

Clinical Epigenetics

A randomized controlled trial of folic acid intervention in pregnancy highlights a putative methylation-regulated control element at ZFP57

--Manuscript Draft--

Manuscript Number:	CLEP-D-18-00378R1	
Full Title:	A randomized controlled trial of folic acid intervention in pregnancy highlights a putative methylation-regulated control element at ZFP57	
Article Type:	Research	
Section/Category:	Environmental Epigenetics	
Funding Information:	Economic and Social Research Council (ES/N000323/1)	Professor Colum Walsh
	Economic and Social Research Council (ES/N000498/1)	Prof Caroline L Relton
Abstract:	<p>Abstract</p> <p>Background: Maternal blood folate concentrations during pregnancy have been previously linked with DNA methylation patterns, but this has been done predominantly through observational studies. We showed recently in an epigenetic analysis of the first randomized controlled trial (RCT) of Folic Acid Supplementation specifically in Second and Third Trimester (The EpiFASSTT Trial) that methylation at some imprinted genes was altered in cord blood samples in response to treatment. Here we report on epigenome-wide screening using the Illumina EPIC array (~850,000 sites) in these same samples (n=86).</p> <p>Results: The top-ranked differentially-methylated promoter region (DMR) showed a gain in methylation with folic acid (FA) and was located upstream of the imprint regulator ZFP57. Differences in methylation in cord blood between placebo and folic acid treatment groups at this DMR were verified using pyrosequencing. The DMR also gains methylation in maternal blood in response to FA supplementation. We also found evidence of differential methylation at this region in an independent RCT cohort, the AFAST trial. By altering methylation at this region in two model systems in vitro we further demonstrated that it was associated with ZFP57 transcription levels.</p> <p>Conclusions: These results strengthen the link between folic acid supplementation during later pregnancy and epigenetic changes and identify a novel mechanism for regulation of ZFP57. This trial was registered 15th May 2013 at www.isrctn.com as ISRCTN19917787.</p> <p>Keywords: Folic Acid; DNA methylation; Cord Blood; Offspring; Imprinting; ZFP57</p>	
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Response to Reviewers:	<p>MS ID#: CLEP-D-18-00378</p> <p>MS TITLE: -A randomized controlled trial of folic acid intervention in pregnancy highlights a putative methylation-regulated control element at ZFP57</p> <p>Response to Reviewers We would like to thank the reviewers for their positive feedback and constructive criticisms provided, and we appreciate the time they have spent reviewing the manuscript. In regards to their concerns, we have made alterations in blue in the revised version, and responded to each point raised individually as further detailed below. In summary, particular changes and new data to note in the revised MS are:- -A revised Fig.1 showing exclusion/attrition from each arm of the study -A new table of the top 5 ranked sites (Fig.2D) including verification (Results, p7) -Further information on top-ranked regions including verification of one (Results, p8) -More experimental detail on the data handling methods used to find and correct for confounders etc (p21)</p> <p>~~~~~</p> <p>Reviewer #1 We are very appreciative of the encouraging comments regarding the importance of the findings in the manuscript, the suitable study design, appropriate controls and the strength of our further comprehensive approach in terms of pyrosequencing, the use of in vitro models and the verification of our findings in another independent RCT.</p> <p>Major comments C1. -Throughout the manuscript, the authors did not strongly rely on p-values for the identification of differentially methylated regions, which can actually be considered a strength. Identification of differentially methylated regions is based on ranking (considering the RnBeads methylation measures and p-values). However, in order to be able (for the reader) to evaluate the effects observed, it would be helpful to provide some information on the p-values. Not providing this information (even when the statistical approaches are not driven by p-values) raises the question whether the finding were statistically significant. In Figure 3A, p-values were given for the top-5 differentially methylated regions at promoters. Were these p-values adjusted for multiple testing? R1. -The reviewer is correct that we are not reliant on p values for identifying the differentially methylated regions of interest, but instead use the RnBeads rank as our initial springboard for exploring regions with biologically meaningful differences. The p values were generated from linear models employed in the limma package and these were not adjusted, which we now state in the text and legend: these are provided for comparison purposes only and it can be seen that the top regions are not ordered from lowest to highest, since the second-ranked gene has a lower p-value than the top-ranked one. Just to expand on why ranking was used instead, this measure is a combination of the change in mean methylation (also known as the delta beta for EPIC arrays), the quotient of mean methylation and the combined p value as stated on p6 at first use, and in Methods under Statistical analysis (p22). To highlight this, we have included an extra sentence on p6 (L22ff) to further explain our choice of ranking over p value alone. The ranking approach in RnBeads was developed by Assenov and colleagues to provide a more integrated measure, since sites with very good p values often have very small differences in methylation which have little biological meaning; likewise, the quotient may be very high, e.g. between 5% and 1% methylation, but the absolute</p>

change low. Where dealing with individual sites, the ranking system integrates the absolute change, the quotient and the p value to come up with a single measure, for example when looking at the top 1000 sites in Fig.2A and described on p6. We have now included a table of the top-ranked sites as Fig.2D and a brief discussion of these on p7 in response to both reviewers.

While ranking is our initial way of screening differentially methylated sites and regions, the second most important feature for us was the % change in methylation, as only sites showing differences which are 5% or greater can be reliably verified using pyrosequencing: others, no matter how good the p value or how high the rank, cannot be verified by a second lab method. We therefore find that using ranking first, then % change, we can reliably verify differential methylation in our systems; p value would then only be used as a third criteria if so wished. While examining the top-ranked sites also uncovered some of interest, analysis at a site level (whether by rank or p value) is less reliable, since these are more prone to artefacts due to the presence of SNPs and show poorer correlation when assayed using pyrosequencing as described in the new text (p7 L9ff)

Ranking can also be applied at the region level however as we had done in the case of Fig.3A and here was based on the combination of the average difference in means across all sites in the promoter regions of the sample groups, the mean of quotients in mean methylation and the combined p-value, which was calculated from all site p-values in the region using a generalization of Fisher's method as described in Methods p22. In response to the reviewers, we have now also discussed the other top-ranked regions in Fig.3A in more detail on p8 and explained why these were of less interest than ZFP57, due to having low absolute levels of change in methylation, having unknown functions, or similar (see p8, L6ff).

C2. -A major strength of the current study is that different approaches were used to confirm the findings for the upstream region of ZFP57. Among the approaches used, two different in vitro experiments were conducted to explore transcriptional consequences of methylation changes in the upstream region. These experiments may, however, not be well-equipped to draw firm conclusions about regulation of ZFP57 expression. In the first experiment, knockout (KO) cells were used with a mutation in DNMT1 and DNMT3b. As stated by the authors, these cells are hypomethylated at many loci. The authors concluded that observed expression changes of ZFP57 in these KO cells (as compared to wildtype) may be linked to hypomethylation of the upstream region. The title of this results section says "The upstream region is a methylation-dependent regulator of ZFP57 transcription". However, as not only this upstream region, but many loci were hypomethylated, it cannot be concluded whether (only the) upstream region regulates gene expression. Similarly, in the second experiment, 5-aza-dC was used to perturb methylation in a global manner. Also in this experiment, it is difficult to state whether the observed differences in gene expression were (only) resulting from hypomethylation of the identified upstream region. To conclude, both models lack specificity and may not be fully suitable to study specific regulation of ZFP57 expression by the upstream region identified.

R2. -While using isogenic cells with and without mutations in the methyltransferases has been the gold standard to demonstrate a role for methylation in gene control, for example in the case of imprinted genes (Li, Beard and Jaenisch Nature 366: 362 1993), X-inactivation (Beard, Li and Jaenisch Gene Dev 9:2325 1995) and endogenous retroviruses (Walsh, Chaillet and Bestor Nature genet 20:116 1998), it is true that it is hard to formally exclude an indirect effect through change at some second locus. We minimised this risk by using biochemical inhibition of the methyltransferases in a second cell line as well, reducing the likelihood that indirect effects are responsible. However ideally we would need to alter methylation specifically at the DMR using an epiCRISPR approach (while screening for off-target effects) and also firmly establish the role of the DMR in control of ZFP57 transcription: these extensive studies have just begun and will form the basis as a follow-up manuscript as referred to on P16, L8 and also P17, L16ff. Acknowledging these limitations, we have reworded the title of this section to read "Demethylation of the upstream region was accompanied by increased ZFP57 transcription" (p9, L10ff) and the abstract to read "By altering methylation at this region in two model systems in vitro we further demonstrated that it was associated with ZFP57 transcription levels." Likewise we have altered the MS title to read "...highlights a putative methylation-regulated..."

C3 Moreover, it would be of specific interest to study the effects of methylation gain (rather than loss) in the current models and to consider folic acid as a potential modulator of methylation at this region.

R3 - We agree with the reviewer that this would indeed be of real interest and have made some attempts to modulate levels of folate in a cell line. While we have generally seen some small methylation increase at this DMR upstream of ZFP57 upon folic acid treatment the results have been variable and not sufficiently well reproducible. This approach appears to be difficult and not representative of the physiological response to folic acid supplementation due to the complexity of the one carbon metabolism cycle in vivo, with its reliance on several essential cofactors and tissue interactions (see ref 2).

C4-The authors mentioned that the identified DMR upstream of ZFP57 was previously reported in an observational study by Amarasekera et al (ref 52), which, together with results from MoBa+ Generation R, support this region 'being a true folate-sensitive DMR'. However, the results by Amarasekera point towards hypomethylation in women with a high folate status, whereas in the current study a gain in methylation was found with higher folic acid intake. These results therefore seem contradictory. Do the authors have an explanation for this?

R4. -It is true that the Amarasekera study found mothers with higher FA intake had lower methylation at this DMR rather than gains in methylation: in the MoBa + Generation R study, sites at this region were confirmed as having differences, but no direction or magnitude of change was stated, it may have been gain or loss. These studies differed in sample size, design and analysis methods from ours, with the crucial difference being that our results are from a randomized controlled trial (RCT) which can look experimentally at whether increasing FA in mothers causes in methylation or not, whereas the previous studies were observational and so could not examine whether there was a causal link between increased maternal folate and increased offspring DNA methylation of specific genes, even with a large sample size. A more relevant comparison for us was the AFAST study, which was also an RCT where mothers were supplemented with folic acid: in this cohort too there was a gain in methylation at the ZFP57 DMR (Fig.5) in response to increasing the levels of folate in mothers, consistent with our results. Finally, we also checked the response to FA in maternal bloods in our own cohort, which is a semi-independent population. This again showed that supplementation gave a gain in methylation at the ZFP57 DMR between the start of the intervention (GW14) and the last time-point assessed (GW36) of +5.51% (Table 2); strikingly, this was even similar in magnitude to the change seen in the offspring (cord bloods). Given the good agreement in direction and magnitude of change at the ZFP57 DMR in the mothers, the offspring and in the AFAST offspring, all of which are RCT cohorts, we feel this strongly supports there being a gain in methylation rather than loss in response to FA supplementation.

While the design is the main and critical difference between the studies, we also note the very high levels of serum folate concentrations in the high intake group in the Amarasekera study (see next point below for more detail).

Minor comments

C5 General: Presumably, all women took folic acid before conception and during the first trimester (for the prevention of NTDs). For women in the placebo group, this means that they actively stopped taking folic acid at time of the current study. To what extent can observed differences be attributed to this acute stop (and potentially decline in folate status, see post-intervention values Table 1) rather than prolonged use (versus a placebo)? Could this explain some of the observed findings (e.g. decline in methylation for the placebo group in Table 2)? Could the authors speculate on this aspect in more detail?

R5. While it is interesting to view it this way, there are some solid reasons why it would be erroneous to do so. Although Reviewer 1 is quite correct that all the women included in this trial reported that they had taken folic acid supplements in the first trimester, this relied on retrospective self-reported usage of folic acid; in this way the first trimester of pregnancy is outside the period investigated in our RCT. This RCT of folic acid supplementation starts at the beginning of the second trimester (not before then); women at this point were randomized to receive either folic acid or placebo, with the active treatment (intervention) therefore being folic acid. We cannot consider this to be a trial of withdrawal of FA supplements in trimesters 2 and 3 because we did not provide and control the supplement usage during trimester 1 (before randomization), we simply recorded what participants reported to us.

The values in Table 1 do show a decline in folate levels without ongoing folic acid supplementation in the placebo group: this is a widely-reported effect observed during pregnancy in larger observational studies, which would have included women both taking and not taking FA in first trimester (see work cited in ref 42), so we are not seeing any unusual effect in the placebo group due to an abrupt stop in FA, but rather the results are in line with a range of previous studies showing that folate levels drop during pregnancy. What we can say with certainty, due to this being an RCT, is that 1)the levels of folate in the placebo and treated groups were not different at the start of the trial; 2)the levels drop in the placebo group (from 48.8 to 23.6 nmol/L in serum comparing GW14 and GW36), in line with all previous observational work and 3)the levels in the treated group are not elevated over normal but rather are protected from this drop by the dietary supplementation (45.8 nmol/L at GW14 and 46.5 nmol/L at GW36). We have added a few lines to the Results (p5 L24ff) to highlight this point. See also our previous papers on our RCT (refs 42 and 43) and literature cited therein for a fuller discussion of this point.

Just to note that the normal levels of folate in our treatment group at GW36 is another point of difference between our RCT and the observational study by Amarasekera et al, where the high folate group they chose represented an extreme of the population and had blood folate levels almost twice those seen in our treated group at the end of the intervention (74.59+/- 6.1 nmol Amarasekera vs 46.5 +/-19.5 nmol/L GW36 treated group (Table1)). We have added some sentences to the Discussion at the relevant point to highlight this and other differences with the named study (p14, L5ff).

C6-Results: did a Manhattan (or Volcano) plot reveal any (potential) interesting sites?
R6. - Manhattan or volcano plots normally are used to identify sites with high p values: as indicated above, we are using instead a ranking approach, but in response to this request, and a similar one from Reviewer 2, we have provided instead a table of the top-ranked differentially methylated sites (Fig.2D) as mentioned above. We describe these and also provide data on those which we have verified in the revised Results section (p7, L9ff).

C7-Abstract: page 2, line 7-8. "Maternal blood folate concentrations during pregnancy have been previously linked with DNA methylation changes". 'Changes' can be removed (or replaced by profiles / patterns).
R7 This has now been edited from 'changes' to 'patterns'.

C8 Abstract: page 2, line 28-29. "Differences in methylation in cord blood between groups...". The definition of groups have not been given before in the abstract. Please explain what the groups are (FA versus placebo) in the abstract.
R8 This now reads as 'Differences in methylation in cord blood between placebo vs folic acid treatment groups.'

C9 Abstract, page 2, line 31-32. "... were verified using pyrosequencing, and the region responds to FA supplementation in cord blood". The latter part of this sentence is not clear. Should this be maternal blood (although in that case, the sentence is still not very clear)?

R9 This has now been changed to "...were verified using pyrosequencing. The DMR also gains methylation in maternal blood in response to FA supplementation."

C10 Abstract: page 2, line 38-39. "By altering methylation at this region in two model systems in vitro, we further demonstrated that it regulated ZFP57 transcription." Based on the current experiments, it cannot be concluded whether this region regulated ZFP57 transcription, only whether or not there was an association with ZFP57 transcription.

R10 This has now been changed to "By altering methylation at this region in two model systems in vitro, we further demonstrated that it was associated with ZFP57 transcription."

C11 Methods: Were any potential confounders considered in the differential methylation analyses?

R11 We controlled for both known and unknown confounders using a number of approaches: we have expanded this section of the methods to elaborate (p21, L2ff) as follows:

“Initial data exploration in RnBeads used principle components analysis (PCA) to explore potential correlations between the groups and known confounders such as BMI, smoking, gender etc. In addition, in order to account for any hidden confounding variables in the dataset, surrogate variable analysis was carried out using the sva package with the Buja and Eyboglu algorithm from (1992) [68]. Briefly, potential surrogate variables such as age, sample plate, Sentrix ID and Sentrix Position were tested for association with the target variable sample group using PCA and any surrogate variable with a high correlation to sample group was adjusted for and incorporated into the making of the limma based linear model.”

C12 Results: page 5, line 48-50. Minor suggestion: Red Cell Folate without capitals. 'also' can be removed from this sentence.

R12 This has been amended.

C13 Results: page 7, line 37-40. Not clear why the authors refer to figure 3C in this sentence. The numbers mentioned in this sentence (6.23%) refer to panel 3A.

R13 Corrected to Fig.3A.

C14 Results: page 9, line 39-40. 'samples' is presumably subjects (or participants / women).

R14 This has been amended to read 'participants'

C15 Discussion: page 13, line 21-22. Minor typo: examined = examine.

R15 Corrected

C16 . -Results: why is a p-value given for the methylation differences assessed by the pyrosequencing assay (in Figure 3C), but not for the maternal methylation values (in Table 2)?

R16. -The p values for the maternal methylation values in Table 2 were not significant: this is now mentioned in the text on P11, L24.

C17-Figure 1: Presuming that some of the excluded women had actually started with the intervention, it would be informative to present the reasons for exclusion (and corresponding numbers) for both study arms separately.

R17. -Figure 1 now includes the numbers of women excluded from the study for each arm (as below) and this has been further detailed in the methods.

C18 Figure 2a: label for the x-axis shifted to the right.

R18 This has been amended

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Reviewer #2:

This is an interesting and well-written study by Irwin and colleagues reporting a DMR upstream of ZFP57 with increased methylation after folic acid supplementation. Differences in methylation were verified using pyrosequencing. For this study epigenome-wide screening was performed on samples from the EpiFASSTT trial. They also found evidence of differential methylation at this region in an independent cohort, the AFAST trial.

Just a few comments/suggestions:

C1 Background

Page 3, Line 22: The authors report some health benefits of FA supplementation but is missing important background information. The maternal folate status was associated with neural tube defect (NTD) risk as early as 40 years ago and up to 70% of NTDs can be prevented by an optimal maternal red blood cell folate concentration, information on neural tube defects and the benefits of FA supplementation is lacking. [Greene et al, PMID: 21613818; Blom et al, PMID: 16924261; Rochtus et al, PMID: 26349489]

R1. -We thank the reviewer for bringing this to our attention. We have now included these important and interesting references: “Despite the identification of a relationship between maternal folate status and NTDs as early as 40 years ago, information on the mechanism behind between the benefits of FA supplementation with respect to NTDs

remains to be fully elucidated (reviewed in [8]), as does the relationship of FA, NTDs and DNA methylation [9]. There is however little dispute as regards the protective effect of folic acid supplementation before and in early pregnancy, which was proven in clinical trials to reduce NTDs by approximately 70% [10]. Furthermore”

C2 Page 3, Line 42: They write "At a molecular level, there is some evidence in human that epigenetic changes could be the mechanism underpinning some of the effects of folate, particularly in second and third trimester, as reviewed elsewhere." Several lines of evidence support the link between NTDs and epigenetics; especially with an impaired methylation cycle [Greene et al, PMID:21613818]. The neural tube closes in the first trimester. Please elaborate.

R2. – We have added some text and cited this excellent review at the relevant point as follows: "At a molecular level, there is some evidence in human that epigenetic changes could be the mechanism underpinning some of the effects of folate, both in the first trimester [8] in the prevention of NTDs, and also in second and third trimester, as reviewed elsewhere [2]."

C3 Please elaborate how differential methylation of a region upstream of the imprinted gene ZFP57 can influence transcription.

R3. –The region where the upstream DMR is located has some features characteristic of a control element, as from examining publicly-available datasets on the UCSC genome browser there are DNase I hypersensitive sites present here and data suggesting transcription factors may bind here. The potential function for this genomic region is currently being explored in the lab in more detail. We have added a sentence to the Discussion to highlight this information (p17, L16ff). In the Amarasekera paper they found an association between hypomethylation of this DMR and increased transcriptional activity of the ZFP57 gene, but did not test the effects of removing methylation using cell lines and inhibitors as we have.

C4 Cell experiments were performed with HCT116 and HCT116 DKO cell lines. It would be interesting to perform cell experiments to look for the influence of FA supplementation? Were these experiments performed or can they be performed?

R4- See the response to C3 for Reviewer 1 above.

C5 Page 12, line 10: give reference of study that reported that maternal folate concentrations in the third trimester were associated with changes at a DMR at a similar location. What do you mean with similar, are these regions overlapping? Please explain further.

R5. -We understand and apologise that this sentence is confusing, and for clarity we have added the reference here and confirmed we are looking at the same DMR: 'maternal folate concentrations in the third trimester were associated with changes at a DMR at the same genomic location [56]'.

C6 Page 12, line 24: how can you conclude that this is a folate-sensitive DMR?

R6. There are a number of lines of evidence presented in the paper and from the literature to support this point: 1) this region is the top differentially-methylated region in cord blood in this randomized control trial of folic acid intake; 2) the region shows the same direction and similar magnitude of response in offspring in a second RCT, the AFAST cohort; 3) this DMR responds directly to FA in the treated mums; 4) the region has been identified in a previous observational study (Amarasekera) and confirmed in a meta-analysis (MoBa + GenR). This all supports it being a true folate-sensitive DMR.

C7 Validation with pyrosequencing: please give absolute values and p-values of the gain and loss of methylation.

R7 We have now included the gain of methylation and magnitude (p14 L18ff): "We could also verify using a separate biological assay the magnitude and direction of change in methylation, a gain of 5.44% in the treatment group, at the DMR in cord blood by using pyrosequencing (p = 0.172)."

C8 Figure 2B: "Probe methylation density plot comparing the distributions of methylation values per sample group. In the treatment group there is a decrease in the number of fully methylated sites ( $\beta > 0.75$ )." How can you explain that there is a decrease in the number of fully methylated sites after FA supplementation? You would expect the opposite.

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|                                                                                                                                                  | <p>R8 –Although a decrease may seem counterintuitive, we and others have observed this previously. For example, in the meta-analysis performed by Joubert et al. (2016) regarding the MoBa and Generation R studies, there were more CpG with significantly decreased methylation than increased (94% vs 6%). Likewise, we found decreased methylation in response to FA at the high copy number interspersed element LINE1, often used as a litmus test for genome-wide methylation (Caffrey et al, ref 43). The biochemical reasons behind this are currently unclear, however literature suggests that higher levels of FA may cause feedback inhibition by altering the SAM:SAH ratio and therefore the intracellular methylation potential (Christensen et al., 2015). We have added some sentences to the Discussion clarifying this (p13 L8ff).</p> <p>C8 Figure 3A: Top 5 differentially methylated regions: did the authors perform cell experiments with the other genes? Please give more background information on these genes and why they did (not) elaborate these genes.</p> <p>R8. In response to the reviewers, we have now also discussed the other top-ranked regions in Fig.3A in more detail on p8, L6ff and explained why these were of less interest than ZFP57:</p> <p>“For the top 5 regions, ZFP57 was of particular interest and is dealt with below. Two others (CES1, a liver carboxylesterase, and ANKRD20A11P, a pseudogene) show less than 5% change in methylation and so could not be verified: DUSP22 which has a larger change is also a pseudogene and so of less interest. The last DMR is located at a microRNA cluster MIR4520A/B and loses approximately 7.22% overall in the treatment group, averaged over a number of well-spaced CG. Due to pyrosequencing assay design constraints, we could only cover one site (cg08750459) from the array at this locus but that site showed reasonable concordance (loss of 12.24% (p = 0.008) in array and 9.45% (p = 0.006) by pyroassay). The function of these microRNA remains obscure however. “</p> <p>We now also present data on the top 5 ranking sites in Fig.2D, with data on their verification and some discussion of their function on p7, L9ff</p> <p>“We examined the top-ranking sites as identified by RnBeads (Fig.2D): of these, the CG site in the ATP11A gene contained a single nucleotide polymorphism (SNP) missed by the quality control routines: the same was true of the CG at the MAGI2 gene. The presence of the SNPs at these CGs leads to the erroneous appearance of a change in methylation, so these were discounted. Two of the other top-ranked sites were at the PRKAR1B locus, which encodes a regulatory subunit of cyclic AMP-dependent protein kinase A, and one was at NXN, a member of the thioredoxin superfamily: however all three were listed as located in the respective gene body and so are less likely to contribute to transcriptional control. Nevertheless to verify these we used a second method utilizing commercial pyrosequencing methylation assays (pyroassays) designed to query the same CGs. These reported smaller average differences in methylation between treated and placebo groups than seen with the array of 6.6% for cg08104960 at NXN, and 4.2% (cg06242242) and 2.2% for (cg05729249) for the sites at PRKAR1B: only the site at NXN was significant (p=0.002, t-test). “</p> <p>As the other targets are either in gene bodies, and thus unlikely to exert significant transcriptional control, or have unknown function, we therefore concentrated on ZFP57, which in addition to being the top hit and validated in another cohort, also is strongly functionally linked to imprinting, and we have found differences in methylation at imprinted loci in this cohort (this report and ref 43). This rationale is emphasized in the Discussion (p13).</p> <p>C9 All Figures: the layout of the Figures is nice, but please make them more uniform: use same letter type for all Figures.</p> <p>R9. -We would like to thank the reviewer for their kind comments regarding the figures of the manuscript, and we have now made these more uniform as suggested.</p> |
| <b>Additional Information:</b>                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <b>Question</b>                                                                                                                                  | <b>Response</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <p>&lt;b&gt;Is this study a clinical trial?&lt;/b&gt;&lt;hr&gt;&lt;i&gt;A clinical trial is defined by the World Health Organisation as 'any</p> | <p>Yes</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |



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| <p>research study that prospectively assigns human participants or groups of humans to one or more health-related interventions to evaluate the effects on health outcomes'.&lt;/i&gt;</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                             |
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1 1 A randomized controlled trial of folic acid intervention  
2 2 in pregnancy highlights a putative methylation-  
3 3 regulated control element at *ZFP57*  
4 4

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1 **Abstract**

2 **Background:** Maternal blood folate concentrations during pregnancy have been previously  
3 linked with DNA methylation [patterns](#), but this has been done predominantly through  
4 observational studies. We showed recently in an epigenetic analysis of the first randomized  
5 controlled trial (RCT) of Folic Acid Supplementation specifically in Second and Third  
6 Trimester (The EpiFASSTT Trial) that methylation at some imprinted genes was altered in  
7 cord blood samples in response to treatment. Here we report on epigenome-wide screening  
8 using the Illumina EPIC array (~850,000 sites) in these same samples ( $n=86$ ).

9 **Results:** The top-ranked differentially-methylated promoter region (DMR) showed a gain in  
10 methylation with folic acid (FA) and was located upstream of the imprint regulator *ZFP57*.  
11 Differences in methylation in cord blood between [placebo and folic acid treatment groups](#) at  
12 this DMR were verified using pyrosequencing. The DMR also gains methylation in [maternal](#)  
13 [blood](#) in response to FA supplementation. We also found evidence of differential methylation  
14 at this region in an independent RCT cohort, the AFAST trial. By altering methylation at this  
15 region in two model systems *in vitro* we further demonstrated that it [was associated with ZFP57](#)  
16 transcription levels.

17 **Conclusions:** These results strengthen the link between folic acid supplementation during later  
18 pregnancy and epigenetic changes and identify a novel mechanism for regulation of *ZFP57*.  
19 This trial was registered 15<sup>th</sup> May 2013 at [www.isrctn.com](http://www.isrctn.com) as ISRCTN19917787.

20 **Keywords:** Folic Acid; DNA methylation; Cord Blood; Offspring; Imprinting; *ZFP57*

## 1 **Background**

2 Folate is an essential B-vitamin required for viable embryonic and fetal development and as an  
3 important dietary constituent throughout life, fundamental in cellular biosynthesis and DNA  
4 methylation pathways [1,2]. Folic acid (FA) is the oxidized, and more stable, synthetic form  
5 of folate which is exclusively found in supplements and fortified foods [3]. Well-established  
6 evidence from randomized controlled trials [4,5] has led to recommendations, in place globally,  
7 that women should consume 400µg/d FA from prior to conception until the end of the first  
8 trimester in order to protect against neural tube defects (NTDs) [6,7]. Despite the identification  
9 of a relationship between maternal folate status and NTDs as early as 40 years ago, information  
10 on the mechanism behind the benefit of FA supplementation with respect to NTDs remains to  
11 be fully elucidated (reviewed in [8]), as does the relationship of FA, NTDs and DNA  
12 methylation [9]. There is however little dispute as regards the protective effect of folic acid  
13 supplementation before and in early pregnancy, which was proven in clinical trials to reduce  
14 NTDs by approximately 70% [10]. Furthermore there remains a lack of evidence as to whether  
15 it is beneficial to mother and/or child to continue this supplementation throughout the entire  
16 pregnancy [11,12]. FA supplementation during pregnancy has been associated with health  
17 benefits such as reduced risk of low birth weight [13], language delay [14], autism [15],  
18 reduced risk of psychosis [16] and other pediatric problems [17]. In addition, observational  
19 studies have indicated that FA supplement use by mothers during pregnancy is associated with  
20 better cognitive health and brain development in the child [14,18,19], possibly related to the  
21 fact that there is a brain growth spurt at the end of the second trimester [20,21]. However there  
22 may also be potential adverse effects from excess folate in later pregnancy, an aspect which  
23 would also benefit from further exploration [12].

24 At a molecular level, there is some evidence in human that epigenetic changes could be  
25 the mechanism underpinning some of the effects of folate, both in the first trimester [8] in the



1 prevention of NTDs, and also in second and third trimester, as reviewed elsewhere [2]. Folate  
2 is essential for the production of S-adenosylmethionine (SAM), which provides the methyl  
3 group to the DNA methyltransferases (DNMTs), which carry out DNA methylation. DNA  
4 methylation is an essential means of maintaining transcriptional silencing at many different  
5 classes of genes when it occurs at promoter and enhancer elements, including endogenous  
6 retroviruses, genes on the inactive X, and imprinted genes [22] but can also facilitate  
7 transcription when occurring in the gene body [23–25]. DNA methylation is vital for  
8 embryonic survival and development, as mice carrying mutations in the DNA  
9 methyltransferases die *in utero* or shortly after birth [26,27]. Some DNA methylation marks  
10 are inherited from the parents in the form of differential methylation on the paternal or maternal  
11 copy. This includes both the canonical imprinted loci, as well as some germline and neuronal  
12 genes [25,28,29], at all of which methylation plays a direct role in controlling transcription.  
13 Both animal and human studies have indicated that the fetal epigenome is vulnerable to  
14 environmental exposures, such as methyl group availability from the maternal diet [30–36].  
15 Imprinted genes are a paradigm for transmission of epigenetic information across generations.  
16 Methylation differences between the paternal and maternal copies of imprinted genes are  
17 established in the germ cells and are known to be important for transcriptional regulation.  
18 Accordingly, inappropriate loss or gain of methylation at imprint control regions (ICR) is a  
19 diagnostic feature for several human disorders. These regions are protected from the wave of  
20 demethylation which occurs prior to implantation by several factors, such as PGC7/STELLA  
21 [37] and ZFP57, a Krueppel-associated box (KRAB) domain zinc finger protein [38,39].  
22 Several studies to date have centered on analyzing the effects of nutrition in particular on  
23 imprinted genes [31–33,40] and have shown that not only can altered diet result in an altered  
24 epigenotype, but it can also affect phenotype and predisposition to childhood and adulthood  
25 disease [41].

1 We have previously reported data from a randomized controlled trial of Folic Acid  
2 Supplementation in the Second and Third Trimester (The FASSTT Trial; ISRCTN19917787)  
3 where we found supplementation led to significant protection against folate depletion in  
4 mothers and offspring [42], and more recently that this led to differences in DNA methylation  
5 at some imprinted loci by using a candidate gene approach [43]. Here we used the Infinium  
6 Methylation EPIC Beadchip Array to profile genome-wide DNA methylation levels in cord  
7 blood in an unbiased screen for regions susceptible to DNA methylation changes in response  
8 to altered FA levels. We report here that the top candidate region affected is a differentially  
9 methylated region (DMR) upstream of the gene encoding ZFP57. We verified our finding using  
10 pyrosequencing in cord blood, and also show that the region responds to FA supplementation  
11 in maternal blood. Additionally, we confirm that altering methylation results in changes in  
12 *ZFP57* transcription.

## 13 **Results**

### 14 **Maternal FA supplementation significantly improves folate status in mother and baby**

15 For the current analysis, the same 86 cord blood samples from the FASSTT trial (outlined in  
16 Fig. 1) which had been analyzed previously for candidate gene methylation [43] were used: a  
17 summary of the most pertinent characteristics are given in Table 1 for convenience. At baseline  
18 (gestational week 14 (GW14)), there were no detectable differences between the treatment and  
19 placebo groups in maternal characteristics, dietary folate intakes, serum or [red blood cell \(RBC\)](#)  
20 folate concentrations, or in *MTHFR* status, as expected following randomization. There were  
21 also no significant differences in neonatal characteristics such as weight, length, head  
22 circumference etc (Table 1). However as a result of treatment with FA during trimesters 2 and  
23 3, maternal serum and RBC folate became significantly different between placebo and treated  
24 group, as previously reported from this trial. [The normal decline in maternal folate biomarkers](#)  
25 [previously reported from observational studies during pregnancy are mirrored in the placebo](#)

1 group where serum folate decreased from 48.8 to 23.6 nmol/L between GW14 and GW36  
2 (Table 1). FA supplementation served to protect the mothers in the treatment group, where  
3 folate concentrations remained stable over the course of pregnancy (i.e. serum folate 45.8  
4 nmol/L at GW14 and 46.5 nmol/L at GW36). Cord serum and RBC folate concentrations were  
5 also significantly higher in infants of the mothers supplemented with FA compared with those  
6 from the placebo mothers (Table 1). RBC folate concentrations in mothers and offspring were  
7 strongly correlated ( $r = 0.619$ ;  $P = <0.001$ , Suppl. Fig. 1).

### 8 **Widespread alterations to DNA methylation levels in cord blood in response to late** 9 **gestation maternal FA supplementation**

10 DNA was purified from cord blood and quantified prior to bisulfite conversion and  
11 hybridization to the Infinium Methylation EPIC Beadchip Array, which covers more than  
12 850,000 CpG sites distributed across the genome. Methylation values are expressed as a  
13 decimal value  $\beta$  between 0.0 (no methylation) and 1.0 (fully methylated). Data were analyzed  
14 and visualized using the RnBeads package in RStudio (see methods section). As a control, a  
15 quantile-quantile (QQ) plot of observed versus expected Chi-squared values was generated and  
16 showed no evidence of population substructure effects (Suppl. Fig.2). Figure 2A is a scatterplot  
17 showing mean  $\beta$  value for each CpG site analyzed in treated versus placebo samples. Overall,  
18 methylation at individual CpG remains closely correlated ( $\rho=0.998$ ) between the two groups  
19 as expected, with most sites falling along the diagonal. Sites which differed in methylation  
20 between placebo and treatment groups were automatically ranked by RnBeads, which uses a  
21 combination of the change in mean methylation, the quotient of mean methylation and the  
22 combined  $p$  value, and the 1000 top-ranking sites are highlighted in red in Figure 2A. This  
23 metric was developed to take into account not only  $p$  value but the magnitude of the change in  
24 methylation and in our experience is a more reliable indicator of biologically meaningful  
25 differences than  $p$  value alone. Sites falling along either side of the diagonal, representing gains

1 and losses in methylation after treatment, can both be seen, with a tendency to greater numbers  
2 of sites losing. Consistent with this, a methylation density distribution plot shows that after  
3 treatment there was a clear decrease in the numbers of sites in the top quartile for methylation  
4 ( $\beta=0.75-1.00$ ; Fig. 2B). Taking the top 1000 ranking sites overall, approximately 2/3 ( $n=658$ )  
5 lost and 1/3 ( $n=342$ ) gained methylation (Fig. 2C). However, the magnitude of these changes  
6 was generally modest, with only 302 (193+109) losing or gaining more than 5% methylation,  
7 the minimum change which we could potentially verify using pyrosequencing, and only 76  
8 sites losing or gaining more than 10% (Fig. 2C).

9 We examined the top-ranking sites as identified by RnBeads (Fig.2D): of these, the CG site in  
10 the *ATP11A* gene contained a single nucleotide polymorphism (SNP) missed by the quality  
11 control routines: the same was true of the CG at the *MAGI2* gene. The presence of the SNPs at  
12 these CGs leads to the erroneous appearance of a change in methylation, so these were  
13 discounted. Two of the other top-ranked sites were at the *PRKAR1B* locus, which encodes a  
14 regulatory subunit of cyclic AMP-dependent protein kinase A, and one was at *NXN*, a member  
15 of the thioredoxin superfamily: however all three were listed as located in the respective gene  
16 body and so are less likely to contribute to transcriptional control. Nevertheless to verify these  
17 we used a second method utilizing commercial pyrosequencing methylation assays  
18 (pyroassays) designed to query the same CGs. These reported smaller average differences in  
19 methylation between treated and placebo groups than seen with the array of 6.6% for  
20 cg08104960 at *NXN*, and 4.2% (cg06242242) and 2.2% for (cg05729249) for the sites at  
21 *PRKAR1B*: only the site at *NXN* was significant ( $p=0.002$ , t-test).

## 22 **Identification and verification of a differentially methylated region upstream of *ZFP57***

23 Given that single sites are more susceptible to confounders such as the presence of SNPs and  
24 show only moderate accuracy on verification, and to maximize our chances of finding  
25 biologically significant changes, we also looked for genomic intervals showing coherent

1 alterations in methylation across multiple neighboring sites [44], rather than isolated CpGs.  
2 Figure 3A lists the top 5 differentially methylated regions (DMR) found at promoters, ordered  
3 by RnBeads ranking which is here computed by combining measures at adjacent sites using a  
4 linear hierarchical model as described in Methods: uncorrected  $p$  value and % change in  
5 methylation are also shown for comparison. For the top 5 regions, *ZFP57* was of particular  
6 interest and is dealt with below. Two others (*CESI*, a liver carboxylesterase, and  
7 *ANKRD20A11P*, a pseudogene) showed less than 5% change in methylation and so could not  
8 be verified: *DUSP22* which has a larger change is also a pseudogene. The last DMR is located  
9 at a microRNA cluster *MIR4520A/B* and loses approximately 7.22% overall in the treatment  
10 group, averaged over a number of well-spaced CG. Due to pyrosequencing assay design  
11 constraints, we could only cover one site (cg08750459) from the array at this locus but that site  
12 showed reasonable concordance (loss of 12.24% ( $p = 0.008$ ) in array and 9.45% ( $p = 0.006$ ) by  
13 pyroassay). The function of these microRNA remains obscure however.

14 Of more interest in the context of this cohort was the highest ranking promoter DMR identified  
15 using RnBeads [45], which was located on chromosome 6, the closest gene being the known  
16 regulator of genomic imprinting *ZFP57*. The identified DMR consisted of 15 CpG sites and  
17 mapped approximately 3kb upstream of the first exon of the gene, a region containing  
18 additional adjacent sites also gaining methylation. Figure 3B shows a genomic map of the first  
19 exon of *ZFP57* and the upstream region, overlaid with a track showing the locations of EPIC  
20 probes and whether they gained or lost methylation. Also shown is a graph of averaged  
21 methylation values at the numbered CpG probes from the array in placebo and treatment  
22 groups, showing a clear difference in methylation extending beyond the DMR. To confirm  
23 these results using a second method we designed a pyrosequencing methylation assay  
24 (pyroassay) to cover some of these CpG sites, as shown in Fig.3B. Due to the CpG density of  
25 this region, thus difficulty in pyrosequencing primer design, our pyroassay is not directly



1 overlapping all CpGs identified by RnBeads as the DMR but is inside the area showing  
2 methylation differences. We then carried out PCR and pyrosequencing for all the samples.  
3 Methylation levels at each array site ( $n=15$ ) in the DMR, averaged across each group are shown  
4 in Fig.3C. This confirmed clear differences between the two groups with respect to methylation  
5 across this region, with cord bloods from the children born to FA-supplemented mothers  
6 showing higher methylation at all sites, and 6.23% more methylation overall for the significant  
7 DMR probes (Fig.3A). The overall gain in methylation at the CpGs covered by the pyroassay  
8 ( $n=6$ ) was very similar in magnitude and direction to that seen over the neighboring CpG by  
9 the array (+5.44% vs +6.23%, respectively- Fig.3A, C).

#### 10 **Demethylation of the upstream region was accompanied by increased *ZFP57*** 11 **transcription**

12 Having established that methylation differences at the upstream DMR are evident between FA-  
13 supplemented and placebo-treated controls, we wished to test mechanistically if such  
14 differences could impact on transcription from the downstream gene. To do this, we first used  
15 a well-established model, the paired colorectal cancer lines HCT116 and its derivative HCT116  
16 DKO (double knockout), which carries mutations in two of the methyltransferase genes  
17 *DNMT1* and *DNMT3B* and is known to be hypomethylated at many loci [46]. Methylation  
18 array data available in-house showed differential methylation between the parental or wild type  
19 HCT116 (WT) and paired DKO cells at the same region upstream of *ZFP57* found in the  
20 FASSTT cohort, indicated by red coloured bars whose height is proportional to the loss of  
21 methylation (Fig.4A); this indicates that DNMT1 and DNMT3B are required for methylation  
22 at this locus. We confirmed these results using our pyroassay, which showed >80% methylation  
23 in WT HCT116 cells and a drop to <20% in DKO cells ( $p<0.001$ ) (Fig.4B).

24 To determine if methylation at this upstream region can regulate transcription at the  
25 *ZFP57* gene 3kb downstream, we designed primers to cover part of the transcript as shown in

1 Fig.5A (FW/RV) and carried out reverse transcription on mRNA from the cells followed by  
2 polymerase chain reaction (RT-PCR). While minimal transcript could be detected in the  
3 HCT116 WT cells, which are heavily methylated, signal was readily apparent in the  
4 demethylated DKO cells (Fig. 4C). We confirmed this expression pattern quantitatively using  
5 RT-qPCR (Fig.4D). While these results show that the gene can be de-repressed in response to  
6 loss of methylation, it is normally not expressed in colon cells, from which HCT116 were  
7 derived, so we used the neuroblastoma cell line SH-SY5Y to test the effect of methylation  
8 changes on transcription in a neural cell type. *ZFP57* is normally transcribed in neural tissue  
9 as well as early embryo [47], but shows some methylation in the SH-SY5Y cells, which may  
10 be due to differences among neural cell types, or reflect accumulation of methylation during  
11 culture: however these cells are likelier than HCT116 to contain neural-specific transcription  
12 factors. Here we used a second method to perturb methylation, namely treatment with the  
13 DNA methyltransferase inhibitor 5'aza-2'deoxyctidine (5-aza-dC). Exposure of the cells to  
14 this small molecule inhibitor caused loss of methylation at the upstream region (Fig.4E). RT-  
15 PCR confirmed that *ZFP57* was de-repressed upon treatment with 5-aza-dC (Fig.4F).  
16 Quantification of mRNA levels with RT-qPCR again indicated a substantial increase in  
17 transcription from the gene in response to loss of methylation (Fig. 4G).

### 18 **Greater variability at imprinted DMR in folate-treated samples**

19 These results suggest that the increased methylation seen at the *ZFP57* upstream region will  
20 lead to a decreased transcription. Since *ZFP57* plays a role in maintaining methylation  
21 specifically at imprinted genes, we examined methylation levels at these regions using data  
22 from the EPIC array. We used germline differentially methylated regions as defined by [48]  
23 and assessed average methylation across all probes which fell within these intervals. We  
24 excluded DMR which were flagged as acquiring methylation differences somatically, and also  
25 germline DMR where methylation as assessed by the array fell outside the 35-65% methylation

1 range defined as normal in that study. This left 15 imprinted germline DMR for which the  
2 median methylation level fell within the normal range in the placebo group (Suppl. Fig.3A).  
3 Comparing the samples from the folate supplemented group, only the maternally imprinted  
4 Neuronatin gene (*NNAT*) showed a small but significant loss of methylation in the treatment  
5 group ( $p=0.022$ , Mann-Whitney U test (MWU)) but there was no significant difference  
6 between placebo and treatment for any other DMR. However it was notable that 11/15 DMR  
7 showed a significantly greater variability in methylation in treated participants ( $p<0.001$ , Chi-  
8 squared test), which can be seen from the greater interquartile range (IQR -see Suppl. Fig.3A).  
9 Along with this greater variability in the treatment group, the median methylation levels  
10 trended lower than the placebo group for almost all imprinted genes. (Suppl. Fig. 3A). We  
11 repeated this analysis using imprinted DMR as defined by Court et al [49], which defines  
12 slightly larger DMR based on an analysis of Illumina 450K data. After applying similar criteria  
13 as above, this left 14 DMR suitable for comparison. Using these genomic intervals, again only  
14 *NNAT* showed a significantly different level of methylation in treated samples ( $p=0.022$ ,  
15 MWU; Supp.Fig.3B), although *PLAG1* was also close to significant ( $p=0.072$ , MWU). Again,  
16 the IQR for the imprints showed greater variability in the treated than placebo groups ( $p<0.001$ ,  
17 Chi-squared test) and medians tended to be lower in the FA-treated group (Suppl.Fig.3B).

### 18 **Increased *ZPF57* methylation in response to FA in maternal blood samples**

19 In order to investigate the effects of FA in maternal tissue, and to elucidate if this differentially  
20 methylated region upstream of *ZFP57* was directly responsive, we carried out pyrosequencing  
21 on matched maternal buffy coat samples at GW14 ( $n=24$ ) and GW36 ( $n=24$ ) (i.e. comparing  
22 the same mother's blood sample taken before and after intervention). Pyrosequencing analysis  
23 confirmed that FA-supplemented mothers show a 5.51% increase in DNA methylation levels  
24 at this DMR after late gestation supplementation ( $p$  value not signif.), in contrast to non-  
25 supplemented mothers, whose methylation levels decreased 1.51% at GW36 (Table 2).

## Effect of FA at the *ZFP57* DMR in a second cohort

In order to test the generality of the effect of folic acid intervention on this genomic region, we examined data from a second randomized-controlled trial. The Aberdeen Folic Acid Supplementation Trial (AFAST) was an RCT using two doses of folic acid (0.2 and 5mg/day vs placebo) during pregnancy, with intervention starting at antenatal booking at <30weeks gestational age [50]. The study was conducted in the late 1960s and recently Richmond and colleagues [35] followed-up on the offspring born to the mothers who had participated in the trial, mean present age 47 years. Saliva samples were collected from those who could be identified and consented, with subsequent 450k array analysis conducted using modelling approaches to correct for hidden variables such as cell counts [35]. Examination of the CpG in the *ZFP57* DMR which we had identified in the EpiFASSTT cohort showed the same trends in the AFAST high-folate cohort versus placebo, with change in a positive direction across the whole region (Fig.5A), although effect size was lower at each site in the AFAST study (Fig.5B).

## Discussion

We have previously reported DNA methylation differences at imprinted loci using cord blood from the EpiFASSTT trial of folic acid (FA) supplementation in later pregnancy by using a candidate gene approach. Here we used the same samples to carry out an unbiased genome-wide screen for methylation differences using the EPIC array. The top hit was a differentially methylated region upstream of the imprint controller *ZFP57* and we separately verified methylation differences by pyroassay. This region responded to FA supplementation in maternal blood as well as in cord blood, and showed differences between FA-treated and untreated in an independent cohort [50]. Altered methylation at *ZFP57* was associated with increased variation in methylation at imprinted loci in cord blood. We also showed using two

1 separate cell line models that altering methylation at the *ZFP57* upstream region can affect  
2 transcription, indicating a potential feedback mechanism may be operating here. We were also  
3 able to identify and verify methylation changes at a number of other individual CpG sites  
4 including some in the gene bodies of the *NXN* and *PRKAR1B* genes and at the start of the  
5 *MIR4520A/B* gene, but these were less likely to have functional consequences. It is notable  
6 also that we found more decreases in methylation genome-wide than increases, which may  
7 seem counter-intuitive; however we and others have reported similar response to FA previously  
8 [43, 56]. It is has been suggested that FA may cause feedback inhibition by altering the  
9 SAM:SAH ratio and therefore the intracellular methylation potential [51].

10       Uncovering a DMR at a region controlling *ZFP57* transcription as the top hit in an  
11 unbiased screen was particularly striking in the EpiFASSTT randomized controlled trial, where  
12 we have already shown, using a candidate gene approach, that methylation levels were  
13 perturbed at some imprinted loci. The primary importance of *ZFP57*, as described in the  
14 literature from mechanistic work, is in maintaining imprinting, and it is currently the only  
15 protein known to be dedicated solely or largely to this epigenetic process [52]. *ZFP57* was  
16 discovered as a maternal-zygotic effect gene which was required in mice for establishing  
17 methylation at some imprints in the oocyte, and for maintaining all imprints, both maternal and  
18 paternal, in the preimplantation embryo [38]. It does this by binding to a conserved hexamer  
19 consensus sequence (5'-TGCme5CGC-3) found at all imprinting control regions (ICRs)  
20 [53,54], recognizing the methylated CpG in this motif, as shown in a crystallographic study  
21 [55]. Deletion of mouse *Zfp57* causes a loss of methylation from the modified parental allele  
22 by mid-gestation, with subsequent dysregulation of transcription at imprinted loci and  
23 embryonic lethality [54]. Importantly, mutations in the human homologue *ZFP57* are also  
24 associated with hypomethylation of multiple imprinted loci, indicating a conserved role in  
25 human for this gene in maintaining imprints [39].



1           Although this is the first report, to our knowledge, from a randomized controlled trial  
2 of FA intervention which implicates methylation changes at *ZFP57*, it was previously reported  
3 from a small observational study ( $n=23$ ) that maternal folate concentrations in the third  
4 trimester were associated with changes at a DMR at the same genomic location [56] when  
5 cord blood DNA methylation levels at birth were profiled. While that study reported a loss  
6 rather than gain of methylation, it was not an RCT but an observational study, and so could not  
7 test the effects of folate supplementation directly in a controlled fashion: there were many other  
8 differences in study design, numbers of participants and analysis methods. It should also be  
9 noted that the high folate group in that study had levels of serum folate almost twice those seen  
10 in our treated samples ( $74.59 \pm 6.1$  nmol/L Amarasekera *et al.* vs  $46.5 \pm 19.5$  nmol/L GW36  
11 treated group this study), highlighting that we are protecting normal folate levels rather than  
12 elevating them. Although the largest-to-date observational study, comprising a meta-analysis  
13 of the MoBa ( $n=1275$ ) and Generation R ( $n=713$ ) cohorts, did not identify this region as a top  
14 hit, they could confirm that 5 CpG sites within this 923bp region were significantly altered,  
15 though not the direction of change [57]. These two papers reporting changes from different  
16 observational studies nevertheless lend considerable support to this being a true folate-sensitive  
17 DMR. We could also verify using a separate biological assay the magnitude and direction of  
18 change in methylation, a gain of 5.44% in the treatment group, at the DMR in cord blood by  
19 using pyrosequencing ( $p = 0.172$ ). Furthermore, by comparing the mothers pre- and post-  
20 intervention we could show that this region also gained methylation in the treated mothers, but  
21 lost methylation in the placebo group, providing a further degree of validation.

22           To extend our findings, we also used data from one of the few other RCT testing the  
23 role of folic acid during pregnancy, the AFAST study [50]. We found a small effect (Cohen's  
24  $D < 0.2$ ) at all the CpG across the *ZFP57* DMR, whereas there was a medium effect (Cohen's  $D$   
25  $< 0.5$ ) seen at the same region in the EpiFASSTT study. The effect in AFAST was only seen

1 with the high dose of FA (5mg/day) vs placebo, rather than the lower dose (200µg/day) which  
2 was closer to that used in EpiFASSTT (400µg/day), and the effect size was smaller than that  
3 seen in EpiFASSTT. There may be a number of reasons why effect size was smaller in AFAST:  
4 1)the time between exposure and measurement is much greater, with median age 47 years in  
5 AFAST, vs newborns in EpiFASSTT; 2)the AFAST participants used were recruited  
6 significantly later than other groups (20.2 weeks for high dose vs 16.3 for low dose), meaning  
7 that there was less time spent exposed to the additional FA while in the womb 3)the AFAST  
8 DNA samples were derived from saliva, while the EpiFASSTT DNA samples are from cord  
9 blood and 4)final numbers for the AFAST comparisons were very low (5mg/day n=23; placebo  
10 n=43). Notwithstanding these limitations, the AFAST study showed a similar effect in terms  
11 of direction and magnitude at the same region upstream of *ZFP57*, providing further evidence  
12 that this is a bona fide FA sensor.

13         Given the role of *ZFP57* in imprint maintenance, we also took advantage of the array  
14 to examine imprinted genes in our samples. Of these, only the maternal imprint *NNAT*  
15 (neuronatin) showed a small but significant loss of methylation in the treatment group,  
16 consistent with other evidence [58]. *NNAT* is highly expressed in brain and placental tissue and  
17 functions during brain development to regulate ion channels and maintain hindbrain and  
18 pituitary segment identity [59]. *ZFP57* is essential for the maintenance of this imprint [38].  
19 Induction of increasing mRNA levels of *NNAT* commences at midgestation in association with  
20 neurogenesis, and peaks upon neuroepithelial proliferation and neuroblast formation [60],  
21 which would coincide with when folate concentrations increased in the treated group.  
22 Although we previously reported significant differences overall at *IGF2*, and at some CpG for  
23 *GRB10* in our candidate gene approach using these samples [43], that was based on pyroassays  
24 which covered smaller regions of the imprinted DMR, whereas the probes from the array are  
25 more dispersed and cover a larger area. It was also notable that, while there was little change

1 at other imprinted DMR as assessed by the array, there did appear to be an increase in the  
2 variability of methylation at these regions, an effect which was small but statistically significant  
3 and consistent with findings from a mouse model where FA supplementation increased  
4 variance in methylation levels across generations [61]. Given that *ZFP57* has a role in  
5 maintaining imprints, increased methylation at the upstream controller as seen in our FA-  
6 treated samples should lead to decreased transcription of *ZFP57*, which could potentially lead  
7 to reduced ability to maintain imprints and increased variability in methylation at the ICR.  
8 These possibilities can be further explored using our *in vitro* cell models.

9         It remains to be established from mechanistic studies in mouse whether *ZFP57* plays  
10 any role in maintaining methylation *in vivo* in the post-implantation embryo. It is also possible  
11 that methylation of the DMR in human blood may not reflect the methylation levels seen at  
12 earlier stages, or in tissues which normally express the gene, which includes oocytes and some  
13 neural cells. It may be that methylation levels at the *ZFP57* DMR reported here reflect changes  
14 which have occurred in cord and maternal bloods independently of what is occurring in  
15 germline, and this would need to be assessed. It is also quite likely, given that imprints are  
16 thought to be established much earlier during development that it would not be until the next  
17 generation that effects at imprinted germline DMRs could be seen. In this context, several  
18 studies have pointed to transgenerational rather than intergenerational effects at imprinted loci  
19 [62,63]. It should also be noted that methylation levels varied substantially across the *ZFP57*  
20 DMR and between individuals (max = 94.97, min = 20.95), unlike the imprinted DMR which  
21 vary much less and may be buffered against methylation changes by multiple mechanisms.

22         In addition to its well-established role in imprinting, *ZFP57* has also been proposed to  
23 act as a transcriptional repressor in Schwann cells, which comprise the principal glia of the  
24 peripheral nervous system [47]. Recent work from our group has indicated children born from  
25 mothers supplemented with FA in late gestation have psychosocial developmental benefits,

1 scoring significantly higher for emotional intelligence and resilience in comparison with  
2 children not exposed to FA supplementation in later pregnancy [64]. Further work needs to  
3 be carried out to check if there are any other, novel targets of *ZFP57* which may be affected  
4 in later childhood and adulthood.

5 We sought to clarify whether an increase in methylation at the *ZFP57* DMR as seen in  
6 this RCT would have a substantial effect on production of the protein. In order to explore  
7 whether changes in methylation can alter transcription we utilized cell lines where the only  
8 variable was the presence or absence of DNA methylation. Our results from these two systems  
9 (HCT116 cells with methyltransferase deficiency and SH-SY5Y cells treated with an inhibitor)  
10 showed that altering methylation alone can cause changes in transcription at the *ZFP57* locus,  
11 and that this is linked to changes in methylation at the DMR. Our results therefore support the  
12 hypothesis that the DMR represents an upstream control element for the gene, which we have  
13 shown from the RCT is sensitive to methyl donor status in the diet. Little is currently known  
14 about the factors controlling *ZFP57* transcription. Interestingly, the region containing the DMR  
15 does not appear to be conserved in mice, and so may represent a human-specific element.  
16 However it has features characteristic of a control element, as from examining publicly-  
17 available datasets on the UCSC genome browser there are DNase I hypersensitive sites present  
18 here and data suggesting transcription factors may bind. We are currently exploring these  
19 aspects of the work further.

## 20 **Conclusions**

21 Despite the limitations discussed above, we have nevertheless shown conclusively that a region  
22 upstream of the imprint controller *ZFP57* shows changes in methylation in mothers in response  
23 to intervention during later pregnancy with FA, a methyl donor, and that this effect is also  
24 evident in the cord blood in their offspring. Our findings are borne out by other observational  
25 studies as well as an independent RCT [50]. We have also clearly demonstrated that altering

1 methylation is sufficient in itself to cause changes in transcription of the gene. These results  
2 have implications for the control of imprinting by environmental inputs and uncover a novel  
3 transcriptional control element which may be involved in this process.

## 4 **Methods**

### 5 **Study design and sample collection**

6 Samples were acquired from the FASSTT (Folic acid supplementation in the second and third  
7 trimester) study cohort, a previously-conducted double-blinded, randomized controlled trial in  
8 Northern Ireland described in full previously [42,43]. To summarize in brief, women with  
9 singleton pregnancies were recruited at approximately 14 weeks of gestation from antenatal  
10 clinics at the Causeway Hospital, Coleraine ( $n = 226$ ; Fig.1). Women were excluded from  
11 participation if they were taking medication known to interfere with B-vitamin metabolism or  
12 if they had any vascular, renal, hepatic or gastrointestinal disease, epilepsy or had a previous  
13 NTD-affected pregnancy. Prior to randomization,  $n=36$  women withdrew from the study. The  
14 remaining eligible participants at the end of their first trimester were randomized into two  
15 groups; one group received 400 $\mu$ g/d folic acid ( $n = 96$ ) and the other a placebo in pill form ( $n$   
16 = 94) until the end of their pregnancy. Randomization was done on a double-blind basis.  
17 Maternal non-fasting blood samples were taken at gestational week 14 (GW14), prior to  
18 intervention commencement, and at GW36, towards the end of the intervention. The study was  
19 completed by 119 women, as 71 participants were excluded during the study (see Fig.1). A  
20 total of  $n=37$  women were excluded from the folic acid group for the following reasons:  
21 participant withdrawal  $n=11$ , pregnancy complications  $n=13$ , prescribed folic acid  $n=6$ , foetal  
22 death  $n=6$ , non-compliance  $n=6$ . A total of  $n=34$  women were excluded from the folic acid  
23 group for the following reasons: participant withdrawal  $n=14$ , pregnancy complications  $n=8$ ,  
24 prescribed folic acid  $n=5$ , foetal death  $n=2$ , non-compliance  $n=3$ , hospital transfer  $n=2$ .

1 Umbilical cord blood samples were collected after the expulsion of the placenta at delivery,  
2 along with birth weight, length, head circumference, mode of delivery and Apgar score.

### 3 **Blood sample processing and B-vitamin biomarker determination**

4 Blood samples were collected in EDTA-lined tubes, kept refrigerated and processed within 4h  
5 (excepting cord blood, processed within 24h). Blood samples were analyzed for serum and red  
6 blood cell folate and vitamin B12 via microbiological assay as previously described [65,66] .  
7 The buffy coat was used for methylenetetrahydrofolate reductase (*MTHFR*) 677C>T  
8 genotyping as described (Frosst *et al.* 1995). Quality control was affirmed by repeated analysis  
9 of stored batches of pooled samples. Intra- and inter-assay CVs were  $\leq 8.2\%$  for serum and  
10 RBC folate and  $\leq 10.4\%$  for serum vitamin B12.

### 11 **Maternal dietary analysis**

12 Dietary data was collected using a 4d food diary in combination with a food-frequency  
13 questionnaire during the second trimester of pregnancy, with particular emphasis on a of B-  
14 vitamin-fortified food intake. Dietary analysis was carried out using WISP version 3.0  
15 (Tinuviel Software, UK) modified to segregate naturally-occurring folate in foods versus folic  
16 acid fortification of foods; these were combined to enable calculation of dietary folate  
17 equivalents.

### 18 **Cell culture**

19 HCT116 and double knockout (DKO) cells (Rhee *et al.* 2002) were cultured in 1g/L glucose  
20 DMEM supplemented with 10% FBS and 1x NEAA (Thermo Scientific, Loughborough, UK).  
21 SH-SY5Y cells were cultured in DMEM/F12 medium supplemented with 10% FBS (Thermo  
22 Scientific) For treatment with 5'-aza-2-deoxycytidine (5-aza-dC) (Sigma-Aldrich, Dorset, UK),  
23 SH-SY5Y cells were seeded onto a 90mm plate in complete medium, and the following day  
24 medium was replaced and supplemented with 5-aza-dC at a final concentration of 1 $\mu$ M, which

1 was renewed at 24-hour intervals up to 72 hours. Cells were then harvested for DNA and RNA  
2 extraction.

### 3 **Transcriptional analysis**

4 RNA was extracted using the RNeasy Mini kit (Qiagen, Crawley, UK) according to  
5 manufacturer's instructions. Complementary DNA (cDNA) was synthesized and RT-  
6 qPCR/RT-PCR were carried out as previously [29]. Primer sequences are listed in  
7 Supplementary Table 1. Human reference total RNA was used as a positive control for  
8 expression (Clontech, UK).

### 9 **DNA extraction, bisulfite conversion and Infinium MethylationEPIC Beadchip Array**

10 Genomic DNA was extracted from cultured cells as previously described [25] and from cord  
11 blood using the QiAMP DNA Blood Mini kit (Qiagen), according to manufacturer's  
12 instructions. Purity and integrity of DNA were assessed by agarose gel electrophoresis and  
13 using the Nanodrop 2000 spectrophotometer (Labtech International, Ringmer, UK). DNA  
14 quantification was determined using Quant-IT PicoGreen dsDNA Assay Kit (Invitrogen,  
15 Paisley, UK). The DNA at a concentration of 50ng/μl was sent to Cambridge Genomic Services  
16 (Cambridge, UK), who bisulfite converted the DNA in-house using the EZ DNA Methylation  
17 Kit (Zymo Research, California, USA) prior to hybridization to the Infinium Human  
18 Methylation EPIC BeadChip Array and scanning with the Illumina iScan according to  
19 manufacturer's instructions (Illumina, Chesterford, UK).

### 20 **Bioinformatic analysis**

21 *GenomeStudio* (Illumina v3.2) was used for initial data processing. Subsequently *idat* files  
22 were imported into the *RnBeads* package (version 1.6.1) [45] in the freely available statistical  
23 software platform R (version 3.1.3) using R Studio interface (Version 0.99.903). Samples were  
24 quality control checked including removal of probes with missing values, containing SNPs, or  
25 of poor quality using the *greedy* algorithm, then sex chromosomes were removed from the



1 analysis. Background correction was carried out using *methylumi.noob* and the methylation  
2 values of the remainder probes were normalized using *bmiq* [67]. Initial data exploration in  
3 RnBeads used principle components analysis (PCA) to explore potential correlations between  
4 the groups and known confounders such as BMI, smoking, gender etc. In addition, in order to  
5 account for any hidden confounding variables in the dataset, surrogate variable analysis was  
6 carried out using the *sva* package with the Buja and Eyboglu algorithm from (1992) [68].  
7 Briefly, potential surrogate variables such as age, sample plate, Sentrix ID and Sentrix Position  
8 were tested for association with the target variable sample group using PCA and any surrogate  
9 variable with a high correlation to sample group was adjusted for and incorporated into the  
10 making of the *limma* based linear model. The methylation intensities for each probe, each  
11 representing a CpG site, were represented as  $\beta$ -values (ranging from 0, unmethylated, to 1,  
12 fully methylated) and these were plotted against genomic loci (based on *hg19* -Human Genome  
13 Build 19) using *GALAXY* software (<https://usegalaxy.org/>) [69] in order to visualize changes  
14 in DNA methylation on the University of California at Santa Cruz genome browser  
15 (<https://genome.ucsc.edu/>) as described previously [70].

### 16 **Bisulfite pyrosequencing**

17 Primers spanning the probes of interest from the array were designed using the PyroMark  
18 Assay Design Software 2.0 and bisulfite-treated DNA PCR-amplified using the PyroMark PCR  
19 kit prior to analysis on a PyroMark Q24 according to manufacturer's instruction (Qiagen). The  
20 primer sequences are summarized in Supplementary Table 2. Amplification was carried out as  
21 follows: 95°C for 15min, followed by 45 cycles of 95°C for 30sec, 56°C for 30 sec, and 72°C  
22 for 30sec, with a final elongation step at 72°C for 10min. Products were verified via gel  
23 electrophoresis prior to pyrosequencing analysis.

## 1 **Statistical analysis**

2 Statistical analysis was performed using the Statistical Package for the Social Sciences  
3 software (SPSS) (Version 22.0; SPSS UK Ltd., Chertsey, UK). The results are expressed as  
4 mean  $\pm$  SD, except where otherwise stated. For normalization purposes, variables were log  
5 transformed before analysis, as appropriate. Differences between treatment groups for  
6 participant characteristics were assessed using an independent *t* test for continuous variables or  
7 chi-square for categorical variables. Pyrosequencing data and RT-qPCR data were analyzed  
8 using Student's *t* test to identify statistical differences between intervention groups. A *p* value  
9  $<0.05$  was considered significant. Differential methylation analysis was conducted in *RnBeads*  
10 (see above) on a site and region level. The normalized  $\beta$ -values were converted into M-values  
11 ( $M = \log_2(\beta/(1-\beta))$ ) and differential methylation between samples (placebo vs. treatment) was  
12 estimated with hierarchical linear models using *limma*. Ranking was automatically carried out  
13 in *RnBeads* and was based on the combination of the average difference in means across all  
14 sites in the promoter regions of the sample groups, the mean of quotients in mean methylation  
15 and the combined p-value, which was calculated from all site p-values in the region using a  
16 generalization of Fisher's method [71]. The smaller the combined rank for a region, the more  
17 evidence for differential methylation it exhibits.

## 18 **Abbreviations**

19 AFAST: Aberdeen Folic Acid Supplementation Trial; 5-aza-dC: 5'aza-2'deoxyctidine; BMI:  
20 Body mass index; DKO: Double knockout; DMR: Differentially methylated region; DNMT:  
21 DNA methyltransferases; ESRC: Economic and Social Research Council; BBSRC:  
22 Biotechnology and Biological Sciences Research Council; FA: Folic acid; FASSTT: Folic acid  
23 supplementation in second and third trimester; GW: Gestational week; ICR: Imprint control  
24 region; IQR: Interquartile range; KRAB: Krueppel-associated box; MRC: Medical Research  
25 Council; MTHFR: Methylene tetrahydrofolate reductase; MWU: Mann-Whitney U test; NTD:

1 Neural tube defects; NNAT: Neuronatin; Pyroassay: pyrosequencing methylation assay;  
2 ORECNI: Office for Research and Ethics Committees Northern Ireland; QQ: Quantile-  
3 quantile; RT-PCR: Reverse transcription- polymerase chain reaction; RCT: Randomized  
4 controlled trial; SAM: S-adenosylmethionine; SPSS: Statistical Package for the Social  
5 Sciences; WT: Wild type; ZFP57: Zinc finger protein 57

## 6 **Declarations**

### 7 **Ethics approval and consent to participate**

8 The Office for Research and Ethics Committees Northern Ireland (ORECNI) granted ethical  
9 approval (reference 05/ Q2008/21) and each participant gave written informed consent upon  
10 recruitment.

### 11 **Consent for Publication**

12 Not applicable

### 13 **Availability of data and materials**

14 The datasets used and analyzed during the current study are available where appropriate from  
15 the corresponding author on reasonable request and subject to governance regulations at Ulster  
16 (EpiFASSTT): for data from the AFAST study contact C. Relton.

### 17 **Competing Interests**

18 The authors declare that they have no competing interests.

### 19 **Funding**

20 Work was supported by grants jointly funded by the Economic and Social Research Council  
21 (ESRC) and Biotechnology and Biological Sciences Research Council (BBSRC), grant refs:  
22 ES/N000323/1 (CPW) and ES/N000498/1 (CLR). RCR, MS and CLR work in a unit supported  
23 by the Medical Research Council (MC\_UU\_12013/1, MC\_UU\_12013/2 and  
24 MC\_UU\_12013/8).

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1 **Authors' contributions**

2 CPW, KP and HM designed and planned the work; REI and MO carried out the lab work; REI  
3 and SJT performed the bioinformatics analysis for EpiFASSTT; RR carried out the analysis  
4 for AFAST; AC and DLM helped with EpiFASSTT samples and statistics; MM and TC  
5 advised on biopsychosocial correlations; MS advised on bioinformatics approaches for both  
6 cohorts; CLR advised on overall approaches and coordinated the AFAST comparison; REI  
7 and CPW wrote the paper; all authors commented on the final MS.

8 **Acknowledgements**

9 The authors are grateful to the other members of the Walsh and Relton labs who provided  
10 valuable feedback on the work.

11

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1 **Table 1 General characteristics of participants from the EpiFASSTT trial**

| Characteristic                           | Placebo     |           | Folic Acid  |           | P value <sup>1</sup> |
|------------------------------------------|-------------|-----------|-------------|-----------|----------------------|
| N                                        | 45          |           | 41          |           |                      |
| <b>Maternal characteristics (GW14)</b>   | <b>Mean</b> | <b>SD</b> | <b>Mean</b> | <b>SD</b> |                      |
| Age (y)                                  | 28.9        | 3.5       | 29.4        | 3.9       | 0.513                |
| BMI (kg/m <sup>2</sup> )                 | 25.2        | 3.9       | 24.9        | 4.6       | 0.768                |
| Smoker n (%)                             | 8 (18)      |           | 6 (15)      |           | 0.693                |
| Alcohol n (%)                            | 3 (7)       |           | 1 (2)       |           | 0.618                |
| Parity (n)                               | 1 (1.1)     |           | 1 (1.0)     |           | 0.915                |
| MTHFR 677TT genotype n (%)               | 5 (11)      |           | 2 (5)       |           | 0.291                |
| <i>Dietary Intakes</i>                   |             |           |             |           |                      |
| Energy (MJ/d)                            | 8.170       | 1.717     | 7.732       | 1.595     | 0.280                |
| Dietary Folate Equivalents (µg/d)        | 364         | 172       | 387         | 152       | 0.582                |
| Vitamin B12 (µg/d)                       | 4.1         | 1.9       | 3.9         | 1.8       | 0.791                |
| <b>Neonatal characteristics</b>          |             |           |             |           |                      |
| Gestational age (wk)                     | 40.1        | 1.3       | 40.0        | 1.1       | 0.540                |
| Sex, Male n (%)                          | 22 (49)     |           | 22 (54)     |           | 0.659                |
| Birth weight (g)                         | 3610        | 475       | 3557        | 465       | 0.601                |
| Birth length (cm)                        | 51.5        | 2.6       | 51.1        | 2.2       | 0.499                |
| Head circumference (cm)                  | 34.9        | 1.2       | 34.8        | 1.4       | 0.907                |
| Apgar score at 5 min                     | 8.4         | 0.4       | 9.0         | 0.3       | 0.220                |
| Caesarian n (%)                          | 11 (24)     |           | 10 (24)     |           | 0.995                |
| <b>B-vitamin Biomarkers</b>              |             |           |             |           |                      |
| <i>Maternal pre-intervention (GW14)</i>  |             |           |             |           |                      |
| Serum folate (nmol/L)                    | 48.8        | 19.8      | 45.8        | 19.5      | 0.469                |
| RBC folate (nmol/L)                      | 1185        | 765       | 1181        | 649       | 0.978                |
| Serum B12 (pmol/L)                       | 224         | 79        | 217         | 79        | 0.601                |
| <i>Maternal post-intervention (GW36)</i> |             |           |             |           |                      |
| Serum folate (nmol/L)                    | 23.6        | 17.9      | 46.5        | 24.8      | <0.001*              |
| RBC folate (nmol/L)                      | 991         | 404       | 1556        | 658       | <0.001*              |
| Serum B12 (pmol/L)                       | 168         | 51        | 157         | 60        | 0.229                |
| <i>Cord Blood</i>                        |             |           |             |           |                      |
| Serum folate (nmol/L)                    | 68.3        | 24.8      | 91.7        | 36.7      | <b>0.004*</b>        |
| RBC folate (nmol/L)                      | 1518        | 597       | 1877        | 701       | <b>0.024*</b>        |
| Serum B12 (pmol/L)                       | 276         | 155       | 251         | 107       | 0.776                |

2  
3 Statistical comparisons by independent *t* test (continuous variables) or  $\chi^2$  test (categorical  
4 variables). \**P* < 0.05. GW, gestational week; BMI, body mass index; RBC, red blood cell.

1 **Table 2 ZFP57 methylation in maternal blood pre- and post-intervention**

2 DNA methylation levels of ZFP57 DMR in maternal blood samples at GW14 and GW36. GW,  
3 gestational week; SD, standard deviation

4

| 5         | 6    | 7     | 8     | 9     |
|-----------|------|-------|-------|-------|
| 10        | 11   | 12    | 13    | 14    |
| 15        | 16   | 17    | 18    | 19    |
| 20        | 21   | 22    | 23    | 24    |
| 25        | 26   | 27    | 28    | 29    |
| 30        | 31   | 32    | 33    | 34    |
| 35        | 36   | 37    | 38    | 39    |
| 40        | 41   | 42    | 43    | 44    |
| 45        | 46   | 47    | 48    | 49    |
| 50        | 51   | 52    | 53    | 54    |
| 55        | 56   | 57    | 58    | 59    |
| 60        | 61   | 62    | 63    | 64    |
| 65        | 66   | 67    | 68    | 69    |
| Treatment | GW14 | 57.47 | 15.37 | +5.51 |
| (n=24)    | GW36 | 62.98 | 14.94 |       |
| Placebo   | GW14 | 64.36 | 6.58  | -1.51 |
| (n=24)    | GW36 | 62.85 | 7.13  |       |



## 1 **Figure Legends**

### 2 **Figure 1 FASSTT study outline for samples used in this study**

3 Eligible pregnant women ( $n=226$ ) were randomized into two groups: Placebo ( $n=94$ ) and Folic  
4 acid ( $n=96$ ). Women withdrew ( $n=25$ ) or were excluded from the intervention for the reasons  
5 indicated. A total of 119 women completed the trial. Blood samples were taken at gestation  
6 weeks (GW) 14 (pre-intervention) and 36 (post-intervention). Cord blood samples ( $n=86$ ) were  
7 taken at birth.

### 8 **Figure 2 Widespread alterations to DNA methylation levels in cord blood in response to** 9 **late gestation maternal folic acid supplementation**

10 (A) Scatterplot comparing mean methylation levels ( $\beta$  values: 1=100%; 0=0% methylation) at  
11 individual probes in placebo and treated groups. The 1000 top ranking sites between groups  
12 are highlighted in red:  $\rho$  = correlation value. (B) Probe methylation density plot comparing the  
13 distributions of methylation values per sample group. In the treatment group there is a decrease  
14 in the number of fully methylated sites ( $\beta > 0.75$ ). (C) Split in top 1000 ranking sites losing or  
15 gaining methylation overall. Also shown are numbers of sites showing changes greater than  
16 5% or 10%. (D) Top 5 differentially methylated sites overall, sorted by combined rank, the  
17 value being computed as the maximum (i.e. worst) value amongst the mean quotient log, mean  
18 difference in methylation and  $p$  value ( $P$ ). No., number; Chr, chromosome; Position,  
19 coordinates in *hg19* human genome release; CG probe, identity number of the probe on the  
20 EPIC array; % change, difference in mean  $\beta$  value expressed as %; Gene, nearest gene; P,  
21 probability (uncorrected); Rank, RnBeads computed ranking value (lowest being best).

### 22 **Figure 3 Top ranking promoter regions included imprint regulator gene *ZFP57***

23 ((A) Top 5 differentially methylated regions (DMR) at promoters, sorted by combined  
24 RnBeads rank (smallest to largest) as for Fig.2D above, except combining values across all the  
25 CG sites in the DMR as detailed in Methods. Abbreviations as above except # probes, number

1 of probes on EPIC array included in DMR. (B) Top: Genome browser tracks showing the  
 2 region around the DMR upstream of *ZFP57*, genomic coordinates in *hg19* human genome  
 3 release and scale as shown. EPIC array probes showing differential methylation (blue, gain;  
 4 red, loss) are indicated, with size indicating magnitude of change. The start of the *ZFP57* gene  
 5 and the position of the pyrosequencing assay (Pyro) are also shown.  $\Delta\beta$ , mean difference in  $\beta$   
 6 value between placebo and FA-treated groups; maximum gain and loss also shown (+0.09  $\beta$  =  
 7 9% methylation). Bottom: Loess plot of  $\beta$  values across the region, with CpG identification  
 8 numbers from array below; those forming the DMR defined by RnBeads are indicated, as well  
 9 as sites analyzed by pyroassay. Each dot represents  $\beta$  value in an individual sample, with lines  
 10 representing smoothed averages; color code is indicated at left. (C) Results of pyroassay  
 11 covering the 6 sites indicated in B. Sample groups: cord blood DNA from placebo (n=45) and  
 12 FA-treated (n=41). Mean, average of the individual means in that group; Max., largest of the  
 13 mean methylation values in that group; Min, lowest mean in group; SD, standard deviation for  
 14 the means; Change, difference in % methylation seen between groups; P, probability (Student's  
 15 t-Test).

16 **Figure 4 ZFP57 upstream region is a methylation-dependent regulator of transcription**  
 17 **at this locus**

18 (A) Schematic as in Fig.3 above but showing difference in methylation ( $\Delta\beta$ ) between HCT116  
 19 WT cells vs HCT116 DKO cells. The intron/exon structure and positions of the forward (FW)  
 20 and reverse (RV) primers for RT-(q)PCR on the *ZFP57* gene are also shown. (B) Methylation  
 21 levels at individual CpG covered by the pyrosequencing assay in WT (HCT116) and knockout  
 22 (DKO) cells. Values are shown as mean +/- SD for each site: \* $P < 0.05$ ; \*\* $P < 0.01$ ;  
 23 \*\*\* $P < 0.001$ . (C) RT-PCR showing up-regulation using the primers indicated in A, key as  
 24 above. CTRL, positive control (human reference total RNA); NTC, negative control (no  
 25 template control); 100bp, size standards ladder; *ACTB*,  $\beta$ -actin loading control. (D)

1 Confirmation of upregulation by RT-qPCR using the same primers, values normalized to  
2 *HPRT*; FC, fold change. (E) Methylation levels using pyroassay as in B but in 5-aza-dC treated  
3 SH-SY5Y cells (5-aza-dC), as compared to untreated (UT). (F) RT-PCR for 5-aza-dC treated  
4 cells from E. (G) RT-qPCR confirmation of *ZFP57* upregulation in 5-aza-dC-treated SH-SY5Y  
5 cells.

## 6 **Figure 5 Comparison of AFAST and EpiFASSTT data for the DMR**

7 (A) Effect size (Cohen's D) at each CpG in the *ZFP57* DMR was calculated by comparing high  
8 dose and placebo from the AFAST study and plotted against the locus (top track). A similar  
9 analysis was done for the EpiFASSTT data (bottom track). Maxima are indicated at right, scale  
10 bar and location at top: note- no other CpG outside the DMR are shown in this analysis. (B) The  
11 two sets of values from A are plotted on the same scale to give an indication of comparability.

## 12 **Supplementary Table 1 Pyrosequencing and transcriptional primer sets used in this study**

13 Pyroassay primers are given as bisulfite converted sequence. The same primers were used for  
14 both RT-PCR and RT-qPCR.

## 15 **Supplementary Figure 1 Correlation between folate levels in cord blood and mother**

16 Scatterplot shows log-converted red blood cell folate (RCF) levels in nanomoles per liter  
17 (nmol/l) at gestational week 36 (GW36) for mothers (post-intervention) and matched cord  
18 blood. The line of best fit shows significant correlation between mothers and offspring ( $r =$   
19  $0.619$ ;  $P = <0.001$ ).

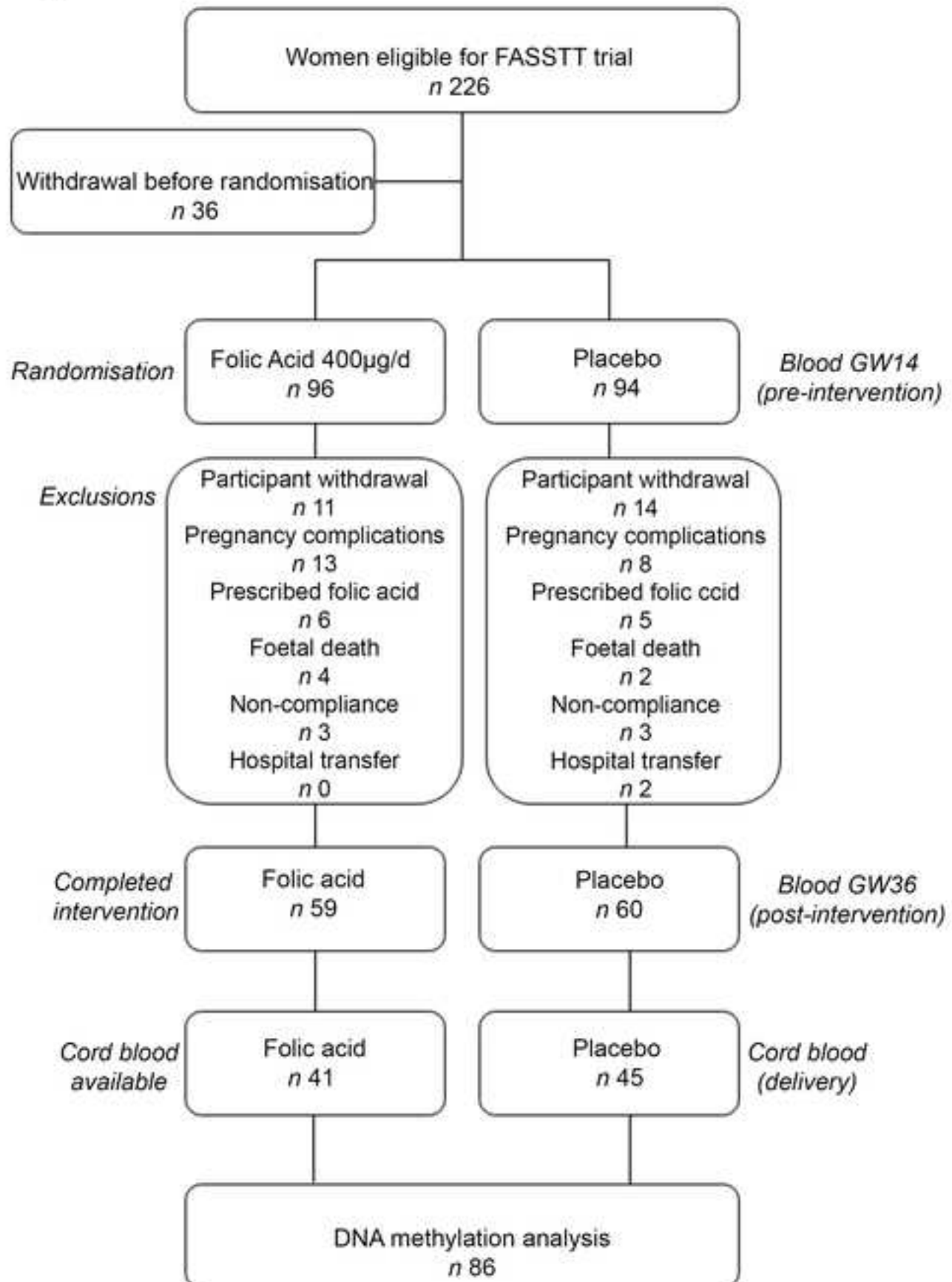
## 20 **Supplementary Figure 2 QQ plot shows no evidence of population substructure effects**

21 The observed Chi-squared ( $\chi^2$ ) values (open circles), plotted as  $-\log_{10}$  of the  $p$  value for both  
22 sample groups, fit tightly to the expected  $\chi^2$  values (red line), indicating little evidence of  
23 association due to population substructure effects and that the top hits which deviate from the  
24 line (right-hand side) are likely to represent true differences due to loci with large effects.

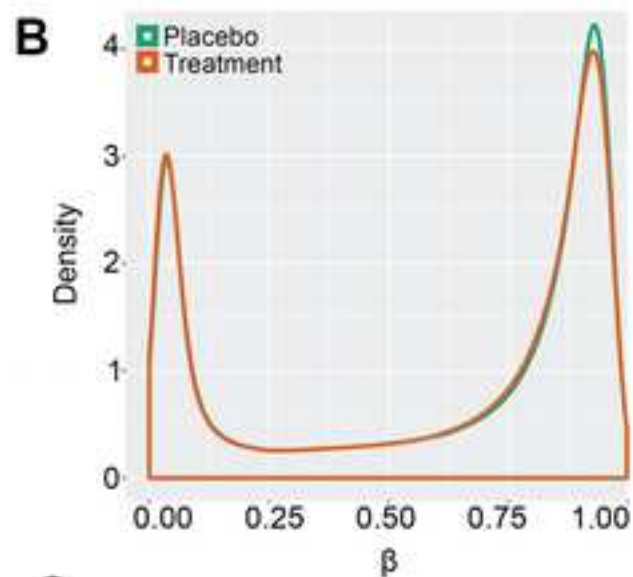
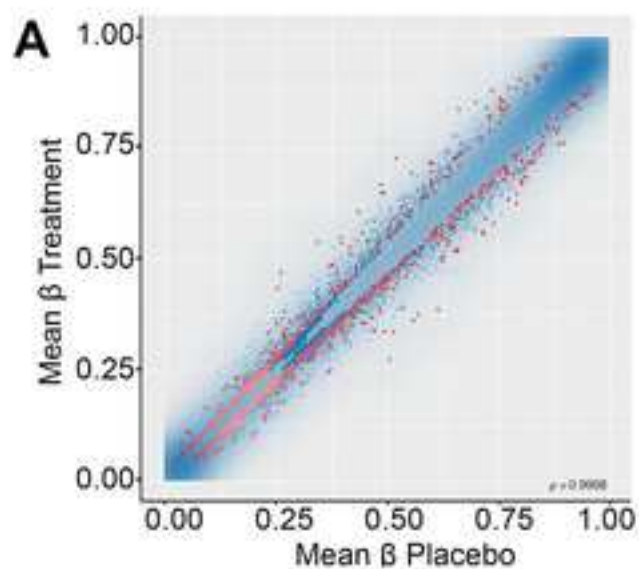
1 **Supplementary Figure 3 Median methylation levels at imprint control regions**

2 Methylation levels at imprint control regions (ICR) were assessed by matching EPIC array  
3 probes to the imprint germline DMR intervals defined by [48] (A) or [49] (B) then taking the  
4 average (median) across each. The identities of each ICR and number of probes are indicated  
5 below. Boxes show the median and interquartile range for the individual averages from each  
6 group (Placebo n=45, Treated n=41), whiskers represent the range of values, dots indicate  
7 outliers.

8

**Figure 1**

## Figure 2



**C**

| Top 1000 ranking sites | Losing methylation | Gaining methylation |
|------------------------|--------------------|---------------------|
| Overall                | 658                | 342                 |
| >10%                   | 44                 | 32                  |
| >5%                    | 193                | 109                 |

**D**

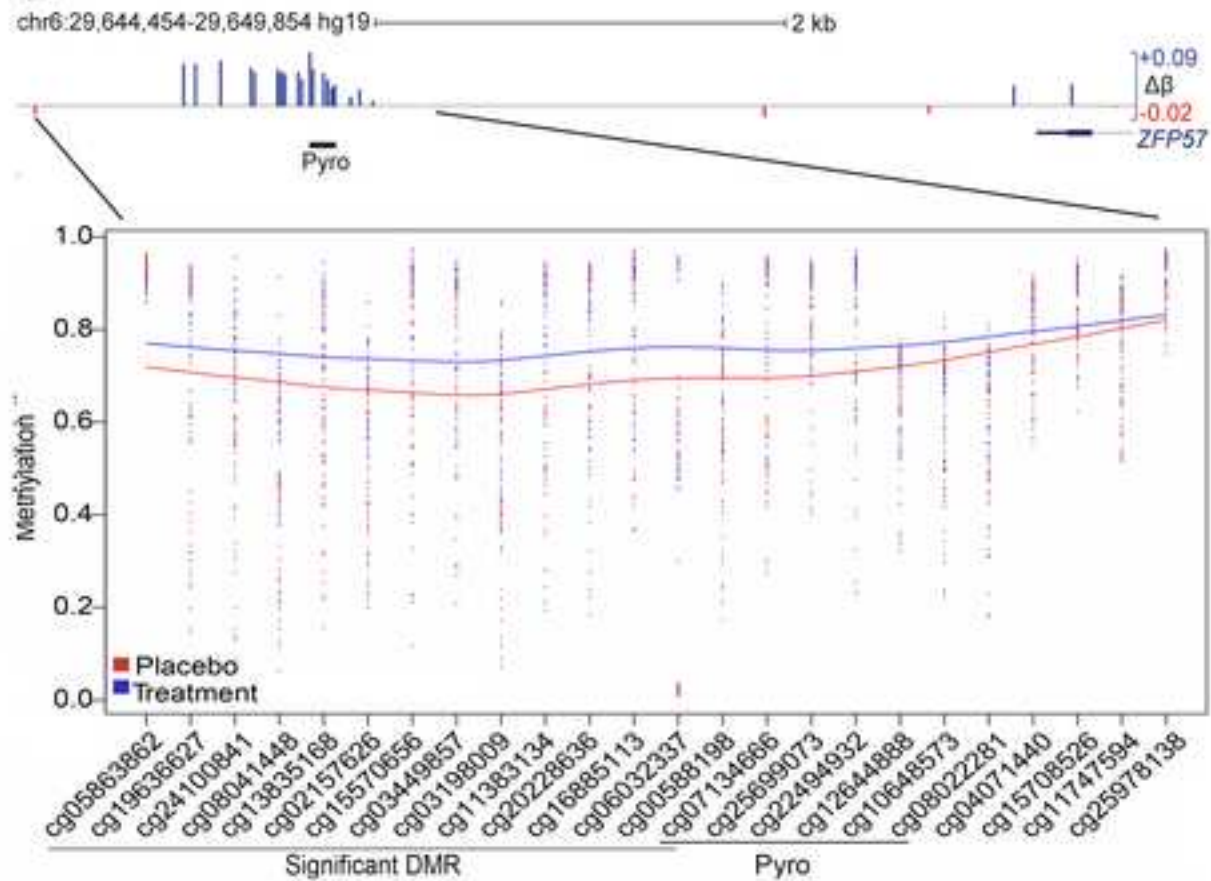
| No. | Chr. | Position  | CG probe   | % change | Gene           | P      | Rank |
|-----|------|-----------|------------|----------|----------------|--------|------|
| 1   | 13   | 113539522 | cg21463262 | -23.12   | <i>ATP11A</i>  | <0.001 | 150  |
| 2   | 7    | 766104    | cg06242242 | -8.98    | <i>PRKAR1B</i> | <0.001 | 275  |
| 3   | 17   | 807555    | cg08104960 | -8.94    | <i>INXN</i>    | <0.001 | 280  |
| 4   | 7    | 766119    | cg05729249 | -7.51    | <i>PRKAR1B</i> | <0.001 | 496  |
| 5   | 7    | 77740824  | cg00401665 | -9.85    | <i>MAGI2</i>   | <0.001 | 499  |

## Figure 3

### A

| No. | Chr.  | Position          | # probes | % change in methylation | Gene              | P     | RnBeads computed rank |
|-----|-------|-------------------|----------|-------------------------|-------------------|-------|-----------------------|
| 1   | chr6  | 29648388-29650387 | 15       | +6.23                   | ZFP57             | 0.012 | 79                    |
| 2   | chr21 | 15352259-15354258 | 10       | +3.32                   | ANKRD20A11P       | 0.007 | 118                   |
| 3   | chr16 | 55866750-55868749 | 8        | -3.86                   | CES1              | 0.011 | 154                   |
| 4   | chr6  | 290130-292129     | 6        | +6.24                   | DUSP22            | 0.027 | 192                   |
| 5   | chr17 | 6558329-6560328   | 7        | -7.22                   | MIR4520A;MIR4520B | 0.029 | 205                   |

### B

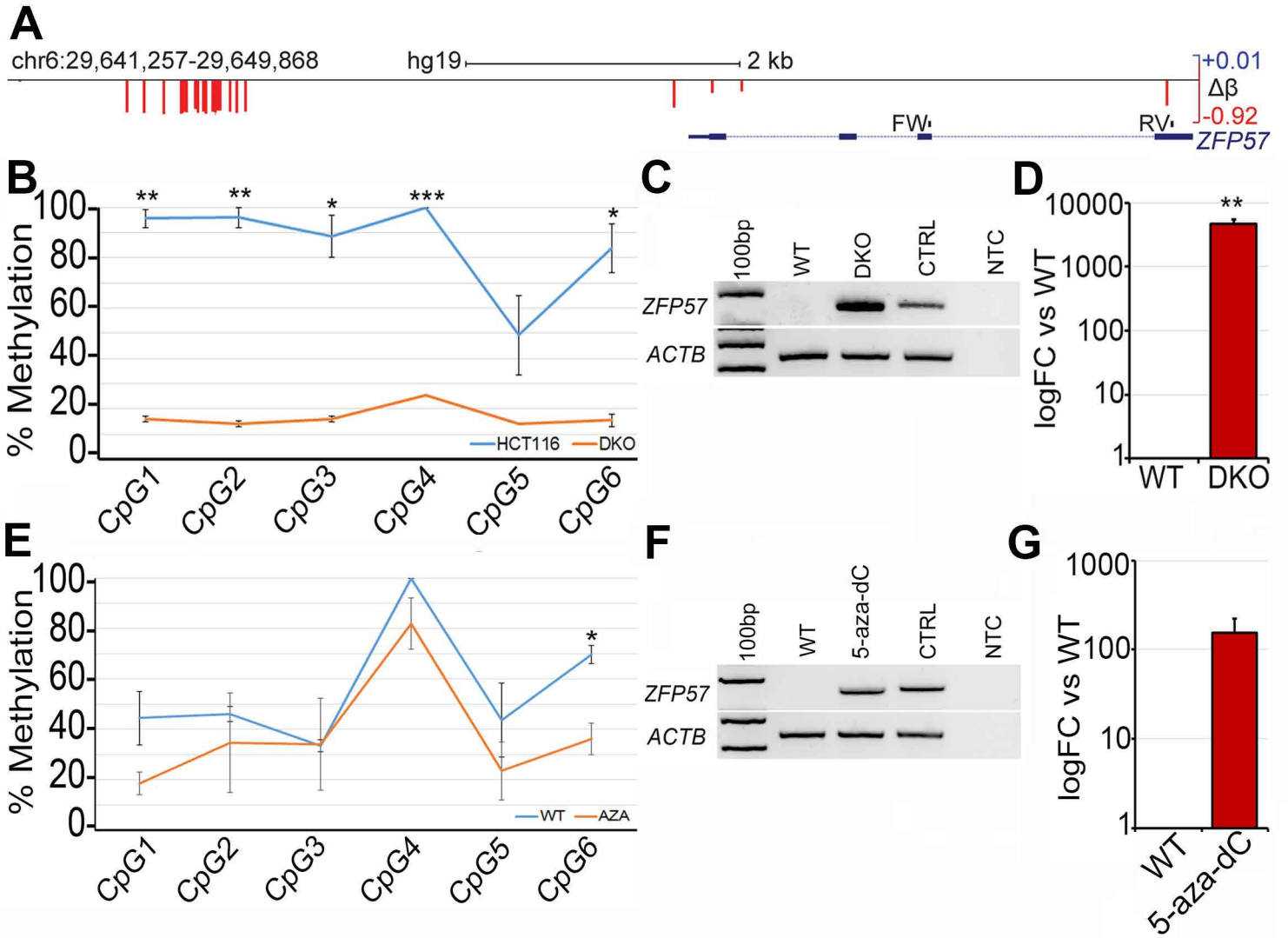


### C

| Gene  | Sample Group | Mean  | Max.  | Min.  | SD    | Change in methylation (%) | P     |
|-------|--------------|-------|-------|-------|-------|---------------------------|-------|
| ZFP57 | Placebo      | 61.15 | 84.96 | 24.37 | 16.67 | +5.44                     | 0.172 |
|       | Treatment    | 66.60 | 94.97 | 23.19 | 14.67 |                           |       |

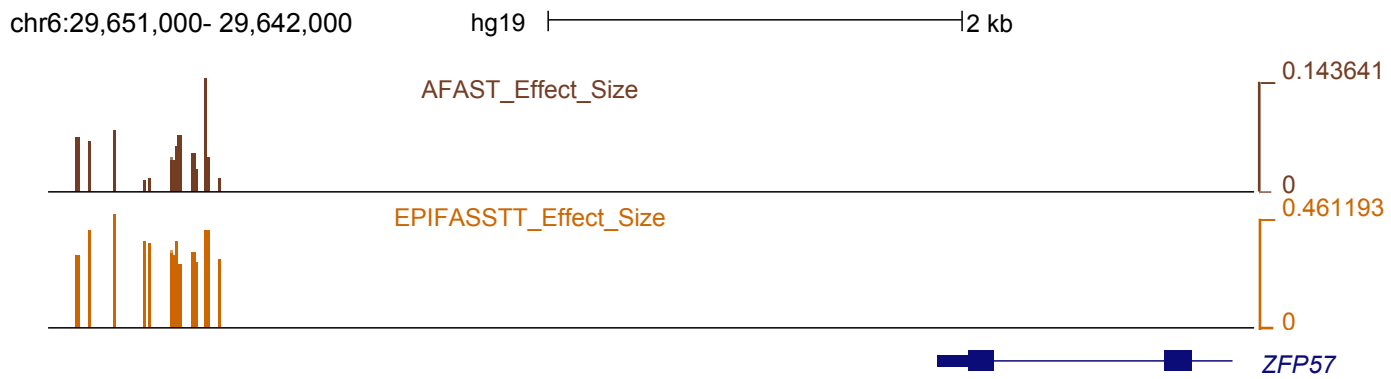


# Figure 4

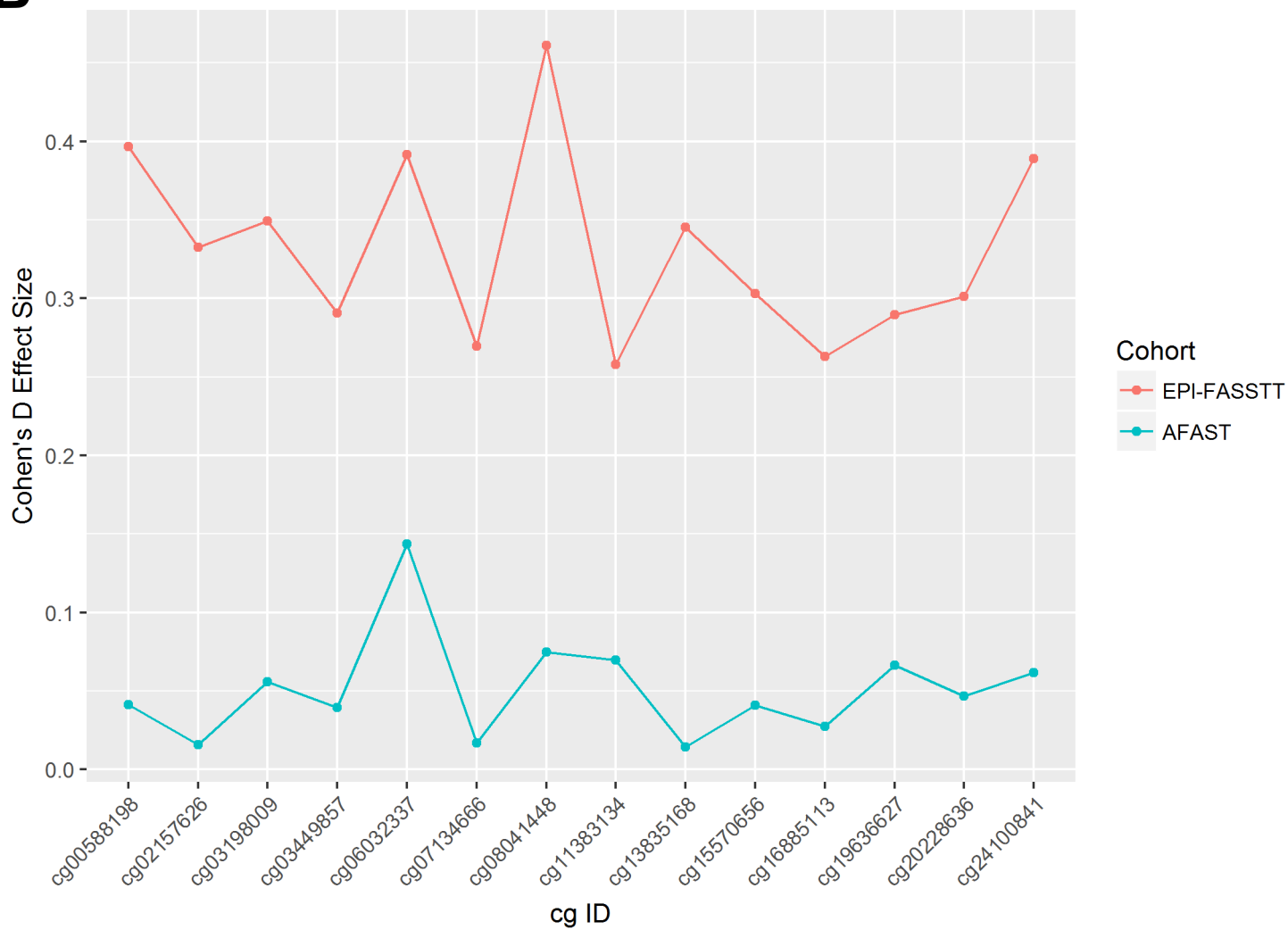



# Figure 5

## A




## B





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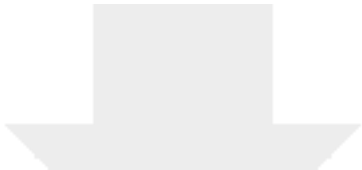


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