

Title: Transfer of antibiotic resistance genes between *Enterococcus faecalis* strains in filter feeding zooplankton *Daphnia magna* and *Daphnia pulex*

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Abstract

Antibiotic resistant bacteria from faecal pollution sources are pervasive in aquatic environments. A facilitating role for the emergence of waterborne, multi-drug resistant bacterial pathogens has been attributed to biofiltration but had not yet been substantiated. This study investigated the effect of filtration and gut passage in *Daphnia* spp. on conjugal transfer of resistance genes in *Enterococcus faecalis*. *In vivo* conjugation experiments involved a vancomycin-resistant donor strain bearing a plasmid-borne *vanA* resistance gene, and two vancomycin-susceptible and rifampicin-resistant recipient strains in the presence of *Daphnia magna* or *Daphnia pulex*. Results showed successful transfer of the *vanA* resistance gene from donor to recipient; gene identity was confirmed by PCR and DNA sequencing. There was no significant difference in the number of transconjugants recovered from *D. magna* and *D. pulex*. However, transconjugant numbers differed by one order of magnitude between recipient strains. Transconjugant numbers from *D. magna* were also significantly different between treatments with ingestion of individual phytoplankton species before filtration of bacteria. The highest transfer efficiency calculated from excreted transconjugants was 2.5×10^{-6} . This proof of concept for facilitation of horizontal gene transfer by a filter feeding organism provides evidence that *Daphnia* can disseminate antibiotic resistant transconjugants in the environment.

Keywords

Daphnia, antimicrobial resistance, *vanA* gene, conjugation, bacteria, *Enterococcus faecalis*

Introduction

The emergence of antibiotic resistance has been designated as a global health threat of major clinical and environmental relevance (1). Opportunistic bacterial pathogens are the main cause of healthcare associated infections (HAIs) and the frequent failure of antibiotic treatments is the result of multiple resistance genes expressed by these pathogens (2). Aquatic environments appear as suitable conduits for such microorganisms (3), because bacteria with antibiotic resistance and their genes are able to persist in lakes (4), rivers (5, 6) and estuaries (7) where they are often strongly associated with anthropogenic activities like discharges from wastewater treatment plants and agricultural sources. Although it is to be expected that antibiotic residues released into aquatic ecosystems can generate a selection pressure that allows antibiotic resistant bacteria to thrive and spread (8), inherent system complexity poses an immense challenge for any test of the hypothesis that these environments play a role in the spread and emergence of such resistance. Even wastewater treatment facilities with their tight technical controls have remained black boxes in this respect (9).

While mechanisms of resistance in bacteria are well known, the increased frequency with which multi-resistant bacteria are identified in hospitals has motivated concerted efforts to understand the environmental processes facilitating the emergence and rapid spread of antibiotic resistance (2). There have been repeated suggestions that filter feeding aquatic invertebrates have the potential to drive the ecology and evolution of antibiotic resistance in aquatic ecosystems (10, 11). Freshwater cladoceran zooplankton species of the genus *Daphnia* are filter feeders that play a central role in the food webs of lakes and ponds as consumers of bacterioplankton and phytoplankton and as prey of invertebrate and fish predators. Bacteria can form a major component in *Daphnia* nutrition (12) and those present in the gastrointestinal tract can have a symbiotic effect on *Daphnia* growth, survival and reproduction (13).

Horizontal transfer of mobile genetic elements (MGEs) such as plasmids and transposons, which encode virulence and antimicrobial resistance in microbial populations, is a widely occurring phenomenon (14).

Between environmental bacteria such a genetic exchange is often accomplished through conjugation (15). If it occurs in the intestine of a host organism (16, 17), bacteria with newly acquired MGEs can be spread in the environment through faecal deposition (18), which can thus facilitate the dissemination of newly emerging bacterial pathogens with multiple antibiotic resistance genes.

Enterococci are Gram-positive, facultative anaerobic bacteria that form an important component of the gut microbiota of animals (19, 20). They support digestion and other metabolic processes and can boost immunity in hosts (21). They are also opportunistic pathogens known to cause HAIs on a global scale (22). Due to their widespread occurrence in both terrestrial and aquatic ecosystems, largely through human and animal faeces, they are widely utilised as indicators of environmental faecal contamination (23). *Enterococcus* strains increasingly express multiple resistance to antibiotics (24). A pheromone-induced conjugal transfer of resistance genes has been identified within the *Enterococcus* genus (25). In *Enterococcus faecalis* conjugation can transfer plasmids that carry genes encoding for vancomycin resistance from donor cells to pheromone-secreting recipients from the same bacterial species (26). Recently the potential for this genetic exchange to occur in living organisms has been reported for a murine model (27). However, very little is known about conjugal gene transfer rates within living organisms that experience episodic or periodic exposure to *E. faecalis* particularly in aquatic environments.

This study determines if pheromone-induced conjugation between *E. faecalis* strains occurred within the gastrointestinal tract of two *Daphnia* species. Results showed that the transfer of a vancomycin resistance gene from resistant to susceptible strains of *E. faecalis* occurred during a period of active filtration by *Daphnia* species. Thus, it represents the first proof of concept that filter feeding freshwater organisms may facilitate conjugal resistance gene transfer in waterborne bacteria.

Materials and Methods

Test organisms

Daphnia magna and *Daphnia pulex* clones were acquired commercially from Sciento Scientific Ltd, Manchester, UK, and Blades Biological Ltd, Kent, UK respectively. Batches of adult daphnids (2.2 - 2.6 mm) were then cultured continuously in filtered and autoclaved river water in 5 L containers at 20°C under a 12:12 h light:dark regime. Daphnids were fed with green algae (*Desmodesmus subspicatus* or *Palmellopsis* sp. from the SAG culture collection at the University of Goettingen Germany, strain numbers: SAG 86.81 and 52.90 respectively); algal cultures were maintained at room temperature (21 ± 2 °C). The river water was renewed twice a week, and neonates were recovered and used to start new cultures during each renewal. The concentration of algal cells was determined with a hemocytometer at 40x magnification under a light microscope. Before application in feeding the daphnids, algal suspensions were diluted to a concentration of 2.0×10^6 N/mL. Clones of adult daphnids from each batch were collected and sorted according to size for use in conjugation experiments.

Bacterial strains and reagents

Two environmental *E. faecalis* isolates (MF06036^{Van}, MW01105^{Rif}) used in this study have been previously identified and described (26, 28). Genotypic identification of bacterial strains was carried out by DNA extraction, 16S rDNA gene amplification using the polymerase chain reaction followed by DNA sequencing. Bacterial cultures were maintained on Tryptone Soya Agar (TSA, Oxoid, CM0131, Basingstoke, England) at 4 °C for the duration of the experiment. Overnight bacterial cultures used for conjugation experiments were grown in Tryptone Soy Broth (TSB, Oxoid CM0129, Basingstoke, England) at 37 °C. Vancomycin and rifampicin used for the experiments were obtained from Sigma-Aldrich (St. Louis, USA).

Selection for rifampicin resistance in recipient strains

Counter-selection of vancomycin resistance genes transferred from a donor to a recipient was facilitated by introducing rifampicin resistance into the vancomycin sensitive recipient strains. To achieve this, cultures of the pheromone-producing recipients were grown in sub-minimum inhibitory concentrations of rifampicin which were eventually scaled up to 512 mg/L as previously described (26). At the end of antibiotic exposure treatments, recipients were resistant to 512 mg/L rifampicin (denoted as MW01105^{Rif} and ST02103^{Rif}) while the donor was susceptible to rifampicin and resistant to vancomycin (denoted as MF06036^{Van}).

Antimicrobial disk diffusion assay

E. faecalis isolates MF06036^{Van}, ST02103^{Rif} and MW01105^{Rif} were tested for the presence of antimicrobial resistance phenotypes using the disk diffusion assay. Results were interpreted according to the European Committee on Antimicrobial Susceptibility Testing (EUCAST) guidelines (29) and Clinical & Laboratory Standards Institute (CLSI) guidelines (30). A 16-hour culture of bacteria grown in TSA was re-suspended in PBS to the MacFarland 0.5 standard. The suspension was spread on the surface of a dried Muller Hinton agar (MHA) plate with a sterile swab. Antibiotic disks (Oxoid) were stamped on the plates using a disk dispenser (Oxoid). The antibiotics in the assay were ciprofloxacin (5µg), imipenem (10µg), linezolid (10µg), rifampicin (5µg), streptomycin (300µg), trimethoprim (5µg), teicoplanin (30µg), and vancomycin (30µg). Plates were incubated for 24 h at 37°C. Zones were measured (mm) and compared to EUCAST and CLSI guidelines. *E. faecalis* ATCC 29212 was used as a susceptibility control.

Determination of *Daphnia* length

Before the conjugation experiments, large *Daphnia* specimens were collected from the culture by filtration through a nylon mesh (aperture size 730 x 1520 µm) and were transferred to microcosms with

disposable pipettes. Standard length from the eye to the base of the apical spine (31) was determined on digital images for each individual using an OLYMPUS microscope and imaging suite (optical microscope SZX16 with a DP72 camera and imaging software cellSens 1.3, all by OLYMPUS Co, Japan).

Clearance of *Daphnia* gut content

Prior to exposure to the test bacteria, gut contents of selected daphnids were cleared by starvation treatment for 2 days. Afterwards, daphnids were fed with 300 μ L of sterile Sephadex G-25 (cross-linked dextran gel) medium (GE HealthCare, UK) three times a day for a further 72 h to achieve gut clearance (32). A Sephadex stock mixture was prepared by adding approximately 0.5 g of powder per 100 mL of autoclaved *Daphnia* medium.

Determination of *Daphnia* filtration rate for bacteria

Bacteria grazing experiments were conducted with adult *Daphnia magna* (mean body length 1.8 mm, n = 10) and adult *D. pulex* (mean body length 1.9 mm, n = 10). The coefficient of variation in daphnid length was kept below 4%. Prior to the grazing experiment, daphnids were placed in sterile reconstituted *Daphnia* medium (DM) prepared as ISO test water according to OECD, 2004, Annex 3 (33) and starved for 3 d at 20 °C. DM was renewed daily and the daphnids were checked for mortality. To determine the filtration rate of the two *Daphnia* species, samples of 10 daphnids in 10 mL DM were inoculated with 1.5×10^9 cfu/mL of *E. faecalis* for 24 h in the dark.

Concentrations of bacteria were determined through counts of colony forming units (cfu); filtration rates were calculated with the equation (34):

$$\text{Filtration rate (mL animal}^{-1}\text{h}^{-1}) = \frac{1}{t} \times \ln(C_0/C_t) \times \frac{v}{N}$$

Where t = duration of feeding, C_0 is the concentration of bacteria at time, t = 0 h, C_t is the concentration of bacteria at time, t = 24 h, v = volume of treatment, N = number of daphnids

Conjugal transfer of vancomycin resistance within the *Daphnia* gut

To investigate the effect of filtration on the transfer of vancomycin resistance, samples with 10 daphnids each were used for the conjugation experiments. These were placed in 30 mL universal tubes (Greiner bio-one Inc., Austria) and incubated in 10 mL DM at 20 °C for 24 h in the dark to acclimatise with the experimental conditions. For experiments 1 and 2 all daphnids were starved during this period to ensure that they fed at a higher rate during the main experiment; for experiments 3 and 4 only daphnids in control samples were starved, while specimens in treatment samples had been fed either with phytoplankton species *Palmellopsis* sp. or *Desmodesmus subspicatus*.

Aliquots of 1 mL overnight culture of *E. faecalis* recipient strain (MW01105^{Rif} or ST02103^{Rif}) and donor strain MF06036^{Van} were each diluted with 9 mL TSB and cultivated in 15 mL centrifuge tubes for 90 min at 37 °C to enter the mid-exponential growth phase. The bacterial cells were harvested by centrifugation for 30 min at 2300 g at 4 °C. The supernatant was discarded, and the bacterial pellet resuspended in 10 mL DM. 500 µl of resuspended 90 min culture was added to 4.5 mL of sterile DM in 30 mL universal tubes to make a 10% bacterial treatment. For initial acclimatisation to the bacteria diet, seven samples of 10 adult *Daphnia* in 10% recipient strain treatment were incubated for 1 h at 20 °C in the dark. Afterwards, daphnids were removed with a transfer pipette, washed twice in 5 mL DM and then transferred to new 30 mL tubes containing sterile 4.5 mL DM for the feeding-conjugation experiment. Control samples of *Daphnia* in DM without bacterial inoculation were also set up to ensure that no enterococci were introduced by the daphnids.

For feeding-conjugation experiments, 1 mL of MF06036^{Van} was added to 9 mL of recipient strain (MW01105^{Rif} or ST02103^{Rif}), all in the mid-exponential phase, and gently mixed (26). A 500 µl aliquot of the mix was then added to 4.5 mL DM in the 30 mL tubes containing the washed daphnids and incubated at 20 °C for a further 4 h in the dark to allow filtration. The bacterial concentration was kept the same as it

had been during the acclimatisation step. Daphnids were then removed from the feeding treatment, washed in 5 mL DM twice and then transferred to 30 mL universal tubes containing 4.5 mL DM and 500 μ L of recipient strain. Then the treatment was incubated at 20 °C for another 2 h to allow for the clearance of the gut content from the previous 4h feeding step. Seven samples of treatments and controls containing no daphnids were prepared for the feeding and gut clearance steps. At the end of both phases, double selection TSA plates were inoculated with 500 μ L of the treatment and controls and incubated at 37 °C for 48 h. TSA selection plates were prepared with 10 μ g/mL vancomycin and 100 μ g/mL rifampicin (26). As a negative control, double selection TSA plates were inoculated with parent strains to confirm the effect of the antibiotic concentrations. After the 48h incubation period, the transconjugants (TC) excreted by daphnids were counted as cfu numbers and the vancomycin transfer efficiency was calculated (number of transconjugants per donor; number of transconjugants per recipient). The concentration of donor and recipient strain fed to the daphnids was determined by direct plate count after a 6-fold serial dilution.

PCR amplification of vancomycin resistance genes in donor and transconjugants

The vancomycin resistance gene target sequence was amplified using a TC-5000 Techne Thermal Cycler gradient PCR instrument (GMI, Inc, USA) following a previously described method (26). Briefly, 120 ng/ μ L of *Enterococcus* DNA was mixed with 49 μ L of master mix, with a final concentration of 1.5 mM MgCl₂, 0.2 mM (each) deoxynucleoside triphosphate, 0.5 μ m of *vanA* forward (5'-CTACTCCCGCCTTT TGGCTT-3') and *vanA* reverse (5'-TTCACACCGAAGGATGAGCC-3') primer sequences and 2.5 U of Taq DNA polymerase (Invitrogen Corp., California, USA). An initial denaturation step at 95 °C for 5 min was followed by 30 cycles of denaturation at 94 °C, annealing of PCR primers at 58 °C for 30 s, DNA extension at 72 °C for 90 s and a final incubation step at 72 °C for 10 min. Afterwards, the PCR fragments were held continuously at 4 °C. They were analysed by electrophoresis in TBE buffer (1% Tris-Borate EDTA) in a 1.5% (wt/vol) agarose gel stained with 5 μ L SYBR safe at 100 volts. Gels were visualized on an Alpha Imager (Cell Biosciences, Heidelberg, Germany).

DNA sequencing and analysis

Sanger sequencing of the amplified *vanA* sequence was performed by Eurofins Genomics GmbH (Germany). Nucleotide sequences of both forward and reverse strands of PCR products were determined using the *vanA* forward and reverse primers respectively. The nucleotide sequence data were aligned and edited using the BioEdit software alignment editor. The Basic Local Alignment Search Tool (BLAST) program was used to carry out a similarity search on sequence data held on the National Centre for Biotechnology website. For generating an identity similarity matrix, donor and transconjugant nucleotide sequence similarity was determined in public databases with the BLAST program (<http://www.ncbi.nlm.nih.gov/blast/>) and T-COFFEE multiple sequence alignment program (<http://www.ebi.ac.uk/Tools/msa/tcoffee/>).

Statistical analysis

Differences between transconjugant numbers in experimental treatments were determined by one-way analysis of variance after a test of normal distribution using the Kolmogorov Smirnov test. Statistical analysis of data was conducted using the GraphPad Prism 7.00 software. To determine the effective sample size for this study, a power analysis was carried out with the GPower 3.1 software and data from an initial pilot experiment with 11 samples. It revealed that six samples were sufficient to detect a significant difference of up to 20% of the mean between groups, at $\alpha = 0.05$ and power = 0.8 for two treatments.

Results

Antibiotic resistance profile of enterococci strains

The isolates selected for this study were tested for resistance to eight antibiotics. The donor strain MF06036^{Van} and the recipient strain ST02103^{Rif} were resistant to three antibiotics; the recipient strain MW01105^{Rif} was resistant to two antibiotics (Table 1). The antibiotic susceptibility test confirmed vancomycin resistance in the donor and vancomycin susceptibility in the recipients.

Bacteria filtration rate

The filtration rates of *D. magna* and *D. pulex* for the recipient *E. faecalis* strains were individually assessed after gut clearance (Table 2). Fig. 1 shows an adult *Daphnia magna* before and after the gut evacuation phase, and prior to the determination of bacterial filtration rates. The loss of green colour indicates clearance of algal food from the gut. Student's t-test for independent samples showed no significant difference between the mean filtration rates of two different *Enterococcus* strains, MW01105^{Rif} and ST02103^{Rif} by *D. magna* ($t = 0.043$, $df = 4$, $n = 3$, $p = 0.968$) and by *D. pulex* ($t = 0.7879$, $df = 4$, $n = 3$, $p = 0.4748$). When the filtration rates were compared between the two *Daphnia* species, results showed no significant differences between *D. magna* and *D. pulex* in their filtration of MW01105^{Rif} ($F = 7.018$, $df = 2$, $p = 0.5859$) and ST02103^{Rif} ($F = 2.387$, $df = 2$, $p = 0.5904$) respectively.

Determination of vancomycin resistance transfer within the *Daphnia* gut

The concentrations of the donor MF06036^{Van} and recipient strains MW01105^{Rif} and ST02103^{Rif} (hereafter referred to as parent strains) used in the *D. magna* and *D. pulex* conjugation experiments (Table 3) were not significantly different (Kruskal-Wallis, $H = 4.244$, $df=5$, $p = 0.1189$ for experiment 1; $H = 0.2152$, $df=5$, $p = 0.9100$ for experiment 2). This was a prerequisite for ensuring comparability of gene transfer rates for the different *Daphnia* species and recipient *E. faecalis* strains used in this study. For *D. magna*

there was a significant difference of TC numbers on double antibiotic TSA plates between recipient strains (Mann Whitney U = 0.5, $n_1 = 7$, $n_2 = 7$, $p = 0.0012$) with the TC number from ST02103^{Rif} being fifteen times higher than the TC number from MW01105^{Rif}.

Similarly, there was a significant difference in the *D. pulex* conjugation experiments with ST02103^{Rif} strain producing TC about twelve times more than TC from MW01105^{Rif} (Mann Whitney U = 0, $n_1 = 7$, $n_2 = 7$, $p = 0.0006$). In experiments with both *Daphnia* species (Table 3), the efficiency of vancomycin resistance transfers in ST02103^{Rif} consistently exceeded that in MW01105^{Rif} by one order of magnitude (10^{-7} to 10^{-8}), while differences in number of TC obtained between *Daphnia* species were not significant (Mann-Whitney U = 20, $n_1 = 7$, $n_2 = 7$, $p = 0.6002$ for MW01105^{Rif}; U = 12, $n_1 = 7$, $n_2 = 7$, $p = 0.1265$ for ST02103^{Rif}).

In experiments 3 and 4 there were significant differences in TC numbers (Kruskal-Wallis, H = 13.22, $df = 5$, $p = 0.0001$ for experiment 3; H = 14.3, $df = 5$, $p < 0.0001$ for experiment 4, Fig. 3) between diets of individual phytoplankton species fed to *D. magna* before the latter's exposure to *E. faecalis*. Transfer efficiencies in *Palmellopsis* treatments exceeded those in *Desmodesmus* treatments by one order of magnitude; the maximum transfer efficiency was 2.5×10^{-6} (transconjugant: donor, table 4).

The confidence in these numbers being an adequate representation of obtainable TC numbers from the *Daphnia* stems from $\geq 80\%$ of TC emerging in the feeding phase of the experiments, in all but two treatments of experiment 3. The usually much lower number obtained during the gut clearance phase (Tables 3 and 4). The confidence in these numbers being an adequate representation of obtainable TC numbers from the *Daphnia* stems from more than 90% of TC emerging in the feeding phase of the experiments. The much lower number obtained during the gut clearance phase showed that most of the excretion of the filtered and ingested bacteria from the *Daphnia* gut had already happened within the initial 4 h feeding phase before the daphnids were transferred into gut clearance containers and suggested a steep decline in excretion rates after feeding stopped. Re-ingestion of enterococci after excretion appeared unlikely, as faecal pellets remained physically intact. Controls with bacterial parent strains but

without *Daphnia* produced no transconjugants in the time periods of the feeding phase and the gut clearance phase. Also, no growth was found on plates inoculated with control treatments containing only daphnids but no bacteria. TC colonies were further subcultured onto double selection TSA plates and single selection TSA plates with vancomycin (10 µg/mL) to confirm the acquisition of vancomycin resistance. After 24 h incubation at 37 °C transconjugant growth was observed on the double selection plate and single selection plates as expected.

PCR detection of vancomycin resistance genes in donor and transconjugants

The identification of a known vancomycin resistance gene by PCR was used to confirm the transfer of vancomycin resistance between the donor and recipient strains. The phenotypical expression of vancomycin resistance was observed from transconjugant growth on double selection TSA plates. The presence of a *vanA* gene was tested for in the donor MF06036^{Van}, recipient strains MW01105^{Rif} and ST02103^{Rif}, and transconjugants recovered from *D. magna* and *D. pulex* respectively. The *vanA* resistance gene was present in the donor strain and all transconjugants but not in the two parental recipient strains (supplementary material).

DNA sequencing and analysis

The PCR amplified *vanA* for the donor MF06036^{Van} and transconjugants recovered from MW01105^{Rif} were sequenced and subjected to a sequence similarity search with BLAST. The *vanA* gene sequence similarity search gave a 100 % match to a *vanA* gene in *E. faecalis* strain CU709 (GenBank accession number MG460317). The multiple alignment of the donor and transconjugant *vanA* gene sequence data was used to produce a percent identity matrix. The donor gene sequence had a 100% similarity to all transconjugant nucleotide sequences. There was also a 100% identity similarity in *vanA* gene sequence of

transconjugants recovered from MW01105^{Rif} and ST02103^{Rif} in both *D. magna* and *D. pulex* experiments. This piece of direct evidence confirmed that the vancomycin resistance expressed by the recipient *E. faecalis* strains was due to the acquisition of the *vanA* gene as daphnids actively filtered bacteria.

Discussion

We hypothesized that freshwater zooplankton may facilitate the transfer of antibiotic resistance genes in pathogenic bacteria through their filter feeding. This required initial tests of *Daphnia* filtration rates in our microcosms for comparisons between the two species involved and with results from previous studies. While algae are the primary food for daphnids (35), bacteria are an important component of their diet in natural ecosystems and may even be preferentially digested compared to algae (12). In this study, we successfully demonstrated *Daphnia* filtration of *E. faecalis* in our laboratory system. We achieved rates comparable to results reported for *D. magna* and *D. pulex* (36), but they were lower than rates reported in another study for *D. pulex* (34), and for *D. galeata* (37). Such differences could be due to the type and size of the bacterial cells, the experimental conditions, e.g. inclusion or exclusion of dead and viable but non-culturable cells, and the size of the daphnids in the experiments undertaken by the different researchers (38). Filtration rate in *Daphnia* is generally proportional to body size, with larger filter mesh size of the filtering appendages of adult daphnids resulting in lower retention of bacteria than by juvenile specimens (36, 38, 39). In this study body size and bacteria filtration rates of the two *Daphnia* species were not significantly different. Therefore, species or size difference did not affect the acceptance of *Enterococcus* for ingestion.

In experiments involving exposure to both parent strains, filtration by *Daphnia* and subsequent intestinal passage resulted in the transfer of vancomycin resistance from donor to recipient strains of *E. faecalis*. Both *Daphnia* species were fed with a vancomycin-resistant donor and two different vancomycin-

susceptible recipients. Successful conjugation was detected within a 4-h feeding period with transconjugants expressing resistance to vancomycin and rifampicin. The one-order of magnitude difference in transfer efficiency between recipient strains showed that individual strains of *E. faecalis* varied in their levels of competence for the acquisition of foreign resistance-gene-carrying plasmids, which may be due to differences in the pheromone-induced conjugation function of recipient strains observed in other studies (26, 40).

A 2-h gut clearance phase was introduced in the absence of the donor strain to confirm the emergence of transconjugants from the *Daphnia* gut and obtain any remaining transconjugants within the gut after the initial 4-h feeding. In this period, no transconjugants were obtained from both *D. magna* and *D. pulex* for MW01105^{Rif} while transconjugant numbers from ST02103^{Rif} were only about 4 % of the number recovered from the 4-h feeding phase in both *Daphnia* species. As all experimental conditions were the same in trials with individual recipient strains and considering that most excretion of transconjugants had occurred during the feeding phase, the most likely cause for the significantly higher transconjugant numbers obtained from the ST02103^{Rif} strain could be its more enhanced pheromone-induced genetic function. Yet, it should also be noted that it can be difficult to determine if the transconjugant numbers were the product of several transfer events or a single event followed by multiple cell division. Prolonged experimental periods can affect the accurate determination of transfer efficiency due to bacterial cell death and the transconjugants can also act as donors of vancomycin resistance plasmids as soon as they emerge in the water (41). In an attempt to at least constrain the frequency of occurrence for the latter event type, the length of experiments in this study was limited to a previously recommended 4-h period for the detection of early conjugation events (41).

For MW01105^{Rif}, as the only strain for which published data were available for comparison, vancomycin resistance transfer efficiencies (10^{-8}) in *Daphnia* without recent phytoplankton ingestion were five magnitudes lower than values reported for transconjugants obtained from a solid-plate mating study (26). *In vitro* conjugation is usually studied under optimised conditions. The intestinal environment of *Daphnia*

differs significantly from the latter in several respects, e.g. with a far lower ambient water temperature, exposure of parent strains and transconjugants to digestive enzymes from *Daphnia* and a relatively short gut residence time for ingested bacteria. Therefore, *in vivo* within *Daphnia* much lower transfer efficiencies are to be expected. Nevertheless, our experiments with *Daphnia* on diets of different phytoplankton species demonstrate that conjugal transfer efficiencies in filter feeders may increase by orders of magnitude depending on the type of food particles ingested in addition to bacteria. In this respect it is noteworthy that experiments 3 and 4 only involved the less efficient of the two *E. faecalis* recipient strains. Thus, it is very likely that further efficiency gains can be achieved.

Our study investigated the transfer of vancomycin resistance genes to *E. faecalis* strains resistant to rifampicin but susceptible to vancomycin. The *vanA* gene was identified in the donor strain MF06036^{Van} and in the transconjugants recovered from *D. magna* and *D. pulex*. The acquisition of vancomycin resistance in *E. faecalis* has been linked with plasmid-mediated *vanA*-type phenotypes isolated from dairy products (42). Intraspecies transfer of *vanA* plasmids in *Enterococcus* has a much higher success rate than interspecies transfer (41). However, the possibility of interspecies transfer cannot be dismissed. Transfers of *vanA* genes from animal to human enterococci isolates have been observed in a murine model (43), while authors of an *in vitro* conjugation study reported the transfer of *vanA* genes from clinical vancomycin resistant *Enterococcus* to *Staphylococcus aureus* (44). The propensity of emerging bacterial pathogens like enterococci to acquire new antibiotic resistance genes (45) should be motivation for technical upgrades of wastewater treatment facilities, to minimize the discharge of such bacteria. The donor strain used in this study has been previously characterised (26) and carried the *vanA* gene whose presence was also confirmed in all transconjugants by DNA amplification. While the previous laboratory study had investigated conjugal transfer of resistance genes between our parental strains on solid-plate media, the current study has gone a step further to show that in ecologically relevant systems, such conjugal gene transfer events can also occur within filter feeding organisms.

The few investigations of the horizontal transfer of resistance genes within the gut of non-mammals have usually been carried out with Gram-negative bacteria, e.g. the conjugal transfer of multi-resistance carrying RP-4 plasmids in *Escherichia coli* within the gut of cockroaches (46), and an RP-4 plasmid-mediated conjugation in the intestinal tract of zebrafish (17). There is recent evidence for an, albeit weak, accumulation of antibiotic resistance genes in filter feeders; in a stable environment such accumulation in marine mussels quickly appears to reach a low-level steady state (47). Also, *Daphnia*, presumably through filter feeding, can harbour antibiotic resistance genes within its microbiome (48). But previous research has not provided any evidence of conjugal resistance gene transfer occurring within the intestinal environment of *Daphnia* or any other crustaceans.

In this study however, we showed that transconjugants from the rifampicin-resistant recipient *E. faecalis* strains received the *vanA* gene as they passed through the *Daphnia* gut. The absence of transconjugants from the controls and significant numbers of transconjugants in treatments with actively filter feeding *Daphnia* confirmed the effect of filtration and gut intestinal passage with its compaction increasing the likelihood of conjugal cell contact between donor and recipient strains. Identical *vanA* gene nucleotide sequences from donor cells and transconjugants are evidence that a plasmid-mediated resistance gene transfer was responsible for the expression of vancomycin resistance phenotypes in the rifampicin-resistant *E. faecalis* strains. Ingested enterococci may acquire multiple resistance in *Daphnia*, as this filter feeding organism has already been identified as a potential reservoir of resistance genes (48). As enterococci are sufficiently hardy to leave the gut environment unharmed (45), there is the possibility of wide dissemination of *Enterococcus* transconjugants by *Daphnia* with newly acquired resistance genes. These zooplankton organisms occupy central positions in aquatic food webs, where they can achieve densities of more than hundred individuals per litre (49), concentrate bacteria through filtration and are prey for higher order consumers. With this proof of concept of the facilitation of antibiotic resistance transfer by aquatic filter feeders, potential health risks may apply to other aquatic food chains, where humans are end consumers of filter feeders or their predators.

Conclusion

Our study has provided the first direct evidence that filter feeding *Daphnia* can facilitate the horizontal transfer of antibiotic resistance genes in pathogenic bacteria of clinical relevance. The *Daphnia* gut proved to be a suitable mating environment for pheromone-induced intraspecies conjugal transfer of vancomycin resistance genes in *E. faecalis*. The facilitating role of *Daphnia* for bacterial conjugation was not species-specific, as transfer efficiencies in *D. magna* and *D. pulex* were comparable and may thus apply to many other *Daphnia* species. The evidence, that horizontal transfer of antibiotic resistance within *Daphnia* can be successful, holds important implications for aquatic environments with large *Daphnia* populations, which are directly impacted by faecal pollution sources. Exposure of *Daphnia* species as 'mobile incubators' for enterococci from anthropogenic sources increases the likelihood of further acquisition of new resistance genes by these emerging pathogens. Proactive wastewater management should therefore consider the implementation of technical measures to minimize the presence of antibiotic resistant bacteria in wastewater treatment effluent discharges into the natural environment.

Acknowledgements

The authors thank the Ulster University Doctoral College for funding this research through a Vice-Chancellor's Research Scholarship for T. Olanrewaju and the Northern Ireland Department of Employment and Learning for funding the PhD scholarship of M. McCarron. J. Arnscheidt thanks Dietrich Uhlmann and Jürgen Benndorf for inspiring the interest in filter feeders.

Author contributions

TO, JA and JD designed the project. TO carried out the conjugation experiments, analysed and interpreted results, and performed statistical analyses. MM performed the selection for Rifampicin resistance in the

ST02013^{Rif} strain and conducted all antibiotic susceptibility tests on the strains in this study. TO and MM performed DNA extraction and PCR amplification. All authors contributed to writing the paper.

Conflict of Interest

The authors declare that there are no conflicts of interest in the preparation of this article. The materials presented represent an original piece of work and have not been submitted to other journals for publication.

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Figures and captions

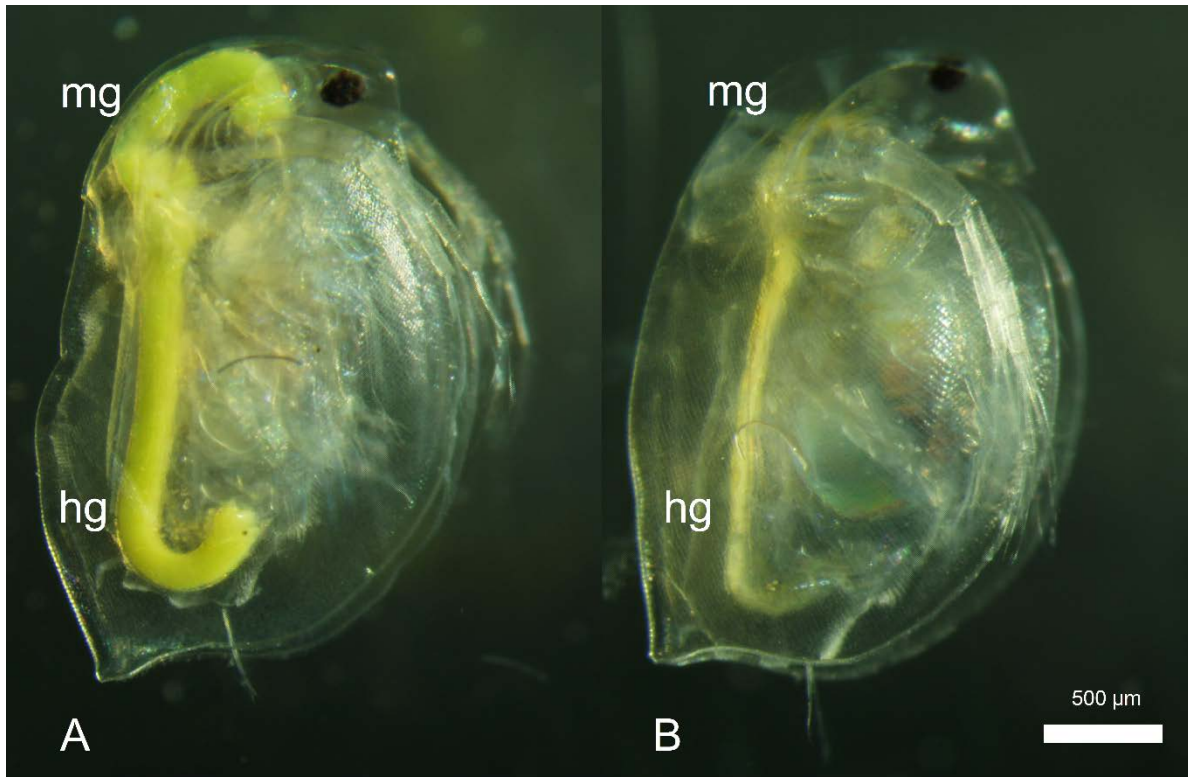


Fig.

1

Microscopic image of *Daphnia magna* before (A) and after gut clearance (B). Daphnids were grown on a *Desmodesmus subspicatus* diet. Gut clearance was achieved by 24 h starvation and 72 h filtration of Sephadex gel beads (mg = mid gut; hg = hind gut).

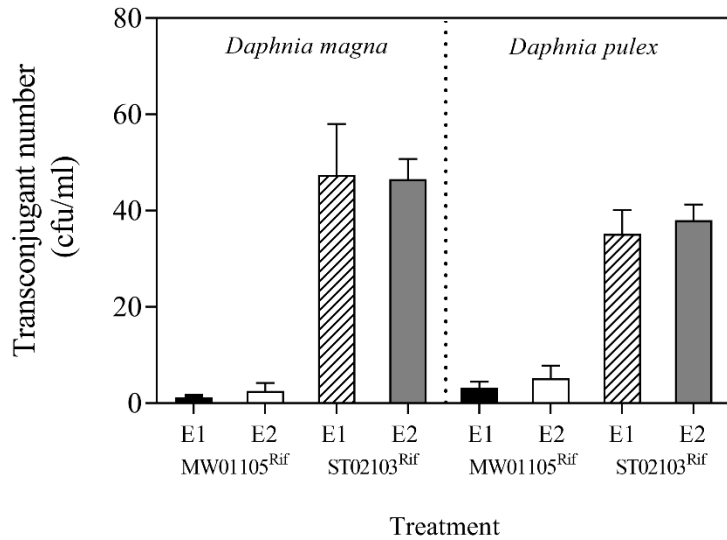


Fig. 2: Emergence of vancomycin resistant transconjugants from *Daphnia*-bacteria treatments in two experiments (E1 = experiment 1, E2 = experiment 2) as total cfu from feeding and gut clearance phases. Samples (n=7) of 10 daphnids from species *D. magna* or *D. pulex* were held in *E. faecalis* treatments containing donor and individual recipient strains (MW01105^{Rif} or ST02103^{Rif}) for 4 h and were subsequently transferred into liquid without bacteria for 2 h gut clearance. Transconjugants were isolated on vancomycin and rifampicin double-selection agar plates. Bar = mean number of transconjugants at 95% confidence interval. Error bars = standard error of the mean.

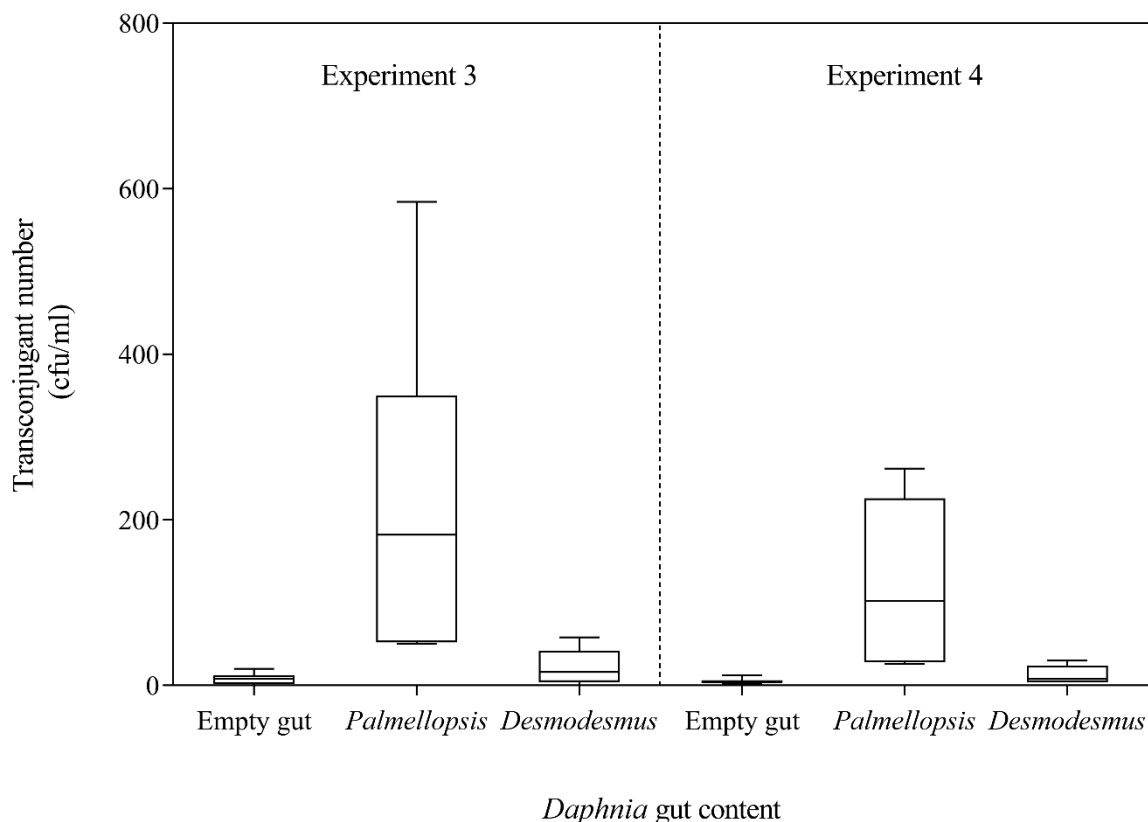
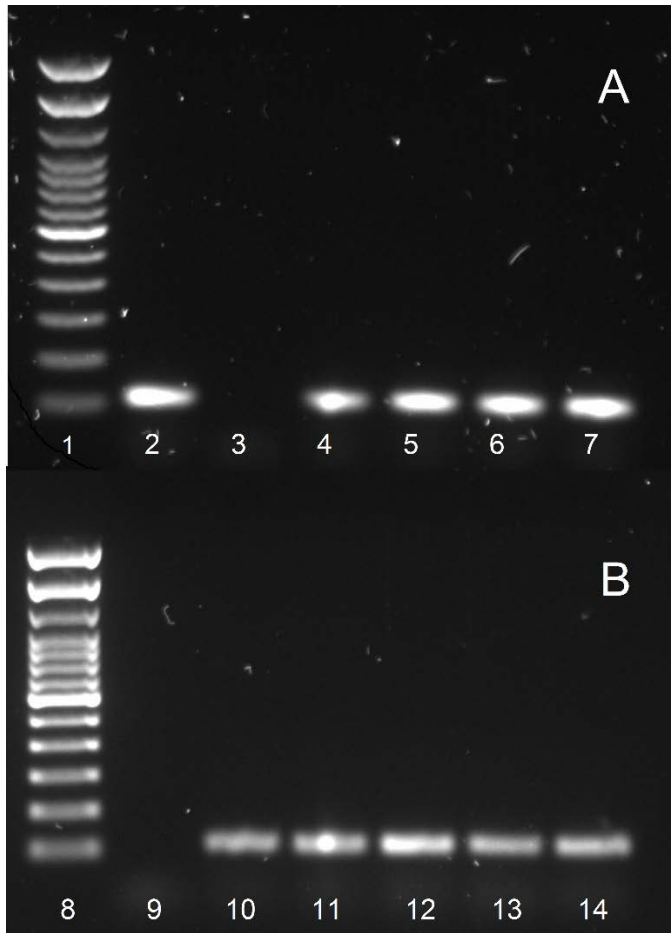


Fig. 3: Emergence of vancomycin resistant transconjugants from *Daphnia magna*-bacteria treatments in two experiments as total cfu from feeding and gut clearance phases. Treatments differed in the diets before exposure to bacteria, as *Daphnia* were fed *Desmodesmus subspicatus*, *Palmellopsis* sp.; unfed specimens with empty guts were used as control. Samples (n=7) of 10 daphnids were held in *E. faecalis* treatments containing donor and recipient strain MW01105^{Rif} for 4 h and were subsequently transferred into liquid without bacteria for 2 h gut clearance. Transconjugants were isolated on vancomycin and rifampicin selection agar plates. In each box and whisker plot, the centre line marks the median transconjugant count. Length of each box represents the range of transconjugant counts obtained from seven samples per treatment, with box edges at the 25th and 75th percentiles. Whiskers indicate the minimum and maximum transconjugant counts.



Supplementary Fig. S1

Agarose gel electrophoresis of the PCR amplification of a *vanA* gene in parent *E. faecalis* strains and transconjugants obtained from conjugation experiments with (A) recipient strain **MW01105** and (B) recipient strain **ST02013**. **Lane 1**: 100 bp molecular size marker; **Lane 2**: *vanA* gene in donor MF06036; **Lane 3**: *vanA* gene not detected in recipient strain MW01105; **Lane 4-5**: *vanA* gene in transconjugants of MW01105 obtained from *Daphnia magna*; **Lane 6-7**: *vanA* gene in transconjugants obtained from *Daphnia pulex*; **Lane 8**: 100 bp molecular size marker ; **Lane 9**: *vanA* gene not detected in recipient ST02013; **Lane 10**: *vanA* gene in donor MF06036; **Lane 11-12**: *vanA* gene in transconjugants obtained from *Daphnia magna*; **Lane 13-14**: *vanA* gene in transconjugants obtained from *Daphnia pulex*.

```

a.MF06036      -GCTACGTTTACCTATCCTGTTTTTGTAAAGCCGGCGCGTTCAGGCTCATCCT----
b.MW01105trans AGCTACGTTTACCTATCCTGTTTTTGTAAAGCCGGCGCGTTCAGGCTCATCCTTCGG
c.ST02103trans -----AAGCCGGCGCGTTCAGGCTCATCCTTCG-

```

Supplementary Fig. S2

CLUSTAL W (1.83) multiple sequence alignment for *vanA* gene in (a) MF06036^{Van} (b) MW01105^{Rif} transconjugant and (c) ST02013^{Rif} transconjugant from a *Daphnia magna* conjugation experiment

Tables and table captions

Table 1: Disk diffusion antimicrobial resistance profiles of selected *Enterococcus faecalis* isolates

<i>Enterococcus</i> isolate	Resistance							
	CIP	IMP	LIN	*RD	S	TEI	TRI	VAN
MF06036 ^{Van}	S	S	S	S	R	R	I	R
MW01105 ^{Rif}	S	S	S	R	R	S	I	S
ST02013 ^{Rif}	S	S	S	R	R	R	I	S

CIP – Ciprofloxacin, IMP – Imipenem, LIN – Linezolid, RD – Rifampicin, S – Streptomycin, TEI – Teicoplanin, TRI – Trimethoprim, VAN – Vancomycin

R – Resistant, I – Intermediate, S – Susceptible

EUCAST ECOFF breakpoint values were used as guidelines for all susceptibility interpretations except rifampicin (RD)

*Rifampicin (RD) resistance/susceptibility was determined in accordance with CLSI breakpoint values

Table 2: Arithmetic means (n=3), standard deviations and estimates for 95% confidence intervals for filtration rates in different *Daphnia* - *Enterococcus faecalis* treatments (strains MW01105^{Rif}, ST02103^{Rif})

	M	SEM	95% CI for Mean Difference
Filtration rate of <i>D. magna</i> (mL ind ⁻¹ h ⁻¹)			
MW01105 ^{Rif}	0.031	0.004	0.0217, 0.0401
ST02103 ^{Rif}	0.031	0.001	0.0285, 0.0334
Filtration rate of <i>D. pulex</i> (mL ind ⁻¹ h ⁻¹)			
MW01105 ^{Rif}	0.025	0.0012	0.0219, 0.0289
ST02103 ^{Rif}	0.026	0.0015	0.0226, 0.0302

M = arithmetic mean; SEM = Standard error of mean ; C.I. = confidence interval

Table 3: Mean transfer efficiencies (n=7) of vancomycin resistance to recipient *Enterococcus faecalis* strains MW01105^{Rif}, ST02103^{Rif} within the gut of two *Daphnia* species

	Recipient strains	Donor count (CFU/mL)	Recipient count (CFU/mL)	Transconjugant number (cfu/mL) FP+GCP (T)	Transfer efficiency (T:R)	Transfer efficiency (T:D)
Experiment 1						
<i>D. magna</i>	MW01105 ^{Rif}	1.8 x 10 ⁸	1.7 x 10 ⁸	3+0 (3)	1.51 x 10 ⁻⁸	1.4 x 10 ⁻⁸
	ST02103 ^{Rif}	1.4 x 10 ⁸	1.5 x 10 ⁸	45+2 (47)	3.16 x 10 ⁻⁷	3.35 x 10 ⁻⁷
<i>D. pulex</i>	MW01105 ^{Rif}	1.8 x 10 ⁸	1.7 x 10 ⁸	3+0 (3)	1.85 x 10 ⁻⁸	1.71 x 10 ⁻⁸
	ST02103 ^{Rif}	1.8 x 10 ⁸	1.5 x 10 ⁸	32+3 (35)	1.92 x 10 ⁻⁷	2.33 x 10 ⁻⁷
Experiment 2						
<i>D. magna</i>	MW01105 ^{Rif}	1.1 x 10 ⁸	1.1 x 10 ⁸	1+0 (1)	1.11 x 10 ⁻⁸	1.08 x 10 ⁻⁸
	ST02103 ^{Rif}	1.1 x 10 ⁸	1.1 x 10 ⁸	40+6 (46)	4.37 x 10 ⁻⁷	4.51 x 10 ⁻⁷
<i>D. pulex</i>	MW01105 ^{Rif}	1.1 x 10 ⁸	1.1 x 10 ⁸	5+0 (5)	4.98 x 10 ⁻⁸	4.84 x 10 ⁻⁸
	ST02103 ^{Rif}	1.1 x 10 ⁸	1.1 x 10 ⁸	36+2 (38)	3.56 x 10 ⁻⁷	3.68 x 10 ⁻⁷

FP= Feeding phase; GCP= Gut clearance phase; T = mean total transconjugant number; D = donor count; R = recipient count

Table 4: Mean transfer efficiencies (n=7) of vancomycin resistance to recipient *Enterococcus faecalis* strain MW01105^{Rif} in *Daphnia magna* depending on the ingestion of individual phytoplankton species before filtering bacteria

Gut content	Donor count (cfu/mL)	Recipient count (cfu/mL)	Transconjugant number (cfu/mL) FP + GCP (T)	Transfer efficiency (T:R)	Transfer efficiency (T:D)
Experiment 3					
No algae	1.0 x 10 ⁸	1.4 x 10 ⁸	4+4 (8)	5.6 x 10 ⁻⁸	8.6 x 10 ⁻⁸
<i>Desmodesmus subspicatus</i>	1.0 x 10 ⁸	1.4 x 10 ⁸	20+2 (22)	1.5 x 10 ⁻⁷	2.4 x 10 ⁻⁷
<i>Palmellopsis</i> sp	1.0 x 10 ⁸	1.4 x 10 ⁸	65+165 (230)	1.6 x 10 ⁻⁶	2.5 x 10 ⁻⁶
Experiment 4					
No algae	1.1 x 10 ⁸	1.1 x 10 ⁸	4+1 (5)	4.71 x 10 ⁻⁸	4.57 x 10 ⁻⁸
<i>Desmodesmus subspicatus</i>	1.1 x 10 ⁸	1.1 x 10 ⁸	12 + 1 (13)	1.26 x 10 ⁻⁷	1.22 x 10 ⁻⁷
<i>Palmellopsis</i> sp	1.1 x 10 ⁸	1.1 x 10 ⁸	113+5 (118)	1.08 x 10 ⁻⁶	1.05 x 10 ⁻⁶

FP= Feeding phase, GCP= Gut clearance phase, T = mean total transconjugant number obtained from seven samples