilon} \,. \end{cases}$$
(1)

Under an applied discharging current density  $i_a := 1/|\Gamma_r^{s,\epsilon}|$ , charge transport in the homogeneous and heterogeneous electrolyte phases  $\mathbb{D} = \mathcal{D}_p$  and  $\mathbb{D} = \mathcal{D}_p^{\epsilon}$ , respectively, is governed by

$$\begin{cases}
\frac{\partial c}{\partial t} = \Lambda c & \text{in } \mathbb{D}, \\
\nabla c \cdot \cdot \cdot \cdot g & \text{on } \partial \mathbb{D}, \\
- ^{1}; \mathbf{v} (c \nabla \psi) = -\mathcal{R} \Delta c & \text{in } \mathbb{D}, \\
\psi = h_{D} & \text{on } \Gamma_{D}^{p}, \\
\nabla \psi \cdot \mathbf{n} = h_{N} & \text{on } \Gamma_{N}^{p} = \partial \mathbb{D} \setminus \Gamma_{D}^{p},
\end{cases} \tag{2}$$

where **n** is an outward point ng nor. In vector,  $\varepsilon_p$  and  $\varepsilon_s$  are the electrical permittivities of  $\mathcal{D}_p^{\epsilon}$  and  $\mathcal{D}_s^{\epsilon}$ , respectively,  $\mathcal{R}$  is  $\frac{D_+ - D_-}{(z_+ M_+ - z_- M_-)F}$  with  $D_i$ ,  $M_i$ , and  $M_i$  defined as diffusion, mobility, and charge number of species  $i \in \{+, -\}$ . The symmetry assumption on the local rolyte implies  $z_+ = -z_-$ . The Li density  $c_p$  and electric potential  $\psi_p$  in  $\mathbb{D} = \mathcal{D}_p$  satisfy the same system (2) a  $C_p^{\epsilon}$  and  $C_p^{\epsilon}$  in  $C_p^{\epsilon}$  for boundary conditions defined as follows

$$g := \begin{cases} \beta_{l} R_{BV}^{l} & \text{on } \Gamma_{t} \\ 0 & \text{on } \Gamma_{t} \mid \Gamma_{b} , \\ \nabla c_{p}^{\epsilon} \cdot \mathbf{n} & \text{on } \Gamma_{l}^{p,\epsilon} \cap \partial \mathbb{D} , \\ \beta_{l} R_{v}^{p,\epsilon} & \text{on } I_{ps}^{\epsilon} , \\ 0 & \text{on } \Gamma_{r}^{c,\epsilon} \cup \Gamma_{r}^{p,\epsilon} \cup \Gamma_{b}^{c,\epsilon} , \\ \end{cases} h_{D} := \begin{cases} \psi_{a} - \eta_{a} & \text{on } \Gamma_{l} , \quad h_{N} := \begin{cases} \frac{\varepsilon_{s}}{\varepsilon_{p}} \nabla \psi_{s}^{\epsilon} \cdot \mathbf{n} & \text{on } I_{ps}^{\epsilon} \cup (\Gamma_{l}^{s,\epsilon} \cup \partial \mathcal{D}_{p}) , \\ \nabla \psi_{p} \cdot \mathbf{n} & \text{on } \Gamma_{l}^{p,\epsilon} \cap \partial \mathcal{D}_{p} , \\ 0 & \text{on } \Gamma_{b} \cup \Gamma_{t} , \\ 0 & \text{on } \Gamma_{b} \cup \Gamma_{t}^{c,\epsilon} \cup \Gamma_{r}^{p,\epsilon} , \end{cases}$$
(3)

where

$$i_{BV}^{ps} = i_{ps} R_{BV}^{ps} = i_{ps} \left( e^{\frac{\alpha_a F}{RT} \eta_{\epsilon}} - (c_s^m - c_s^{\epsilon}) e^{-\frac{\alpha_c F}{RT} \eta_{\epsilon}} \right), \tag{4}$$

describes Butler-Volmer (BV) reactions across the interface  $I_{ns}^{\epsilon}$  and

$$i_{BV}^l = i_l R_{BV}^l = i_l \left( e^{\frac{\alpha_a F}{RT} \eta_a} - e^{-\frac{\alpha_c F}{RT} \eta_a} \right), \tag{5}$$

electrochmical reactions at the anode-electrolyte interface  $\Gamma_l$ . The exchange current den ities in the BV equations (4)–(5) are  $i_{ps} = Fk_{ps} \left(c_p^m - c_p^\epsilon\right)^{\alpha_c} c_p^{\alpha_a}$  and  $i_l = Fk_a^{\alpha_a} k_c^{\alpha_c} \left(c_p^m - c_p^\epsilon\right)^{\alpha_a} \left(c_p^\epsilon\right)^{\alpha_c}$  where  $k_s^{\epsilon}$  and  $k_c^{\alpha_c}$  are anodic and cathodic reaction rates, respectively. The parameters  $\alpha_a$  and  $\alpha_c$  are anodic and cathod. transfer coefficients, respectively, and  $c_s^m$  are the maximum lithium densities in  $\mathcal{D}_p^\epsilon$  and  $\mathcal{D}_s^\epsilon$ , respectively. Where  $k_s^{\epsilon}$  are  $k_s^{\epsilon}$  are the maximum lithium densities in  $k_s^{\epsilon}$  and  $k_s^{\epsilon}$  are the parameters  $k_s^{\epsilon}$  and  $k_s^{\epsilon}$  are anodic and cathod. is the local value of the surface overpotential and  $\psi_a$  denotes the potential at the anove (here simply Li-foil) and similarly, for the the equilibrium potential U the overpotential across  $I_{ps}^{\epsilon}$  is  $\eta^{\epsilon} := \psi_s - \psi_p^{\epsilon} - U$ . Furthermore, the parameters  $\beta_p := \frac{i_{ps}L_{\text{ref}}}{c_{\text{ref}}D_p}$  and  $\beta_l := \frac{i_lL_{\text{ref}}}{c_{\text{ref}}D_p}$  make the Butler-Volmer equations dimensionless for a reference length  $L_{\text{ref}}$ , a reference concentration  $c_{\text{ref}}$ , and Li-diffusion constant  $D_p$  in the electrolytics.

It remains to describe electron and Li transport in  $\mathcal{D}_s^{\epsilon}$ , i.e.,

$$\begin{cases}
a_{1} \frac{\partial c_{s}^{\epsilon}}{\partial t} = \Delta c_{s}^{\epsilon} & \text{in } \gamma_{s}^{\epsilon}, \\
\nabla c_{s}^{\epsilon} \cdot \mathbf{n} = -\epsilon \beta_{s} R_{BV}^{ps} & \text{on } \tau_{ns}^{\epsilon}, \\
\nabla c_{s}^{\epsilon} \cdot \mathbf{n} = 0 & \text{on } \Gamma_{n}^{s}, \\
-\text{div} \left(\sigma_{s} \nabla \psi_{s}^{\epsilon}\right) = 0 & \text{ir } \mathcal{D}_{s}^{\epsilon}, \\
\sigma_{s} \nabla \psi_{s}^{\epsilon} \cdot \mathbf{n} = \epsilon \beta_{\psi} R_{BV}^{ps} & \text{on } \tau_{ns}^{\epsilon}, \\
\sigma_{s} \nabla \psi_{s}^{\epsilon} \cdot \mathbf{n} = a_{s} I_{a} & \text{o. } \Gamma_{r}^{s,\epsilon}.
\end{cases} (6)$$

The Li-diffusion times  $\tau_s := \frac{L_{\mathrm{ref}}^2}{D_s}$  and  $\tau_p := \frac{L_{\mathrm{ref}}^2}{D_p}$  in the solid ph. and the polymer/electrolyte phase, respectively, define the dimensionless parameter  $a_1 := \tau_s/\tau_p$  for Li-diffusion constants  $D_s$  and  $D_p$  in  $\mathcal{D}_s^\epsilon$  and  $\mathbb{D} \in \{\mathcal{D}_p, \mathcal{D}_p^\epsilon\}$ , respectively.  $\sigma_s$  is the electrical conductivity of  $\mathcal{D}_s^\epsilon$ . The parameters  $\beta_s := \frac{i_{ps}L_{\text{ref}}}{c_{\text{ref}}D_s}$  and  $\beta_\psi := \frac{i_{ps}L_{\text{ref}}}{\sigma_{\text{ref}}RT}$  with  $a_s := \frac{L_{\text{ref}}}{\sigma_{\text{ref}}|\Gamma_r^\epsilon|} \frac{F}{RT}$  make the (4) after upscaling dimensionless. Finally,  $\sigma_{\text{ref}}$  is a reference conductivity. Of central interest in battery modelling and optimization is the effective macroscopic description of electrodes.

To this end, we provide a systematic upscaling frame  $\circ$ . for active electrodes such as  $\mathcal{D}_c = \mathcal{D}_n^{\epsilon} \cup \mathcal{D}_s^{\epsilon}$  by passing to the limit  $\epsilon \to 0$  and by relying on crucial microscopic in regions via (2)-(6) such as geometry and specific material characteristics. The homogenization is explained a Section 3 and the results are stated in the next section.

## 2. Main results

Our main results depend on the following well-accepted concept of local equilibrium [10, 11].

**Definition 2.1.** The chemical potential  $\mu$   $(C_p, \Psi_p) = \log C_p - \mathcal{R}\Psi_p$  is said to be in local thermodynamic equilibrium. rium, if and only if it holds that

$$\frac{\partial \mu_p(C_p, \Psi_p)}{\partial x_k} = \begin{cases}
0 & \text{in } Y_p, \\
\frac{\partial \mu_p(C_p, \Psi_p)}{\partial x_k} & \text{in } \mathcal{D}_c,
\end{cases}$$
(7)

for every  $k \in \mathbb{N}$ ,  $1 \le k \le d$ , and the pscaled quantities  $\{C_p, \Psi_p\}$ , which are independent of the microscale  $\mathbf{y} \in Y$ .

Remark 2.1. Local therm. Anar ac equilibrium is used in many different applications [12, 13, 14]. Definition 2.1 accounts for the fact that the nacroscopic variables are so slow compared to the fast processes on the microscale (fast scale  $\mathbf{y} := \mathbf{x}/\epsilon \in \mathcal{I}_p$ ) that their variations disappear thereon but not so on the (slow) macroscale  $\mathbf{x} \in \mathcal{D}_c$ .

Note that after upcaling the phases  $\mathcal{D}_p^{\epsilon}$  and  $\mathcal{D}_s^{\epsilon}$  are super-imposed on the whole composite cathode  $\mathcal{D}_c$  while preserving the corresponding volume fractions. The specific boundaries are defined in Fig. 1.

Main results. (Up called cathode equations) Under local thermodynamic equilibrium (Definition 2.1), the microscopic fe lations (2) and (6) turn after upscaling into the following effective composite cathode formulations

$$\begin{cases}
p \frac{\partial C_p}{\partial t} = \operatorname{div} \left( \hat{D}_p \nabla C_p \right) + \bar{\beta}_p R_{BV}^{ps} & \text{in } \mathcal{D}_c, \\
\hat{D}_p \nabla C_p \cdot \mathbf{n} = \nabla c_p \cdot \mathbf{n} & \text{on } \Gamma_l^c, \\
\hat{D}_p \nabla C_p \cdot \mathbf{n} = 0 & \text{on } \Gamma^c \setminus \Gamma_l^c, \\
-\operatorname{div} \left( C_p \hat{D}_{\psi_p} \nabla \Psi_p \right) = \mathcal{R} \operatorname{div} \left( \hat{D}_p \nabla C_p \right) & \text{in } \mathcal{D}_c, \\
\hat{D}_{\psi_p} \nabla \Psi_p \cdot \mathbf{n} = \nabla \psi_p \cdot \mathbf{n} & \text{on } \Gamma_l^c, \\
C_p \hat{D}_{\psi_p} \nabla \Psi_p \cdot \mathbf{n} = 0 & \text{on } \Gamma^c \setminus \Gamma_l^c,
\end{cases} \tag{8}$$

and

$$\begin{cases}
q \frac{\partial C_s}{\partial t} = \operatorname{div}\left(\hat{D}_s \nabla C_s\right) + \bar{\beta}_s R_{BV}^{ps} & \text{in } \mathcal{D}_c, \\
\hat{D}_s \nabla C_s \cdot \mathbf{n} = 0 & \text{on } \Gamma^c, \\
-\operatorname{div}\left(\hat{\Sigma} \nabla \Psi_s\right) = \bar{\beta}_{\psi} R_{BV}^{ps} & \text{in } \mathcal{D}_c, \\
\hat{\Sigma} \nabla \Psi_s \cdot \mathbf{n} = a_s I_a & \text{on } \Gamma_r^c, \\
\hat{\Sigma} \nabla \Psi_s \cdot \mathbf{n} = 0 & \text{on } \Gamma^c \setminus \Gamma_r^c,
\end{cases} \tag{9}$$

where  $p = \frac{|Y_p|}{|Y|}$ ,  $q = a_1(1-p)$ ,  $\bar{\beta}_p = |\Lambda|\beta_p$ ,  $\bar{\beta}_s = |\Lambda|\beta_s$ ,  $\bar{\beta}_\psi = |\Lambda|\beta_\psi$ , and  $|\Lambda| = \frac{|Y|}{|Y|}$ . The effective material tensors  $\hat{D}_p = \{\bar{d}^p_{ik}\}_{i,k=1}^d$ ,  $\hat{D}_{\psi_p} = \{\bar{d}^{\psi_p}_{ik}\}_{i,k=1}^d$ ,  $\hat{D}_s = \{\bar{d}^s_{ik}\}_{i,k=1}^d$ , and  $\hat{\Sigma} = \{\bar{s}_{ik}\}_{i,k=1}^d$  are given by

$$\bar{d}_{ik}^{w} = \frac{1}{|Y|} \sum_{j=1}^{d} \int_{Y_{w}} \left( \delta_{ik} - \delta_{ij} \frac{\partial \xi_{w}^{k}}{\partial y_{j}} \right) d\mathbf{y}, \quad \bar{s}_{ik} = \frac{1}{|Y|} \sum_{j=1}^{r} \int_{Y_{s}} \sigma \left( \delta_{ik} - \delta_{ij} \frac{\partial \xi_{\psi_{s}}^{k}}{\partial y_{j}} \right) d\mathbf{y}, \tag{10}$$

for  $w \in \{p, \psi_p, s\}$ ,  $Y_{\psi_p} = Y_p$ , and  $Y_{\psi_s} = Y_s$ . The corrector  $\xi_m^k(\mathbf{y})$ ,  $n \in \{p, \psi_p, s, \psi_s\}$ ,  $1 \le k \le d$ , solve the following reference cell problems

$$\xi_m^k : \begin{cases}
-\sum_{i,j=1}^d \frac{\partial}{\partial y_i} \left( \delta_{ij} \frac{\partial \xi_j^k}{\partial y_j} - \mathbf{e}_k \right) = 0 & \text{in } Y_m, \\
\left( \nabla \xi_m^k - \mathbf{e}_{\perp} + \dots - 0 & \text{on } I_{ps}^Y \\
\text{and } \xi_m^k \text{ is } Y_m \text{-pe. odic with } \int_Y \xi_m^k d\mathbf{y} = 0.
\end{cases}$$
(11)

A more detailed discussion and extensions will appear in [15].

## 3. Derivation of effective macroscopic equations

The diffusion and elliptic equations,  $e(2)_1-2)_2$  and (6) are standard in homogenization theory (see [9, 16, 17, 18] for instance). However, equation  $(2)_5$  has an unexpected form due electro-neutrality and therefore we state the relevant steps of the derivation. V ith the asymptotic two-scale expansions [17, 18]

$$u^{\epsilon}(t, \mathbf{x}) = u(t, \mathbf{x}, \mathbf{y}) = U(t, \mathbf{x}, \mathbf{y}) + \epsilon u^{\epsilon}(t, \mathbf{x}, \mathbf{y}) + \epsilon^{2}u^{2}(t, \mathbf{x}, \mathbf{y}) + \dots, \quad \text{for } u \in \{c_{n}, \psi_{n}, \psi_{s}\}$$
 (12)

and the following operators

$$A_{0} = -\mathcal{R}L_{yy}(1), \qquad B_{0} = -L_{yy}(C_{p}),$$

$$A_{1} = -\mathcal{R}\left[L_{x}(1) + \nabla_{x}(1)\right], \qquad B_{1} = -\left[L_{xy}(C_{p}) + L_{yx}(C_{p}) + L_{yy}(c_{p}^{1})\right], \qquad (13)$$

$$A_{2} = -\mathcal{R}L_{x}(1), \qquad B_{2} = -\left[L_{xx}(C_{p}) + L_{xy}(c_{p}^{1}) + L_{yy}(c_{p}^{1}) + L_{yy}(c_{p}^{2})\right],$$

where  $L_{xy}(u) = \sum_{i,j=1}^{d} \frac{\sigma}{\partial x_i} \left( \gamma \delta_{ij} \frac{\partial}{\partial y_j} \right)$ , we obtain after collecting terms of equal power in  $\epsilon$  the following problems

$$\mathcal{O}(\epsilon^{-2}) : \begin{cases} B_0 \Psi_p = -A_0 C_p & \text{in } Y_p, \\ \nabla_{\mathbf{y}} \Psi_p \cdot \mathbf{n} = 0 \text{ on } I_{ps}^Y \text{ and } \Psi_p \text{ is } Y_p - \text{periodic,} \end{cases}$$
(14)

$$\mathcal{O}(\epsilon^{-1}): \begin{cases} B_0 \psi_p^1 + B_1 \Psi_p = -A_0 c_p^1 - A_1 C_p & \text{in } Y_p, \\ \nabla_{\mathbf{y}} \psi_p^1 \cdot \mathbf{n} = -\nabla_{\mathbf{x}} \Psi_p \cdot \mathbf{n} \text{ on } I_{ps}^Y \text{ and } \psi_p^1 \text{ is } Y_p - \text{periodic,} \end{cases}$$

$$(15)$$

$$\mathcal{O}(\epsilon^{0}): \begin{cases} B_{0}\psi_{p}^{2} + A_{0}c_{p}^{2} = -B_{2}\Psi_{p} - B_{1}\psi_{p}^{1} - A_{1}c_{p}^{1} - A_{2}C_{p} & \text{in } Y_{p}, \\ \nabla_{\mathbf{y}}\psi_{p}^{2} \cdot \mathbf{n} - \nabla_{\mathbf{x}}\psi_{p}^{1} \cdot \mathbf{n} = \frac{\varepsilon_{s}}{\varepsilon_{p}} \left(\nabla_{\mathbf{y}}\psi_{s}^{1} + \nabla_{\mathbf{x}}\Psi_{s}\right) \cdot \mathbf{n} \text{ on } I_{ps}^{Y} \\ \text{and } \psi_{p}^{2} \text{ is } Y_{p} - \text{periodic.} \end{cases}$$

$$(16)$$

System (14) immediately implies independence of  $\Psi_p$  on the microscale  $\mathbf{y} \in Y_p$ . This motivates to make the following ansatz

$$\psi_p^1 = -\sum_{k=1}^d \xi_{\psi_p}^k(\mathbf{y}) \frac{\partial \Psi_p}{\partial x_k},\tag{17}$$

which after inserting into (15) together with Definition 2.1 leads to the following ce. roulem for  $1 \le k \le d$ , i.e.,

$$\xi_{\psi_p}^k : \left\{ -\sum_{i,j=1}^d \frac{\partial}{\partial y_i} \left( \delta_{ij} \frac{\partial \xi_{\psi_p}^k}{\partial y_j} - \mathbf{e}_k \right) = 0 \quad \text{in. V.} \right\}$$
 (18)

with boundary conditions as stated in (11). Finally, we derive the effective metroscopic equation for  $\Psi_p$  via the Fredholm alternative [19, 20]. That means, problem (16) has a unique sortion if it holds that

$$\int_{Y_p} \left[ -B_1 \psi_p^1 - B_2 \Psi_p - A_1 c_p^1 - A_2 \mathcal{I}_p \right] d \gamma = 0, \tag{19}$$

where we already neglect possible boundary contributions which will disc ppear after rewriting. Using (18), the well-known standard definition for  $\hat{D}_p$ , and after defining the tensor  $\hat{D}_{\psi_p} = \{d_{ik}^{\psi_p}\}_{i,k=1}^d$  by  $d_{ik}^{\psi_p} = \sum_{j=1}^d \int_{Y_p} \left(\delta_{ik} - \delta_{ij} \frac{\partial \xi_{\psi_p}^k}{\partial y_j}\right) d\mathbf{y}$ , allows us to rewrite (19) as the following homogenized equation for the associated electrical potential  $\Psi_p$ , i.e.,

$$-\sum_{i,k=1}^{d} \frac{\partial}{\partial x_i} \left( C_p \hat{D}_{\psi_n} \frac{\partial \Psi}{\partial x_k} \right) = \mathcal{R} \sum_{i,k=1}^{d} \frac{\partial}{\partial x_i} \left( \hat{D}_p \frac{\partial C_p}{\partial x_k} \right). \tag{20}$$

#### 4. Conclusions

We have established a basic charge transport formulation capturing the essential electrochemical features of lithium batteries (i.e., non-rechargeable) with the goal of having a convenient and easily extendible prototype framework for the investigation of the innerace of active electrode materials (here the composite cathode). We believe that the presented results (upscaled for alation) allow to study the influence of material and geometric properties on the current-voltage behaviour of view degradation) allow to study the influence of material and geometric properties on the current-voltage behaviour of view degradation) and cycling dynamics. In fact, the formulation introduced will be of interest to researchers doing battery modelling as we provide a complete set of boundary conditions for a general prototype mous allowing for various extensions such as an active anode, different reaction models as well as extensions for the earther and hence serves as a promising theoretical and efficient computational tool to investigate Li-batteries. From computational point of view, it allows us to apply powerful numerical strategies well-known and developed for homogeneous domains in contrast to a possible multiscale discretization strategy requiring demanding implymentations for boundary conditions on interfaces due to highly heterogeneous domains which itself imply costly constant as small enough mesh sizes.

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