

Heterogeneities in Stress and Strength in Tohoku and Its Relationship with Earthquake Sequences Triggered by the 2011 M9 Tohoku-Oki Earthquake

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journal or publication title	Pure and Applied Geophysics
volume	176
number	3
page range	1335-1355
year	2018-12-07
URL	http://hdl.handle.net/10097/00126601

doi: 10.1007/s00024-018-2073-9

1 **Heterogeneities in stress and strength in Tohoku and its relationship with**
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14

15 **Abstract**

16 Inland Tohoku has been recognized as being under the WNW-ESE compressional stress
17 state before the 2011 M9 Tohoku-Oki earthquake. Earthquakes that occurred there were
18 characterized by reverse faulting with compressional axis oriented almost WNW-ESE
19 direction. The Tohoku-Oki earthquake reduced this WNW-ESE compressional stress and,
20 therefore, should have suppressed the earthquake occurrence. However, several intensive
21 earthquake sequences were triggered in inland Tohoku. In this study, we investigated the
22 triggering mechanism of these remote earthquake sequences in the stress shadow based
23 on the detailed distribution of stress orientations newly determined from pre-mainshock
24 focal mechanism data. The spatial distribution of stress orientations shows that there exist
25 some regions with anomalous stress fields even before the Tohoku-Oki earthquake on the
26 spatial scale of a few tens of kilometers. This spatial heterogeneity in stress field suggests
27 that the differential stress magnitude in inland Tohoku is low (a few tens of MPa).
28 Locations of the earthquake clusters tend to correspond to regions where the principal
29 stress axis orientations of the pre-mainshock period are similar to those of the static stress
30 change by the Tohoku-Oki earthquake. This observation suggests that these earthquake
31 sequences were triggered by local increase in differential stress due to the static stress
32 change. However, a few swarm sequences occurred in central Tohoku with delays ranging

33 from a few days to few weeks after the Tohoku-Oki earthquake despite the reduction in
34 differential stress. These sequences have notable characteristics including upward
35 migration of hypocenters. Such features are similar to the fluid-injection induced
36 seismicity. The source regions of these swarms are located near the ancient caldera
37 structures and geological boundaries. The swarm activities were probably triggered by
38 the upward fluid movement along such pre-existing structures. These observations
39 demonstrate that information about the temporal evolutions of both stress and frictional
40 strength is necessary to understand the triggering mechanism of earthquakes.

41 1. Introduction

42 To obtain a comprehensive view of the earthquake generation process, it is necessary
43 to understand the triggering mechanism of aftershocks or induced earthquakes after a
44 large earthquake. In general, an earthquake occurs when the shear stress acting on a plane
45 exceeds the frictional strength of the plane. We can use the Coulomb failure criterion as
46 a simple approximation of the condition of the earthquake occurrence:

$$47 \quad \tau = \mu(\sigma_n - P_p) \quad (1)$$

48 where τ is shear stress, μ is coefficient of friction, σ_n is normal stress, and P_p is pore
49 pressure. Based on this equation, we can consider two causes for the occurrence of an
50 earthquake: increases in shear stress τ and decreases in frictional strength $\mu(\sigma_n - \sigma_p)$
51 (e.g., Hainzl & Fischer, 2002). It has been suggested that both, accumulation of stress and
52 reduction in frictional strength due to elevated pore pressure play an important role in
53 earthquake generation (e.g., Hasegawa, 2017; Hubbert & Rubey, 1959; Miller, 2013; Nur
54 & Booker, 1972; Rice, 1992; Sibson, 1992). Thus, the knowledge of the temporal change
55 in stress and pore pressure after a large earthquake is essential to understand the triggering
56 of an earthquake. In particular, the following effects can be considered: coseismic
57 Coulomb's stress increase by static deformation and dynamic wave propagation,
58 postseismic Coulomb's stress increase by postseismic slip and viscoelastic response,

59 elastic interaction among triggered earthquakes, and the effect of pore pressure change
60 associated with these processes.

61 The 2011 M9 Tohoku-Oki earthquake caused numerous earthquakes along the plate
62 boundary, within the overriding plate, and within the subducting slab (Asano et al., 2011;
63 Ishibe et al., 2011; Toda et al., 2011; Yukutake et al., 2011; Miyazawa et al., 2011; Okada
64 et al., 2011; Lengliné et al., 2012; Enescu et al., 2012; Kato et al., 2013; Yukutake et al.,
65 2013; Shimojo et al., 2014). A number of earthquakes took place in inland Japan (Fig. 1),
66 which is densely covered by the nationwide seismic network. This provides a unique
67 opportunity to study the mechanism of remote earthquake triggering.

68 The focal mechanisms of these earthquakes triggered by the Tohoku-Oki
69 earthquake are readily explained by the static stress change by the Tohoku-Oki mainshock
70 (e.g., Asano et al., 2011; Chiba et al., 2013; Hasegawa et al., 2011; Hasegawa et al., 2012;
71 Hasegawa & Yoshida, 2015; Nakajima et al., 2013; Yoshida et al., 2012). (1) Interplate
72 aftershocks do not occur inside the large slip area of the mainshock rupture. They instead
73 focus on the edge of the large slip area (Asano et al., 2011; Hasegawa et al., 2012; Kato
74 and Igarashi, 2012; Nakamura et al., 2016). This feature can be well explained by the
75 redistribution of shear stress inside and around the mainshock slip region. (2) Aftershocks
76 within the subducting Pacific plate intensely occurred in the eastern and the western

77 extensions of the large slip region of the mainshock rupture. P-axes of earthquake focal
78 mechanisms were oriented to WNW-ESE in the western extension parallel to the
79 coseismic slip direction, while T-axes were oriented to this direction in the eastern
80 extension (Chiba et al., 2013; Hasegawa & Yoshida, 2015). This pattern is also well
81 explained by the effect of the static stress change caused by the mainshock slip. (3)
82 Numerous normal fault earthquakes occurred in the overriding plate above the source
83 region of the mainshock (Asano et al., 2011; Hasegawa et al., 2012). Such normal fault
84 earthquakes were scarcely observed before the Tohoku-Oki mainshock, which suggests
85 that the stress field rotated after the Tohoku-Oki earthquake by its static stress change
86 (Hasegawa et al., 2011, 2012; Hardebeck, 2012). These normal fault earthquakes seem to
87 almost continuously occur from just above the large slip region to the Fukushima-Ibaraki
88 border region near the Pacific coast. (4) In the Chubu-Kanto district in inland Japan,
89 seismicity rate increased. In this region, the background stress field was characterized by
90 NW-SE compression similar to the static stress change by the mainshock rupture. Yoshida
91 et al. (2012) suggested that the increase in seismicity rate was caused by the increase in
92 differential stress. (5) Even in regions very far from the source region of the Tohoku-Oki
93 mainshock (the static stress change < 0.001 MPa), some earthquake sequences were
94 triggered probably due to the dynamic wave propagation and the associated pore pressure

95 change (Enescu et al., 2012; Kato et al., 2013; Yukutake et al., 2013).

96 In general, the occurrence of an earthquake does not only increase the Coulomb's
97 stress but also reduces it depending on location, referred to as the stress shadow (e.g.,
98 Simpson & Reasenber, 1994), even on fault planes with the same specific orientation by
99 the modulation of stress field. Inland Tohoku was recognized as being under the WNW-
100 ESE compressional reverse faulting stress regime before the Tohoku-Oki earthquake (e.g.,
101 Hasegawa et al., 1994; Terakawa and Matsu'ura, 2010; Townend and Zoback, 2006;
102 Yoshida et al., 2012). Indeed, all of recent large ($M>6$) earthquakes have reverse faulting
103 focal mechanisms with P-axis oriented to WNW-ESE. This WNW-ESE compressional
104 stress was at least partly formed by the mechanical coupling along the plate boundary
105 (e.g., Suwa et al., 2006). The Tohoku-Oki earthquake reduced this WNW-ESE
106 compressional stress and, therefore, the differential stress (Fig. 1), producing a stress
107 shadow, especially in inland Tohoku, with the typical NNE-SSW striking reverse fault.
108 Therefore, it should have suppressed the earthquake occurrence in inland Tohoku.
109 However, intensive earthquake sequences were activated in inland Tohoku after the
110 Tohoku-Oki event. Thus, the triggering mechanism of earthquake sequences in inland
111 Tohoku is not simple.

112 In this study, we attempt to improve our understanding of the triggering mechanism

113 of earthquakes in the stress shadow by focusing on the Tohoku-Oki earthquake. In the
114 subsequent section, we review distinctive characteristics of the earthquake sequences
115 triggered by the Tohoku-Oki earthquake in inland Tohoku, such as anomalous focal
116 mechanisms (Section 2). We then examine the spatial distribution of the stress
117 orientations in Tohoku to understand why earthquake sequences were triggered in inland
118 Tohoku with anomalous focal mechanisms (Section 3). We also discuss the effect of pore
119 pressure change, which probably played an important role in triggering the earthquake in
120 Tohoku (Section 4).

121

122

123 **2. Reviews of notable features of inland earthquake sequences triggered by the** 124 **Tohoku-Oki earthquake**

125 **2.1 Hypocenter distribution and geological structure**

126 Substantial earthquakes occurred in inland Tohoku just after the 2011 Tohoku-Oki
127 earthquake. Hypocenter distributions of earthquakes in inland Tohoku for the period from
128 March 11, 2011 to the end of 2012 are shown in Fig. 2(a). Hypocenters of events after the
129 Tohoku-Oki earthquake are concentrated at several locations in clusters, rather than being
130 distributed homogeneously throughout the Tohoku region. Hypocenters of earthquakes

131 before the Tohoku-Oki earthquake are plotted over those after the Tohoku-Oki earthquake
132 for comparison and are shown in Fig. 2 (b). The figure indicates that intense earthquake
133 clusters after the Tohoku-Oki earthquake were mainly located in regions where the pre-
134 mainshock seismicity rate was quite low. This is unlike the cases of the 1992 Landers
135 earthquake and the 1995 Hyogo earthquake, in which post-mainshock seismicity
136 increased in proportion to the level of prior seismicity (Mallman & Zoback, 2007). Figure
137 2 (c) shows the earthquake occurrence time plotted against latitude. Those locations of
138 concentrated seismicity are northern Akita (N1), southern Akita (N2), the Yamagata-
139 Fukushima border (C1), Sendai-Okura (C2), Yamagata (C3), the Fukushima-Ibaraki
140 border (S1), and the Tochigi-Gunma border (S2). Although hypocenters seem scattered,
141 the earthquake occurrence rate increases in Iwate-Prefecture (N3). The geological
142 boundaries in Tohoku are shown in Fig. 2 (b) by broken curves. The geologic boundaries
143 go along the Fukushima-Ibaraki border (S1), Yamagata-Fukushima border (C1),
144 Yamagata (C3), northern Akita (N1) and southern Akita (S1) earthquake clusters. All of
145 the earthquake clusters in the central part of Tohoku (C1~C3) are located near ancient
146 calderas (e.g., Yoshida et al., 2017).

147 Temporal distributions of the number of earthquakes for the period of 75 days
148 before to 75 days after the Tohoku-Oki earthquake are shown in Fig. 3 for the eight

149 earthquake clusters. The required magnitude was set to 2.0. Although the completeness
150 magnitude was relatively low just after the Tohoku-Oki earthquake (e.g., Kato et al., 2013;
151 Yoshida et al., 2018a), the earthquake numbers still increased abruptly after the Tohoku-
152 Oki earthquake for all these clusters. Seismicity rates were very low before the Tohoku-
153 Oki earthquake in the focal region of these earthquake clusters. However, it was reported
154 that the seismicity rate decreased in the aftershock area of the 2008 M7.2 Iwate-Miyagi
155 Nairiku earthquake, which is located in central Tohoku, in the stress shadow of the
156 Tohoku-Oki earthquake (Suzuki et al., 2013). The initiations of triggered seismic activity
157 of the three clusters C1~C3 in central Tohoku were delayed by a few days to a few weeks
158 after the Tohoku-Oki earthquake, while triggered seismicity of the earthquake clusters
159 N1-N3 and S1-S2 in the northern and southern parts of Tohoku began immediately after
160 the Tohoku-Oki earthquake (Fig. 3).

161

162

163 **2.2 Change in focal mechanism**

164 A notable feature of the earthquake sequences triggered by the Tohoku-Oki
165 earthquake is significant changes in predominant focal mechanisms after the mainshock;
166 earthquakes with anomalous focal mechanisms, such as normal faulting with the T-axes

167 oriented to WNW-ESE and strike-slip faulting with P-axes oriented to NNE-SSW, started
168 to occur in a wide range of Tohoku from the region beneath the Pacific Ocean in the large
169 slip area of the mainshock rupture (Asano et al., 2011; Hasegawa et al., 2012) to inland
170 Tohoku apart from the source area (Kato et al., 2011; Yoshida et al., 2012). Figure 4 shows
171 focal mechanisms of earthquakes in the overriding plate taken from Yoshida et al. (2012)
172 and Hasegawa et al. (2012). The color shows faulting type based on the classification by
173 Frohlich (1992). The typical focal mechanism in inland Tohoku was known to be reverse-
174 faulting with WNW-ESE P-axis (e.g., Terakawa et al., 2010). The spatially homogeneous
175 WNW-ESE compressional reverse fault stress regime in Tohoku was supported by
176 geodetically measured principal strain rate axes (e.g., Kato et al, 1998; Miura et al., 2002;
177 Sagiya et al., 2000), geological structures (e.g., Nakamura and Uyeda, 1980), earthquake
178 focal mechanisms, and stress tensor inversion analyses (e.g., Hasegawa et al., 1994;
179 Terakawa and Matsu'ura, 2010; Townend and Zoback, 2006; Yoshida et al., 2012). On the
180 other hand, the earthquake clusters that occurred after the Tohoku-Oki earthquake in
181 northern Tohoku (N1, N2) and those in southern Tohoku (S1) are characterized by strike-
182 slip fault with NNE-SSW P-axes and normal fault with E-W~NW-SE T-axes, respectively.
183 These focal mechanisms cannot be explained by the spatially homogeneous WNW-ESE
184 compressional stress state which was thought to be dominant before the Tohoku-Oki

185 earthquake.

186 Three different hypotheses are suggested to explain these anomalous focal
187 mechanisms. We summarize these hypotheses in this section as follows: a possibility of
188 the rotation of the principal stress axes due to the static stress change of the Tohoku-Oki
189 earthquake (Subsection 2.2.1), a possibility of the apparent stress rotation due to the pore
190 pressure change (Subsection 2.2.2), and a possibility of the apparent stress rotation due
191 to the stress heterogeneity in space (Subsection 2.2.3).

192

193 **2.2.1 Possibility of stress rotation due to static stress change of the Tohoku-Oki** 194 **earthquake**

195 A stress tensor inversion analysis based on earthquake focal mechanisms clearly
196 shows that the principal stress axes in the hanging-wall right above the large slip area of
197 the mainshock rupture was rotated by the Tohoku-Oki earthquake (Hasegawa et al., 2012).
198 Moreover, Yoshida et al., (2012) suggested that the stress axes in a couple of areas in
199 southern Tohoku (S1) and northern Tohoku (N1, N2) also rotated after the Tohoku-Oki
200 earthquake. The stress orientations estimated from the stress tensor inversions in inland
201 Tohoku (Yoshida et al., 2012) along with those in the source region beneath the Pacific
202 Ocean (Hasegawa et al., 2012) are shown in Fig. 5. The figure shows that the orientations

203 of the principal stress axes are significantly different before and after the Tohoku-Oki
204 earthquake not only in the source region just above the large slip area but also in inland
205 Tohoku. Figure 5 (c) shows the distribution of principal stress axis orientations of the
206 static stress change of the 2011 Tohoku-Oki earthquake computed by Yoshida et al. (2012)
207 and Hasegawa et al. (2012).

208 Both in the source region beneath the Pacific Ocean and in inland Tohoku, the stress
209 orientations after the Tohoku-Oki earthquake (Fig. 5b) were similar to those of the static
210 stress change (Fig. 5c). This suggests that the stress axes were rotated by the static stress
211 change of the Tohoku-Oki earthquake even in inland Tohoku. If this is the case, since the
212 stress field after the earthquake (Fig. 5b) is considered as the sum of the background stress
213 field (Fig. 5a) and the static stress change (Fig. 5c), magnitudes of deviatoric stress tensor
214 components before the Tohoku-Oki earthquake were smaller than that of the static stress
215 change (Yoshida et al., 2012). Yoshida et al. (2012) quantitatively evaluated deviatoric
216 stress magnitude before the Tohoku-Oki earthquake based on the observed stress rotation
217 by using Wesson & Boyd's (2007) method, and concluded that the differential stress
218 magnitude, as a representative measure of the magnitudes of deviatoric stress tensor
219 components, before the Tohoku-Oki earthquake needs to be less than ~ 1 MPa in northern
220 and southern Tohoku. Differential stress of ~ 1 MPa seems to contradict typically

221 estimated values of stress drop ranging from 1 ~ 10 MPa (e.g., Allmann & Shearer, 2009;
222 Oth et al., 2013). We also consider other possibilities which might explain these
223 anomalous post-earthquake focal mechanisms similar to the static stress change.

224

225

226 **2.2.2 Possibility of apparent stress rotation due to increase in pore pressure**

227 Increasing pore pressure can allow unfavorably-oriented fault planes, on which
228 Coulomb's stress caused by the regional stress field are small, to slip (e.g., Sibson, 1990).

229 A recent fluid injection test confirms that earthquakes occur even on severely mis-
230 oriented planes in proximity to the injection well during periods of high injection rates

231 (Martinez-Garzon et al, 2016a). If such unfavorably-oriented fault planes would be
232 selectively activated by the increase in pore pressure, stress tensor inversion result would

233 be biased. This could lead to apparent stress rotation after the earthquake. By considering
234 the increase in pore pressure after the Tohoku-Oki earthquake, Terakawa et al. (2013)

235 attempted to explain the change in focal mechanisms after the earthquake. They
236 demonstrated that focal mechanisms in northern Akita (N1) can be explained by the

237 regional WNW-ESE compressional stress states. However, another mechanism is
238 necessary to explain the anomalous focal mechanisms in southern Akita (N2) and

239 southern Tohoku (S1) because their slip directions are largely different from those
240 expected from the regional WNW-ESE compressional stress state. The increase in pore
241 pressure alone does not explain why those anomalous focal mechanisms are similar to the
242 static stress change.

243

244 **2.2.3 Possibility of apparent stress rotation due to heterogeneous stress field in the** 245 **considered volume**

246 Another possibility which might explain the anomalous focal mechanisms is the
247 effect of the spatial heterogeneity of stress orientations (e.g., Smith and Dieterich, 2010).
248 As an example of the 1992 Landers earthquake, Hardebeck and Hauksson (2001)
249 suggested that the stress fields rotated after the earthquake, while Townend and Zoback
250 (2001) found the spatial heterogeneity in the stress field in and around the source region
251 and the stress orientations remained almost stationary in the same locations.

252 Since the stress tensor inversion method needs to use multiple diverse focal
253 mechanism data to constrain the stress orientation, it assumes the uniform stress
254 orientation in a volume in which focal mechanism data are taken. Violation of this
255 assumption can lead to an apparent rotation of stress field when combined with sample
256 bias effects due to the static stress triggering. Figure 6 illustrates a simple situation in

257 which the considered volume includes subregions where the stress orientations largely
258 differ from those in the other regions (Fig. 6a). Given that the orientation of the static
259 stress change is similar to those in such subregions (Fig. 6b), the differential stress and
260 thus the shear stress on optimally-oriented fault locally increases there (Fig. 6c).
261 Earthquakes can be selectively triggered in such subregions with locally anomalous stress
262 axes. This might lead to the observation of the apparent change in focal mechanisms, and
263 therefore an apparent stress rotation. The spatial extent of the activation depends on the
264 scale of the spatial change.

265 The spatial heterogeneity in stress orientations in inland Tohoku has been recently
266 found by determining many focal mechanisms using data from the dense seismic network
267 covering this area (Yoshida et al., 2015a). Yoshida et al. (2015a) found that there were
268 regions with stress orientations largely different from the regional WNW-ESE
269 compressional stress state. Fukushima-Ibaraki (S1) is one such region; normal fault stress
270 regime here exists in the shallower part ($z < 12$ km) even before the Tohoku-Oki
271 earthquake, while a reverse fault stress regime exists in the deeper portion. This should
272 have caused the local increase in differential stress in the shallower part of the S1 region
273 by the Tohoku-Oki earthquake because the stress orientation there is similar to the static
274 stress change of the Tohoku-Oki mainshock. The normal fault stress regime in the

275 Fukushima-Ibaraki region was also found previously by Imanishi et al. (2013) who
276 focused their stress inversion study on the earthquake sequence in this region. These
277 observations indicate that the stress rotation in this region after the Tohoku-Oki
278 earthquake were artefact and came from the spatial change in stress fields inside the
279 region in which stress field is assumed to be uniform.

280 In northern Tohoku, the WNW-ESE compressional stress state seems to be
281 homogeneously distributed (Fig. 5a). This WNW-ESE compressional stress and therefore
282 differential stress should have been reduced by the Tohoku-Oki earthquake. The reason
283 why earthquakes with such focal mechanisms, unlikely to occur under the WNW-ESE
284 compressional stress regime, were intensively triggered in northern Tohoku after the
285 Tohoku-Oki earthquake has not been clarified.

286

287 **2.3 Summary of earthquake sequences triggered by the Tohoku-Oki earthquake in** 288 **inland Tohoku**

289 (1) The Tohoku-Oki earthquake triggered intensive earthquake sequences even in the
290 stress shadow of inland Tohoku. Hypocenters of these triggered earthquakes were
291 scattered in a wide area concentrated at several locations in clusters rather than being
292 distributed homogeneously in space throughout the inland region of Tohoku (Fig. 2).

293 (2) Earthquakes in a few such earthquake clusters (N1, N2, S1) have strikingly different
294 focal mechanisms, such as normal faulting type with T-axes oriented to WNW-ESE and
295 strike-slip faulting type with P-axes oriented to NNE-SSW, from the typical one in inland
296 Tohoku. Focal mechanisms in the two clusters N2 and S1 cannot be explained by the
297 regional WNW-ESE compressional stress even if pore pressure increases and
298 unfavorably-oriented fault planes selectively slip.

299 (3) The orientations of the stress axes which caused earthquake clusters with anomalous
300 focal mechanisms are strikingly similar to those of the static stress change by the Tohoku-
301 Oki earthquake. This observation suggests the two possibilities: (1) the stress axes in areas
302 of those clusters locally rotated by the Tohoku-Oki earthquake and (2) the stress axes
303 orientations before the Tohoku-Oki earthquake have some variations in space. The stress
304 fields have a strong depth variation in the Fukushima-Ibaraki region (S1) and the
305 occurrence of earthquake sequence there with many normal fault earthquakes was due to
306 the local increases in differential stress by the static stress change of the Tohoku-Oki
307 earthquake. In northern Tohoku, the WNW-ESE compressional stress field similar to the
308 regional stress state is homogeneously distributed before the Tohoku-Oki earthquake. The
309 reason why the earthquake clusters with anomalous focal mechanisms unlikely to occur
310 under the WNW-ESE compressional stress regime are intensively activated in northern

311 Tohoku has not been clarified.

312

313 **3. Spatial heterogeneity of stress axes in Tohoku before the Tohoku-Oki earthquake**

314 Information about the spatial heterogeneity of the stress orientation is crucial for
315 understanding the triggering mechanism of earthquakes as described in the previous
316 section. In this section, we focus on inland Tohoku and examine in detail the spatial
317 variation of the principal stress axes before the Tohoku-Oki earthquake based on new
318 focal mechanism dataset to understand why earthquake sequences with anomalous focal
319 mechanisms were triggered in Tohoku.

320

321 **3.1 Focal mechanism data**

322 Stress orientations are estimated by inverting focal mechanism data using the
323 method of Michael (1987) and Hardebeck and Michael (2005). (1) We use focal new
324 mechanism data of earthquakes for the period 1977 to 2003, which we determined in the
325 present study. (2) Focal mechanism data determined by Yoshida et al. (2015a) for the
326 period 1997 to the occurrence of the 2011 Tohoku-Oki earthquake are used. For the
327 determination of focal mechanisms, we used P-wave first motion polarity data manually
328 picked during routine processing at the Tohoku University seismic network. Focal

329 mechanisms were determined in the same way as Yoshida et al. (2015a) by applying the
330 method of Hardebeck and Shearer (2002) to the P-wave polarity data. We determined
331 focal mechanisms if the P-wave polarity data was larger than 10 and the azimuthal gap
332 was less than 45° . In the method of Hardebeck and Shearer (2002), focal mechanism
333 solutions are evaluated and classified into A - F ranks depending on confidence levels.
334 Only the events with rank A or B were used here. As a result, we could determine 919
335 focal mechanisms. The number of focal mechanisms with rank A and B are 180 and 739,
336 respectively. The mean numbers of polarity data used for the determination of rank A and
337 B focal mechanisms are 38.7 and 22.7, respectively. The mean RMS values of angular
338 differences of possible nodal planes are 18.7° and 27.5° for rank A and B focal mechanisms,
339 respectively. Examples of focal mechanisms are shown in Fig. S1. Thus, our dataset
340 contains a total of 3,118 focal mechanisms. The lateral distribution of focal mechanisms
341 is shown in Fig. 7.

342

343 **3.2 Stress tensor inversions**

344 For estimating the stress orientation, we assumed that: (1) earthquakes occurred
345 along pre-existing weak planes having various strikes and dips, (2) slip occurred in the
346 direction of maximum resolved shear stress on those planes, and (3) the stress orientation

347 was uniform in the volume from which the data were taken. By the stress tensor inversion,
348 the orientations of the principal stress axes and stress ratio $R = (\sigma_1 - \sigma_2)/(\sigma_1 - \sigma_3)$
349 are constrained, although their magnitudes cannot be known.

350 To investigate the lateral variation of the stress orientations, the study area was
351 divided into several subareas. For each subarea, focal mechanisms were inverted
352 assuming a homogeneous stress orientation. However, given that these results may vary
353 depending on how the subareas are defined, we used two different approaches for
354 subdividing the study area following Yoshida et al. (2015a, 2016a).

355 The first approach is similar to that of Hardebeck and Hauksson (2001). First, we
356 placed a 5-km spaced grid net over the study area. Then, we carried out the stress
357 inversion of Michael (1984, 1987) at each grid node using all events located within 20
358 km if the number of such events was <15 . Otherwise, we used the 15 events closest to
359 the grid node. If there were <10 qualifying events, we did not estimate the principal
360 stress orientations at that grid node. In this approach, the spatial resolution of stress
361 fields depends on the density of focal mechanisms and thus on the location. The
362 orientations of σ_{HMAX} computed based on the equation by Lund and Townend (2007)
363 are shown in Fig. 8 (a).

364 The second approach applies the damped stress inversion method of Hardebeck and

365 Michael (2006) to the focal mechanism data. This involved placing a grid with 0.5°
366 spacing over the study area and assigning each focal mechanism to the nearest grid
367 node. This method avoids the creation of apparent spatial variability, which is actually
368 an artifact due to over-fitting noisy data or non- uniquely fitting data that does not
369 completely constrain the stress tensor. The spatial damping parameter chosen was 0.6 on
370 the basis of a trade-off between model length and data variance. The result of the stress
371 tensor inversions is shown in Fig. 8 (b). We consider that the second approach yields a
372 more macroscopic and stable view of stress fields than the first approach, while the first
373 approach can provide the higher spatial resolution.

374

375 **3.3 Spatial distribution of stress orientations before the Tohoku-Oki earthquake and** 376 **static stress change**

377 Figs. 8 (a) and (b) show that the stress analyses performed using the two different
378 approaches basically yield similar results. The inland stress field is characterized by
379 WNW-ESE compression except for the north and south outer arcs in which σ_1 axes are
380 oriented nearly N-S and vertical, respectively. The earthquake sequences N3 and S1 are
381 included in these north and south outer arc regions. Stress orientations are similar to the
382 regional WNW-ESE compressional stress state in regions corresponding to the

383 earthquake sequences N1, N2, C1, C2, and C3 from the result of the second approach
384 (Fig. 8b) which focuses on a macroscopic view (~ 50 km) of stress fields. On the other
385 hand, we can see some deviations of the stress orientations in regions corresponding to
386 the earthquake sequences N1 and N2 in the first approach (Fig. 8a) which focuses on a
387 spatially high-resolution view of the stress field. The orientations of principal stress axes
388 in N1 and N2 are NE-SW, which are similar to those observed after the 2011 Tohoku-Oki
389 earthquake (Fig. 5b). This suggests that anomalous stress fields existed there even before
390 the Tohoku-Oki earthquake and that the differential stress magnitude in inland Tohoku
391 can be much higher than 1 MPa as estimated by Yoshida et al. (2012) based on the stress
392 rotation after the earthquake. The difference between the first and second approaches
393 suggests that the stress field is heterogeneous in Tohoku with a scale less than a few tens
394 kilometers.

395 We estimated the static stress change of the Tohoku-Oki earthquake for comparison
396 with the stress field before the earthquake. We used the coseismic slip distribution
397 determined by Iinuma et al. (2012) by assuming the rigidity of 30 GPa and the Poisson's
398 ratio of 0.25. We used the DC3D code
399 (http://www.bosai.go.jp/study/application/dc3d/DC3Dhtml_E.html) based on the
400 analytical solution for the homogeneous half space summarized by Okada (1992).

401 Although there are various published coseismic slip models (Brown et al., 2015), the
402 results in inland Tohoku, relatively far from the source region, scarcely depend on the
403 difference of the models (Yoshida et al., 2012; 2018a).

404 Spatial distribution of σ_1 and σ_3 axes of the static stress change is shown in Fig.
405 8 (c). The orientation of σ_1 axis is NE-SW in northern Tohoku (N1, N2), which is
406 similar to that of the observed one before the Tohoku-Oki earthquake in the fine scale
407 (Fig. 8a), indicating that the Tohoku-Oki earthquake lead to the local increases in
408 differential stress. We estimated the increase or decrease of the differential stress by the
409 static stress change of the Tohoku-Oki earthquake based on the background stress
410 orientations of the finer grid result (Fig. 8a) by assuming that the principal stress axes did
411 not rotate after the Tohoku-Oki earthquake. Signs of the differential stress change are
412 shown in Fig. 9 by red and blue colors. Figure 9 indicates whether or not differential stress
413 increased by the static stress change at each grid node. Although the computation result
414 is somehow affected by our limited resolution of stress fields, we can see that differential
415 stress increases only locally in some regions. Figure 9 shows the differential stress
416 increased in the focal regions of the earthquake sequences N1, N2, S1, and S2. This
417 suggests that the earthquake sequences N1, N2, S1, and S2 in these regions were caused
418 by the increase in differential stress by the occurrence of the Tohoku-Oki earthquake due

419 to the local stress heterogeneity.

420

421

422

423 **4. Discussion**

424 **4.1 Temporal variation in frictional strength due to upward fluid movement**

425 The existence of the spatial stress heterogeneities in inland Tohoku can explain why
426 the earthquake sequences in northern and southern Tohoku (N1, N2, N3, S1, and S2) were
427 triggered by the Tohoku-Oki earthquake. However, it is difficult to explain the activation
428 of the earthquake sequences in central Tohoku (C1, C2 and C3) (Fig. 10). Since they have
429 reverse fault focal mechanisms with P-axes oriented WNW-ESE similarly to the typical
430 focal mechanism in Tohoku, shear stress on the fault planes decreased by the WNW-ESE
431 extension associated with the Tohoku-Oki earthquake (Yoshida et al., 2018a).

432 Previous studies suggest that those earthquake sequences in central Tohoku were
433 activated in response to the increase in pore pressure due to the upwelling fluids facilitated
434 by the WNW-ESE extension associated with the Tohoku-Oki earthquake (Terakawa et al.,
435 2013; Okada et al., 2015; Yoshida et al., 2016b, 2017, 2018a and 2018b). Those
436 earthquake sequences are characterized by the swarm-like seismicity pattern with a

437 distinct migration behavior of hypocenters, as summarized by Okada et al. (2015). Such
438 migration behaviors of hypocenters are similar to the fluid-injection induced seismicity
439 (e.g., Julian et al., 2010; Rutledge et al., 2004; Shapiro et al., 1997). Differential stress
440 magnitude in inland Tohoku is estimated to be a few tens of MPa or so by recent studies
441 based on the correlation of stress field with topography (Yoshida et al., 2015a) and with
442 the static stress change by the recent large (~M7) inland earthquakes (Yoshida et al., 2014,
443 2015b, 2016c). Given that differential stress magnitude in Tohoku is as small as a few
444 tens of MPa, pore pressure needs to be much higher than hydrostatic to cause earthquakes
445 under expected effective normal stress at seismogenic depth and the typical value of
446 coefficient of friction obtained by laboratory experiments (e.g. Sibson, 1974). It should
447 be noted that the differential stress magnitude of a few tens of MPa is much higher than
448 1 MPa estimated based on the stress rotation after the 2011 Tohoku-Oki earthquake in
449 inland Tohoku (Yoshida et al., 2012), which was apparently obtained from ignoring the
450 spatially heterogeneous stress field (Fig. 8a).

451 A plausible cause for the reduction in the frictional strength is increasing pore
452 pressure (e.g., Hasegawa, 2017; Hubbert & Rubey, 1959; Miller, 2013; Nur & Booker,
453 1972; Rice, 1992; Sibson, 1992).

454 In fact, temporal variations in frictional strengths, stress drops, b-values, and

455 seismicity pattern have been detected for the Yamagata-Fukushima border earthquake
456 swarm (C1), which can be explained by the temporal change in pore pressure in its source
457 area (Yoshida et al., 2016b, 2017, 2018b). The source area of this swarm is located just
458 beneath the late Miocene Ohtoge caldera and is related to the volcanic structure
459 (Kanisawa et al., 2006; Yoshida et al., 2016b), which is believed to include shallow
460 igneous bodies with hydrothermal fluids immediately below (Yoshida et al., 2005 and
461 2014). Precisely relocated hypocenters by Yoshida et al. (2018b) clearly show that they
462 are concentrated on several discrete planes and migrate along those planes from deeper
463 to shallower levels (Fig. 11).

464 Depth–time plots of hypocenters of events in the three earthquake sequences C1,
465 C2, and C3 in central Tohoku (Fig. 12) clearly show hypocenters moved from deeper to
466 shallower level in all the sequences. The initiations of the seismic activity of these swarms
467 were delayed a few days to a few weeks after the occurrence of the Tohoku-Okii
468 earthquake (Fig. 3). The delays of the initiation of seismicity, only observed in the
469 earthquake sequences in central Tohoku, might have been necessary for the upwelling
470 fluids to move and increase the pore pressure in their source areas to fulfill the failure
471 criterion.

472 All these swarms are located near the ancient caldera structures (Kanisawa et al.,

473 2006; Yoshida et al., 2016b). High b-values were obtained all for these swarms ranging
474 from 1.3-1.6 (Fig. 13d, e and f), which are significantly high compared to the other
475 earthquake sequenses (Fig. 13a, b, c, g, and h) and the typical estimation value of ~0.8 in
476 Tohoku (e.g., Cao & Gao, 2002), which might reflect high pore pressure in the source
477 area as suggested from the observations of the fluid-injection induced seismicity (e.g.,
478 Wyss, 1978; Bachmann, 2012). Indeed, Yoshida et al. (2017) reported that b-value
479 changes from 2 to 1 in the source area of the Yamagata-Fukushima border earthquake
480 swarm in association with decreasing pore pressure.

481 These similarities support that all the three earthquake swarms in central Tohoku
482 were caused by the increase in pore pressure due to upward fluid movements facilitated
483 by the decrease in WNW-ESE compressional stress due to the Tohoku-Oki earthquake.
484 Breaking of low-permeability seals due to the ground shaking might have helped fluid
485 move to the shallower levels. The fluids permeated into several pre-existing planes,
486 reduced the frictional strengths, and satisfied the failure criteria, causing the earthquake
487 swarms despite the reduction in the Coulomb stress. The fluids probably further migrated
488 upward along the planes, which is manifested as the hypocenters migrating along the
489 planes.

490 Fluid movements after the Tohoku-Oki earthquake affected earthquake occurrences

491 not only in the central part of Tohoku. Kosuga et al. (2013) reported that the earthquake
492 swarm in northern Tohoku (N1) also exhibits a distinct migration behavior of hypocenters.
493 Namely, both the differential stress and the pore pressure increased in this cluster after
494 the Tohoku-Oki earthquake. Delayed triggered swarms with the migration behaviors of
495 hypocenters were also observed after the Mw 7.8 Dusky Sound and the Mw 7.1 Darfield
496 earthquake in New Zealand probably due to the fluid diffusion (Boese et al., 2014). These
497 observations suggest that the pore pressure change after the occurrence of a large
498 earthquake more or less universally plays an important role for subsequent earthquake
499 sequences in association with the stress change.

500

501 **4.2 A possible cause of heterogeneity of stress field**

502 We observed the spatial heterogeneities in stress field in inland Tohoku even before
503 the Tohoku-Oki earthquake (Fig. 8b) based on the stress tensor inversion analyses. The
504 stress tensor inversion methods (e.g., Gephart & Forsyth, 1984; Michael, 1987) were
505 devised to avoid the effects of the bias in fault planes (McKenzie, 1969) by utilizing the
506 diversity of focal mechanisms. It is still difficult, however, to completely distinguish the
507 effect of the fault plane bias from the variations of stress field (Townend, 2006). In fact,
508 estimation errors of focal mechanism lead to apparent diversity of focal mechanism.

509 Furthermore, even small earthquakes can perturb the nearby stress field, which violates
510 the assumption of the uniform stress orientation in the considered volume. One way to
511 remove this effect is the use of declustered seismicity catalogue as advocated by
512 Martinez-Garzon et al. (2016b); however, this reduces the number of available focal
513 mechanism data to examine the detailed spatial variation. Therefore, in this study, we
514 have been focusing on a relatively large-scale pattern of stress fields and have discussed
515 the variation in stress fields with such a length scale. Hypocenters of events with
516 anomalous focal mechanisms that occurred after the Tohoku-Oki earthquake are
517 concentrated at several locations in clusters (N1, N2, S1), rather than being distributed
518 homogeneously throughout the Tohoku region (Fig. 2a), which suggests that the
519 predominant length scales of the heterogeneity of stress field roughly correspond to those
520 of the clusters (> 10 km).

521 To confirm the existence of the spatial heterogeneity in stress fields, we used the
522 angle between the direction of the slip and the maximum resolved shear stress computed
523 from the regional stress field (misfit angle). For that, we first performed the stress tensor
524 inversion by assuming that the stress field is uniform in the entire Tohoku, and computed
525 misfit angle of each focal mechanism. The obtained stress field shows the WNW-ESE
526 compressional reverse faulting stress regime (Fig. 14a) with the mean misfit angle of

527 approximately 35° (Fig. 14b). We selected the fault plane from the two nodal planes as
528 having the smaller misfit angle. We then computed the mean values of misfit angles at
529 each grid node based on focal mechanism data used for the stress tensor inversions in Fig.
530 8. The spatial distribution of misfit angles in Fig. 14 (c) indicates a deviation of the stress
531 field from the WNW-ESE compressional reverse faulting stress regime (Fig. 14a). The
532 spatial variation is consistent with the stress tensor inversion results in Fig. 8(a). In
533 particular, mean misfit angles are high in the focal regions of earthquakes that occurred
534 after the 2011 Tohoku-Oki earthquake (N1, N2, N3 and S1), which confirms that these
535 earthquakes occurred in regions with anomalous stress field.

536 One possible explanation of the local stress heterogeneities is the effect of the static
537 stress change of large earthquakes that occurred previously. The static stress change of an
538 earthquake can rotate the principal stress axes in and around the source region (e.g.,
539 Hardebeck & Hauksson, 2000; Wesson & Boyd, 2007), if magnitudes of deviatoric stress
540 tensor components of the background stress field are small compared to those of the static
541 stress change. The differential stress magnitude in inland Tohoku is estimated to be $< \sim$ a
542 few tens of MPa based on the correlation of orientations of the principal stress axes with
543 the topography (Yoshida et al., 2015a). This value of the differential stress is similar to
544 that estimated by Hasegawa et al. (2011) for the source area of the Tohoku-Oki earthquake

545 based on the stress rotation observed after the earthquake. Indeed, spatial heterogeneities
546 in the stress orientations have been detected in the focal regions of the recent three large
547 earthquakes in inland Tohoku: the 2003 M6.3 Northern Miyagi Prefecture earthquake
548 (Yoshida et al., 2016c), the 2008 M7.2 Iwate-Miyagi Nairiku earthquake (Yoshida et al.,
549 2014), and the 2011 M7.0 Fukushima-Hamadori earthquake (Yoshida et al., 2015b). The
550 spatial patterns of the principal stress axes in their source areas after the mainshocks are
551 well explained by the static stress change of those mainshocks, suggesting that the
552 differential stress magnitude in inland Tohoku is very small ($<$ a few ten MPa) and the
553 effects of large earthquake can produce the spatial heterogeneities in stress orientations.

554 Conversely, if differential stress magnitude in Tohoku is small (less than a few tens
555 of MPa), the principal stress axes should rotate by various effect such as effects of the
556 topography and the static stress change of a large earthquake. The approximate focal
557 regions of large ($M > 6.5$) earthquakes that occurred in Tohoku before 1950 listed by
558 Usami (2003) are shown in Fig. 8 (a) by circles. The focal regions indicated roughly
559 correspond to the regions with anomalous stress orientations. This suggests the possibility
560 that the stress axes locally rotated in these regions after the large earthquakes, and this
561 time the earthquake sequences were triggered by the Tohoku-Oki earthquake because of
562 the local increase in differential stress due to the stress heterogeneities thus produced.

563 The stress field in northern Tohoku is characterized by the WNW-ESE compression
564 as well as the N-S compression depending on location (Fig. 8a). This suggests the
565 existence of other causes of the regional stress in northern Tohoku besides the relative
566 movement of the Pacific plate and the overriding plate. Seno (1999) assumed a higher
567 magnitude of N-S compressional stress in central and eastern Japan than in western Japan
568 because of the collision of the Izu Peninsula with central and eastern Japan from south
569 (Matsuda, 1978). In fact, the orientation of σ_1 -axis is rotated to NNW-SSE direction
570 locally near the Izu Peninsula (Ukawa et al., 1982). The sliver motion of the Kuril fore-
571 arc located just north (e.g., Kimura, 1986; Acocella et al., 2008) might not only sustain
572 the collision force but also increase N-S compressional force in northern Tohoku. The
573 gravitational collapse of the mountain range (Wang & He, 1999) might reduce the WNW-
574 ESE compressional stress.

575

576 **5. Conclusions**

577 We examined the spatial variation in stress field in inland Tohoku to understand the
578 triggering mechanisms of earthquake sequences by the 2011 Tohoku-Okai earthquake that
579 occurred in the stress shadow. Focal mechanisms of shallow earthquakes in inland Tohoku
580 are newly determined based on the P-wave first motion polarity data, and we inverted

581 them for the stress orientations.

582 The obtained spatial distribution of the stress orientation shows some variations in
583 inland Tohoku even before the Tohoku-Oki earthquake. Earthquake clusters triggered by
584 the Tohoku-Oki earthquake tend to correspond to the regions in which the orientations of
585 the background stress field are locally similar to those of the static stress change of the
586 earthquake. This observation suggests that those earthquake clusters were triggered by
587 the local increase in shear stress due to the static stress change, which was caused by the
588 spatial heterogeneity of the stress orientation, already existed before the Tohoku-Oki
589 earthquake.

590 A few earthquake swarms, however, were triggered in central Tohoku where
591 differential stress decreased by the static stress change of the Tohoku-Oki earthquake. All
592 the earthquake swarms have notable characteristics including delays of initiation time of
593 seismic activity by a few days to a few weeks, upward migrations of hypocenters along
594 several thin planes, and high b-values. Such features are similar to the fluid-injection
595 induced seismicity. The source regions of these earthquake swarms are located near the
596 ancient caldera structures and the major geological boundaries (Yoshida et al., 2014). The
597 swarm activities are probably triggered by the upward fluid movement along such pre-
598 existing structures, which was facilitated by the WNW-ESE extension associated with the

599 Tohoku-Oki earthquake. These observations demonstrate that information about the
600 temporal evolutions of stress and frictional strength are necessary to understand the
601 triggering mechanism of earthquakes.

602

603

604 **Acknowledgments**

605 We would like to thank the editor Y. Ben-Zion, an associate editor, and two
606 anonymous reviewers for their constructive comments which helped improve
607 the manuscript. The figures in the present paper were created using GMT (Wessel and
608 Smith, 1998). The present study was partly supported by MEXT KAKENHI (No.
609 26109002).

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915

916

917 Figure 1. Hypocenter distribution of shallow earthquakes ($z < 40$ km) that occurred
918 after the 2011 Tohoku-Oki earthquake in the overriding plate. Dots show hypocenters
919 of earthquakes listed in the JMA unified catalogue during the four-year period from
920 March 11, 2011 with the JMA magnitude equal to or greater than 1.5. In this study,
921 we focus on inland earthquakes shown by black colors. Other earthquakes are also
922 shown by green. The rectangle with broken lines indicates the target region of this
923 study. The black contours show the coseismic slip distribution of the Tohoku-Oki
924 earthquake determined by Iinuma et al. (2012). Red and blue arrows show the
925 orientations of the maximum principal stress (σ_1) axis and the minimum principal
926 stress (σ_3) axis, respectively, at 10 km depth caused by the static stress change due
927 to the Tohoku-Oki earthquake based on the elastic dislocation model of Okada (1992).
928 The length of arrows varies according to the steepness of the plunge (i.e., shorter
929 arrows are more steeply inclined). NA: North American plate, PA: Pacific plate. The
930 arrow indicates the plate convergence direction.

931

932 Figure 2. Seismicity before and after the 2011 Tohoku-Oki earthquake. (a) Shallow
933 earthquakes ($z < 40$ km) after the Tohoku-Oki earthquake (2011/3/11-2012).
934 Hypocenters are shown by red circles. (b) Shallow earthquakes before (1997-

935 2011/3/11) the Tohoku-Oki earthquake are plotted over those after (2011/3/11-2012)
936 the earthquake. Hypocenters are shown by gray circles (before) and red circles (after).
937 The rectangles denote focal regions of intense seismicity after the earthquake. Major
938 geological boundaries are shown by broken curves (Yoshida et al., 2014). (c) Space-
939 time plot of earthquakes before and after the Tohoku-Oki earthquake in inland
940 Tohoku. The occurrence time is plotted against latitude by black dots for 300 day
941 periods before and after the Tohoku-Oki earthquake.

942

943 Figure 3. Temporal distributions of earthquake number for 150 day period before and
944 after the Tohoku-Oki earthquake for eight earthquake clusters indicated in Fig. 2 (b).
945 Cut off magnitude was set at 2.0. The blue curves show the cumulative number of
946 earthquakes.

947

948 Figure 4. Distributions of P- and T-axes of focal mechanisms before and after the
949 Tohoku-Oki earthquake. Focal mechanism data are the same as those in Yoshida et
950 al. (2012) and Hasegawa et al. (2012). P-axes before and after the earthquake are
951 shown in (a) and (b), respectively. T-axes before and after the earthquake are shown
952 in (c) and (d), respectively. Red, green, blue, and black colors show reverse faulting,

953 strike-slip faulting, normal faulting and odd type, respectively, based on the
954 classification of Frohlich (1992). Data periods of Yoshida et al. (2012) and Hasegawa
955 et al. (2012) are from 1997 to 20 July 2011 and from 2003 to 30 September 2011,
956 respectively.

957

958 Figure 5. Principal stress axes (a) before and (b) after the Tohoku-Oki earthquake and
959 (c) the static stress change of the earthquake in the overriding plate. Stress tensor
960 inversion results and the static stress change by Yoshida et al. (2012) and Hasegawa
961 et al. (2012) are shown by arrows. Red and blue arrows show σ_1 and σ_3 axes,
962 respectively. Dark arrows in (c) highlight the results in the region where the stress
963 tensor inversion is performed both before and after the Tohoku-Oki earthquake. The
964 length of arrows varies according to the steepness of the plunge (i.e., shorter arrows
965 are more steeply inclined).

966

967 Figure 6. A schematic illustration explaining the drastic change of focal mechanisms
968 after the earthquake by the spatial change in stress field. Orientations of principal
969 stress axis are represented by beach-balls. P-, B-, and T-axes corresponds to σ_1 , σ_2 ,
970 and σ_3 axes, respectively. (a) Stress field before the earthquake. (b) Static stress

971 change caused by the earthquake. (c) Resultant stress field. Red and blue indicate
972 increase and decrease in differential stress, respectively.

973

974

975 Figure 7. Distribution of focal mechanism data used in this study. Red, green, blue,
976 and black beach-balls show reverse faulting, strike-slip faulting, normal faulting, and
977 odd type, respectively, based on the classification of Frohlich (1992).

978

979 Figure 8. Stress field before the Tohoku-Oki earthquake and the static stress change.

980 (a) Orientations of the observed maximum horizontal compressive stress σ_{HMAX}

981 measured in degrees clockwise from north determined based on the first approach

982 described in Section 3.2. Orientations of σ_{HMAX} are shown by the color scale at

983 the left top. (b) Orientations of the best fit σ_1 and σ_3 axes projected onto a gridded

984 horizontal plane determined based on the second approach described in Section 3.2.

985 (c) Orientations of σ_1 and σ_3 axes of the static stress change of the Tohoku-Oki

986 earthquake at 20 km depth. In Fig. 8(b) and Fig. 8(c), σ_1 and σ_3 axes are indicated

987 by red and blue arrows, respectively, at each grid reference. The length of arrows

988 varies according to the steepness of the plunge (i.e., shorter arrows are more steeply

989 inclined).

990

991 Figure 9. Spatial distribution of increase or decrease of differential stress by the static
992 stress change of the Tohoku-Oki earthquake. Differential stress change is shown by
993 the color scale.

994

995 Figure 10. Hypocenter distribution of earthquakes in central Tohoku before and after
996 the Tohoku-Oki earthquake. Gray and blue circles show hypocenters before and after
997 the earthquake, respectively. Beach-balls show focal mechanisms of events in the
998 earthquake sequences C1, C2, and C3 listed in the F-net moment tensor catalogue
999 (Fukuyama et al., 1998, 2003) and the JMA catalogue. Major geological boundaries
1000 are shown by broken curves (Yoshida et al., 2014).

1001

1002 Figure 11. Hypocenter distribution of the earthquake swarm C1 in the Yamagata-
1003 Fukushima border. Hypocenters were relocated by Yoshida et al. (2018b). (a) Map
1004 view showing hypocenter migration. Dots show hypocenters of earthquakes for the
1005 period of 800 days from the beginning of the swarm activity. Elapsed time after the
1006 Tohoku-Oki earthquake is shown by the color scale. The thin broken line denotes the

1007 border line between Yamagata and Fukushima prefectures. The thick broken line
1008 denotes the rim of the Ohtoge caldera (Kanisawa et al., 2006). (b)-(f) Cross-sectional
1009 views showing hypocenter migration along five discrete planes in the western cluster
1010 of this earthquake swarm. Hypocenters are shown separately on the five discrete
1011 planes plotted on a vertical cross section along the solid line shown in (a). Color scale
1012 shows the sequence of earthquake occurrence ordered by time. Sizes of circles
1013 correspond to fault diameter assuming a stress drop of 10 MPa. Gray circles show
1014 hypocenters of other earthquakes.

1015

1016 Figure 12. Depth–time plots of the hypocenters of events in the three earthquake
1017 sequences in central Tohoku for (a) the Yamagata-Fukushima border swarm C1; (b)
1018 the Sendai-Okura swarm C2; (c) the Yamagata swarm C3.

1019

1020 Figure 13. Magnitude-frequency distributions of earthquakes in the earthquake
1021 clusters. Black dots indicate the cumulative number of earthquakes. Red broken lines
1022 show the best-fit Gutenberg-Richter relation. Blue inverted triangles indicate the cut-
1023 off magnitude for the fitting of the Gutenberg-Richter relation.

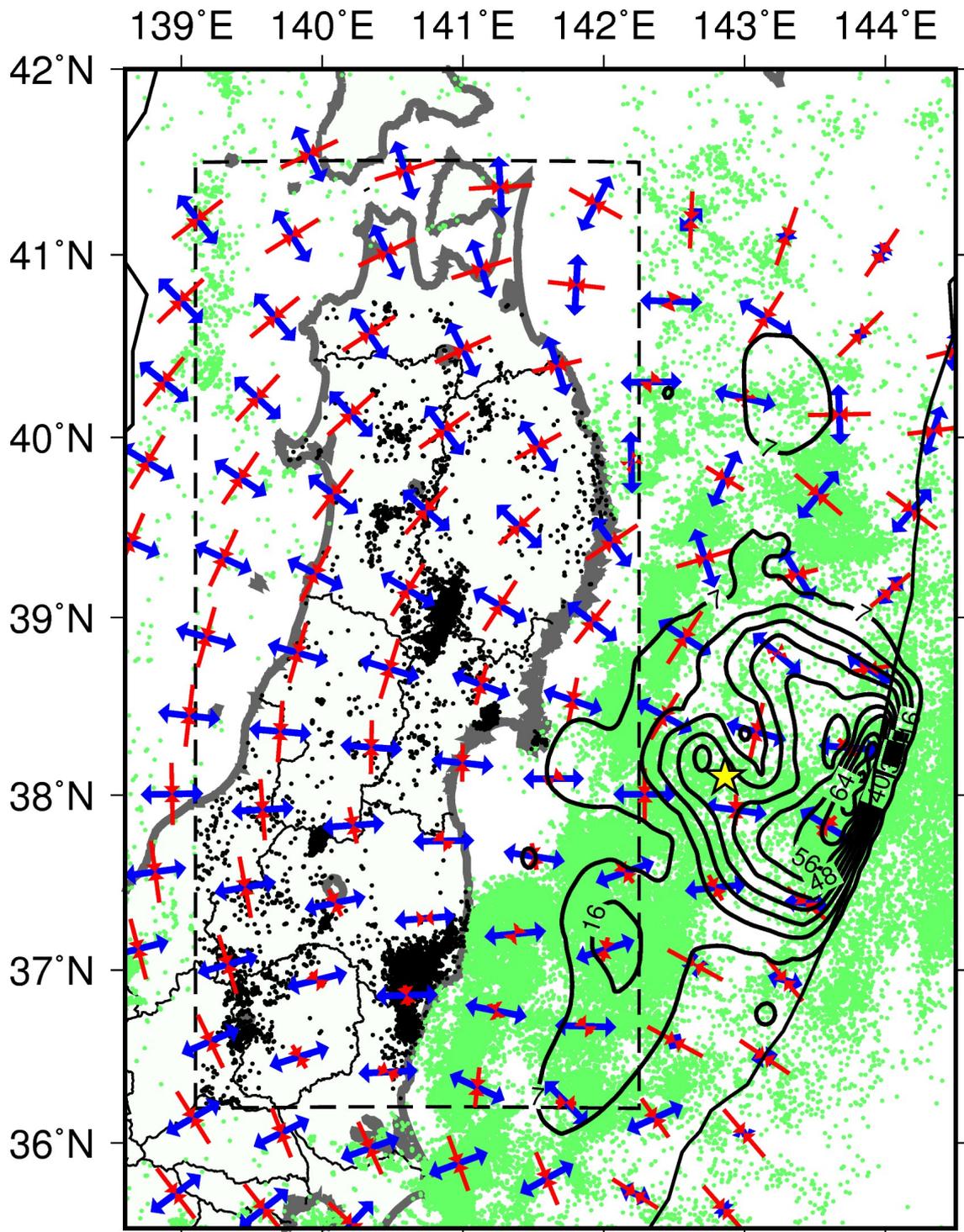
1024

1025 Figure 14. (a) Principal stress axis orientations determined by the stress tensor
1026 inversion based on all the focal mechanism data in Tohoku. Red, green, and blue
1027 circles show σ_1 , the σ_2 , and σ_3 -axes, respectively. Circles denote the best-fit solution.
1028 (b) Histogram showing the frequency distribution of misfit angles. (c) Spatial
1029 distribution of the mean values of misfit angles of focal mechanisms. The mean
1030 values are plotted at the same grids used in the stress tensor inversion of Fig. 8(a).

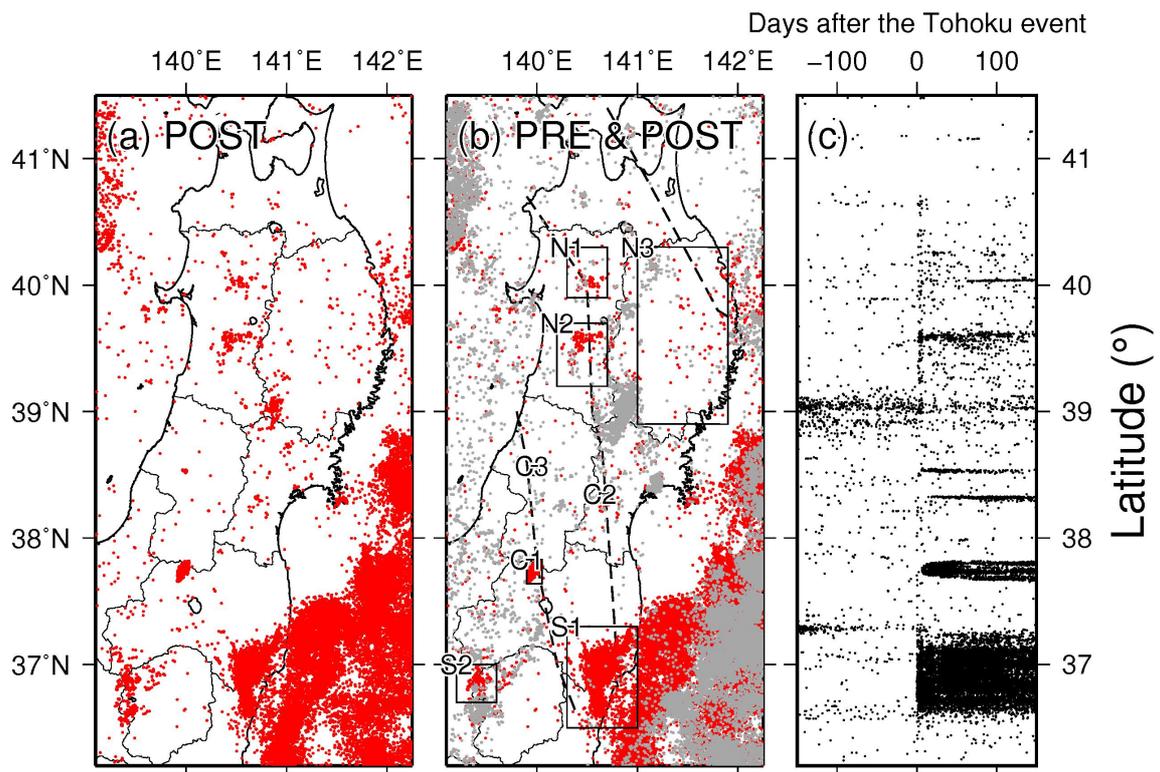
1031

1032 Figure S1. Examples of focal mechanism solutions determined by the present study.
1033 (a) Focal mechanisms evaluated as rank A and (b) those as rank B by the criteria of
1034 Hardebeck and Shearer (2002). The frequency distributions of the number of polarity
1035 data used for the determinations are shown in (c) for focal mechanisms with rank A
1036 and in (e) for those with rank B. The frequency distributions of average RMS angular
1037 differences between the best solutions to their acceptable solutions are shown in (d)
1038 for focal mechanisms with rank A and in (f) for those with rank B.

1039



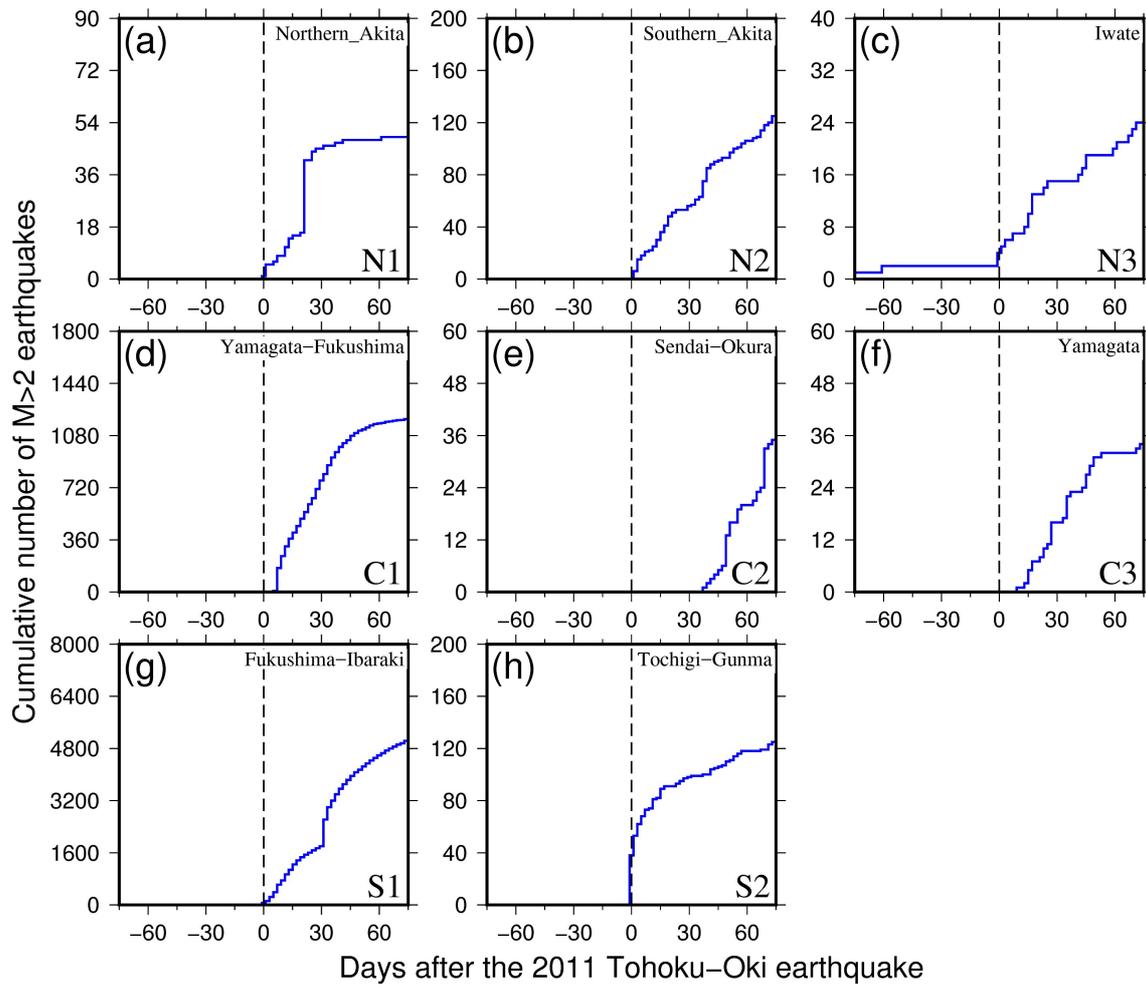
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 1042 Figure 1
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1044

1045

1046 Figure 2



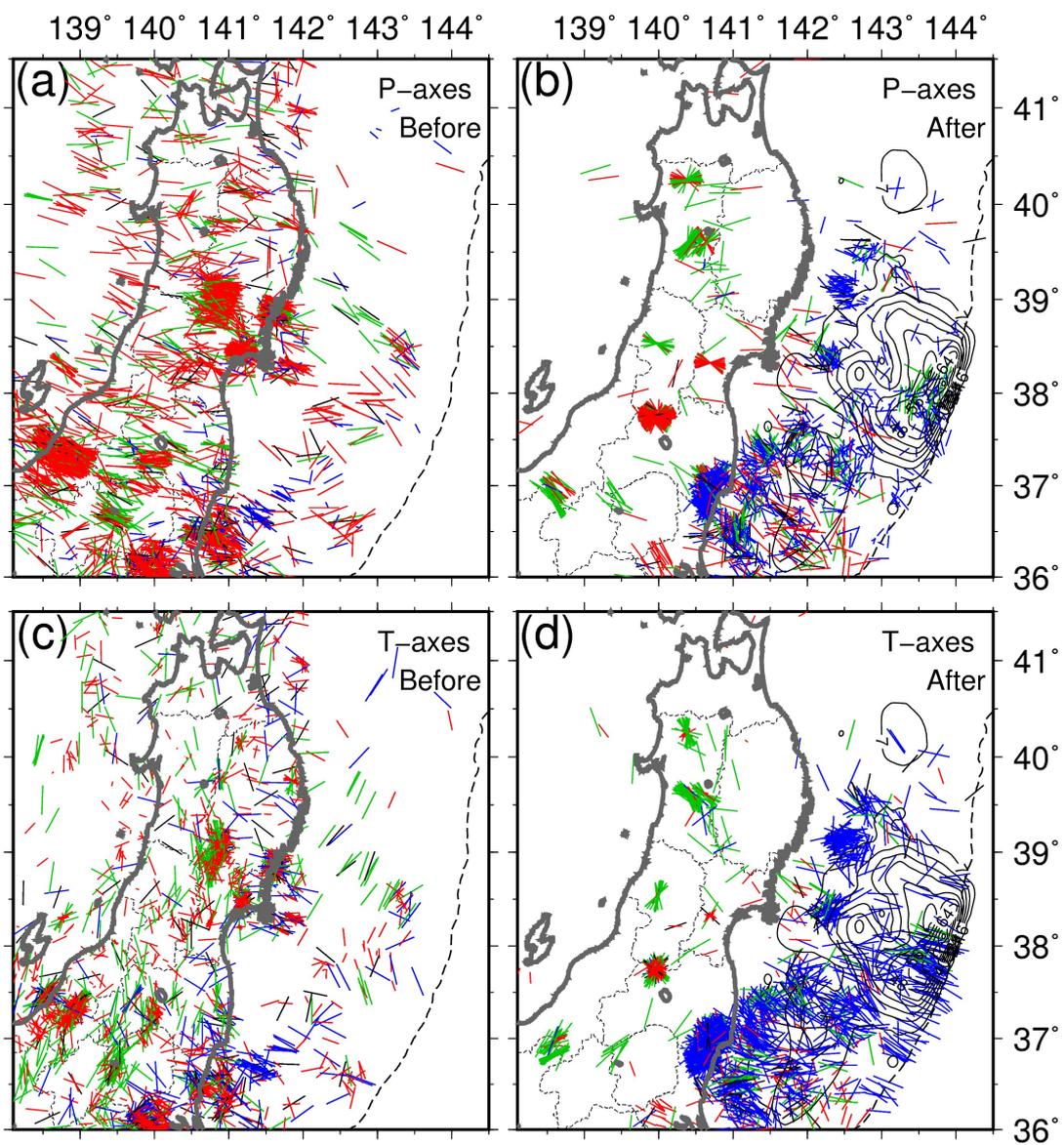
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1049 Figure 3

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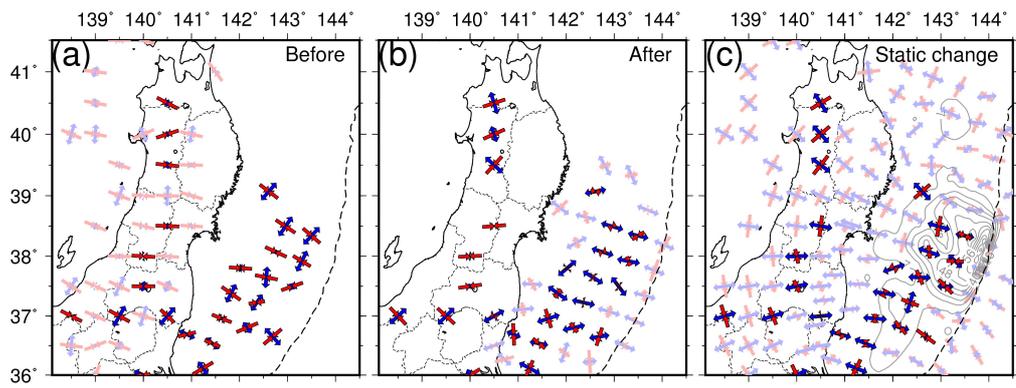
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1053 Figure 4

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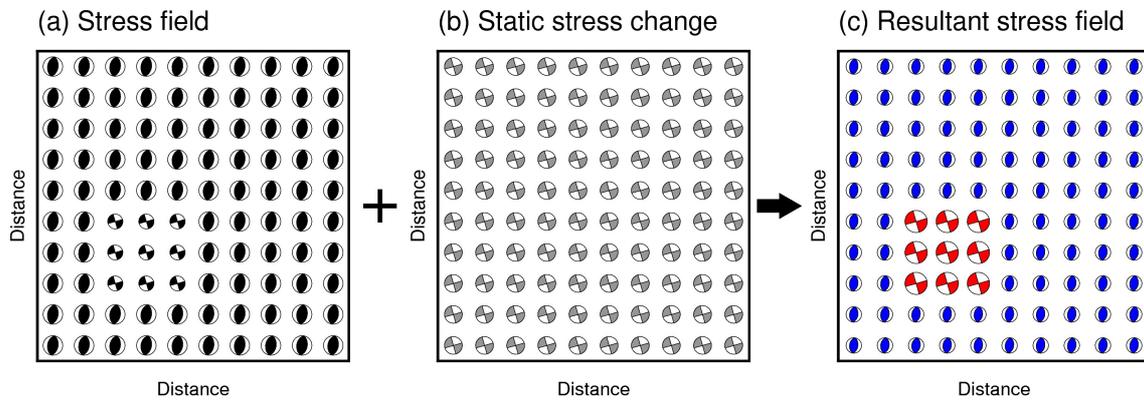
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1057 Figure 5

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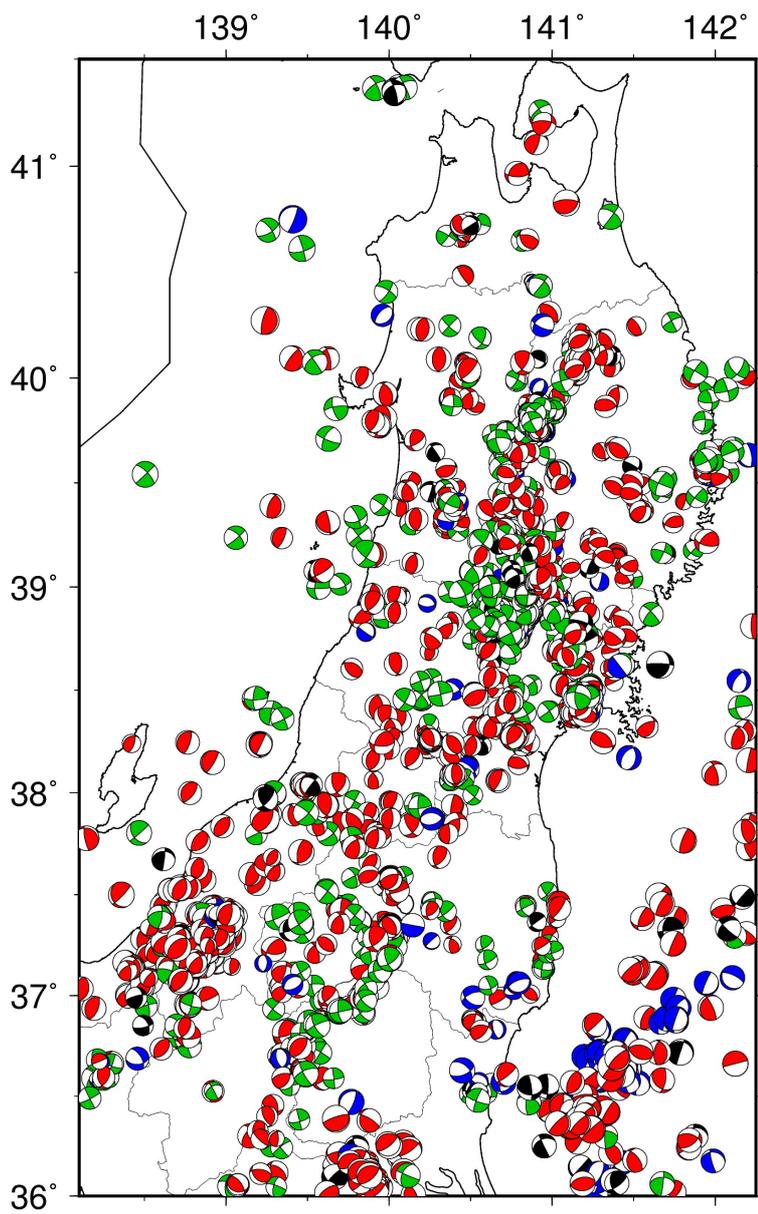


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1062 Figure 6

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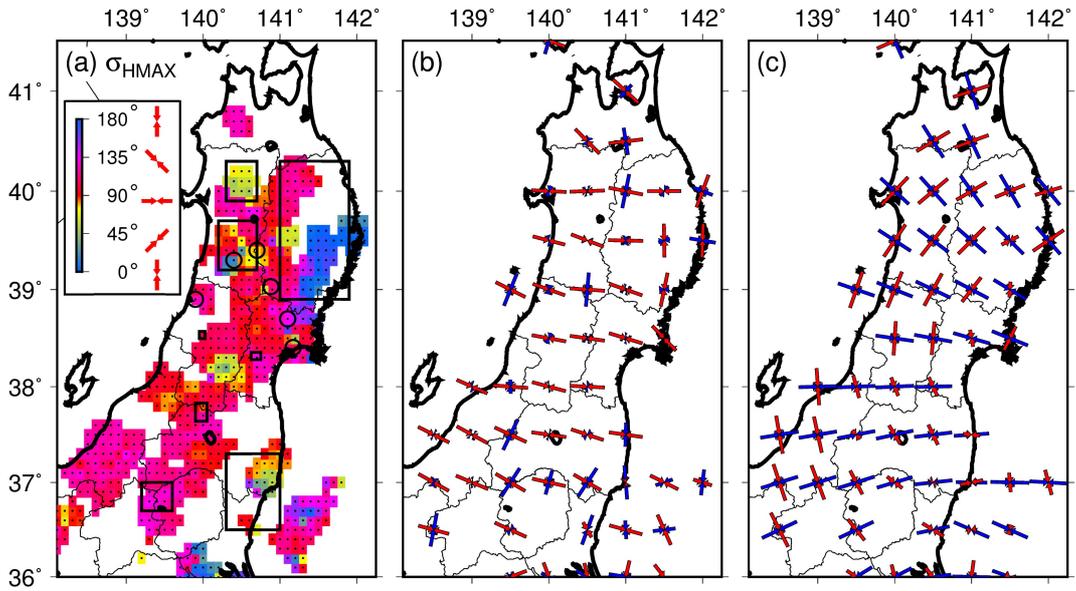


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1066 Figure 7

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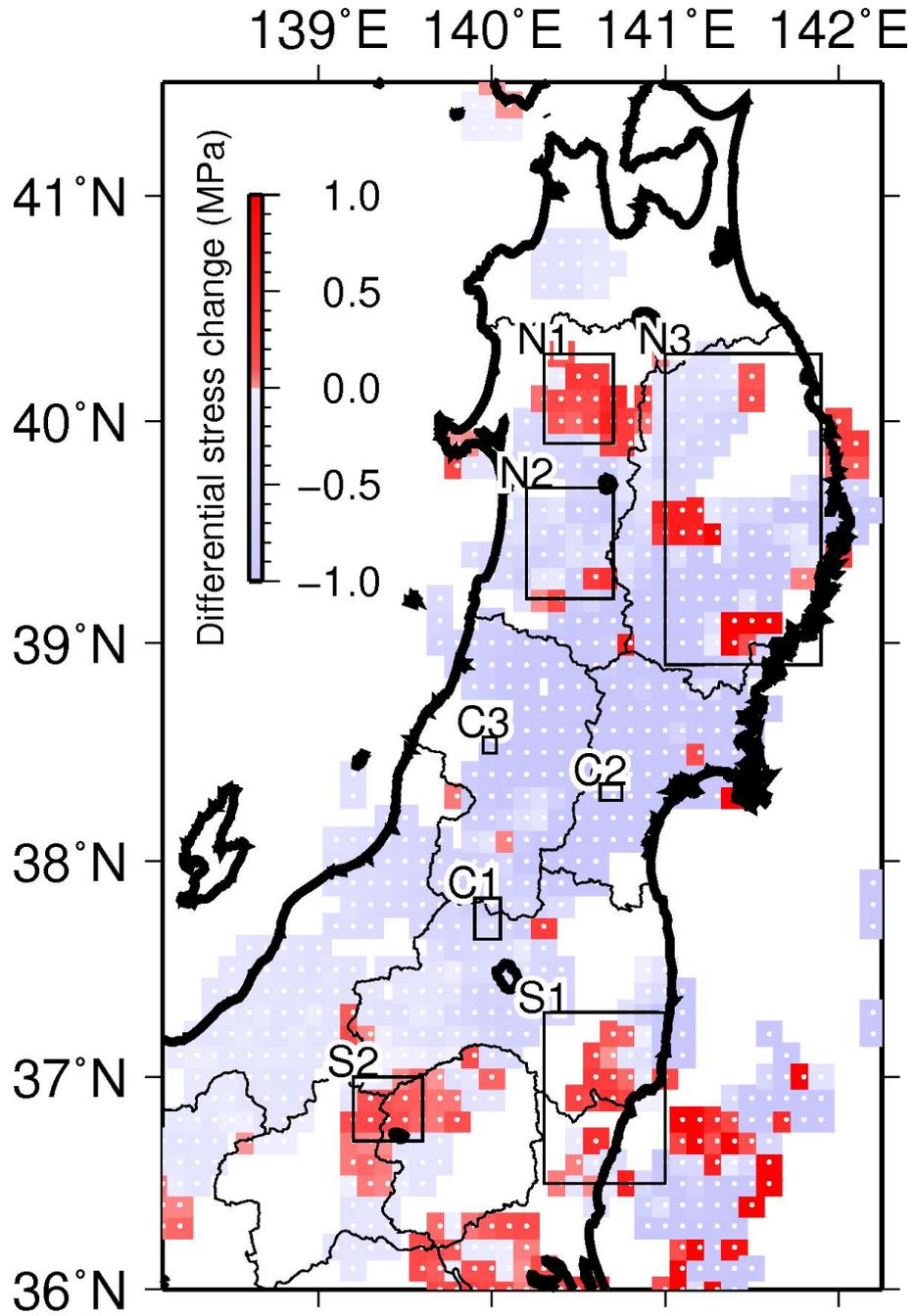
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1070 Figure 8

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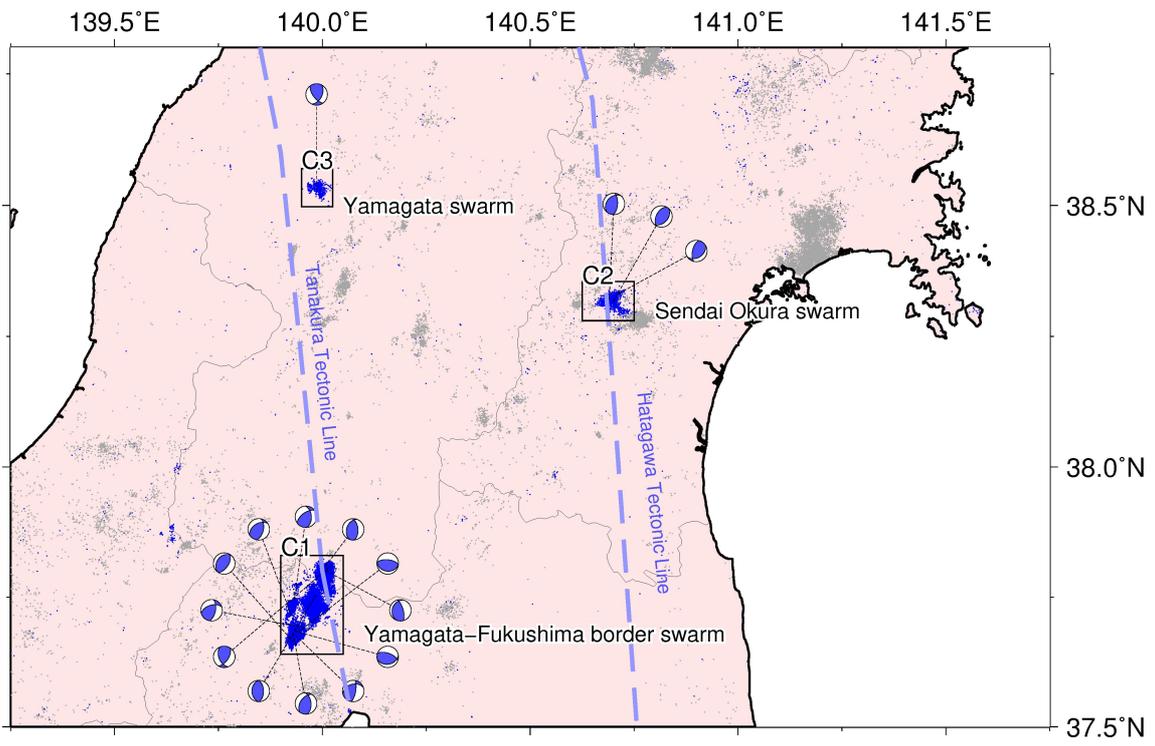


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1075 Figure 9

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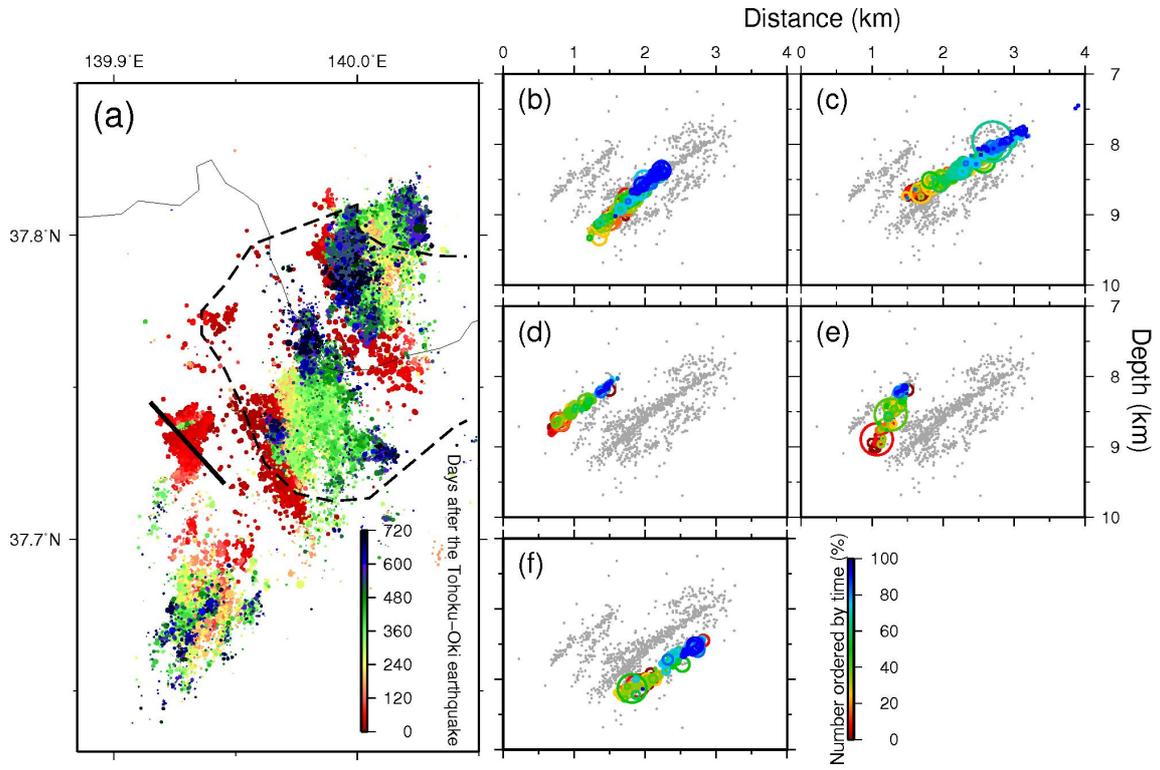
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1079 Figure 10

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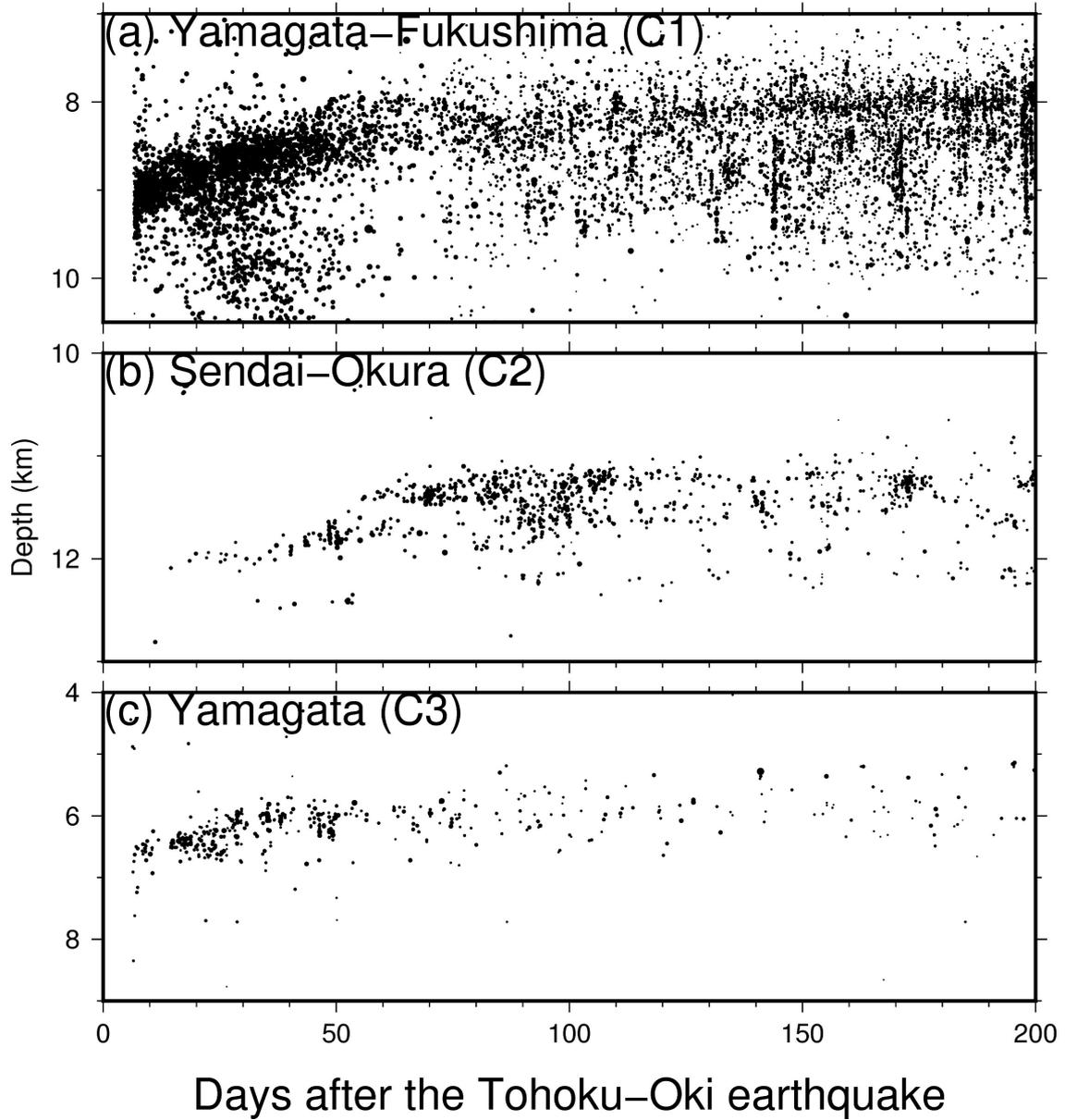


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1084 Figure 11

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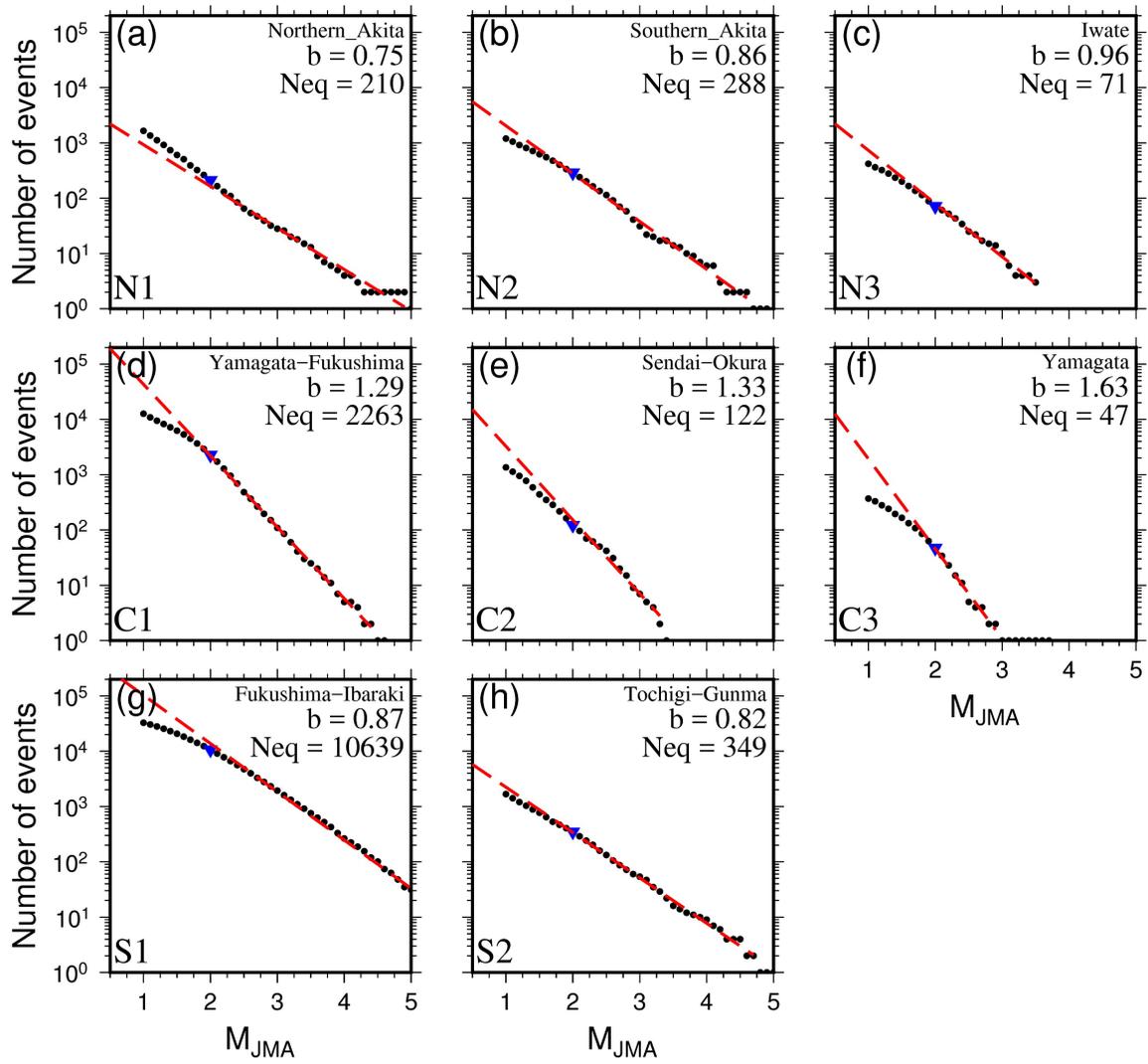


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1088 Figure 12

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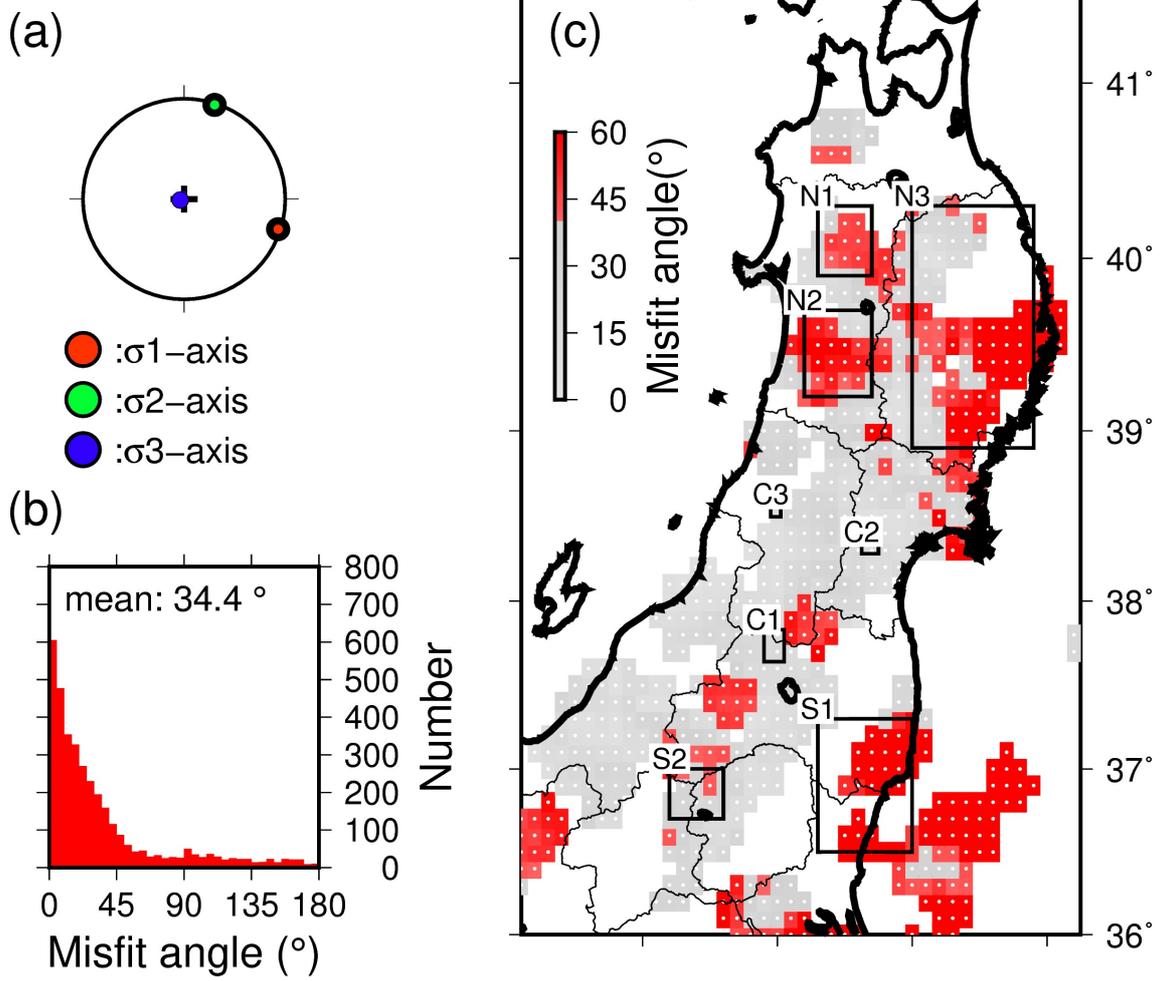
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1092 Figure 13

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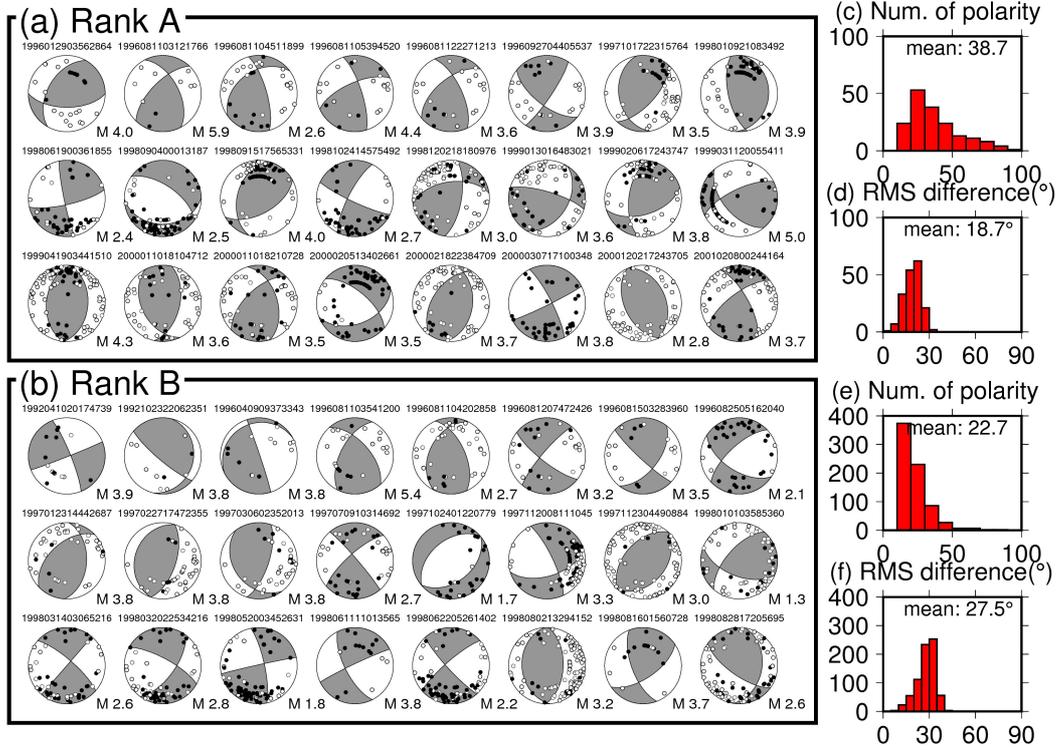
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1098 Figure 14

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1103 Figure S1