

1 **Effects of agricultural land management changes on surface water**
2 **quality: a review of meso-scale catchment research**

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11 **Short title**

12 Outcomes of water quality mitigation practices in agriculture

13 **Abstract**

14 **Measuring the environmental impacts of agricultural practice is critical for**
15 **policy formulation and review, including policies implemented to improve water**
16 **quality. Here, studies that measured such impacts in surface waters of**
17 **hydrologically diverse meso-scale catchments (1-100 km²) were reviewed.**
18 **Positive water quality effects were measured in 17 out of 25 reviewed studies.**
19 **Successful farm practices included improved landscape engineering, improved**
20 **crop management and reductions in farming intensity. Positive effects occurred**
21 **from 1 to 10 years after the measures were implemented, with the response time**
22 **broadly increasing with catchment size. However, it took from 4 to 20 years to**

1 **confidently detect the effects. Policy makers and scientists should account for**
2 **these hydrological and biogeochemical time lags when setting policy and**
3 **planning monitoring in meso-scale catchments. To successfully measure policy**
4 **effects, rates of practice change should also be measured with targeted water**
5 **quality parameters.**

6

7 **Keywords**

8 Mitigation, measures, BMP, agriculture, management practice, water quality,
9 catchment, nitrogen, phosphorus

10 **Highlights**

- 11 • In reviewed catchments, it took 1 to 10 years for policies to have a measurable
12 effect on water quality
- 13 • Positive mitigation effects on surface water quality took 4-20 years to measure
- 14 • Time lags explain why positive effects aren't always evident within
15 governance cycles

16

17

1 **1. Introduction**

2 Agricultural management practices that can effectively mitigate against on and off-
3 farm surface water quality degradation have been demonstrated at field (**Smith et al.,**
4 **2001; Melland et al., 2016;**), hillslope (**Freebairn et al., 2009;** Sousa et al., 2013)
5 and micro catchment scales (**McDowell et al., 2009;** Melland et al., 2014; Tomer et
6 al., 2014). In contrast, the effectiveness of farm practice change for water quality
7 improvement at larger scales is less clear (Fenton et al., 2011; Vero et al., 2017).
8 Policy makers need to be informed about the spatial and temporal links between field-
9 scale land management and national-scale water quality in order to develop
10 appropriate policies, to justify expenditure on policy implementation and to promote
11 policy implementation (Roberts et al., 2014; Minella et al., 2008; Collins et al., 2008).
12 **Herein, we review the outcomes of studies that have directly measured impacts of**
13 **agricultural mitigation measures in medium, or meso-scale, catchments (1-100**
14 **km², incorporating 1st – 3rd order streams and representing a scale between farm**
15 **and river basin scales) over the last 20 years.** We use this scale to incorporate the
16 scale of statutory water quality monitoring in rivers while also the link between farm
17 scale and catchment.

18

19 Such meso-scale studies are limited in the literature due to the challenging and
20 resource intensive nature of this type of study (Melland et al., 2014). The challenges
21 include the uncertainty in cause-effect relationships due to the complexity of
22 hydrological, climatic, biogeochemical and anthropogenic processes occurring in time
23 and space, and this often results in insufficient collection of water quality and land
24 management information (Cherry et al., 2008). These constraints are compounded by

1 the long periods of time that are normally needed to identify trends and account for
2 time lags in water quality response to, and implementation of, mitigation measures
3 (Meals et al., 2010; Spooner et al., 1987).

4

5 **When considering hydrological and biogeochemical time lags for nitrogen (N,**
6 **longer residence times associated with mainly subsurface losses) and phosphorus**
7 **(P, lower residence times associated with mainly surface losses) within meso-**
8 **catchments it may not always be possible to document residence times or give**
9 **detailed data pertaining to e.g. redox conditions. Furthermore, P losses also**
10 **occur via groundwater and N losses along surface pathways. For the purposes of**
11 **the present study, permeability, with respect to the soil-subsoil-bedrock**
12 **continuum, was used as a guide to establish which pathway dominates (Table S1-**
13 **2). Such a proxy, although not quantitative, can assign dominant pathways of**
14 **loss, attenuation capacity and highlight if receiving surface waterbodies are**
15 **dominated by flows derived from surface or groundwater (Fealy et al., 2010).**
16 **For example in meso-scale catchments (Mellander et al., 2014) dominated by**
17 **imperfect or poorly drained soils the dominant loss pathway will be through**
18 **surface and shallow subsurface pathways (e.g. lateral movement of infiltrating**
19 **and shallow groundwater due to low permeability layers such as fragipans or**
20 **artificial drainage systems) (McDaniel et al., 2008; Shore et al., 2013). In well or**
21 **excessively-drained equivalents subsurface pathways will dominate but the**
22 **hydrogeochemistry of the system may vary in terms of dissolved oxygen,**
23 **electrical conductivity and bacterial energy source availability which in turn may**
24 **attenuate or enhance nutrient flows via those subsurface pathways. For example,**
25 **McAleer et al. (2017) examined two well-drained catchments with contrasting**

1 subsurface lithologies (slate versus sandstone). Physical factors, including
2 agronomy, watertable elevation and soil-subsoil-bedrock permeability, all
3 influenced the hydrogeochemical signature of the aquifers. Stream nitrate (NO_3^-)
4 load was 32% lower in the sandstone catchment even though agronomic nitrogen
5 (N) inputs were substantially higher than the slate catchment. Therefore, the
6 dominance of surface or groundwater pathways within a catchment and the
7 residence time and geochemistry associated with these pathways must be
8 considered when assessing the efficacy of practice(s) on water quality. In terms
9 of N and biogeochemical lags, soil organic N in the source zone is influenced by
10 the source zone NO_3^- concentration, legacy organic N depletion rate constant,
11 mean annual recharge, soil saturation and soil porosity (Van Meter et al., 2015;
12 Ascott et al., 2017 (defined as NO_3^- storage in the Vadose zone)). Outside of the
13 source zone the transformation rate of NO_3^- in the subsurface is important e.g.
14 the denitrification rate in subsoil, subsoil-bedrock interface and in bedrock
15 (Jahangir et al., 2013). In terms of dissolved reactive P it is the chemistry of the
16 soil-subsoil-bedrock continuum and the redox conditions that cause retention or
17 mobilisation of P (Daly et al., 2017). In terms of the subsurface hydrological time
18 lags, which involve mainly dissolved forms of N and P in the unsaturated and
19 saturated zone, parameters such as residence time from the sampling point to the
20 catchment outlet, the physical properties of the underlying aquifer and the
21 overall hydraulic gradient pushing this migration is important (Van Meter et al.,
22 2015; Vero et al., 2017). Further complications to conceptual models of nutrient
23 transport can be encountered in groundwater-dominated karst environments
24 where the concentration, load and residence times across different subsurface
25 pathways (conduit versus different fracture sizes) can vary greatly as

1 **demonstrated by Fenton et al. (2017) using high resolution loadagraph**
2 **separation techniques. Acknowledging these conceptual complexities, studies**
3 **included in the scope of the present review were those that directly measured**
4 **chemical and/or biological water quality responses in surface water (lakes or**
5 **rivers) to agricultural practices in meso-scale catchments.**

6 **2. Materials and methods**

7 **Studies of single, paired and multiple catchments were reviewed, with the latter**
8 **being included in the review only if the median size of catchment was meso-scale.**
9 **For each study, a combination of qualitative and quantitative analyses was**
10 **conducted.**

11

12 **Quantitative analyses included assessments of the response time, the measurement**
13 **time, the measurement lag (Fig. 1) and the implementation lag. These were defined**
14 **as:**

15 • **Response time was the number of years from when a**
16 **threshold or maximum rate of implementation of a practice**
17 **was reported or inferred to have been achieved, to when a**
18 **(significant) effect on water quality was deduced to have**
19 **occurred.**

20 • **Measurement time was the number of years taken to**
21 **measure a statistically (or physically) significant water**
22 **quality response to an agricultural practice and unless**
23 **otherwise reported, was taken as the total length of the**

1 measurement period. This was usually longer than the
2 response time because the initiation of significant water
3 quality effects or trends was only evident or convincing
4 once a longer time series of data was collected. The
5 measurement time was not defined as the sum of the other
6 terms, rather the implementation lag was defined as
7 finishing when the response and measurement times began.

8 • **Measurement lag was the difference between the response**
9 **time and the measurement time. Measurement lags reflect**
10 **the extra time needed to measure water quality indicators**
11 **in order to separate signals/responses from environmental**
12 **noise and in many cases reflected a period of measurement**
13 **required before a practice change occurred in order to**
14 **establish a baseline. In contrast, the response time only**
15 **started once full/threshold implementation of the practice**
16 **change was complete.**

17 • **Implementation lag was the number of years between the reported**
18 **or inferred initiation of practice change and when a maximum or**
19 **threshold rate of implementation was reported, or inferred, to**
20 **have been achieved.**

21

22 Qualitative analyses included summaries of:

23 ○ monitoring approaches used

- 1 ○ classifications of effects on water quality indicators as positive, neutral or
- 2 negative
- 3 ○ classification of positive effects according to the type of hydrological transport
- 4 pathway most influencing the response of the water quality indicator
- 5 ○ classification of positive effects according to the type of water quality
- 6 indicator as chemical (N, P, suspended sediment (SS)) or biological (diatom,
- 7 macroinvertebrate, macrophyte)
- 8 ○ classification of drivers of practice change as mostly voluntary, mostly
- 9 incentivized for research collaboration or mostly mandatory
- 10 ○ reasons why effects were not measurable
- 11 ○ reasons why negative effects occurred
- 12 ○ soil, geology and hydrological flow pathways and residence times

13 **3. Results and Discussion**

14 **3.1 Monitoring approaches**

15 [Twenty-four studies from across Europe, USA, New Zealand and Brazil that matched](#)
16 [the scope of the review were identified \(Table S2\)](#). Within these, 46 different
17 experimental approaches were used to measure the effect of agricultural practice on
18 chemical and/or biological water quality (Table S2). The number of approaches
19 exceeded the number of studies because multiple approaches were combined within
20 single studies to optimise the potential for detecting significant effects and causal
21 relationships. The most commonly used approach was a time series of data in which
22 water quality was measured at various temporal resolutions **before and after** a
23 significant change in agricultural practice (e.g. **Jaynes et al. 2004; Bishop et al.,**

1 **2005; Makarewicz et al., 2009; Sutton et al., 2009**). Sometimes these studies
2 included measurements during the period of practice change (**Bishop et al., 2005**).
3 The ‘before’ phase established similarity between paired catchments before practices
4 were applied in one or more of the catchments. **For example, Jaynes et al. (2014)**
5 **(Fig 1.) showed when best management practices (BMP) were implemented to**
6 **limit NO₃- leaching to tile-drains, the ‘response time’ for effects to occur was 1.5**
7 **years. However, 3.5 years of data were needed to measure the statistically**
8 **significant downward trend in NO₃- concentration (i.e. the measurement time).**
9 **The statistical effect was measured by comparing water quality between**
10 **catchments with and without, and before and after, practices changed.** Time-
11 series approaches also included measurement over a period of **gradual change** in
12 management practice (e.g. Schilling et al., 2006; Kronvang et al., 2008) and
13 measurement over a period that was considered to reflect a **baseline** condition (i.e.
14 when negligible change in practice was assumed to have occurred) (Wall et al.,
15 2011). Baseline studies sometimes later became ‘gradual change’ or ‘before and after’
16 studies if the monitoring remained in place over a period of significant practice
17 change. For example, five dairy catchments in New Zealand were monitored to
18 identify baseline water quality and management practices over 3-5 years (Monaghan
19 et al., 2009) and then later, trends over time were analysed in comparison with gradual
20 changes in practice (Wilcock et al., 2013). Often the focus of the temporal baseline
21 studies was to identify effects across a spatial gradient and/or through cause-effect
22 linkages.

23

24 Multiple catchments were often used to evaluate water quality impacts of farm
25 practice by using **paired** catchments where water quality from catchments without

1 agricultural practice change was compared to those where change occurred over the
2 same time series (e.g. Jaynes et al. (2004) or Lemke et al. (2011)). The paired
3 catchments not up or downstream of each other had similar physical (e.g. soils,
4 geology and/or topography) and climatic characteristics. Water quality was also
5 monitored in two or more catchments with a **gradient** of differing physical
6 characteristics but with similar (Melland et al., 2012) or dissimilar (Yates et al., 2007)
7 practices. Spatially nested (i.e. longitudinally connected) catchments were also used to
8 compare water quality **up and downstream** of a farm practice (Inamdar et al., 2002).

9 Identifying causal relationships between practices and water quality was an indirect
10 outcome of most of the temporal and spatial analytical approaches and was the
11 primary objective of the final category of studies. In these experimental designs, two
12 or more components of the DPSIR framework were measured. This framework
13 describes causal interactions between society and the environment and is used to
14 identify the drivers of practice (D), the pressures (P) practices place on water quality,
15 the state (S) of the water quality, the resulting impact (I) on values of the water, and
16 the policy response (R) to the impact (IMPRESS, 2002). Biophysical links between
17 the measured components were considered to be largely non-contestable or were
18 modelled (Monaghan et al., 2007; Kronvang et al., 2008; Kyllmar et al., 2006; Wall et
19 al., 2011).

20 **3.2 Response times for positive effects on water quality**

21 Positive effects on one or more water quality indicators were measured in 17 of the 25
22 studies reviewed. These positive effects occurred 1-10 years after practices were
23 implemented (Fig 2). In contrast, 4-20 years were needed to detect the positive effects
24 on water quality (Fig 2). The measurement lag (time between the effect occurring and
25 the effect being measured to have occurred) ranged from 1-18 years. Not all studies

1 had time lag information as they did not study a time element (e.g. spatial
2 comparisons without temporal information on practice change) or there was no major
3 practice change over the monitored timeframe (e.g. baselines studies).

4

5 Both the *response time* and the *measurement time* broadly increased with increasing
6 median catchment size in each study (Fig 3). There was also a tendency for the
7 response time to increase as the travel-time of the pathway of pollutant flow
8 increased. For example, sediment and P transport, which occurs predominantly via the
9 overland flow pathway had opportunities to be remediated quickly, whereas NO_3^-
10 leached via subsurface flow pathways took longer to remediate. **Despite variation in
11 flow travel times between catchments, the linear regression correlation
12 coefficients between catchments size and *response time* was 0.43 ($P<0.05$), and
13 between catchment size and *measurement time* was 0.36 ($P<0.05$), with one outlier
14 removed for each regression.**

15 *Implementation lag times* ranged from 0.5 to 14 years and tended to increase with
16 catchment size up to about 20 km² (Fig 4). There was no clear association between
17 *implementation lag time* and the policy mechanism that was used to facilitate practice
18 change in the studied catchments. For example, when practice change was mandatory,
19 the time between initiation of practice change and threshold or maximum rates of
20 implementation of the practice was often longer than in cases where the practice was
21 voluntarily adopted. Data on temporal and spatial nutrient sources and management
22 were generally scarce in comparison with water quality data despite their importance
23 in identifying cause-effect relationships.

1

2 **3.3 Effective practices**

3 The practices that resulted in mitigation of one or more water quality indicators were
4 usually combinations of measures that addressed nutrient or pollutant sources,
5 pathways, delivery and impact. Structural and cultural measures applied in smaller
6 catchments (0.4 to 5.9 km²), significantly reduced concentrations and fluxes of P, N
7 and SS two years after implementation in a catchment of Lake Conesus in New York
8 state, USA (Makarewicz et al., 2009). The measures included substantial changes to
9 the intensity of farming including a reduction in dairy farm stocking rates and
10 converting cropped land to perennial alfalfa. In Southern Brazil, sediment yields
11 decreased mainly due to reduced runoff after introduction of minimum tillage and
12 increased crop cover (Minella et al., 2008). Elsewhere water management also played
13 a key role in mitigation. For example, reduced P concentrations and loads to the
14 Everglades wetlands, South Florida, USA were mainly due to better management of
15 irrigation and rainfall drainage water (Daroub et al., 2011). In this and other
16 mitigation programs success was also attributed to high spatial rates of
17 implementation of the effective practices (Yates et al., 2007).

18

19 Biological water quality indicators were less frequently monitored (five of the studies)
20 than chemical and hydrological indicators and, where measured, effects on biological
21 indicators were more often neutral than positive. Improved macro-invertebrate
22 indicators were observed, however, after 20 years of practice change in Canada (Yates
23 et al., 2007). Also, in Lake Consensus, USA a reduction in algal biomass was
24 observed within three years of erosion control, stream fencing, nutrient management,
25 crop and grazing rotations and reduced land use intensity in contributing catchments

1 (Makarewicz et al., 2009). A five-year period of measurement was considered too
2 short for a positive macro-invertebrate response in a catchment in New Zealand where
3 dairy shed-effluent was spread to land rather than discharged to streams (Wilcock et
4 al., 2009). Diatoms (unicellular algae) are sensitive to small changes in chemical
5 water quality and a positive effect on diatom assemblage was observed within 10
6 years of riparian vegetation, stream fencing, farm yard and manure management in
7 Delaware, USA (Gabel et al., 2012). Ecological restoration of surface water bodies
8 due to agricultural practice can be delayed due to hydrological and biogeochemical
9 time lags along subsurface flow pathways (Vero et al., 2017), and by processes such
10 as sediment storage and remobilisation within streams and rivers (Hamilton et al.,
11 2012).

12 **3.4 Negative and immeasurable effects**

13 Changes in agricultural practices have in many cases resulted in no measureable
14 improvements to water quality at the meso-scale, even after up to 15 years of
15 monitoring (Table S2). In many cases, a mixed response to practice change occurred.
16 For example, in the Waiokura catchment in New Zealand, positive effects on
17 phosphorus, suspended sediment (dissolved reactive phosphorus (DRP), total P (TP)
18 and SS **flux** declined by 25-40%), and faecal indicators were measured, whereas there
19 was no measured change in stream macroinvertebrate indicators and the N **flux**
20 response was negative (Wilcock et al., 2009). **Both surface and subsurface flows**
21 **transport farm pollutants in the catchment. The negative N flux effect was**
22 **explained by higher N leaching losses owing to higher N fertiliser and**
23 **supplementary feed inputs to the catchment over the period of measurement,**
24 **whereas the positive effects were realised via mitigation of surface flow**
25 **pathways. The neutral effect on stream macroinvertebrates was attributed to the**

1 **short timeframe of the study (5 years), a lack of upstream sources of sensitive**
2 **species for recolonisation and because high water temperature did not limit the**
3 **invertebrate communities prior to stream habitat restoration**

4

5 The reasons that improved water quality was not measured in these studies included:
6 limitations of the monitoring method, the time-frame of monitoring being too short to
7 account for hydrological and/or biogeochemical time lags, the effect of the practice
8 was small compared with background effects or counteracting processes, and/or the
9 measures were potentially ineffective for the pollutant of concern.

10

11 No catchment monitoring approach (e.g. paired, before/after, or linking cause-effect
12 approaches) consistently failed to observe practice effects at the meso- scale.
13 However, measurement uncertainty in every approach limited the ability to measure
14 the impacts of practices. The uncertainty inherent in most nutrient flux measurements,
15 but particularly where there was a lack of high flow water quality data, was a
16 limitation (e.g. Iital et al., 2008; O'Donnell et al., 2012). Further to this, data on
17 temporal and spatial land management, such as nutrient source use, were generally
18 sparse in comparison with water quality data, and were often insufficient for
19 identifying cause-effect relationships. Other studies identified that nested scales of
20 monitoring were needed to link cause and effect (Iital et al., 2008), and others
21 suspected that major step changes in effect had potentially occurred before monitoring
22 had begun (Bechmann, et al., 2008).

23

1 Some cases, proved difficult to verify whether a lack of effect was a result of
2 ineffective measures, or because time lags for improvement of water quality were
3 longer than the monitored period (Bergfur et al., 2012). The time lag in response is
4 affected by the rate of change or degree of impact of a certain measure. The smaller
5 the rate of change, the longer the time needed to detect an improvement in water
6 quality against the backdrop of inter-annual variation (Bechmann et al., 2008). For
7 example, at least 20 years of monitoring was estimated to be needed in order to detect
8 a 25% decrease in atrazine flux in streamflow from a 73 km² cropped catchment in
9 Northcentral Missouri, USA (O'Donnell et al., 2012).

10

11 In many cases, the potential to measure improvement in one or more water quality
12 indicators was limited by the counteracting impact of a few management events (such
13 as an untimely manure application or cattle accessing a stream) (Makarewicz et al.,
14 2009; Wilcock et al., 2007), or weather events (Inamdar et al., 2002; Wilcock et al.,
15 2009). For example, the degree of impact of a reduction in soil plant-available P
16 levels in two Irish 5 km² catchments over five years was too small to measurably
17 reduce high flow P concentrations in the stream. Instead wet years and seasons led to
18 an increase in stream P concentrations (Campbell et al., 2015). Elsewhere,
19 implementation rates were too low for a positive effect to be measured against
20 background influences. For example, in the Upper Snake/Rock Creek catchments in
21 Idaho, USA, SS fluxes did not reduce due to the sprinkler irrigation technology that
22 was introduced because water quality was dominated by the influence of the
23 remaining area of land under furrow irrigation (Bjorneberg et al., 2008).

24

1 In some cases the practices implemented were ineffective for the water quality
2 indicator measured (or vice-versa). For example seven years of monitoring failed to
3 identify any changes in NO_3^- , total P, dissolved reactive P, SS or flow after
4 implementation of grassed waterways, stream buffers, and strip-tillage in a catchment
5 of the Mackinaw River, central Illinois, USA. The best management practices (BMP)
6 were designed to control surface losses but were ineffective at mitigating the large
7 percentages of the total loads that were lost via subsurface tile drains (Lemke et al.,
8 2011).

9 **4 Summary of implications for catchment scientists and policy** 10 **makers**

11 The review highlighted that to measure water quality change in meso-scale
12 catchments, scientists should account for long times lags, from four to 20 years, when
13 designing measurement programs. Long term (c.a. 30 year) studies of water quality
14 are used in the USA in a network of agricultural catchments (Long Term
15 Agroecosystem Research (LTAR)) to allow for time lags and to measure slow
16 changes (Bartuska et al., 2015). However, securing continuous funding for long-term
17 studies remains a challenge.

18 To enhance the scientific information and knowledge that meso-scale catchment
19 studies generate, five outcomes of agricultural practice change should be explored or
20 predicted. Firstly, the studies should highlight practice change scenarios that are likely
21 to be ineffective for certain parameters e.g. NO_3^- versus P, or indeed for losses along
22 certain pathways. Retro-fitting the correct measure(s) to site specific losses along
23 known pathways that are based on site specific knowledge can have a positive effect
24 on water quality. For example Tomer et al. (2014) describe how riparian re-vegetation
25 at local to basin scale was encouraged to improve stream water quality after studies

1 found that a large amount of SS in the streams was from stream bank rather than field
2 erosion.

3 Secondly, where practice change improves water quality, the degree to which water
4 quality targets are likely to be achieved should be explored. In the studies reviewed,
5 water quality targets were rarely attained. Thirdly, the temporal and spatial scale of
6 effectiveness of a practice change scenario should be estimated because the
7 monitoring period and location of monitoring needed depends on the parameter or
8 indicator of improved water quality. A fourth science-related recommendation is that
9 the potential for pollution swapping should be examined (Stevens et al., 2009). For
10 example, Weaver et al. (2014) identified that for sandy catchments dominated by
11 subsurface nutrient flows, riparian fencing and vegetation was likely to decrease
12 sediment, but increase the proportion of bioavailable P, entering waterways. It is
13 likely that modelling, and not just direct measurement, will be needed for some of
14 these predictions.

15 The richness of information generated by meso-scale water quality impact studies
16 could also be enhanced by explicit, rather than implicit, evaluation of cause and effect
17 links between practices and water quality, and by statistically robust analysis of
18 *response times* and *measurement times*. These require actual changes in land
19 management practice to be measured at spatial and temporal frequencies suited to the
20 water quality indicator of interest and are critical pieces of information needed to
21 evaluate the effectiveness of practice changes (Tomer et al., 2014).

22 A final recommendation to the science community is that to provide sufficient
23 information for balanced decisions about changing practices, the ratio of costs to
24 benefits of implementing practice changes should be calculated (e.g. Fezzi et al.,
25 2010;Mausbach et al., 2004). Direct measurement of costs and benefits of mitigation

1 measures at meso-scale is challenging but possible (Roberts et al., 2012; Stoeckl et al.,
2 2014), and is increasingly being conducted in monitoring and research.

3
4 Five policy related messages emerged from the review. Firstly, **based on the studies**
5 **included in the present review that conformed to the inclusion rules imposed,**
6 **results** suggest that policy makers should account for the likely time frames of 1-10
7 years for positive effects to occur after threshold level implementation of agricultural
8 practice change when setting expectations and planning policy implementation and
9 evaluation. **Other catchments may have even longer timeframes due to catchment**
10 **characteristics such as hydrological flow residence times.** A second finding was
11 that in most catchments where beneficial effects of mitigation practices were
12 successfully measured, combinations of practices, rather than single practices, had
13 been implemented. These practices addressed more than one of the sources, pathways,
14 delivery or impact of the nutrient or pollutant. Moreover, positive effects were often
15 associated with a reduction in agricultural land use intensity, rather than with a change
16 in practice within an existing land use or farming system. Policy makers should also
17 be mindful of the notion that improved water quality does not always lead to water
18 quality standards being met.

19 A third finding for setting agricultural policy for surface water quality improvement is
20 the critical importance of matching practice change measures with the specific water
21 quality problem, and ensuring that measured water quality indicators are biophysically
22 connected with the implemented practices in space and time. The choice of indicator
23 of system quality or change can influence assessments of whether mitigation measures
24 have been successful or otherwise (Lillebo et al., 2007). Some indicators may not be
25 affected by specific mitigation measures. For example, in catchments and seasons

1 where point sources have a large influence on low-flow river nutrient concentrations,
2 the effect of implementing measures to mitigate diffuse nutrient inputs to rivers may
3 not be detected by water quality indicators monitored during low or ambient flow
4 (Jordan et al., 2012). In short, water quality mitigation practices need to be
5 implemented to a threshold level, and indicators of water quality improvement need to
6 be measured, in the right place and at the right time.

7 A fourth policy finding from the review was that ‘no measurable effect’ of
8 implemented practices was a common outcome for the water quality indicators
9 measured. Reasons for a lack of measurable effect include some manageable
10 limitations such as insufficient monitoring time scales to account for hydrological
11 and/or biogeochemical delays, insufficient collection of ‘source’ information and
12 uncertainty in flux measurement (the latter being estimated as up to 11% (Harmel et
13 al., 2006) or 45% (Melland et al., 2012) under ideal conditions). Monitoring programs
14 should be designed and refined as much as possible to eliminate these management
15 limitations and therefore increase the likelihood of effects being measured. Ineffective
16 practice change scenarios can then be identified and used to inform policy-making
17 cycles, as per the DPSIR framework.

18 A fifth consideration for setting policy is knowledge of threshold rates of practice
19 change required to effect a change in water quality. Threshold BMP rates were not
20 often discerned or articulated by the studies reviewed. However, Yates et al. (2007)
21 found some streams exhibit a threshold effect whereby some measured improvements
22 show sharp rather than continuous changes and identified that implementation lags
23 occur as a function of the area, temporal rate, and the magnitude of practice change in
24 a catchment. Schilling et al. (2006) also showed that water quality improvements
25 within a monitored period increased as the catchment size decreased and attributed

1 this to the proportion of catchment area across which the BMP had been implemented
2 increasing with decreasing catchment size. However, maintaining threshold levels of
3 BMP implementation with increasing catchment size will not always result in water
4 quality improvement because the dominant processes causing poor water quality can
5 change with scale. For example, Wilson et al. (2014) found that, as the sediment
6 transport pathway length increased with increasing catchment size (4-198 km²), the
7 proportion of sediment delivered from eroded fields decreased (due to reduced surface
8 hydrological connectivity (e.g. Sherriff et al., 2016)) relative to that eroded from
9 channel banks. In this scenario, a different suite of erosion mitigation measures would
10 be appropriate at changing scales. This demonstrates that, similar to the need to
11 identify catchment-specific suites of practice changes, it is likely that threshold rates
12 of practice implementation will also be catchment-specific (Tomer et al., 2011).

13

14 Lastly, whilst mandatory changes may have been expected to shorten the practice
15 implementation lag, there was no apparent link between implementation lags, or
16 measurement lags and the main practice change implementation approach across the
17 catchment. This highlights that implementation of cultural and structural changes to
18 farms and farm practices takes time, even where measures are mandatory (Kronvang
19 et al., 2008). Case by case analysis would be required to identify any potential for
20 improved adoption via better selection of policy mechanism to achieve threshold
21 implementation rates.

22

23 **Other limitations** to measuring improved water quality included extreme weather or
24 management events, uncertain stream nutrient flux measurements, a scarcity of

1 practice change information and insufficiently long monitoring programs. Meso-scale
2 catchment studies intending to measure the effectiveness of policies need to measure
3 the right water quality parameters, the implementation rates of policy in time and
4 space, and the studies require sufficient time, up to 20 years (**based on the studies**
5 **herein but this could be longer elsewhere**), for effects to occur and for trends to be
6 measured.

7

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11

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5

6 **Captions for Tables**

7 **Table S1. Summary of catchment characteristics to inform residence time.**

8 Table S2. Summary of water quality effects of agricultural management practices
9 measured at meso-catchment scales.

10

11 **Captions for Figures**

12 **Fig 1. A 4-year time series of the difference in nitrate concentration between**
13 **paired treated (4.0 km²) and untreated (4.9 km²) subcatchments of the Walnut**
14 **Creek catchment in Iowa, USA (Jaynes et al. (2004)). A 5-year period of**
15 **measurements taken to establish similarity between the paired catchments**
16 **before the practices were implemented is not shown. The practices were assumed**
17 **to be fully implemented by 1/97 and the practice implementation lag was**
18 **assumed to be 1 year prior to this. The original figure was modified to highlight**
19 **when the practices had a significant effect on nitrate concentrations (response**
20 **time, 2 y post-practice change), the measurement time (5 y pre-BMP plus 4 y**
21 **post-BMP) and the measurement lag (9 years less 2 years) that were calculated**
22 **for this review.**

23 Fig 2. A comparison of catchment size and main water flow pathway against positive
24 water quality response and measurement times, where bar length = measurement lag
25 time, left extent of bar = response time, right extent of bar = measurement time. The
26 response time is the period of time for a significant change in water quality to occur

1 and the measurement time is the period of time needed to measure water quality to
2 identify that a significant response had occurred. Water quality indicators are also
3 annotated as biol. (biological indicator), N (nitrogen species), NH₄ (ammonium only),
4 P (phosphorus species) and SS (suspended sediment). The transport pathway
5 contributing most to the state of the water quality indicator is represented as surface
6 (grey bars), subsurface tile drains (unshaded bars) or subsurface/groundwater (black
7 bars).

1

2 Fig. 3 Response time (years, solid symbols (●), R^2 0.43, $P < 0.05$) for positive effects
3 with a single outlier, a very fast response in a large catchment in New Zealand,
4 removed and the measurement time (years, open symbols (○), R^2 0.36, $P < 0.05$) for
5 positive effects with a single outlier, a very slow response in the Everglades, U.S.A.,
6 removed. Linear lines of best fit and correlation coefficients are also shown.

7

1

2 Fig 4. Agricultural practice implementation lag time (years) for catchments of
3 increasing size where practice change is mostly voluntary (grey bars), incentivised for
4 the purpose of research (unshaded bars) or mostly mandatory (black bars).

5