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2	methodology
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25 Abstract

26 Natural antioxidants are excellent substitute for their synthetic counterparts in dietary supplements. This study employed three-27 level Box-Behnken design through RSM to optimize the recovery of natural antioxidants from Piper Betle via ultrasound-assisted 28 extraction (UAE). The influence of three extraction parameters, temperature (50-70 °C), ethanol concentration (70-90%) and 29 solute to solvent ratio (1:10-1:30 g/mL) on the extraction yield (EY), total phenolic content (TPC) and antioxidant capacity was 30 investigated. The optimum conditions were determined to be 51.60 °C with 78.74% ethanol and ratio of 1:21.85 g/mL. 31 Experimental validation showed maximum EY of 13.88% with TPC of 311.21 mgGAE/gDW and 97.57% antioxidant capacity 32 that were all within 95% confidence level of predicted values. Additionally, UAE gave significantly better yield (13.71%), TPC 33 (289.05 mgGAE/gDW), total flavonoid content (21.50 mgRE/gDW) and antioxidant activity (94.99%) than maceration which 34 gave yield (10.96%), TPC (246.98 mgGAE/gDW), total flavonoid content (13.48 mgRE/gDW) and antioxidant activity 35 (78.12%). General phytochemical screening exposed the presence of additional saponins and tannins in the UAE extracts. 36 Chemical composition of the optimized extract via GC/MS indicated the presence of four major phenolic compounds, 37 hydroxychavicol, eugenol, isoeugenol and 4-allyl-1,2-diacetoxybenzene with peak areas of 66.55, 11.92, 2.90 and 3.21% 38 respectively.

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- 42
- 43 List of compounds
- 44 Hydroxychavicol (PubChem CID: 70775)
- 45 Eugenol (PubChem CID: 3314)
- 46 Isoeugenol (PubChem CID: 853433)
- 47 4-Allyl-1,2-diacetoxybenzene (PubChem CID: 166872)

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#### 51 1. Introduction

52 Piper betle, belonging to the Piperaceae family, are the leaves of a woody plant that is widely distributed mainly across Asian 53 regions. In traditional Asian medicine, Piper betle is known as one of the most common medicinal plants utilized as 54 contemporary and alternative medicine among cancer patients (Farooqui et al., 2016). The herb's effective antioxidant potential 55 has been demonstrated via multiple radical scavenging activities (Sazwi, Nalina, & Rahim, 2013). Furthermore, the extract of 56 Piper betle has been proven to reduce and inhibit lipid peroxidation together with enhancing the levels of natural antioxidants 57 such as Vitamin C and E (Saravanan, Prakasam, Ramesh, & Pugalendi, 2004). The reason behind the antioxidative nature of 58 Piper betle's extract is due to the existence of natural antioxidants like hydroxychavicol and eugenol (Chakraborty & Shah, 2011; 59 Pin et al., 2010). Due to its efficacy, researchers have proposed the possible utilization of Piper betle as a source of natural 60 antioxidants in food and pharmaceutical products (Dwivedi & Tripathi, 2014; Venkadeswara et al., 2014).

61 Conventional extraction methods such as distillation and solvent extraction (maceration, soxhlet, percolation, infusion extraction) 62 and non-conventional ones including supercritical fluid extraction, accelerated solvent are typically implemented in the recovery 63 of natural antioxidants (Azwanida, 2015). As effective as they may be, high solvent and energy consumption and prolonged 64 extraction period makes them undesirable from the economics' perspective (González-Centeno, Comas-Serra, Femenia, Rosselló, 65 & Simal, 2015). The use of ultrasound in the recovery of desired compounds has been proven to be an effective and efficient 66 extraction technique in terms of garnering more yield with reduced solvent usage and extraction time (Vilkhu, Mawson, Simons, 67 & Bates, 2008). Ultrasound-assisted extraction (UAE) relies on the phenomenon of acoustic cavitation and mechanical effects for 68 the extraction of compounds from plants sources. Collapse of the cavitational bubbles on the plant matrix's surface causes the 69 cell walls to rupture, resulting in higher and faster penetration of the solvent into the plant material. Thus, due to enhanced overall 70 mass transfer, the extraction of the desired compounds are accelerated (Tomšik et al., 2016; Vilkhu et al., 2008).

71 The use of ultrasound for extraction applications in food and pharmaceutical industries is promising. However, the utilization of 72 ultrasound for the recovery of natural antioxidants from the medicinal herb, Piper betle, is yet to be fully explored. Thus, the 73 primary aim of this paper is to optimize the ultrasound-assisted extraction of natural antioxidants from *Piper betle*. This was 74 achieved by investigating the impact of three extraction parameters (temperature, solute to solvent ratio and solvent 75 concentration) for optimum extraction yield, TPC and 2,2-diphenyl-1-picrylhydrazy (DPPH) antioxidant capacity. The statistical 76 approach of response surface methodology was employed for the optimization of extraction parameters. This paper also aims to 77 draw comparison between the phenolic content and antioxidants activities of Piper betle using UAE and conventional maceration 78 method. Consequently, this paper also aims to identify and quantify the predominant phenolic compounds present in the 79 optimized Piper betle's extract that contributes to the high antioxidant activity of Piper betle via Gas chromatography-mass 80 spectrometry (GC/MS).

#### 81 2. Materials and methods

#### 82 2.1. Plant materials

A total of 10 kg of fresh leaves of *Piper betle* were purchased in a single batch from a local shop in Chow Kit market, Kuala
Lumpur, Malaysia. The washed and cleaned leaves were pre-treated (dried) in an air forced convection oven (FAC-350, Protech,
USA) at 50 °C for a day. The dried leaf samples were then crushed into powdered form and conceded through 800 µm-mesh
sieve before being used for actual extraction.

#### 87 2.2. Chemicals and reagents

The two reagents Fast blue BB (FBBB) and DPPH (1,1-diphenyl-2-picrylhydrazyl) of analytical grade were purchased from
Sigma-aldrich (Sigma-aldrich GmbH, Steinheim, Germany). The solvents used in this research include 95% ethanol, 99.9%
methanol and chloroform (HPLC grade). The remaining chemicals used include Gallic acid standard and sodium hydroxide
pellets. All of the chemicals mentioned with the exception of the reagents were purchased from Sigma-aldrich (Sigma, St. Louis,
MO, USA).

93 2.3. Extraction procedures

#### 94 2.3.1 Ultrasound-assisted extraction (UAE)

95 Ultrasound-assisted extraction of phenolic compounds from Piper betle was performed using an ultrasonic bath system (P120 H, 96 Elmasonic, Germany). 1 g of powdered leaf with the designed volume of ethanol concentration were placed in an ultrasonic bath 97 that is equipped with digital control system for sonication time, temperature and frequency. Based on the experimental design, 98 UAE was performed at a frequency of 37 kHz with a constant power of 400 W. Extraction temperature and time were 99 continuously monitored from the control panel of the equipment. Distilled water was added to maintain a constant desired 100 temperature with ±2 °C in the ultrasonic bath. Extraction period of 30 minutes was applied based on preliminary trial studies as 101 prolong extraction time can lead to structural alteration and disintegration of the bioactive compounds (Moorthy et al., 2017). The 102 impact of extraction temperature (50, 60 and 70 °C), solvent concentration (70%, 80% and 90% v/v) and solute to solvent ratio 103 (1:10, 1:20 and 1:30 g/mL) were investigated. Following the extraction, samples were filtered and dried at 50 °C using a vacuum 104 rotary evaporator (Hei-VAP Platinum 3, Heidolph, Germany) to obtain the crude extract. The crude extracts were stored at 4 °C 105 prior to consequent analysis.

106 2.3.2 Maceration extraction

Maceration extraction of the phenolic antioxidants from *Piper betle* was performed in a water bath system (Copens Scientific Sdn
Bhd, Malaysia). 1 g of powdered and sieved leaf samples were extracted with 80% ethanol at 50 °C for 30 minutes. The extracts

- 109 obtained were dried in the same manner as above and stored at 4 °C before further analysis.
- 110 2.4. Total phenolic content (TPC) and extraction yield

Extraction yield (EY) of the crude extract was obtained using Eq. (1). TPC was quantified as described by Medina (2011) with slight modifications. 1:20 mg/mL of crude extract in deionized water was added to 0.1 mL of 0.1% FBBB reagent which was kept aside for a min. This was followed by the addition of 0.1 mL of 5% sodium hydroxide solution. The mixtures were kept at room temperature for 90 minutes before transferring 200  $\mu$ L of the sample mixtures to a 96-well plate. The absorbance of the samples were read at 420 nm by means of a microplate spectrophotometer (Epoch 2, BioTek, USA) (Medina, 2011). TPC is expressed in terms of mg gallic acid equivalent/g of dried extract according to the regression equation of gallic acid calibration curve ( $r^2 = 0.9899$ ) that was procured in the same manner as above.

$$EY = \frac{W_d}{W_s} \times 100\% \tag{1}$$

119 Where  $W_d$  and  $W_s$  are the weight of the crude extract and *Piper betle* powder sample in grams respectively.

#### 120 2.5. Total flavonoid content (TFC) and phytochemical screening

Total flavonoid content (TFC) assay was conducted according to Ayoola *et al.* (2008) with minor modifications. 2 ml of extract samples with concentration of 1 mg/mL was added to 2 mL of 2% aluminium trichloride ethanolic solution. The sample mixtures were kept at room temperature for an hour before measuring their absorbance at 420 nm *via* a microplate spectrophotometer (Ayoola et al., 2008). TFC is expressed in terms of mg rutin equivalent/g of dried extract according to the regression equation of rutin calibration curve ( $r^2 = 0.9839$ ). The general phytochemical screening of alkaloids, steroids, polysaccharide, condensed tannins and saponins were performed as elaborated by Adline and Devi (2014) and Evans (2009).

#### 127 2.6. DPPH antioxidant assay

A modified version of DPPH radical scavenging assay was followed as described by Pin *et al.* (2010). Samples mixtures were prepared in concentrations of 0.5 mg/mL in 80% ethanol. Aliquots of 160  $\mu$ L of *Piper betle* samples mixture were transferred to 96-well plate which was followed by the addition of 40  $\mu$ L of working 1mM DPPH methanolic solution. The plates were kept in the dark for 3 min in ambient temperature. The absorbance of the sample solutions were read at 520 nm with a microplate spectrophotometer. The radical scavenging activity, is expressed as % inhibition activity with the following Eq. (2) (Pin et al., 2010): DPPH % Inhibition activity =  $\frac{A_c - A_S}{A_c} \times 100$  (2)

Where  $A_c$  is the absorbance of blank solution containing DPPH only and  $A_s$  is the absorbance of the solution containing DPPH with *Piper betle* extract.

137 2.7. Gas chromatography/Mass spectroscopy (GC/MS) assay

Chemical composition of the optimized extract samples were performed as elaborated by Foo, Salleh, & Mamat (2015) using GC/MS (7890A, Agilent Technologies, Malaysia) with slight modifications. Initial temperature of the oven was programmed at 70 °C that was raised to 305 °C at a rate of 20 °C/min. Helium (carrier gas) was injected at a rate of 1.2 mL/min. 1 mL of 0.1 mg/mL samples were injected into the capillary column in split mode for run time of approximately 17 min (Foo, Salleh, & Mamat, 2015). Identification of the individual compounds was done by library match with NIST Mass Spectral library (version 2).

#### 144 2.8. Response surface methodology (RSM)

In present study, a three-factor, three-level Box-Behnken design (BBD) was employed to obtain the optimum UAE conditions for the extraction of antioxidants from *Piper betle*. BBD was selected for current research as it is particularly effective when three variables are concerned in the experimental domain with reduced number of experiments allowing for a more efficient and economic approach (Granato & Ares, 2013). The extraction variables with their respective levels and coded factors are displayed in Table 1. The complete design matrix of BBD with a total of 17 experiments is presented in Table 2. Experimental data of predicted and actual responses were collected in the form of extraction yield, TPC and DPPH antioxidant activity (Table 2). The experimental data for the three responses were fitted into second-order polynomial model as in the following equation:

152 
$$Y = \beta_o + \sum_{i=1}^k \beta_i x_i + \sum_{i=1}^k \beta_{ii} x_i^2 + \sum_j \sum_{i=2}^k \beta_{ij} x_i x_j$$
(3)

Where *Y* is the response,  $x_i$  and  $x_j$  are the independent variables (*i* and *j* range from 1 to *k*),  $\beta_o$  is a constant,  $\beta_i$ ,  $\beta_{ii}$ , and  $\beta_{ij}$  are the regression coefficients of linear, quadratic and interactive terms respectively, *k* is the number of number of parameters (3 for current study) (Moorthy et al., 2017).

#### 156 2.9. Statistical analysis

All of the analysis above were carried out in triplicates and values expressed as mean. Regression analysis of the experimental data was performed using Design expert software v. 10 (Stat-Ease, Minneapolis, Minnesota, USA). Analysis of variance (ANOVA), different statistical parameters including coefficient of determination ( $R^2$ ), adjusted coefficient of determination ( $R^2_a$ ) and predicted coefficient of determination ( $R^2_p$ ) were all employed to check the adequacy of the developed models. Coefficient of

- 161 variation (CV) and adequate precision were also examined to further evaluate the precision of the developed models. Significance
- 162 of each term was considered when  $\rho$ <0.05. In addition to the quadratic models, response surface plots were generated to establish 163
- the relationship between the independent variables and the responses.
- 164 2.10. Optimization and validation of RSM extraction models

165 Numerical optimization technique was performed to determine the optimum conditions for maximum EY, TPC and DPPH 166 antioxidant activity. The prime conditions were identified with the desirability value of 1 for each respective response. Validation 167 of the developed models were done by performing triplicate experiments under the optimized parameters. Finally, the average 168 experimental results and 95% prediction interval range of predicted values were compared. This is essential to evaluate the 169 accuracy and precision of the optimized conditions.

170 3. Results and discussion

171 3.1. Determination of extraction parameters for RSM optimization

172 When solvent extractions are concerned, the selection of appropriate solvent is as pivotal as any other extraction parameter. 173 Organic solvents are among the best when it comes to the recovery of phenolic compounds from Piper betle, namely ethanol and 174 methanol (Nouri, Nafchi, & Karim, 2014). Preliminary studies done with a variety of solvents validates the effectiveness of 175 ethanol in extracting the phenolics from Piper Betle. Moreover, ethanol is commended for practical usage as it is a safe, low toxic 176 and eco-friendly solvent that is reusable and generates less wastes (Dias et al., 2017; Zhao et al., 2014). Hence, ethanol was 177 deemed a better option since this research involves the potential use of phenolic compounds in pharmaceutical and food industrial 178 applications.

179 Three independent variables and their respective levels were selected for RSM optimization in current study: temperature (50, 60 180 and 70 °C), ethanol concentration (70, 80, 90%) and solute to solvent ratio (1:10, 1:20, 1:30 g/mL). The parameters and their 181 respective ranges investigated were based on conventional extraction technique of maceration and soxhlet (Keshani, Abdullah, 182 Mobarekeh, Rahman, & Bakar, 2010; Muruganandam, Krishna, Reddy, & Nirmala, 2017; Nouri and Mohammadi Nafchi, 2014; 183 Pin et al., 2009).

- 184 3.2. Comparison of ultrasound-assisted extraction with conventional extraction method
- 185 Maceration is a common extraction technique that has been employed numerous times by other researchers for the extraction of
- 186 bioactive compounds from Piper betle (Nouri & Mohammadi Nafchi, 2014; Pin et al., 2010; Rathee, Patro, Mula, Gamre, &
- 187 Chattopadhyay, 2006). However, newer techniques such as ultrasound extraction are yet to be implemented for this particularly
- 188 potent medicinal herb. This paper aims to draw comparison between the two extraction technique's effectiveness for the recovery

189 of antioxidant agents from Piper betle. Multiple analysis were executed including EY, TPC, TFC and DPPH antioxidant activity 190 with additional phytochemical screening of alkaloids, steroids, polysaccharides, tannins and saponins (Table 3 and 4). The results 191 disclosed a maximum EY of 13.71% was recovered from Piper betle with the aid of ultrasound, while, maceration resulted a 192 lower yield of approximately 10.96%. Likewise, the results also revealed UAE to have significantly higher TPC (289.05 193 mgGAE/gDW), TFC (21.5 mgRE/gDW) and superior antioxidant activity with 94.99% inhibition in comparison to maceration 194 that gave noticeably lower TPC (246.98 mgGAE/gDW), TFC (13.58 mgRE/gDW) and 78.12% antioxidant activity. The 195 noteworthy improvement could be attributed to the acoustic cavitation of ultrasound and its mechanical effects that resulted in 196 better recovery. The outward shockwave produced from the implosion of the cavitation bubbles generates macro-turbulence and 197 high-velocity inter particle collision. This in turn facilitates diffusion and overall mass transfer of the system. Cavitation 198 occurring near the surface of the plant's cell results in surface peeling, cell breakdown and erosion that further accentuates the 199 recovery process (Pico, 2013; Vilkhu et al., 2008). The accumulating effect of multiple mechanisms arising from acoustic 200 cavitation ultimately leads to enhanced recovery of the desired compounds.

201 The effectiveness of ultrasound was also noticeable in the general phytochemical screening where additional phytoconstituents of 202 tannins and saponins were detected in the UAE extracts only. On the otherhand, similar amounts of steroids were detected in both 203 UAE and maceration extracts. Bioactive compounds such as tannins, steroids and flavonoids have all been identified as major 204 sources of antioxidants (Vaithiyanathan & Mirunalini, 2015). Particularly, flavonoids and its derivatives have been established as 205 excellent free radical scavengers. Research has shown saponins and tannins to be potent anti-inflammatory agent with the latter 206 known to be highly effective in the prevention of cancer (Wintola & Afolayan, 2011). Saponin was also found to be part of 207 plant's defence mechanism due to its anti-microbial properties (Alabri, Musalami, Hossain, Weli, & Al-Riyami, 2014). All of the 208 additional bioactive compounds detected in the UAE extracts have contributed to its remarkable antioxidant activities. Therefore, 209 it can be said with certainty that UAE is comparatively a superior extraction method for the recovery of natural antioxidants from 210 Piper betle.

#### 211 3.3. Influence of extraction parameters on extraction yield

It is crucial to analyze the influence of extraction parameters in order to effectively isolate and utilize the compounds of interest.
Therefore, a three-level, three-factor BBD was employed to investigate the effect of various independent extraction variables on
the optimal recovery of phenolic compounds from *Piper betle*. Regression analysis of all three responses are presented in Table
5. The evaluation of the linear terms revealed solute to solvent ratio to have significant positive influence on EY. On the contrary,
both extraction temperature and ethanol concentration had significant negative effects on the response. Interaction between solute
to solvent ratio and ethanol concentration displayed a slight positive effect, however, the quadratic effect of solute to solvent ratio

was significantly negative. The remainder of the terms were not significant, therefore, were excluded from the final model in Eq.(4).

$$220 Y_1 = 11.91 - 1.74X_1 + 0.94X_2 - 0.41X_3 + 0.60X_{23} - 1.41X_2^2 (4)$$

221 Figure 1 shows the response plots of extraction yield generated by varying two variables at a time. This is crucial to illustrate the 222 effects of the independent variables on extraction yield. The plots are in good agreement with regression analysis as the positive 223 linear influence of solute to solvent ratio is clearly noticed with maximum EY recovered at 1:20 g/mL. The presence of more 224 ethanol in the extraction solution creates a larger concentration gradient. This acts as a driving force for higher diffusion of 225 solvent into the plant cells, thereby, improving the overall mass transfer of the system (Charpe & Rathod, 2014). Moreover, 226 increased amount of ethanol enhances the contact area between the solvent and the solute, thus, improving the solubility of the 227 phenolic compounds from within the plant cells (Moorthy et al., 2017; Xu et al., 2017). Taking the quadratic terms into account, 228 the negative influence of solute to solvent ratio can also be accounted for in the response plots where a saddle curve is observed. 229 UAE is highly dependent on the effects of acoustic cavitation for the formation and rupture of bubbles to facilitate the mass 230 transfer of the process. Further increase in the ratio may hamper with the dispersion of the ultrasound energy density throughout 231 the solution, hence, negatively effecting EY (Moorthy et al., 2017). Based on ANOVA (Table 5), the developed model was 232 found to be significant at an *F-value* of 62.79. High value of correlation coefficient ( $R^2 = 0.9878$ ) confirms the validity of the 233 deduced model and its ability to describe the relation between the variable and the response. The value of adjusted correlation 234 coefficient ( $R_a^2 = 0.9721$ ) being very close to  $R^2$  confirms high significance of the deduced model. High predicted correlation 235 coefficient ( $R_p^2 = 0.8068$ ) further implies the model's adequacy to predict the relation (Maran, Sivakumar, Sridhar, & Immanuel, 236 2013). Coefficient of variation of 2.5% (CV<10%), not only indicates low deviation between the experimental and predicted 237 values, but also a high degree of precision and reliability (He et al., 2016). Adequate precision of 28.36 indicates good signal and 238 competent model fitness (Maran, Manikandan, Thirugnanasambandham, Nivethaa, & Dinesh, 2013).

#### 239 3.4. Influence of extraction parameters on total phenolic content (TPC)

Judging of the regression analysis of the linear terms from Table 5 shows the impact of both temperature and solute to solvent ratio on TPC were of high significance. Furthermore, all three extraction parameters have shown a concrete negative influence on the quadratic terms. For the response of TPC, interaction between temperature and solute to solvent ratio showed moderately significant negative effect. All of the other terms including remaining two interactions were insignificant. Thus, they were excluded from the final developed model as expressed in Eq. (5).

$$Y_2 = 301.66 - 38.75X_1 + 14.47X_2 - 16.47X_{12} - 28.70X_1^2 - 62.81X_2^2 - 60.03X_3^2$$
(5)

246 All of the experimental results and response plots presented in Table 5 and Figure 2 indicate ratio and temperature had a positive 247 and negative influence respectively. The initial increase in TPC may be a result of enhanced solubility due to decreased 248 intermolecular interactions within the solvent caused by high temperatures (Jianming, Yuan, Ping, Feng, & Liying, 2013). 249 Moreover, reduced solvent viscosity caused by the thermal effect lead to improved solubility of the solvent into the plant matrix 250 (Moorthy et al., 2017; Xu et al., 2017). At the same time, thermal degradation of the phenolic compounds was the most likely 251 reason behind the decrease of TPC at high temperatures beyond 52 °C (Dranca & Oroian, 2016; Tomšik et al., 2016). The 252 thermo-sensitive nature of the phenolics in Piper betle has been previously noted. Eugenol, a common phenolic in Piper betle's 253 extract, was found to decrease when applied extraction temperature was higher than 60 °C (Pin et al., 2009). Results disclosed by 254 the authors are in agreement with current study that saw a similar decrease in TPC with increasing temperature.

Based on the statistical analysis, the developed model was found to be significant at an *F-value* of 61.85. High values of  $R^2$ (0.9795) and  $R^2_a$  (0.9636) indicates high degree of correlation. The predicted correlation coefficient ( $R^2_p = 0.8401$ ) was also determined to be of high significance. In addition, values of coefficient of variation (CV = 4.85) and adequate precision (adeq. precision = 18.262) further indicates the ability of the deduced model to define the relation between the extraction variables and the response of TPC.

#### 260 3.5. Influence of extraction parameters on DPPH antioxidant capacity

261 The results obtained indicate all three extraction parameters to have significant linear as well as quadratic effect on the 262 antioxidant activity. Further evaluation also exposes no significant effect by any interaction terms on the response. The final 263 developed model excluding the non-significant terms are given in Eq. (6).

264 
$$Y_3 = 94.71 - 11.88X_1 + 4.23X_2 - 2.89X_3 - 7.92X_1^2 - 13.70X_2^2 - 14.75X_3^2$$
(6)

265 The negative effect of extraction temperature is clearly visible in Figure 3 as increasing temperature results in lower antioxidant 266 activity. Like the previous two responses of EY and TPC, solute to solvent ratio seems to have a moderate positive effect on the 267 antioxidant activity. On the contrary, ethanol concentration was found to have a more profound impact for this response only. 268 This suggests its major role in the extraction of antioxidant agents from Piper betle. The solubility and extractability of polar 269 phenolic compounds are better with polar solvents (Tomšik et al., 2016). However, the impact of solvents on the recovery of 270 antioxidants is very much dependent on the composition of the solvents, provided it is a dual solvent mixture. According to 271 Mustafa and Turner (2011), the rule of thumb for the choice of solvents is the principle of "like dissolve like". Solvents tend to 272 solubilize compounds with similar properties much more easily (Mustafa & Turner, 2011). Thereby, it can be assumed that the 273 polarity of the phenolic antioxidants in Piper betle are closer to that of ethanol. As a result, the extraction of antioxidants 274 increased with higher ethanol concentrations with the maximum recovery obtained at 80% ethanol concentration.

275 Considering the statistical analysis, the developed model was found to be valid for an *F-value* of 70.18. High correlation 276 coefficients of  $R^2$  (0.9890),  $R^2_a$  (0.9749) and  $R^2_p$  (0.8246) indicates the model's ability to represent the extraction process. Low 277 value of *CV* (3.05) and high value of adeq. precision (21.516) further confirms the model's ability for expressing the antioxidant 278 capacity of *Piper betle's* extract.

#### 279 3.6. RSM optimization and model validation

Several numerical optimizations were performed to identify the best possible combination that can achieve the desired output. The optimized condition was determined at 78.74% ethanol concentration with ratio of 1:21.85 g/mL at a temperature of 51.60 °C. The experimental results produced an extraction yield of 13.88% with a TPC of 311.21 (mgGAE/gDW) and 97.57% antioxidant activity. The results were well in the range of 95% prediction intervals that were obtained from the developed secondorder models (Table 6). Good correlation between the predicted and experimental responses confirm the models obtained can accurately predict the ultrasound-assisted extraction of phenolic antioxidants from *Piper betle*.

#### 286 3.7. Chemical composition and quantitative analysis of the optimized extract

287 GC/MS analysis was performed to determine the chemical composition and quantity of the phenolic antioxidants in the optimized 288 extract (Table 7 and Figure 4). The analysis revealed the presence of hydroxychavicol (peak 2) which was found to be the 289 dominant component with 66.55% peak area. It was followed by eugenol (peak 1 with 11.92%), 2-methoxy-4-propenyl-acetate 290 (peak 3 with 2.90%) and 4-allyl-1,2-diacetoxybenzene (peak 4 with 3.21%) with concentrations of 0.067, 0.012, 0.003 and 0.003 291 mg/mL respectively. Hydroxychavicol has been reported to be the major phenolic compound present in ethanolic extract of 292 Piper betle via HPLC (Pin et al., 2010). Its antioxidant status has also been explored by other researchers (Chang et al., 2002; 293 Sharma et al., 2009). At the same time, both eugenol and isoeugenol were also found to prevent DNA oxidation and lipid 294 peroxidation, damaging reactions caused by free radicals that leads to oxidative stress (Atsumi, Fujisawa, & Tonosaki, 2005; 295 Nam & Kim, 2013). 4-allyl-1,2-diacetoxybenzene, commonly referred as allylpyrocatechol 3,4-diacetate, is another major 296 phenolic compound present in Piper betle (Arambewela, Arawwawala, & Ratnasooriya, 2005; Muruganandam et al., 2017). 297 Although very little literature exists on the antioxidant potential of this compound, a study revealed allylpyrocatechol 3,4-298 diacetate to possess protective and scavenging properties against free radicals and lipid peroxidation (Bhattacharya et al., 2007). 299 The presence of three major phenolics with high antioxidant potential revealed through GC/MS enhances the possibility of using 300 Piper betle's extract as natural antioxidant agents in the food industry.

301 4. Conclusion

302 Present study successfully employed UAE to extract natural antioxidants from Piper betle by investigating the influence of three 303 extraction parameters: temperature, solvent concentration and solute to solvent ratio via BBD. In general, all parameters were 304 found to have significant impact on the responses with ethanol concentration specifically affecting the antioxidant activity. The 305 optimized condition was determined at 78.74% ethanol concentration with solute to solvent ratio of 1:21.85 g/mL at 51.60 °C. 306 Under the optimum conditions, maximum yield of 13.88% was retrieved with TPC and antioxidant activity of 311.21 307 mgGAE/gDW and 97.57% inhibition respectively. Using the mathematical approach of RSM, second-order polynomials models 308 were developed for the responses of extraction yield, TPC and DPPH antioxidant capacity. Statistical analysis of high correlation 309 coefficients confirms the validity of the proposed models. Validation of the optimized conditions also reveals little deviation as 310 the experimental values obtained were well within 95% prediction interval.

Additionally, comparative research confirmed the extraction of secondary metabolites including tannins, saponins and flavonoids together with phenolic antioxidants using UAE was significantly higher than maceration. High phenolic content that corresponds with equally effective antioxidant potential solidifies UAE as an efficient and practical extraction method for the recovery of natural antioxidants from *Piper betle*. Further analysis of the optimized UAE extract through GC/MS reveals the presence of four major phenolic compounds: hydroxychavicol, eugenol, isoeugenol and 4-allyl-1,2-diacetoxybenzene with peak area of 66.55%, 11.92%, 2.90% and 3.21% respectively.

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- 438 Figure captions
- 439 Fig. 1. 3D Response surface plots demonstrating the effects of different extraction parameters on extraction yield (a)
- 440 ethanol concentration and temperature (b) ethanol concentration and solute to solvent ratio (c) solute to solvent ratio
- 441 and temperature
- 442 Fig. 2. 3D Response surface plots demonstrating the effects of different extraction parameters on TPC (a) ethanol
- 443 concentration and temperature (b) ethanol concentration and solute to solvent ratio (c) solute to solvent ratio and
- 444 temperature
- 445 Fig. 3. 3D Response surface plots demonstrating the effects of different extraction parameters on DPPH % inhibition
- 446 capacity (a) temperature and ethanol concentration (b) ethanol concentration and solute to solvent ratio (c) solute to
- 447 solvent ratio and temperature
- 448 Fig. 4. GCMS chromatogram of the optimized *Piper Betle's* extract (peak 1: eugenol; peak 2: hydroxychavicol; peak
- 449 3: isoeugenol; peak 4: allylpyrocatechol 3,4-diacetate)
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Variable		Factor levels	
variable	-1	0	1
Temperature $(X_{1,}^{\circ}C)$	50	60	70
Ratio (X <sub>2</sub> , g/mL)	1:10	1:20	1:30
Concentration (X <sub>3</sub> , %)	70	80	90

#### Table 1. Experimental domain for Box-Behnken design

Ctip Man

		Datio		EY	(%)	TPC (mgGA	.E/gDW)	DPPH (% inhi	bition activity)
Run	Temperature (°C)	(g/mL)	Concentration (%)	Actual	Predicted	Actual response	Predicted	Actual	Predicted
				response	response	Actual response	response	response	response
1	60	30	90	11.43±0.42	11.50	173.85±0.35	190.76	64.41±0.06	67.43
2	50	10	80	11.42±0.25	11.23	206.41±0.21	217.96	78.51±0.07	79.38
3	70	30	80	9.43±0.26	9.61	180.95±0.57	169.40	64.94±0.10	64.07
4	50	30	80	12.73±0.31	12.83	286.61±0.65	279.83	92.03±0.04	90.57
5	60	20	80	11.92±0.53	11.91	300.75±0.57	301.66	94.65±0.02	94.71
6	60	10	90	8.08±0.13	8.43	168.41±0.49	161.81	58.60±0.18	59.30
7	60	20	80	11.89±0.16	11.91	302.28±0.10	301.66	94.78±0.02	94.71
8	60	20	80	11.98±0.25	11.91	299.65±0.58	301.66	94.69±0.08	94.71
9	70	20	70	10.33±0.15	10.50	175.45±0.55	176.72	63.11±0.03	64.68
10	60	20	80	11.91±0.36	11.91	302.88±0.10	301.66	94.72±0.08	94.71

## Table 2. Box-Behnken design matrix with experimental and predicted responses $^{\rm a}$

11	60	30	70	11.47±0.23	11.12	194.41±0.67	195.83	74.24±0.04	73.54
12	60	20	80	11.83±0.22	11.91	302.75±0.55	301.66	94.70±0.03	94.71
13	70	20	90	9.43±0.49	9.18	168.15±0.06	171.64	57.79±0.12	55.63
14	50	20	70	13.24±0.15	13.49	245.18±0.36	254.22	83.02±0.18	85.18
15	60	10	70	10.51±0.46	10.45	178.61±0.72	166.89	67.77±0.04	64.75
16	50	20	90	13.33±0.32	13.16	262.95±0.35	249.14	84.23±0.06	82.66
17	70	10	80	7.57±0.52	7.47	166.61±0.55	173.39	56.88±0.13	58.34

<sup>&</sup>lt;sup>a</sup>Values are expressed as mean  $\pm$  standard deviation (n = 3)

	Extraction	TPC	TFC	DPPH (% inhibition
Response	yield	(mgGAE/gDW)	(mgRE/gDW)	activity)
UAE	13.71±0.23	289.05±0.57	21.5±0.21	94.99±0.15
Maceration	10.96±0.14	246.98±0.34	13.48±0.26	78.12±0.18

Table 3. Extraction yield, total phenolic content and total flavonoid content of *Piper betle* extracts with UAE and maceration<sup>a</sup>

<sup>a</sup>Values are expressed as mean  $\pm$  standard deviation (n = 3)

Phytoconstituents	UAE	Maceration
Alkaloids	-	-
Saponins	++	-
Tannins	++	+
Steroids	++	++
Polysaccharides	-	-
		S

#### Table 4. General phytochemical screening of *Piper betle* extracts with UAE and maceration<sup>a</sup>

Term		Estimated regression coefficients				
	EY	<i>ρ</i> -value	TPC	$\rho$ -value	DPPH	$\rho$ -value
Intercept	11.91		301.66		94.71	
βο						~
X <sub>1</sub>	-1.74	<0.0001	-38.75	<0.0001	-11.88	<0.0001
X_2	0.94	<0.0001	14.47	0.0052	4.23	0.0015
X3	-0.41	0.004	-2.54	0.5363	-2.89	0.0107
X <sub>12</sub>	0.14	0.3616	-16.47	0.0162	-1.37	0.2865
X <sub>13</sub>	-0.25	0.1167			-1.63	0.2103
X <sub>23</sub>	0.60	0.0034		$\rightarrow$	-0.16	0.8940
$X_1^2$	-0.20	0.1747	-28.70	0.0005	-7.92	0.0002
X <sub>2</sub> <sup>2</sup>	-1.41	<0.0001	-62.81	<0.0001	-13.70	<0.0001
X <sub>3</sub> <sup>2</sup>	-0.12	0.4034	-60.03	<0.0001	-14.75	<0.0001
Model F-	62.97	<0.0001	61.85	< 0.0001	70.18	< 0.0001
value						
Mean	11.09	R	230.35		77.59	
C.V. %	2.50%		4.85%		3.05%	
Adeq.	28.355	)	18.262		21.516	
precision	$\mathbf{C}$					
$R^2$	0.9878		0.9795		0.9890	
$R_a^2$	0.9721		0.9636		0.9749	
$R_{p}^{2}$	0.8068		0.8401		0.8246	

## Table 5. Estimated regression coefficients and Analysis of variance (ANOVA) for the investigated parameters

Pasponsa	Predicted	05% PI low	Obtained response	05% PI high	
Response	response	9570 TT10W	Obtained response	<i>75 %</i> 11 mgn	
EY (%)	13.340	12.603	13.880±0.34	14.078	
TPC (mgGAE/gDW)	316.411	287.986	311.210±0.25	344.835	
DPPH (% inhibition			4		
activity)	99.591	93.281	97.570±0.12	105.901	

### Table 6. Predicted and obtained response values and confidences<sup>a</sup>

<sup>a</sup>Values are expressed as mean  $\pm$  standard deviation (n = 3)

Peak		Chemical	Molecular	Retention	Peak area	Concentration
I Cak	Compounds	Chennear	Wolceular	Retention	I cak area	Concentration
No.	r r	formula	weight	time	%	(mg/mL)
1	Eugenol	$C_{10}H_{12}O_{6}$	164	10.51	11.92	0.012
2	Hydroxychavicol	$C_9H_{10}O_2$	150.17	11.19	66.55	0.067
	Phenol, 2-methoxy-4-propenyl-,					
3		$C_{12}H_{14}O_3$	206.24	11.56	2.90	0.003
	acetate					
4	4-allyl-1,2-diacetoxybenzene	$C_{13}H_{14}O_4$	234.25	12.28	3.21	0.003

## Table 7. Chemical composition of optimized Piper Betle extract by Gas chromatography/Mass spectroscopy

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## Highlights

- Optimization of Ultrasound-assisted extraction of antioxidants from Piper betle
- Optimized condition at 51.60 °C with 78.74% ethanol concentration and ratio of 1:21.85 g/mL
- Phytochemical screening revealed additional constituents in ultrasound extracts
- Hydroxychavicol, eugenol, isoeugenol and 4-allyl-1,2-diacetoxybenzene were identified via GC/MS

Chip Marks