

1 Dynamic occupancy modelling of temperate marine fish in area-based closures

2 **Running title:** Occupancy modelling for marine fish.

3 **Authors**

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13 **Abstract**

- 14 1. Species distribution models (SDMs) are commonly used to model the spatial structure of
15 species in the marine environment, however most fail to account for detectability of the
16 target species. This can result in underestimates of occupancy, where non-detection is
17 conflated with absence. The site occupancy model (SOM) overcomes this failure by treating
18 occupancy as a latent variable of the model, and incorporates a detection sub-model to
19 account for variability in detection rates. These have rarely been applied in the context of
20 marine fish, and never for the multi-season dynamic occupancy model (DOM).
- 21 2. In this study, a DOM is developed for a designated species of concern, cusk (*Brosme*
22 *brosme*), over a four season period. Making novel use of a high-resolution 3-dimensional
23 hydrodynamic model, detectability of cusk is considered as a function of current speed and
24 algae cover. Algal cover on the seabed is measured from video surveys to divide the study
25 area into two distinct regions; those with canopy forming species of algae and those without
26 (henceforth bottom types).
- 27 3. Modelled estimates of the proportion of sites occupied in each season are 0.88, 0.45, 0.74
28 and 0.83. These are significantly greater than the proportion of occupied sites measured
29 from underwater video observations which are 0.57, 0.28, 0.43 and 0.57. Individual fish are
30 detected more frequently with increasing current speed in areas lacking canopy, and less
31 frequently with increasing current speed in areas with canopy.
- 32 4. The results indicate that, where possible, SDM studies for all marine species should take
33 account of detectability to avoid underestimating the proportion of sites occupied at a given
34 study area. Sampling closed areas or areas of conservation often requires the use of non-
35 physical, low impact sampling methods like camera surveys. These methods inherently result
36 in detection probabilities less than one, an issue compounded by time-varying features of
37 the environment that are rarely accounted for in marine studies. This work highlights the use
38 of modelled hydrodynamics as a tool to correct some of this imbalance.

39

40 **Keywords:** Detectability, dynamic occupancy model, hydrodynamics, temperate marine fish.

41

42 **1 Introduction**

43 The use of species distribution modelling as a tool for scientists and environmental managers has
44 seen a substantial increase in the last three decades, driven by a growing demand for knowledge of
45 species' ranges and facilitated by increasingly powerful computing resources (Barbosa & Schneck
46 2015). Species distribution models (SDMs) mathematically represent the relationship between
47 species records and features of the environment, often with the intention of predicting suitable
48 ranges for the target species (Franklin 2010). Depending on the aims of an investigation and the type
49 of data collected, several approaches are available to researchers. For many applications where
50 surveys have been planned in advance of statistical analysis, standard methods such as generalized
51 linear models, generalized additive models and random forest are frequently used to model
52 occurrence and abundance data (Elith *et al.* 2011).

53 However given the time and cost of performing a systematic survey, especially in the marine
54 environment, researchers often use archived datasets to perform modelling investigations (Araújo &
55 Guisan 2006). In many cases, these datasets only contain records on species presence, resulting in a
56 situation where no absence data are available (Elith *et al.* 2011). For this reason, presence-only
57 models such as MaxEnt (Phillips *et al.* 2006) have been developed that do not require absence data,
58 but rather generate a large number of pseudo-absences from the study area (Phillips, Anderson &
59 Schapire 2006).

60 While each approach outlined above has advantages, both fail to address the issue of detectability
61 (Monk 2014). Both approaches assume that detection probability is invariant, i.e. that the target
62 species is perfectly observed whenever it is present (Yackulic *et al.* 2013). This is often not the case

63 when studying cryptic species, and is an important consideration in the marine environment when
64 sampling methods often do not result in direct observation of the study environment, for example
65 when using trawl or camera surveys (Monk 2014). In a review of 108 articles that used MaxEnt,
66 Yackulic *et al.* (2013) found that only 14% mentioned detection probability. This failure to address
67 detectability introduces error to estimates of occurrence for the species being modelled and can
68 result in erroneous reporting of covariate effects (Guillera-Arroita *et al.* 2014).

69 Site occupancy modelling (SOM) allows occupancy and detectability to be analysed hierarchically as
70 two separate processes, accounting for the problem of imperfect detection (MacKenzie *et al.* 2002).
71 Monk (2014) provides a good overview of the need to adopt this class of model in the marine
72 environment; while available for a similar period as MaxEnt, the SOM has received much less
73 attention (e.g., Coggins *et al.* 2014) in marine ecology investigations. The multi-season occupancy
74 model (or dynamic occupancy model for its Bayesian counterpart (DOM)) allows occupancy,
75 detection, local colonisation and extinction to be accounted for across several sampling seasons
76 (MacKenzie *et al.* 2003). Seasons refer to primary sampling periods within which the population is
77 assumed closed but between which the population can be subject to local extinction and
78 colonisation. The model assumes that at least one site has been visited more than once within a
79 sampling period and that the true occupancy state is imperfectly observed, i.e., it is a latent variable
80 of the model. As such, the model can be thought of as a non-standard GLMM with a binary random
81 effect equal to 1 where the site is occupied by the target species and 0 where it is not (Kéry 2010).
82 Each of the four probabilities within the DOM (initial occupancy, colonisation, extinction and
83 detection) can be modelled as a function of a set of covariate data, or set as constant across sites
84 within a given sample period (MacKenzie *et al.* 2003; Royle & Kéry 2007). Where covariates are used
85 to model probabilities, these must represent variability in the environment along temporal scales
86 relevant to the phenomenon under study. Extinction and colonisation effects, for example, require
87 seasonally varying covariates. By contrast, detection effects require covariates that vary over much
88 shorter temporal scales, allowing differences in detectability to be discerned within relatively short

89 sampling periods. One possible reason why these models have not received as much attention in the
90 marine environment as they have for terrestrial studies is the prohibitive cost of marine sampling. In
91 order to satisfy the assumptions of the dynamic occupancy model, repeat visits are required within
92 each of a number of seasons to collect both response and environmental data.

93 Covariates used for modelling that have been collected *in-situ* at the time of making species
94 observations are often assumed to better describe observed patterns in species distributions
95 (Franklin 2010). Recent research has shown however that this is not always the case, and that
96 modelling studies can benefit from a combination of *in-situ* sampling and remote sensing data
97 (Niedballa *et al.* 2015). Moreover, Newton-Cross *et al.* (2007) demonstrated that remotely sensed or
98 computer generated data can be more effective than data collected *in-situ* for accurately predicting
99 the occurrence of some terrestrial based species. Again, this is important in the marine environment
100 where sampling is often expensive and time consuming compared to terrestrial studies. As such,
101 marine researchers often have to rely on remote sensing (Brown, Sameoto & Smith 2012) and
102 modelled data (Rattray, Ierodionou & Womersley 2015) to supplement *in-situ* sampling. These
103 latter datasets often come in the form of hydrodynamic models that mathematically represent tidal
104 and wave forcing of the marine environment (Gunn & Stock-Williams 2013). While widely used in
105 engineering and physical oceanography (e.g., McMillan & Lickley 2008; Chen *et al.* 2011), their use in
106 marine ecological investigations has been limited. This is likely due to the expense, in terms of time
107 and computational power, of setting up a hydrodynamic model that accurately reflects conditions at
108 spatial and temporal scales relevant to ecological processes and organism behaviour.

109 This investigation aims, for the first time, to create a dynamic occupancy model to demonstrate the
110 effectiveness of such an approach for a temperate marine fish species in a closed fishing area. The
111 study generates true, unbiased estimates of occupancy and compares these to occupancy estimates
112 obtained by survey methods alone. Inputs to the dynamic occupancy model comprise observed,
113 derived and simulated data. Observed data include observations of the target species, algae cover

114 and geomorphological complexity from video-surveys; derived data include depth measured using
115 multibeam echosounder (MBES) data, and terrain attributes derived from the MBES depth data.
116 Additionally, the study makes novel use of current velocities simulated using a high-resolution
117 hydrodynamic model to demonstrate the utility of including these data in fisheries monitoring
118 investigations.

119 **2 Methods**

120 **2.1 Analysis overview**

121 This study takes a multifaceted approach to producing a dynamic occupancy model (DOM) for a
122 species of temperate marine fish at a remote rocky outcrop in the central Gulf of Maine. The model
123 was specified in a Bayesian framework to account for a small amount of separation in the detection
124 data and to allow the use of a finite sample estimator that generates more accurate estimates of
125 occupancy for a small sample size (Royle & Kéry 2007). The analysis used data observed or derived
126 from two primary datasets; a series of non-invasive underwater video surveys collected over four
127 sampling seasons, and a MBES survey conducted for the Gulf of Maine mapping initiative (SAIC,
128 2005). Observations of the response variable (cusk) were recorded from the video footage. During
129 each video survey detections of cusk were recorded along with the time of the observation.
130 Simultaneous observations of algae cover and morphological complexity were made from the video
131 footage, to be later used as explanatory variables. Separately, the digital elevation model extracted
132 from the MBES data was used for three purposes; 1) to derive terrain attributes to assess
133 morphological complexity over the study site; 2) to create a surface of algae cover over the study
134 site based on empirical extinction depths and; 3) as an input to a standalone hydrodynamic model.
135 The hydrodynamic model was used to estimate bottom current conditions at the study site, from
136 which two outputs were generated; 1) point estimates of time-varying bottom current speed at each
137 of the video locations surveyed for cusk and; 2) time-varying surfaces of current speed for the entire
138 study area. Once all primary data had been processed, the DOM was created. Model coefficients for

139 the significant terms in the detection sub-model of the DOM (observed algae cover and bottom
140 current point estimates) were obtained and used to generate the final outputs. This was achieved by
141 predicting the model coefficients over the algae cover surface and bottom current surfaces created
142 above, creating spatio-temporally varying predictions of detection probability for the study area.
143 Each step of the analysis is described in full in the following sections.

144 **2.2 Candidate species and area**

145 The candidate species for this investigation is cusk (*Brosme brosme*, Lotidae); a cryptic, bottom
146 dwelling species found in the Eastern and Western Atlantic Ocean, and designated a species of
147 concern by the National Oceanic and Atmospheric Administration (NOAA) National Marine Fisheries
148 Service (NOAA 2009). In the Western Atlantic cusk are found from Nova Scotia in Canada to New
149 Jersey in the USA, and typically stay in deeper waters (>100 m) in these areas. Within the Gulf of
150 Maine, however, they are typically found in shallower water owing to the relatively shallow depths
151 of the internal Gulf (Bigelow & Schroeder 1953). While relatively little is known about their specific
152 life history and ecology (Davies & Jonsen 2011), it has been noted that cusk prefer structured habitat
153 and use kelp forests, boulder piles and rock crevices as refugia (Auster & Lindholm 2005; Hare *et al.*
154 2012). In addition they are considered to be weak swimmers (Bigelow & Schroeder 1953), so make
155 an ideal species for study on how their behaviour is affected by variability in movements of the
156 water column. The species also has a small home range (Dultz 2013), making it suited to the
157 assumptions of the dynamic occupancy model.

158 Data for the investigation were collected at Cashes Ledge in the central Gulf of Maine, approximately
159 170 km northeast of Boston (Figure 2a). The Ledge has been closed to bottom tending fishing gears
160 since 2002 (Sherwood & Grabowski 2016) and supports large resident populations of several
161 commercially important fish species (Grabowski, McGonigle & Brown 2010). The site displays a
162 tripartite zonation of macroalgae around the summit with each of the three zones reaching record
163 depths for boreal-subarctic waters; leathery macrophytes to 40 m, foliose red algae to 50 m and

164 crustose algae to 63 m (Vadas & Steneck 1988). The Ledge comprises morphologically complex
165 granite shoaling at 10 m water depth, with sand and gravel deposits appearing around 60 m and silt
166 dominated habitats below 80 m. To the east and west, the site is flanked by relatively deep basins (<
167 220 m) dominated by sands, fine silt and clay (Uchupi & Bolmer 2008).

168 **2.3 Data collection and covariate generation.**

169 Underwater video surveys were conducted at 14 sites on Cashes Ledge by the Gulf of Maine
170 Research Institute using a drop camera in summer 2006 and spring, summer and autumn 2007. Sites
171 are defined here as compact geographical areas wherein samples are less than 30 m apart and
172 features of the abiotic environment are homogenous. Sampling was stratified by depth to include
173 two shallow sites (< 20 m), six intermediate sites (20 – 40 m) and six deep sites (> 40 m). During each
174 sampling season a maximum of three replicate surveys were conducted at each site, with an average
175 of two. Camera units were deployed and left *in-situ* for up to 1.5 hours during each survey, recording
176 the time in and position of the camera. The camera was mounted on the sampling equipment such
177 that the field of view was parallel to the seabed; no directional controls were in place, so the
178 azimuthal direction varied between samples. For full camera set up see Grabowski *et al.* (2010). Any
179 samples where the camera equipment landed with the camera facing into the water column and
180 therefore unable to view the seabed were discarded and not used in any further analyses. After
181 checking each sample for positional accuracy, videos were examined noting the time on the video
182 any cusk were observed. For videos where cusk were present, the video time was combined with the
183 survey start time to obtain the exact time of the observation. Where cusk were absent in a video, a
184 time was randomly sampled from the length of the video to obtain a time for the null observation.

185 In addition to the observations of cusk, the videos were used to qualitatively assess fine scale
186 morphological complexity (high, moderate, low) and algae density. Algae density was used to classify
187 the study area into areas of two different bottom types according to algae cover, henceforth bottom
188 type; areas with canopy forming species, and areas with no canopy forming species . Sampling effort

189 was defined simply as the length of bottom time in each video. Depth for each observation was
190 obtained from 5 m resolution MBES data collected for the Gulf of Maine Mapping Initiative (SAIC
191 2005). Morphological complexity was derived from the MBES data using the relative deviation from
192 the mean value (RDMV) as recommended by Lecours *et al.* (2017) in a 3 x 3 cell moving window. A
193 breakdown of the mean, minimum and maximum values for each of the depth strata sampled is in
194 table 1.

195 **2.4 Hydrodynamic model**

196 Hydrodynamics were assessed using a coupled wave-current model produced using MIKE by DHI
197 (Danish Hydraulic Institute, 2014). The current model solves the three-dimensional incompressible
198 Reynolds averaged Navier-Stokes equations, while the wave model solves a fully spectral wind-swell
199 formulation. The models are coupled to include wave-current interactions and are solved using a
200 finite volume method over a flexible mesh that allows higher resolution in areas of interest (Danish
201 Hydraulic Institute, 2014).

202 The domain for the model (Figure 2b) incorporated the Gulf of Maine from Tor Bay to Rhode Island,
203 and extends seaward off the continental shelf to allow the Gulf to respond freely to tidal forcing
204 (McMillan and Lickley, 2008). Forcing was supplied to the model as spatially and temporally varying
205 surface elevation from the DTU10 0.125° global tidal model (Cheng & Andersen 2010). Calibration of
206 the model was achieved by adjusting the value of bed resistance over a series of 13 month
207 simulations (one month warmup, 12 month usable data). After each calibration simulation, harmonic
208 analysis was conducted for 67 sites within the Gulf of Maine for comparison against empirical data
209 from Moody *et al.* (1984). Where disagreement between known and modelled data was
210 unacceptably large, values of bed resistance were iteratively adjusted to fine-tune the harmonics.
211 Once the model harmonics were calibrated to maximally correspond to empirical data, the
212 computational mesh was refined to increase resolution at Cashes Ledge. Here, the maximum

213 horizontal resolution was 135 m with an average horizontal resolution of 220 m. In addition to
214 refining the model mesh, atmospheric forcing was introduced to the model using the National
215 Centres for Environmental Prediction (NCEP) Climate Forecast System Reanalysis (CSFR) 6-hourly,
216 0.5° global weather model (Saha et al., 2010; 2011). Model validation was subsequently conducted
217 on the refined mesh model by hindcasting periods of time not included in the calibration models.
218 Validation included the assessment of model outputs against measured wind, wave and current
219 data. The model was considered validated when outputs were minimally different to measured data.
220 All model runs were performed with a time step of 20 minutes to allow validation against measured
221 data.

222 Full details of the model setup, calibration and validation can be found in the supplementary
223 materials (S.1). Once the model had been validated, current speeds were extracted from the water-
224 seabed interface layer to capture current variations on the bottom. Times for the observations of
225 cusk were then matched to the temporally closest value of current speed. Visualisation of temporal
226 variability in current magnitude and direction was achieved using a tidal ellipse created using
227 MATLAB (Xu 2002). The ellipse was derived for a point in open water 100 m from the summit in
228 order to capture the movement of water without influence from local topography.

229

230 **2.5 Dynamic occupancy model**

231 The model was specified using notation from Royle and Kéry (2007) where ψ_t is the probability of
232 initial occupancy at time period t , ϕ_t is the probability that a site remains occupied between t and $t +$
233 1 , γ_t is the probability that a site is colonised between t and $t + 1$ and p is the probability of
234 detection. During data exploration boxplots revealed a high degree of collinearity between RDMV
235 and fine scale complexity observed in the video data. Fine scale complexity was excluded from
236 further analyses; it is a categorical variable so including it would require estimation of more model

237 parameters. Covariates tested for ψ_t were depth, fine-scale morphological complexity, RDMV,
238 maximum current speed throughout the sampling season (CurMax) and CurMax². Depth, fine scale
239 complexity and RDMV as several studies have shown the importance of depth and seabed roughness
240 for cusk habitat selection (Knutsen *et al.* 2009; Davies & Jonsen 2011; Hare *et al.* 2012); CurMax and
241 CurMax² to test for any limiting effect of hydrodynamic forcing on cusk habitat selection. Covariate
242 effects were not included for ϕ_t or γ_t and were therefore assumed to be the same for all sites within
243 each sampling period (MacKenzie *et al.* 2003; Royle & Kéry 2007). Covariates included for p in the
244 detection sub-model were current speed, sampling effort and bottom type. Current speed as cusk
245 are weak swimmers whose movement is likely to be affected by movements of the water column;
246 sampling effort as the longer the camera is in the water, the more likely it is that a fish will be
247 observed in any given sample; bottom type as canopy forming species are likely to obscure vision
248 and therefore affect detectability of cusk.

249 Covariate effects for each sub-model were transformed using a logit link function and all continuous
250 covariates were standardised before analysis. In order to simplify model specification two models
251 were assessed, one without (A) and one with (B) covariates for ψ , both of which included covariates
252 for p .

253 Specification for ψ in model B:

$$254 \quad \text{logit}(\psi) = \beta_1 + \beta_2 \cdot \text{Depth} + \beta_3 \cdot \text{RDMV} + \beta_4 \cdot \text{Current Max} + \beta_5 \cdot \text{Current Max}^2$$

255 where β_i are the regression coefficients, *RDMV* is the relative deviation from the mean value and
256 *current max* is the maximum current speed at the site in any of the sampling seasons.

257 Specification for p in models A and B:

$$258 \quad \text{logit}(p) = \alpha_1 + \alpha_2 \cdot \text{Current} + \alpha_3 \cdot \text{none} + \alpha_4 \cdot \text{Current} | \text{none}$$

259 where *current* is the current speed at the time of sampling for cusk, *none* is the bottom type with no
260 canopy forming algae and *Current/none* is the interaction between current speed and the bottom
261 type with no canopy forming algae.

262 **2.6 Model validation and outputs**

263 Goodness of fit (GOF) for each model was assessed using Bayesian p-values; for each draw of the
264 MCMC algorithm, new data were simulated given the set of parameters estimated by the model,
265 and Pearson residuals were calculated for each observed and simulated data point. The Bayesian p-
266 value is the proportion of times the simulated residual is greater than the observed residual, with
267 values closer to 0.5 indicating better fit (Kéry 2010).

268 For the k covariates in each model, importance was tested using binary inclusion variables $w_k \sim$
269 Bernoulli(0.5). Averaging over the posterior distribution of w_k gives the probability that term k
270 belongs in the model, with values closer to 1 indicating a higher inclusion probability. The inclusion
271 variable for interaction terms was defined as the product of itself and the component inclusion
272 variables as recommended by Kruschke (2014), ensuring the interaction was only assessed when the
273 lower order terms were included. Covariates and interactions were kept in the model when their
274 inclusion variable had a posterior mean greater than 0.5 (Coggins, Bacheler & Gwinn 2014).

275 All logit scale coefficients were given weakly informative t-distribution priors ($\mu = 0$, $\sigma = 1.566$, $\nu =$
276 7.763) as recommended by (Dorazio *et al.* 2011), while all non-varying probabilities (ϕ , γ) were given
277 uniform priors from 0 to 1. Sensitivity of the posterior distribution of parameter estimates was
278 assessed following Dorazio *et al.* (2011) using three prior distributions recommended for logistic
279 regression; Jeffreys prior (Firth 1993), t-distribution with $\mu = 0$, $\sigma = 2.5$, $\nu = 7$; those of Gelman *et al.*
280 (2008), t-distribution with $\mu = 0$, $\sigma = 2.5$, $\nu = 1$; and those of Dorazio *et al.* (2011), as detailed above.

281 Identifiability of parameter estimates was assessed by plotting and calculating the amount of
282 overlap between the prior and posterior distributions. These were considered identifiable if the

283 overlap was below 35% (Garrett & Zeger 2000; Gimenez, Morgan & Brooks 2009). The MCMC
284 algorithm was set up with 5 chains, each sampling 50 000 draws. The first 10 000 draws discarded as
285 a burn-in period and every tenth sample was stored for analysis. Chains were assessed visually for
286 mixing and autocorrelation, and convergence was assessed using the Gelman-Rubin diagnostic
287 (Gelman & Rubin 1992) with values less than 1.1 considered converged. Occupancy was determined
288 using a finite sample estimator that is recommended for a small number of non-randomly selected
289 sites (Royle & Kéry 2007). This estimator allows a distinction to be made between estimates derived
290 for parameters of the population, and those derived for sites in the actual sample. The finite sample
291 estimator reduces the variance of the point estimates generated for the sampled sites, and is an
292 additional benefit of fitting the model in a Bayesian framework (Royle & Kéry 2007).

293 Continuous surfaces of modelled current speed were generated from the validated hydrodynamic
294 model to visualise spatio-temporal variability of current speed along a tidal cycle at Cashes Ledge.
295 Predictions of bottom type were made based on the extinction depths of various algae previously
296 reported at the Ledge (Vadas & Steneck 1988). Surfaces of detection probability were then
297 generated for the entire Ledge for the tidal cycle by predicting the occupancy model coefficients
298 over the current speed and bottom type surfaces.

299 All statistical analyses and predictive mapping were carried out in the R environment (R Core Team
300 2015), and Bayesian inference was conducted in JAGS (Plummer 2009).

301

302 **3 Results**

303 **3.1 Cusk observations and data exploration**

304 Of 112 replicate surveys analysed, 39 contained cusk and 73 did not. These correspond to 21 shallow
305 samples, 46 intermediate samples and 45 deep samples representing average depths of 17 m, 33 m

306 and 49 m respectively. Analysis showed 57 video surveys were conducted in the canopy forming
307 region and 55 in the non-canopy forming region.

308 Harmonic analysis of the hydrodynamic model reveals accuracy of 3.8 cm mean absolute deviation in
309 amplitude, and 4.6° in Greenwich phase lag for the M₂ tidal constituent. Bottom-current speeds at
310 Cashes Ledge range from 0.01 ms⁻¹ to 0.31 ms⁻¹ throughout the four sampling periods, with a
311 maximum of 0.21 ms⁻¹ while the camera equipment was deployed. The tidal ellipse and current rose
312 show that maximum flow occurs in a North-South orientation along the semi-major axis of the
313 ellipse (Figure 3). A short time lag of around 1 hour is observed in the arrival time of the tidal signal
314 between the north and south of the Ledge (Figure 4).

315 **3.2 Model validation and outputs**

316 Bayesian p-values were 0.58 for model A (Table 2, top), and 0.62 for model B (Table 2, bottom).
317 Sensitivity analysis showed no significant effect of choice of prior on posterior distributions for all
318 parameters excluding those for the covariates for ψ in model B. The overlap of the posterior
319 distributions of parameters in model A with their prior distributions ranged from 20% to 31%, with
320 the highest amount of overlap for the estimate for sampling effort. All parameters for this model
321 were therefore considered identifiable. Inclusion variables for model A indicated that current speed,
322 bottom type and the interaction between current speed and bottom type should be included in the
323 detection sub-model (Table 2). Inclusion variables for model B indicate less than or equal to 50%
324 probability that any covariates belonged in the occupancy sub-model, and again that current speed,
325 bottom type and the interaction term between current speed and bottom type should be included in
326 the detection sub-model (Table 2).

327 Results for model A show that with increasing current speed, detection rates in the two bottom
328 types diverge; increasing in the non-canopy forming region and decreasing in the canopy forming
329 region (Figure 5a). At low current speeds ($\approx 1 \text{ cm s}^{-1}$), the probability of detecting cusk is almost

330 identical in both bottom types, and there is no credible difference in detection probability between
331 0 cm s^{-1} and around 5 cm s^{-1} among bottom types (Figure 5b and inset).

332 Predictions of detection probability reveal similar rates of detectability in both bottom types at low
333 and high tide (Figures 5.t1 & 5.t39), while varying significantly between these times (Figures 5.10,
334 5.20 & 5.30).

335 Estimates of the proportion of sites occupied by the finite sample estimator are 0.88 (95% CI: 0.64 –
336 1.0), 0.45 (95% CI: 0.29 – 0.79), 0.74 (95% CI: 0.50 – 1.0) and 0.83 (95% CI: 0.64 – 1.0) for seasons 1,
337 2, 3 and 4 respectively. This compares to observed proportions of 0.57, 0.28, 0.43 and 0.57 for
338 season 1, 2, 3 and 4 respectively (Figure 7). Colonisation probabilities for all sites are 0.52, 0.77 and
339 0.55 for colonisation between seasons 1-2, 2-3 and 3-4 respectively. Extinction probabilities are 0.56,
340 0.44 and 0.15 for extinction between seasons 1-2, 2-3 and 3-4 respectively; these probabilities are
341 the compliment of ϕ in the parametrisation described in the methods. Population growth is
342 estimated at 0.56 between season 1 and 2, 1.74 between season 2 and 3, and 1.15 between season
343 3 and 4. This represents a decrease in the number of occupied sites from summer 2006 to spring
344 2007, an increase from spring to summer 2007, and an increase from summer to autumn 2007. JAGS
345 code for the final model is given in the supplementary information (S.2).

346 **4 Discussion**

347 The problem of bias introduced by failing to account for detectability of mobile fish species when
348 estimating their occupancy was addressed in this study. Using a dynamic occupancy model and
349 treating the true occupancy state as a latent variable of the model, occupancy estimates are
350 significantly greater than occupancy assessed from observation alone. Taking account of imperfect
351 detection, the difference in estimated and observed proportion of sites occupied by cusk range from
352 0.17 to 0.31. This highlights the need to incorporate detectability of the target species into species
353 distribution modelling efforts (Rota *et al.* 2011).

354 4.1 Cusk behaviour

355 Cusk are slow moving weak swimmers (Bigelow & Schroeder 1953; COSEWIC 2003) and it is
356 therefore not unreasonable to expect them to be influenced by hydrodynamic conditions. Outputs
357 of model A show that, indeed, cusk detectability is affected by changes in current speed along a tidal
358 cycle. Within the non-canopy forming regions of Cashes Ledge, the increase in detectability with
359 increasing current speed can be thought of as a proxy for increased activity of cusk. This increase in
360 activity is interpreted in one of two ways, either as cusk searching for morphologically complex
361 environments as refuge, or as cusk using the movement of water as an opportunity to forage for
362 food. Cusk have been observed to prey on cunner (*Tautoglabrus adspersus*) (Auster & Lindholm
363 2005), and cunner in turn have been observed to forage more on exposed surfaces near refugia with
364 increasing current velocity (Auster 1988, 1989).

365 Other than these prey, little is known about cusk diet in the Western Atlantic. In European waters
366 however, stomach contents analysis shows that cusk will eat a range of crustaceans and molluscs,
367 both of which have been found in abundance in the shallower kelp dominated regions at Cashes
368 Ledge (Vadas & Steneck 1988; Witman & Sebens 1992). Within this canopy forming algae region, as
369 current speed begins to increase, detection probability decreases quite rapidly. While marine fish
370 have been shown to use kelp habitats as both refuge and foraging grounds (Holbrook *et al.* 1990;
371 Uhl, Bartsch & Oppelt 2016), the presence of canopy forming species of algae will encumber
372 detectability, especially in relatively high flows or with high energy wave conditions (Rattray,
373 Ierodiconou & Womersley 2015).

374 In previous studies of cusk habitat usage, the species has been recorded at much greater depths
375 than those observed in this study (Nye *et al.* 2009; Davies & Jonsen 2011; Hare *et al.* 2012). One
376 explanation of the depths observed in this study is that the fish in this region are year round
377 residents that have become accustomed to living at comparatively shallower depths. Bigelow and
378 Schroeder (1953) note that cusk do not often move from bank to bank, and no seasonal spawning

379 migrations have been noted for the species (COSEWIC 2003). The spawning season for cusk in the
380 Gulf of Maine extends from April to July (Bigelow and Schroeder 1953), and this might explain the
381 large growth rate in the number of sites occupied between Spring 2007 and Summer 2007 (sampling
382 seasons 2 and 3 respectively) as fish become more active in search of a mating partner. These two
383 time periods together take in portions of the spawning season for cusk; it is therefore possible that
384 the later it is in the season, the more active the fish are in their search.

385 An assumption of the dynamic occupancy model is that within a primary sampling season the
386 population remains closed, but can be open to local extinction and colonisation between seasons
387 (Kéry 2010). Cusk are described as a 'station keeping bottom' or 'station keeping cover' species
388 (Auster & Lindholm 2005), and evidence suggests that the home range of cusk is small (Dultz 2013).
389 Furthermore, cusk show strong affinity for complex habitats while avoiding substrata with no
390 structure (Bigelow & Schroeder 1953; COSEWIC 2003). Cashes Ledge is surrounded on all sides by a
391 number of deep basins, most notably Ammen Basin to the east and Cashes Basin to the west (Figure
392 2), which consist of unconsolidated substrata (Uchupi 1966; Uchupi & Bolmer 2008). As such, the
393 assumptions of the model are satisfied; cusk remain on station and are kept on Cashes Ledge by
394 expanses of non-favourable habitat on all sides.

395 Throughout the four sampling seasons within this study, the same camera setup was used. No
396 variability in detection probability should therefore be expected due to gear differences. In
397 investigations using camera surveys combined with fish traps to assess detectability using
398 simultaneous data collection methods (Coggins, Bacheler & Gwinn 2014), the approach of adding
399 cameras to other gear types has been recommended (Bacheler *et al.* 2014). In the current study, the
400 camera system was used in isolation, and given the limited field of view and a lack of control over
401 orientation once on the seabed, detection probabilities are expected to be and are less than one.

402 No significant effects were observed for any of the covariates tested in the initial occupancy sub-
403 model of model B. While it is likely that some covariates are missing from this sub-model, due to the

404 small sample size, it is not possible to add more terms without overfitting the model. The covariates
405 that were included were of importance in two ways. First, they were important in terms of what has
406 previously been reported as driving cusk habitat; depth and surface complexity (Hare *et al.*, 2012).
407 Secondly, maximum current speed and maximum current speed squared were included to test
408 whether hydrodynamics play a role not only in determining cusk behaviour, but also in limiting cusk
409 habitat choice. Nevertheless, the main purpose of this study is to give consideration to the
410 detectability issue in marine fish occupancy modelling. Given that the dynamic occupancy model is
411 able to handle constant initial occupancy probabilities across all sites in the study domain, this lack
412 of fit for the initial occupancy state does not present problems for inference about detectability.

413 **4.2 Recommendations for future studies**

414 In this study, detection probability ranged from 0.59 to 0.88 in the non-canopy forming region, and
415 from 0.03 to 0.64 in the canopy forming algae region. These results are broadly comparable to
416 detection probabilities from other studies using camera surveys with other modelling approaches to
417 detect marine fish (Bacheler *et al.* 2014; Coggins, Bacheler & Gwinn 2014). These detection
418 probabilities are conditional on cusk being present at the site being observed, and also need to be
419 considered in light of the fact that bottom time for the camera was relatively high in this
420 investigation. It should also be noted that, for some species of fish, the presence of camera
421 equipment on the seabed may encourage more curious individuals to investigate so may introduce
422 some bias to the results (Stoner *et al.* 2008). In both models assessed in this study, the relationship
423 between sampling effort and cusk detection was found to be insignificant. The term was left in the
424 models however due to its theoretical importance; one of the most important factors affecting the
425 detection of any organism is the amount of effort put into trying to detect it. Especially when dealing
426 with small sample sizes, insignificant covariates should be left in models when they are theoretically
427 important (Schuenemeyer & Drew 2010).

428 While most of the spatial variability in detection probability can be explained by tidal phase, some
429 small differences persist within each of the bottom types in Figure 6. These can be explained by local
430 differences in current speed caused by water movement around topographic features on the
431 seabed. Differences in detection probability created by these local variations in current speed in the
432 deeper regions, and to a lesser extent in the shallow regions, are better understood when flow
433 direction and topography are considered. Given that the strongest flows indicated by the tidal ellipse
434 occur in a northerly and southerly direction (Figure 3) and that the orientation of the Ledge is
435 approximately SE – NW (Figure 4c), it follows that different areas of the Ledge will experience
436 maximal flow at different stages of the tidal cycle. Similarly, minimum flow occurs at different times
437 throughout the 12.4 hour M_2 tidal period (Figure 3). This has an effect on the arrival time of the
438 increase in current speed at different locations throughout the study area (Figure 4b). Failing to take
439 these local differences into consideration can have consequences when trying to plan similar surveys
440 when the target species may be affected by flow rates. Such camera surveys are often the most
441 suitable method for monitoring marine reserves and areas closed to mobile fishing gears as they are
442 considered both cost effective and non-invasive (Bouchet & Meeuwig 2015; DeCelles *et al.* 2017).
443 The need to consider detectability is therefore paramount to obtaining true, unbiased results.

444 It is recognised that organisms living in marine environments exist in a multidimensional space,
445 where even though they may live on the seabed, the constant flux of the water column plays an
446 important role in shaping their distributions (Brown *et al.* 2011). While the same is true in the
447 terrestrial environment (Jung *et al.* 2012), the data needed to describe the nature of the ocean are
448 often harder to collect or generate. Broad scale covariates used in marine investigations, such as
449 temperature, current speed and direction, often come from depth averaged or surface values of the
450 covariate of concern. Additionally, if these covariates are not collected at the time of survey as is
451 often the case, they need to be found as records elsewhere, or modelled using an appropriate
452 method. Temperature, for example, is known to affect the metabolism and behaviour of marine fish
453 (Biro, Beckmann & Stamps 2010; Remen *et al.* 2015), which can affect the detection probability.

454 Including temperature in the hydrodynamic model used in the current study can only be achieved by
455 specifying water densities as a function of temperature and salinity. This presents significant
456 challenges in coastal environments where highly variable surface conditions due to fresh water
457 inputs cause errors in modelled hydrodynamics. As a result, temperature data were available only as
458 low resolution depth averaged values, providing information important only to seasonal variations in
459 temperature. Any variability in cusk occupancy due to seasonal temperature variations would
460 already have been captured in the occupancy model by the latent variables for gamma and phi.
461 Nevertheless, the inclusion of temperature data in future studies could potentially provide more
462 insight into variability in fish behaviour and detection as a result of thermal stresses. Hydrodynamic
463 information in this investigation came from a 3-dimensional hindcast model of wave and current
464 conditions at Cashes Ledge, and explained ecologically relevant phenomena that may otherwise
465 have been overlooked. While forecasting these types of data may not be a viable option for many
466 researchers planning future studies, it is a recommendation of this study that an effort be made to
467 consider the fine scale variability of any feature of the environment that may impede detection of
468 their target species.

469 **5 Conclusion**

470 This study demonstrates a novel, multifaceted approach to produce a dynamic occupancy model for
471 a species of concern in a closed area. It has generated estimates of occupancy that are considerably
472 greater than occupancy measured from observation alone, for the first time using outputs from a
473 high-resolution 3-dimensional hydrodynamic model in such a modelling framework. While the need
474 for species distribution models to consider the 3-dimensional nature of the marine environment has
475 been documented previously (Duffy & Chown 2017), this study reinforces it using modern statistical
476 methods. Using the outputs, this investigation has shown how the behaviour of cusk changes in two
477 different environments as a function of current speed. This behaviour has implications for the
478 detectability of the species, which in turn has implications for the occupancy estimates. This

479 imperative to consider detectability in marine SDM studies is true not only for cusk, but for all
480 species surveyed using non-invasive sampling methods. It holds especially true for areas where
481 managers must use these methods to monitor stocks. Failing to recognise the limitations of models
482 that do not account for imperfect detection will impact future estimates of abundance, potentially
483 for many species. It is imperative that practitioners of future marine SDM applications consider the
484 detectability of the species under study. In order to do this, they must first understand the processes
485 that govern the fine-scale, time-varying features of the environment that may affect detectability,
486 not just for the species in question but also for the specific habitat type being observed (Bacheler *et*
487 *al.* 2014) in order to obtain true, unbiased estimates of occupancy in the marine environment.

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491 **Author Contributions**

492 J.C., C.M. and B.H. conceived of and designed the investigation, J.G. collected data, S.A.S guided
493 the analytical process, R.Q. advised on technical aspects of manuscript. All authors contributed to
494 preparation of final manuscript and gave approval for submission.

495 **Data Accessibility**

496 Data will be archived on Dryad.

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- 649

Table 1. Mean, maximum and minimum for each of the covariates falling within the depth strata sampled. Values for algae cover are reported as counts, as these are categorical variables. “-“ indicates no relevant data available.

Covariate	Stratum	Min	Mean	Max	Number
Depth	Shallow	-23	-17	-11	-
	Medium	-43	-33	-25	-
	Deep	-57	-49	-39	-
Current speed	Shallow	0.05	0.11	0.17	-
	Medium	0.03	0.09	0.19	-
	Deep	0.01	0.09	0.2	-
CurMax	Shallow	0.21	0.22	0.24	-
	Medium	0.16	0.19	0.23	-
	Deep	0.16	0.19	0.22	-
RDMV	Shallow	0.14	0.56	1.39	-
	Medium	0.06	0.31	0.36	-
	Deep	0.08	0.25	0.67	-
Algae cover: none	Shallow	-	-	-	0
	Medium	-	-	-	10
	Deep	-	-	-	45
Algae cover: canopy	Shallow	-	-	-	21
	Medium	-	-	-	36
	Deep	-	-	-	0

Table 2: Parameters estimated for coefficients for occupancy model A (without covariates for ψ) and model B (with covariates for ψ). GOF is the Bayesian p-value, where values closer to 0.5 indicate better fit. Gelman-Ruben MV is the multivariate Gelman-Ruben convergence statistic, where values close to 1 mean the model has successfully converged. Current is the current speed at the time of observation of cusk, effort is sampling effort, none is regions with no canopy forming species of algae, current | none is the interaction between current and none, complexity is RDMV, current max is the maximum current speed during the sampling season.

Model A		GOF		Gelman-Ruben MV		Credible Interval		Inclusion probability
		0.58		1.01				
Sub-model	Parameter	Mean	s.d.	2.5%	97.5%			
Detection	Intercept	-1.57	0.79	-3.27	-0.04			
	Current	-1.26	0.59	-2.58	-0.19	0.66		
	Effort	-0.37	0.75	-1.94	1.05	0.32		
	none	3.01	0.88	1.52	5.19	0.99		
	Current none	1.77	0.71	0.44	3.32	0.79		
Model B		GOF		Gelman-Ruben MV		Credible interval		Inclusion probability
		0.62		1.01				
Sub-model	Parameter	Mean	s.d.	2.5%	97.5%			
Occupancy	Intercept	1.41	1.4	-0.93	4.55			
	Depth	-1.66	1.55	-5.08	1.24	0.50		
	Complexity	0.99	1.66	-2.01	4.63	0.49		
	Current max	0.04	1.31	-2.53	2.64	0.40		
	Current max ²	0.17	1.31	-2.42	2.78	0.39		
Detection	Intercept	-1.43	0.83	-3.17	0.2			
	Current	-1.31	0.63	-2.67	-0.21	0.7		
	Effort	-0.35	0.79	-1.97	1.09	0.34		
	none	2.85	0.93	1.3	4.98	0.99		
	Current none	1.85	0.75	0.47	3.44	0.82		

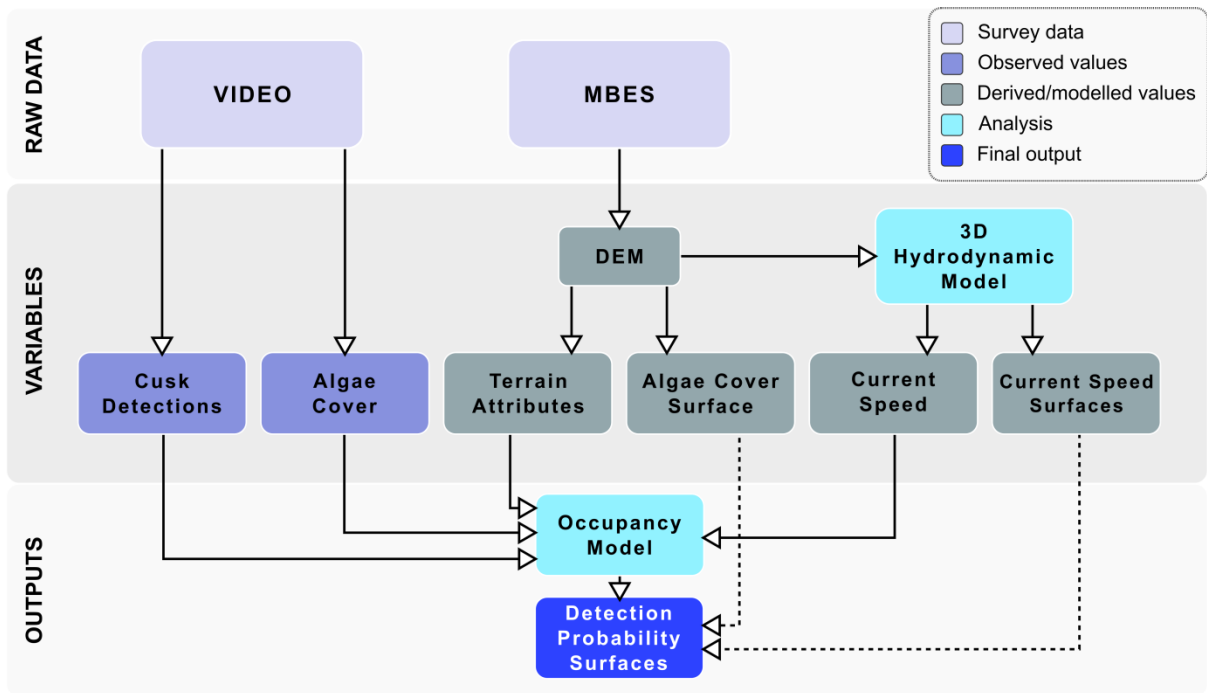


Figure 1. Flow diagram for analyses described in the methods. Solid lines represent the flow of information to create the dynamic occupancy model, and dashed lines represent the flow of information for producing the output probability surfaces in Figure 6.

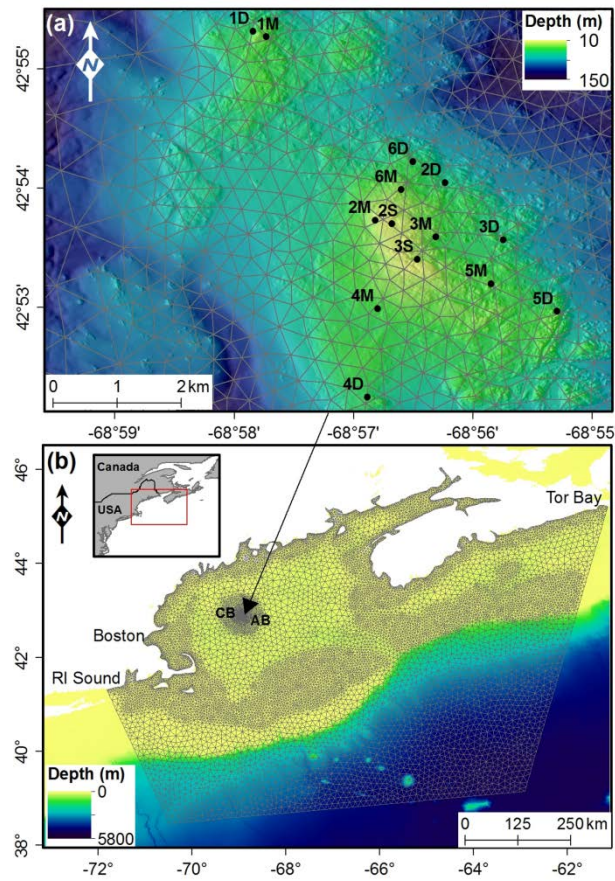


Figure 2. (a) Location of 14 sites sampled for cusk at Cashes Ledge. Grey triangles are from the hydrodynamic model computational mesh, the full domain of which is in (b) along the location of Cashes Ledge in the Gulf of Maine. CB is Cashes Basin, AB is Ammen Basin.

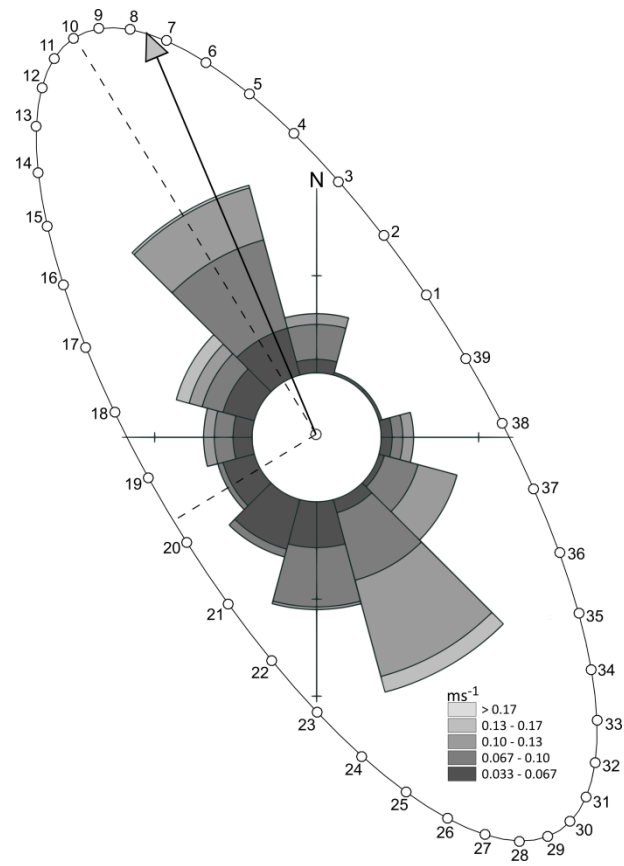


Figure 3: Tidal ellipse for a point in open water 100 m away from Cashes Ledge. The distance from the centroid to the arc of the ellipse is proportional to the current speed, and the direction of a vector radiating from the centroid to any point on the arc represents the direction any that point. The number on the arc correspond to the numbers on the tidal curve and in Figure 6.

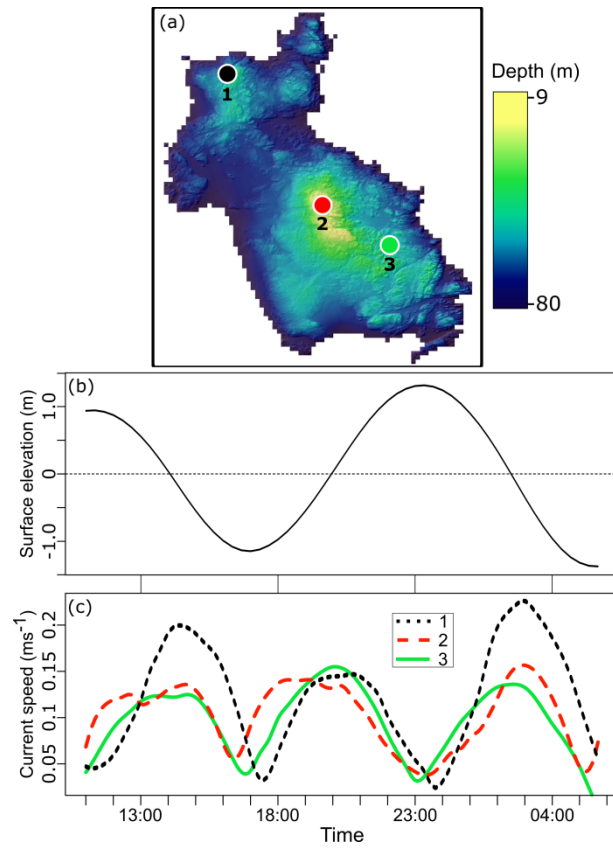


Figure 4: Example of difference in arrival time of tidal signal for three sites, one deep (1), one intermediate (3) and one shallow (2) at Cashes Ledge over one and a half tidal cycles throughout the summer 2006 sampling season. Time on the x-axis of the tidal curve (b) and current speed plot (c) are the same. The locations of the three sites are marked on the map (a).

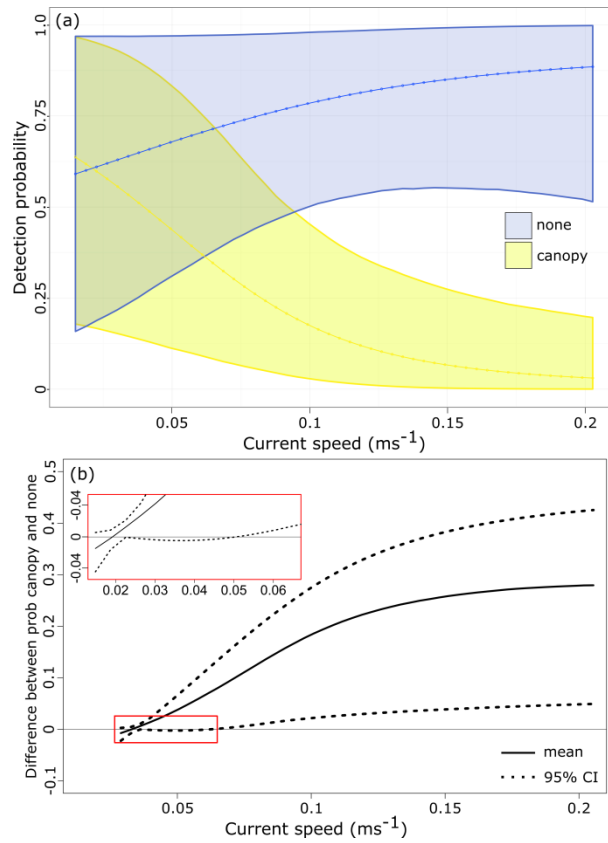


Figure 5: Model outputs showing (a) final model for detectability of cusk at Cashes Ledge based on current speed and bottom type, coloured bands are 95 % credible interval, (b) difference in detection probability between canopy forming and non-canopy forming regions. Where the 95% credible interval includes zero, there is no significant difference in detection probability.

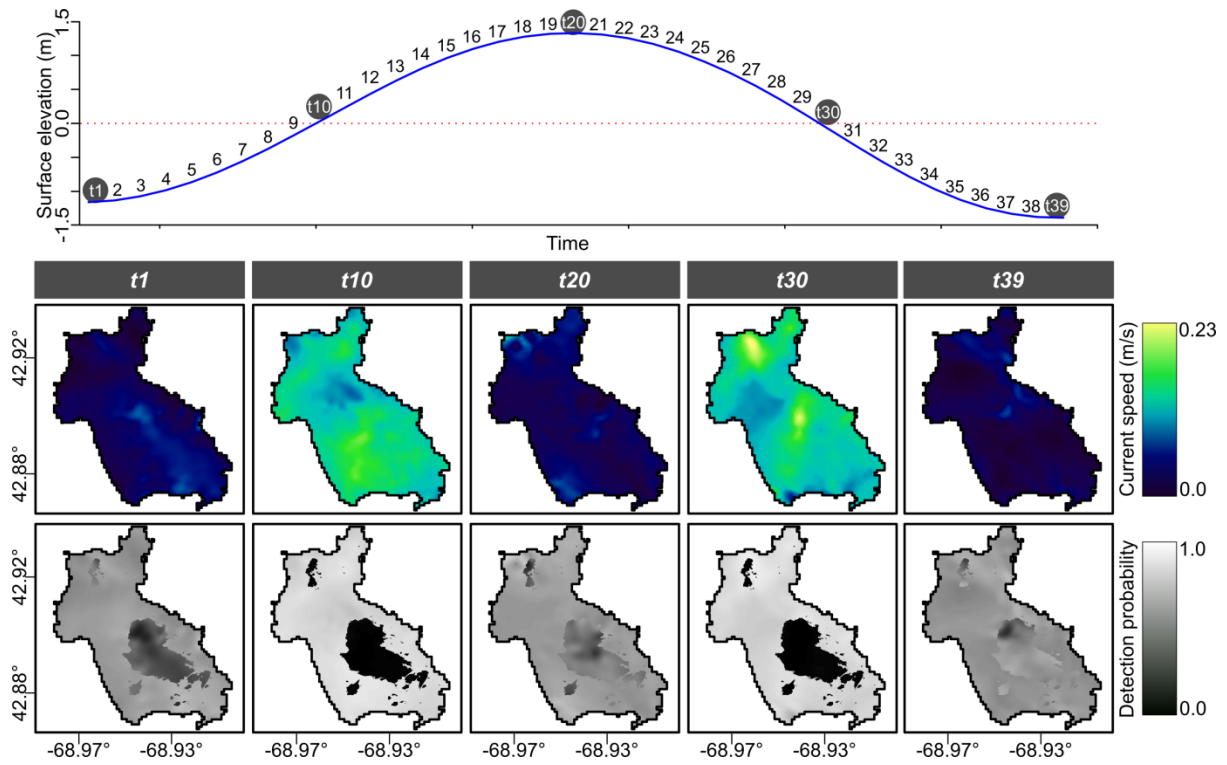


Figure 6: Tidal curve (top), current speeds (middle) and predicted probability surfaces (bottom) along a full tidal cycle during the summer 2006 sampling season. Surfaces are predicted from the detection model outputs. Numbers of each panel in the grey boxes (t1 – t39) correspond to the numbers on the tidal curve. The darker regions in t10 and t30 indicate the areas with canopy forming species of algae based on extinction depth for those species at Cashes Ledge, while the lighter regions are the areas with no canopy forming species of algae.

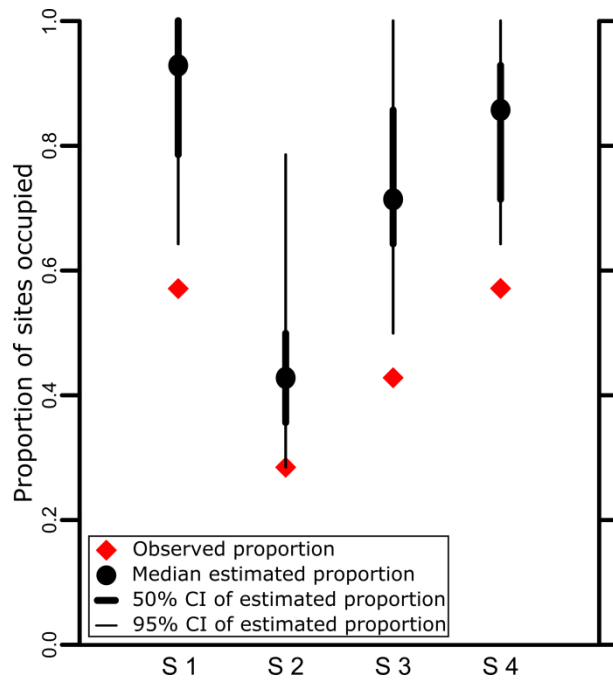


Figure 7. Observed and median estimated proportions of sites occupied by cusk at Cashes Ledge during the four sampling periods; S1 (Summer 2006), S2 (Spring 2007), S3 (Summer 2007) and S4 (Autumn 2007). Also shown are the 50% and 95% credible intervals for the posterior estimates of the proportion of occupied sites.