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- 2 Pseudomonas putida biofilm dynamics following a single pulse of silver nanoparticles
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8 Graphical abstract



16

Microbial morphology, viability and activity

17 Abstract

Pseudomonas putida mono-species biofilms were exposed to silver nanoparticles (Ag NPs) in 18 artificial wastewater (AW) under hydrodynamic conditions. Specifically, 48 h old biofilms 19 received a single pulse of Ag NPs at 0, 0.01, 0.1, 1, 10 and 100 mg L⁻¹ for 24 h in confocal 20 laser scanning microscopy (CLSM) compatible flow-cells. The biofilm dynamics (in terms of 21 22 morphology, viability and activity) were characterised at 48, 72 and 96 h. Consistent patterns were found across flow-cells and experiments at 48 h. Dose dependent impacts of 23 24 NPs were then shown at 72 h on biofilm morphology (e.g. biomass, surface area and roughness) from 0.01 mg L⁻¹. The microbial viability was not altered below 10 mg L⁻¹ Ag NPs. 25 The activity (based on the D-glucose utilisation) was impacted by concentrations of Ag NPs 26 equal and superior to 10 mg L⁻¹. Partial recovery of morphology, viability and activity were 27 finally observed at 96 h. Comparatively, exposure to Ag salts resulted in ca. one order of 28 magnitude higher toxicity when compared to Ag NPs. Consequently, the use of a continuous 29 culture system and incorporation of a recovery stage extends the value of biofilm assays 30 beyond the standard acute toxicity assessment. 31

32 Keywords

- 33 Biofilm; Flow-Cell Reactor; Pseudomonas putida; Silver Nanoparticle; Recovery;
- 34 Ecotoxicology.

35 1. Introduction

36 Current interest in engineered nanoparticles (NPs) is clear given their various attractive physico-chemical properties (Ju-Nam and Lead 2008; Rai et al., 2014). There are 37 nevertheless legitimate concerns regarding the actual risk associated with the emergence of 38 39 anthropogenic NPs in the environment (Duester et al., 2014; Eduok et al., 2013). Reported environmental concentrations of the majority of NPs in freshwater systems are in the $\mu g L^{-1}$ 40 range and likely to increase due to the wide applications of NPs in societal and medical 41 products (Gottschalk et al., 2013; Ju-Nam and Lead 2008; Rai et al., 2014). Consequently, 42 43 the potential adverse effects of NPs on microorganisms in environmental systems (natural 44 and otherwise) need to be appraised.

Bacteria have already been used intensively in nano(eco)toxicology, especially using 45 planktonic cultures (Holden et al., 2014; Kahru and Ivask, 2013). Biofilms, defined as self-46 47 produced matrix enclosed mono or multi-species microbial communities that adhere to 48 biological or non-biological surfaces or interfaces (Stewart and Franklin, 2008), are nonetheless referred as the main living form of bacteria in the environment (Hall-Stoodley 49 50 et al., 2004). Structurally organized, dynamic and complex ubiquitous biological systems, biofilms have in addition essential beneficial implications (e.g. facilitators within the natural 51 environment or in the treatment of wastewaters) (Hall-Stoodley et al., 2004; Stewart and 52 Franklin, 2008). Consequently, biofilm based assays represent a desirable source of 53 54 information in nano(eco)toxicology.

55 Despite their relevance, only a handful of nano(eco)toxicological studies has been carried out using biofilms to date. Assays performed under static conditions (*i.e.* here referred to as 56 57 static biofilms) using microtitre plates or glass slides, coupled with spectrophotometry or confocal laser scanning microscopy (CLSM), have been reported (Choi et al., 2010; Dong and 58 Yang, 2014; Dror Ehre et al., 2010; Inbakandan et al., 2013; Martinez-Gutierrez et al., 2013; 59 60 Radzig et al., 2013; Raftery et al., 2014). However, biofilms obtained under hydrodynamic conditions (i.e. here referred to as non-static biofilms) are fully hydrated, planktonic free 61 and mature structures compared to the static biofilms (Buckingham-Meyer et al., 2007; 62 Crusz et al., 2012; Weiss Nielsen et al., 2011). Studies based on non-static biofilms are 63 therefore gradually emerging using diverse rotating biological contactor and reactors 64

(Fabrega *et al.*, 2009; Hou *et al.*, 2014; Martinez-Gutierrez *et al.*, 2013; Park *et al.*, 2013).
Unlike most reactors, the flow-cell systems present the additional advantages of real time,
non-invasive and non-destructive versatile studies (Crusz *et al.*, 2012; Weiss Nielsen *et al.*,
2011). Consequently, a high potential of assay development is associated with the use of
flow-cell reactors.

70 Applications of flow-cell reactors were reported in (eco)toxicology for the testing of silver 71 sulfadiazine and solvent styrene on *Pseudomonas* spp. biofilms (Bjarnsholt et al., 2007; Halan et al., 2011). Examples in nano(eco)toxicology are particularly scarce at the present 72 73 time as the sole contribution is the study by Fabrega et al. (2009) where the interactions between Ag NPs and Pseudomonas putida biofilms were investigated (e.g. accumulation and 74 uptake of NPs). These authors especially stressed the need of complementary studies 75 dedicated to the assessment of long term effects (*i.e.* including recovery) of NPs to complex 76 77 materials such as biofilms. This was further emphasised in recent literature (Handy et al., 78 2012) as an area not being considered in most of the nano(eco)toxicological studies 79 published so far.

80 The present study builds on these pioneer examples (Bjarnsholt et al., 2007; Fabrega et al., 2009; Halan et al., 2011) and aims to assess the temporal impact following a single pulse of 81 NPs on non-static mono-species biofilm morphology, viability and activity using flow-cell 82 reactors. Silver (Ag) is prioritised given that it is a well-known bactericidal agent and one of 83 84 the most widely used NPs in a large range of applications (Morones et al., 2005; Rai et al., 85 2014). P. putida based biofilms are considered since they are used with flow-cell reactors 86 and are commonly proposed as an environmental bacterial model (Bjarnsholt et al., 2007; 87 Fabrega et al., 2009; Halan et al., 2011). Consequently, the dynamics (considering the impact in the short term as well as the potential recovery in the long term) of mature P. 88 putida biofilms (considering morphology, viability and activity) in response to a single pulse 89 90 of Ag NPs and salts are here reported and discussed.

91 2. Material and methods

92 2.1. Material

The biofilm reactor consisted of inverted Perspex flow-cells (CLSM compatible) and bubble 93 traps purchased from DTU Systems Biology (Lyngby, Denmark) used in combination with 24 94 95 x 50 mm glass coverslips (1.5 mm thick) from SLS UK Ltd and silicone (Versilic) and Marprene 96 (Watson Marlow UK Ltd) tubings as reported previously (Crusz et al., 2012; Weiss Nielsen et al., 2011). Representative Ag NPs (i.e. JRCNM03000a also named Ag NM-300K NPs, which 97 are negatively charged nanoparticles with a primary size *ca*. 15 nm delivered in suspension 98 at 10 % (w/v) in 4 % (v/v) each of polyoxyethylene glycerol trioleate and polyoxyethylene 99 (20) sorbitan mono-laurat) were obtained from the European Commission's Joint Research 100 101 Centre (Ispra, Italy) and characterised previously (Klein et al., 2011; Mallevre et al., 2014). The Filmtracer Live/Dead[®] Biofilm Viability Kit was purchased from Life Technologies UK Ltd. 102 103 D-glucose, silver nitrate (AgNO₃), phenol and sulphuric acid were from Fisher Scientific UK Ltd. Rely⁺On Virkon[®] disinfectant was from DuPont. A silver single element standard was 104 105 purchased from Perkin Elmer UK Inc.

106 2.2. Methods

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2.2.1. Culture of *P. putida* mono-species biofilms in a flow-cell reactor

108 Biofilms were cultured under hydrodynamic (laminar) conditions using parallelised flow-cells

as schematised in Figure 1. Wastewater isolated *Pseudomonas putida* BS566::*luxCDABE*

110 (hereafter referred to as *P. putida BS566*) (Wiles *et al.,* 2003) was used as a model

111 bacterium for establishing mono-species biofilms. All experiments were performed in

artificial wastewater (AW), the composition of which is reported elsewhere (Mallevre *et al.*,

113 2014) using D-glucose at 0.5 % (w/v) as sole carbon source.



Fig. 1: Schematic diagram of the set up reactor. The reactor comprised parallelised CLSM
 compatible flow-cells (bearing independent channels) complying with the above presented

118 configuration. A, B and C refer to key actions performed during the inoculation process: clamp off in119 A, dis-connect in B then inoculate in C.

The set up reactor was cleaned with Virkon[®] 1 % (w/v) then extensively washed with sterile 120 deionised water at 15 mL channel⁻¹ h⁻¹ using a 205U multi-channel cassette pump (Watson 121 Marlow UK Ltd). The channels were then filled with sterile AW and left at minimal flow rate 122 overnight. Prior to the inoculation, the bacterium was pre-cultured overnight at 28 ± 2 °C 123 124 under shaking conditions (140 rpm) in AW then diluted in order to reach a final concentration *ca*. 10⁷ CFU mL⁻¹ (corresponding to a dilution about 1:100e). Each channel was 125 then independently inoculated with 200 µL of freshly prepared cell suspension by: clamping 126 127 off the tubing upstream of each flow-cell (Fig. 1, position A), disconnecting the tubing downstream of the flow-cells (Fig. 1, position B) and injecting the bacterial suspension 128 129 within the channels (Fig. 1, position C). After inoculation, the tubings were re-connected and dis-clamped; the flow-cells were then incubated 1 h (*i.e.* flow off, glass coverslip on bottom). 130 131 The biofilms were cultured (*i.e.* glass coverslip on top) for 48 h in AW with a consistent flow rate of 3 mL channel⁻¹ h^{-1} . 132

133 2.2.2. Experimental scenario of culture, exposure and recovery

Stock suspensions of Ag NPs at 100 mg L⁻¹ were freshly prepared in AW prior to each 134 experiment, sonicated (2 x 8 min in a Kerry ultrasonic water bath at 38 ± 10 KHz), then 135 serially diluted to give final concentrations of 0, 0.01, 0.1, 1, 10 and 100 mg L⁻¹ applied for 24 136 h at 3 mL channel⁻¹ h^{-1} on 48 h old biofilms. Ag ions (applied as AgNO₃) were similarly tested 137 at final concentrations of 0, 0.001, 0.01, 0.1, 1 and 10 mg L^{-1} . Virkon[®] 1 % (w/v) was tested as 138 a toxicant positive control. After exposure, upstream tubings were purged and the system 139 filled with fresh AW (i.e. free from any toxicant) for an additional 24 h of culture at 3 mL 140 channel⁻¹ h⁻¹. Three time points were defined: 48 h (*i.e.* assessing the biofilm establishment 141 142 and culture), 72 h (i.e. assessing the short term effects of the exposure) and 96 h (i.e. assessing the long term effects of the exposure and the potential recovery of the biofilms). 143

144 2.2.3. Biofilm morphology, viability and activity characterisation

Morphology and viability of the biofilms were characterised within the flow-cells at 48, 72
and 96 h by CLSM in a non-destructive manner. Image capture was performed on a Leica

Microsystems TCS SP2 inverted CLSM with a HCX APO CS 63x 1.4 oil immersion lens after 147 staining with the Filmtracer Live/Dead[®] Biofilm Viability Kit following recommendations of 148 the manufacturer. Both Syto[®] 9 (green, characterising the live cells) and Propidium Iodide 149 150 (PI, red, characterising the dead cells) stains were excited with a laser source at 488 nm in a 151 unidirectional mode at speed of 400 Hz. Emissions were simultaneously monitored via 152 distinct photomultipliers set at 510 - 530 nm and 610 - 630 nm, respectively. A total of seven z-stacks (characterised by 100 images at 512 x 512 in resolution in a consistent 100 μ m 153 thickness window) were randomly registered per condition (*i.e. per* channel) for each time 154 155 point in all experiments. CLSM images were processed by the Leica Microsystems LAS AF 156 Lite software for viability and analysed with the COMSTAT 1 program (Danish Technical 157 University) using Matlab R2013b (MathWorks, USA) software for morphology (e.g. total 158 biomass, maximum thickness, mean thickness, roughness coefficient and surface area) as 159 described in Heydorn et al. (2000). Data from the COMSTAT based analysis were further 160 processed following:

161 Relative evolution (in % terms) = (results at y - results at x) / (results at x) eq. 1

where x, y are 48 and 72 h or 72 and 96 h, respectively.

The microbial activity was assessed by the monitoring of the D-glucose utilisation (*i.e.* sole
carbon source) within the experimental scenario. The amount of D-glucose was quantified in
both influent and effluent collected samples at 48, 72 and 96 h (after filtration at 0.2 μm)
following the phenol-sulphuric acid assay based protocol described elsewhere (Fournier,
2001). Then the percentage of D-glucose remaining in effluents (hereafter referred to as D-glucose ratio) was calculated for each condition and time point.

169 2.2.4. Nanoparticle characterisation

The Ag NPs were analysed by UV-Visible spectrophotometry (UV-Vis) using an Evolution 600
spectrophotometer (Fisher Scientific, UK) and by Dynamic Light Scattering (DLS) using a
Nanosizer (Malvern, UK) as described in Mallevre *et al.* (2014) in influent and effluent
collected samples at 48, 72 and 96 h (after filtration at 0.2 μm). The concentration of total
silver element was measured in collected samples by Atomic Absorption Spectroscopy (AAS)
using an AAnalyst 200 Spectrometer (Perkin Elmer, UK) calibrated with an Ag single element

- standard at concentrations of 0.156, 0.312, 0.625, 1.25, 2.5 and 5 mg L^{-1} (R² = 0.9986 ±
- 177 0.0004, n = 4). The size distribution (z-average) and zeta potential data were treated with
- 178 the Zetasizer software (Malvern, UK).
- 179 **3. Results**

180 3.1. Characterisation of the *P. putida* control biofilms at 48 h

Representative examples of CLSM z-stack obtained ante exposure are presented in Figure 2 181 182 (top row). P. putida BS566 formed distinct and consistent microcolonies in D-glucose supplemented AW across channels. This was confirmed across experiments as well via the 183 morphology related information obtained by the COMSTAT based analysis. Specifically 184 (considering 210 z-stacks in total with n = 5), control biofilms at 48 h were consistently 185 186 characterised by comparable biomass, maximum and mean thickness, roughness and surface area of: $27.5 \pm 2.6 \,\mu\text{m}^3 \,\mu\text{m}^{-2}$, $94.4 \pm 3 \,\mu\text{m}$, $50.2 \pm 2.8 \,\mu\text{m}$, 0.48 ± 0.01 and 3.2 ± 0.1 187 10⁶ μm², respectively. No red staining (*i.e.* dead cells) was observed. From a microbial 188 activity standpoint (Fig. 3), ca. 70 % of the original D-glucose loading was consistently found 189 in the effluents across channels and experiments. 190





- condition for 1 experiment. Additional examples of result at 72 h from the replicate experiments (n =
 5) are presented in the supplementary material (Fig. S1). Scale is 50 µm wide.
- 199 3.2. Characterisation of the *P. putida* exposed biofilms at 72 h
- 200 Representative examples of CLSM z-stack registered at 72 h post exposure to a single pulse
- 201 of 0, 0.01, 0.1, 1, 10 and 100 mg L⁻¹ Ag NPs for 24 h are presented in Figure 2 (bottom row).
- Additional examples are provided in the supplementary material (Fig. S1).
- 203 From a morphological viewpoint, larger and less discrete microcolonies were observed at 72
- h than at 48 h for the control (Fig. 2, column A). Biofilms exposed to 0.01 mg L⁻¹ Ag NPs
- showed comparable development overall (Fig. 2, column B). However the biofilm
- 206 development was visibly altered at 0.1 mg L⁻¹; dose dependent impacts of Ag NPs were then
- 207 observed with, finally, sparsely distributed residues of microcolonies characterised at 100
- 208 mg L⁻¹ (Fig. 2, columns C E). Red staining was obtained (in 3 out of 5 experiments) at 10 mg L^{-1} exclusively.
- 210
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from the statistical analysis *via* multiple t-tests (corrected with the Holm-Sidak method) considering
two parameters at a time are shown in the supplementary material (Fig. S4).



Fig. 4: Quantitative characterisation of the biofilm morphology *post* exposure to Ag NPs.
Histogram of the relative evolution (in % terms) of the descriptive biofilms parameters (*e.g.* total

biomass, maximum thickness, mean thickness, roughness and surface area) *post* exposure for 24 h to Ag NPs at 0, 0.01, 0.1, 1, 10 and 100 mg L⁻¹ is presented. Data, calculated *per* channel as (results at 72 h - results at 48 h) / (results at 48 h) after the COMSTAT analysis of the registered z-stacks, are mean \pm SEM (n = 5). For each experiment 7 z-stacks were analysed *per* channel (*i.e. per* condition) at both time points.

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The corresponding information from the COMSTAT analysis is shown in Figure 4. Overall 242 results confirmed the dose dependent impact of Ag NPs on biofilm morphology following a 243 244 24 h pulse. The trend was characterised by a decrease in total biomass, thickness, surface area and an increase in roughness with increasing concentrations of NPs. More specifically, 245 non-exposed biofilms gained 57 \pm 8 % of biomass in 24 h; meanwhile the 0.01 mg L⁻¹ 246 exposed biofilms gained significantly less (27 ± 11 %) (as determined via multiple t-tests 247 using the Holm-Sidak method, reporting significance with p value < 0.05). The altered 248 evolution of both the roughness and surface area related information was correlatively 249

observed at 0.01 mg L⁻¹ compared to the non-exposed biofilms. Impact on thickness was not 250 evident at 0.01 mg L⁻¹ though. Comparatively at 0.1 mg L⁻¹ Ag NPs, evident impacts on 251 252 biomass, roughness, surface area and mean thickness were observed compared to the control; according to the COMSTAT results post exposure biofilms were in fine rather similar 253 to biofilms characterised *ante* exposure. The next concentrations of 1, 10 and 100 mg L⁻¹ led 254 to consistent dose dependent results with detrimental effects at 100 mg L⁻¹ resulting in *ca*. 255 75 % of the biomass and *ca*. 50 % of the thickness and surface area being lost when 256 compared to the respective biofilm characteristics before exposure. Similarly, the roughness 257 was increased by more than 200 % whereas non-exposed biofilms had there roughness 258 259 decreased by ca. 50 % for the same 24 h period.

Regarding the microbial activity, comparable amounts of D-glucose (ca. 50 % of the original 260 loading) were found in effluents at 72 h *post* exposure to 0, 0.01 and 0.1 mg L⁻¹ Ag NPs (Fig. 261 3). Results were found significantly different (*i.e.* lower D- glucose ratios, increased activity) 262 compared to results at 48 h. Percentages of remaining D-glucose between 90 % and 100 % 263 were obtained *post* exposure to 100 mg L⁻¹ Ag NPs and Virkon[®] 1 %; results which were 264 found significantly different from data at 48 h with the same channels and from data at 72 h 265 with the other channels. Results obtained *post* exposure to 10 mg L⁻¹ (*ca*. 70 % of the 266 original loading) were non-significantly different to those obtained at 48 h. The intermediate 267 concentration of 1 mg L⁻¹ showed the largest SEM of the 72 h data with D-glucose ratios 268 varying between 45 % and 60 %; results which were found significantly different from data 269 at 48 h but not from 0.1 mg L^{-1} and 10 mg L^{-1} related data at 72 h. 270

Parallel experiments were performed with Ag ions at 0, 0.001, 0.01, 0.1, 1 and 10 mg L⁻¹ (n = 3). Comparable dose dependent toxicity patterns were observed overall on morphology (Fig. S2, top row) and activity (Fig. S3) but shifted by at least one order of magnitude, the Ag ions being more toxic than the tested Ag NPs. Red staining (*i.e.* dead cells) occurred consistently after exposure to 1 and 10 mg L⁻¹. No biofilms were visible at 72 h after exposure to Virkon[®] 1 % (data not shown) as a positive control. Exposure to Ag NM-300K NP dispersant only has already been shown not to be toxic *per se* to *P. putida* elsewhere (Mallevre *et al.*, 2014).

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3.3. Characterisation of the *P. putida* recovering biofilms at 96 h

Biofilms were left to recover for 24 h in AW *post* exposure. Examples of characteristic CLSM
z-stack registered at 96 h for selected conditions (0, 0.01, 1 and 100 mg L⁻¹) along with the
relative evolution (in % terms) of selected descriptive parameters (total biomass and mean
thickness) are presented in Figure 5. Additional examples of CLSM result from replicate
experiments are proposed in the supplementary material (Fig. S4).

284 From a morphological viewpoint (Fig. 5 A), the non-exposed biofilms were found to have developed, gaining more than 15 % in biomass and 6 % in mean thickness compared to 285 286 results at 72 h. Comparatively, the exposed biofilms showed various patterns at 96 h as they were clearly recovering at 0.01 mg L^{-1} (+23 ± 8 % in biomass and +35 ± 12 % in mean 287 thickness, no dual staining; Fig. 5 B) and struggling for survival at 100 mg L^{-1} (+7 ± 4 % in 288 biomass and -2 ± 21 % in mean thickness, dual staining; Fig. 5 D). Very variable results across 289 experiments were obtained at 1 mg L⁻¹ with evolutions in biomass and mean thickness up to 290 +50 % and +20 % or down to -10 % and 0 %, respectively (Fig. 5 C). Dual staining as well as 291 possibly re-structuring microcolonies (*i.e.* presence of filaments) were also reported at 1 mg 292 L^{-1} . Tested 0.1 and 10 mg L^{-1} concentrations led to similar dose dependent results (Fig. S5). 293 294





Regarding the microbial activity (Fig. 3), comparable D-glucose ratios close to 45 % were observed at 96 h from 0 mg L⁻¹ to 1 mg L⁻¹ tested NP concentrations. Significantly higher ratios *ca*. 70 % and 80 % (*i.e.* decreased microbial activity) were obtained for 10 and 100 mg L⁻¹ Ag NPs. Overall results at 96 h were not found significantly different compared to percentages of D-glucose remaining calculated at 72 h (Fig. S4) but they were found significantly different (up to 1 mg L⁻¹) compared to results obtained at 48 h.

Experiments with Ag ions at 0, 0.001, 0.01, 0.1, 1 and 10 mg L⁻¹ led to more efficient recovery patterns (n = 3) (Fig. S2, bottom row; Fig. S3; Fig. S4). Overall results at 96 h were found significantly different (up to 1 mg L⁻¹) from the ratios calculated at 72 h (Fig. S4). No biofilms were visible at 96 h *post* exposure to Virkon[®] 1 % (data not shown); percentages of D-glucose remaining in effluents were found consistently *ca*. 95 % of the original loading (Fig. 3).

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3.4. Characterisation of Ag NPs within the experimental scenario

Ag NPs were characterised by DLS and UV-Vis after sampling upstream (*i.e.* in influents) and 315 downstream (i.e. in effluents) of the flow-cells at 48, 72 and 96 h. As shown in Figure 6 A, 316 UV-Vis spectra of Ag NPs, characterised by a sole peak ca. 413 nm (0.9 a.u.), were 317 comparable at the beginning and at the end of the 24 h exposure period upstream of the 318 319 flow-cells. In downstream samples: no peak was observed at 48 h, non-comparable profiles 320 characterised by a sole peak ca. 415 nm (0.4 a.u.) were then obtained at 72 h. No specific peak was registered at 96 h regardless of the sample. As shown in Figure 6 B, comparable 321 hydrodynamic size and zeta potential data were obtained by DLS at both 48 and 72 h in 322 upstream samples: 51 ± 1.4 nm and -4.8 ± 0.8 mV, 46.7 ± 2.8 nm and -3.1 ± 1 mV, 323 324 respectively. In downstream samples, hydrodynamic size and zeta potential results of 155.2 ± 14.6 nm and -12.9 ± 0.5 mV were respectively obtained at 72 h; 48 h samples were not 325 326 suitable for DLS analysis (*i.e.* due to the absence of NPs). Results at 72 h were found 327 significantly different between both types of sample. Mean polydispersity index (PDI) was 0.46 ± 0.02. Samples from 96 h were not suitable for DLS analyses either (data not shown). 328 Ag concentrations (*i.e.* 1, 10 and 100 mg L^{-1}) were confirmed by AAS in upstream samples at 329

both 48 and 72 h. Comparatively, the respective concentrations in downstream samples

returned to be $69 \pm 5 \%$, $51 \pm 10 \%$ and $89 \pm 7 \%$ of the concentrations measured in upstream samples when tested at 72 h. The concentration of Ag was below the lower detection limit of the apparatus (*i.e.* < 0.1 mg L⁻¹) when tested at 96 h regardless of the sample.

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Fig. 6: Ag NP characterisation. Samples were collected upstream (*i.e.* in influents) and downstream
(*i.e.* in effluents) of the flow-cells at 48, 72 and 96 h then characterised by DLS (A) and UV-Vis (B)
when applicable. Data are mean ± SEM (n = 3) when tested at 10 mg L⁻¹. Significantly different
between samples *via* multiple t-tests corrected with Holm-Sidak with a *p* value < 0.05 (**).

353 **4. Discussion**

354 4.1. Short term effects

We have shown previously (Mallevre et al., 2014) that the tested NPs (i.e. Ag NM-300K 355 representative NPs from the OECD) were toxic to planktonic *P. putida* in AW with IC₅₀ values 356 ca. 5 mg L^{-1} after 1 h of exposure and that Ag ions (applied as AgNO₃) were comparatively at 357 least ten times more toxic. The toxicity of Ag NPs to planktonic bacteria was mainly reported 358 in the 1 - 10 mg L⁻¹ range (Chernousova and Epple, 2013) and the frequent higher toxicity of 359 Ag ions compared to NPs was equally reported (Notter et al., 2014). The toxicity of Ag NPs 360 to various static biofilms was also reported in the literature (Choi et al., 2010; Dror Ehre et 361 al., 2010; Inbakandan et al., 2013; Martinez-Gutierrez et al., 2013; Radzig et al., 2013; 362 Raftery et al., 2014). Overall conclusions emphasised that Ag NPs were harmless below 1 mg 363 L^{-1} , inhibitory in the 1 - 10 mg L^{-1} range and lethal above 100 mg L^{-1} . The higher resistance of 364 biofilms, under hydrodynamic conditions, compared to the planktonic cells supports 365 previous findings using static biofilms (Choi et al., 2010; Inbakandan et al., 2013; Martinez-366 Gutierrez et al., 2013; Radzig et al., 2013; Raftery et al., 2014). 367

From a non-static biofilm viewpoint, there are too few studies using flow-cell reactors at the 368 present time to draw conclusive trends. Pioneer works of Bjarnsholt et al. (2007) showed 369 toxic effects of Ag sulfadiazine ca. 10 mg L⁻¹ on mature Pseudomonas spp. biofilms. Fabrega 370 et al. (2009) thereafter discussed the accumulation of Ag NPs onto and into Pseudomonas 371 spp. biofilms and reported the absence of impact on viability up to 2 mg L⁻¹. Correlatively 372 herein, the viability of biofilms was not visibly affected post exposure to a single 24 h pulse 373 of Ag NPs at 1 mg L⁻¹ and below. However, the variable observation of dead cells (*i.e.* in 3 374 out of 5 experiments) at 10 mg L⁻¹ may inform about a transient state in the biofilm 375 response to bactericidal (i.e. biofilm-cidal) doses of NPs. In light of this, the absence of 376 visible dead cells at 100 mg L⁻¹ (certainly removed by the flow) is not a proof of unaltered 377 378 viability but a testimony of biofilm temporal response as supported by previous studies with other chemicals (Bridier et al., 2011; Skogman et al., 2012; Tote et al., 2010). Despite being 379 frequently reported, the direct comparison of the planktonic versus biofilm information may 380 be nevertheless rather inappropriate (i.e. the biofilm associated cells are differentiated from 381 the planktonic cells by reduced growth rate, up and down gene regulation, ability to show 382

383 coordinate behaviour and generation of extracellular polymeric matrix) (Booth et al., 2011; Bridier et al., 2011). Considering the "worst case scenario", disperse microcolonies were 384 visible *post* exposure to a single 24 h pulse of 100 mg L⁻¹ Ag NPs; the non-static *P. putida* 385 386 biofilms were therefore more tolerant to Ag NPs than the planktonic cells (Chernousova and Epple, 2013; Mallevre et al., 2014). In terms of morphology, the general trend of the biofilm 387 response was characterised by a decrease in biofilm biomass, thickness and surface area 388 389 coupled with an important gain in roughness. The response was found dose dependent with impacts reported from 0.01 mg L⁻¹; therefore corroborating the sloughing phenomena 390 reported *post* exposure to 0.02 - 2 mg L⁻¹ Ag NPs elsewhere (Fabrega *et al.,* 2009). In 391 392 addition here, the microbial activity (*i.e.* monitored *via* the sole carbon source utilisation) 393 was concomitantly shown to be time dependent (i.e. older and larger biofilms using more D-394 glucose in absence of NPs) as well as NP dose dependent (*i.e.* the utilisation of D-glucose being reduced *post* exposure to 10 mg L⁻¹ Ag NPs and above). Consequently, the dose 395 396 dependent biofilm restructuring previously mentioned did not involve an evident loss in the biofilm activity with the lowest concentrations of NPs (*i.e.* 0.01 - 1 mg L⁻¹ range); instead the 397 loss of activity was rather concomitant with the microbial death. 398

399 4.2. Potential mode of action for displayed Ag NP toxicity

Ag cations were reported to complex with the negatively charged extracellular matrix of the 400 biofilms, potentially diminishing their bioavailability for an eventual toxicity (Habimana et 401 402 al., 2011). However, Ag ions exhibited evident dose dependent toxic effects using non-static 403 biofilms, as similarly discussed before with planktonic cultures (Losasso et al., 2014; Mallevre et al., 2014; Notter et al. 2014) and static biofilms (Choi et al., 2010; Radzig et al., 404 405 2013). The occurrence of the live/dead dual staining was also visibly increased in the assays in the case of the Ag ions, attesting to a superior biofilm-cidal pressure overall as previously 406 stressed by Bjarnsholt et al. (2007) with Ag sulfadiazine. Similar observations were also 407 reported with Zn²⁺ released ions from Zn NPs elsewhere (Hou et al., 2014); the tested Ag 408 409 NPs were not therefore a single case example. The function, structure and extracellular 410 matrix of *P. putida* biofilms were previously discussed as impacted by the surrounding nutrients (Bester et al., 2011; Jahn et al., 1999). The limited barrier role of the produced P. 411 putida matrix due to the minimal conditions of growth in AW may therefore be 412

hypothesised here. The tested Ag NPs were nevertheless characterised by a low (*ca*. < 5 % in
mass) dissolution rate elsewhere (Klein *et al.*, 2011; Mallevre *et al.*, 2014); the observed
impacts of NPs cannot be supported solely by the released ions thus.

416 Interest has been recently shown in investigating the NP deposition onto and penetration 417 into biofilms. Peulen and Wilkinson (2011) reported that the relative self-diffusion coefficients of several NPs (including Ag NPs) were decreased exponentially with the square 418 of the NP radius when tested with Pseudomonas spp. static biofilms. Choi et al. (2010) 419 showed that Ag NPs were able to penetrate ca. 40 μ m in static biofilms within 1 h. From a 420 421 non-static biofilm viewpoint, Miller et al. (2013) showed that distributions of NPs through the biofilms were consistent with diffusive transport and that uniform distributions through 422 the thickness were achieved within a few hours. Interactions between NPs and biofilms 423 424 were observed herein (*i.e.* impact on UV-vis spectra, loss in concentration as well as gain in 425 size and negative charges in effluent samples) and discussed previously (Fabrega et al., 426 2009). NP deposition onto and penetration into the biofilms may therefore be proposed 427 here.

428 Consequently, the observed toxicity of tested Ag NPs (ca. 15 nm) is likely to be supported by combined NP and ion based effects. Interestingly, the dose dependant and sequential 429 impact reported on biofilm morphology, viability and activity would support the hypothesis 430 of a NP dose dependent bacteriostatic (biofilm-static) and bactericidal (biofilm-cidal) like 431 response from non-static biofilms as previously suggested with static biofilms (Choi et al., 432 433 2010; Dror Ehre et al., 2010; Inbakandan et al., 2013; Martinez-Gutierrez et al., 2013; Radzig 434 et al., 2013; Raftery et al., 2014). This would corroborate as well the notion of biofilm 435 adaptive stress response already described with other toxicants such as disinfectants (Bridier et al., 2011). 436

437 4.3. Long term effects

The importance of information regarding the long term effects of NPs was recently
emphasised (Fabrega *et al.*, 2009; Handy *et al.*, 2012). Nevertheless, such results using
biofilms are still to be reported in nano(eco)toxicology.

Herein, results from *P. putida* biofilms assessed 24 h *post* exposure to a single pulse of Ag
NPs/ions showed overall recovering patterns on biofilm morphology and activity. In the
absence of toxic pressure (*i.e.* the absence of NPs within the system during the recovery
period was confirmed by AAS), biofilms were shown to restructure (*i.e.* presence of
filaments and re-growth of microcolonies).

446 The formation of filaments by *P. putida* has been previously reported as an adaptive survival strategy in response to hostile conditions of growth (Crabbe et al., 2012; Jensen and 447 Woolfolk, 1985). In fact, filament formation is a typical stress response to sub-lethal 448 449 conditions displayed by a wide number of bacteria genera including Escherichia coli, Listeria monocytogenes and Bacillus cereus (Jones et al., 2013). It has been specifically reported in 450 cases of pH, pressure and temperature stresses, of low water activity or high CO₂ conditions, 451 452 and of antimicrobials presence (e.g. antibacterial peptides and disinfectants), however, we 453 believe this is the first report of biofilm related filament formation in response to Ag NP 454 stress conditions. Mechanisms of filament formation are commonly attributed to blockages in the early steps of the bacterial cell division due to a reduced energy state of the cell, 455 456 mechanisms which were shown to be reversible (Jones et al., 2013). The formation of elongated bacteria was equally reported as a typical consequence of DNA damage and 457 458 envelope stress (Justice et al., 2008). Interestingly, filaments were apparent only at 0.01 and 0.1 mg L⁻¹ Ag NPs here, supporting the theory that filament formation is a dose dependent 459 and reversible response as has been shown with other antimicrobial agents. We may in 460 461 addition postulate that other filament producing bacteria (e.g. Escherichia coli, Listeria 462 monocytogenes and Bacillus cereus) may similarly respond to Ag NPs under the same 463 conditions.

Finally, variable results and potentially late effects were observed at 96 h *post* exposure to 1
mg L⁻¹. Accordingly to the NP mode of action afore hypothesised, some intermediate or
threshold concentrations of NPs may then constitute a particularly "grey area" where monospecies biofilms display heterogeneous structure due to differing responses expressed at
the single cell level.

469 4.4

4.4. Environmental relevance

The environmental concentration of NPs has been appraised around the μ g L⁻¹ range in 470 471 surface waters and effluent wastewaters (Gottschalk et al., 2013). Despite morphological impacts being found from 0.01 mg L⁻¹, we demonstrated overall that biofilms exposed to 472 pristine Ag NPs (up to 100 mg L^{-1}) were capable of morphological recovery within only 24 h. 473 The microbial activity was not found significantly affected below 1 mg L⁻¹ and was also 474 subjected to recovery otherwise. In addition, Ag was shown sulphidised and interacting with 475 organic matters in natural waters (Kaegi et al., 2013; Levard et al., 2012). We have shown 476 477 that Ag NPs were less toxic and more subject to aggregation, especially with ageing, in real wastewaters than in artificial wastewaters (unpublished data). In light of this, the eventual 478 impacts of released and aged Ag NPs in the μ g L⁻¹ range to *P. putida* biofilms may be 479 480 therefore limited at the present time. Additional studies using other models would be 481 necessary to extend the trends herein reported to natural biofilms.

The biofilm activity assessment was piloted herein using a D-glucose based monitoring. As 482 the sole carbon source of the system, D-glucose utilisation appeared as a critical marker of 483 484 the biofilm behaviour. Considering there is 1.07 mg of Chemical Oxygen Demand (COD) per 485 mg of D-glucose, the theoretical COD removal activity may be estimated too. Being quicker, less sample consuming and easier to perform than the COD quantification; the D-glucose 486 487 monitoring was preferred across conditions and experiments. Based on the original loading of D-glucose (0.5 %, w/v), ecotoxicity assays were performed in AW with an equivalent COD 488 loading of ca. 5000 mg L⁻¹, corresponding to a high concentration case scenario. The use of 489 D-glucose (in the 0.5 % range, w/v) was reported before (Bjarnsholt et al., 2007; Fabrega et 490 491 al., 2009; Halan et al., 2011) in a similar AB trace minimal medium, minimal Davis medium 492 or M9 medium. Fabrega et al. (2009) also worked, in addition, in the absence/presence (up to 10 mg L⁻¹) of humic substances. However, the correlation to C source utilisation or COD 493 494 information was not considered in any of these studies. Additional assays related to the microbial activity (e.g. phosphorus or ammonium removal) may be anticipated for future 495 496 works.

Although well described and still improving (Crusz *et al.*, 2012; Weiss Nielsen *et al.*, 2011;
this work), macrofluidics systems as used here may still appear difficult to assemble and
perform. A plethora of short term or long term as well as single and multiple pulse based

- scenario with various NPs along with ageing and recovery assessment could be nonetheless
- 501 piloted in order to help better mimic possible natural events and therefore better
- 502 understand the real risk of NPs. At the present time though, there are scarce applications in
- nano(eco)toxicology with non-static mono-species biofilms (Fabrega et al., 2009; this work)
- and simply none with multi-species. Mix communities based non-static biofilms studies are
- 505 consequently anticipated as future critical works in nano(eco)toxicology.

506 5. Conclusions

- 507 This paper reports for the first time on the temporal assessment of Ag NP and ion impact to 508 the dynamics of mature *P. putida* based mono-species biofilms in parallelised flow-cells 509 considering biofilm morphology, viability and activity related information.
- 510 Short term studies showed sequential dose dependent toxic effects of Ag NPs on *P. putida*
- 511 biofilm morphology (with impacts characterised from 0.01 mg L⁻¹), then activity (from 1 10
- 512 mg L^{-1} range) and viability (from 10 mg L^{-1}) *via* a single pulse of 24 h in AW. Long term effects
- 513 showed sequential dose dependant recovery of biofilm morphology and activity. Ag ions
- 514 showed dose dependent impacts too but led to more efficient recovery *post* exposure
- despite being at least ten times more toxic than the tested Ag NPs. In lights of this and of
- the NP characterisation information, the combined effect of NPs and ions was proposed to
- 517 support the observed toxicity results of tested Ag NPs.
- 518 Additional works using non-static biofilms are desirable in nano(eco)toxicology. Further
- 519 studies on multi-species biofilms along with metabolomics, communities and extracellular
- 520 matrix based temporal investigations are encouraged.

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687 Supplementary material





693 Fig. S1: Biofilm morphology characterisation post exposure to Ag NM-300K NPs. Additional

- 694 examples of result at 72 h (*i.e. post* exposure) from three replicate experiments are presented above
- as support for the Figure 2 (bottom row), therefore the same caption applies.







Fig. S2: Biofilm morphology characterisation *post* exposure to Ag ions. *Pseudomonas putida* monospecies biofilms were cultured in artificial wastewater in CLSM compatible flow-cells for 48 h then
exposed to 0, 0.001, 0.01, 0.1, 1 and 10 mg L⁻¹ of Ag ions for 24 h (from A to F respectively). Biofilms
were analysed by CLSM after live/dead staining at 72 h (*i.e. ante* exposure, top row) and at 96 h (*i.e. post* recovery, bottom row). Representative examples of maximised z-stack are shown. Each image
represents 1 out of 7 z-stacks randomly registered *per* condition for 1 experiment (n = 3). Scale is 50
µm wide.



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Fig. S4: Statistical analysis of the reported microbial activity results. The output results from the
statistical analysis *via* multiple t-tests (corrected with the Holm-Sidak method) considering two
parameters at a time are shown. The NP case is reported in blue, the ion case in red. Significantly
different between time points (increased or decreased activity over time) with a *p value* < 0.1 (*) or
< 0.05 (**). Non-significantly different (NSD).

48 h vs 72 h

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⊘ (⊘)

⊘ (⊘)

⊘ (∿)

NSD (**☆)

\2 (\2)

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0 mg L⁻¹

0.01 (0.001) mg L⁻¹

0.1 (0.01) mg L⁻¹

1 (0.1) mg L⁻¹

10 (1) mg L⁻¹

100 (10) mg L⁻¹

Virkon 1 %

72 h vs 96 h

**2

NSD (*주)

NSD (**짇)

NSD (**짇)

NSD (*짇)

NSD (NSD)

NSD

48 h vs 96 h

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⊘ (⊘)

⊘ (⊘)

**⊘ (*⊘)

NSD (NSD)

NSD (**☆)

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- 768 Fig. S5: Biofilm recovery assessment *post* exposure to Ag NM-300K NPs. Supplementary examples
- of result at 96 h (*i.e. post* recovery) from three replicate experiments are presented above as
- support for the Figure 5, therefore the same caption applies.