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1	Elastase activity on sputum neutrophils correlates with severity of
2	lung disease in cystic fibrosis
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4	A. Susanne Dittrich ^{1,2,3,5} , Iris Kühbandner ^{1,2,3} , Stefanie Gehrig ^{1,2,4,5} , Verena Rickert-
5 6	Zacharias ^{1,2,4,5} , Matthew Twigg ⁶ , Sabine Wege ^{2,3} , Clifford C. Taggart ⁶ , Felix Herth ^{2,3} , Carsten Schultz ^{2,4,5} and Marcus A. Mall ^{1,2,5}
7	
8	¹ Department of Translational Pulmonology and Division of Pediatric Pulmonology & Allergy
9	and Cystic Fibrosis Center, University of Heidelberg, Heidelberg, Germany
10	² Translational Lung Research Center Heidelberg (TLRC), Member of the German Center for
11	Lung Research (DZL), Heidelberg, Germany
12	³ Department of Pneumology and Critical Care Medicine, Thoraxklinik at the University
13	Hospital Heidelberg, Heidelberg, Germany
14	⁴ Cell Biology and Biophysics Unit, European Molecular Biology Laboratory, Heidelberg,
15	Germany
16	⁵ Molecular Medicine Partnership Unit (MMPU), University of Heidelberg and European
17	Molecular Biology Laboratory, Heidelberg, Germany
18	⁶ Centre for Experimental Medicine, Queen's University Belfast, Belfast, United Kingdom
19	
20	Corresponding author:
21	Marcus A. Mall, Department of Translational Pulmonology and Division of Pediatric
22	Pulmonology & Allergy and Cystic Fibrosis Center, Translational Lung Research Center
23	Heidelberg (TLRC), University of Heidelberg, Im Neuenheimer Feld 156, 69120 Heidelberg,
24	Germany. Phone: +49-6221-568840; Fax: +49-6221-568806; Email: Marcus.Mall@med.uni-
25	heidelberg.de.
26	
27	Author's contributions: Conception and design of the study: A.S.D, C.S., M.A.M;

Acquisition, analysis and interpretation of data: A.S.D., I.K., S.G., V.R.Z., C.C.T., M.T., S.W.,

F.H., C.S., M.A.M.; Writing the manuscript or revising it critically for important intellectual
content: A.S.D., C.C.T., F.H., C.S., M.A.M.

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46 AT A GLANCE COMMENTARY

47 Scientific knowledge on the subject

Neutrophil elastase (NE) is a key risk factor for the onset and progression of structural lung disease in patients with cystic fibrosis (CF). Recent studies identified increased NE activity on the surface of neutrophils from airways of mice with CF-like lung disease and patients with CF, even in the absence of free NE activity in airway samples. However, the relationship between increased NE activity on the surface of airway neutrophils and severity of lung disease in patients with CF remains unknown.

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55 What this study adds to the field

This study investigated the relationship between NE activity on the surface of sputum neutrophils and severity of lung disease in adult patients with CF. We demonstrate that NE activity is increased on the surface of CF sputum neutrophils, even at low levels of free NE activity in cell-free sputum supernatants. Further, cell surface-bound NE activity correlated inversely with FEV₁ % predicted and FRCpleth % predicted as outcome measures of airflow limitation and air trapping. These results suggest that surface-bound NE activity may contribute to severity of lung disease and serve as a novel biomarker in patients with CF.

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65	This article has an online data supplement, which is accessible from this issue's table of
66	content online at www.atsjournals.org.
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69 ABSTRACT

Rationale: Neutrophil elastase (NE) is a key risk factor for the onset and progression of cystic fibrosis (CF) lung disease. Recent studies identified increased NE activity on the surface of neutrophils from airways of mice with CF-like lung disease and patients with CF. However, the role of NE activity on neutrophil surfaces in CF lung disease remains unknown.

Objectives: To determine the relationship between surface-bound NE activity on sputum
 neutrophils and severity of lung disease in patients with CF.

Methods: Surface-bound NE activity was measured on sputum neutrophils from a prospective cohort of 35 patients with CF using novel lipidated and soluble Foerster resonance energy transfer (FRET) reporters and correlated with free NE activity, neutrophil counts, IL-8, MPO and antiproteases in cell-free sputum supernatants and with parameters of lung function.

Measurement and main results Surface-bound NE activity on sputum neutrophils was increased in CF compared to heathly controls (P<0.01) and correlated with free NE activity (P<0.05), but not with other inflammation markers in CF sputum Surface-bound and free NE activity correlated with FEV₁ % predicted (P<0.01 and P<0.05), but only surface-bound NE activity correlated with FRCpleth % predicted (P<0.01).

Conclusions: Surface-bound NE activity on airway neutrophils is increased and correlates with severity of lung disease independent of other markers of inflammation in patients with CF. Surface-bound NE activity, probably reflecting a freshly secreted pool of NE not inhibited by antiproteases, may play an important role in the pathogenesis and serve as novel biomarker of neutrophil activation in CF lung disease.

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92 Abstract word count: 250 words

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94 Keywords: airway inflammation; cystic fibrosis, neutrophilic airway disease, biomarker,
95 protease

96 **INTRODUCTION**

Chronic neutrophilic airway inflammation is a hallmark of cystic fibrosis (CF) and increased 97 activity of neutrophil elastase (NE), a major product of activated neutrophils, has been 98 identified as a key risk factor for the onset and progression of bronchiectasis and lung 99 100 function decline in patients with CF (1–3). Beyond structural damage of airway walls, NE has been implicated in the pathogenesis of mucus hypersecretion (4-6), airway inflammation (7-101 9), and impaired defenses against Pseudomonas aeruginosa infection (10-14). In addition, 102 103 increased NE activity was shown to disable CF transmembrane conductance regulator (CFTR) Cl⁻ channels and activate epithelial Na⁺ channels (ENaC), thus aggravating basic 104 105 defects in anion secretion and Na⁺ absorption in CF airways (15–17). These results from 106 observational and experimental studies suggest NE activity in airway specimen such as sputum or bronchoalveolar lavage (BAL) fluid as a promising biomarker of neutrophilic 107 108 inflammation in CF lung disease (2, 3). So far, measurements have focused on the detection of free NE activity in BAL and sputum supernatant (2, 3, 18–22). Since NE is a highly cationic 109 110 molecule, a significant proportion of secreted NE is bound to the neutrophil membrane via 111 electrostatic interactions (23–25). Using a novel Foerster resonance energy transfer (FRET)based NE reporter assay (26), we recently demonstrated that NE activity is also increased on 112 the surface of airway neutrophils in patients with CF (4). In addition, we showed that elevated 113 surface-bound NE activity is implicated in airway inflammation, mucus hyper-secretion and 114 structural lung damage in mice with CF-like lung disease, even in the context of moderate 115 airway neutrophilia, where free NE is effectively inhibited by an intact antiprotease shield and 116 117 no free NE activity is detectable in BAL fluid (4). However, the role of NE activity on neutrophil surfaces in CF lung disease remains unknown. 118

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The aim of this study was, therefore, to determine the relationship between surface-bound NE activity on the cell membrane of airway neutrophils and the severity of lung disease in patients with CF. To achieve this goal, we collected sputum from a prospective cohort of 35 patients with CF and 8 healthy non-smokers and used FRET reporters to quantify surface-

bound NE activity on sputum neutrophils and free NE activitiy in sputum supernatants. Further, we determined sputum neutrophil counts and levels of interleukin-8 (IL-8), myeloperoxidase (MPO) and antiproteases such as α1-antitrypsin-NE complexes (AAT-NE) and secretory leukocyte protease inhibitor (SLPI) in sputum supernatant, performed paired pulmonary function testing, and correlated surface-bound NE activity with these markers of disease severity in patients with CF. Some of the results have been previously reported in the form of abstracts (27, 28).

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133 METHODS

134 Study population

This study was approved by the ethics committee of the University of Heidelberg and 135 136 informed written consent was obtained from all subjects. The diagnosis of CF was verified by 137 established diagnostic criteria (29, 30). Spontaneously expectorated (n=36) or induced sputum (n=3) of sufficient quantity (total cell count of inflammatory cells >60,000) was 138 collected from 35 clinically stable patients with CF during routine visits at the CF Center at 139 the University Hospital Heidelberg. Patient characteristics are summarized in Table 1 and 140 141 CFTR genotypes are provided in Table E1. Colonization with Pseudomonas aeruginosa was defined as negative when 0%, intermittent when ≤50% and chronic when >50% of airway 142 cultures were positive in the previous twelve month. Airflow obstruction and pulmonary 143 hyperinflation were detected by measurement of forced expiratory volume in one second 144 145 (FEV₁) and plethysmographic functional residual capacity (FRCpleth) on the same day of sputum collection according to ATS/ERS guidelines (31, 32). As control group, we collected 146 147 induced sputum from 8 age-matched, healthy, non-smoking volunteers (5 females and 3 males; median age 31.1 range 22.01-45.02 years) by hypertonic saline inhalation as 148 previously described (4, 33). Briefly, the mouth was rinsed with water and 100 µg salbutamol 149 was inhaled prior to 6% hypertonic saline. Sputum samples were directly collected in a 150 151 specimen container, stored on ice immediately after production and processed within two

152 hours.

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154 Sputum processing and measurements of free and surface-bound NE activity, 155 cytokines and antiproteases

Sputum was separated from saliva and homogenized using 10% sputolysin (Calbiochem, 156 Darmstadt, Germany). Sputum inflammatory cells were isolated and total immune cell counts 157 158 were performed. Free NE activity was quantified in sputum supernatants using the FRET probe NEmo-1 and compared with activity levels determined by the chromogenic substrate 159 MeO-Suc-AAPV-pNA (Sigma, St Louis, MO, USA) (1-3). Surface-bound NE activity on 160 sputum neutrophils was measured using the lipidated FRET reporter NEmo-2 (4, 26) and 161 determined from the ratio of donor to acceptor fluorescence (D/A ratio) as previously 162 described and detailed in the online supplement (4). Levels of IL-8, MPO, SLPI and AAT-NE 163 were measured using ELISA. Differential cell counts were performed on May-Grünwald-164 Giemsa stained cytospin preparations. For three CF and one control subject, differential cell 165 166 count could not be determined because the cytospins were of poor quality. Values below the 167 detection limit are reported as 0. Additional information is provided in the online supplement.

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169 Statistical analysis

Data were analyzed with "R" (R Foundation for Statistical Computing, Vienna, Austria) or 170 SigmaPlot (Systat Software GmbH, Erkrath, Germany) and are reported as median 171 (interguartile range, 25–75th percentile). Statistical analysis was performed using Shapiro-wilk 172 173 test, unpaired Student's t test, Wilcoxon rank sum test, one-way analysis of variance, Kruskal-Wallis test, Dunn's test or Dunn's test versus control as appropriate. Correlation 174 analyses were performed using the Spearman rank order method. A P value less than 0.05 175 was considered statistically significant. In the case of multiple comparisons, individual P 176 levels are indicated only if not rejected by the Bonferroni–Holm method. 177

178

180 **RESULTS**

181 NE activity is increased on the surface of sputum neutrophils in CF, even in patients 182 with low levels of free NE activity in sputum supernatant

To determine surface-bound NE activity on airway neutrophils and its relationship with free 183 NE activity in CF airway inflammation, we assessed prospectively collected sputum samples 184 from 35 clinically stable adult patients with CF (Table 1) and 8 healthy non-smokers using 185 highly sensitive NE-specific FRET reporters developed to quantify free (NEmo-1) and 186 surface-bound (NEmo-2) NE activity (4, 26). As expected, absolute neutrophil counts 187 (P<0.001) and percentage of neutrophils (P<0.001) in sputum were significantly increased in 188 our cohort of adult patients with CF compared to healthy controls (Figures 1A and 1B). Free 189 190 NE activity detected by NEmo-1 was significantly increased in CF compared to control sputum (P<0.01), was completely inhibited by the NE inhibitor sivelestat (Figure 1D), and 191 192 showed a strong correlation with free NE activity detected by the established chromogenic substrate MeO-Suc-AAPV-pNA (r=0.91, P<0.001 and Figure E1). Similar to free NE activity, 193 194 surface-bound NE activity was significantly increased on CF compared to control neutrophils (P<0.01) and completely inhibited by sivelestat (Figure 1C and 1E). Surface-bound and free 195 NE activity showed a moderate correlation in CF samples (P<0.05, Figure 1F). Of note, 196 grouping of CF samples according to the levels (quartiles) of free NE activity in sputum 197 supernatant demonstrated that surface-bound NE activity was significantly increased on CF 198 compared to control neutrophils, even in patients with low levels (≤25th percentile) of free NE 199 activity (P<0.01; Figure 1G). Taken together, these results demonstrate that, in addition to 200 201 free NE activity in airway secretions, surface-bound NE activity on airway neutrophils is 202 increased and contributes to the protease burden in the lungs of patients with CF.

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Relationship of surface-bound and free NE activity with markers of neutrophilic inflammation and antiproteases

Next, we determined the relationship of free and surface-bound NE activity in CF sputum with markers of neutrophilic inflammation including neutrophil counts, IL-8 and MPO, and

antiproteases that inhibit NE activity in the airway lumen. Free NE activity in CF sputum 208 supernatants correlated with absolute neutrophil counts, IL-8 and MPO (Figures 2A, C and 209 E). Surface-bound NE activity did not correlate with these markers of neutrophilic 210 inflammation (Figures 2 B, D and F). Neither free nor surface-bound NE activity correlated 211 with percentage of sputum neutrophils (r=0.11, P=0.53 and r=0.15, P=0.36). To investigate 212 the relationship of free and surface-bound NE activity with antiproteases, we measured 213 214 levels of SLPI and complexes of NE with α 1-antitrypsin (AAT-NE), two major endogenous 215 inhibitors of NE in the airways (34), in sputum supernatants. As expected from previous studies (10, 35), AAT-NE was significantly increased (P<0.001), whereas SLPI was 216 decreased (P<0.001) in CF compared to control sputum (Table 2). Free, but not surface-217 bound NE activity correlated with AAT-NE (Figure 2G and H). Neither free, nor surface-218 bound NE activity were correlated with SLPI (Figure 2I and J). Collectively, these data 219 220 indicate that free NE activity depends on the level of airway inflammation, as determined from the number of neutrophils, levels of IL-8 and AAT-NE in sputum, whereas surface-221 222 bound NE activity is increased on CF neutrophils independent of these indices of neutrophilic airway inflammation. 223

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Surface-bound NE activity on sputum neutrophils correlates with airflow obstruction and air trapping

To determine the clinical relevance of increased NE activity on the surface of CF neutrophils, 227 we correlated free and surface-bound NE activity with FEV₁ and (FRCpleth) as lung function 228 parameters of airflow obstruction and air trapping. As expected from previous studies (3, 19, 229 230 22, 36), free NE activity in CF sputum showed a negative correlation with FEV_1 % predicted (P<0.05) in our cohort of patients with CF (Figure 3A), whereas no relationship was found 231 between free NE and FRCpleth % predicted (Figure 3C). Surface-bound NE activity on CF 232 sputum neutrophils was also inversely correlated with FEV₁ % predicted (*P*<0.01, Figure 3B). 233 In addition, surface-bound NE activity was directly correlated with FRC % predicted in 234 235 patients with CF (P<0.01, Figure 3D). These results show that the level of surface-bound NE

activity is related to the severity of airflow obstruction and air trapping in adult patients withCF.

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239

240 **DISCUSSION**

The mucostatic environment in CF lung disease sustains a destructive cascade of oxidative 241 stress, ineffective host defense and chronic infection, resulting in a massive recruitment of 242 neutrophils to the lungs (37). During homing to the CF airways, viable neutrophils undergo 243 activation and extensively mobilize NE-rich granula to the cell surface (38, 39). In vitro 244 245 studies demonstrated that activated blood neutrophils express 6-fold more NE on the cell surface than is freely released, whereas unstimulaed neutrophils express only minimal 246 amounts of NE on the cell surface (24). NE binds charge-dependently to low affinity, high 247 248 volume binding sites on the neutrophil membrane (25) and surface-bound NE has a similar 249 spectrum of catalytic activity and efficiency as free NE (24, 25, 40). The clinical relevance of NE activity on the cell surface of intact airway neutrophils in CF lung disease, however, 250 remains unknown. Thus, we conducted this prospective, cross-sectional study in 35 patients 251 252 with CF covering a wide spectrum of disease severity to compare the role of surface-bound 253 with free NE activity in advanced CF lung disease. Our work shows for the first time that 254 surface-bound NE activity is associated with severity of CF lung disease.

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Preclinical studies utilizing BENaC-overexpressing mice, an established model of CF-like 256 lung disease, provided first insights into the pathophysiologic role of surface-bound NE 257 activity in the context of early-onset airway mucus plugging, spontaneous bacterial infection 258 and chronic airway inflammation (4, 41–44). Similar to mild lung disease in infants and young 259 260 children with CF, this mouse model exhibits a moderate airway neutrophila with 5-30 % neutrophils in BAL fluid (1, 41, 44). Genetic deletion of NE demonstrated that a lack of NE 261 significantly reduces airway inflammation, mucus hypersecretion and structural lung damage 262 263 in BENaC-overexpressing mice. However, no free NE activity was detectable in the cell-free

supernatants of BAL fluid from BENaC-overexpressing mice, whereas surface-bound NE 264 activity on BAL neutrophils was constantly increased. This was likely due to an intact 265 antiprotease shield, as BAL supernatants could inhibit activity of purified NE in a dose-266 dependent manner (4). So far, the massive influx, necrosis, cell lysis and impaired clearance 267 of neutrophils has been considered as the main mechanism leading to NE burden in 268 269 advanced CF lung disease (37). In our cohort, sputum neutrophil counts were markedly elevated, consistent with reported neutrophil numbers in sputum from adult patients with CF 270 (4, 20). However, we found that surface-bound NE activity was increased on sputum 271 neutrophils, even when free NE activity was low. Further, free but not surface-bound NE 272 activity correlated with markers of neutrophilic airway inflammation and absolute neutrophil 273 274 counts. This supports the hypothesis that viable, non-apoptotic airway neutrophils contribute to CF lung disease (38, 39) and proposes that surface-bound NE activity rather depicts 275 276 neutrophil activation at the single cell level than unspecific NE liberation from disintegrating cells. 277

278

In the lungs, α 1-antitrypsin and SLPI are the most abundant serine antiproteases that 279 counterbalance the harmful effects of excessive NE activity (34). The acute phase protein 280 281 a1-antitrypsin is primarily produced in the liver, distributed via the blood stream and inactivates NE by forming stable complexes (34). SLPI is secreted locally in the airways by 282 various cell types, including neutrophils, macrophages and airway epithelial cells, and is 283 cleaved and inactivated by free NE (10). Studies on human BAL neutrophils have shown that 284 285 surface-bound NE on non-adherent neutrophils can be fully inhibited by α 1-antitrypsin, leading to a permanent clearance of NE from the cell surface (45). In our CF cohort, 286 however, the antiprotease shield was considerably impaired, as SLPI was decreased and did 287 not correlate with NE activity. Interestingly, free but not surface-bound NE activity correlated 288 289 with NE-α1-antitrypsin-compexes. Therefore, we speculate that the high levels of free NE 290 consume a significant proportion of a1-antitrypsin in advanced CF lung disease. Surface-

291 bound NE activity might represent a pool of freshly secreted NE, not inhibited by the 292 antiproteasis shield.

293

294 Finally, we could show that NE activity on sputum neutrophils was associated with impaired lung function in adult patients with CF. Both, free and surface-bound NE activities correlated 295 296 with FEV₁ % predicted, the most widely accepted pulmonary function test for disease 297 progression in CF. Moreover, the novel FRET approach demonstrated that surface-bound, but not free NE activity, correlates with air trapping, a surrogate measure for airflow 298 obstruction and structural lung damage in CF lung disease. This is in line with preclinical data 299 in βENaC-overexpressing mice that identified surface-bound NE activity as a potent trigger of 300 emphysematous airspace enlargement in CF-like lung disease (4). Recent CT studies have 301 302 further highlighted the important pathophysiologic role of early-onset emphysema in patients with CF (46, 47). Mechanistically, It has been proposed that adherence of neutrophils to the 303 304 site of proteolysis might protect surface-bound NE activity against endogenous antiproteases and thus aggravate local tissue damage (45). Taken together, these data suggest that 305 surface-bound NE activity is associated with severity of CF lung disease and might be a 306 307 sensitive measure for tissue destruction in CF airways.

308

NE inhibitors are proposed as a promising anti-inflammatory and tissue-protective therapy in 309 CF lung disease (48–50). This study confirms that NE needs to be inhibited and monitored 310 311 on the neutrophil membrane for optimal therapeutic efficiency (4). Recent clinical studies in 312 3-month-old infants with CF have shown that free NE activity in BAL fluid is the major risk factor for bronchiectasis at 12 month, but free NE activity was below the detection limit in 313 more than 70 % of the analyzed samples (1, 2). This is similar to findings in BENaC-314 overexpressing mice, showing that free NE activity cannot be measured in cell-free BAL 315 316 supernatans from mice with CF-like lung disease in presence of an intact antiprotease shield 317 (4). Hence, more sensitive methods for the measurement of NE activity in airway secretions 318 are required. The current study showed that even in adults with CF, surface-bound NE

319 activity can be increased when free NE activity is low. Further, our data have shown that 320 surface-bound NE activity was independent from established markers of neutrophilic 321 inflammation. This proposes that quantification of surface-bound NE activity could serve as a 322 high-sensitivity approach for detection of neutrophilic inflammation in airway secretions.

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An important limitation of this study is the single-center, cross-sectional design. Therefore, future longitudinal clinical studies are required to estimate the predictive value of surfacebound NE activity in stable CF lung disease and in exacerbation.

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328 In summary, this study demonstrated for the first time that NE activity on sputum neutrophils is associated with severity of CF lung disease. Our data suggest that surface-bound NE 329 activity might represent neutrophil activation rather than neutrophil number. Further, the 330 331 antiprotease shield predominantly counteracts free NE activity while freshly secreted NE on 332 the neutrophil surface might be protected from endogenous inhibitors. Correlations with lung function data revealed that surface-bound NE activity is associated with both airflow limitation 333 and air trapping. Taken together, our results suggest that NE activity on airway neutrophils 334 may play an important role in pathogenesis and could provide a valuable biomarker for 335 336 monitoring of progression in CF lung disease.

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FIGURE LEGENDS

Figure 1. NE activity is increased on the surface of CF sputum neutrophils. (A-B) Absolute (A) and relative (B) number of neutrophils in control and CF sputum. (C) Representative ratio images calculated from donor and acceptor fluorescence of sputum neutrophils from a healthy non-smoker (control) and a patient with cystic fibrosis (CF). (D-E) Free NE activity in sputum supernatants (D) and cell surface-bound NE activity on sputum neutrophils (E) from controls and patients with CF in the absence and presence of the NE inhibitor sivelestat. (F) Correlation between surface-bound and free NE activity in CF sputum. (G) Surface-bound NE activity in sputum from patients with CF (25: $\leq 25^{th}$ percentile, 50: $>25^{th}$ -50th percentile, 75: $>50^{th}$ -75th percentile and 100: $>75^{th}$ -100th percentile). Dots represent individual samples and lines represent the group median. **P*<0.05, ***P*<0.01 and ****P*<0.001 compared with CF without sivelestat

Figure 2. Relationship of free and surface-bound NE activity with parameters of neutrophilic inflammation and antiproteases in CF sputum. (A-J) Correlations of free NE activity in sputum supernatant (A,C,E,G,I) and surface-bound NE activity on sputum neutrophils (B,D,F,H,J) with neutrophil counts (A and B), and levels of interleukin-8 (IL-8) (C and D), myeloperoxidase (MPO) (E and F), α 1-antitypsin-NE complexes (AAT-NE) (G and H) and secretory leukocyte protease inhibitor (SLPI) (I and J) in CF sputum. Spearman correlation coefficient r and *P* values are provided for each correlation.

Figure 3. Relationship of free and surface-bound NE activity with lung function parameters of airflow obstruction and air trapping in patients with CF. (A-D) Correlations of free NE activity in sputum supernatant and surface-bound NE activity on sputum neutrophils with forced expiratory volume in one second % predicted (FEV₁ % pred.) (A and B) and plethysmographic functional

residual capacity % predicted (FRCpleth % pred.) (C and D). Spearman correlation coefficient r and *P* values are provided for each correlation.

TABLES

Number of subjects	n	35
Number of visits	n	39
Age (years)	Median (IQR)	27.7 (23.8–30.8)
	Range	19.1 – 59.0
Sex	n, males/females	23/12
2	Median (IQR)	19.79 (18.88–21.43)
	Range	16.35– 27.72
FEV % predicted	Median (IQR)	53.30 (38.70–68.10)
	Range	18.00 – 114.80
FRCpleth % predicted*	Median (IQR)	140.40 (113.78–169.38)
	Range	89.60–212.40
CFTR genotype		
F508del/F508del	n (Percentage)	13/35 (37.14%)
F508del/other	n (Percentage)	19/35 (54.29%)
other/other	n (Percentage)	3/35 (8.57%)
Pseudomonas infection		
negative	n (Percentage)	13/39 (33.33%)
intermittent	n (Percentage)	3/39 (7.69%)
chronic	n (Percentage)	23/39 (58.97%)
Pancreatic insufficiency	n (Percentage)	30/35 (83.33%)

Table 1. Clinical characteristics of patients with cystic fibrosis

Definition of abbreviations: BMI: Body mass index, FEV₁ % predicted: forced expiratory volume in one second % predicted, FRCpleth % predicted: plethysmographic functional residual capacity % predicted, IQR: interquartile range 25–75th percentile*FRCpleth % predicted determined by body plethysmography was available in 28 of 39 visits.

Table 2.Myeloperoxidase, antiproteases and IL-8 in control and CF sputum

	Control	CF	P-value
MPO	0.41 (0.32–0.55)	29.69 (18.44–51.81)	<0.001
SLPI (ng/mL)	1211.55 (923.27–1811.72)	180.81 (87.30–474.10)	<0.001
AAT-NE (ng/mL)	24.84 (15.62–33.24)	82.57 (40.41–183.14)	<0.001
IL-8 (ng/mL)	0.56 (0.29–0.80)	11.15 (8.23–16.58)	<0.001

Definition of abbreviations: MPO: myeloperoxidase, SLPI: secretory leukocyte protease

inhibitor, AAT-NE: α1-antitrypsin-NE complexes, IL-8: interleukin-8.

FIGURES

Figure 1



Figure 2



Figure 3

