Synthesis of copper-based nanostructures in liquid environments by means of a non-equilibrium atmospheric pressure nanopulsed plasma jet

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Abstract

The influence of the liquid composition on the chemical and morphological properties of copper-based nanostructures synthesized by a non-equilibrium atmospheric plasma treatment is investigated and discussed. The synthesis approach is simple and environmentally friendly, employs a non-equilibrium nanopulsed atmospheric pressure plasma jet as a contactless cathode and a Cu foil as immersed anode. The process was studied using four distinct electrolyte solutions composed of distilled water and either NaCl + NaOH, NaCl only or NaOH only at two different concentrations, without the addition of any copper salts. CuO crystalline structures with limited impurities (e.g. Cu and Cu(OH)₂ phases) were produced from NaCl + NaOH containing solutions, mainly CuO and CuCl₂ structures were synthesized in the electrolyte solution containing only NaCl and no synthesis occurred in solutions containing only NaOH. Both aggregated and dispersed nanostructures were produced in the NaCl + NaOH and NaCl containing solutions. Reaction pathways leading to the formation of the nanostructures are proposed and discussed.

Keywords: non-equilibrium atmospheric pressure plasma, synthesis of nanostructures, plasma-liquid interactions, reaction pathways, X-ray photoelectron spectroscopy, transmission electron microscopy.

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Introduction

In recent years, the interactions between non-equilibrium (cold) atmospheric pressure plasmas (CAP) and liquids have attracted great interest due to their key role played in reaction mechanisms relevant for emerging fields such as plasma medicine [1, 2] and nanomaterials synthesis [3–5]. As widely documented, CAP can be the source of a broad range of reactive species, which initiate cascade reactions in the bulk of the liquid phase when interacting through the gas-liquid interface [6]. These reactions can induce the synthesis of nanostructures directly in solution when suitably designed plasma-liquid configurations are used [7–10].

In addition to CAP assisted approaches, wet-chemical (i.e. hydro/solvothermal synthesis, selfassembly, sonication) and electrochemical techniques have been employed over the years for the synthesis of nanostructures [11-15]. Among these techniques, electrochemical methods show similarities with the CAP assisted approach; these are based on the anodic dissolution of metal foils and subsequent reduction of metal cations at the solid cathode to obtain pure and size controlled nanostructures. However, isolating the reduction products without affecting their purity is rather difficult; in this respect CAP presents a remarkable advantage, since the replacement of a solid cathode with a contactless one allows the free nucleation and dispersion of the produced nanostructures [16]. An additional advantage is the possibility of synthesizing nanostructures without the need for surfactants or capping agents [17–19], which are typically required in wet chemical and electrochemical methods to avoid aggregation [14, 15, 20-23] but are generally responsible for nanostructures contamination. Recently, Mariotti et al. demonstrated the possibility of effectively employing a cathodic microplasma to synthesize surfactant-free and electrostatically stabilized Au nanoparticles of different sizes by varying the concentration of the Au precursor dissolved in deionized water [4]. The cathodic microplasma configuration was also employed to produce colloidal metal nanoparticles from a solid metal anode dipped in an electrolyte solution. Sankaran et al. reported on the use of a cathodic microplasma and an immersed solid Ag or Au foil, as anode, for the rapid production of colloidal metal nanoparticles in an acidic electrolyte solution of de-ionized water, in the presence of a stabilizing agent [3, 7]; similarly, Du et al. proposed the production of Cu₂O nanoparticles in an electrolyte solution by means of the cathodic microplasma configuration and using a Cu foil as anode [5].

However, further investigations are still required to better understand the effects of the chemical composition and conductivity of the liquid environment on the characteristics of the plasma-produced nanostructures. In the present work, we have focused on these aspects by testing four distinct electrolyte solutions composed of distilled water and either NaCl + NaOH, NaCl or NaOH at two different concentrations; no surfactants, capping or stabilizing agents were added to the solutions. The experimental setup was completed by a nanopulsed CAP jet, as cathode, and a Cu foil partially immersed in the electrolyte solution as anode. Copper was chosen as the anode of the electrochemical

cell since Cu-based nanostructures (e.g. CuO, CuCl₂, Cu) have raised interest for a wide range of applications, such as absorber in photovoltaic cells, catalyst and antimicrobial agents [24–27]. Morphological and chemical characterization of the obtained nanostructures was performed by transmission electron microscopy (TEM), selected area electron diffraction (SAED), X-ray diffraction (XRD) analysis and X-ray photoelectron spectroscopy (XPS). The chemical mechanisms leading to the synthesis of nanostructures in both electrolyte solutions are proposed and discussed with the support of the chemical characterization of the plasma-produced colloids.

Experimental section

Non-equilibrium atmospheric pressure nanopulsed plasma jet

The plasma source adopted in this work is a single electrode plasma jet (Figure 1a), which is suitable for different processes such as polymerization, co-deposition processes and for the treatment of various substrates [28–31]. The high-voltage electrode is a 19.5 mm long stainless steel sharpened metallic needle with a diameter of 0.3 mm. The plasma plume is ejected from the source tip through an orifice with a diameter of 4 mm. The plasma source was driven by a commercial pulsed DC generator (FID GmbH-FPG 20-1NMK) producing high-voltage pulses with a slew rate of 3–5 kV ns⁻¹, a pulse duration of about 30 ns and a peak voltage (PV) of 7–20 kV.

Plasma-assisted synthesis of Cu-based nanostructures

In our experimental setup (Figure 1b), the CAP jet was used as cathode while a copper foil (2 cm x 2 cm) was used as anode. The peak voltage and pulse repetition frequency of the generator driving the source were fixed at 7 kV and 320 Hz, respectively; 3 standard litres per minute (slpm) of Ar were used to sustain the plasma, which propagated in air across the gap (1 mm) between the nozzle of the CAP jet and the liquid solution. The distance between the plasma source and the copper foil was set at 3 cm; the plasma treatment of the liquid solution lasted 30 min.

In order to carefully investigate the chemistry induced by the plasma in the liquid environment, additional experiments were performed using an inert carbon rod as anode as a substitute for the copper foil.

Four distinct 6 mL aqueous electrolyte solutions (chemicals purchased from Sigma Aldrich) were employed for the process; Table 1 summarizes the respective composition and conductivity, where the latter was measured by means of a Eutech Cond 6+ conductivity meter.

Table 1. Electrolyte solutions: chemical composition, conductivity and pH values

	NaCl	NaOH	Conductivity	pН	
	[g/mL]	[g/mL]	[mS/cm]		
SOL1	0.075	0.005	112.35±0.76	12.81±0.03	
SOL2	0.150	-	181.93±5.78	5.91±0.25	

SOL3	-	0.005	33.17±1.31	12.8±0.1
SOL4	_	0.047	110 60±1 80	13 1±0 1

All the electrolyte solutions were produced starting from distilled water and NaCl + NaOH (alkaline solution) or NaCl (acid solution) were used to vary the pH. SOL3 contained only NaOH with the same concentration of SOL1 to understand the role of the base in the plasma-liquid processes occurring in SOL1. Finally, SOL4 contained only NaOH with a concentration sufficient to obtain the same conductivity of SOL1 so to discriminate the relevance of the chemical composition of the liquid environment with respect to its conductivity.

Colloids characterization

The pH of the solutions before and after 30 min of plasma exposure was measured by means of a pH meter (InLab Micro Pro). Analytic Quantofix test strips (Sigma Aldrich) were used to perform a semi-quantitative analysis of Cu ions (Cu^{+/2+}), hydrogen peroxides, nitrates and nitrites concentrations (detection limits 5 mg/L, 0.5 mg/L, 0.5 mg/L, respectively) in untreated solutions and colloids, as reported in [32].

Protocol for samples characterization after nanostructures synthesis

Colloids were washed by centrifuging (3000 rpm for 1 hour) in water for 4 times and in ethanol for 4 times. After that, colloidal samples were transferred in glass vials, dried and further annealed at 300 °C for 3 h. The samples were then re-dispersed in ethanol and ultra-sonicated for 10 min before drop-casting.

Morphological and chemical characterizations of the nanostructures

The morphology and chemical properties of the samples were analyzed by TEM and SAED (JEOL JEM-2100F), XRD (XRD, Bruker D8 Discover) and XPS (Kratos Anlytical, Japan). Samples were drop-cast on carbon coated Au grids and allowed to dry overnight before being used for TEM and SAED measurements. For XRD measurements, powder samples were directly drop-cast onto an XRD sample holder and scanned. For XPS measurements, samples were drop-cast on silicon substrates and dried.

Results and Discussion

Characterization of the colloids

The colour change in the solution after plasma treatment is often a qualitative indication of the nanostructures being formed [4, 16]. In our experiments we observed a colour change after 10 minutes of plasma treatment only for SOL1 (brownish colour, Figure 2b) and SOL2 (greenish colour, Figure

2d). Conversely, nanostructures were not synthesized in SOL3 and SOL4 and accordingly no colour change was observed.

Cu ions were detected in both the colloids produced by plasma treating SOL1 (SOL1 colloid) and SOL2 (SOL2 colloid); more specifically, a concentration in the range of 10-30 mg/L was detected in the SOL1 colloid and a concentration in the range of 100-300 mg/L was measured in the SOL2 colloid. SOL1 was initially extremely basic (pH 12.81 \pm 0.03) due to the presence of NaOH and no significant variations in pH were registered after the plasma treatment. However, the plasma process induced a dramatic alkalinisation of SOL2, with the pH increased from 5.91 \pm 0.25 to 10.35 \pm 0.22. In both colloids, NO₂⁻¹ (concentration in the range of 10-20 mg/L) and NO₃⁻¹ (concentration in the range 100-250 mg/L) anions were detected; these ions can originate from oxygen and nitrogen species formed by the interaction of the plasma plume with the surrounding environment air, which then dissolve into the liquid phase [8]. Finally, the concentration of H₂O₂ was below the detection limit for all the plasma treated solutions. Production of Cu^{+/2+} was not observed in SOL3 and SOL4 after plasma treatment, possibly due to the role of NaCl in promoting the anodic dissolution of the Cu foil, as observed in electrochemical cells [33–35]. These results are further summarized in Table 2.

Table 2. Concentrations of Cu^{+/2+}, NO₂, NO₃, H₂O₂ and values of pH measured in SOL1, SOL2, SOL 3 and SOL4 before and after 30 min of plasma exposure

	SOL1	SOL1	SOL2	SOL2	SOL3	Treated	SOL4	Treated
		colloid		colloid		SOL3		SOL4
[Cu ^{+/2+}] [mg/L]	undetected	10-30	undetected	100-300	undetected	undetected	undetected	undetected
[NO ₂ ⁻] [mg/L]	undetected	10-20	undetected	10-20	undetected	10-20	undetected	1-5
[NO ₃ -]	undetected	100-250	undetected	100-250	undetected	10-20	undetected	25-50
[mg/L]								
$[H_2O_2]$	undetected							
[mg/L]	undetected	Ondetected	undetected	undetected	undetected	undetected	undetected	undetected
pН	12.81±0.03	12.73±0.15	5.91±0.25	10.35±0.22	12.8±0.1	12.7±0.1	13.1±0.1	13.2±0.1

Morphology of the synthesized nanostructures

Figure 3 reports the TEM images of the nanostructures obtained from SOL1 and SOL2. The plasma treatment of both solutions induced the production of nanorods and nanoparticles. However, the chemical composition of the electrolyte solutions did affect the produced nanostructures; while nanorods from both solutions were highly aggregated (Figure 3a and 3e), nanorods from SOL1 appeared to be longer (200-500 nm long) than those from SOL2 (< 200 nm long). Also, nanorods in SOL2 presented a single crystal phase (Figure 3f) while a clear polycrystalline nature could be observed for nanorods from SOL1 (Figure 3b). In both cases the CuO phase could be confirmed by fast Fourier transform (FFT) analysis, as shown in the corresponding insets where planes separated by 0.24 nm have been observed as expected for CuO. Crystalline nanoparticles with diameters below 10 nm could also be observed in both colloids (Figure 3c, 3d and 3h). Finally, some non-crystalline structures were observed in SOL2 (Figure 3g). SAED analysis (Figure 4) confirmed the presence of the CuO phase in both cases with also other crystalline structures among SOL2 products (Figure 4b); their chemical composition was identified by means of different characterization techniques as reported in the following section.

Chemical composition and crystal structure of the synthesized nanostructures

The chemical composition and crystal structure of the nanomaterials was further assessed by XRD and XPS analysis. Samples were obtained by drop-casting the colloids onto silicon substrates and dried, according to the procedure reported in Methods.

Figure 5 reports the XRD spectra collected for samples produced by plasma treating SOL1 and SOL2. XRD patterns clearly highlight that the peaks can be attributed to CuO, presenting a monoclinic pure phase (Pattern: 01-089-5895)[36, 37]. However, samples produced from SOL2 also present two minor NaCl peaks. No characteristic peaks of any other copper containing compound could be detected from this analysis.

Figure 6 shows the XPS spectra collected for samples obtained from SOL1 and SOL2. The Cu 2p core level binding energies just below 935 eV and 955 eV are attributed to the Cu $2p_{3/2}$ and Cu $2p_{1/2}$, respectively [36, 38]. The presence of the satellite peaks (from 940-946 eV and 960-965 eV) on the higher binding energy side of the Cu 2p main peaks confirmed the formation of CuO phase rather than cuprous oxide (Cu₂O) [38, 39]. Deconvolution of the two main Cu $2p_{3/2}$ and Cu $2p_{1/2}$ peaks was carried out on the basis of the following components: metallic copper (I), CuO phase (II) and a third peak to be ascribed to either the binding energy within CuCl₂ or Cu(OH)₂ (III).

Focusing on the nanostructures produced from SOL1 (Figure 6a and 6b), the wide scan spectrum (Figure 6a) underlines the presence of Cu and O. The trace amount of silicon (Si), carbon (C) and sodium (Na) are due to the substrate, organic impurities and to the composition of the electrolyte solution, respectively. Selected areas of the wide scan were also recorded for Cu 2p (Figure 6b). The de-convoluted Cu $2p_{3/2}$ envelope exhibits a peak placed at 934.2 eV (II) corresponding to CuO phase and a much less intense peak at 932.2 eV (I) attributed to the presence of the Cu phase [40, 41]. The

XPS wide scan does not indicate the presence of Cl and therefore the third low-intensity peak (III) has to be ascribed to $Cu(OH)_2$. Finally, the $Cu\ 2p_{1/2}$ peak can be de-convoluted in the lower contribution of the metallic phase (I) and the stronger one of the oxidized phase (II).

Wide scan XPS spectra of nanostructures synthesized from SOL2 (Figure 6c) showed the presence of Cu, O and traces of Si, C and Na, as already observed for nanostructures from SOL1. Additionally, the presence of Cl peaks at 268.6 eV and 198.6 eV can be attributed to the CuCl₂ phase, as reported in a previous work [40]. This is confirmed from the analysis of the Cu 2p peaks (Figure 6d), since the Cu $2p_{3/2}$ and Cu $2p_{1/2}$ are placed at slightly higher binding energy (934.8 eV and 954.7 eV, respectively) than the SOL1 case, in agreement with the contextual presence of CuO and CuCl₂ phases [40]. The deconvolution is consistent with this analysis as the typical binding energies for CuO (II) and CuCl₂ (III) can be fitted (Figure 6d) along with a negligible peak attributed to copper metal phase (I). However we cannot exclude the possibility that peak (III) originates from the Cu(OH)₂ phase.

Formation of nanostructures and reactions induced by plasma in liquid phase

Based on the material characterization, both dispersed and aggregated nanoparticles and nanorods were produced in SOL1 and SOL2 colloids. SOL1 mainly yields CuO crystalline structures with limited impurities or unreacted products (e.g. Na as detected by XPS). A very limited amount of metallic Cu and Cu(OH)₂ nanostructures are also revealed by XPS analysis (undetected by XRD). SOL2 also produces CuO crystalline structures together with by-products and unreacted compounds as observed by XPS (i.e. CuCl₂) and XRD (NaCl), respectively. Two coexisting reaction pathways leading to the synthesis of these Cu-based nanostructures are here proposed.

Pathway A:

$$Cu + nCl^{-} - e^{-} \rightarrow CuCl_{n}^{1-n}$$
 (1)

Cl⁻, due to the dissociation of NaCl in water, reacts with metal Cu at the interface between the copper foil anode and the solution, producing CuCl_n¹⁻ⁿ [5]. CuCl_n¹⁻ⁿ ions move into the electrolyte solution which contains Cl⁻ and OH⁻ ions due to the natural dissociation of water and NaOH (if present) and to the dissociation of H₂O molecules induced by plasma at the cathode of the electrochemical cell [5, 7, 8]. CuCl_n¹⁻ⁿ reacts with the electrolyte leading to the formation of copper oxychloride compounds, i.e. botallackite [42] or clinoatacamite [43], containing both CuCl₂ and Cu(OH)₂ phases. After the formation of these phases, the pathway proceeds according to the following reactions, depending on the pH of the solution:

$$CuCl2 + 2OH- \rightarrow Cu(OH)2 + 2Cl-$$
(2)
$$Cu(OH)2 + 2OH- \rightarrow CuO + 2OH- + H2O$$
(3)

In an acidic solution, the equilibrium is shifted towards $CuCl_2$, so the formation of $Cu(OH)_2$ in (2) is limited [43]. Conversely, in an alkaline solution at room temperature, $CuCl_2$ can react with OH leading to the formation of $Cu(OH)_2$ in (2) [44]. before being converted to CuO according to (3) [45].

Pathway B:

$$Cu \rightarrow Cu^{2+}_{aq} + 2e^{-}_{aq} \qquad (4)$$

$$Cu^{2+}_{aq} + 2e^{-}_{aq} \rightarrow Cu \qquad (5)$$

$$Cu + H_2O_2 \rightarrow CuO + H_2O$$
 (6)

In this second pathway (B), the first reaction (4) represents the metal dissolution occurring at the anode of the electrochemical cell [3]; the released Cu^{2+} ions then react with hydrated electrons in the bulk of the liquid, forming Cu according reaction (5) [3, 46]. Finally, in the bulk of the liquid, metal Cu can react with H_2O_2 molecules leading to the formation of CuO [46].

In alkaline solutions (SOL1, SOL3 and SOL4), H_2O_2 was not observed after plasma treatment, due to the high OH⁻ concentration which leads to the H_2O_2 decomposition [47] according to the reaction:

$$H_2O_2 + OH^- \rightarrow H_2O + HO_2^-$$
 (7)

With SOL2 (pH before the treatment = 5.91 ± 0.25) no H_2O_2 was detected after plasma treatment. However when the copper foil was replaced by a carbon rod, thus eliminating the nanomaterial synthesis, around 3 mg/L of H_2O_2 were detected in plasma treated solutions.. This is strong evidence that, with copper foil as electrode, the absence of H_2O_2 in SOL2 colloid is as a result of its complete consumption through the reaction with copper in (6).

Focusing on SOL1 (alkaline), at the anode of the electrochemical cell, Cl^- ions can interact with metal Cu and form $CuCl_n^{1-n}$, which, in the highly alkaline solution (pH > 12), is completely converted in $Cu(OH)_2$. The OH^- in the bulk of the liquid can then transform $Cu(OH)_2$ into CuO, according to reaction 3. The metal Cu dissolution enables the release of Cu^{2+} ions, which, reacting with hydrated electrons produced by plasma, can be reduced forming metal Cu. The proposed mechanism is supported by the results of the characterization of the nanostructures, which revealed:

- i. the presence of CuO;
- ii. the absence of CuCl₂;
- iii. the presence of Cu(OH)₂. This can be ascribed to the incomplete conversion of Cu(OH)₂ into CuO, probably due to the rather low temperature (20 °C) of the liquid environment during the process. Indeed, previous studies demonstrated that temperatures around 50 °C are generally required for the complete conversion of Cu(OH)₂ in CuO in an alkaline solution [48];
- iv. the presence of Cu. Due to the high alkalinity of the solution, H_2O_2 was completely consumed by the reaction with OH^- and was not available for reacting with Cu to produce CuO according to reaction 6.

In contrast, SOL2 is initially acid and its pH increases only gradually during the process (pH 10.35 at the end of the treatment) due to the plasma induced electrolytic reactions leading to the reduction of hydrogen ions to hydrogen gas [8, 46]. This limits the efficiency of reaction 2 and makes reaction 6 possible, as H_2O_2 becomes available for reacting with Cu, with the following effects:

- i. CuCl₂ is not totally converted to Cu(OH)₂, remaining as by-product at the end of the process, as revealed by XPS analysis;
- ii. CuO is produced by the conversion of Cu(OH)₂ by OH, according to reaction 3. Due to the initial acid pH of SOL2, the conversion is not complete and traces of Cu(OH)₂ phase could be found at the end of the process;
- iii. CuO is also produced, according to reaction 6, between metal Cu and plasma produced H₂O₂. Due to the acid pH of the solution at the beginning of the process, H₂O₂ is not consumed by the OH ions and the reaction between H₂O₂ and Cu can occur with the formation of CuO. As a result, a lower amount of Cu is detected in SOL2 with respect to SOL1.

Finally, the presence of NaCl among the final products of SOL2, as detected by XRD, can be attributed to its initial concentration, which is higher in SOL2 than in SOL1; since NaCl has also been documented to promote the anodic dissolution of the Cu foil in an electrochemical cell [33–35], the higher NaCl concentration can be held responsible for the higher Cu ions concentration in SOL2 than in SOL1.

Conclusions

The results presented here highlight that the composition of the produced nanostructures as well as their crystalline properties depend on the chemical characteristics of the liquid environment used for the CAP assisted synthesis process.

The study has revealed: (i) the prevalent role of electrolyte chemical composition versus that of the conductivity; (ii) the fundamental role of NaCl, which enables copper anodic dissolution; (iii) in an alkaline solution, the conversion of CuCl₂ phase into the CuO phase; (iv) in an initially acidic solution, the formation of CuO as a result of the reaction between metallic Cu and plasma produced H₂O₂. Plasma-assisted electrochemistry is an innovative and complex field, in which many questions dealing with the role of process parameters on nanostructures' morphology and phases are still unanswered. In this context, the proposed study represents an attempt to identify the fundamental mechanisms driving the plasma-liquid interactions so that the characteristics of the liquid environment can be appropriately tuned to produce nanostructures with desired properties.

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Figure legends

- **Figure 1.** Plasma source and process schematic. (a) Non-equilibrium atmospheric pressure plasma jet: plasma jet impinging onto a liquid surface; (b) experimental setup employed for the nanostructures synthesis in liquid environment
- **Figure 2.** Solutions and colloids. (a) SOL1, (b) SOL 1 colloid, (c) SOL2, (d) SOL2 colloid. Brown and green colloids were produced by subjecting SOL1 and SOL2 to 30 min of plasma exposure, respectively
- **Figure 3.** TEM images of nanostructures obtained from the plasma treatment of SOL1 (a-d) and SOL2 (e-g). Insets in (b) and (f) report the corresponding FFT.
- **Figure 4.** Selective area diffraction pattern of the samples produced from SOL1 (a) and SOL2 (b). Red traces indicate CuO phase.
- **Figure 5.** X-ray diffraction spectra of nanostructures obtained from SOL1 and SOL2. The spectrum of nanostructures obtained from SOL1 highlights the presence of CuO peaks; the spectrum of nanostructures produced from SOL2 reports the presence of CuO and NaCl peaks.
- **Figure 6.** XPS spectra of nanostructures obtained from SOL1 (**a, b**) and SOL2 (**c, d**): wide scan spectra (**a, c**), Cu 2p peaks and their deconvolution (**b, d**). The spectra collected from the analysis of nanostructures produced from SOL1 (**a, b**) showed the presence of CuO (peak II), Cu(OH)₂ (peak III) and Cu (peak I) phases, while the spectra collected from SOL2 reported the presence of CuCl₂ (peak III), CuO (peak II) and Cu (peak I) phases.

Competing financial interests

The authors declare no competing financial interests.