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Accessibility to the knowledge on anatomical variations from dentomaxillofacial CBCT

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Abstract

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Objective: to investigate the accessibility of open access article on anatomical variations described on cone beam computed tomography (CBCT) using PubMed database. We wanted to investigate how many journals are sharing articles without pay-wall and how many are sharing articles without author publication charges.

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Material and methods: a search equation was designed with exclusion criteria limiting the search in PubMed to articles published in English and French. The search was performed by one observer. We had found 2228 articles; among them 709 were accessible as 'full text'. After applying exclusion criteria and after full text reading only 50 articles remained for the review.

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Results: the 50 selected articles shared 306 annotated (visual marking, explanation like arrows) and 432 not annotated figures with the public. The 76% of articles were single studies on one specific topic. The main topic was endodontics with 22 articles. 28 journals from all continents participated in the effort of sharing of figures on anatomical variations from CBCT. However, only 2 journals were completely free of charges for authors and readers.

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Conclusions: we have found only 15 annotated and 3 not annotated figures in 2 articles published in 2 different open access journals (without reader pay-wall and without author publication charges). Sharing the knowledge on anatomical variations from dentomaxillofacial CBCT represents an exception in dental literature.

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Keywords: open access, open science, anatomical variations, CBCT

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Introduction

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One of most important European recommendations for the good practical use of cone beam computed tomography (CBCT) in dentomaxillofacial radiology based on conclusions from European project SedentexCT from 2011 (<http://www.sedentexct.eu/>), is that a clinician (dentist, maxillofacial surgeon) is responsible of all of the CBCT field of view. Therefore, the sound knowledge of radiological anatomy, including anatomical variations, and of radiological signs of diseases from dentomaxillofacial area on CBCT examination should represent new skills to acquire by general and specialized practitioners.

Incidental findings and anatomical variations [1] should be of interest for dentists using CBCT in daily practice [2, 3]. Multiple retrospective studies on incidental findings on CBCT [4, 5] were already performed on diverse human populations such as in Germany (1029 CBCT) [6], United States (between 200 and 1000 CBCT depending of a study) [7-11], Canada (427 CBCT [12] and 7689 CBCT specifically about clivus and cervical spine [13]), Brazil (150 CBCT) [5-14], Switzerland (999 CBCT) [15], India (201 CBCT of maxillary sinus) [16], Iran (198 CBCT of maxillary sinuses) [17], Turkey (207 CBCT) [18], and South Korea (500 CBCT) [19].

All these studies shown different frequencies of anatomical variations and incidental findings depending of a given population. These studies emphasized on the major role of education of dentist in recognition of incidental findings and of anatomical variations, and on dentist responsibility in verifying all the CBCT field of view.

Education and self-education of general and specialized dentists on anatomical variations found in CBCT examination is based on the accessibility to the reference articles and annotated figures from freely accessible major database such as PubMed.

Currently many articles are hidden behind pay-walls and their access is limited. Therefore, we hypothesized that there should exist a major lack of free and accessible articles and of figures showing and explaining anatomical variations from CBCT because of current predominant economical model of scientific publication.

We wanted to know in the present study how many figures were shared with the public without payment and what were the types of anatomical variations described on CBCT and accessible for free from PubMed. We also wanted to analyze how many figures were annotated (with clear visual information e.g. arrows showing anatomical details, variations, diseases), and thus addressed to general public, and

88 how many figures were not annotated and addressed to specialized public. Finally,
89 we wanted to know what kind of journals published free figures accessible for
90 readers, and if the publication process was also free for authors.

91 **Materials and methods**

92 The search equation was performed on PubMed database on 17.06.2019 by one
93 observer. The search equation was as following: CBCT [All Fields] AND ("anatomy
94 and histology"[Subheading] OR ("anatomy"[All Fields] AND "histology"[All
95 Fields]) OR "anatomy and histology"[All Fields] OR "anatomy"[All Fields] OR
96 "anatomy"[MeSH Terms]) 17.06.2019.

97 There was no time limit (from 1948), but in the practical terms dental CBCT related
98 articles appeared from 1998 onwards. The selected languages were English and
99 French. Exclusion criteria were: all articles out of the scope of the present study,
100 articles not involving any description of anatomical findings from CBCT, in vitro
101 studies, experimental studies, animal studies, studies in languages other than English
102 and French. We also excluded articles with figures describing methods (i.e.,
103 measurements) and not describing anatomy or anatomical variations.

104 The selection was first performed on title and abstract then the selected articles were
105 read in full-text by one observer. We found a total of 2228 articles. Among 2228
106 articles there were 709 articles that were free full-text AND full-text (31.82%). After
107 applying exclusion criteria and after a full-text review we found 50 articles
108 corresponding to our search.

109 The search of information on journals publishing policies was performed on official
110 web pages of journals (instructions for authors, copyrights licenses). We especially
111 wanted to know about country of publisher, or publishing company behind the
112 journal title, on open access policies, on the type of proposed license, on author
113 publication charges, on fees at submission, on fees for evaluation, on fees for
114 technical review, and on fees for printing version.

115 **Results**

116 The 50 selected articles shared 306 annotated and 432 not annotated figures with
117 the public (Table 1). The 10 main areas of investigation included 1) endodontics:
118 22/50 (44%) articles, with 120/306 (39.21%) annotated, and 169/432 (39.12%) not
119 annotated figures; 2) morphology of the maxilla: 6/50 articles (12%), with 4/306
120 (7.84%) annotated, and 32/432 (7.4%) not annotated figures; 3) morphology of the
121 skull base: 5/50 articles (10%), with 23/306 (7.51%) annotated, and 24/432 (5.55%)
122 not annotated figures; 4) bone diseases: 4/50 articles (8%), with 28/306 (9.15
123 %) annotated, and 12/432 (2.77%) not annotated figures; 5) morphology of cervical
124 spine: 3/50 articles (6%), with 35/306 (11.43%) annotated, and 5/432 (1.15%) not
125 annotated figures; 6) morphology of temporomandibular joint (TMJ): 3/50 (6%)

126 articles, with 8/306 (2.61%) annotated, and 79/432 (18.28%) not annotated figures;
 127 7) mandible: 3/50 articles (6%), with 19/306 (6.2%) annotated, and 15/432 (3.47%)
 128 not annotated figures; 8) orthodontics: 2/50 articles (4%), with 11/306 (3.59
 129 %) annotated, and 65/432 (15.04%) not annotated figures; 9) dentomaxillofacial
 130 radiology (general): 1/50 articles (2%), with 16/306 (5.22%) annotated, and 13/432
 131 (3%) not annotated figures; 10) periodontics: 1/50 articles (2%), with 3/306 (0.98%)
 132 annotated, and 3/432 (0.69%) not annotated figures.

133 The 38/50 (76%) articles are single studies on one specific topic. Only 3 topics
 134 (endodontic study on teeth 17 and 27, endodontic study on teeth 37 and 47, and
 135 description of variations of ponticulus posticus in C1 vertebra) are presented in 2
 136 studies. Two topics (endodontic study on teeth 16 and 26, and one study on root
 137 fractures) are described in 3 studies. Number of figures with annotations vary from 1
 138 to 31 per article, and without annotations from 1 to 69 per article.

140 **Table 1. Sharing of figures and type of studied anatomical variations**
 141 **from CBCT.**

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Type of studies	Number of articles	Figures with annotation	Figures without annotation
Endodontics			
Teeth 41, 42 and 31, 32 [20]	1	2	3
Teeth 33 to 43 [21]	1	3	5
Teeth 34 and 44 [22]	1	2	2
Teeth 35 and 45 [23]	1	2	14
Teeth 34, 35 and 44, 45 [24]	1	5	35
Teeth 36, 37 and 46, 47 [25]	1	6	14
Teeth 37 and 47 [26, 27]	2	19	4
Teeth 36-38 and 46-48 [28]	1	3	4
Teeth 16-18 and 26-28 [29]	1	27	24
Teeth 16 and 26 [30-32]	3	5	7
Teeth 17 and 27 [33, 34]	2	4	3
Premolars maxilla and mandible [35]	1	6	5
Full mouth [36]	1	2	1
Root fracture [37-39]	3	24	34
Incisors [40]	1	4	12
Dens invaginatus [41]	1	6	2
Total endodontics	22	120	169
Maxilla			
Infraorbital foramen [42]	1	4	2
Canalis sinuosum [43]	1	2	2
Greater palatine grooves	1	4	1

[44]			
Maxillary sinus [45]	1	9	12
Nasopalatine canal [46]	1	4	7
Maxillary sinus septa [47]	1	1	8
Total maxilla	6	24	32
Skull base			
Sphenoid sinus [48]	1	3	3
Pneumatisation of parapharyngeal space [49]	1	12	1
Foramen tympanicum or foramen of Huschke [50]	1	4	6
Pneumatization of the articular eminence [51]	1	1	5
Sphenooccipital synchondrosis [52]	1	3	9
Total skull base	5	23	24
Bone diseases			
Chronical renal failure [53]	1	3	4
Dentigerous cyst [54]	1	14	1
Eosinophilic granuloma [55]	1	4	1
Mixt mandibular lesions [56]	1	7	6
Total bone diseases	4	28	12
Cervical spine			
General [57]	1	4	1
Ponticulus posticus [58, 59]	2	31	4
Total cervical spine	3	35	5
Temporomandibular joint			
Idiopathic juvenile arthritis [60]	1	3	69
Idiopathic juvenile arthritis [61] (same authors group as [60])	1	2	9
General [62]	1	3	1
Total TMJ	3	8	79
Mandible			
Mental nerve loop [63]	1	3	10
Bifid mandibular canals	1	4	2

and retromolar foramina [64]			
Stafné bone cavities [65]	1	12	3
Total mandible	3	19	15
Orthodontics			
General [66]	1	5	24
Cleidocranial dysplasia [67]	1	6	41
Total orthodontics	2	11	65
Dentomaxillofacial radiology: general [68]	1	16	13
Periodontics (bone loss) [69]	1	3	3
Total	50	306	432

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28 journals participated in the effort to free sharing figures on anatomical variations from CBCT (Table 2). All continents were involved. The countries the most involved were USA (5 journal titles), UK (3 journal titles), Brazil (3 journal titles), India (3 journal titles), and Iran (3 journal titles). There were from 1 to 7 articles (Dentomaxillofacial radiology) published in these 28 journals. There were 11 journals (20 articles) published by 11 major professional publishers.

Table 2. Journals sharing figures of anatomical variations from CBCT.

	Open access license	Author publication charges (APC)	Fees at submission	Fees for review	Fees for technical review	Printing fees
South America						
Brazil Dent J [20, 37, 41] (Brazil)	YES	No information	NO	NO	200-300 USD	No information
Braz Oral Res [21, 43] (Brazil)	YES, CC-BY	No information	NO	NO	No information	No information
J Appl Oral Sci [34] (Brazil)	YES, CC-BY	NO	NO	NO	NO	NO
North America						
Head Face Med [26, 45, 67] (BMC Editor) (Springer Nature) (USA)	YES, CC-BY	2490 USD plus VAT	NO	NO	NO	No information
Med Sci Monit [28] (USA)	YES, CC-BY-NC-ND	2500 USD	NO	NO	NO	No information
PLoS One [36, 52] (Plos one, USA)	YES, CC-BY	1595 USD	NO	NO	NO	NO
Oral Surg Oral Med Oral Pathol	NO, 20 USD/article	2250 USD	NO	NO	NO	NO

Oral Radiol [59] (USA) (Mosby)						
Insights Imaging [68] Springer Open (USA)	YES, CC-BY	1822 USD plus VAT	NO	NO	NO	NO
Europe						
Eur J Dent [35] (Thieme, Germany)	YES, CC-BY-NC-ND	450 USD	No information	No information	No information	No information
Eur J Orthod [57] (Oxford University Press) (UK)	NO, 45 USD/article 771 USD/issue	4124 USD	NO	NO	NO	Color charges
Dentomaxillofac Radiol [39, 50, 51, 53, 62, 64, 66] (BIR, UK)	YES, CC-BY or CC-BY-NC (if author payed APC)	2702.2 USD	NO	NO	NO	NO
BMJ Case Rep [56] (UK) (BMJ Publishing Group)	NO, 37.50£/article	289.5 USD to become fellow/year	321 USD for open access	No information	No information	No information
Med Oral Patol Oral Cir Bucal [65, 69] (Spain)	YES, Articles free on PubMed	No information	No information	No information	No information	No information
Germes [49] (Romania)	YES, free articles on website	NO	NO	NO	NO	NO
Stomatologija (Baltic countries) [54, 60, 61]	YES, free articles on website	No information	No information	No information	No information	No information
Asia						
Med Princ Pract [47] (Kuwait) (Karger Publisher, CH)	YES, CC-BY-NC-ND	NO	NO	NO	NO	Color figures: 966.17 USD per page
Chin J Dent Res [38] (China)	NO information, pdf available for free on webpage	NO information	NO information	NO information	NO information	NO information
Iran Endod J (Iran) [32, 33, 40]	YES, CC-BY-NC-SA	450 USD	No information	250 USD: fast-track review in 4 weeks	No information	No information
J Dent (Shiraz) [48] (Iran)	NO information	135 USD	15 USD	No information	No information	No information
Acta Med Iran [55] (Iran)	YES, CC-BY-NC	White page on publication fees	No information	No information	No information	No information

J Conserv Dent [22, 27] (India)	NO (20 USD/article, pdf to buy)	No information	NO	YES, 60 USD	No information	No information
Indian J Dent Res [30, 63] (India)	YES, CC-BY-NC-SA	NO	No information	No information	No information	150 USD
Indian J Dent [31] (India)	YES, CC-BY-NC-SA	111.8 USD	7 USD	No information	No information	No information
Restor Dent Endod [23, 29] (South Korea)	YES, CC-BY-NC	NO	NO	No information	No information	No information
Imaging Sci Dent [25, 46] (South Korea)	YES, CC-BY-NC	NO	NO	No information	No information	No information
Australia						
Aust Dent J [44] (Australia) (Wiley, USA)	NO (42 USD/article)	2500 USD	No information	No information	No information	No information
Africa						
Scientifica (Cairo) [24] (Hindawi publisher) (Egypt)	YES, if APC payed	950 USD	NO	NO	NO	NO
Niger J Clin Pract [42, 58] (Nigeria)	NO	150 USD	80 USD	No information	No information	No information

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Open access was granted in 20 journals (71.4%). There was no open access available in 6 journals, and no information was given for 2 journals. 15 journals provided with Creative Commons (CC) license available for free or after paying author publications charges (APC). There were 6 journals proposing CC-BY license, 4 journals proposing CC-BY-NC license, 3 journals proposing CC-BY-NC-SA license, and 3 journals proposing CC-BY-NC-ND license. One journal applied two types of licenses (Dentomaxillofac Radiol).

15 journals applied APC varying from 111.8 USD (Indian J Dent) to 4124 USD (Eur J Orthod). Six journals do not applied APC, and there was no information for 7 journals. Fees at submission were asked by 4 journals, not asked by 16 journals, and there was no information for 8 journals. Fees at submission varied from 7 USD (Indian J Dent) to 321 USD (BMJ Cas Rep). Fees for review were asked by 2 journals, not asked by 13 journals, and there was no information for 13 journals. Fees for review varied from 60 USD (J Conserv Dent) to 250 USD (Iran Endod J). Fees for technical review were asked in 1 journal (Brazil Dent J, 300 USD), not asked in 11 journals, and there was no information for 16 journals. Printing fees were asked in 3 journals, not asked in 7 journals, and no information was provided for 18 journals. Printing fees varied from 150 USD (Indian J Dent Res) to 966.17 USD (Med Princ Pract).

Only 2 journals (J Appl Oral Sci and Germs) were completely free for authors and shared for free figures of anatomical variations from CBCT. There was no

176 information for 6 journals to conclude on their free publishing policy, and in 20
 177 journals authors needed to pay for sharing their figures.
 178 Finally, there were 15 annotated and 3 not annotated figures published for free and
 179 shared for free when comparing Table 1 and Table 2 [34, 49].

180 Discussion

181 Validated information on human anatomical variations from CBCT exists behind
 182 payed walls established by dental journals and books [70] publishers. The 50 articles
 183 selected in this study represent only 2.24% of articles on human anatomical
 184 variations from CBCT that are freely available for readers on PubMed. There exists
 185 a very limited range of available subjects of interest accessible for free. Especially
 186 there exist no free articles on syndromes except cleidocranial dysplasia [67] (around
 187 5000 syndromes exist in oral and maxillofacial area), and cleft palate patients, on
 188 oncology related studies (i.e., osteonecrosis), on bone diseases in oral and maxillo-
 189 facial area (only 4 diseases presented [40, 53, 55, 56]), on teeth anomalies not relat-
 190 ed to endodontics (only 1 study on dens invaginatus [41]), on paranasal sinuses (on-
 191 ly 2 studies on maxillary sinus [45, 47]).

192 There exists no free study on temporal bone, or on soft tissue calcifications on
 193 CBCT. Anatomical variations of teeth such as roots variations, and position
 194 variations may explain troubles of teeth eruption in orthodontics. No one article is
 195 freely available on this topic. Variations of mandibular nerve canals do not exist in
 196 free version. There exist no free studies on cervical spine (except 2 studies on
 197 ponticulus posticus [58, 59]). Majority of free articles are single studies on one
 198 specific topic. However, as anatomical variations may vary between populations,
 199 single studies cannot give any answer to a general practitioner from a given
 200 population.

201 Annotated figure (i.e., with arrows) is a privileged way to explain anatomical
 202 variation more precisely than only with a brief description of a figure. Annotated
 203 figures are therefore addressed to more general public or to general practitioners that
 204 represent the most important part of clinicians. Not annotated figures are more
 205 addressed to a specialized clinical public or to other researchers. In current situation
 206 freely accessible figures are more addressed to a specialized target group and less to
 207 general practitioners as there exist 287/704 (41%) annotated and 417/704 (59%) not
 208 annotated figures freely accessible for readers.

209 Articles are dispersed over 28 different journals which means that there is currently
 210 no leading journal on anatomical variations from CBCT in dental literature.
 211 Dentomaxillofacial Radiology, which is the leading journal in the domain of
 212 dentomaxillofacial radiology, contains 7 such articles. However, this journal
 213 proposes open access only after paying with APC of 2702 USD, and thus limits any
 214 attempt to publish free figures for readers. The majority of journals (71.4%) applied
 215 diverse types of fees implying that very few authors were able to choose the open
 216 access and were able to share their figures with the public. Therefore, open access
 217 does not mean free publishing for authors, but only free access for readers. Only two

218 journals were completely free for authors and for readers, and were not belonging to
219 major medical publishing groups.

220 The 15 annotated and 3 not annotated figures published for free and shared for free
221 represent an exception in dental literature and are far away from any future world of
222 Open or Free science.

223 Currently, clinicians using available scientific journals have no chance to found
224 within minutes, during their dental practice, a freely available figure corresponding
225 to any type of anatomical variation that may arise in dental and maxillofacial CBCT
226 and that could help them immediately in their diagnosis and/or treatment plan.
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228 Digital revolution has offer changes and opportunities; scholarly publishing could
229 be done on- line that reduces the printing costs dramatically. Universities can play a
230 vital role in this process by sharing the knowledge they are producing much more
231 than before. The reach out to different communities and stakeholder groups could
232 help make the science more relevant and connected with everyday life.

233 Traditional scholarly publishing system is based on work of academics. Researcher
234 carries out the scientific work from the concept, to the design of the methodology
235 and conducting the experiment - to the final drafting of the articles. Researchers are
236 peer reviewing other papers, and researchers must format the whole article in a way
237 that is ready for publication.

238 Publishers paid none of these tasks, and scientists must give up their copyrights in
239 order to get their work published. In other words, somebody else is selling its work
240 as a commercial product.

241 Open Science is a new approach that promotes sharing the knowledge and data as
242 soon as possible, not waiting for the final article text, but try to share and interact
243 with others from the moment that the concept has been born.

244 Open science is also a mean: "Open science strategies and policies are a means to
245 support better quality science, increased collaboration, and engagement between
246 research and society that can lead to higher social and economic impacts of public
247 research." <https://www.innovationpolicyplatform.org/content/recent-findings-and-policy-messages-open-science>

248 The traditional impact factor – based system of publications has derailed the science,
249 researchers need to publish original papers only, and simple case studies are often
250 not welcomed by big editorial houses. Citizens and practitioners in the field, as
251 dentists in our case - feel not connected with scientific publications. In order to build
252 the interest and trust in science – research must become more collaborative, more
253 engaging and may be simpler.

254 University could be socially engaged and embrace the new approach. Open Science
255 gives them opportunity to share the knowledge, to bridge the gap and to reach out to
256 the large populations. The interest in science is enormous. For example, use of data
257 from PubMed Central, the online repository of the US National Institutes of Health
258 <https://www.ncbi.nlm.nih.gov/pubmed/>, shows that 25% of the daily unique users
259 are from universities, 17% from companies, 40% are individual citizens and the rest
260 are from government or in other categories – (from UNESCO, Policy Guidelines for
261 the Development and Promotion of Open Access, UNESCO Publishing, 2012.)
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263 The debate of future of scholarly publishing is going on for some time
264 (https://www.eosc-portal.eu/sites/default/files/KI0518070ENN.en_.pdf).
265 Researchers claim the science back. The concept of Open Science gives the
266 opportunity to change the rules of the game. Universities should take this
267 opportunity and engage with society. Universities could using its knowledge and
268 infrastructure continue to do the work, they have been always doing, but this time
269 keeping their copyrights.
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Authors contribution:

Author	Contributor role
Olszewski R	Conceptualization, Data curation, Investigation, Methodology, Resources, Validation, Writing original draft preparation, Supervision, Writing review and editing
Hebda A	Conceptualization, Validation, Writing original draft preparation, Supervision, Writing review and editing

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