

1 Article

# 2 Development of a biodegradable sub-cutaneous 3 implant for prolonged drug delivery using 3D 4 printing

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15 **Abstract:** Implantable drug delivery devices offer many advantages over other routes of drug  
16 delivery. Most significantly, delivery of lower doses of drug, thus, potentially reducing side-effects  
17 and improving patient compliance. 3D printing is a flexible technique, which has been subject to  
18 increasing interest in the past few years, especially in the area of medical devices. The present work  
19 focussed on the use of 3D printing as a tool to manufacture implantable drug delivery devices to  
20 deliver a range of model compounds (methylene blue, ibuprofen sodium and ibuprofen acid) in two  
21 *in vitro* models. Five implants designs were produced, the release rate varied, depending on the  
22 implant design and the drug properties. Additionally, a rate controlling membrane was produced,  
23 which further prolonged the release from the produced implants, signalling the potential use of  
24 these devices for chronic conditions.

25 **Keywords:** implantable devices; sub-cutaneous; biodegradable; 3D printing; prolonged drug  
26 delivery  
27

## 28 1. Introduction

29 Implantable drug delivery devices are those, which, when implanted into the body release drug  
30 at a defined rate and for a defined period. They offer advantages over other routes of drug delivery.  
31 They may achieve a therapeutic effect with lower drug concentrations [1–3] by potentially achieving  
32 higher drug concentrations at the site of interest, thus, reducing systemic drug exposure and  
33 minimising the potential for unwanted side-effects [4,5]. In addition, these devices allow personalised  
34 medicine, increased patient compliance [6] and prolonged delivery of treatment over weeks, months  
35 or years [7] in a device which may be removed if adverse effects require early termination of  
36 treatment [8,9]. Implantable delivery systems have been used for a range of clinical applications, most  
37 commonly contraception (e.g. Nexplanon<sup>®</sup> and Nuvaring<sup>®</sup>) and cancer treatment (e.g. Vantas<sup>®</sup>) [3,10].  
38 Nexplanon<sup>®</sup> is a sub-cutaneous implant made from poly(ethylene vinyl acetate) which delivers  
39 etonogestral over a period of three years before requiring removal [11,12]. Vantas<sup>®</sup> is a sub-cutaneous  
40 implant made from a methacrylate based hydrogel which delivers the drug histrelin for the treatment  
41 of prostate cancer over a period of one year [13]. Implantable drug delivery devices also have the  
42 potential to be used for other conditions such as delivery of localised anaesthetics [14] or antibiotics  
43 [15].

44 Currently, the majority of implantable drug delivery devices which are available, are  
45 manufactured from non-biodegradable polymers [10]. Thus, these implants require surgical removal  
46 once they have achieved their purpose. The surgical removal of non-biodegradable implants can  
47 often be more traumatic than their insertion [16]. Alternatively, biodegradable polymers offer the  
48 significant advantage of not requiring removal after their use, whilst still offering the potential for  
49 early removal, if required. They are designed to degrade naturally to products that can be excreted  
50 easily by the body [17]. Commonly used biodegradable and biocompatible polymers include:  
51 poly(lactic acid) (PLA), poly(glycolic acid) (PGA), poly(lactic-co-glycolic acid) (PLGA), and  
52 poly(caprolactone) (PCL). Previously, these polymers have been successfully used in nanoparticle  
53 based drug delivery systems and solid and microparticle parenteral implants [18] such as: *Zoladex*<sup>®</sup>  
54 (AstraZeneca), a solid PLGA parenteral implant for the delivery of goserelin for the treatment of  
55 prostate cancer in men, or breast cancer or endometriosis in women [19]; and *Profact Depot*<sup>®</sup> (Sanofi-  
56 Aventis), which is also a solid PLGA parenteral implant, for the delivery of buserelin. Other  
57 parenteral implantable systems use polymeric microparticles as the delivery carrier including:  
58 *Sandostatin LAR*<sup>®</sup> (Novartis) to deliver octreotide; or *Risperdal Consta*<sup>®</sup> (Janssen) to deliver  
59 risperidone [20].

60 The potential for personalisation of an implantable drug delivery device is substantial and  
61 becomes more likely due the increasing interest in 3D printing technologies. The high degree of  
62 flexibility and controllability of 3D printing would allow the preparation of tailored dosage forms  
63 with a release profile designed to exactly match the individual patient and condition to be  
64 treated [21]. Moreover, some of the disadvantages associated with 3D printing, such as high cost and  
65 speed, are improving as the technology becomes more widely used. The 3D printing approach to  
66 research newer (implantable) drug delivery devices can usher a new era of treatments to various  
67 diseases.

68 The concept of drug delivery *via* an implantable device is not a new one. However, an  
69 implantable device that is: cheap; easily manufactured; biodegradable; biocompatible; and with a  
70 release rate that may be tailored to an individual patient, drug or clinical application is a very  
71 desirable goal, but one that is, as yet unachieved.

72 Current research is often still focussed on the use of materials which are not biodegradable  
73 [22,23]. The aims of this study are: 1) to develop 3D printed implantable devices for drug delivery  
74 using biocompatible/biodegradable materials; and 2) to study the influence of the implant geometry  
75 on the drug release kinetics. For this purpose, we prepared different poly(lactic acid) and poly(vinyl  
76 alcohol) implant designs using fused deposition modelling (FDM) 3D printing technology. These  
77 implants were designed containing ‘windows’ of different sizes to allow drug release. Finally, a  
78 coating procedure using poly(caprolactone) was used to evaluate the possibility of obtaining more  
79 sustained release from these implants. The resulting implants were characterised using different  
80 techniques such as X-ray Micro Computer Tomography and texture analysis. The last step was to  
81 evaluate the drug release kinetics from these implants by using different model molecules and two  
82 *in vitro* models.

## 83 2. Materials and methods

### 84 2.1. Materials

85 Granulate poly(lactic acid) (PLA) (Ingeo<sup>™</sup> Biopolymer 4043D) was purchased from  
86 NatureWorks (Minnesota, USA). Filament poly(vinyl alcohol) (PVA) was purchased from Ultimaker  
87 (Ultimaker, Netherlands). Methylene blue, ibuprofen sodium, poly(ethylene glycol) (PEG) ( $M_w =$

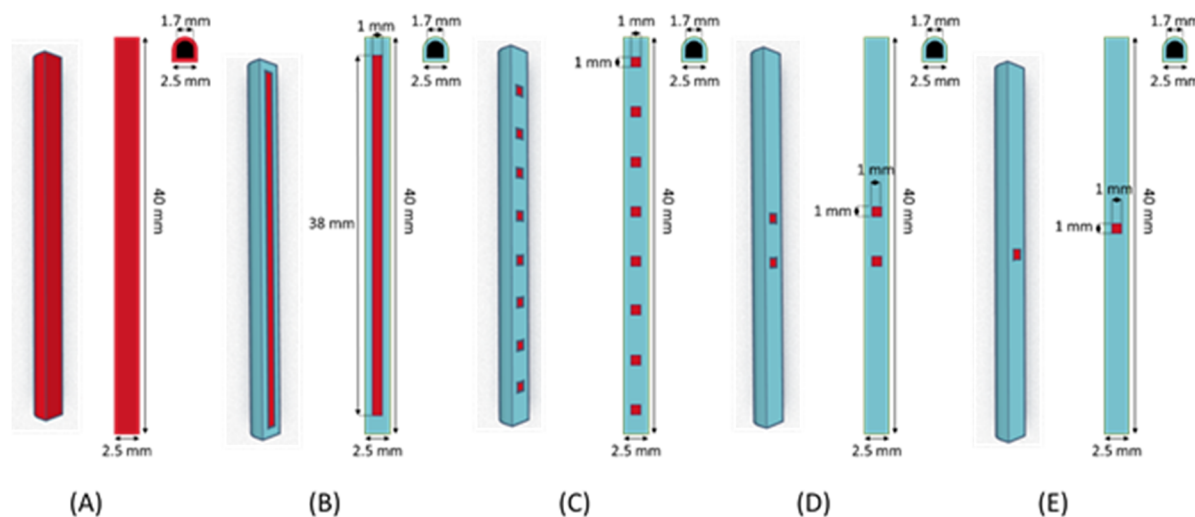
88 1,000 Da), agarose powder and phosphate buffered saline (PBS) tablets pH 7.4 were purchased from  
 89 Sigma-Aldrich (Dorset, UK). Sodium azide was purchased from Fluorchem Ltd. (Hadfield, UK).  
 90 Ibuprofen acid was purchased from Pharminnova (Waragem, Belgium). Poly(caprolactone) (PCL)  
 91 6506 ( $M_w=50,000$  Da and PCL 2054 ( $M_w=550$  Da) were provided by Perstorp (Perstorp, Sweden).

## 92 2.2. Methods

### 93 2.2.1. Implant designs

94 Hot-melt extrusion was used to produce the PLA filament, which would be used for the implants  
 95 manufacture in combination with the PVA filament. PLA pellets were added to a filament extruder  
 96 (3Devo, Utrecht, The Netherlands) at an extrusion speed of 5 rpm and a filament fan speed of 70%.  
 97 Finally, the temperature was adjusted through a control panel positioned at the side of the extruder,  
 98 and it was between 170 and 190 °C, due to the existence of four heaters [24].

99 Hollow implants were designed using a computer-aided design (CAD) software and printed  
 100 using an Ultimaker3 3D printer (Ultimaker, Geldermalsen, Netherlands) using Cura® software. The  
 101 Ultimaker3 system was equipped with two 0.4 mm extruder nozzles equipped with PLA and PVA,  
 102 respectively. The print speed was 70 mm/s, the print temperature used was 205°C, the build plate  
 103 temperature was 85°C and the layer height used was 0.2 mm. Five implant configurations were  
 104 designed and produced (Figure 1): (A) 2.5 x 40.0 mm PVA implant (weight  $0.15 \pm 0.001$ g); (B) 2.5 x  
 105 40.0 mm PLA implant with one (1.0 x 38.0 mm) PVA ‘window’ (weight  $0.13 \pm 0.007$ g); (C) 2.5 x 40.0  
 106 mm PLA implant with eight (1.0 x 1.0 mm) PVA ‘windows’ (weight  $0.13 \pm 0.001$ g); (D) 2.5 x 40.0 mm  
 107 PLA implant with two (1.0 x 1.0 mm) PVA ‘windows’; (weight  $0.14 \pm 0.005$ g) and (E) 2.5 x 40.0 mm  
 108 PLA implant with one (1.0 x 1.0 mm) PVA ‘window’ (weight  $0.14 \pm 0.003$ g). The thickness of the PVA  
 109 ‘window’ was 0.4 mm in all cases. Finally, implants were filled with a model compound by directly  
 110 packing powder inside.



111 **Figure 1:** Schematic showing the implant designs: (A) 2.5 x 40.0 mm poly(vinyl alcohol) (PVA) implant; (B)  
 112 2.5 x 40.0 mm poly(lactic acid) (PLA) implant with one (1.0 x 38.0 mm) PVA ‘window’; (C) 2.5 x 40.0 mm  
 113 PLA implant with eight (1.0 x 1.0 mm) PVA ‘window’s; (D) 2.5 x 40.0 mm PLA implant with two (1.0 x 1.0  
 114 mm) PVA ‘windows’; and (E) 2.5 x 40.0 mm PLA implant with one (1.0 x 1.0 mm) PVA ‘window’.

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Finally, MB loaded implants (Figure 1(B) implant design) were coated with a formulation containing 50/50 PCL 6506/PCL 2054. This particular PCL composition was used because the coating of the implants with only PCL 6506 yielded implants were not capable of releasing their MB cargo (data not shown). For this purpose, 5 g of this mixture was dissolved in 10 mL of dichloromethane (Merck, Darmstadt, Germany). Implants were coated following a dip-coating procedure using the previously prepared solution. The thickness of the resulting coating was measured using a digital calliper after peeling it from the implant. The coating showed a thickness of  $0.11 \pm 0.01$  mm.

#### 124 2.2.2. Implant characterisation

125 Optical coherence tomography (OCT) using an EX1301 OCT microscope (Michelson  
126 Diagnostics, Kent, UK) enabled visualisation of the dissolving PVA 'windows' and the drug within  
127 the filled implant. The morphology of the implants was evaluated using electronic and optical  
128 microscopy. A Hitachi TM3030 benchtop scanning electron microscope (SEM) (Tokyo, Japan) and a  
129 Leica EZ4 D digital microscope (Leica, Wetzlar, Germany) were used.

130 X-ray Micro Computer Tomography ( $\mu$ CT) scans were performed on 3D printed implants  
131 following the same methodology reported by Matthew *et al.* and Dominguez-Robles *et al* [25,26] .  
132 Briefly, the 3D reconstruction volumes and inner structures of the implants were observed by using  
133 a Bruker Skyscan 1275 system (Bruker, Germany) with a Hamamatsu L11871 source. The microfocus  
134 of the X-ray source of the micro-CT scanner had maximum voltage of 40 kV and maximum of 250  
135  $\mu$ A. Samples were mounted vertically on dental wax and positioned 59.791 mm from the source,  
136 where camera to source distance was 286 mm. No filter was applied for an exposure time of 49 ms.  
137 The images generated were 1944x1413 pixels with a resolution of 17  $\mu$ m per pixel. Then the data were  
138 collected and Data Viewer as well as CT-An software were used to analyse them. Finally, CTvol  
139 software was applied to generate 3D reconstruction images.

140 The mechanical properties of the prepared implants were evaluated following a three-point  
141 bending test using a TA-XT2 Texture Analyser (Stable Microsystems, Haslemere, UK). For all  
142 measurements the Texture Analyser was set in compression mode, and a cuboidal probe (9.5 cm in  
143 length) with a sharp end (1.1 mm thick) using a set up previously described by Donnelly *et al.* [27].  
144 The probe was moved toward the implant at a speed of 0.5 mm/s. From the peak maximum of the  
145 force-distance curve, the break strength of each implant was calculated.

146

#### 147 2.2.3. Analytical methods

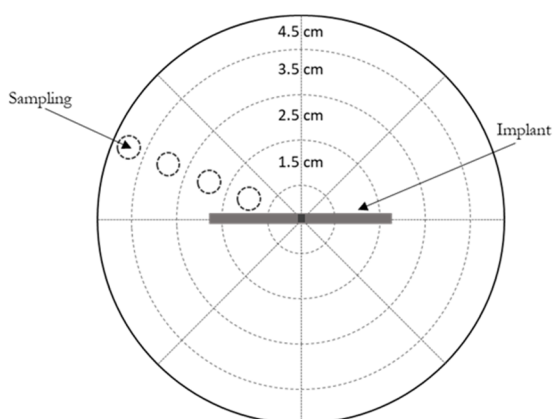
148 Methylene blue (MB), ibuprofen sodium (IS) and ibuprofen acid (IA) were chosen as model  
149 compounds due to their different solubility's to assess any effect this may have on the release profiles.  
150 MB was quantified using UV spectroscopy (FLUOstar Omega Microplate Reader, BMG LABTECH,  
151 Ortenberg, Germany) at wavelength of 668 nm. IS and IA were quantified using reverse phase high  
152 performance liquid chromatography (RP-HPLC) (Agilent 1220 series system, Agilent Technologies  
153 UK Ltd, Stockport, UK). The column used to achieve separation was Agilent Eclipse XDB-C18 (5  $\mu$ m  
154 pore size, 4.6 x 150 mm) column (Agilent Technologies UK Ltd, Stockport, UK). The mobile phase  
155 used was composed of acetonitrile and 0.1% phosphoric acid at a ratio of 70:30, with a flow rate of  
156 1 mL/min, injection volume of 50  $\mu$ L, and a sample runtime of 5 minutes. UV detection was carried

157 out at 220 nm. The mobile phase was degassed by sonication for 30 min prior to use. The column  
158 temperature was regulated to 25 °C.  
159

#### 160 2.2.4. *In vitro* drug release experiments

161 Implants were loaded with MB, IS or IA and placed in 500 mL of PBS (or PBS with 0.05% sodium  
162 azide for IS and IA release) at 37°C and shaken at 40 rpm. **Samples (0.5 mL) of the release medium**  
163 **were taken at specified time points and replaced with equal volume of PBS [28].**

164 As well as the agitated vessel *in vitro* release model, an agarose gel *in vitro* release model was  
165 also investigated to more closely mimic *in vivo* conditions [29]. Agarose powder was dissolved in PBS  
166 (for MB release) or PBS containing 0.05% of sodium azide (for IS release) and heated to prepare a  
167 0.6% agarose solution. One third of the required agarose solution was cast into a petri dish (10 cm in  
168 diameter) and the implant (implant design E) was placed in the centre of this and the agarose solution  
169 allowed solidifying. Subsequently, the remaining agarose solution was cast over this initial layer and  
170 allowed to solidify [29]. The petri dishes were then covered with Parafilm M®, to prevent water  
171 evaporation, and placed into an airtight container within a non-agitated incubator at 37°C.  
172 Cylindrical samples (0.5 cm diameter) of agarose were removed at pre-defined time points (Figure  
173 2). Samples were weighed and analysed for their drug content using an appropriate method as  
174 described in section 2.2.3. Due to the symmetry of the agarose gel, it was assumed that the drug  
175 concentration was constant within each zone with the same distance from the implant 'window' [29].  
176



177  
178 **Figure 2:** Schematic illustration of the *in vitro* experimental setup used to sample drug release into agarose  
179 gel.

180

#### 181 2.3. Data analysis

182 Release profiles from each of the implants were compared by calculating and comparing the  
183 difference ( $F_1$ ) and similarity ( $F_2$ ) factor.  $F_1$  was calculated using Equation (1) that measures the  
184 percentage difference between two curves at each time point and is a measurement of the relative  
185 error between the two curves. Where,  $n$  is the number of time points,  $R_t$  is the reference dissolution  
186 value at time  $t$ , and  $T_t$  is the test dissolution value at time  $t$  [30,31].  
187

188 
$$F_1 = \{ [\sum_{t=1}^n (R_t - T_t)] / [\sum_{t=1}^n R_t] \} \cdot 100 \quad (1)$$

189

190  $F_2$ , shown in Equation (2), is a logarithmic transformation of the sum-squared error of differences  
191 between the test and reference products over all time points, n.

192

193 
$$F_2 = 50 \cdot \log\{[(1/n) \sum_{t=1}^n (R_t - T_t)]^{-0.5} \cdot 100\} \quad (2)$$

194

195 In order for two dissolution profiles to be considered similar, the  $F_1$  value should be lower than  
196 15 (0-15) and  $F_2$  value should be more than 50 (50 -100) [30,31].

197

198 Where appropriate all data were expressed as a mean  $\pm$  standard deviation (SD) and compared  
199 using one-way analysis of variance (ANOVA) with Tukey's HSD *post-hoc*. In all cases,  $P < 0.05$  was  
the minimum value considered acceptable for rejection of the null hypothesis.

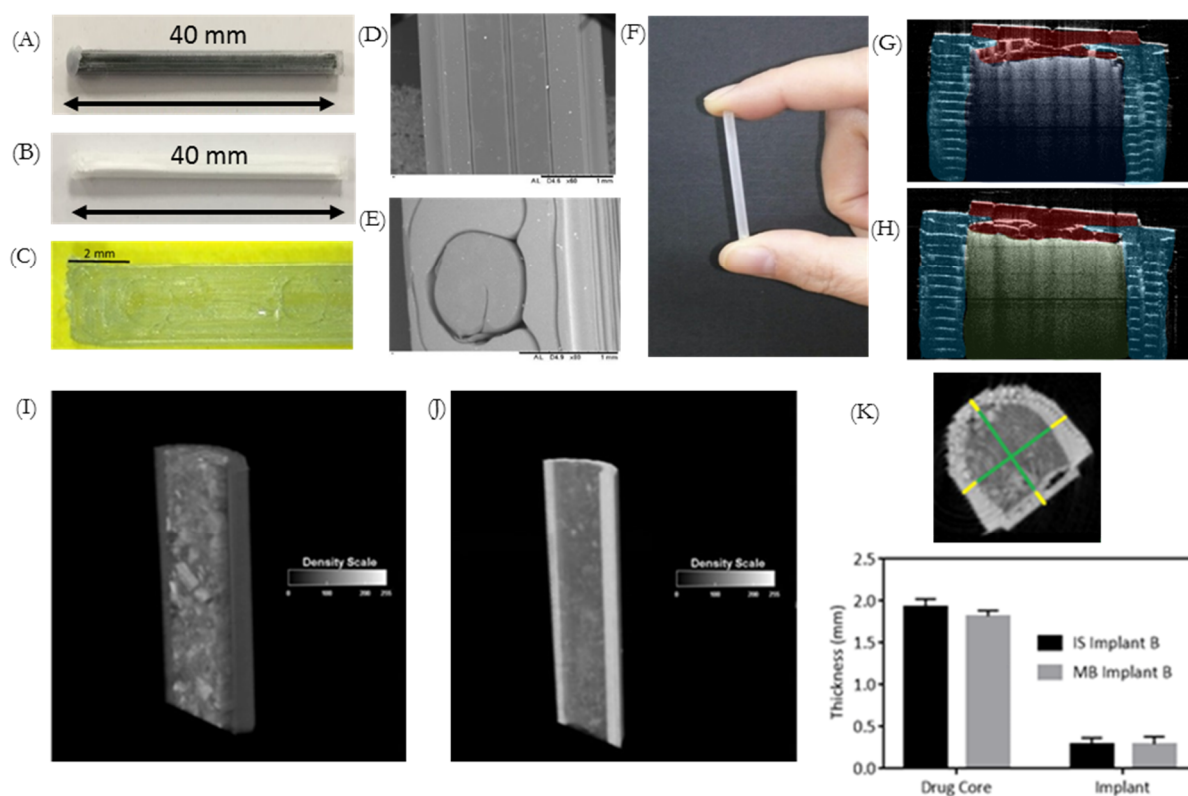
### 200 3. Results and discussion

#### 201 3.1. Implant design and characterisation

202 A rod shaped implant with a size of 2.5 x 40.0 mm was chosen because this shape and these  
203 dimensions are similar to dimensions that have already been shown to be acceptable in commercially  
204 available products and applicator devices have already been developed for an implant of these  
205 dimensions [32]. Implants were loaded with MB (68.6 $\pm$ 5.1 mg), IS (68.1  $\pm$ 3.0 mg) or IA (72.3  $\pm$ 3.2 mg).  
206 Images of the produced implants are shown in Figures 3A-H. These images give an appreciation of  
207 the actual geometry of the 3D printed 'windows' in comparison to what was designed. Figure 3C and  
208 E show that although the 1.0 x 1.0 mm have been printed the correct size, that they are more circular  
209 in shape than square like the design. This is due to the resolution of FDM printers that is not as high  
210 as the one displayed by other type of 3D printing such as stereolithography [33].

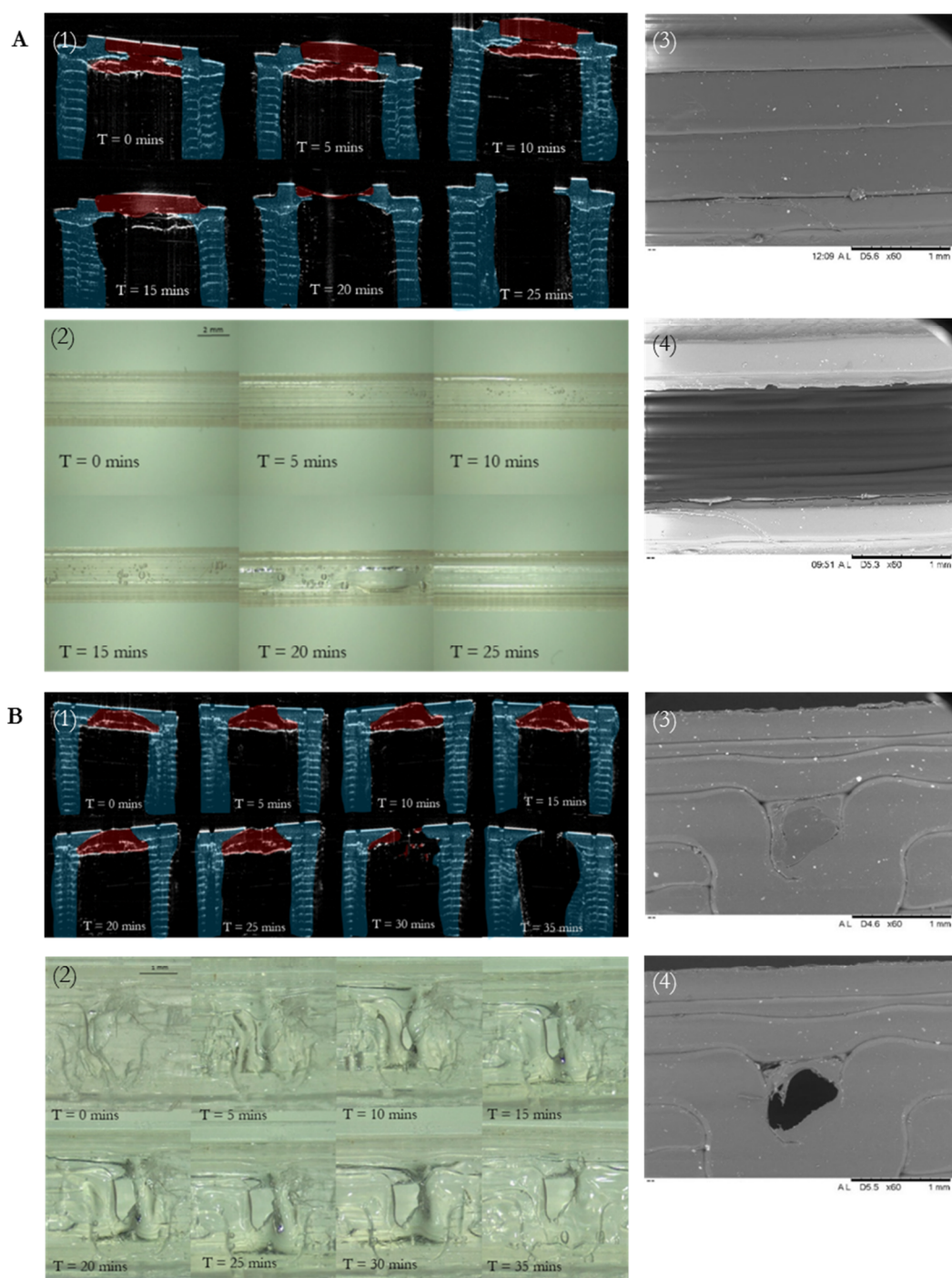
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212 Implants A-E loaded with MB, Implants B and E loaded with IS and Implant B loaded with IA  
213 were tested using the agitated vessel release model. Implant E loaded with MB or IS was tested using  
214 the agarose gel release model. IA was not included in the agarose release model because of its poor  
215 solubility and the difficulties this would present to maintain sink conditions. These molecules were  
216 used due to their differing solubility values: MB 40 mg/mL [34]; 100 mg/mL [35]; IA 0.021 mg/mL  
217 [36]. These three molecules cover a wide range of hydrophobicity. Therefore, they are good  
218 candidates to establish how this parameter affects drug release from the 3D printed implantable  
219 devices. The influence of the solubility on the release profiles can be used to anticipate the release  
220 kinetics of other drugs loaded within the implants described here.



221  
 222 **Figure 3:** Images of (A) methylene blue filled implant (Implant B); (B) ibuprofen sodium filled implant  
 223 (implant B); (C) Digital microscope image of a section of implant C, (D) A scanning electron microscope  
 224 (SEM) image of a section of a 38.0 × 1.0 mm poly(vinyl alcohol) (PVA) membrane, (E) SEM images of a 1.0  
 225 × 1.0 mm poly(vinyl alcohol) (PVA) membrane, (F) An image to show the size of the printed implant, (G)  
 226 OCT image of a MB filled implant and (H) OCT images of an IS filled implant. Characterisation of implants  
 227 through MicroCT analysis. Cross section reconstructions in the y-z plane of the implants containing (I) MB,  
 228 and (J) IS. (K) Representative x-y cross section of a 3D printed implant used for quantitative analysis and  
 229 dimensional measurements calculated at different locations over the implant 3D volume for the core/ shell  
 230 of the samples reported in A) and B) respectively.

231 The architecture and topology of the 3D printed implants were analysed using a Bruker Skyscan  
 232 1172 system  $\mu$ CT (Figures 3I-K). Cross section reconstructions in the y-z plane of an implant  
 233 containing I) MB, and J) IS were performed and representative x-y cross section of a 3D printed  
 234 implant used for quantitative analysis. These images (Figure 3I and J) give an appreciation of the  
 235 drug distribution within the cavity of the implant and shows that the drug distribution is uniform  
 236 for both MB and IS. The dimensional measurements calculated at different locations over the implant  
 237 3D volume for the core, and shell of the samples are reported in Figure 3K and show that there is no  
 238 significant ( $P > 0.05$ ) difference in the size of the drug core for either drug. This indicates that the drugs  
 239 were dispersed through the entire implant cavity and that the packing process did not damaged the  
 240 implant structure.



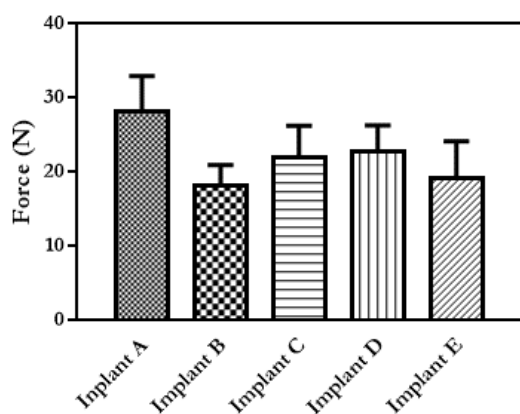
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**Figure 4:** (A) Implant B (1) OCT images of poly(vinyl alcohol) (PVA) membrane dissolution in implant B after emersion in PBS; (2) Digital microscope images of poly(vinyl alcohol) (PVA) membrane dissolution in implant B after emersion in PBS; SEM images of implant B (3) before and (4) after dissolution. (B) (1) OCT images of poly(vinyl alcohol) (PVA) membrane dissolution in implant E after emersion in PBS; (2) Digital microscope images of poly(vinyl alcohol) (PVA) membrane dissolution in implant E after emersion in PBS; SEM images of implant E (3) before and (4) after dissolution.



248 Dissolution of the PVA 'windows' in implants B and E were visualised using OCT, digital  
249 microscopy and SEM and are shown in Figures 4A and 4B, respectively. It can be seen that complete  
250 dissolution of the PVA 'window' in implant B occurred after 25 min (Figure 4A1). Whereas, complete  
251 dissolution of the PVA 'window' in Implant E took 35 min (Figure 4B1). Despite the 'window' in  
252 Implant B being significantly larger than the 'window' in implant E, it fully dissolved more quickly.  
253 This may be explained by the reduced surface area to volume ratio of the 'window' in implant E,  
254 reducing the rate of dissolution for this implant. Goyanes *et al.* investigated the effect that surface  
255 area to volume ratio had on the dissolution of PVA tablets and reported that a higher surface area to  
256 volume ratio resulted in tablets that dissolved more quickly [37]. It is important to note that the PVA  
257 'window' is designed to dissolve quickly to allow drug to diffuse through the generated 'window'.  
258 The 'window' material can be tailored to achieve a delayed drug release. Additionally, in the last  
259 section of the manuscript an alternative coating approach was described to prepare implants  
260 allowing sustained drug release over months. It is important to note that a commercial quick  
261 dissolving commercial PVA filament was used for this study. PVA is a biocompatible polymer [38]  
262 but commercial filaments can have potential excipients, such as plasticisers, that are not ideal for  
263 medical applications. However, the present work is a proof-of-concept study exploring the influence  
264 of the structure of the implant on the drug release kinetics. Accordingly, a commercial PVA was used  
265 as it was the quickest approach. However, future work will require the use of filaments prepared  
266 using pure biocompatible polymer. This approach opens the possibility of developing implants with  
267 delayed release by printing the implant windows with polymers with slower  
268 dissolution/disintegration kinetics such as cellulose derivatives [39,40].  
269

270 To predict robustness of the designed implants, their break strength and the degree of flexibility  
271 were evaluated. A very rigid implant is likely to break during insertion or *in situ*; therefore, a degree  
272 of flexibility is required, as well as sufficient strength to withstand insertion and remain mechanically  
273 strong enough for the duration of drug release. If an implant breaks or cracks it is likely to cause an  
274 increase or a burst in the rate of drug release which would, in turn, cause undesirable side-effects in  
275 the patient. The maximum force required breaking the implants and the angle of bending at the break  
276 point was calculated for each implant configuration and shown in Figures 5.



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**Figure 5:** Force required to break each of the implant designs (n=5, means+SD).

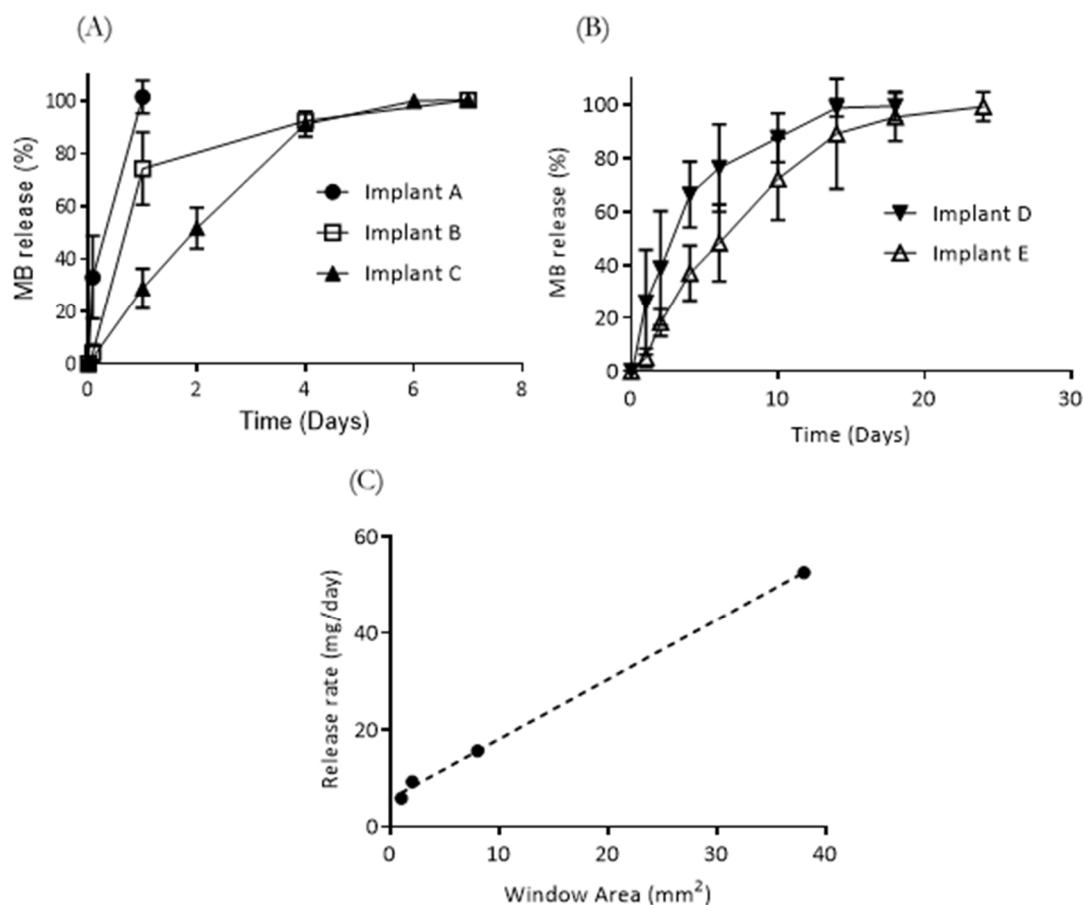
279 It can be seen from Figure 5 that there is no significant difference in the break force of implants  
280 B-E (PLA implants). A significantly ( $p < 0.5$ ) larger force was required to break Implant A (PVA), than  
281 was required for implants B-E. This test was performed to evaluate if changing the design of the  
282 release 'windows' from the implant has a direct influence on the mechanical properties of the  
283 resulting material. No directly comparable mechanical tests to those performed in this study have  
284 been performed on commercially available implantable drug delivery devices. However, mechanical  
285 testing of medical devices has been extensively reported. The results obtained here can be compared  
286 with the results reported by Horal *et al.* for 3D printed PLA screws for orthopaedic applications [41].  
287 In this case, PLA screws were manufactured and a three-point bending test was performed. The  
288 dimensions of these implants were similar to the ones described here (1-2 mm) and the forces applied  
289 during the bending tests were lower than the ones reported here (ranging between 0.5 and 10N).  
290 These screws were designed for bone healing applications. Higher forces will be applied to bone  
291 screws than to implants designed to be implanted in soft tissue. Therefore, the implants presented  
292 here showed fracture forces higher than the forces that will be expected for soft tissue implants. As  
293 PLA has a long degradation time, up to 2 years [42], degradation of the implant structure would not  
294 be expected to have an effect on the mechanical properties during drug release or an effect on the  
295 release rate itself.

296

### 297 3.3. *In vitro* drug release

298 MB has some inherent antibacterial activity, therefore, bacterial growth in the release media was  
299 not anticipated to be an issue for these implants [43]. However, SA was added to IS and IA release  
300 media to prevent microbial growth [23,44,45] over the course of the release experiment. The release  
301 profiles of MB from each of the five implant designs are shown in Figure 6. Implants made entirely  
302 from PVA (implant A) had the most rapid drug release, with 100% of drug releasing within 24 hours.  
303 As expected, implants B and C showed significantly extended release profiles in comparison with  
304 implant A, with release time being extended to over six days. Although, implant B and C took the  
305 same time to reach 100% release, Implant C showed a more sustained release profile, which showed  
306 less variation. Implants D and E showed an extended release profile in comparison to the other  
307 implants and shows that reducing the size and number of 'windows' effectively prolongs release  
308 from this type of implant. The release profiles of MB from each of the PVA 'window' implants were  
309 compared using similarity and difference factor ( $F_1/F_2$ ) and the results are shown in Table 1. Implant  
310 A had a significantly different release profile to implants B and C as the  $F_1$  values are higher than 15  
311 and the  $F_2$  values are lower than 50. Implants B and C, and implants D and E also showed significantly  
312 different release profiles to each other. These results indicate that implant design has potential to  
313 modify the release profile of a loaded molecule by simply changing the design of the implant.  
314 Interestingly, implants with 1.0 x1.0 mm 'windows' were capable of providing drug release over 25  
315 days. A sustained release profile like this can be useful for local antimicrobial therapy or for pain  
316 management after surgery [46,47]. In these cases, a prolonged release over a period of a few weeks  
317 can be extremely beneficial to prevent infections or for pain management. However, for prolonged  
318 applications alternative approaches need to be evaluated. For this purpose, coated implants were  
319 evaluated. This approach will be described in section 3.5 of the present manuscript.

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322 **Figure 6:** Release of methylene blue (MB) from (A) implant A-C; (B) implant D and E (n=3, means ±  
 323 SD) and (C) Correlation between MB release rate and 'window' area for the implants.

324 **Table 1:** Difference (F<sub>1</sub>) and similarity (F<sub>2</sub>) factor of each release profile for methylene blue (MB)  
 325 release from poly(lactic acid) (PLA) implant with poly(vinyl alcohol) (PVA) 'window' designs.

Curve 1	Curve 2	F <sub>1</sub>	F <sub>2</sub>
Implant A	Implant B	60.06	33.00
Implant A	Implant C	73.89	13.58
Implant B	Implant C	28.93	32.12
Implant D	Implant E	19.61	34.75

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327 The effect of drug properties on release from the designed implants was investigated by  
 328 comparing the release profiles of MB (solubility – 40 mg/mL [34]), with IS (solubility –100 mg/mL  
 329 [35]) and IA (solubility – 0.021 mg/mL [36]). The release profiles of IS from implant B and E are shown  
 330 in Figure 7A. The release rate of IS from implant B was significantly increased in comparison to MB  
 331 from the same implant. Complete IS release was achieved after just 80 minutes, whereas, 100% MB  
 332 release took seven days. A similar increase in release rate is seen for implant E, with 100% IS release  
 333 achieved after six days and MB release after 25 days. These results show that obviously the implant  
 334 design is not the only factor that contributes to change the release profile. The physicochemical

335 properties of the drug loaded are important too. All *in vitro* releases were carried out under sink  
 336 conditions; therefore, it is dissolution rate of each of the drugs rather than solubility that is having an  
 337 impact on drug release from the implant. Accordingly, changing the nature of the loaded molecule  
 338 or by including a formulation with a slower dissolution rate will provide an extra degree of control  
 339 over the release profile.

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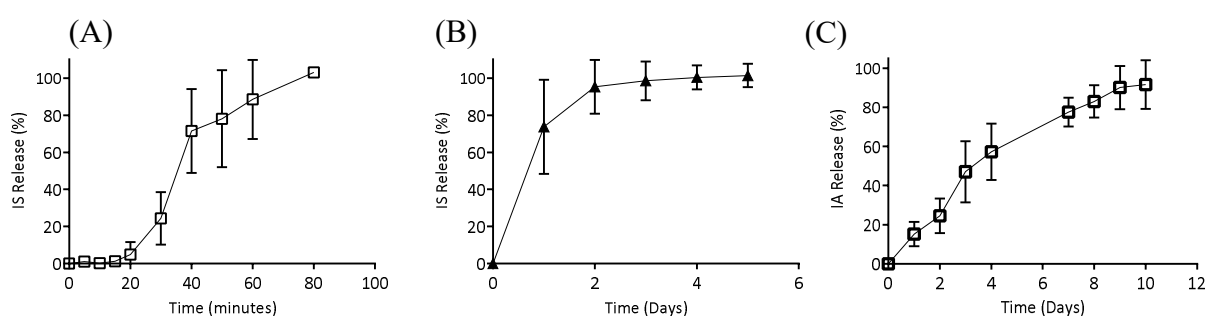
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**Figure 7:** Release of (A) ibuprofen sodium (IS) from Implant B; (B) IS Implant E and (C) Release of ibuprofen acid (IA) from implant B (n=3, means  $\pm$  SD).

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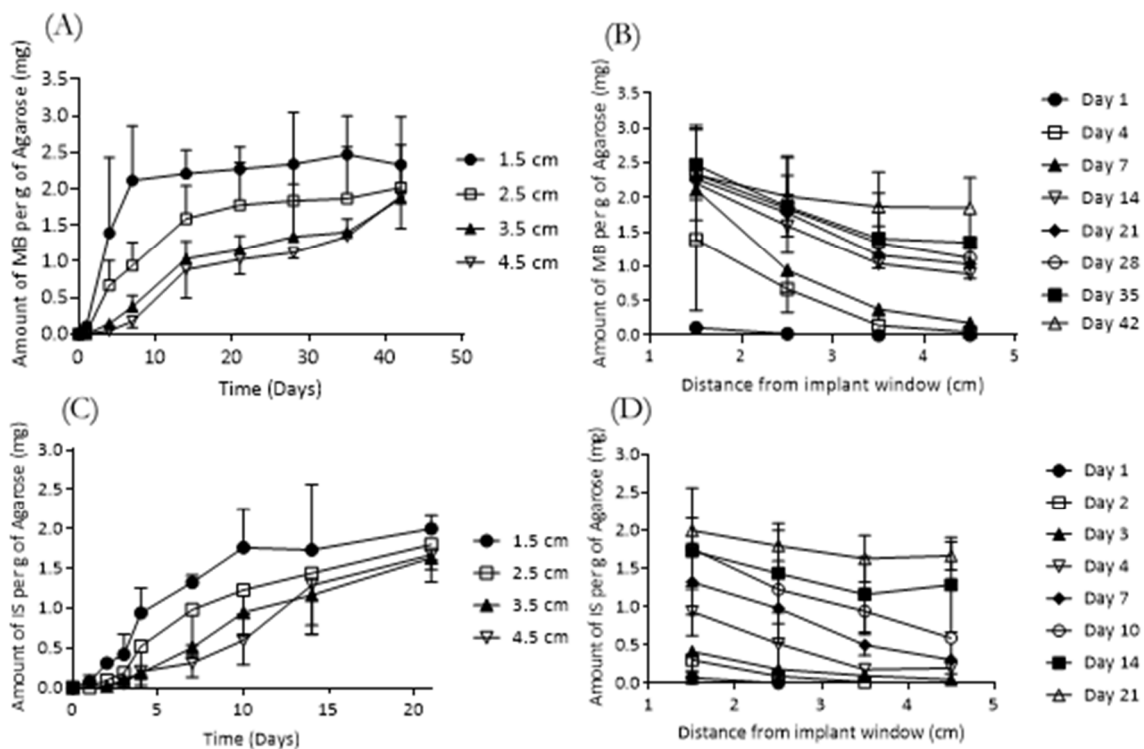
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IA release from implant B is shown in Figure 7C. The release of this compound is significantly extended in comparison with MB and IS release from the same implant design, with release taking ten days in comparison to six days and 80 minutes for MB and IS, respectively. As mentioned previously, the release rate of this drug is slower due to its slower dissolution kinetics confirming that the nature of the drug loaded need to be carefully considered for each application type.

Figure 8 shows the release profiles of MB and IS from implant E into an agarose gel release model. Release is expected to be slower in the agarose gel when compared to the agitated vessel release model. Within the agitated vessel model, convection rapidly homogenises the drug within the release media, thus, maintaining the drug concentration gradient at the interface of the implant with the release media. However, living tissues exhibit different conditions than those applied in the *in vitro* – agitated vessel method. The extracellular matrix that these formulations are likely to be in contact with after implantation behave more like a gel than bulk fluid [48]. Despite existence of a large number of biorelevant media for simulating physiological fluids, there is still not an accepted standard for simulation of sub-cutaneous environment [48]. Agarose gels form a 3D structure linked by hydrogen bonds with pore sizes similar to those encountered in physiological tissue and have been suggested as a more realistic *in vitro* release model than bulk fluid [29,49]. Moreover, multiple research works have reported the suitability of agarose hydrogel as a good release medium simulating soft tissues [50–53].

Both drugs demonstrated progressive drug release over a prolonged period. Figures 8A-B show the release obtained for MB loaded implants. These results showed that the closest region (1.5 cm) to the implant reached a plateau in MB levels after 7 days. However, in further regions the MB concentration increased over time up to 40 days for the further regions (4.5 cm). This shows that MB was continuously delivered over 40 days. This MB concentration increase is not due only to MB diffusion through the agarose gel as the concentration always increased. This suggests that there was a constant MB release that took place over time. After 40 days no significant differences were found in the release obtained at different distances from the implant ( $P > 0.05$ ). This indicated that MB concentration all over the agarose gel was equivalent and that there was no concentration gradient that will drive more release. Similar behaviour was observed for IS (Figure 8C-D) over a period of 21

377 days. These results confirm that the testing conditions had a substantial influence on the release  
 378 results. Moreover, this set of results suggest that the selected implants can be used to provide drug  
 379 release over periods of several weeks. Similarly, Hoang *et al.* investigated releases of ciprofloxacin  
 380 hydrochloride and vancomycin hydrochloride from bone implants over 48 and 96 hours, respectively  
 381 and showed that release into an agarose model was extended when compared to release of the same  
 382 drugs from the same implants into an agitated vial [29].



383

384 **Figure 8:** (A and B) MB and (C and D) IS releases from (A) implant E into Agarose gel. (n=3, means  
 385  $\pm$  SD)

386 The releases achieved in this work range from just 80 minutes to over 25 days in an agitated  
 387 vessel and over 40 days in an agarose gel model and show promise as drug delivery systems  
 388 prolonged drug delivery. The use of local anaesthetics (commonly, bupivacaine, lidocaine and  
 389 procaine) to treat localised pain has many advantages when compared with the systemic  
 390 administration of opioids [14]. Work has been carried out to optimise the drug delivery of these  
 391 agents to achieve localised delivery and limit peripheral side effects. An implantable device that  
 392 could locally deliver anaesthetic over days or weeks could be of benefit for delivery of these drugs.  
 393 Currently, the majority of chemotherapeutic agents are delivery systemically. This allows the drug  
 394 to distribute throughout the entire body, including to healthy tissues, causing adverse side  
 395 effects [54]. Polymeric devices aiming to locally deliver cancer drugs have been investigated and aim  
 396 to improve the delivery of these drugs by providing localised sustained delivery and, therefore,  
 397 reduce the effect on healthy tissue. Salmoria *et al.* investigated the use of polymeric implant to locally  
 398 deliver fluorouracil and showed a desirable release rate over 45 days [54]. Localised delivery of  
 399 antibiotics may offer advantages over conventional oral delivery for localised conditions. Gimeno *et*

400 *al.* showed promising delivery of antibiotics which could be tailored by changing the implant design,  
401 from rapid drug release within 20 hours to longer release times around 200 hours for the potential  
402 prevention of orthopaedic-implant associated infections [15]. These examples highlight instances  
403 where the implants developed in this work could be used.

#### 404 3.4. *In Vitro* drug release from coated implants

405 The results described in previous sections show that these implants can be used for sustained  
406 drug delivery over periods of several weeks. The treatment of some medical conditions, especially  
407 chronic conditions, can be improved significantly with drug delivery devices capable of providing  
408 drug release over prolonged periods of time. These periods of time range from months up to years  
409 for potent compounds such as hormones. Examples of this will be the treatment of chronic conditions  
410 or even pre-exposure prophylaxis of human immunodeficiency disease (HIV).

411 A good alternative to obtain implants with prolonged drug release profiles is to coat them with  
412 a membrane capable of sustaining drug release [55]. Accordingly, a simple dip coating procedure can  
413 be used to prepare implants with prolonged drug release profiles. Accordingly, a thin film covers the  
414 surface of the implant acting as a rate controlling membrane [9]. Figure 9 shows the release profile of  
415 MB from implants (Implant B) coated with a PCL-based formulation. It can be seen that the PCL rate  
416 controlling membrane is capable of providing sustained drug releases over periods of 300 days.  
417 Interestingly, non-coated equivalent implants showed MB release profiles extended over only 4 days  
418 (Figure 6). These results suggest that PCL coating could be an ideal approach for applications that  
419 require drug release over longer periods of time. PCL has been described previously as a good  
420 candidate to prepare rate controlling membranes for drug delivery applications [9]. PEG membranes  
421 have been used before to release tenofovir alafenamide for HIV pre-exposure prophylaxis [56]. These  
422 systems achieved prolonged releases between 100 and 200 days. Considering that tenofovir  
423 alafenamide shows a lower water solubility than MB, the system described has great potential for  
424 sustaining the release of hydrophilic molecules as MB showed up to 300 days of release.

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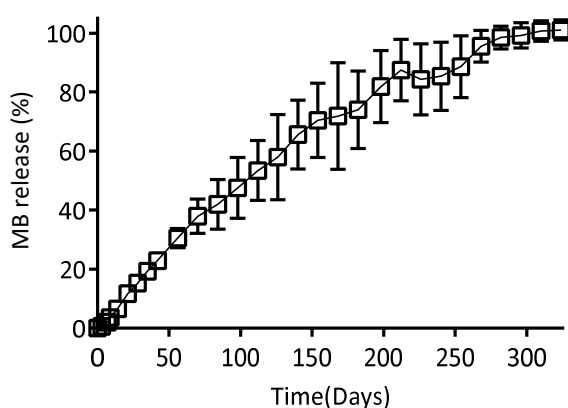
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436 **Figure 9:** Release profile of methylene blue from implant B with a PCL formulation coating (n=3,  
437 means  $\pm$  SD).

#### 438 4. Conclusions

439 In this work, hollow 3D printed implants with similar dimensions to those already available in  
440 the market, were successfully produced. The flexibility of this manufacturing technique allowed five  
441 different implant designs to be easily designed and produced. This technique has the potential to

442 allow personalisation of implantable drug delivery devices for individual patients and conditions.  
443  $\mu$ CT confirmed consistent drug distribution within the implant and confirms the implants suitability  
444 for a range of drug compounds. The mechanical properties of the designed implants were superior  
445 to other drug delivery systems. This work has shown that the release rate from these implants can be  
446 modified by changing the implant design, but is also dependent on the properties of the compound  
447 contained within the implant. Finally, implant coating can provide an added degree of control over  
448 the release, with PCL-based coating shown potential to extend expressively the release profile.

449 The results described in the present work demonstrate how 3D printing is a promising  
450 technology for drug eluting implant manufacture. Considering the simplicity of the technology  
451 described here, it can be easily transferred to a clinical setup, where implants could be designed on  
452 demand to fulfil patient's needs after surgery. These implants may be suited for delivery of drugs for  
453 localised treatment. For example, chemotherapy agents, antibiotics or localised anaesthetics.  
454 Alternatively, they could be tailored by coating them for prolonged drug delivery for the treatment  
455 of chronic conditions. This can be done due to the versatility of 3D printing technology.

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458 and formal analysis, S.A.S., J.D.R., V.J.M. and E.M.; data curation, S.A.S., J.D.R., and E.L.; writing, S.A.S.,  
459 J.D.R., and E.L.; writing—review and editing, E.L. and D.A.L.; funding acquisition: R.F.D.; and supervision, E.L.  
460 and R.F.D.

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