1 2	Tetrahydrobiopterin Improves Endothelial Function in Patients with Cystic Fibrosis
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#### ABSTRACT

Cystic fibrosis (CF) is a genetic disorder associated with vascular endothelial dysfunction. Nitric oxide (NO) plays a major role in maintaining vascular function and tetrahydrobiopterin (BH<sub>4</sub>) is a critical determinant of NO bioavailability. Thus, the purpose of this study was to investigate the effects of oral administration of BH<sub>4</sub> on endothelial function in patients with CF.

Methods: 29 patients with CF (18 ± 8 yrs old) and 29 healthy matched controls were 44 recruited. Patients with CF participated in a randomized trial where they received a 5 45 mg/kg dose of oral BH<sub>4</sub> (BH<sub>4</sub>-5; n=17) or a 20 mg/kg dose of oral BH<sub>4</sub> (BH<sub>4</sub>-20; n=12). 46 On a separate visit, a subset of patients from each group were retested following a 47 placebo (PLC, n=9). Brachial artery flow-mediated dilation (FMD) was used to evaluate 48 vascular endothelial function and a plasma sample was obtained before and 3 h after 49 Cultured endothelial cells were treated with plasma to assess NO treatment. 50 51 bioavailability.

**Results**: Baseline FMD was lower in patients compared to controls (5.7 ± 3.4% vs. 8.4 ± 3.5% respectively, p = 0.005). No change in FMD was observed following PLC or BH<sub>4</sub>-5 ( $\Delta$ FMD: -0.8 ± 0.0% and -0.5 ± 2.5%; p=0.273 and 0.132, respectively). Treatment with BH<sub>4</sub>-20, however, resulted in significant improvements in FMD ( $\Delta$ FMD: 1.1 ± 1.4%) compared to BH<sub>4</sub>-5 (p=0.023) and PLC (p=0.017). Moreover, BH<sub>4</sub>-20 significantly decreased endothelial cell superoxide production and increased NO production.

58 **Conclusion:** These data suggest that a single oral dose of BH<sub>4</sub> at 20 mg/kg improves 59 vascular endothelial function in patients with CF, likely via increased endothelial NOS

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60 coupling. These findings support the hypothesis that loss of BH<sub>4</sub> bioactivity contributes,

61 in part, to endothelial dysfunction in patients with CF.

# 62 New & Noteworthy

For the first time, the present study documents that a single dose of oral  $BH_4$  can improve vascular endothelial function in patients with CF, and our *in vitro* data suggests this is via decreasing uncoupled NO. These data provide insight into the important role of  $BH_4$  bioactivity on vascular dysfunction and provide the foundation for further investigation into the chronic effects of  $BH_4$  treatment in patients with CF.

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## 70 **INTRODUCTION**

Cystic fibrosis (CF), the most common autosomal recessive disorder among Caucasians, is caused by mutation of the cystic fibrosis transmembrane conductance regulator (CFTR) gene (27). The pathological consequences of this CFTR mutation in CF leads to multiple systemic complications including respiratory, gastrointestinal, endocrine, and musculoskeletal manifestations; all together contributing to early mortality (11, 31).

CFTR is expressed in the vascular endothelium (34). Therefore, it is reasonable to believe a mutant CFTR protein within the endothelium contributes to the existence of both micro- (30) and conduit- (24) vascular endothelial dysfunction in patients with CF. Endothelial dysfunction impairs adequate nutrient supply to active tissues in patients with CF (35), which may contribute to exercise intolerance, an independent predictor of mortality in this patient population (22). The underlying mechanism of endothelial dysfunction in CF; however, has yet to be elucidated.

Nitric oxide (NO), a potent endothelium-derived vasodilator produced by NO 84 synthase (NOS), plays a major role in maintaining normal vascular endothelial function 85 (16). Tetrahydrobiopterin (BH<sub>4</sub>) is an essential cofactor for eNOS function and is a 86 critical regulator of NO production. Consequently, when BH<sub>4</sub> bioactivity is reduced, 87 88 eNOS can become uncoupled and results in production of superoxide rather than NO (2). Indeed, BH<sub>4</sub> deficiency reduces NO bioavailability and contributes to a decrease in 89 endothelial function in various pathological conditions including pulmonary hypertension 90 91 (25), diabetes (14), and smoking (13). Conversely, ingestion of  $BH_4$  has been show to improve flow-mediated dilation (FMD), a non-invasive bioassay of NO bioavailability and 92

vascular endothelial function (10) in older adults (6), chronic smokers (32) and patients
with rheumatoid arthritis (19). Whether or not ingestion of BH<sub>4</sub> improves endothelial
function in patients with CF has yet to be investigated.

96 The vascular effects following oral administration of BH<sub>4</sub> are complex and appear to be dose dependent (5, 23). Enzymatic reactivity of BH<sub>4</sub> and the subsequent influence 97 98 on NO-dependent endothelial vasodilation are highly sensitive to the surrounding biochemical conditions, including overall redox state (33). Thus, identifying an 99 appropriate dose of BH<sub>4</sub> that can effectively increase NO production and improve 100 vascular endothelial function is of great interest in CF, a population that exhibits 101 elevated oxidative stress and vascular dysfunction(9). Accordingly, this proof of concept 102 study sought to test the hypothesis that oral administration of a high dose of BH<sub>4</sub> in 103 patients with CF would improve vascular endothelial function, compared to a low BH<sub>4</sub> 104 dose or placebo. 105

107 METHODS

108 Participants. Patients with CF, aged 8-39 years old, were enrolled if they had a clinical 109 diagnosis of CF based on positive sweat tests and genotype analysis. Patients were 110 excluded if they 1) had a forced expiratory volume in 1 second (FEV<sub>1</sub>) < 50 % predicted, 2) had a resting oxygen saturation  $(SpO_2) < 85\%$ , 3) self-reported to be a smoker, 4) 111 112 were diagnosed with pulmonary hypertension, 5) were pregnant or nursing at the time of the investigation, 6) had a clinical diagnosis of cardiovascular disease, hypertension, or 113 CF related diabetes, or 7) were prescribed any vaso-active medications (e.g. nitrates, 114 beta blockers, ACE inhibitors, etc.). Demographically-matched healthy individuals were 115 recruited as controls (CON) to compare basal vascular function to the patients. All 116 participants and parents of minors provided written and verbal informed consent/assent 117 prior to participation. All study protocols were carried out according to Declaration of 118 Helsinki and not only approved by the Augusta University Institutional Review Board, 119 but also registered at Clinicaltrials.gov (NCT01772758). 120

Experimental Design. This proof of concept study was designed based on our pilot 121 study in 5 CF patients that tested the safety and feasibility of a low dose (5 mg/kg) of 122 oral BH<sub>4</sub> and found no BH<sub>4</sub>-induced change in endothelial function. Based on this 123 preliminary result, we included a higher dose BH<sub>4</sub> group (20 mg/kg) for comparison. 124 Therefore, in the present randomized and placebo-controlled investigation (Figure 1), 125 patients received either a single low dose treatment of BH<sub>4</sub> (5 mg/kg [BH<sub>4</sub>-5]; n=17) or a 126 high dose BH<sub>4</sub> treatment (20 mg/kg [BH<sub>4</sub>-20]; n=12). On a separate day, a 127 128 demographically matched subset of patients from each treatment group were re-tested following ingestion of a placebo (PLC; n=9). All participants reported to the Laboratory 129

of Integrated Vascular and Exercise Physiology (LIVEP) at the Georgia Prevention 130 Institute on two separate occasions: a preliminary day and an experimental day. The 131 preliminary day consisted of the informed consent process, body composition 132 assessments, and a baseline pulmonary function test. For the experimental days, 133 participants were asked to come to the LIVEP at 8 AM following an overnight fast, and 134 135 having abstained from moderate to vigorous physical activity for 24 hours prior to arrival. All patients were instructed to adhere to the timing of their daily pulmonary 136 therapy and come to the lab following their morning airway clearance treatments and 137 inhaled medicines. Upon arrival to the LIVEP, vascular endothelial function was 138 assessed in both patients and controls at baseline (Pre) followed by a venous blood 139 draw. Then, patients received a treatment with either BH<sub>4</sub>-5 or BH<sub>4</sub>-20 (KUVAN<sup>®</sup>), 140 BioMarin Pharmaceutical Inc. Novato, CA) dissolved in 120 ml of apple juice or a PLC 141 was administered. Three hours following treatment (Post), another blood sample was 142 obtained and vascular endothelial function was re-evaluated. The post-measurement 143 time point was chosen based on previous studies indicating that the peak plasma  $BH_4$ 144 concentration occurs around 3 hours (7). All treatments were dispensed by the Augusta 145 University Research Pharmacy. The details of the series were unknown by any of the 146 investigators or patients involved in the study. 147

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Participant Characteristics and Clinical Laboratory Values. Participant testing included
 standard anthropometric assessments of height, weight, calculation of body mass index
 (BMI; kg/m<sup>2</sup>), and resting systolic and diastolic blood pressures. Oxygen saturation was
 obtained at rest using an Onyx II fingertip sensor (Nonin Medical, Plymouth, MN).

Fasting concentrations of total cholesterol (TC), high-density lipoproteins (HDL), lowdensity lipoproteins (LDL), triglycerides (TRIG), and glucose were obtained using a Cholestech LDX point of care analyzer (Alere Inc., Scarborough, ME). Concentrations of high sensitivity C-reactive protein (CRP) were determined using standard clinical core laboratory techniques (Laboratory Corporation of America Holdings, Burlington, NC).

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Pulmonary Function Testing (PFT). Pulmonary function testing was performed using 159 closed circuit spirometry (ParvoMedics, Sandy, UT) to determine forced vital capacity 160 (FVC), forced expiratory volume in one second (FEV<sub>1</sub>), FVC/FEV<sub>1</sub> and forced expiratory 161 flow (FEF<sub>25-75</sub>) according to the American Thoracic Society standards(1). A minimum of 162 three reproducible trials were completed by each participant and the best of three 163 acceptable forced expiratory maneuvers was selected to represent the pulmonary 164 function values. The percent predicted data set was determined following spirometric 165 reference standards(26). 166

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Endothelial Function. Endothelial function was assessed via the brachial artery flow-168 mediated dilation (FMD) test in accordance with the tutorial on the ultrasound 169 assessment of FMD (12). Briefly, using a 12 MHz linear transducer, simultaneous B-170 mode and blood velocity profiles (duplex mode) of the brachial artery were obtained 171 172 (Logig 7, GE Medical Systems, Milwaukee, WI). A forearm occlusion cuff (D.E. Hokanson, Bellevue, WA), placed immediately distal to the medial epicondyle, was 173 rapidly inflated to 250 mm Hg for 5 minutes (E-20 rapid cuff inflator, D.E. Hokanson, 174 175 Bellevue, WA) to induce arterial occlusion and subsequent reactive hyperemia of the

brachial artery. R-wave gaiting (Accusync 72, Accusync Medical Research Corporation, 176 Milford, CN) was utilized to capture end-diastolic arterial diameters for automated offline 177 analysis of brachial artery vasodilation (Medical Imaging Applications, Coralville, Iowa). 178 Hyperemic diameter and blood velocity were recorded every 4 seconds for the first 20 179 seconds and every 5 seconds for the remainder of the 2 minute collection period. Peak 180 181 diameter was determined by the highest 5 second average following cuff release according to recommendations(12). FMD is expressed as a percent increase in peak 182 diameter from baseline diameter. Cumulative shear rate (area under the curve, s<sup>-1</sup>, 183 184 AUC) was determined using the trapezoidal rule, every four seconds for the first 20 seconds following cuff release, and every 5 seconds thereafter for the remainder of the 185 2 minute data collection period. 186

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#### 188 Cultured Endothelial Cells

To assess if treatment improved NOS coupling, cultured endothelial cells were 189 incubated with a subset of patients (n=6) plasma before and following BH<sub>4</sub>-20 treatment 190 and superoxide and NO production were measured fluorometrically. Cryopreserved 191 human aortic endothelial cells (HAoEC) were purchased from PromoCell (Heidelberg, 192 193 Germany) and cultured on tissue culture-treated dishes (Corning Inc., Corning, NY) at 37°C in 5% CO2 and 95% humidity. The recommended culture medium used was 194 endothelial cell growth medium (ECGM) MV2 (PromoCell) supplemented with 0.05 195 ml/ml heat-inactivated fetal calf serum, 5 ng/ml epidermal growth factor, 10 ng/ml basic 196 fibroblast growth factor, 20 ng/ml insulin-like growth factor, 0.5 ng/ml of vascular 197 endothelial growth factor 165, 1 µg/ml of ascorbic acid, 0.2 µg/ml of hydrocortisone 198

(PromoCell) and 1% penicillin/streptomycin (ThermoFisher, Waltham, MA). Cells were
not used beyond passage six and allowed to reach confluence before experiments.

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202 In vitro Assessment of Uncoupled NOS

To investigate mechanisms related to the change in FMD, HAoEC were cultured in 203 24-well plates (100,000 cells per well) for 24 hrs at 37°C in 5% CO<sub>2</sub> environment. After 204 205 cells were grown to 60-70 % confluency, they were then incubated for ~16h in ECGM-MV2 medium supplemented with 20% (v/v) plasma taken before and after BH<sub>4</sub>-20 206 treatment from a subset of patients with CF (n=6). After incubation, superoxide and NO 207 levels were assessed using the fluorescent probes dihydroethidium (DHE) and 4,5-208 Diaminofluorescein diacetate (DAF-2A), respectively. Briefly, cells were washed two 209 times with PBS 1X then stimulated with angiotensin II (100 nM) for superoxide 210 measurements or methacholine (100 µM) for assessment of NO production. Cells were 211 then treated with either DHE (10 µM, Sigma) or DAF-2A (10 µM, EMD Millipore) and 212 213 incubated for 30 minutes at 37°C for detection of superoxide and NO, respectively. To confirm specificity of signal detection, additional cells were pretreated with the 214 superoxide dismutase mimetic tempol (100 nM, Sigma) or the nonselective NOS 215 inhibitor L-nitroarginine methyl ester (L-NAME, 1mM, Sigma) for 30 minutes at 37°C 216 prior to stimulation and fluorescent probe application. All treatments were performed in 217 duplicate and reported as averaged values. 4-5 pictures were taken for each 218 well/treatment using Zeiss 780 Inverted Confocal microscope at 100X magnification (for 219 DHE excitation/emission maxima were 510/595 nm and for DAF-2A excitation/emission 220 221 maxima were 495/515 nm). The intensity of florescence was assessed using image J-

222 NIH software and was corrected to mm<sup>2</sup>. All cell experiments were completed within 223 the same time of day, minimizing the potential confounding effects of diurnal variation.

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#### 225 <u>Statistical Analyses</u>.

The sample size was computed for detecting a significant difference in the change in FMD% from Pre- to Post-BH<sub>4</sub> treatment based on our previous study that examined the acute effect of BH<sub>4</sub> in another clinical population(29). We found that the change in FMD% following BH<sub>4</sub> treatment was  $2.1 \pm 2.3\%$  which yielded a sample size of 12 at a significance level 0.05 with a power of 0.85.

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Values are presented as mean ± SD unless otherwise noted. Differences in patient 232 characteristics between groups were determined using independent groups t-tests or 233 analysis of variance (ANOVA). Primary analysis for group differences in the FMD 234 response following treatment was performed using repeated-measures ANOVA or 235 analysis of covariance (ANCOVA) to adjust for baseline measures and to provide an 236 unbiased estimate of the mean group difference. Bonferroni correction was used for 237 238 post-hoc analysis when a significant main effect was found. Paired t-tests were used to compare DHE and DAF pre and post BH<sub>4</sub>-20 treatment. Effect sizes for FMD responses 239 after treatment are reported by Cohen's d values to represent small (d=0.2), medium 240 (d=0.5), and large effect sizes (d=0.8) (4). An alpha < 0.05 was considered statistically 241 significant for all analyses. All analyses were performed using SPSS version 24.0 (IBM 242 Corporation, Somers, NY). 243

#### 245 **RESULTS**

#### 246 **Participants Characteristics**

Demographic and clinical characteristics of patients with CF and healthy controls are 247 presented in Table 1. Importantly, no significant differences were observed between 248 patient groups for any demographics, pulmonary function, or blood chemistry values (all 249 p>0.05). In addition, the pulmonary function variables represent a relatively healthy 250 patient cohort with mild to moderate disease severity (8). Some indices of lung function 251 as well as diastolic blood pressure, however, were significantly higher (p>0.05) in 252 controls compared to the patients. Although all blood chemistry variables were within 253 normal ranges, CRP was lower (p=0.003) and HDL was higher (p=0.003) in controls 254 255 compared to patients.

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### 257 Basal Endothelial Function between CF Patients and Controls

The average baseline FMD of all patients combined (n=29) was significantly lower 258 compared with the demographically matched healthy control cohort (5.7 ± 3.4% vs. 8.4 259  $\pm$  3.5% respectively, p = 0.005). In addition, the absolute change in artery diameter was 260 greater in controls (0.17  $\pm$  0.01 vs. 0.26  $\pm$  0.01cm, p=0.001) while baseline diameter 261  $(0.31 \pm 0.05 \text{ vs.} 0.30 \pm 0.05 \text{ cm}, \text{ p}=0.599)$ , peak diameter  $(0.32 \pm 0.05 \text{ vs.} 0.33 \pm 0.05 \text{ sc})$ 262 cm, p=0.536), shear rate (55698 ± 27878 vs. 46971 ± 12444 s<sup>-1</sup>,AUC, p=0.129), and 263 TTP (53  $\pm$  23 vs. 43  $\pm$  18 sec, p=0.086) were all similar between patients and healthy 264 265 controls.

#### 267 Effect of BH<sub>4</sub> on Endothelial Function in Patients with CF

Pre- and post-treatment parameters of the FMD test following  $BH_4$ -5,  $BH_4$ -20 and PLC are presented in **Table 2.** Importantly, pre- and post- shear rates following treatment with  $BH_4$ -5,  $BH_4$ -20 or PLC were all similar (p=0.143, p=0.517, and p=0.132, respectively).

All pre-treatment FMD parameters were similar between the three groups (all p>0.05). A significant treatment by time interaction for FMD ( $F_{(2, 33)}=7.51$ , p=0.017) was observed when controlling for pre-treatment values. Specifically, **Figure 2** illustrates a significantly greater change in FMD following BH<sub>4</sub>-20 treatment (1.1 ± 1.4 %, p = 0.023; *d*=0.33), whereas no change was observed with either BH<sub>4</sub>-5 (-0.51 ± 2.53 %, p = 0.132; *d*=0.16) or PLC (-0.84 ± 0.02, p = 0.273; *d* = 0.45).

Similarly, there was a significant treatment by time interaction for peak diameter (cm) 278  $(F_{(2,34)}=6.926, p=0.003)$ . While there was a trend for an increase in peak diameter 279 following BH<sub>4</sub>-20 (0.004  $\pm$  0.007 cm, p=0.056), it decreased following PLC and BH<sub>4</sub>-5 280 (0.005 ± 0.009 and -0.005 ± 0.007 cm, p=0.049 and 0.004 respectively). In addition, 281 there was a significant treatment by time interaction for time to peak vasodilation (TTP; 282 s) ( $F_{(2,34)}$ =4.594, p=0.017). Specifically, no change was observed following BH<sub>4</sub>-5 (-3.8 ± 283 24.7 s, p=0.574) or BH<sub>4</sub>-20 (5.0  $\pm$  17.5 s, p=0.537); however, a significant decrease was 284 observed following PLC (-32.5 ± 43.34 p=0.002). No difference (p>0.05) in blood 285 pressure was observed between baseline and post treatment, respectively, following 286  $BH_4$ -5 (SBP 108 ± 7 vs. 111 ± 6 mm Hg; DBP 61 ± 7 vs. 62 ± 8 mm Hg) and  $BH_4$ -20 287 288 (SBP 112 ± 15 vs. 111 ± 11 mm Hg; DBP 61 ± 8 vs. 61 ± 7 mm Hg).

No significant differences in baseline artery diameter (cm) ( $F_{(2, 34)}$ =1.874, p=0.169), shear rate (s<sup>-1</sup>,AUC) ( $F_{(2, 34)}$ =1.545, p=0.228), or absolute change in diameter (cm) ( $F_{(2, 34)}$ =2.32, p=0.114) were observed. In addition, no significant relationships were observed between baseline FEV<sub>1</sub> and the change in FMD either from BH<sub>4</sub>-5 (r=0.066; p=0.801) or BH<sub>4</sub>-20 (r=0.092; p=0.776).

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295 Endothelial Cell Coupling of NOS3

296 Stimulated superoxide production was significantly lower (p=0.01) in endothelial cells pre-incubated with post BH<sub>4</sub>-20 plasma compared to endothelial cells treated with pre-297 298 treatment plasma (Figure 3A). Inhibition of NOS (using L-NAME) significantly (p<0.001) attenuated stimulated superoxide production in cells treated with plasma prior to BH<sub>4</sub>-20 299 treatment (Figure 3A). NOS inhibition had no significant (p=0.065) effect on superoxide 300 production in cells incubated with plasma post-BH<sub>4</sub>-20. Specificity of stimulated DHE 301 fluorescence for superoxide was confirmed with tempol (Figure 3A). Consistent with the 302 above, stimulated NO production tended to be greater (p=0.10) in endothelial cells 303 incubated with post BH<sub>4</sub>-20 plasma compared to incubation with pre-BH<sub>4</sub>-20 plasma, 304 although this did not reach statistical significance. Pre-incubation with the NOS inhibitor 305 L-NAME confirmed NOS-mediated NO production (Figure 3B). 306

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#### 310 **DISCUSSION**

Patients with CF exhibit systemic vascular endothelial dysfunction (24, 30); 311 312 however, the mechanisms have yet to be elucidated. The present study sought to test 313 the hypothesis that an acute treatment with BH<sub>4</sub> would improve vascular endothelial function in patients with CF. For the first time, findings from the present study 314 315 demonstrate that a single dose of 20 mg/kg of BH<sub>4</sub> improves endothelial function in patients with CF that is accompanied by a decrease in NOS-mediated superoxide 316 production, suggesting an improvement in NOS coupling. In contrast, no change in 317 endothelial function was observed following PLC or 5 mg/kg of BH<sub>4</sub>. Our proof of 318 concept findings support the importance of BH<sub>4</sub> bioactivity as a potential mechanism 319 that may, in part, be responsible for vascular endothelial dysfunction in patients with CF 320 (24, 30).321

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#### 323 Endothelial Function in Patients with CF

CF is caused by mutation of the CFTR gene that is expressed in a wide spectrum 324 of cells including the vascular endothelium (27). Our group has previously provided 325 evidence of both micro- and macro- vascular endothelial dysfunction in young patients 326 with CF who presented with a relatively well-preserved spirometric function (24, 30). 327 Importantly, the present cohort of patients also exhibit endothelial dysfunction when 328 compared to a demographically matched healthy control group. Collectively, these 329 findings not only suggest that CF-related systemic consequences may precede a 330 marked decline in pulmonary function, but also indicate that endothelial dysfunction may 331

be an early indicator of systemic deterioration and/or clinical manifestation in patientswith CF.

## 334 BH<sub>4</sub> Improves Vascular Endothelial Function in Patients with CF

For the first time, the present study demonstrates that a single dose of BH<sub>4</sub> can 335 improve vascular endothelial function in patients with CF, evidenced by a 1.1 % 336 absolute and a 17% relative increase in FMD following high dose treatment (Figure 2). 337 The FMD test represents a bioassay of NO bioavailability and assessment of 338 339 endothelial function. Although apparently small in magnitude, a 1% absolute increase in FMD has been associated with a 10-13% reduction in risk of future cardiovascular 340 events and all-cause mortality (17, 36). In addition, this moderate improvement 341 (Cohen's d=0.33) in FMD may have significant implications for quality of life and survival 342 in this patient population through the improvement in other systemic manifestations of 343 Future studies are warranted to determine the CF, including exercise capacity. 344 therapeutic effect of chronic BH<sub>4</sub> treatment on both FMD and exercise capacity in CF. 345

The improvement in FMD observed in the present study is in line with previous 346 studies that have demonstrated an increase in endothelial function following acute 347 administration of oral BH<sub>4</sub> in different populations that exhibit systemic oxidative stress 348 (6, 18, 19, 32). Although the exact mechanisms by which oral BH<sub>4</sub> treatment increases 349 endothelial-dependent vasodilation in patients with CF are unclear, an increase in 350 endothelial NO production associated with improved eNOS coupling is likely to play an 351 important role. To support this hypothesis we performed in vitro studies examining the 352 353 ability of BH<sub>4</sub>-treated patient plasma to modulate endothelial cell superoxide and NO production (Figure 3). Findings from this cell culture experiment suggest an 354

improvement in NOS coupling and subsequent NOS-mediated NO production in 355 endothelial cells following BH<sub>4</sub>-20 treatment. Importantly, the change in FMD observed 356 following treatment in the present study was independent of basal lung function. Conduit 357 artery FMD is shown to be primarily mediated by endothelial-derived NO (20), and  $BH_4$ 358 is a cofactor specific for eNOS that modulates NO synthesis in the vascular endothelium 359 360 (3). In fact, previous studies have demonstrated that  $BH_4$  is able to increase NO bioavailability (5, 15) and promote vasodilation without impacting endothelial-361 independent vasodilatory mechanisms (18, 25). Moreover, results from animal studies 362 363 demonstrate that deficiency of endothelial BH<sub>4</sub> alone is sufficient to cause vascular dysfunction even in the absence of atherogenic vascular disease (3). Collectively, 364 findings from the present study support the idea that insufficient bioactivity of BH<sub>4</sub> in the 365 endothelium contributes to endothelial dysfunction in CF (24). Although speculative, CF-366 associated BH<sub>4</sub> oxidation by overproduction of vascular reactive oxidative species may 367 lead to decreased endothelial BH<sub>4</sub> bioavailability, which in turn may uncouple eNOS and 368 lead to a reduction in NO-mediated vasodilation. Impaired NO-dependent vasodilation 369 can impair blood flow regulation during exercise especially under conditions of elevated 370 371 basal oxidative stress. Exercise intolerance is an independent contributor of mortality in CF. Therefore, understanding the mechanisms that contribute to vascular dysfunction in 372 CF may have important clinical implications, and future studies are certainly needed to 373 374 examine the mechanistic role of BH<sub>4</sub> on NO generation and the development of vascular dysfunction in CF. 375

# A High Dose of BH<sub>4</sub> may be Needed to Improve Endothelial Function in Patients with CF

Enteral administration of BH<sub>4</sub>, although unrelated to its vascular benefit, is 378 clinically indicated to treat phenylketonuria using a dose between 5-20 mg/kg. This wide 379 dosing range depends on 1) varying responsiveness related to genotype, 2) absorption, 380 and/or 3) metabolic states of  $BH_4$  (23). Perhaps unsurprisingly, even the vascular effects 381 following oral administration of BH<sub>4</sub> appear to be complex and dose dependent (5, 23). 382 383 In the present study, we observed an improvement in vascular endothelial function only following oral administration of BH<sub>4</sub> at a dose of 20 mg/kg; PLC or 5 mg/kg of BH<sub>4</sub> did 384 not alter the FMD response. In support, prevailing data in the literature have already 385 386 suggested a similar dose-dependent effect of BH<sub>4</sub> on the vasculature (21, 23, 25). A daily dose of at least 400 mg of oral BH<sub>4</sub> significantly improves endothelial function in 387 patients with hypertension, whereas no effect was observed following a daily dose of 388 200 mg(25). The average amount of BH<sub>4</sub> given to the BH<sub>4</sub>-5 group in the present study 389 was  $265 \pm 72$  mg, which was similar to the previously demonstrated ineffective dose(25) 390 391 and may explain the null response.

A classic feature of CF is elevated systemic oxidative stress (9). In addition, BH<sub>4</sub> 392 is easily oxidized by excessive free radicals. Therefore, a higher dose of BH<sub>4</sub> may have 393 394 been needed to balance multiple modulators of the eNOS uncoupling cascade, such as BH<sub>4</sub> oxidation status, BH<sub>4</sub> clearance rate, and the ratio of BH<sub>4</sub> to BH<sub>2</sub> in the vascular 395 endothelium. Moreover, the progressive dose escalation designs that are often 396 397 performed during early stages of clinical trials with BH<sub>4</sub> supplementation (28) suggests the importance of disease-specific investigation for the sake of safety and efficacy of the 398 use of  $BH_4$  in clinical populations. Although it is uncertain whether the  $BH_4$ -associated 399 improvement in endothelial function can be maintained with chronic BH<sub>4</sub> administration 400

in patients with CF, further investigation is warranted to explore effective strategies for
 maintenance of adequate levels of intracellular BH<sub>4</sub> bioavailability in patients with CF.
 Nonetheless, the present study demonstrates that a treatment dose of 20 mg/kg of BH<sub>4</sub>
 produces a significant increase in endothelial dependent vasodilation that is
 accompanied by an improved NOS3 coupling in patients with CF.

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# 407 Clinical Significance

408 Vascular endothelial dysfunction plays an important detrimental role in many pathological conditions. With respect to patients with CF, vascular dysfunction is a 409 contributor to exercise intolerance (24), a predictor of mortality that is independent of 410 lung function in this patient population (22). Improvements in both endothelial function 411 and NOS3 coupling following BH<sub>4</sub> treatment observed in the present study may 412 increase longevity of these patients due to 1) the overall improvement in cardiovascular 413 disease risk, and 2) directly or indirectly increasing blood flow regulation and 414 contributing to an improvement in exercise capacity. Although both scenarios will have 415 significant clinical implications on survival in patients with CF, further research is 416 warranted to test these hypothesis. 417

418

# 419 Conclusion

For the first time, the present study has shown that a single oral dose of 20 mg/kg of BH<sub>4</sub> significantly improved vascular endothelial function in patients with CF. The improvement in FMD was also accompanied by a significant decrease in endothelial cell

NOS-mediated superoxide production and an increase in NO supporting an improvement in NOS coupling with  $BH_4$  treatment. These findings indicates an important role of  $BH_4$  bioactivity in the regulation of endothelial dependent vasodilation in CF. Further studies are needed to investigate the ultimate translational potential of  $BH_4$  in prevention and treatment of vascular dysfunction in patients with CF.

428

# 429 Competing Interests

430 None to declare.

431

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553	FIGURE LEGEND
554 555 556 557	
558	Figure 1. Study Flow Chart
559	<b>CF</b> : cystic fibrosis, <b>BH₄-5</b> : 5mg/kg of BH₄, <b>BH₄-20</b> : 20mg/kg of BH₄, <b>PLC</b> : placebo
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562	Figure 2. The change ( $\Delta$ ) in FMD(%) following BH4-20 compared to BH4-5 or PLC in
563	patients with CF. Values are means ± standard error. * Significant difference (p< 0.05)
564	from both BH4-5 and PLC.
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567	Figure 3. Stimulated human aortic endothelial cell production of superoxide (panel A)
568	and nitric oxide (panel B) incubated with pre and post $BH_4$ -20 patient
569	plasma. Representative fluorescent images of cells under basal, L-NAME, and Tempol
570	conditions for each probe are illustrated. *Significant from basal pre BH <sub>4</sub> -
571	20. +Significant from corresponding basal value. #Significant from corresponding basal
572	and pre BH <sub>4</sub> -20 L-NAME.

574 **Table 1.** Characteristics and laboratory values for patient treatment groups (BH<sub>4</sub>-4 and BH<sub>4</sub>-20) and healthy controls (CON).

	BH₄-5	BH₄-20	CON	p-value <sup>*</sup>		
N	17	12	29			
Demographic						
Sex (M/F)	7/10	6/6	13/16			
Age (yrs)	17 ± 7	19 ± 8	22 ± 9	0.182		
Height (cm)	158 ± 14	162 ± 11	165 ± 13	0.170		
Weight (kg)	53 ± 14	57 ± 14	62 ± 21	0.285		
BMI (kg/m <sup>2</sup> )	20.8 ± 3.3	21.8 ± 3.8	22.1 ± 5.6	0.665		
Body Fat (%)	22.2 ± 6.0	23.5 ± 4.1	22 ± 8.5	0.525		
SBP (mm Hg)	109 ± 13	110 ± 11	113 ± 15	0.635		
DBP (mm Hg)	60 ± 8	62 ± 8	68 ± 11	0.015 <sup>*</sup>		
Pulmonary function						
FVC (L)	3.7 ± 1.3	3.8 ± 1.2	3.9 ± 1.1	0.711		
FEV <sub>1</sub> (L)	2.8 ± 1.1	3.0 ± 1.1	3.3 ± 0.8	0.145		
FEV <sub>1</sub> /FVC (%)	74 ± 8	78 ± 10	85 ± 7	0.001 <sup>*</sup>		
FEV, (% predicted)	87 + 18	88 + 20	98 + 13	0.059		
FEF <sub>25-75</sub> (L/s)	$2.5 \pm 1.3$	$2.9 \pm 1.5$	$3.6 \pm 1.1$	0.011 <sup>*</sup>		
Blood chemistry						
CRP (mg/L)	2.3 ± 2.1	3.9 ± 3.5	0.9 ± 1.4	0.003*		
TC (mg/dL)	133 ± 39	150 ± 37	158 ± 27	0.066		
HDL (mg/dL)	43 ± 15	48 ± 19	62 ± 18	0.003 <sup>*</sup>		
LDL (mg/dL)	73 ± 28	78 ± 16	85 ± 24	0.194		
TRIG (mg/dL)	96 ± 36	83 ± 22	76 ± 35	0.191		
TC/HDL ratio	3.1 ± 1.0	$3.3 \pm 0.8$	2.7 ± 0.8	0.165		
Glucose (ma/dL)	93 ± 35	95 ± 19	88 ± 9	0.667		

576 Values are mean ± SD. BMI: Body Mass Index, SBP: Brachial Systolic Blood Pressure,

577 **DBP**: Brachial Diastolic Blood Pressure, **FVC**: Forced Vital Capacity, **FEV**<sub>1</sub>: Forced

578 Expiratory Volume in one second, **FEF**<sub>25-75</sub>: Forced Expiratory Flow at 25-75%, **CRP**: C-

579 Reactive Protein, **TC**: Total Cholesterol, **HDL**: High-density Lipoproteins, **LDL**: Low-

580 density Lipoproteins, **TRIG**: Triglycerides

<sup>\*</sup>Significant difference between healthy controls and both  $BH_4$ -5 and  $BH_4$ -20 (p<0.05).

**Table 2.** Parameters of the FMD test in patients with CF before (Pre) and after (Post) treatment with 5 mg/kg of BH<sub>4</sub> (BH<sub>4</sub>-583 5), 20 mg/kg of BH<sub>4</sub> (BH<sub>4</sub>-20), and placebo (PLC).

	Variable	iable BH4-5 BH4-20		PLC			
	-	Pre	Post	Pre	Post	Pre	Post
	Baseline diameter (cm)	0.31 ± 0.05	0.30 ± 0.05	0.30 ± 0.06	0.31 ± 0.06	0.33 ± 0.05	0.33 ± 0.05
	Peak diameter (cm)	0.32 ± 0.05	0.32 ± 0.06	0.32 ± 0.05	0.33 ± 0.06	0.35 ± 0.05	0.34 ± 0.05
	Shear rate (s⁻¹,AUC)	58269 ± 33110	49548 ± 29841	52056 ± 18949	54460 ± 23250	52527 ± 25003	43242 ± 30749
	Absolute change (cm)	0.016 ± 0.009	0.014 ± 0.007	0.018 ± 0.007	0.021 ± 0.006*	0.021 ± 0.012	0.018 ± 0.008
	FMD (%)	5.3 ± 3.5	4.8 ± 2.8	6.3 ± 3.2	7.4 ± 3.4*	6.5 ± 4.1	5.7 ± 2.7
	TTP (sec)	54.17 ± 33.16	47.50 ± 27.16	53.61 ± 21.33	59.72 ± 17.70	70.83 ± 35.26	41.39 ± 16.35*
84	Values are mean ± SD.	AUC: Area unde	r a Curve, <b>FMD</b> : F	-low-Mediated Dil	ation, <b>TTP</b> : Time-	to-Peak.	
85	* Significant change fror	n Pre-treatment	/alues (p < 0.05)				
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