

# Green Maintenance for Historic Masonry Buildings: An Option Appraisal Approach

## Abstract

**Purpose** – Sustainability is well understood to encapsulate economic, environmental and societal parameters. The efficiency of maintenance interventions for historic buildings is no exception and also conforms to these broad factors. Recently, environmental considerations for masonry repair have become increasingly important and this work supports this growing area. This paper gives insight on how an option appraisal approach of ‘Green Maintenance’ modelling for historic masonry buildings repair practically determine and ultimately substantiate the decision making process using a calculation procedures of life cycle assessment (LCA), within delineated boundaries.

**Design/methodology/approach** – Calculation procedures of the model enables an assessment of embodied carbon that is expended from different stone masonry wall repair techniques and scenarios for historic masonry buildings during the maintenance phase.

**Findings** – It recognises the importance roles ‘Green Maintenance’ model can play in reducing carbon emissions and underpins rational decision making for repair selection.

**Practical Implications**- It must be emphasised that the calculation procedures presented here, is not confined to historic masonry buildings and can be applied to any repair types and building form. The decisions made as a result of the utilisation of this model practically support environmentally focused conservation decisions.

**Social Implications**- The implementation of the model highlights the efficacy of repairs that may be adopted.

**Originality/value**- The paper is a rigorous application and testing of the ‘Green Maintenance’ model. The model relays the ‘true’ carbon cost of repairs contextualised within the longevity of the materials and its embodied carbon that consequently allows rational appraisal of repair and maintenance options.

**Keywords** Green Maintenance, Historic Masonry Buildings, life cycle assessment, calculation procedures, embodied carbon, Environmental Maintenance Impact (EMI)

**Paper type** Research paper

## 1. Introduction

Maintenance of buildings is crucial for ensuring that the financial, economic and societal capital invested in the fabric is retained. ‘Green Maintenance’ has the potential to refocus the traditional view of the repair of building, towards sustainability (Forster et al., 2011; Kayan, 2013; Kayan, 2015) and therefore go some way to satisfy legally binding sustainability targets. Bell (1997) and British Standards Institution (1998) also expounded that this has been embedded in the principal building conservation legislative frameworks and charters (Bell, 1997; British Standards Institution, 1998). It is clear that a main tenet of these frameworks is sustainability.

That said, protection of historic fabric through maintenance is not only undertaken from a cultural perspective, but also from an economic viewpoint that is reflected in the fact that 50% of Europe’s national wealth is encapsulated within its existing built environment (Balaras et al.,

1 2005; Forster et al., 2009; Forster et al., 2013). Premature deterioration associated with lack of  
2 regular maintenance can extensively devalue these existing assets. Specifically, with regards to  
3 the United Kingdom, as a proportion of Gross Domestic Product, maintenance accounts for  
4 nearly half of the total expenditure on construction nationally (Balaras et al., 2005). Moreover,  
5 the UK's built environment contains 450,000 listed and 10.6 million pre-1944 buildings  
6 (Maintain Our Heritage, 2004). In 2002, the financial value of repair works to the existing built  
7 environment was calculated at £30 billion (in 1995 prices), a figure that increased to £36 billion  
8 in 2002 (at 2002 prices) [DTI, 2002; Arup, 2003]. Remarkably, of the large and expanding  
9 market in repair works to the built environment, masonry contributes a significant cost. In  
10 Glasgow alone, the Scottish Stone Liaison Group (UK) have estimated that the cost of masonry  
11 repairs required over a 20 year period as approximately £600 million (at 2010 prices) (SSLG,  
12 2006). Apparently, other major cities with a tradition of masonry construction in Scotland (such  
13 as Edinburgh) may also need similar levels of investment (Kayan 2013; 2015). In the future,  
14 however, recognition of the contribution of maintenance should be expanded, not only to cover  
15 the protection of the historic fabric of buildings and economic costs of existing built environment  
16 but also to address the perspective of environmental impact.

17 Hammond and Jones (2008a) state that the “*UK construction industry consumes over 420 Mt*  
18 *of materials, 8Mt of oil and releases over 29 Mt of carbon dioxide annually, including a*  
19 *significant quantity of new materials disposed of as waste*” (Hammond and Jones, 2008a). For  
20 example, in order to meet global targets, the Scottish Government has outlined their commitment  
21 to reduce greenhouse gas emissions in Scotland by 80% (relative to 1990 levels) in 2050  
22 (Scottish Government, 2009). Significantly, a substantial proportion of these carbon emissions  
23 have been attributed to the operations as well as the maintenance and repair of existing buildings  
24 i.e. including historic masonry buildings.

25 Today, the cost implications of repairs must be considered within the context of the associated  
26 carbon expenditure. These measures are increasing in prevalence and form a part of carbon  
27 reduction strategies. This work practically applies a mathematical modelling method developed  
28 by Forster et al., (2011), and reflects the growing importance of the meaningful determination of  
29 the carbon cost associated with repair interventions. Forster et al's (2011) work into Green  
30 Maintenance was developed from mid stage doctoral research undertaken by Kayan (2013). This  
31 was further developed and the work was published in 2013. This current paper is a logical and  
32 meaningful continuation of Kayan's (2013) doctoral research and practically applies the  
33 established theory.

34 For the purpose of historic maintenance records data collection for this paper, the selected  
35 samples of historic masonry buildings were determined to be owned and managed by  
36 collaborative partners (Historic Scotland, National Trust for Scotland) and The City of  
37 Edinburgh Council (CEC). These sample buildings were selected from different localities in  
38 Scotland, including the central and west, the Scottish Borders, Glasgow, Clyde and Ayrshire,  
39 Edinburgh and the Lothians, Fife, and Dumfries and Galloway. These all selected sample were  
40 varies in type including tenements, public and private houses, townhouses, guesthouses and etc.  
41 had large areas of exposed stone masonry wall elements. Additionally, the stone masonry wall  
42 elements of each selected sample building were different in terms of type of wall construction  
43 and stone used. They had different localities (different local climate) and dissimilar weathering  
44 effects (rate of deterioration) in their stone masonry. Apparently, this influenced the longevity of  
45 the repair techniques undertaken (the faster the rate of deterioration, the more frequently repair  
46 was required) and the total wall area repaired (the larger the deteriorated surface of a wall, the  
47 higher total area repaired) within selected maintenance periods.

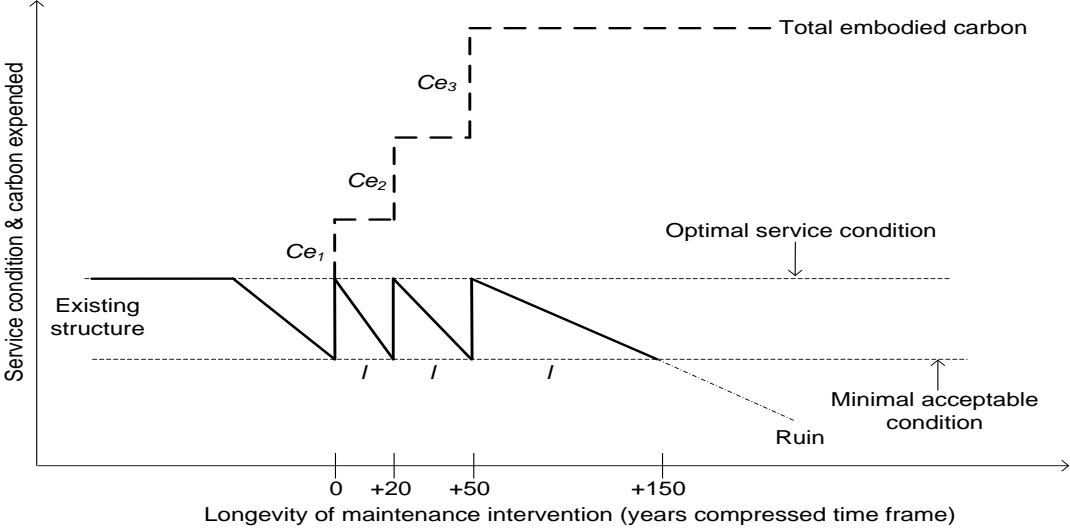
48 The data utilised to test the model was derived from evaluation of historic maintenance  
49 records within several significant portfolio holders. These include, Historic Scotland (HS);

1 National Trust for Scotland (NTS) and, City of Edinburgh Council (CEC). These records were  
 2 primarily composed of repair type, date of executing the works, cost, and specification  
 3 information etc. The main requirements for the effective utilisation of the model were details of  
 4 specification and sourcing of the materials; the longevity and duration between repeat  
 5 interventions and the extent of the works undertaken.

6  
 7 **2. Maintenance of Historic Masonry Buildings: Setting the Evaluation Parameters and**  
 8 **Methods**  
 9

10 The green maintenance model aims to better inform the evaluation of the long term  
 11 maintenance requirements of historic masonry buildings, appropriately directing decisions on  
 12 interventions. This requires a clear understanding of the cumulative effect of routine  
 13 maintenance operations, and their environmental impact (Forster, et al., 2011). Conceptually, the  
 14 service condition and expended embodied carbon (CO<sub>2</sub> emission) for each maintenance  
 15 intervention (in y-axis) of the model are illustrated in Figure 1. On the other hand, each  
 16 maintenance intervention (repair) is characterised by its longevity (*l*) (denoted by the saw-tooth  
 17 profile) and embodied carbon (*C<sub>e</sub>*) (denoted by the stepped dotted lines). The model  
 18 distinguishes delineation between ‘brown’ and ‘green’ maintenance: namely, those repairs of  
 19 high and low carbon impact respectively. Representatively, a ‘brown’ maintenance (steep saw-  
 20 tooth gradient) denotes a repair with short life expectancy, such as pinning and consolidation,  
 21 which can extend the service condition by 20 years. Comparatively, a ‘green’ maintenance  
 22 (shallow saw-tooth gradient) equates to a durable long-lasting intervention such as masonry  
 23 replacement lasting at least 100 years.

24 The cumulative effect of ‘brown’ maintenance increases the total embodied carbon expended  
 25 far more quickly than ‘green’ maintenance and does not attain required longevity. Practically,  
 26 brown maintenance interventions are associated with many factors but prevalent issues may  
 27 include, inadequately specified, high carbon materials, that are poorly executed and that do not  
 28 attain functional longevity. Conversely, green maintenance could be typified by a durable low  
 29 carbon repair that suitably achieves the required broader set of design requirements. As  
 30 emphasised by Forster et al., (2013) however, the complexity of lifespan and combinations of  
 31 repair types suggest a whole life cycle approach is necessary in determining ‘brown’ from ‘green  
 32 maintenance’ (Forster et al., 2013).



33  
 34 Figure 1: Relationship between longevity of repair and embodied carbon expenditure  
 35 Source: Forster, et al., 2011.

1 Figure 1 illustrates the implications of undertaking maintenance interventions on the  
2 service condition of masonry over time. The downward sloping lines signify the steady decline  
3 in condition over the life of the masonry repairs. Each maintenance intervention brings the area  
4 of masonry back to optimal service condition (in this case, optimal service condition of masonry  
5 is defined as when it attained good condition and able to fulfil its elemental functions). It then  
6 deteriorates at a rate that depends on the repair type. Intervention is assumed to occur when the  
7 minimum acceptable condition is reached, and the saw-tooth profile results from successive  
8 interventions, each extending the life of the masonry.

9 Principally, the more frequent the maintenance intervention, the higher the embodied  
10 carbon expended (more CO<sub>2</sub> emissions). Generally, an almost zero impact repair (lowest CO<sub>2</sub>  
11 emissions) might be better even needed several times (example of repointing which highly  
12 influenced by minimal usage of materials on each intervention). It must be noted that, however,  
13 it is commonly frequently required for overall surface of wall to be repointed within maintenance  
14 phase (large wall areas will implicate consistently high overall total EMI within the life cycle  
15 of buildings).

16 Generally, in the case of historic masonry building repair, various mechanisms may exist  
17 to reduce the total CO<sub>2</sub> emitted (sometime referred as greenhouse gas emissions, GHG); local  
18 sourcing of masonry repair materials, using regional companies to undertake the masonry repair  
19 work and selecting low embodied carbon materials. To attain low embodied carbon expenditure  
20 for stone masonry wall repair within specified arbitrary maintenance period (such as in 100  
21 years), preference is given to natural replacement (higher longevity, lower embodied carbon  
22 expenditure and less CO<sub>2</sub> emissions) as opposed to plastic repair (lower longevity, high  
23 embodied carbon expenditure and more CO<sub>2</sub> emissions). Due to complexity of repair longevity,  
24 using either single or combined repair techniques in different repair scenarios for stone masonry  
25 wall repair within the selected boundary of LCA and the maintenance profile period, therefore,  
26 an appropriate approach is essentially required in determining the impact in terms of overall EMI  
27 (CO<sub>2</sub> emissions).

28 It must be emphasise that every repair type has differences in term of durability  
29 [unpredictable of Estimated Service Life (ESL)] and longevity of repair. Therefore, it is not  
30 necessary for undertaking masonry repair only when reach the same level of optimal service  
31 condition. The time between interventions is influenced by many variables, including material  
32 durability, degree of exposure, building detailing, and quality of repair and specification.  
33 Undertaking repairs at frequent intervals increases the risk of mechanical damage to the masonry  
34 associated with scaffolding. Less regular masonry repair can reduce the risk of this damage and  
35 also aligns with the philosophical principle of least intervention.

36 Principally, higher embodied carbon (more CO<sub>2</sub> emissions) is associated with more frequent  
37 maintenance interventions. Clearly, 'lock up' of embodied carbon in stone masonry walling is a  
38 function of the longevity of the selected interventions. It is therefore desirable to attain low  
39 carbon, high durability repairs. For example, natural stone replacement can be considered as  
40 being 'greener' in terms of embodied carbon compared to plastic repair due to its relative  
41 longevity. Longevity of the individual repair is therefore inversely proportional to the number  
42 ('n') of repeat interventions required over a notional time frame. In reality 'n' is influenced by  
43 factors such as the materials specification; the quality of the executed works; the design and  
44 detailing of the structure; the exposure levels that the repair is exposed to and climatic conditions  
45 (Forster and Carter, 2011). In addition, there is leading professional body championing life-cycle  
46 data for historic fabric repair such as Royal Institution of Chartered Surveyor (RICS). It is  
47 highly recognised that RICS promotes sustainable development in property and construction  
48 sectors by advocating environmental assessment, i.e. through low carbon construction and  
49 materials. It must be noted that, life-cycle data for the different types of repairs materials can be

1 derived from various authoritative sources. For the purpose of this paper, life-cycle data for  
2 repair materials for stone masonry wall are mainly derived based on RICS Building Cost  
3 Information System (BCIS) (BCIS, 2006).

4 Many masonry repair techniques are available to those entrusted with the sensitive and  
5 appropriate maintenance of historic structures. Whilst the permutations of the technical  
6 approaches are numerous four major repair types are most prevalent. These are repointing of  
7 mortar joints, plastic repair of deteriorating masonry faces, replacing natural stone; and pinning  
8 and consolidation of delaminating masonry faces (Forster, 2010; Ashurst and Ashurst, 1988).  
9 This view is shared by Torney et al., (2014:359) indicating that '*A number of repair options may  
10 be considered in cases of masonry deterioration, including; natural stone replacement  
11 (indenting), consolidation of existing masonry, or 'plastic' repair with mortars. Each of these  
12 repair approaches brings with it a number of benefits and drawbacks relating to both technical  
13 and philosophical aspects of masonry conservation*'. It must be emphasised that other techniques  
14 are commonly utilised by practitioners but they are outside the scope of this research.

15 The four repair types utilised for this study could be viewed in terms of relative levels of  
16 intrusion to the original fabric. For example, repointing deteriorated mortar joints would have a  
17 limited effect on adjacent masonry. Conversely, the removal of deteriorated natural stone and  
18 replacement with a new masonry unit logically requires the removal of greater quantities of  
19 original fabric (Hill, 1995). The environmental impact of these repair types also depend upon  
20 various factors.

21 **Repeated repointing** is synonymous with the removal of loose and friable mortar from the  
22 masonry joint. The prepared joint is then filled with a mortar which is principally composed of a  
23 binder (lime) and aggregate (well graded sand). For repeated repointing repair scenario, lime-  
24 based mortar was encouraged as it lets the wall breathe. In this repair scenario, the decayed  
25 mortar from the face of the stone masonry wall can then be cut by raking out to reach the good  
26 mortar that remains deep in the wall (two or three times the thickness of the original mortar  
27 joints on the surface of the wall). Commonly, the repair depth should be cleaned out to a  
28 minimum depth of 25mm (38–50mm for wide joints, such as those in a rubble wall, if  
29 necessary). Historically, repeated repointing intervention is commonly reapplied every twenty-  
30 five years (five times of intervention in a hundred selected specified periods) (Kayan, 2013).

31 **Repeated plastic repair** is a technique used to reface deteriorated masonry. The term  
32 'plastic' refers to the plasticity or workability of the fresh mortar rather than a polymeric  
33 material. The mortar adopted for these surface repairs can vary greatly but as with repointing  
34 mortars are principally composed of a binder and an aggregate. Under this repair scenario, the  
35 decayed surface of the stone masonry wall was assumed to be cut back to a point at which a  
36 sound substrate was reached and lime-based mortar was used to resurface the stone. Then, the  
37 resurfacing of the stone used lime-based mortar (with aggregates) materials for a 1m<sup>2</sup> masonry  
38 wall plastic repair with a minimum of 3–12mm depth (depending upon the thickness of the  
39 joints) of undercut or cutback, with approximately 9mm thick layers (base coats) and 6mm  
40 finishes. For this repair scenario, a minimum depth of 40mm were commonly undercut or  
41 cutback with an approximately 9mm thick layer (base coats) and 4mm finish ([http://www.lime-  
42 mortars.co.uk/calculators/plaster](http://www.lime-mortars.co.uk/calculators/plaster)) for multi-layer patch. Normally, the intervention was reapplied  
43 every thirty years (3.33 times in the hundred-year study period) (Kayan, 2013).

44 **Natural stone replacement** is associated with partial or full integration of new stone masonry  
45 units, whether ashlar (squared cut blocks) or rubble (irregular shaped masonry). These units are  
46 built into the pockets that are formed by removing deteriorated stone. In the case of stone  
47 masonry wall, natural stone replacement was assumed to require the cutting back or indenting of  
48 approximately 100mm (0.1m) or 0.10m<sup>3</sup> of volume (1m x 1m x 0.1m = 0.10m<sup>3</sup>) of the defective  
49 material in natural stone. This this cutting back or indenting processes was then followed by

1 building in a new section of stone with the approximate dimension of 1m x 1m x 0.1m of  
2 respective length (L) x height (H) x width (W). For this paper, the life expectancy was taken to  
3 be a hundred years and all of the replacement stone's EMI was attributed to the study period  
4 (only one intervention in a hundred selected arbitrary periods) (Kayan, 2013).

5 **Pinning and consolidation, followed by natural stone replacement** of natural stone is  
6 normally only required to those stones that are face bedded (hence perpendicular to the natural  
7 sedimentary deposited layers). The technique requires drilling holes through the debonded layers  
8 and connecting them with stainless steel or nylon dowels that are subsequently grouted, fixing  
9 them in position (Forster, 2010 a & b; Fielding, 1994). Generally, pinning and consolidation,  
10 followed by natural stone replacement repair scenarios for the stone masonry wall were assumed  
11 to require high-grade threaded stainless steel dowels, which should ensure the survival of the  
12 historic fabric of the stone masonry wall for an initial twenty-year period. In the case of this  
13 paper, high-grade threaded stainless steel dowels (grade 304), as specified by Institute of  
14 Stainless Steel Forum (ISSF), that were 100mm long and 6mm diameter, were used and inserted  
15 at an approximate minimum of 100mm spacing or one hundred pieces in 1m<sup>2</sup> stone masonry wall  
16 with an average weight of 46g per piece ([http://www.valbruna.co.uk/products/reval/dowel-bar-](http://www.valbruna.co.uk/products/reval/dowel-bar-details)  
17 [details](http://www.valbruna.co.uk/products/reval/dowel-bar-details)). Historically, after a twenty-year period the repair may fail and require further  
18 intervention in the form of replacement of stone. As previously mentioned, this process requires  
19 the 'cutting out' of the defective masonry to a depth of approximately 100mm (0.1m<sup>3</sup>) and the  
20 building in of a new section of stone. For this paper, the replacement stone will last beyond the  
21 hundred years and so only 0.8 of its EMI was attributed to the study period (Kayan, 2013).

22 It must be emphasised that certain combinations of stone masonry wall repair are more  
23 common than others. For example, pinning and consolidation would be done only once and  
24 followed by stone replacement, while a plastic repair is followed by stone replacement within a  
25 selected arbitrary period. By contrast, it would be highly unusual to pin and consolidate and then  
26 undertake a plastic repair within the same period (Foster et al., 2011 and Kayan, 2013).

27 The effective determination of cumulative carbon associated with any type of repair and its  
28 underlying sourcing to site are fundamental components of the model. Twinned with this is the  
29 durability or service life prediction for the repairs and the number of expected repeat  
30 interventions within a given timeframe. Collectively, these form the basis of the Environmental  
31 Maintenance Impact 'EMI'. For the purpose of this paper, testing on the 'Green Maintenance'  
32 model was undertaken by generating of EMI expended with either a single or combination of  
33 stone masonry wall repair techniques in different repair scenarios only, within selected  
34 maintenance profiles (in this case, over a hundred years). If we can evaluate the efficacy of  
35 stone masonry wall repair in terms of its embodied carbon expenditure (CO<sub>2</sub> emissions), it could  
36 then be tailored to suit the EMI aspects rather than the longevity of repair alone. It must be noted  
37 that the scope of LCA in this paper was defined by taking into account the EMI as the parameter  
38 in comparing embodied carbon expenditure (CO<sub>2</sub> emissions) from stone masonry wall repair  
39 (Kayan, 2013).

40 In practice however, LCA appears to be problematic as it commonly has many complications.  
41 Hammond and Jones (2008a) suggest that there are various differences in LCA calculations  
42 including boundary conditions restriction and general incorrect assumptions. These differences  
43 carry a natural level of variation and methodological differences and relevant parameters.  
44 Previously, a significant number of studies have been conducted by researchers and  
45 organisations in order to identify variations of LCA. Ding (2004), as cited by Dixit et al. (2010),  
46 asserts that research studies have been undertaken that identify parameters responsible for  
47 variations in LCA (Dixit et al., 2010). It must be emphasised that, Dixit et al. (2010) has also  
48 revealed that there is 10 common parameters (system boundaries, analysis methods, geographic  
49 location, primary and delivered energy, age of data, completeness of data, manufacturing

1 technology, feedstock energy consideration and temporal representation) that commonly  
2 influence the quality of embodied energy results, which could make differences on CO<sub>2</sub>  
3 emissions now or in the future. But, it must be noted that there is no clear indication has been  
4 provided by these previous LCA studies on how these relevance parameters causing variations in  
5 embodied carbon expenditure particularly for stone masonry wall repair in historic masonry  
6 buildings

7 To accurately and meaningfully determine the EMI of the repair, the boundary conditions of  
8 LCA and maintenance interventions must be established. For the evaluation of the EMI of this  
9 paper, no allowance was made for materials that last, for example, sixty years and then have an  
10 'excess' service life of forty years from the point of stone masonry wall repair, over the  
11 designated hundred years. It must be emphasised that, if materials used in stone masonry wall  
12 repair are expected to fail before one hundred years and can be replaced without removing the  
13 rest of stone masonry wall element, then only the embodied carbon expenditure associated with  
14 the particular repair materials (such as lime mortar materials for re-pointing, pinning and  
15 consolidation, and lime plaster materials for plastic repair) will be considered for evaluation in  
16 LCA. Additionally, if other components or the entire stone masonry wall element must be  
17 replaced because of the shorter lived components (such as in natural stone replacement), then the  
18 embodied carbon expenditure within 'cradle-to-site' will be multiplied by the replacement, even  
19 if the materials removed have a potentially longer life expectancy or longevity of repair.  
20 Remarkably, in reality, it must be emphasised that natural stone replacement commonly outlived  
21 Predicted Life of one hundred years. Commonly, this is highly influenced by stone profiles as  
22 well as longevity of repair of for natural stone (Kayan, 2013).

23 Previously, several LCA studies have been undertaken to evaluate embodied carbon in  
24 different types of buildings. However, the focuses of these previous works are centred largely on  
25 embodied energy figures (rather than embodied carbon expenditure) for limited types of  
26 buildings, such as new residential (Treloar, 1997, 1998; Pullen, 2000a and 2000b; Dixit et al.,  
27 2010) and commercial buildings (Treloar, 1997; Yohanis and Norton, 2002; Dixit et al., 2010).  
28 Additionally, the focus of these previous LCA works do not specifically evaluate embodied  
29 carbon expended from stone masonry wall repairs during the maintenance phase.

30 Chronologically, few LCA studies in the public realm specifically investigate the carbon  
31 impacts of stone materials. Previous studies by Alshboul and Alzoubi (2008) and the University  
32 of Tennessee (2008a; 2008b; 2008c) on embodied carbon and energy values in Jordan and the  
33 United States respectively relating to natural stone. Moreover, Venkitachalam (2008) had  
34 evaluated the carbon footprint for stone in the Scottish context; highlighted the fact that a high  
35 proportion of the carbon footprint (within 'cradle-to-gate' LCA) for sandstone is contributed by  
36 transportation i.e. transportation emissions were between 31% and 90% of total represented  
37 embodied emissions associated with local and imported stone respectively (Venkitachalam,  
38 2008). It must be noted that, despite its aim to quantify the carbon footprint for stone, however,  
39 this study's focus was restricted solely to sandstone and failed to take into account the proportion  
40 accrued in relation to other commonly used stones in the masonry walls of historic masonry  
41 buildings.

42 In 2010, Historic Scotland commissioned the Scottish Institute of Sustainable Technology  
43 (SISTech) and Heriot-Watt University undertaken a collaborative research project in order to  
44 understand embodied carbon in natural stone used in the construction and repair of Scotland's  
45 buildings. Methodologically, the results of this study were integrated using Sima Pro and Gabi4,  
46 leading to the publication of 'Embodied Carbon in Natural Building Stone in Scotland' by  
47 SISTech. Primarily, this study adopted the 'cradle-to-site' LCA approach to evaluate dimension  
48 stone as a building material, this study demonstrated the overwhelming significance of transport,  
49 which results in a vast difference in carbon emissions depending upon where the stone is

1 sourced. Significantly, findings of this study revealed that imported stone has an enormous  
2 impact on the overall carbon footprint. This study found that a massive increment of 90% to  
3 550% (over six times more) was noted in relation to transportation of stones imported mainly  
4 from China and India when compared to equivalent material sourced locally (see Crishna et al.,  
5 2011). It must be noted that, however, despite its primary aims to quantify a carbon footprint of  
6 locally-produced (within Scotland and the UK) natural stone, the scope of this research project  
7 extends only to sandstone, granite and slate; therefore, embodied carbon for the repair materials  
8 used in stone masonry wall repair were regrettably not quantified by this study.

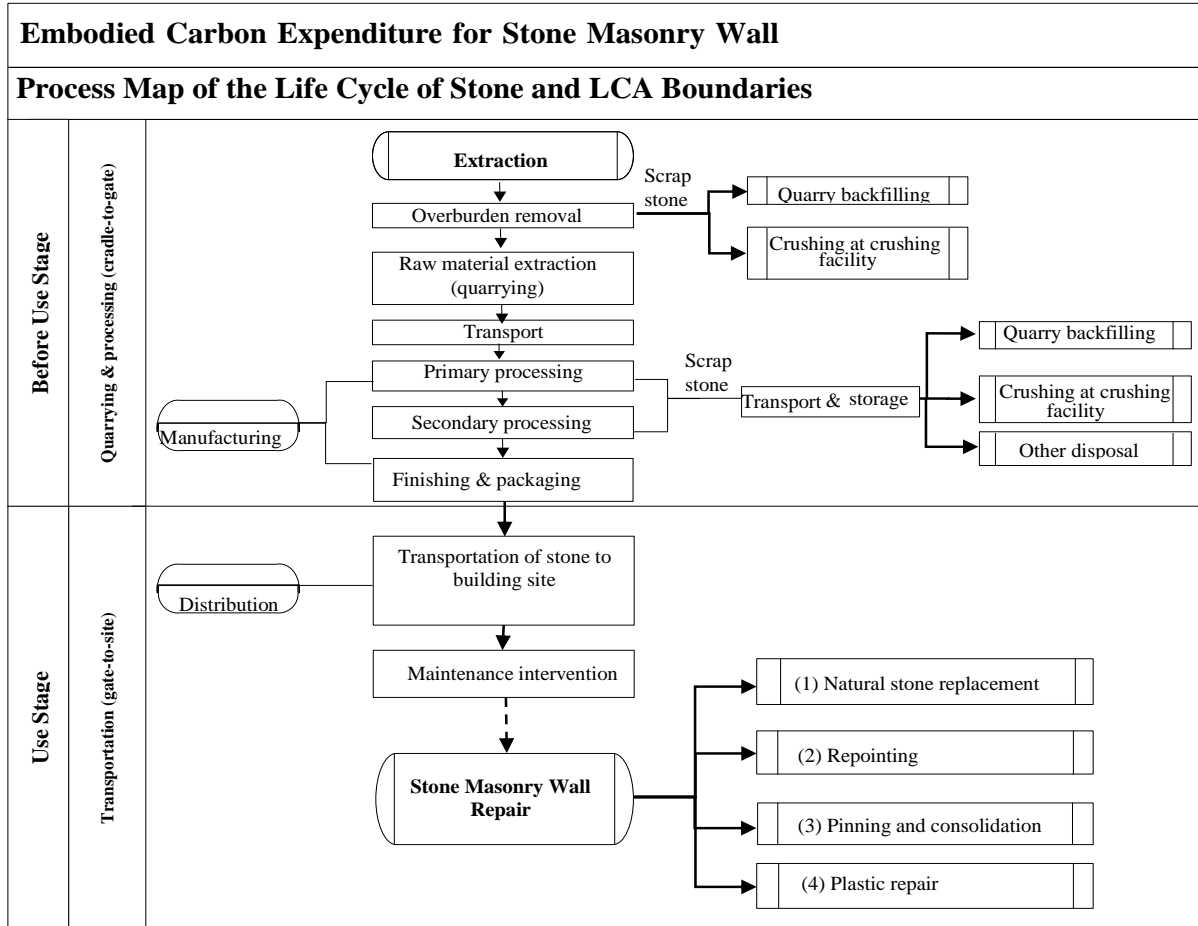
9 Clearly, to attain rational use of Green Maintenance model, embodied carbon expenditure of  
10 the repairs would have to be evaluated using multi-criteria approach comparable, reproducible  
11 methods. As clearly shown in the model, there is clearly a relationship between the number, type  
12 and longevity of maintenance interventions undertaken, and the embodied energy (CO<sub>2</sub>  
13 emissions) in repairs. Comparatively, this model also shows that a durable repair requiring fewer  
14 repeat interventions may incur less energy over the lifespan of the building than a less durable  
15 alternative. It must be noted that, the parameters are influenced by many variables, such as;  
16 longevity of repair, resourcing and geographical location, and mode of transportation, degree of  
17 wall exposure, building and wall detailing, quality of initial work and specification etc. (Forster  
18 and Carter, 2011; Torney, et al., 2014; Torney, and Forster, 2012).

19 Whilst it is appreciated that these variables are complex and wide ranging in nature it is  
20 possible to establish embryonic or early stage data values that enable rational evaluation and  
21 determination to be made. Refinement of this will obviously occur as carbon accounting and  
22 LCA becomes more prevalent and a common understanding of boundaries is universally  
23 adopted, enabling the model to work with greater accuracy.

### 24 25 **3. Green Maintenance Modelling: Calculation procedures Boundaries and Life Cycle** 26 **Assessment**

27  
28 The development of the calculation procedures underpinning the ‘Green Maintenance’ model  
29 quantifies of the embodied carbon expended in historic fabric. This is correlated with the life  
30 expectancy of the repair. Using a set of unit processes and workflows from each stone masonry  
31 wall repair technique and potential repair scenario (see Figure 2), the embodied carbon  
32 calculation procedures were undertaken focusing upon ‘before’ use stages (encompassing the  
33 extraction and processing of raw materials as well as manufacturing processes) and ‘use’ stages  
34 (transportation and distribution) as defined by the Sustainable Building Alliance (2015).  
35





1  
2 Figure 2: Process map of the life cycle of stone for historic buildings  
3 Source: Kayan, 2013.  
4

5 These stages are utilised to define the boundaries of LCA and therefore attain tangible values  
6 to be entered into the model.  
7

### 8 *Cumulative Embodied Carbon Expenditure*

9 The embodied carbon for repairing stone masonry walls was calculated within ‘cradle-to-gate’  
10 (for quarrying, mining, manufacturing and processing) and ‘gate-to-site’ (transportation to site).  
11 Green Maintenance model determined the efficiency in terms of Environmental Maintenance  
12 Impact (CO<sub>2</sub> emissions per kg of materials used) of each stone masonry wall repair technique by  
13 comparing the relative embodied carbon expenditure (*ce*). The fundamental components of the  
14 model were based upon the maintenance interventions (*n*) and the total area of repaired stone  
15 masonry wall (m<sup>2</sup>) within selected maintenance periods.

16 The cumulative embodied carbon expenditure can be generated by multiplying the total  
17 repaired stone masonry wall area (m<sup>2</sup>) with the embodied carbon expenditure for repairing 1m<sup>2</sup>  
18 wall for each repair technique within a selected maintenance period. This normalised the area to  
19 enable rational comparison. This is expressed in Equation No. (1);

$$20 \quad \text{Cumulative Carbon expenditure on maintenance} = \sum_{i=1}^n ce_i$$

21 Equation No. (1)

1 where;  
2  $n$  = number of interventions  
3  $ce_i$  = embodied carbon expenditure for the  $i$ th maintenance intervention [evaluated within  
4 selected ‘cradle-to-site’ boundary of LCA]  
5

6 For the purpose of this paper, it must be noted that, however, this could only be accurate if all the  
7 stone masonry wall repairs are carried out immediately after the life expectancy of the material  
8 used in each repair has concluded (Forster et al., 2011; 2013 and Kayan 2013).  
9

### 10 *Functional Units of Embodied Carbon Per $m^2$ ( $kgCO_2e/kg/m^2$ )*

11 The ‘Green Maintenance’ calculation procedures utilises the embodied carbon expenditure to  
12 repair  $1m^2$  of wall repair for each stone masonry technique. In this paper, a functional unit of  
13  $kgCO_2e/kg/m^2$  was used for the calculation purpose. It was defined in kilograms of carbon  
14 dioxide emissions, equivalent per kilogram of stone masonry wall repair materials or  $kgCO_2e/kg$ .  
15 These were all calculated within the ‘cradle-to-site’ of LCA on a yearly basis, for the selected  
16 maintenance period. To suit the purpose of this paper, the total embodied carbon per  $m^2$   
17 ( $kgCO_2e/kg/m^2$ ) expended from quarrying, manufacturing and transportation to historic masonry  
18 building sites within cradle to site was calculated for each repair type (used in repairing  $1m^2$   
19 stone masonry wall). The Functional Units of Embodied Carbon Per  $m^2$  was expressed as  
20  $kgCO_2e/kg/m^2$ .

21 Table 1 establishes the main embodied carbon for various repair scenarios; it is evident that  
22 stone replacement has the highest embodied carbon expenditure of all the interventions (either  
23 for single or a combination of repair techniques on one typical sample building, and in this case  
24 is in this case CEC4-22-30, Shandwick Place of Edinburgh) based on its relative embodied  
25 carbon expenditure associated with alternative repair scenarios undertaken on normalised  $1m^2$  of  
26 stone masonry wall (functional units of  $kgCO_2e/kg/m^2$ ). However, when this is placed in context  
27 of a 100-year maintenance period, it has the lowest EMI due to the short life expectancy of the  
28 other interventions. For the purpose of calculation of EMI of this paper, longevity of repair for  
29 stone repair techniques is based on data derived from Ashurst and Ashurst, 1988, Ashurst,  
30 1994a and 1994b, Ashurst and Dimes, 1998, McMillan et al., 1999, Historic Scotland 2003a,  
31 2003b, 2007a, 2007b, 2007c and 2007d, Young et al., 2003, BCIS, 2006 and BRE 2010.  
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1 Table 1: Embodied carbon expenditure associated with alternative repair scenarios undertaken on  
 2 normalised 1m<sup>2</sup> of stone masonry wall (functional units of kgCO<sub>2</sub>e/kg/m<sup>2</sup>)

		<b>Scenario 1</b> Stone replacement	<b>Scenario 2</b> Repointing	<b>Scenario 3</b> Pinning and consolidation, then stone replacement	<b>Scenario 4</b> Plastic repair	<b>Scenario 5</b> Plastic repair, then stone replacement
<b>Stone replacement</b>	kgCO <sub>2</sub> e/m <sup>2</sup>	49.965	-	49.965	-	49.965
	Number of intervention (n)	1	-	0.8	-	0.7
	<b>Total Average EMI</b>	<b>49.965</b>		<b>39.972</b>		<b>34.976</b>
<b>Repointing</b>	kgCO <sub>2</sub> e/m <sup>2</sup>	-	1.641	-	-	-
	Number of intervention (n)	-	4	-	-	-
	<b>Total Average EMI</b>	-	<b>6.564</b>			
<b>Pinning and consolidation</b>	kgCO <sub>2</sub> e/m <sup>2</sup>	-		37.725		-
	Number of intervention (n)	-		1		-
	<b>Total Average EMI</b>			<b>37.725</b>		
<b>Plastic repair</b>	kgCO <sub>2</sub> e/m <sup>2</sup>	-		-	60.049	60.049
	Number of intervention (n)	-		-	3.33	1
	<b>Total Average EMI</b>			-	<b>199.963</b>	<b>60.049</b>
<b>Overall Total Average EMI</b>		<b>49.965</b>	<b>6.564</b>	<b>77.697</b>	<b>199.963</b>	<b>95.025</b>

3 Source: Kayan, 2013.

4  
5 **Note:**

6 (a) Materials data are derived from Crishna et al., (2011) and Hammond and Jones, (2008a; 2008b and 2011);  
 7 transport data are derived from the Department of Environment and Rural Affairs (DEFRA) and Department of  
 8 Energy and Climate Change (DECC) (2009) and the Institute for Energy and Environmental Research (IFEU)  
 9 (2008).

10 (b) Embodied carbon expenditure for materials transportation (gate-to-site) @ 132 gm CO<sub>2</sub> emission factors per  
 11 tonne km or 1.32 x 10<sup>-4</sup> kgCO<sub>2</sub> per kg km emission factors using updated 2008 CO<sub>2</sub> emission factors per tonne  
 12 km for all HGV road freight (based on UK average vehicle loads in 2005) (IFEU, 2008; Defra/DECC, 2009) or  
 13 mass (kg) \* emission factors per kg km (for the purpose of this paper, 132 gm CO<sub>2</sub> emission factors or 1.32 x 10<sup>-4</sup>  
 14 kgCO<sub>2</sub> per kg km emission of HGV road freight were used to calculate embodied carbon expended in the  
 15 transportation of stone masonry wall repair materials to building sites, within 'gate-site' boundary of LCA)\*  
 16 distance [shortest and most direct distance travelled for repair material transportation from resourcing location  
 17 (quarrying or mining) to building site (in km)]. A tonne km (tkm) is the distance travelled multiplied by the  
 18 weight of freight carried by the HGV. So, for example, an HGV carrying 5 tonnes freight over 100 km has a tkm  
 19 value of 500 tkm. The CO<sub>2</sub> emissions are calculated from these factors by multiplying the number of tkm the  
 20 user has for the distance and weight of the goods being moved by the CO<sub>2</sub> conversion factor for the relevant  
 21 HGV class.

22 (c) HGV is heavy good vehicle (based on UK average vehicle loads in 2005, and defined by Defra/DECC, 2009).

23 (d) Sample taken from 22-30 Shandwick Place of Edinburgh.

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1 Equation 2 was utilised to model the data.

2 *Total approximate of embodied carbon expenditure (per 1m<sup>2</sup> stone masonry wall repaired)*

$$= \sum ECE_{\text{cradle-to-site}}(m^2)_n = ECE_{\text{cradle-to-gate}}(m^2)_n \\ + ECE_{\text{gate-to-site}}(m^2)_n$$

3  
4 Equation No. (2)

5 where;

6  $ECE_{\text{cradle-to-gate}}(m^2)_n$  = embodied carbon expenditure value on every 1m<sup>2</sup> of repaired stone  
7 masonry wall using relevant repair techniques within ‘cradle-to-gate’ boundary  $ECE_{\text{gate-to-site}}$   
8  $(m^2)_n$  = embodied carbon expenditure value for transporting repair materials used in repairing  
9 1m<sup>2</sup> stone masonry wall using relevant repair techniques within ‘gate-to-site’ boundary.

10  
11 *Total Embodied Carbon Expenditure for Selected Maintenance Period Within ‘Cradle-to-Site’*

12 The total embodied carbon expenditure within selected maintenance periods were calculated  
13 based on the total cumulative values for the stone masonry wall repair.

14 The total embodied carbon evaluates a series of complete interventions within selected  
15 maintenance periods. This was calculated using Equation No. (3):

16 *Overall total of embodied carbon expenditure*

$$= \sum_{ti=1}^n ECE_{\text{cradle-to-site}}_{ti} = ECE_{\text{cradle-to-site}}_{t1} \\ + ECE_{\text{cradle-to-site}}_{t2} \dots ECE_{\text{cradle-to-site}}_{tn}$$

17  
18 Equation No. (3)

19 where:

20  $m$  = relevant repair technique ( $tn$ )

21  $ti=1$  represent first intervention which started initially with value of (n) is =1.

22  $ECE_{\text{cradle-to-site}}_m$  = total embodied carbon expenditure for quarrying, manufacturing and  
23 transporting of repair materials used in repairing stone masonry walls of historic masonry  
24 buildings using relevant repair techniques within ‘cradle-to-site’ and selected maintenance  
25 periods [generated from Equation No. (2)]

26  
27 *Comparative Embodied Carbon Expenditure Determined on Environmental Maintenance Impact*  
28 *(EMI)*

29 Theoretically, an organisation could repair a 1m<sup>2</sup> area of deteriorated historic stone masonry  
30 using different types of repair techniques. Various repair permutations could be enlisted to  
31 undertake the works. For example, a single or a combination of alternative repair scenarios.  
32 Additionally, it must be placed on the calculation procedures of this paper, which should be able  
33 to draw rational comparisons between individual and multiple cumulative maintenance  
34 interventions. An evaluation of the embodied carbon expenditure could then be calculated for  
35 each of these repairs techniques within the selected boundary of LCA.

36 Table 2 represents the total Environmental Maintenance Impact (EMI) expended for 4 types  
37 of stone masonry wall repairs on different buildings. For examples, there are differences of EMI  
38 expended on repair for each building with different management and ownership. Comparatively,  
39 EMI (kgCO<sub>2</sub>e/kg) for replacing natural stone was lower than the other three repair techniques

1 within ‘cradle-to-site’ and 100-year maintenance profile periods with 170.969 kgCO<sub>2</sub>e/kg,  
 2 189.114 kgCO<sub>2</sub>e/kg and 148.068 kgCO<sub>2</sub>e/kg for respective HS1, NTS1 and CEC1 (see note).

3 The typical results from HS1-Doune Castle show that the range of EMIs for natural stone  
 4 replacement is 31.853-77.909 kgCO<sub>2</sub>e/kg. This is slightly higher than with repointing (11.312  
 5 kgCO<sub>2</sub>e/kg) and plastic repairs (20.147-376.130 kgCO<sub>2</sub>e/kg). However, it must be emphasised  
 6 that the total embodied carbon expenditure for repointing is normally the highest. This is due to  
 7 this technique being used for the largest total repaired area of delaminated surfaces of stone  
 8 masonry walls; this trend occurred across selected sample properties. The trend is also similar  
 9 with plastic repairs, due to the enormous usage of materials of a high embodied carbon  
 10 coefficient value, such as secondary fixing materials, particularly for multi-layer patch. This is  
 11 due to the higher longevity of repair this type requiring only one intervention within the same  
 12 maintenance period. In general, natural stone replacement has the lowest total embodied carbon  
 13 expenditure compared to re-pointing, pinning and consolidation and plastic repair. Despite the  
 14 lowest initial EMI associated with lime mortar repointing, this repair technique is commonly  
 15 subject to large total area of delaminated surface wall to be repaired.

16  
 17 Table 2: Total Environmental Maintenance Impact (EMI)

	Total Environmental Maintenance Impact (EMI)		
	$\sum ECE_{cradle-to-site}$ kgCO <sub>2</sub> e/kg		
	HS1	NTS1	CEC1
<b>Replacement</b>			
Indenting + lime grout mix	31.853	37.698	24.075
Indenting + dowels + lime grout mix	61.207	67.684	53.871
Dowels + epoxy resin	77.909	83.732	70.122
<b>Total<sub>100years</sub></b>	<b>170.969</b>	<b>189.114</b>	<b>148.068</b>
<b>Repointing</b>			
Lime mortar repointing	11.312	20.992	6.568
<b>Total<sub>100years</sub></b>	<b>11.312</b>	<b>20.992</b>	<b>6.568</b>
<b>Pinning and consolidation</b>			
Dowels + lime grout mix	146.130	148.775	147.005
Dowels + epoxy resin	230.280	230.170	231.115
<b>Total<sub>100years</sub></b>	<b>376.410</b>	<b>378.945</b>	<b>378.120</b>
<b>Plastic repair</b>			
Lime-based mortar + aggregates	20.147	24.212	21.955
Lime-based mortar (multi-layer plastic repair)	376.130	931.341	378.385
<b>Total<sub>100years</sub></b>	<b>396.277</b>	<b>955.553</b>	<b>400.340</b>

18 Source: Kayan, 2013.

19 **Note:**

20 HS1-Doune Castle, NTS1-Newhailes Estate, Stable Block and CEC1-15 Hillside Crescent and 30-32 Hillside Street,  
 21 Edinburgh.

1 *Testing*

2 As expressed in Equation No. 3, the efficiency of embodied carbon expenditure (CO<sub>2</sub>  
3 emission) per year for one individual stone masonry wall repair technique would be a function of  
4 the annual total of embodied carbon expenditure and the longevity of repair undertaken.

5 The 'Green Maintenance' model can be tested on its Environmental Maintenance Impact  
6 (EMI), for either single or a combination of stone masonry wall repair techniques in different  
7 repair scenarios. This will ascertain repairs suitability based on longevity over the maintenance  
8 period. If a hypothetical 100 years is evaluated for stone masonry wall repair, the need to  
9 intervene will be a function of the life expectancy of the repair. Within this period, the values in  
10 Table 1 were entered into Equation No. (3). This equation determines the total Environmental  
11 Maintenance Impact (EMI) of either a single repair technique or a combination of them in  
12 different repair scenarios in the stone masonry wall structure for 100-year maintenance periods.  
13 Obviously, inconsistent data on the durability of product or materials makes the determination  
14 and benchmarking of component life difficult (Balaras et al., 2005) and leads to some estimated  
15 service life (ESL) predictions being quite unrealistic. It must be noted that, in the case of natural  
16 stone masonry, an average life expectancy of 100 years does not take account of a well-  
17 maintained building (Building Cost Information Service (BCIS, 2006) or the vast differences  
18 between stone types. There are many examples of stone still functioning satisfactorily in  
19 buildings that are several hundred years old. It must be emphasised, however, that the time  
20 between interventions is influenced by many variables, including material durability, degree of  
21 exposure, building detailing, and quality of repair and specification. For example, undertaking  
22 repairs at frequent intervals e.g. 50 or 200 years might or might not increase the risk of  
23 mechanical damage to the masonry associated with scaffolding. Practically, less regular masonry  
24 repair can reduce the risk of this damage and also aligns with the philosophical principle of least  
25 intervention.

26 For instance, Table 3 summarises the overall total EMI, evaluated in terms of embodied carbon  
27 expenditure, over the 100-year maintenance period for different repair scenarios at the same  
28 sample property (in this case CEC4-22-30, Shandwick Place of Edinburgh). It must be noted  
29 that, longevity of repair for stone repair techniques is based on data from Ashurst and Ashurst,  
30 1988, Ashurst, 1994a and 1994b, Ashurst and Dimes, 1998, McMillan et al., 1999, Historic  
31 Scotland 2003a, 2003b, 2007a, 2007b, 2007c and 2007d, Young et al., 2003, BCIS, 2006 and  
32 BRE 2010.

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Table 3: Embodied carbon expenditure associated with alternative repair scenarios.

		<b>Scenario 1</b> Stone replacement	<b>Scenario 2</b> Repointing	<b>Scenario 3</b> Pinning and consolidation, then stone replacement	<b>Scenario 4</b> Plastic repair	<b>Scenario 5</b> Plastic repair, then stone replacement
<b>Stone replacement</b>						
(a) Indenting + lime grout mix	kgCO <sub>2</sub> e/m <sup>2</sup>	24.683	-	24.683	-	24.683
	Number of interventions (n)	1	-	0.8	-	0.7
	<b>Total EMI</b>	<b>24.683</b>		<b>19.746</b>		<b>17.278</b>
(b) Indenting + dowels + lime grout mix	kgCO <sub>2</sub> e/m <sup>2</sup>	54.481	-	54.481	-	54.481
	Number of interventions (n)	1	-	0.8	-	0.7
	<b>Total EMI</b>	<b>54.481</b>		<b>43.585</b>		<b>38.137</b>
(c) Dowels + epoxy resin	kgCO <sub>2</sub> e/m <sup>2</sup>	70.730	-	70.730	-	70.730
	Number of interventions (n)	1	-	0.8	-	0.7
	<b>Total EMI</b>	<b>70.730</b>		<b>56.584</b>		<b>49.511</b>
<b>Repointing</b>						
Lime mortar repointing	kgCO <sub>2</sub> e/m <sup>2</sup>	-	1.641	-	-	-
	Number of interventions (n)	-	4	-	-	-
	<b>Total EMI</b>	<b>-</b>	<b>6.564</b>			
<b>Pinning and consolidation</b>						
(a) Dowels + lime grout mix	kgCO <sub>2</sub> e/m <sup>2</sup>	-	-	29.402	-	-
	Number of interventions (n)	-	-	1	-	-
	<b>Total EMI</b>	<b>-</b>	<b>-</b>	<b>29.402</b>		
(b) Dowels + epoxy resin	kgCO <sub>2</sub> e/m <sup>2</sup>	-	-	46.047	-	-
	Number of interventions (n)	-	-	1	-	-
	<b>Total EMI</b>	<b>-</b>	<b>-</b>	<b>46.047</b>		
<b>Plastic repair</b>						
(a) Lime- based mortar + aggregates	kgCO <sub>2</sub> e/m <sup>2</sup>	-	-	-	6.489	6.489
	Number of interventions (n)	-	-	-	3.33	1
	<b>Total EMI</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>21.608</b>	<b>6.489</b>
(b) Lime- based mortar multi-layer plastic repair)	kgCO <sub>2</sub> e/m <sup>2</sup>	-	-	-	113.608	113.608
	Number of interventions (n)	-	-	-	3.33	1
	<b>Total EMI</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>378.315</b>	<b>113.608</b>
<b>Overall Total EMI</b>		<b>149.494</b>	<b>6.564</b>	<b>195.364</b>	<b>399.923</b>	<b>225.023</b>

2 Source: Kayan, 2013.

3 **Note:**

- 4 (a) Materials data are derived from Crishna et al., (2001) and Hammond and Jones, (2008a; 2008b and 2011);  
5 transport data are derived from the Department of Environment and Rural Affairs (DEFRA) and Department of  
6 Energy and Climate Change (DECC) (2009) and the Institute for Energy and Environmental Research (IFEU)  
7 (2008).  
8 (b) Embodied carbon expenditure for materials transportation (gate-to-site) @ 132 gm CO<sub>2</sub> emission factors per  
9 tonne km or 1.32 x 10<sup>-4</sup> kgCO<sub>2</sub> per kg km emission factors using updated 2008 CO<sub>2</sub> emission factors per tonne  
10 km for all HGV road freight (based on UK average vehicle loads in 2005) (IFEU, 2008; Defra/DECC, 2009) or  
11 mass (kg) \* emission factors per kg km (for the purpose of this paper, 132 gm CO<sub>2</sub> emission factors or 1.32 x 10<sup>-4</sup>  
12 kgCO<sub>2</sub> per kg km emission of HGV road freight were used to calculate embodied carbon expended in the

1 transportation of stone masonry wall repair materials to building sites, within ‘gate-site’ boundary of LCA)\*  
2 distance [shortest and most direct distance travelled for repair material transportation from resourcing location  
3 (quarrying or mining) to building site (in km)]. A tonne km (tkm) is the distance travelled multiplied by the  
4 weight of freight carried by the HGV. So, for example, an HGV carrying 5 tonnes freight over 100 km has a tkm  
5 value of 500 tkm. The CO<sub>2</sub> emissions are calculated from these factors by multiplying the number of tkm the  
6 user has for the distance and weight of the goods being moved by the CO<sub>2</sub> conversion factor for the relevant  
7 HGV class.

8 (c) HGV is heavy good vehicle (based on UK average vehicle loads in 2005, and defined by Defra/DECC, 2009).

9 (d) Sample taken from 22-30 Shandwick Place of Edinburgh.

10  
11 From the data shown in Table 1 and Table 3, it is evident that stone replacement has the  
12 highest embodied carbon expenditure of all the individual interventions. However, when this is  
13 placed in context of a 100-year maintenance period, it has the lowest EMI due to the short life  
14 expectancy of the other interventions.

15 Testing results in Table 3 also revealed that on typical one sample building (in this case 22-30  
16 Shandwick Place of Edinburgh ) repeated plastic repair (Scenario 4) had a 300% higher EMI  
17 compared to replacement stone (Scenario 1) (nearly 40% higher over the same period as noted  
18 by Forster et al., 2011). In comparison, repeated repointing (Scenario 2) had an EMI that was  
19 nearly 87% lower than replacement stone over the same period. Comparatively, it must be  
20 emphasised that the lower EMI value of repeated repointing (Scenario 2) is influenced by the  
21 generally high number of interventions (n) and the large area (m<sup>2</sup>) of delaminated stone masonry  
22 wall surface repaired. Despite the lower percentage of EMI for repeated repointing (Scenario 2)  
23 in this building sample, it must be noted that, the whole surface of the wall is essentially required  
24 for overall surface repointing works within the same arbitrary period. This intervention is  
25 commonly undertaken as a good conservation approach (planned maintenance) during the  
26 maintenance phase of the stone masonry wall. Consistently, based on this scenario, then the  
27 EMI for repointing could be higher than stone replacement (Scenario 1). Conversely, the latter  
28 which commonly undertaken on small surface areas of wall repair (based on pieces or block of  
29 stones), will implicate consistent small EMI as compared to the former.

30 It must be emphasised that, if deterioration has occurred to the substrate forming the base of  
31 the plastic repair, therefore, it is necessary to cut back the natural stone further. Importantly, this  
32 will prevent repeated plastic repairs due to build-up of excessive thickness. In this situation, the  
33 plastic repair and the decayed natural stone is assumed to be removed after 30 years and new  
34 stone built in to a depth of 100 mm. In accordance with scenario 2 the replacement stone will last  
35 beyond the 100-year maintenance period so only 0.7 of its EMI is attributed to the study period  
36 (single plastic repair, then stone replacement, in Scenario 5).

37 Importantly, the transport of materials has a major impact on the EMI results (as noted by  
38 Crishna et al., 2011). Comparatively, Kayan (2013) claim that transportation accounts contribute  
39 to similar trend of impact on EMI (given uncertainties, exclusion, inclusion, assumptions and  
40 limitation of LCA) for more than one-fifth (20%) (in all the Scenarios), as compared to one-  
41 quarter (25%) as noted by Forster et al., (2011). This work shows that the efficiency of stone  
42 masonry wall repair techniques can be evaluated in terms of embodied carbon expenditure as  
43 shown by the ‘Green Maintenance’ model test results of the Environmental Maintenance Impact  
44 (EMI). Practically implemented but geographically specific the range of EMIs for natural stone  
45 replacement is 31.853-77.909 kgCO<sub>2</sub>e/kg. This is slightly higher than with repointing (11.312  
46 kgCO<sub>2</sub>e/kg) and plastic repairs (20.147-376.130 kgCO<sub>2</sub>e/kg). Testing results are similar across  
47 other samples. However, it must be emphasised that the total embodied carbon expenditure for  
48 repointing is normally the highest. This is due to this technique being used for the largest total  
49 repaired area of delaminated surfaces of stone masonry walls; this trend occurred across selected  
50 sample properties. The trend is also similar with plastic repairs, due to the significant use of



1 materials of a high embodied carbon coefficient value, such as secondary fixing materials,  
2 particularly associated for multi-layer patches. Also, it must be emphasised that certain  
3 combinations of stone masonry wall repair are more common than others and interchangeable,  
4 i.e. pinning and consolidation would be done only once and followed by stone replacement,  
5 while a plastic repair is followed by stone replacement within a selected arbitrary period. By  
6 contrast, it would be highly unusual to pin and consolidate and then undertake a plastic repair  
7 within the same period.

#### 9 4. Discussion

10  
11 The results show that all interventions have an associated carbon cost. This model utilised an  
12 arbitrary 100 years ( $\text{kgCO}_2\text{e/kg/m}^2$ ) maintenance profile period for this test but any duration  
13 could be theoretically evaluated. Clearly, the longevity of the repair types and numbers of  
14 interventions are interrelated i.e. one natural stone versus 4 or 5 plastic repairs and repointing.

15 The results show that natural stone replacement has the lowest embodied carbon and energy  
16 expenditure within the 100-year maintenance profiles. Comparatively, within the selected  
17 maintenance period of historic masonry buildings, natural stone replacement commonly requires  
18 the lowest number of interventions (n) of all the techniques. The total area repaired using this  
19 technique is generally smaller than with the other repair techniques. These results suggest that  
20 the smallest repaired area of stone masonry wall has also contributed to the lowest total  
21 embodied carbon expenditure within the same maintenance periods.

22 Results also shows that variations in embodied carbon expenditure for stone masonry wall  
23 repair techniques is due to differences in the repair materials LCA profile and longevity. It has  
24 been established that the embodied carbon coefficient and quantity (mass in kg) of repair  
25 materials is largely associated with transportation  $\text{CO}_2$  emission per tonne km and the multi-  
26 faceted issues surrounding material procurement and the influencing factors relating to the ‘gate-  
27 to-site’ boundaries. Importantly, differences in  $\text{CO}_2$  emissions per mass kg of every repair  
28 material vary due to transportation distances and this adversely affects the environmental inputs.  
29 Additionally, the differences in  $\text{CO}_2$  emitted in materials transportation were also dependant on  
30 the mode/vehicle of transport used.

31 It must be noted that the high value of embodied carbon of repair materials used in stone  
32 masonry wall repair (such as stone and lime) was due to the great use of energy, electricity and  
33 fuel combustion during the quarrying and processing process (‘cradle-to-gate’). Additionally, a  
34 high value of  $\text{CO}_2$  emitted for transportation of imported repair materials (such as lime) was due  
35 to the long distance between the resourcing location and building site. In comparison, all types of  
36 lime materials used for repair on the selected sample buildings are mainly imported from St  
37 Astier in southwest France and the Canton of Jura in northwest Switzerland (Jura Kalk).  
38 Significantly, the long transportation distance for imported materials such as lime materials and  
39 Jura Kalk is commonly higher than that of locally sourced materials (stone, sand, cement, brick  
40 dust/fire clay/fly ash, aggregates and all secondary fixing materials). In some cases,  
41 transportation distance for the former was approximately 1400-2000km as compared to around  
42 10-138km for the latter (Kayan, 2013). Moreover, high value of embodied carbon coefficient of  
43 lime used in stone masonry wall repair was due to the great use of energy, electricity and fuel  
44 combustion during the quarrying and processing process (‘cradle-to-gate’).

45 Apparently, the different source of power generation [as well as Green House Gas, (GHG)]  
46 contributes to different  $\text{CO}_2$  emissions, particularly in product or materials manufacturing. It  
47 must be noted that embodied carbon coefficient values from foreign data were always influenced  
48 by national differences in fuel mixes and electricity generation. For example, Frischknecht  
49 (1998) has developed a life cycle inventory model on how different source of power generation

1 influencing CO<sub>2</sub> emission in product manufacturing “national electricity mix” and “small scale  
2 gas-fired combined heat and power generation” (Frischknecht, 1998).

3 A significant number of previous works relating to LCA have attempted mainly to provide  
4 databases for the environmental impact and embodied carbon coefficient of building materials.  
5 But, most of the generated results have been incorporated into commercial software and  
6 handbooks that are widely used by academics and the industry alike. Generally, and inevitably,  
7 researchers studying LCA disagree about the selection of “best values” for the embodied carbon  
8 coefficient of materials. Consequently, the choice of “best value” for embodied carbon  
9 coefficient of a typical material largely relies upon careful analysis, data availability and the  
10 comprehensive boundaries of LCA (Dixit et al., 2010).

11 For the purpose of this paper, primary energy sources (such as coal and electricity) were only  
12 evaluated if relevant. However, this primary energy was only evaluated in order to attain a  
13 consistency measurement in terms of embodied carbon expenditure (CO<sub>2</sub> emissions) within  
14 ‘cradle-to-site’, i.e. for quarrying, processing and transporting repair materials used for repairing  
15 historic buildings stone masonry walls. In addition, all direct embodied carbon use from fuels  
16 and electricity at raw material extraction (embodied carbon co-efficient for quarrying, mining,  
17 manufacturing and processing) are included in calculations on embodied carbon expenditure of  
18 stone masonry wall repairs.

19 In line with PAS 2050, some sources of embodied carbon were excluded in LCA for this  
20 paper including embodied carbon expenditure (from direct consumption of fuels) in the  
21 quarrying, mining, manufacturing and processing procedure and maintenance of used machinery  
22 and vehicle, off-site transport, and electricity (either the sources purchased from the national or  
23 from another supply) (BSI, 2008). It must be emphasised that, there is varying value for  
24 embodied carbon coefficients of stone masonry wall repair materials (including additional  
25 materials such as cement, all lime, brick dust/fire and clay/fly ash) as a consequence of their  
26 different technology, fuels, electricity and energy used in within ‘cradle-to-site’ boundary of  
27 LCA. The number of interventions (n) and total area repaired (m<sup>2</sup>) assessed is also critical.

28 Practically, the results will also be influenced by the specifiers philosophical attitude towards  
29 stone masonry wall repair and their broader repair strategies (Forster, 2010a and 2010b). The  
30 results show that by using the calculation procedures, the ‘Green Maintenance’ model can  
31 evaluate the efficiency of stone masonry wall repairs in terms of embodied carbon expenditure.  
32 Significantly, the model shows that there is correlation between test results and the efficiency of  
33 stone masonry wall repairs in terms of embodied carbon expenditure.

34 For the purpose of this paper, only the total embodied carbon expenditure for the repair of  
35 deteriorated stone masonry during the maintenance phase were considered for calculation within  
36 the ‘cradle-to-site’ of LCA. It must be noted that initial serviceability conditions and major  
37 refurbishments involving stone masonry walls of historic masonry buildings in the form of total  
38 embodied carbon were not calculated. Also, the data utilised in ‘Green Maintenance’ result  
39 testing should become more rigorous with time as LCA and life expectancy information of  
40 products or repair materials becomes more widely available.

## 41 42 **5. Conclusions**

43  
44 The understanding of the interrelationship of the longevity of repair materials and their embodied  
45 carbon (within selected boundaries and maintenance period) was utilised to test the Green  
46 Maintenance Model. In this paper, different repair techniques and scenarios (either single or a  
47 combination) were utilising different material types and their efficiency in terms of  
48 Environmental Maintenance Impact (EMI). The calculation procedures of ‘Green Maintenance  
49 Model’ presented in this paper represents a meaningful and reproducible mechanism for the

1 evaluation of the Environmental Maintenance Impact (EMI) of the materials used in repairing  
2 stone masonry walls of historic masonry buildings. Apparently, the model has shifted current  
3 paradigm of conventional frameworks to embodied energy expenditure evaluation by not only  
4 promoting the use of traditional materials, it also provides options to attain low carbon targets  
5 via repair interventions over the life cycle. This calculation procedure establishes and tangibly  
6 tests the 'Green Maintenance' model and supports its adoption for achieving more rigorous  
7 analysis of repair strategies. This allows rational appraisal of the different maintenance  
8 strategies and ultimately makes decisions easier to defend. Additionally, the model also  
9 promotes adoption of sustainable repair approach and can be adopted to evaluate of its impact on  
10 other repair options and building forms. Significantly, it could be of value to those making and  
11 support environmentally focused decisions.

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